

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 740379466</b>	<b>Statement Date: 02/11/2022</b> <b>Document ID: XX17 9YF NKX0</b>	<b>2021</b>
	Jose Zavala 4937 Regal Oak Rd Grand Prairie, TX 75052  RECIPIENT'S TIN: XXX-XX-5366		

[ ] FATCA filing requirement (see instructions) **Summary Information**

<b>DIVIDENDS AND DISTRIBUTIONS</b>		<b>2021 1099-DIV*</b>	OMB No. 1545-0110	<b>MISCELLANEOUS INFORMATION</b>	<b>2021 1099-MISC*</b>	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)		0.64		2- Royalties		0.00
1b- Qualified dividends		0.37		3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)		0.00		<b>4- Federal income tax withheld</b>		<b>0.00</b>
2b- Unrecaptured Section 1250 gain		0.00		8- Substitute payments in lieu of dividends or interest		0.00
2c- Section 1202 gain		0.00				
2d- Collectibles (28%) gain		0.00				
2e- Section 897 ordinary dividends		0.00				
2f- Section 897 capital gain		0.00				
3- Nondividend distributions		0.00				
<b>4- Federal income tax withheld</b>		<b>0.00</b>				
5- Section 199A dividends		0.00				
6- Investment expenses		0.00				
8- Foreign country or US possession:	7- Foreign tax paid:	0.00				
9- Cash liquidation distributions		0.00				
10- Noncash liquidation distributions		0.00				
11- Exempt-interest dividends (includes line 12)		0.00				
12- Specified private activity bond interest dividends (AMT)		0.00				

**SECTION 1256 CONTRACTS** **2021 1099-B\*** OMB No. 1545-0715

8- Profit or (loss) realized in 2021 on closed contracts	0.00
9- Unrealized profit or (loss) on open contracts-12/31/2020	0.00
10- Unrealized profit or (loss) on open contracts-12/31/2021	0.00
11- Aggregate profit or (loss) on contracts	0.00

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

**\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	56,742.57	61,290.79	0.00	1,746.12	-2,802.10
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	6.41	7.18	0.00	0.00	-0.77
	<b>Total Short-term</b>	<b>56,748.98</b>	<b>61,297.97</b>	<b>0.00</b>	<b>1,746.12</b>	<b>-2,802.87</b>
Long	D (basis reported to the IRS)	2.45	3.53	0.00	0.91	-0.17
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>2.45</b>	<b>3.53</b>	<b>0.00</b>	<b>0.91</b>	<b>-0.17</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>56,751.43</b>	<b>61,301.50</b>	<b>0.00</b>	<b>1,747.03</b>	<b>-2,803.04</b>

  

<b>Withholding</b>		<b>Amount</b>
Federal income tax withheld		0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

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**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME****2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession:	0.00
6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/11/2021 CALL \$24.50 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/04/21	58.00	...	-58.00	Option expiration- long call
AAL 06/18/2021 CALL \$23.50 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/14/21	40.00	...	-40.00	Option expiration- long call
AAPL 09/24/2021 CALL \$147.00 / CUSIP: / Symbol:							
09/17/21	1.000	205.98	09/17/21	189.00	...	16.98	Option sale to close-call <sup>25</sup>
AAPL 10/01/2021 CALL \$146.00 / CUSIP: / Symbol:							
10/01/21	1.000	0.00	09/17/21	260.00	...	-260.00	Option expiration- long call
AAPL 10/01/2021 CALL \$148.00 / CUSIP: / Symbol:							
10/01/21	1.000	0.00	09/17/21	235.00	...	-235.00	Option expiration- long call

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 08/20/2021 CALL \$6.50 / CUSIP: / Symbol:							
08/20/21	1.000	10.98	08/19/21	9.00	...	1.98	Option sale to close-call <sup>25</sup>
AMC 06/04/2021 CALL \$51.00 / CUSIP: / Symbol:							
06/03/21	1.000	1,074.98	06/03/21	595.00	...	479.98	Option sale to close-call <sup>25</sup>
AMC 06/04/2021 CALL \$62.00 / CUSIP: / Symbol:							
06/04/21	1.000	0.00	06/03/21	1,005.00	...	-1,005.00	Option expiration- long call
AMC 06/11/2021 CALL \$45.00 / CUSIP: / Symbol:							
06/11/21	1.000	324.98	06/10/21	315.00	...	9.98	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 CALL \$46.00 / CUSIP: / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	1.000	334.98	06/10/21	315.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	359.98	06/10/21	315.00	...	44.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/21	2.000	694.96	Various	630.00	...	64.96	Total of 2 transactions
AMC 06/11/2021 CALL \$47.00 / CUSIP: / Symbol:							
06/11/21	3.000	161.98	06/10/21	900.00	...	-738.02	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 CALL \$48.00 / CUSIP: / Symbol:							
06/07/21	1.000	1,537.98	06/04/21	1,095.00	...	442.98	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 CALL \$49.00 / CUSIP: / Symbol:							
06/11/21	2.000	11.98	06/09/21	950.00	...	-938.02	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	06/09/21	590.00	...	-585.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/09/21	540.00	...	-535.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/21	2.000	9.98	Various	1,130.00	...	-1,120.02	Total of 2 transactions
AMC 06/11/2021 CALL \$54.00 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/08/21	715.00	...	-715.00	Option expiration- long call
AMC 06/11/2021 CALL \$57.00 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/08/21	1,050.00	...	-1,050.00	Option expiration- long call

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/18/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/15/21	1.000	884.98	06/15/21	785.00	...	99.98	Option sale to close-call <sup>25</sup>
AMC 06/25/2021 CALL \$52.00 / CUSIP: / Symbol:							
06/22/21	1.000	814.98	06/22/21	495.00	...	319.98	Option sale to close-call <sup>25</sup>
AMC 06/25/2021 CALL \$53.00 / CUSIP: / Symbol:							
06/22/21	1.000	799.98	06/22/21	530.00	...	269.98	Option sale to close-call <sup>25</sup>
AMC 06/25/2021 CALL \$56.00 / CUSIP: / Symbol:							
06/25/21	1.000	1.98	06/24/21	257.00	...	-255.02	Option sale to close-call <sup>25</sup>
AMC 06/25/2021 CALL \$57.00 / CUSIP: / Symbol:							
06/25/21	1.000	0.98	06/24/21	260.00	...	-259.02	Option sale to close-call <sup>25</sup>
AMC 07/09/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/28/21	1.000	869.98	06/28/21	705.00	...	164.98	Option sale to close-call <sup>25</sup>
07/09/21	1.000	0.00	06/30/21	465.00	...	-465.00	Option expiration- long call
<b>Security total:</b>		<b>869.98</b>		<b>1,170.00</b>	...	<b>-300.02</b>	
AMC 07/09/2021 CALL \$57.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	06/29/21	530.00	...	-530.00	Option expiration- long call
AMC 08/06/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/05/21	1.000	260.98	08/05/21	135.00	...	125.98	Option sale to close-call <sup>25</sup>
AMC 08/06/2021 CALL \$32.00 / CUSIP: / Symbol:							
08/06/21	1.000	87.98	08/06/21	65.00	...	22.98	Option sale to close-call <sup>25</sup>
AMC 09/03/2021 CALL \$44.00 / CUSIP: / Symbol:							
09/03/21	1.000	0.00	09/01/21	158.00	...	-158.00	Option expiration- long call
AMC 09/17/2021 CALL \$45.00 / CUSIP: / Symbol:							
09/15/21	1.000	234.98	09/15/21	175.00	...	59.98	Option sale to close-call <sup>25</sup>
AMC 09/24/2021 CALL \$40.00 / CUSIP: / Symbol:							
09/24/21	1.000	15.98	09/24/21	45.00	...	-29.02	Option sale to close-call <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 10/01/2021 CALL \$35.00 / CUSIP: / Symbol:							
09/30/21	1.000	98.98	09/30/21	75.00	...	23.98	Option sale to close-call <sup>25</sup>
AMC 10/01/2021 CALL \$45.00 / CUSIP: / Symbol:							
10/01/21	1.000	0.00	09/17/21	350.00	...	-350.00	Option expiration- long call
AMC 10/22/2021 CALL \$37.00 / CUSIP: / Symbol:							
10/05/21	1.000	399.98	10/05/21	315.00	...	84.98	Option sale to close-call <sup>25</sup>
AMC 10/29/2021 CALL \$38.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/22/21	167.00	...	-167.00	Option expiration- long call
AMC 10/29/2021 CALL \$39.00 / CUSIP: / Symbol:							
10/22/21	1.000	134.98	10/22/21	103.00	...	31.98	Option sale to close-call <sup>25</sup>
AMC 10/29/2021 CALL \$42.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/19/21	219.00	...	-219.00	Option expiration- long call
AMC 11/12/2021 CALL \$39.00 / CUSIP: / Symbol:							
11/11/21	1.000	123.98	11/10/21	105.00	...	18.98	Option sale to close-call <sup>25</sup>
AMC 11/12/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/05/21	1.000	369.98	11/04/21	299.00	...	70.98	Option sale to close-call <sup>25</sup>
AMC 11/19/2021 CALL \$41.00 / CUSIP: / Symbol:							
11/16/21	1.000	339.98	11/09/21	288.00	...	51.98	Option sale to close-call <sup>25</sup>
AMC 11/19/2021 CALL \$43.00 / CUSIP: / Symbol:							
11/03/21	1.000	599.98	10/19/21	445.00	...	154.98	Option sale to close-call <sup>25</sup>
BNGO 12/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	11/16/21	45.00	...	-45.00	Option expiration- long call
CCIV 06/11/2021 CALL \$26.00 / CUSIP: / Symbol:							
06/09/21	1.000	139.98	06/08/21	113.00	...	26.98	Option sale to close-call <sup>25</sup>
06/11/21	1.000	0.00	06/10/21	67.00	...	-67.00	Option expiration- long call
<b>Security total:</b>		<b>139.98</b>		<b>180.00</b>	...	<b>-40.02</b>	

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 07/09/2021 CALL \$13.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	07/01/21	115.00	...	-115.00	Option expiration- long call
COIN 06/04/2021 CALL \$235.00 / CUSIP: / Symbol:							
06/04/21	1.000	0.00	06/03/21	190.00	...	-190.00	Option expiration- long call
DKNG 06/18/2021 CALL \$45.00 / CUSIP: / Symbol:							
06/15/21	1.000	249.98	06/15/21	180.00	...	69.98	Option sale to close-call <sup>25</sup>
DKNG 06/18/2021 CALL \$52.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/14/21	120.00	...	-120.00	Option expiration- long call
DKNG 11/05/2021 CALL \$50.00 / CUSIP: / Symbol:							
10/19/21	1.000	259.98	10/14/21	245.00	...	14.98	Option sale to close-call <sup>25</sup>
DKNG 11/12/2021 CALL \$41.00 / CUSIP: / Symbol:							
11/12/21	1.000	88.98	11/11/21	61.00	...	27.98	Option sale to close-call <sup>25</sup>
DKNG 12/03/2021 CALL \$44.00 / CUSIP: / Symbol:							
11/08/21	1.000	267.98	11/05/21	229.00	...	38.98	Option sale to close-call <sup>25</sup>
F 06/11/2021 CALL \$15.50 / CUSIP: / Symbol:							
06/09/21	1.000	29.98	06/08/21	28.00	...	1.98	Option sale to close-call <sup>25</sup>
06/11/21	1.000	0.00	06/10/21	18.00	...	-18.00	Option expiration- long call
<b>Security total:</b>		<b>29.98</b>		<b>46.00</b>	...	<b>-16.02</b>	
F 06/11/2021 CALL \$16.00 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/04/21	49.00	...	-49.00	Option expiration- long call
F 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/15/21	1.000	28.98	06/14/21	25.00	...	3.98	Option sale to close-call <sup>25</sup>
06/18/21	1.000	0.00	06/17/21	17.00	...	-17.00	Option expiration- long call
<b>Security total:</b>		<b>28.98</b>		<b>42.00</b>	...	<b>-13.02</b>	
GUSH 06/18/2021 CALL \$95.00 / CUSIP: / Symbol:							
06/08/21	1.000	689.98	06/08/21	490.00	...	199.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GUSH 07/16/2021 CALL \$94.00 / CUSIP: / Symbol:							
06/23/21	1.000	1,179.98	06/17/21	860.00	...	319.98	Option sale to close-call <sup>25</sup>
06/29/21	1.000	839.98	06/28/21	690.00	...	149.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,019.96</b>		<b>1,550.00</b>	...	<b>469.96</b>	
GUSH 07/16/2021 CALL \$96.00 / CUSIP: / Symbol:							
06/29/21	1.000	709.98	06/28/21	690.00	...	19.98	Option sale to close-call <sup>25</sup>
GUSH 08/20/2021 CALL \$70.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	07/27/21	640.00	...	-640.00	Option expiration- long call
HARP 06/18/2021 CALL \$17.50 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/04/21	80.00	...	-80.00	Option expiration- long call
HOOD 10/15/2021 CALL \$41.50 / CUSIP: / Symbol:							
10/15/21	1.000	0.98	10/12/21	50.00	...	-49.02	Option sale to close-call <sup>25</sup>
HOOD 10/22/2021 CALL \$41.50 / CUSIP: / Symbol:							
10/20/21	1.000	90.98	10/12/21	85.00	...	5.98	Option sale to close-call <sup>25</sup>
HOOD 12/10/2021 CALL \$37.00 / CUSIP: / Symbol:							
11/08/21	1.000	143.98	11/08/21	115.00	...	28.98	Option sale to close-call <sup>25</sup>
LCID 10/29/2021 CALL \$23.00 / CUSIP: / Symbol:							
10/12/21	1.000	164.98	10/11/21	147.00	...	17.98	Option sale to close-call <sup>25</sup>
MGM 11/19/2021 CALL \$49.00 / CUSIP: / Symbol:							
11/08/21	1.000	151.98	11/08/21	133.00	...	18.98	Option sale to close-call <sup>25</sup>
MGM 12/03/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	11/08/21	159.00	...	-159.00	Option expiration- long call
MMAT1 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/22/21	135.00	...	-135.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/22/21	130.00	...	-130.00	2 of 2 - Option expiration- long call
07/16/21	2.000	0.00	Various	265.00	...	-265.00	Total of 2 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 06/18/2021 CALL \$200.00 / CUSIP: / Symbol:							
06/14/21	1.000	804.98	06/14/21	600.00	...	204.98	Option sale to close-call <sup>25</sup>
06/18/21	1.000	7.98	06/17/21	283.00	...	-275.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>812.96</b>		<b>883.00</b>	...	<b>-70.04</b>	
NFLX 06/11/2021 CALL \$495.00 / CUSIP: / Symbol:							
06/09/21	1.000	425.98	06/08/21	400.00	...	25.98	Option sale to close-call <sup>25</sup>
NIO 04/30/2021 CALL \$42.50 / CUSIP: / Symbol:							
04/30/21	1.000	0.00	04/27/21	154.00	...	-154.00	Option expiration- long call
NIO 05/28/2021 CALL \$38.50 / CUSIP: / Symbol:							
05/05/21	1.000	211.98	05/04/21	205.00	...	6.98	Option sale to close-call <sup>25</sup>
NIO 06/04/2021 CALL \$41.50 / CUSIP: / Symbol:							
06/04/21	1.000	64.98	06/03/21	41.00	...	23.98	Option sale to close-call <sup>25</sup>
NIO 06/11/2021 CALL \$43.50 / CUSIP: / Symbol:							
06/09/21	1.000	111.98	06/08/21	110.00	...	1.98	Option sale to close-call <sup>25</sup>
PEP 06/11/2021 CALL \$147.00 / CUSIP: / Symbol:							
06/08/21	1.000	124.98	06/07/21	88.00	...	36.98	Option sale to close-call <sup>25</sup>
SBUX 06/18/2021 CALL \$112.00 / CUSIP: / Symbol:							
06/15/21	1.000	93.98	06/14/21	92.00	...	1.98	Option sale to close-call <sup>25</sup>
SBUX 10/01/2021 CALL \$114.00 / CUSIP: / Symbol:							
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	189.98	09/16/21	152.00	...	37.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	209.98	09/16/21	150.00	...	59.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/21	2.000	399.96	Various	302.00	...	97.96	Total of 2 transactions
09/23/21	1.000	163.98	09/17/21	160.00	...	3.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>563.94</b>		<b>462.00</b>	...	<b>101.94</b>	
STLA 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	06/30/21	50.00	...	-50.00	Option expiration- long call

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STLA 10/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
10/15/21	1.000	5.98	09/17/21	55.00	...	-49.02	Option sale to close-call <sup>25</sup>
TRCH 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/25/21	1.000	139.98	06/24/21	115.00	...	24.98	Option sale to close-call <sup>25</sup>
TSLA 06/04/2021 CALL \$580.00 / CUSIP: / Symbol:							
06/04/21	1.000	1,014.98	06/03/21	511.00	...	503.98	Option sale to close-call <sup>25</sup>
TSLA 06/11/2021 CALL \$585.00 / CUSIP: / Symbol:							
06/08/21	1.000	3,599.97	06/07/21	1,470.00	...	2,129.97	Option sale to close-call <sup>25</sup>
TSLA 06/11/2021 CALL \$600.00 / CUSIP: / Symbol:							
06/10/21	1.000	1,364.98	06/09/21	882.00	...	482.98	Option sale to close-call <sup>25</sup>
TSLA 06/11/2021 CALL \$602.50 / CUSIP: / Symbol:							
06/10/21	1.000	1,389.98	06/08/21	1,325.00	...	64.98	Option sale to close-call <sup>25</sup>
TSLA 06/11/2021 CALL \$605.00 / CUSIP: / Symbol:							
06/08/21	1.000	1,254.98	06/08/21	1,246.00	...	8.98	Option sale to close-call <sup>25</sup>
TSLA 06/18/2021 CALL \$597.50 / CUSIP: / Symbol:							
06/17/21	1.000	1,574.98	06/16/21	1,145.00	...	429.98	Option sale to close-call <sup>25</sup>
TSLA 06/18/2021 CALL \$607.50 / CUSIP: / Symbol:							
06/17/21	1.000	1,184.98	06/15/21	1,175.00	...	9.98	Option sale to close-call <sup>25</sup>
ALFI, INC. COMMON STOCK / CUSIP: 00161P109 / Symbol:							
27 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
0.118		2.00	06/29/21	1.93	...	0.07	1 of 27 - Sale <sup>25</sup>
0.124		2.10	06/29/21	2.02	...	0.08	2 of 27 - Sale <sup>25</sup>
0.148		2.51	06/29/21	2.41	...	0.10	3 of 27 - Sale <sup>25</sup>
0.301		5.10	06/29/21	5.00	...	0.10	4 of 27 - Sale <sup>25</sup>
0.601		10.19	06/29/21	10.00	...	0.19	5 of 27 - Sale <sup>25</sup>
0.601		10.19	06/29/21	10.00	...	0.19	6 of 27 - Sale <sup>25</sup>
0.601		10.19	06/29/21	10.00	...	0.19	7 of 27 - Sale <sup>25</sup>
0.601		10.20	06/29/21	10.00	...	0.20	8 of 27 - Sale <sup>25</sup>
0.601		10.20	06/29/21	10.00	...	0.20	9 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALFI, INC. COMMON STOCK / CUSIP: 00161P109 / Symbol: (cont'd)							
	0.602	10.20	06/29/21	10.00	...	0.20	10 of 27 - Sale <sup>25</sup>
	0.612	10.39	06/29/21	10.00	...	0.39	11 of 27 - Sale <sup>25</sup>
	0.612	10.39	06/29/21	10.00	...	0.39	12 of 27 - Sale <sup>25</sup>
	0.612	10.39	06/29/21	10.00	...	0.39	13 of 27 - Sale <sup>25</sup>
	0.613	10.40	06/29/21	10.00	...	0.40	14 of 27 - Sale <sup>25</sup>
	0.614	10.40	06/29/21	10.00	...	0.40	15 of 27 - Sale <sup>25</sup>
	0.614	10.40	06/29/21	10.00	...	0.40	16 of 27 - Sale <sup>25</sup>
	0.614	10.41	06/29/21	10.00	...	0.41	17 of 27 - Sale <sup>25</sup>
	0.614	10.41	06/29/21	10.00	...	0.41	18 of 27 - Sale <sup>25</sup>
	0.614	10.42	06/29/21	10.00	...	0.42	19 of 27 - Sale <sup>25</sup>
	0.614	10.42	06/29/21	10.00	...	0.42	20 of 27 - Sale <sup>25</sup>
	0.615	10.43	06/29/21	10.00	...	0.43	21 of 27 - Sale <sup>25</sup>
	0.615	10.43	06/29/21	10.00	...	0.43	22 of 27 - Sale <sup>25</sup>
	0.620	10.51	06/29/21	10.00	...	0.51	23 of 27 - Sale <sup>25</sup>
	5.989	101.57	06/29/21	97.98	...	3.59	24 of 27 - Sale <sup>25</sup>
	5.995	101.66	06/29/21	98.07	...	3.59	25 of 27 - Sale <sup>25</sup>
	5.991	101.60	06/29/21	97.59	...	4.01	26 of 27 - Sale <sup>25</sup>
	6.140	104.13	06/29/21	100.00	...	4.13	27 of 27 - Sale <sup>25</sup>
06/29/21	36.395	617.24	Various	595.00	...	22.24	Total of 27 transactions
11 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.152	2.57	06/28/21	3.13	0.56 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.385	6.52	06/28/21	7.17	0.65 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.534	9.06	06/28/21	10.00	0.94 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.535	9.08	06/28/21	10.00	0.92 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.536	9.09	06/28/21	10.00	0.91 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.537	9.10	06/28/21	11.05	1.95 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.537	9.11	06/28/21	10.00	0.89 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.539	9.14	06/28/21	10.00	0.86 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.539	9.15	06/28/21	10.00	0.85 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.688	11.69	06/28/21	13.04	1.35 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.312	22.25	06/28/21	24.86	2.61 W	0.00	11 of 11 - Sale <sup>25</sup>
06/29/21	6.294	106.76	Various	119.25	12.49 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALFI, INC. COMMON STOCK / CUSIP: 00161P109 / Symbol: (cont'd)							
21 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	0.537	8.24	06/30/21	10.08	...	-1.84	1 of 21 - Sale <sup>25</sup>
	0.554	8.51	06/30/21	9.48	...	-0.97	2 of 21 - Sale <sup>25</sup>
	0.535	8.22	06/30/21	9.03	...	-0.81	3 of 21 - Sale <sup>25</sup>
	0.536	8.23	06/30/21	9.03	...	-0.80	4 of 21 - Sale <sup>25</sup>
	0.506	7.78	06/30/21	8.51	...	-0.73	5 of 21 - Sale <sup>25</sup>
	0.436	6.70	06/30/21	7.36	...	-0.66	6 of 21 - Sale <sup>25</sup>
	0.539	8.28	06/30/21	8.93	...	-0.65	7 of 21 - Sale <sup>25</sup>
	0.343	5.28	06/30/21	5.88	...	-0.60	8 of 21 - Sale <sup>25</sup>
	0.385	5.91	06/30/21	6.48	...	-0.57	9 of 21 - Sale <sup>25</sup>
	0.152	2.33	06/30/21	2.86	...	-0.53	10 of 21 - Sale <sup>25</sup>
	0.355	5.45	06/30/21	5.92	...	-0.47	11 of 21 - Sale <sup>25</sup>
	0.313	4.81	06/30/21	5.18	...	-0.37	12 of 21 - Sale <sup>25</sup>
	0.226	3.47	06/30/21	3.77	...	-0.30	13 of 21 - Sale <sup>25</sup>
	0.098	1.51	06/30/21	1.64	...	-0.13	14 of 21 - Sale <sup>25</sup>
	0.060	0.92	06/30/21	0.99	...	-0.07	15 of 21 - Sale <sup>25</sup>
	0.031	0.47	06/30/21	0.51	...	-0.04	16 of 21 - Sale <sup>25</sup>
	0.607	9.32	06/30/21	9.11	...	0.21	17 of 21 - Sale <sup>25</sup>
	0.682	10.47	06/30/21	10.00	...	0.47	18 of 21 - Sale <sup>25</sup>
	0.691	10.61	06/30/21	10.00	...	0.61	19 of 21 - Sale <sup>25</sup>
	0.701	10.77	06/30/21	10.00	...	0.77	20 of 21 - Sale <sup>25</sup>
	0.714	10.96	06/30/21	10.00	...	0.96	21 of 21 - Sale <sup>25</sup>
07/01/21	9.000	138.24	Various	144.76	...	-6.52	Total of 21 transactions
07/01/21	0.414	6.38	06/30/21	7.09	0.71 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>868.62</b>		<b>866.10</b>	<b>13.20 W</b>	<b>15.72</b>	

AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:

19 transactions for 02/25/21. Total proceeds and cost reported to the IRS.

0.605	6.27	02/25/21	6.37	...	-0.10	1 of 19 - Sale <sup>25</sup>
0.096	0.99	02/25/21	1.02	...	-0.03	2 of 19 - Sale <sup>25</sup>
0.096	1.00	02/25/21	1.02	...	-0.02	3 of 19 - Sale <sup>25</sup>
0.097	1.01	02/25/21	1.02	...	-0.01	4 of 19 - Sale <sup>25</sup>
0.098	1.01	02/25/21	1.02	...	-0.01	5 of 19 - Sale <sup>25</sup>
0.098	1.01	02/25/21	1.01	...	0.00	6 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.001	0.01	02/25/21	0.01	...	0.00	7 of 19 - Sale <sup>25</sup>
	0.015	0.15	02/25/21	0.15	...	0.00	8 of 19 - Sale <sup>25</sup>
	0.098	1.01	02/25/21	1.01	...	0.00	9 of 19 - Sale <sup>25</sup>
	0.019	0.20	02/25/21	0.19	...	0.01	10 of 19 - Sale <sup>25</sup>
	0.084	0.87	02/25/21	0.86	...	0.01	11 of 19 - Sale <sup>25</sup>
	0.099	1.03	02/25/21	1.02	...	0.01	12 of 19 - Sale <sup>25</sup>
	0.081	0.84	02/25/21	0.82	...	0.02	13 of 19 - Sale <sup>25</sup>
	0.100	1.04	02/25/21	1.02	...	0.02	14 of 19 - Sale <sup>25</sup>
	0.035	0.36	02/25/21	0.33	...	0.03	15 of 19 - Sale <sup>25</sup>
	0.099	1.03	02/25/21	1.00	...	0.03	16 of 19 - Sale <sup>25</sup>
	0.069	0.72	02/25/21	0.68	...	0.04	17 of 19 - Sale <sup>25</sup>
	0.104	1.08	02/25/21	1.01	...	0.07	18 of 19 - Sale <sup>25</sup>
	0.105	1.09	02/25/21	1.02	...	0.07	19 of 19 - Sale <sup>25</sup>
02/25/21	2.000	20.72	Various	20.58	...	0.14	Total of 19 transactions
	2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	0.395	4.10	02/25/21	4.15	0.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	10.35	02/25/21	10.52	0.17 W	0.00	2 of 2 - Sale <sup>25</sup>
02/25/21	1.395	14.45	Various	14.67	0.22 W	0.00	Total of 2 transactions
	111 transactions for 04/15/21. Total proceeds and cost reported to the IRS.						
	0.005	0.04	04/08/21	0.04	...	0.00	1 of 111 - Sale <sup>25</sup>
	0.015	0.15	04/08/21	0.15	...	0.00	2 of 111 - Sale <sup>25</sup>
	0.018	0.18	04/08/21	0.18	...	0.00	3 of 111 - Sale <sup>25</sup>
	0.052	0.51	04/08/21	0.51	...	0.00	4 of 111 - Sale <sup>25</sup>
	0.061	0.60	04/08/21	0.60	...	0.00	5 of 111 - Sale <sup>25</sup>
	0.068	0.67	04/08/21	0.67	...	0.00	6 of 111 - Sale <sup>25</sup>
	0.081	0.80	04/08/21	0.80	...	0.00	7 of 111 - Sale <sup>25</sup>
	0.103	1.02	04/08/21	1.02	...	0.00	8 of 111 - Sale <sup>25</sup>
	0.132	1.30	04/08/21	1.30	...	0.00	9 of 111 - Sale <sup>25</sup>
	0.139	1.37	04/08/21	1.37	...	0.00	10 of 111 - Sale <sup>25</sup>
	0.200	1.97	04/08/21	1.97	...	0.00	11 of 111 - Sale <sup>25</sup>
	0.002	0.02	04/09/21	0.02	...	0.00	12 of 111 - Sale <sup>25</sup>
	0.013	0.13	04/09/21	0.13	...	0.00	13 of 111 - Sale <sup>25</sup>
	0.020	0.19	04/09/21	0.19	...	0.00	14 of 111 - Sale <sup>25</sup>
	0.026	0.26	04/09/21	0.26	...	0.00	15 of 111 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.033	0.32	04/09/21	0.32	...	0.00	16 of 111 - Sale <sup>25</sup>
	0.065	0.64	04/09/21	0.64	...	0.00	17 of 111 - Sale <sup>25</sup>
	0.003	0.03	04/12/21	0.03	...	0.00	18 of 111 - Sale <sup>25</sup>
	0.011	0.10	04/12/21	0.10	...	0.00	19 of 111 - Sale <sup>25</sup>
	0.050	0.49	04/12/21	0.49	...	0.00	20 of 111 - Sale <sup>25</sup>
	0.054	0.52	04/12/21	0.52	...	0.00	21 of 111 - Sale <sup>25</sup>
	0.007	0.07	04/12/21	0.06	...	0.01	22 of 111 - Sale <sup>25</sup>
	0.008	0.08	04/12/21	0.07	...	0.01	23 of 111 - Sale <sup>25</sup>
	0.014	0.13	04/12/21	0.12	...	0.01	24 of 111 - Sale <sup>25</sup>
	0.037	0.36	04/12/21	0.34	...	0.02	25 of 111 - Sale <sup>25</sup>
	0.052	0.51	04/12/21	0.49	...	0.02	26 of 111 - Sale <sup>25</sup>
	0.042	0.41	04/12/21	0.38	...	0.03	27 of 111 - Sale <sup>25</sup>
	0.035	0.34	04/12/21	0.30	...	0.04	28 of 111 - Sale <sup>25</sup>
	0.054	0.52	04/12/21	0.48	...	0.04	29 of 111 - Sale <sup>25</sup>
	0.078	0.76	04/12/21	0.72	...	0.04	30 of 111 - Sale <sup>25</sup>
	0.091	0.88	04/12/21	0.84	...	0.04	31 of 111 - Sale <sup>25</sup>
	0.048	0.47	04/12/21	0.42	...	0.05	32 of 111 - Sale <sup>25</sup>
	0.054	0.53	04/12/21	0.48	...	0.05	33 of 111 - Sale <sup>25</sup>
	0.064	0.63	04/12/21	0.58	...	0.05	34 of 111 - Sale <sup>25</sup>
	0.094	0.92	04/12/21	0.87	...	0.05	35 of 111 - Sale <sup>25</sup>
	0.061	0.59	04/12/21	0.53	...	0.06	36 of 111 - Sale <sup>25</sup>
	0.102	1.00	04/12/21	0.94	...	0.06	37 of 111 - Sale <sup>25</sup>
	0.102	0.99	04/12/21	0.92	...	0.07	38 of 111 - Sale <sup>25</sup>
	0.092	0.90	04/12/21	0.81	...	0.09	39 of 111 - Sale <sup>25</sup>
	0.094	0.92	04/12/21	0.83	...	0.09	40 of 111 - Sale <sup>25</sup>
	0.102	1.00	04/12/21	0.91	...	0.09	41 of 111 - Sale <sup>25</sup>
	0.094	0.92	04/12/21	0.82	...	0.10	42 of 111 - Sale <sup>25</sup>
	0.114	1.11	04/12/21	1.00	...	0.11	43 of 111 - Sale <sup>25</sup>
	0.114	1.12	04/12/21	1.00	...	0.12	44 of 111 - Sale <sup>25</sup>
	0.114	1.12	04/12/21	1.00	...	0.12	45 of 111 - Sale <sup>25</sup>
	0.230	2.24	04/12/21	2.00	...	0.24	46 of 111 - Sale <sup>25</sup>
	0.007	0.07	04/13/21	0.07	...	0.00	47 of 111 - Sale <sup>25</sup>
	0.060	0.58	04/13/21	0.58	...	0.00	48 of 111 - Sale <sup>25</sup>
	0.057	0.55	04/13/21	0.54	...	0.01	49 of 111 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.047		0.46	04/13/21	0.44	...	0.02	50 of 111 - Sale <sup>25</sup>
0.057		0.56	04/13/21	0.50	...	0.06	51 of 111 - Sale <sup>25</sup>
0.118		1.15	04/13/21	1.09	...	0.06	52 of 111 - Sale <sup>25</sup>
0.114		1.11	04/13/21	1.00	...	0.11	53 of 111 - Sale <sup>25</sup>
0.144		1.41	04/14/21	1.49	...	-0.08	54 of 111 - Sale <sup>25</sup>
0.118		1.15	04/14/21	1.21	...	-0.06	55 of 111 - Sale <sup>25</sup>
0.094		0.92	04/14/21	0.97	...	-0.05	56 of 111 - Sale <sup>25</sup>
0.104		1.02	04/14/21	1.07	...	-0.05	57 of 111 - Sale <sup>25</sup>
0.081		0.80	04/14/21	0.84	...	-0.04	58 of 111 - Sale <sup>25</sup>
0.097		0.95	04/14/21	0.99	...	-0.04	59 of 111 - Sale <sup>25</sup>
0.038		0.37	04/14/21	0.40	...	-0.03	60 of 111 - Sale <sup>25</sup>
0.055		0.54	04/14/21	0.57	...	-0.03	61 of 111 - Sale <sup>25</sup>
0.079		0.77	04/14/21	0.80	...	-0.03	62 of 111 - Sale <sup>25</sup>
0.035		0.34	04/14/21	0.36	...	-0.02	63 of 111 - Sale <sup>25</sup>
0.040		0.39	04/14/21	0.41	...	-0.02	64 of 111 - Sale <sup>25</sup>
0.052		0.51	04/14/21	0.53	...	-0.02	65 of 111 - Sale <sup>25</sup>
0.053		0.52	04/14/21	0.54	...	-0.02	66 of 111 - Sale <sup>25</sup>
0.007		0.07	04/14/21	0.08	...	-0.01	67 of 111 - Sale <sup>25</sup>
0.007		0.07	04/14/21	0.08	...	-0.01	68 of 111 - Sale <sup>25</sup>
0.009		0.09	04/14/21	0.10	...	-0.01	69 of 111 - Sale <sup>25</sup>
0.011		0.10	04/14/21	0.11	...	-0.01	70 of 111 - Sale <sup>25</sup>
0.014		0.13	04/14/21	0.14	...	-0.01	71 of 111 - Sale <sup>25</sup>
0.019		0.18	04/14/21	0.19	...	-0.01	72 of 111 - Sale <sup>25</sup>
0.022		0.21	04/14/21	0.22	...	-0.01	73 of 111 - Sale <sup>25</sup>
0.023		0.22	04/14/21	0.23	...	-0.01	74 of 111 - Sale <sup>25</sup>
0.025		0.24	04/14/21	0.25	...	-0.01	75 of 111 - Sale <sup>25</sup>
0.029		0.29	04/14/21	0.30	...	-0.01	76 of 111 - Sale <sup>25</sup>
0.031		0.30	04/14/21	0.31	...	-0.01	77 of 111 - Sale <sup>25</sup>
0.039		0.38	04/14/21	0.39	...	-0.01	78 of 111 - Sale <sup>25</sup>
0.075		0.73	04/14/21	0.74	...	-0.01	79 of 111 - Sale <sup>25</sup>
0.001		0.01	04/14/21	0.01	...	0.00	80 of 111 - Sale <sup>25</sup>
0.001		0.01	04/14/21	0.01	...	0.00	81 of 111 - Sale <sup>25</sup>
0.003		0.03	04/14/21	0.03	...	0.00	82 of 111 - Sale <sup>25</sup>
0.003		0.03	04/14/21	0.03	...	0.00	83 of 111 - Sale <sup>25</sup>

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Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.003	0.03	04/14/21	0.03	...	0.00	84 of 111 - Sale <sup>25</sup>
	0.009	0.09	04/14/21	0.09	...	0.00	85 of 111 - Sale <sup>25</sup>
	0.013	0.13	04/14/21	0.13	...	0.00	86 of 111 - Sale <sup>25</sup>
	0.016	0.15	04/14/21	0.15	...	0.00	87 of 111 - Sale <sup>25</sup>
	0.017	0.16	04/14/21	0.16	...	0.00	88 of 111 - Sale <sup>25</sup>
	0.018	0.17	04/14/21	0.17	...	0.00	89 of 111 - Sale <sup>25</sup>
	0.020	0.19	04/14/21	0.19	...	0.00	90 of 111 - Sale <sup>25</sup>
	0.022	0.21	04/14/21	0.21	...	0.00	91 of 111 - Sale <sup>25</sup>
	0.025	0.24	04/14/21	0.24	...	0.00	92 of 111 - Sale <sup>25</sup>
	0.028	0.28	04/14/21	0.28	...	0.00	93 of 111 - Sale <sup>25</sup>
	0.042	0.41	04/14/21	0.41	...	0.00	94 of 111 - Sale <sup>25</sup>
	0.050	0.49	04/14/21	0.49	...	0.00	95 of 111 - Sale <sup>25</sup>
	0.028	0.27	04/14/21	0.26	...	0.01	96 of 111 - Sale <sup>25</sup>
	0.030	0.30	04/14/21	0.29	...	0.01	97 of 111 - Sale <sup>25</sup>
	0.035	0.34	04/14/21	0.33	...	0.01	98 of 111 - Sale <sup>25</sup>
	0.041	0.40	04/14/21	0.39	...	0.01	99 of 111 - Sale <sup>25</sup>
	0.055	0.54	04/14/21	0.52	...	0.02	100 of 111 - Sale <sup>25</sup>
	0.057	0.56	04/14/21	0.53	...	0.03	101 of 111 - Sale <sup>25</sup>
	0.060	0.59	04/14/21	0.56	...	0.03	102 of 111 - Sale <sup>25</sup>
	0.067	0.65	04/14/21	0.62	...	0.03	103 of 111 - Sale <sup>25</sup>
	0.074	0.72	04/14/21	0.69	...	0.03	104 of 111 - Sale <sup>25</sup>
	0.095	0.93	04/14/21	0.90	...	0.03	105 of 111 - Sale <sup>25</sup>
	0.095	0.92	04/14/21	0.88	...	0.04	106 of 111 - Sale <sup>25</sup>
	0.106	1.04	04/14/21	0.99	...	0.05	107 of 111 - Sale <sup>25</sup>
	0.092	0.90	04/14/21	0.84	...	0.06	108 of 111 - Sale <sup>25</sup>
	0.194	1.90	04/14/21	1.77	...	0.13	109 of 111 - Sale <sup>25</sup>
	0.543	5.30	04/14/21	5.00	...	0.30	110 of 111 - Sale <sup>25</sup>
	1.000	9.78	04/14/21	9.16	...	0.62	111 of 111 - Sale <sup>25</sup>
04/15/21	7.648	74.78	Various	72.12	...	2.66	Total of 111 transactions
145 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	0.098	0.97	04/06/21	1.00	0.03 W	0.00	1 of 145 - Sale <sup>25</sup>
	0.196	1.94	04/06/21	2.00	0.06 W	0.00	2 of 145 - Sale <sup>25</sup>
	0.196	1.95	04/06/21	2.00	0.05 W	0.00	3 of 145 - Sale <sup>25</sup>
	0.196	1.95	04/06/21	2.00	0.05 W	0.00	4 of 145 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.490		4.86	04/06/21	5.00	0.14 W	0.00	5 of 145 - Sale <sup>25</sup>
1.000		9.90	04/06/21	10.20	0.30 W	0.00	6 of 145 - Sale <sup>25</sup>
0.013		0.13	04/07/21	0.14	0.01 W	0.00	7 of 145 - Sale <sup>25</sup>
0.018		0.18	04/07/21	0.19	0.01 W	0.00	8 of 145 - Sale <sup>25</sup>
0.018		0.18	04/07/21	0.19	0.01 W	0.00	9 of 145 - Sale <sup>25</sup>
0.018		0.18	04/07/21	0.19	0.01 W	0.00	10 of 145 - Sale <sup>25</sup>
0.020		0.19	04/07/21	0.20	0.01 W	0.00	11 of 145 - Sale <sup>25</sup>
0.024		0.23	04/07/21	0.26	0.03 W	0.00	12 of 145 - Sale <sup>25</sup>
0.025		0.25	04/07/21	0.27	0.02 W	0.00	13 of 145 - Sale <sup>25</sup>
0.026		0.25	04/07/21	0.28	0.03 W	0.00	14 of 145 - Sale <sup>25</sup>
0.038		0.37	04/07/21	0.40	0.03 W	0.00	15 of 145 - Sale <sup>25</sup>
0.039		0.38	04/07/21	0.40	0.02 W	0.00	16 of 145 - Sale <sup>25</sup>
0.055		0.54	04/07/21	0.57	0.03 W	0.00	17 of 145 - Sale <sup>25</sup>
0.060		0.59	04/07/21	0.64	0.05 W	0.00	18 of 145 - Sale <sup>25</sup>
0.061		0.60	04/07/21	0.63	0.03 W	0.00	19 of 145 - Sale <sup>25</sup>
0.061		0.60	04/07/21	0.64	0.04 W	0.00	20 of 145 - Sale <sup>25</sup>
0.062		0.61	04/07/21	0.66	0.05 W	0.00	21 of 145 - Sale <sup>25</sup>
0.074		0.73	04/07/21	0.78	0.05 W	0.00	22 of 145 - Sale <sup>25</sup>
0.077		0.75	04/07/21	0.80	0.05 W	0.00	23 of 145 - Sale <sup>25</sup>
0.080		0.78	04/07/21	0.81	0.03 W	0.00	24 of 145 - Sale <sup>25</sup>
0.080		0.78	04/07/21	0.83	0.05 W	0.00	25 of 145 - Sale <sup>25</sup>
0.080		0.79	04/07/21	0.82	0.03 W	0.00	26 of 145 - Sale <sup>25</sup>
0.081		0.80	04/07/21	0.81	0.01 W	0.00	27 of 145 - Sale <sup>25</sup>
0.081		0.80	04/07/21	0.85	0.05 W	0.00	28 of 145 - Sale <sup>25</sup>
0.100		0.98	04/07/21	1.00	0.02 W	0.00	29 of 145 - Sale <sup>25</sup>
0.100		0.98	04/07/21	1.07	0.09 W	0.00	30 of 145 - Sale <sup>25</sup>
0.100		0.98	04/07/21	1.06	0.08 W	0.00	31 of 145 - Sale <sup>25</sup>
0.101		0.99	04/07/21	1.06	0.07 W	0.00	32 of 145 - Sale <sup>25</sup>
0.101		0.99	04/07/21	1.07	0.08 W	0.00	33 of 145 - Sale <sup>25</sup>
0.101		0.99	04/07/21	1.04	0.05 W	0.00	34 of 145 - Sale <sup>25</sup>
0.101		1.00	04/07/21	1.05	0.05 W	0.00	35 of 145 - Sale <sup>25</sup>
0.119		1.17	04/07/21	1.26	0.09 W	0.00	36 of 145 - Sale <sup>25</sup>
0.121		1.18	04/07/21	1.25	0.07 W	0.00	37 of 145 - Sale <sup>25</sup>
0.121		1.19	04/07/21	1.28	0.09 W	0.00	38 of 145 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.121		1.19	04/07/21	1.29	0.10 W	0.00	39 of 145 - Sale <sup>25</sup>
0.127		1.24	04/07/21	1.34	0.10 W	0.00	40 of 145 - Sale <sup>25</sup>
0.133		1.31	04/07/21	1.42	0.11 W	0.00	41 of 145 - Sale <sup>25</sup>
0.140		1.38	04/07/21	1.50	0.12 W	0.00	42 of 145 - Sale <sup>25</sup>
0.158		1.55	04/07/21	1.67	0.12 W	0.00	43 of 145 - Sale <sup>25</sup>
0.178		1.75	04/07/21	1.83	0.08 W	0.00	44 of 145 - Sale <sup>25</sup>
0.178		1.75	04/07/21	1.86	0.11 W	0.00	45 of 145 - Sale <sup>25</sup>
0.189		1.86	04/07/21	1.94	0.08 W	0.00	46 of 145 - Sale <sup>25</sup>
0.196		1.92	04/07/21	2.02	0.10 W	0.00	47 of 145 - Sale <sup>25</sup>
0.196		1.92	04/07/21	2.01	0.09 W	0.00	48 of 145 - Sale <sup>25</sup>
0.196		1.93	04/07/21	2.06	0.13 W	0.00	49 of 145 - Sale <sup>25</sup>
0.196		1.93	04/07/21	2.08	0.15 W	0.00	50 of 145 - Sale <sup>25</sup>
0.196		1.93	04/07/21	2.01	0.08 W	0.00	51 of 145 - Sale <sup>25</sup>
0.196		1.93	04/07/21	2.04	0.11 W	0.00	52 of 145 - Sale <sup>25</sup>
0.196		1.93	04/07/21	2.05	0.12 W	0.00	53 of 145 - Sale <sup>25</sup>
0.200		1.96	04/07/21	2.00	0.04 W	0.00	54 of 145 - Sale <sup>25</sup>
0.200		1.96	04/07/21	2.00	0.04 W	0.00	55 of 145 - Sale <sup>25</sup>
0.200		1.97	04/07/21	2.00	0.03 W	0.00	56 of 145 - Sale <sup>25</sup>
0.201		1.97	04/07/21	2.06	0.09 W	0.00	57 of 145 - Sale <sup>25</sup>
0.201		1.97	04/07/21	2.09	0.12 W	0.00	58 of 145 - Sale <sup>25</sup>
0.203		1.99	04/07/21	2.10	0.11 W	0.00	59 of 145 - Sale <sup>25</sup>
0.243		2.39	04/07/21	2.48	0.09 W	0.00	60 of 145 - Sale <sup>25</sup>
0.266		2.61	04/07/21	2.81	0.20 W	0.00	61 of 145 - Sale <sup>25</sup>
0.300		2.94	04/07/21	3.00	0.06 W	0.00	62 of 145 - Sale <sup>25</sup>
0.323		3.17	04/07/21	3.25	0.08 W	0.00	63 of 145 - Sale <sup>25</sup>
0.323		3.20	04/07/21	3.22	0.02 W	0.00	64 of 145 - Sale <sup>25</sup>
0.429		4.21	04/07/21	4.41	0.20 W	0.00	65 of 145 - Sale <sup>25</sup>
0.429		4.21	04/07/21	4.49	0.28 W	0.00	66 of 145 - Sale <sup>25</sup>
0.499		4.89	04/07/21	5.05	0.16 W	0.00	67 of 145 - Sale <sup>25</sup>
0.499		4.90	04/07/21	5.14	0.24 W	0.00	68 of 145 - Sale <sup>25</sup>
0.500		4.90	04/07/21	5.15	0.25 W	0.00	69 of 145 - Sale <sup>25</sup>
0.500		4.91	04/07/21	5.25	0.34 W	0.00	70 of 145 - Sale <sup>25</sup>
0.499		4.94	04/07/21	5.00	0.06 W	0.00	71 of 145 - Sale <sup>25</sup>
0.920		9.03	04/07/21	9.17	0.14 W	0.00	72 of 145 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.022		0.21	04/08/21	0.22	0.01 W	0.00	73 of 145 - Sale <sup>25</sup>
0.025		0.24	04/08/21	0.26	0.02 W	0.00	74 of 145 - Sale <sup>25</sup>
0.026		0.25	04/08/21	0.28	0.03 W	0.00	75 of 145 - Sale <sup>25</sup>
0.028		0.27	04/08/21	0.29	0.02 W	0.00	76 of 145 - Sale <sup>25</sup>
0.030		0.29	04/08/21	0.31	0.02 W	0.00	77 of 145 - Sale <sup>25</sup>
0.033		0.32	04/08/21	0.34	0.02 W	0.00	78 of 145 - Sale <sup>25</sup>
0.035		0.34	04/08/21	0.37	0.03 W	0.00	79 of 145 - Sale <sup>25</sup>
0.040		0.39	04/08/21	0.41	0.02 W	0.00	80 of 145 - Sale <sup>25</sup>
0.042		0.41	04/08/21	0.42	0.01 W	0.00	81 of 145 - Sale <sup>25</sup>
0.044		0.43	04/08/21	0.46	0.03 W	0.00	82 of 145 - Sale <sup>25</sup>
0.048		0.47	04/08/21	0.48	0.01 W	0.00	83 of 145 - Sale <sup>25</sup>
0.050		0.49	04/08/21	0.52	0.03 W	0.00	84 of 145 - Sale <sup>25</sup>
0.052		0.51	04/08/21	0.53	0.02 W	0.00	85 of 145 - Sale <sup>25</sup>
0.054		0.53	04/08/21	0.55	0.02 W	0.00	86 of 145 - Sale <sup>25</sup>
0.054		0.53	04/08/21	0.54	0.01 W	0.00	87 of 145 - Sale <sup>25</sup>
0.059		0.57	04/08/21	0.62	0.05 W	0.00	88 of 145 - Sale <sup>25</sup>
0.060		0.58	04/08/21	0.63	0.05 W	0.00	89 of 145 - Sale <sup>25</sup>
0.068		0.66	04/08/21	0.71	0.05 W	0.00	90 of 145 - Sale <sup>25</sup>
0.071		0.69	04/08/21	0.74	0.05 W	0.00	91 of 145 - Sale <sup>25</sup>
0.073		0.71	04/08/21	0.76	0.05 W	0.00	92 of 145 - Sale <sup>25</sup>
0.080		0.79	04/08/21	0.82	0.03 W	0.00	93 of 145 - Sale <sup>25</sup>
0.095		0.93	04/08/21	1.01	0.08 W	0.00	94 of 145 - Sale <sup>25</sup>
0.098		0.96	04/08/21	1.04	0.08 W	0.00	95 of 145 - Sale <sup>25</sup>
0.101		0.99	04/08/21	1.01	0.02 W	0.00	96 of 145 - Sale <sup>25</sup>
0.101		0.99	04/08/21	1.02	0.03 W	0.00	97 of 145 - Sale <sup>25</sup>
0.101		0.99	04/08/21	1.02	0.03 W	0.00	98 of 145 - Sale <sup>25</sup>
0.102		1.00	04/08/21	1.01	0.01 W	0.00	99 of 145 - Sale <sup>25</sup>
0.102		1.00	04/08/21	1.01	0.01 W	0.00	100 of 145 - Sale <sup>25</sup>
0.102		1.00	04/08/21	1.01	0.01 W	0.00	101 of 145 - Sale <sup>25</sup>
0.102		1.00	04/08/21	1.02	0.02 W	0.00	102 of 145 - Sale <sup>25</sup>
0.102		1.00	04/08/21	1.02	0.02 W	0.00	103 of 145 - Sale <sup>25</sup>
0.104		1.01	04/08/21	1.08	0.07 W	0.00	104 of 145 - Sale <sup>25</sup>
0.140		1.37	04/08/21	1.48	0.11 W	0.00	105 of 145 - Sale <sup>25</sup>
0.155		1.52	04/08/21	1.53	0.01 W	0.00	106 of 145 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.007		0.07	04/09/21	0.08	0.01 W	0.00	107 of 145 - Sale <sup>25</sup>
0.009		0.09	04/09/21	0.10	0.01 W	0.00	108 of 145 - Sale <sup>25</sup>
0.011		0.10	04/09/21	0.11	0.01 W	0.00	109 of 145 - Sale <sup>25</sup>
0.013		0.13	04/09/21	0.14	0.01 W	0.00	110 of 145 - Sale <sup>25</sup>
0.031		0.30	04/09/21	0.31	0.01 W	0.00	111 of 145 - Sale <sup>25</sup>
0.038		0.37	04/09/21	0.40	0.03 W	0.00	112 of 145 - Sale <sup>25</sup>
0.039		0.38	04/09/21	0.39	0.01 W	0.00	113 of 145 - Sale <sup>25</sup>
0.041		0.40	04/09/21	0.41	0.01 W	0.00	114 of 145 - Sale <sup>25</sup>
0.052		0.51	04/09/21	0.54	0.03 W	0.00	115 of 145 - Sale <sup>25</sup>
0.053		0.52	04/09/21	0.55	0.03 W	0.00	116 of 145 - Sale <sup>25</sup>
0.060		0.59	04/09/21	0.60	0.01 W	0.00	117 of 145 - Sale <sup>25</sup>
0.064		0.63	04/09/21	0.67	0.04 W	0.00	118 of 145 - Sale <sup>25</sup>
0.075		0.73	04/09/21	0.75	0.02 W	0.00	119 of 145 - Sale <sup>25</sup>
0.077		0.75	04/09/21	0.79	0.04 W	0.00	120 of 145 - Sale <sup>25</sup>
0.079		0.77	04/09/21	0.80	0.03 W	0.00	121 of 145 - Sale <sup>25</sup>
0.094		0.92	04/09/21	0.98	0.06 W	0.00	122 of 145 - Sale <sup>25</sup>
0.097		0.95	04/09/21	1.00	0.05 W	0.00	123 of 145 - Sale <sup>25</sup>
0.100		0.98	04/09/21	1.04	0.06 W	0.00	124 of 145 - Sale <sup>25</sup>
0.104		1.02	04/09/21	1.08	0.06 W	0.00	125 of 145 - Sale <sup>25</sup>
0.106		1.03	04/09/21	1.05	0.02 W	0.00	126 of 145 - Sale <sup>25</sup>
0.106		1.04	04/09/21	1.06	0.02 W	0.00	127 of 145 - Sale <sup>25</sup>
0.118		1.15	04/09/21	1.22	0.07 W	0.00	128 of 145 - Sale <sup>25</sup>
0.144		1.41	04/09/21	1.49	0.08 W	0.00	129 of 145 - Sale <sup>25</sup>
0.013		0.13	04/14/21	0.14	0.01 W	0.00	130 of 145 - Sale <sup>25</sup>
0.014		0.13	04/14/21	0.14	0.01 W	0.00	131 of 145 - Sale <sup>25</sup>
0.015		0.14	04/14/21	0.15	0.01 W	0.00	132 of 145 - Sale <sup>25</sup>
0.016		0.15	04/14/21	0.16	0.01 W	0.00	133 of 145 - Sale <sup>25</sup>
0.020		0.19	04/14/21	0.20	0.01 W	0.00	134 of 145 - Sale <sup>25</sup>
0.022		0.21	04/14/21	0.22	0.01 W	0.00	135 of 145 - Sale <sup>25</sup>
0.025		0.24	04/14/21	0.26	0.02 W	0.00	136 of 145 - Sale <sup>25</sup>
0.028		0.27	04/14/21	0.28	0.01 W	0.00	137 of 145 - Sale <sup>25</sup>
0.030		0.29	04/14/21	0.30	0.01 W	0.00	138 of 145 - Sale <sup>25</sup>
0.035		0.34	04/14/21	0.35	0.01 W	0.00	139 of 145 - Sale <sup>25</sup>
0.042		0.41	04/14/21	0.43	0.02 W	0.00	140 of 145 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.055	0.53	04/14/21	0.55	0.02 W	0.00	141 of 145 - Sale <sup>25</sup>
	0.057	0.56	04/14/21	0.57	0.01 W	0.00	142 of 145 - Sale <sup>25</sup>
	0.067	0.65	04/14/21	0.66	0.01 W	0.00	143 of 145 - Sale <sup>25</sup>
	0.074	0.71	04/14/21	0.73	0.02 W	0.00	144 of 145 - Sale <sup>25</sup>
	0.095	0.92	04/14/21	0.95	0.03 W	0.00	145 of 145 - Sale <sup>25</sup>
04/15/21	18.390	180.52	Various	188.66	8.14 W	0.00	Total of 145 transactions
	14 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	0.002	0.03	05/17/21	0.03	...	0.00	1 of 14 - Sale <sup>25</sup>
	0.214	2.97	05/17/21	2.97	...	0.00	2 of 14 - Sale <sup>25</sup>
	0.510	7.02	05/17/21	7.01	...	0.01	3 of 14 - Sale <sup>25</sup>
	0.244	3.38	05/17/21	3.36	...	0.02	4 of 14 - Sale <sup>25</sup>
	0.147	2.03	05/17/21	2.00	...	0.03	5 of 14 - Sale <sup>25</sup>
	0.310	4.31	05/17/21	4.27	...	0.04	6 of 14 - Sale <sup>25</sup>
	0.205	2.82	05/17/21	2.76	...	0.06	7 of 14 - Sale <sup>25</sup>
	0.226	3.11	05/17/21	3.05	...	0.06	8 of 14 - Sale <sup>25</sup>
	15.693	217.22	05/17/21	217.04	...	0.18	9 of 14 - Sale <sup>25</sup>
	0.795	10.94	05/17/21	10.69	...	0.25	10 of 14 - Sale <sup>25</sup>
	1.998	27.49	05/17/21	26.95	...	0.54	11 of 14 - Sale <sup>25</sup>
	6.998	97.24	05/17/21	96.49	...	0.75	12 of 14 - Sale <sup>25</sup>
	17.647	244.26	05/17/21	242.82	...	1.44	13 of 14 - Sale <sup>25</sup>
	14.000	192.64	05/17/21	188.30	...	4.34	14 of 14 - Sale <sup>25</sup>
05/17/21	58.990	815.46	Various	807.74	...	7.72	Total of 14 transactions
	3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	15.907	218.88	05/17/21	220.00	1.12 W	0.00	1 of 3 - Sale <sup>25</sup>
	48.416	666.19	05/17/21	675.40	9.21 W	0.00	2 of 3 - Sale <sup>25</sup>
	48.416	670.15	05/17/21	675.41	5.26 W	0.00	3 of 3 - Sale <sup>25</sup>
05/17/21	112.740	1,555.22	Various	1,570.81	15.59 W	0.00	Total of 3 transactions
	3 transactions for 05/24/21. Total proceeds and cost reported to the IRS.						
	0.074	1.02	05/24/21	1.01	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.074	1.02	05/24/21	1.01	...	0.01	2 of 3 - Sale <sup>25</sup>
	0.926	12.76	05/24/21	12.67	...	0.09	3 of 3 - Sale <sup>25</sup>
05/24/21	1.074	14.80	Various	14.69	...	0.11	Total of 3 transactions
05/26/21	5.000	93.11	05/26/21	92.54	...	0.57	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
13 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	0.183	5.76	05/27/21	5.02	...	0.74	1 of 13 - Sale <sup>25</sup>
	0.084	2.64	05/27/21	1.56	...	1.08	2 of 13 - Sale <sup>25</sup>
	0.106	3.32	05/27/21	1.94	...	1.38	3 of 13 - Sale <sup>25</sup>
	0.167	5.24	05/27/21	3.08	...	2.16	4 of 13 - Sale <sup>25</sup>
	0.187	5.87	05/27/21	3.47	...	2.40	5 of 13 - Sale <sup>25</sup>
	0.269	8.44	05/27/21	5.03	...	3.41	6 of 13 - Sale <sup>25</sup>
	0.270	8.49	05/27/21	5.03	...	3.46	7 of 13 - Sale <sup>25</sup>
	0.272	8.52	05/27/21	5.03	...	3.49	8 of 13 - Sale <sup>25</sup>
	0.272	8.54	05/27/21	5.03	...	3.51	9 of 13 - Sale <sup>25</sup>
	0.272	8.56	05/27/21	5.03	...	3.53	10 of 13 - Sale <sup>25</sup>
	1.000	31.43	05/27/21	18.93	...	12.50	11 of 13 - Sale <sup>25</sup>
	1.000	31.43	05/27/21	18.77	...	12.66	12 of 13 - Sale <sup>25</sup>
	0.919	28.91	05/28/21	25.69	...	3.22	13 of 13 - Sale <sup>25</sup>
06/01/21	5.000	157.15	Various	103.61	...	53.54	Total of 13 transactions
79 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	0.081	4.53	05/28/21	2.26	...	2.27	1 of 79 - Sale <sup>25</sup>
	0.166	9.26	06/01/21	5.02	...	4.24	2 of 79 - Sale <sup>25</sup>
	0.322	17.99	06/01/21	10.03	...	7.96	3 of 79 - Sale <sup>25</sup>
	0.340	19.01	06/01/21	10.04	...	8.97	4 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/01/21	31.83	...	24.06	5 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/01/21	31.10	...	24.79	6 of 79 - Sale <sup>25</sup>
	0.000	0.01	06/02/21	0.01	...	0.00	7 of 79 - Sale <sup>25</sup>
	0.001	0.04	06/02/21	0.03	...	0.01	8 of 79 - Sale <sup>25</sup>
	0.182	10.18	06/02/21	10.01	...	0.17	9 of 79 - Sale <sup>25</sup>
	0.096	5.35	06/02/21	5.01	...	0.34	10 of 79 - Sale <sup>25</sup>
	0.096	5.38	06/02/21	5.01	...	0.37	11 of 79 - Sale <sup>25</sup>
	0.026	1.46	06/02/21	0.98	...	0.48	12 of 79 - Sale <sup>25</sup>
	0.075	4.21	06/02/21	3.01	...	1.20	13 of 79 - Sale <sup>25</sup>
	0.000	0.02	06/03/21	0.02	...	0.00	14 of 79 - Sale <sup>25</sup>
	0.001	0.05	06/03/21	0.04	...	0.01	15 of 79 - Sale <sup>25</sup>
	0.001	0.07	06/03/21	0.06	...	0.01	16 of 79 - Sale <sup>25</sup>
	0.003	0.15	06/03/21	0.14	...	0.01	17 of 79 - Sale <sup>25</sup>
	0.021	1.17	06/03/21	1.16	...	0.01	18 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.010	0.58	06/03/21	0.55	...	0.03	19 of 79 - Sale <sup>25</sup>
	0.008	0.45	06/03/21	0.40	...	0.05	20 of 79 - Sale <sup>25</sup>
	0.019	1.06	06/03/21	1.01	...	0.05	21 of 79 - Sale <sup>25</sup>
	0.019	1.07	06/03/21	1.01	...	0.06	22 of 79 - Sale <sup>25</sup>
	0.019	1.08	06/03/21	1.01	...	0.07	23 of 79 - Sale <sup>25</sup>
	0.017	0.95	06/03/21	0.87	...	0.08	24 of 79 - Sale <sup>25</sup>
	0.020	1.11	06/03/21	1.01	...	0.10	25 of 79 - Sale <sup>25</sup>
	0.020	1.11	06/03/21	0.99	...	0.12	26 of 79 - Sale <sup>25</sup>
	0.020	1.13	06/03/21	1.01	...	0.12	27 of 79 - Sale <sup>25</sup>
	0.020	1.10	06/03/21	0.97	...	0.13	28 of 79 - Sale <sup>25</sup>
	0.020	1.11	06/03/21	0.95	...	0.16	29 of 79 - Sale <sup>25</sup>
	0.026	1.44	06/03/21	1.26	...	0.18	30 of 79 - Sale <sup>25</sup>
	0.040	2.26	06/03/21	2.02	...	0.24	31 of 79 - Sale <sup>25</sup>
	0.023	1.27	06/03/21	1.01	...	0.26	32 of 79 - Sale <sup>25</sup>
	0.023	1.27	06/03/21	1.01	...	0.26	33 of 79 - Sale <sup>25</sup>
	0.023	1.28	06/03/21	1.01	...	0.27	34 of 79 - Sale <sup>25</sup>
	0.023	1.28	06/03/21	1.01	...	0.27	35 of 79 - Sale <sup>25</sup>
	0.023	1.28	06/03/21	1.01	...	0.27	36 of 79 - Sale <sup>25</sup>
	0.023	1.30	06/03/21	1.01	...	0.29	37 of 79 - Sale <sup>25</sup>
	0.024	1.31	06/03/21	1.01	...	0.30	38 of 79 - Sale <sup>25</sup>
	0.024	1.32	06/03/21	1.01	...	0.31	39 of 79 - Sale <sup>25</sup>
	0.024	1.33	06/03/21	1.01	...	0.32	40 of 79 - Sale <sup>25</sup>
	0.027	1.53	06/03/21	1.21	...	0.32	41 of 79 - Sale <sup>25</sup>
	0.024	1.34	06/03/21	1.01	...	0.33	42 of 79 - Sale <sup>25</sup>
	0.024	1.34	06/03/21	1.01	...	0.33	43 of 79 - Sale <sup>25</sup>
	0.025	1.42	06/03/21	1.01	...	0.41	44 of 79 - Sale <sup>25</sup>
	0.046	2.55	06/03/21	2.01	...	0.54	45 of 79 - Sale <sup>25</sup>
	0.191	10.67	06/03/21	10.00	...	0.67	46 of 79 - Sale <sup>25</sup>
	0.153	8.54	06/03/21	7.61	...	0.93	47 of 79 - Sale <sup>25</sup>
	0.200	11.19	06/03/21	10.02	...	1.17	48 of 79 - Sale <sup>25</sup>
	0.203	11.34	06/03/21	10.02	...	1.32	49 of 79 - Sale <sup>25</sup>
	0.205	11.44	06/03/21	10.02	...	1.42	50 of 79 - Sale <sup>25</sup>
	0.205	11.46	06/03/21	10.02	...	1.44	51 of 79 - Sale <sup>25</sup>
	0.228	12.74	06/03/21	10.01	...	2.73	52 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.230	12.88	06/03/21	10.03	...	2.85	53 of 79 - Sale <sup>25</sup>
	1.889	105.55	06/03/21	99.66	...	5.89	54 of 79 - Sale <sup>25</sup>
	2.277	127.25	06/03/21	100.29	...	26.96	55 of 79 - Sale <sup>25</sup>
	0.021	1.16	06/04/21	1.00	...	0.16	56 of 79 - Sale <sup>25</sup>
	0.021	1.16	06/04/21	1.00	...	0.16	57 of 79 - Sale <sup>25</sup>
	0.023	1.29	06/04/21	1.11	...	0.18	58 of 79 - Sale <sup>25</sup>
	0.077	4.29	06/04/21	3.97	...	0.32	59 of 79 - Sale <sup>25</sup>
	0.116	6.51	06/04/21	6.06	...	0.45	60 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	53.96	...	1.93	61 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	53.17	...	2.72	62 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	52.52	...	3.37	63 of 79 - Sale <sup>25</sup>
	0.427	23.89	06/04/21	20.00	...	3.89	64 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	51.97	...	3.92	65 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	51.87	...	4.02	66 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	51.71	...	4.18	67 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	50.01	...	5.88	68 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	49.86	...	6.03	69 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	49.36	...	6.53	70 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	47.85	...	8.04	71 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	47.09	...	8.80	72 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	46.82	...	9.07	73 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	46.60	...	9.29	74 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/04/21	46.42	...	9.47	75 of 79 - Sale <sup>25</sup>
	0.185	10.32	06/07/21	10.00	...	0.32	76 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/07/21	54.80	...	1.09	77 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/07/21	54.07	...	1.82	78 of 79 - Sale <sup>25</sup>
	1.000	55.89	06/07/21	52.37	...	3.52	79 of 79 - Sale <sup>25</sup>
06/08/21	27.675	1,546.74	Various	1,325.43	...	221.31	Total of 79 transactions
	4 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	0.078	4.38	06/02/21	5.01	0.63 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.153	8.54	06/02/21	10.01	1.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.086	4.83	06/03/21	5.01	0.18 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.726	96.46	06/03/21	100.19	3.73 W	0.00	4 of 4 - Sale <sup>25</sup>
06/08/21	2.043	114.21	Various	120.22	6.01 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
6 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	0.001	0.05	06/08/21	0.06	0.01 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.077	4.04	06/08/21	4.79	0.75 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.086	4.51	06/08/21	4.84	0.33 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.153	7.97	06/08/21	9.71	1.74 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.683	35.64	06/08/21	38.29	2.65 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.915	47.79	06/08/21	51.15	3.36 W	0.00	6 of 6 - Sale <sup>25</sup>
06/14/21	1.915	100.00	Various	108.84	8.84 W	0.00	Total of 6 transactions
48 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	0.043	2.40	06/09/21	2.37	...	0.03	1 of 48 - Sale <sup>25</sup>
	0.020	1.08	06/09/21	1.00	...	0.08	2 of 48 - Sale <sup>25</sup>
	0.020	1.09	06/09/21	1.00	...	0.09	3 of 48 - Sale <sup>25</sup>
	0.020	1.09	06/09/21	1.00	...	0.09	4 of 48 - Sale <sup>25</sup>
	0.020	1.09	06/09/21	1.00	...	0.09	5 of 48 - Sale <sup>25</sup>
	0.043	2.40	06/09/21	2.30	...	0.10	6 of 48 - Sale <sup>25</sup>
	0.041	2.28	06/09/21	2.17	...	0.11	7 of 48 - Sale <sup>25</sup>
	0.020	1.12	06/09/21	1.00	...	0.12	8 of 48 - Sale <sup>25</sup>
	0.020	1.12	06/09/21	1.00	...	0.12	9 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	10 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	11 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	12 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	13 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	14 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	15 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	16 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	17 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	18 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	19 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	20 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	21 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	22 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	23 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	24 of 48 - Sale <sup>25</sup>
	0.020	1.13	06/09/21	1.00	...	0.13	25 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.020	1.13	06/09/21	1.00	...	0.13	26 of 48 - Sale <sup>25</sup>
	0.068	3.74	06/09/21	3.33	...	0.41	27 of 48 - Sale <sup>25</sup>
	0.102	5.62	06/09/21	5.00	...	0.62	28 of 48 - Sale <sup>25</sup>
	0.102	5.63	06/09/21	5.00	...	0.63	29 of 48 - Sale <sup>25</sup>
	0.102	5.63	06/09/21	5.00	...	0.63	30 of 48 - Sale <sup>25</sup>
	0.935	51.58	06/09/21	48.76	...	2.82	31 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	51.93	...	3.27	32 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	51.75	...	3.45	33 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	51.73	...	3.47	34 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	51.68	...	3.52	35 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	51.47	...	3.73	36 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	51.46	...	3.74	37 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	51.05	...	4.15	38 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	50.70	...	4.50	39 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	50.61	...	4.59	40 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	50.54	...	4.66	41 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	49.53	...	5.67	42 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	48.67	...	6.53	43 of 48 - Sale <sup>25</sup>
	1.000	55.20	06/09/21	48.63	...	6.57	44 of 48 - Sale <sup>25</sup>
	0.182	10.05	06/10/21	9.10	...	0.95	45 of 48 - Sale <sup>25</sup>
	1.000	55.18	06/10/21	50.52	...	4.66	46 of 48 - Sale <sup>25</sup>
	1.000	55.18	06/10/21	50.25	...	4.93	47 of 48 - Sale <sup>25</sup>
	1.000	55.18	06/10/21	50.23	...	4.95	48 of 48 - Sale <sup>25</sup>
06/16/21	18.085	998.27	Various	916.78	...	81.49	Total of 48 transactions
12 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	0.085	4.68	06/08/21	4.74	0.06 W	0.00	1 of 12 - Sale <sup>25</sup>
	0.001	0.05	06/09/21	0.06	0.01 W	0.00	2 of 12 - Sale <sup>25</sup>
	0.001	0.05	06/09/21	0.06	0.01 W	0.00	3 of 12 - Sale <sup>25</sup>
	0.021	1.17	06/09/21	1.24	0.07 W	0.00	4 of 12 - Sale <sup>25</sup>
	0.021	1.17	06/09/21	1.31	0.14 W	0.00	5 of 12 - Sale <sup>25</sup>
	0.043	2.40	06/09/21	2.42	0.02 W	0.00	6 of 12 - Sale <sup>25</sup>
	0.056	3.10	06/09/21	3.50	0.40 W	0.00	7 of 12 - Sale <sup>25</sup>
	0.086	4.77	06/09/21	4.87	0.10 W	0.00	8 of 12 - Sale <sup>25</sup>
	0.153	8.43	06/09/21	9.76	1.33 W	0.00	9 of 12 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.639	35.28	06/09/21	36.02	0.74 W	0.00	10 of 12 - Sale <sup>25</sup>
	0.915	50.52	06/09/21	50.71	0.19 W	0.00	11 of 12 - Sale <sup>25</sup>
	0.002	0.09	06/10/21	0.10	0.01 W	0.00	12 of 12 - Sale <sup>25</sup>
06/16/21	2.024	111.71	Various	114.79	3.08 W	0.00	Total of 12 transactions
123 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	0.001	0.05	06/10/21	0.05	...	0.00	1 of 123 - Sale <sup>25</sup>
	0.000	0.01	06/10/21	0.01	...	0.00	2 of 123 - Sale <sup>25</sup>
	0.000	0.01	06/10/21	0.01	...	0.00	3 of 123 - Sale <sup>25</sup>
	0.000	0.01	06/10/21	0.01	...	0.00	4 of 123 - Sale <sup>25</sup>
	0.000	0.01	06/10/21	0.01	...	0.00	5 of 123 - Sale <sup>25</sup>
	0.002	0.10	06/10/21	0.10	...	0.00	6 of 123 - Sale <sup>25</sup>
	0.054	3.11	06/10/21	3.11	...	0.00	7 of 123 - Sale <sup>25</sup>
	0.002	0.13	06/10/21	0.11	...	0.02	8 of 123 - Sale <sup>25</sup>
	0.021	1.21	06/10/21	1.12	...	0.09	9 of 123 - Sale <sup>25</sup>
	0.009	0.49	06/10/21	0.38	...	0.11	10 of 123 - Sale <sup>25</sup>
	0.012	0.69	06/10/21	0.57	...	0.12	11 of 123 - Sale <sup>25</sup>
	0.015	0.84	06/10/21	0.69	...	0.15	12 of 123 - Sale <sup>25</sup>
	0.017	0.93	06/10/21	0.75	...	0.18	13 of 123 - Sale <sup>25</sup>
	0.023	1.28	06/10/21	1.07	...	0.21	14 of 123 - Sale <sup>25</sup>
	0.018	0.99	06/10/21	0.77	...	0.22	15 of 123 - Sale <sup>25</sup>
	0.030	1.72	06/10/21	1.49	...	0.23	16 of 123 - Sale <sup>25</sup>
	0.024	1.36	06/10/21	1.12	...	0.24	17 of 123 - Sale <sup>25</sup>
	0.021	1.15	06/10/21	0.89	...	0.26	18 of 123 - Sale <sup>25</sup>
	0.030	1.64	06/10/21	1.37	...	0.27	19 of 123 - Sale <sup>25</sup>
	0.033	1.81	06/10/21	1.52	...	0.29	20 of 123 - Sale <sup>25</sup>
	0.027	1.51	06/10/21	1.21	...	0.30	21 of 123 - Sale <sup>25</sup>
	0.043	2.48	06/10/21	2.18	...	0.30	22 of 123 - Sale <sup>25</sup>
	0.027	1.50	06/10/21	1.19	...	0.31	23 of 123 - Sale <sup>25</sup>
	0.037	2.04	06/10/21	1.71	...	0.33	24 of 123 - Sale <sup>25</sup>
	0.032	1.77	06/10/21	1.37	...	0.40	25 of 123 - Sale <sup>25</sup>
	0.076	4.16	06/10/21	3.72	...	0.44	26 of 123 - Sale <sup>25</sup>
	0.077	4.20	06/10/21	3.75	...	0.45	27 of 123 - Sale <sup>25</sup>
	0.039	2.19	06/10/21	1.73	...	0.46	28 of 123 - Sale <sup>25</sup>
	0.054	2.96	06/10/21	2.45	...	0.51	29 of 123 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.086		4.93	06/10/21	4.42	...	0.51	30 of 123 - Sale <sup>25</sup>
0.058		3.19	06/10/21	2.67	...	0.52	31 of 123 - Sale <sup>25</sup>
0.072		4.10	06/10/21	3.57	...	0.53	32 of 123 - Sale <sup>25</sup>
0.075		4.30	06/10/21	3.76	...	0.54	33 of 123 - Sale <sup>25</sup>
0.043		2.41	06/10/21	1.86	...	0.55	34 of 123 - Sale <sup>25</sup>
0.061		3.36	06/10/21	2.81	...	0.55	35 of 123 - Sale <sup>25</sup>
0.068		3.72	06/10/21	3.13	...	0.59	36 of 123 - Sale <sup>25</sup>
0.047		2.62	06/10/21	2.02	...	0.60	37 of 123 - Sale <sup>25</sup>
0.062		3.49	06/10/21	2.88	...	0.61	38 of 123 - Sale <sup>25</sup>
0.065		3.64	06/10/21	3.00	...	0.64	39 of 123 - Sale <sup>25</sup>
0.063		3.50	06/10/21	2.79	...	0.71	40 of 123 - Sale <sup>25</sup>
0.117		6.66	06/10/21	5.95	...	0.71	41 of 123 - Sale <sup>25</sup>
0.059		3.28	06/10/21	2.56	...	0.72	42 of 123 - Sale <sup>25</sup>
0.058		3.25	06/10/21	2.52	...	0.73	43 of 123 - Sale <sup>25</sup>
0.074		4.16	06/10/21	3.40	...	0.76	44 of 123 - Sale <sup>25</sup>
0.103		5.64	06/10/21	4.88	...	0.76	45 of 123 - Sale <sup>25</sup>
0.089		4.87	06/10/21	4.10	...	0.77	46 of 123 - Sale <sup>25</sup>
0.105		5.77	06/10/21	5.00	...	0.77	47 of 123 - Sale <sup>25</sup>
0.091		5.00	06/10/21	4.20	...	0.80	48 of 123 - Sale <sup>25</sup>
0.108		5.92	06/10/21	5.12	...	0.80	49 of 123 - Sale <sup>25</sup>
0.069		3.83	06/10/21	3.02	...	0.81	50 of 123 - Sale <sup>25</sup>
0.090		5.00	06/10/21	4.19	...	0.81	51 of 123 - Sale <sup>25</sup>
0.091		5.00	06/10/21	4.19	...	0.81	52 of 123 - Sale <sup>25</sup>
0.091		5.00	06/10/21	4.18	...	0.82	53 of 123 - Sale <sup>25</sup>
0.091		5.00	06/10/21	4.18	...	0.82	54 of 123 - Sale <sup>25</sup>
0.073		4.07	06/10/21	3.23	...	0.84	55 of 123 - Sale <sup>25</sup>
0.069		3.83	06/10/21	2.98	...	0.85	56 of 123 - Sale <sup>25</sup>
0.090		5.00	06/10/21	4.15	...	0.85	57 of 123 - Sale <sup>25</sup>
0.090		5.00	06/10/21	4.12	...	0.88	58 of 123 - Sale <sup>25</sup>
0.089		5.00	06/10/21	4.10	...	0.90	59 of 123 - Sale <sup>25</sup>
0.089		5.00	06/10/21	4.09	...	0.91	60 of 123 - Sale <sup>25</sup>
0.103		5.61	06/10/21	4.68	...	0.93	61 of 123 - Sale <sup>25</sup>
0.090		5.00	06/10/21	4.02	...	0.98	62 of 123 - Sale <sup>25</sup>
0.090		5.00	06/10/21	4.01	...	0.99	63 of 123 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.134		7.34	06/10/21	6.34	...	1.00	64 of 123 - Sale <sup>25</sup>
0.090		5.00	06/10/21	3.98	...	1.02	65 of 123 - Sale <sup>25</sup>
0.090		5.00	06/10/21	3.94	...	1.06	66 of 123 - Sale <sup>25</sup>
0.115		6.35	06/10/21	5.27	...	1.08	67 of 123 - Sale <sup>25</sup>
0.085		4.74	06/10/21	3.66	...	1.08	68 of 123 - Sale <sup>25</sup>
0.090		5.00	06/10/21	3.89	...	1.11	69 of 123 - Sale <sup>25</sup>
0.096		5.35	06/10/21	4.24	...	1.11	70 of 123 - Sale <sup>25</sup>
0.094		5.26	06/10/21	4.09	...	1.17	71 of 123 - Sale <sup>25</sup>
0.101		5.61	06/10/21	4.32	...	1.29	72 of 123 - Sale <sup>25</sup>
0.111		6.17	06/10/21	4.87	...	1.30	73 of 123 - Sale <sup>25</sup>
0.135		7.69	06/10/21	6.37	...	1.32	74 of 123 - Sale <sup>25</sup>
0.113		6.28	06/10/21	4.92	...	1.36	75 of 123 - Sale <sup>25</sup>
0.111		6.17	06/10/21	4.78	...	1.39	76 of 123 - Sale <sup>25</sup>
0.116		6.47	06/10/21	5.07	...	1.40	77 of 123 - Sale <sup>25</sup>
0.200		11.40	06/10/21	10.00	...	1.40	78 of 123 - Sale <sup>25</sup>
0.200		11.41	06/10/21	10.00	...	1.41	79 of 123 - Sale <sup>25</sup>
0.200		11.44	06/10/21	10.00	...	1.44	80 of 123 - Sale <sup>25</sup>
0.200		11.44	06/10/21	10.00	...	1.44	81 of 123 - Sale <sup>25</sup>
0.200		11.44	06/10/21	10.00	...	1.44	82 of 123 - Sale <sup>25</sup>
0.201		11.45	06/10/21	10.00	...	1.45	83 of 123 - Sale <sup>25</sup>
0.201		11.47	06/10/21	10.00	...	1.47	84 of 123 - Sale <sup>25</sup>
0.201		11.48	06/10/21	10.00	...	1.48	85 of 123 - Sale <sup>25</sup>
0.130		7.25	06/10/21	5.76	...	1.49	86 of 123 - Sale <sup>25</sup>
0.201		11.49	06/10/21	10.00	...	1.49	87 of 123 - Sale <sup>25</sup>
0.121		6.72	06/10/21	5.22	...	1.50	88 of 123 - Sale <sup>25</sup>
0.201		11.50	06/10/21	10.00	...	1.50	89 of 123 - Sale <sup>25</sup>
0.121		6.75	06/10/21	5.24	...	1.51	90 of 123 - Sale <sup>25</sup>
0.123		6.86	06/10/21	5.34	...	1.52	91 of 123 - Sale <sup>25</sup>
0.211		11.55	06/10/21	10.00	...	1.55	92 of 123 - Sale <sup>25</sup>
0.203		11.57	06/10/21	10.00	...	1.57	93 of 123 - Sale <sup>25</sup>
0.211		11.57	06/10/21	10.00	...	1.57	94 of 123 - Sale <sup>25</sup>
0.213		11.66	06/10/21	9.99	...	1.67	95 of 123 - Sale <sup>25</sup>
0.136		7.59	06/10/21	5.91	...	1.68	96 of 123 - Sale <sup>25</sup>
0.159		8.85	06/10/21	6.98	...	1.87	97 of 123 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.162	9.01	06/10/21	7.02	...	1.99	98 of 123 - Sale <sup>25</sup>
	0.218	12.00	06/10/21	10.00	...	2.00	99 of 123 - Sale <sup>25</sup>
	0.219	12.00	06/10/21	10.00	...	2.00	100 of 123 - Sale <sup>25</sup>
	0.219	12.01	06/10/21	10.00	...	2.01	101 of 123 - Sale <sup>25</sup>
	0.219	12.01	06/10/21	10.00	...	2.01	102 of 123 - Sale <sup>25</sup>
	0.218	12.02	06/10/21	10.00	...	2.02	103 of 123 - Sale <sup>25</sup>
	0.218	12.03	06/10/21	10.00	...	2.03	104 of 123 - Sale <sup>25</sup>
	0.218	12.03	06/10/21	10.00	...	2.03	105 of 123 - Sale <sup>25</sup>
	0.171	9.51	06/10/21	7.44	...	2.07	106 of 123 - Sale <sup>25</sup>
	0.212	12.11	06/10/21	10.00	...	2.11	107 of 123 - Sale <sup>25</sup>
	0.188	10.42	06/10/21	8.27	...	2.15	108 of 123 - Sale <sup>25</sup>
	0.213	12.16	06/10/21	10.00	...	2.16	109 of 123 - Sale <sup>25</sup>
	0.214	12.19	06/10/21	10.00	...	2.19	110 of 123 - Sale <sup>25</sup>
	0.194	10.78	06/10/21	8.57	...	2.21	111 of 123 - Sale <sup>25</sup>
	0.179	10.00	06/10/21	7.74	...	2.26	112 of 123 - Sale <sup>25</sup>
	0.226	12.55	06/10/21	10.00	...	2.55	113 of 123 - Sale <sup>25</sup>
	0.226	12.55	06/10/21	9.99	...	2.56	114 of 123 - Sale <sup>25</sup>
	0.227	12.62	06/10/21	10.00	...	2.62	115 of 123 - Sale <sup>25</sup>
	0.228	12.68	06/10/21	10.00	...	2.68	116 of 123 - Sale <sup>25</sup>
	0.230	12.80	06/10/21	10.00	...	2.80	117 of 123 - Sale <sup>25</sup>
	0.232	12.89	06/10/21	10.00	...	2.89	118 of 123 - Sale <sup>25</sup>
	0.522	29.81	06/10/21	26.68	...	3.13	119 of 123 - Sale <sup>25</sup>
	0.840	47.93	06/10/21	41.95	...	5.98	120 of 123 - Sale <sup>25</sup>
	0.021	1.18	06/11/21	1.03	...	0.15	121 of 123 - Sale <sup>25</sup>
	0.103	5.71	06/11/21	5.00	...	0.71	122 of 123 - Sale <sup>25</sup>
	0.205	11.40	06/11/21	10.00	...	1.40	123 of 123 - Sale <sup>25</sup>
06/21/21	14.680	821.22	Various	684.11	...	137.11	Total of 123 transactions
	3 transactions for 06/21/21. Total proceeds and cost reported to the IRS.						
	0.001	0.05	06/10/21	0.06	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.001	0.05	06/10/21	0.06	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.153	8.72	06/10/21	8.96	0.24 W	0.00	3 of 3 - Sale <sup>25</sup>
06/21/21	0.155	8.82	Various	9.08	0.26 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
103 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
0.006		0.33	06/11/21	0.31	...	0.02	1 of 103 - Sale <sup>25</sup>
0.010		0.51	06/11/21	0.48	...	0.03	2 of 103 - Sale <sup>25</sup>
0.008		0.41	06/11/21	0.37	...	0.04	3 of 103 - Sale <sup>25</sup>
0.011		0.56	06/11/21	0.52	...	0.04	4 of 103 - Sale <sup>25</sup>
0.013		0.68	06/11/21	0.63	...	0.05	5 of 103 - Sale <sup>25</sup>
0.013		0.69	06/11/21	0.64	...	0.05	6 of 103 - Sale <sup>25</sup>
0.014		0.74	06/11/21	0.69	...	0.05	7 of 103 - Sale <sup>25</sup>
0.020		1.07	06/11/21	1.00	...	0.07	8 of 103 - Sale <sup>25</sup>
0.021		1.07	06/11/21	1.00	...	0.07	9 of 103 - Sale <sup>25</sup>
0.021		1.07	06/11/21	1.00	...	0.07	10 of 103 - Sale <sup>25</sup>
0.021		1.07	06/11/21	1.00	...	0.07	11 of 103 - Sale <sup>25</sup>
0.021		1.07	06/11/21	1.00	...	0.07	12 of 103 - Sale <sup>25</sup>
0.021		1.07	06/11/21	1.00	...	0.07	13 of 103 - Sale <sup>25</sup>
0.021		1.07	06/11/21	1.00	...	0.07	14 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	15 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	16 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	17 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	18 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	19 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	20 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	21 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	22 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	23 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	24 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	25 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	26 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	27 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	28 of 103 - Sale <sup>25</sup>
0.021		1.08	06/11/21	1.00	...	0.08	29 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	30 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	31 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	32 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	33 of 103 - Sale <sup>25</sup>

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Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.021		1.09	06/11/21	1.00	...	0.09	34 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	35 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	36 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	37 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	38 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	39 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	40 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	41 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	42 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	43 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	44 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	45 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	46 of 103 - Sale <sup>25</sup>
0.021		1.09	06/11/21	1.00	...	0.09	47 of 103 - Sale <sup>25</sup>
0.021		1.10	06/11/21	1.00	...	0.10	48 of 103 - Sale <sup>25</sup>
0.021		1.10	06/11/21	1.00	...	0.10	49 of 103 - Sale <sup>25</sup>
0.021		1.10	06/11/21	1.00	...	0.10	50 of 103 - Sale <sup>25</sup>
0.021		1.10	06/11/21	1.00	...	0.10	51 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	52 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	53 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	54 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	55 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	56 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	57 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	58 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	59 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	60 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	61 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	62 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	63 of 103 - Sale <sup>25</sup>
0.021		1.11	06/11/21	1.00	...	0.11	64 of 103 - Sale <sup>25</sup>
0.029		1.52	06/11/21	1.41	...	0.11	65 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	66 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	67 of 103 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.021		1.12	06/11/21	1.00	...	0.12	68 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	69 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	70 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	71 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	72 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	73 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	74 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	75 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	76 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	77 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	78 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	79 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	80 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	81 of 103 - Sale <sup>25</sup>
0.021		1.12	06/11/21	1.00	...	0.12	82 of 103 - Sale <sup>25</sup>
0.030		1.56	06/11/21	1.43	...	0.13	83 of 103 - Sale <sup>25</sup>
0.042		2.19	06/11/21	2.00	...	0.19	84 of 103 - Sale <sup>25</sup>
0.074		3.86	06/11/21	3.59	...	0.27	85 of 103 - Sale <sup>25</sup>
0.103		5.37	06/11/21	5.00	...	0.37	86 of 103 - Sale <sup>25</sup>
0.084		4.38	06/11/21	3.96	...	0.42	87 of 103 - Sale <sup>25</sup>
0.104		5.44	06/11/21	4.91	...	0.53	88 of 103 - Sale <sup>25</sup>
0.185		9.66	06/11/21	8.97	...	0.69	89 of 103 - Sale <sup>25</sup>
0.206		10.73	06/11/21	10.00	...	0.73	90 of 103 - Sale <sup>25</sup>
0.206		10.74	06/11/21	10.00	...	0.74	91 of 103 - Sale <sup>25</sup>
0.206		10.76	06/11/21	10.00	...	0.76	92 of 103 - Sale <sup>25</sup>
0.206		10.77	06/11/21	10.00	...	0.77	93 of 103 - Sale <sup>25</sup>
0.206		10.77	06/11/21	10.00	...	0.77	94 of 103 - Sale <sup>25</sup>
0.179		9.35	06/11/21	8.57	...	0.78	95 of 103 - Sale <sup>25</sup>
0.196		10.21	06/11/21	9.36	...	0.85	96 of 103 - Sale <sup>25</sup>
0.209		10.92	06/11/21	10.00	...	0.92	97 of 103 - Sale <sup>25</sup>
0.209		10.92	06/11/21	10.00	...	0.92	98 of 103 - Sale <sup>25</sup>
0.210		10.97	06/11/21	10.00	...	0.97	99 of 103 - Sale <sup>25</sup>
0.213		11.10	06/11/21	10.00	...	1.10	100 of 103 - Sale <sup>25</sup>
0.214		11.16	06/11/21	10.00	...	1.16	101 of 103 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.214	11.17	06/11/21	10.00	...	1.17	102 of 103 - Sale <sup>25</sup>
	0.214	11.18	06/11/21	10.00	...	1.18	103 of 103 - Sale <sup>25</sup>
06/22/21	5.170	269.83	Various	246.84	...	22.99	Total of 103 transactions
44 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	0.016	0.85	06/15/21	1.00	0.15 W	0.00	1 of 44 - Sale <sup>25</sup>
	0.016	0.85	06/15/21	1.00	0.15 W	0.00	2 of 44 - Sale <sup>25</sup>
	0.017	0.86	06/15/21	1.00	0.14 W	0.00	3 of 44 - Sale <sup>25</sup>
	0.017	0.86	06/15/21	1.00	0.14 W	0.00	4 of 44 - Sale <sup>25</sup>
	0.017	0.87	06/15/21	1.00	0.13 W	0.00	5 of 44 - Sale <sup>25</sup>
	0.017	0.87	06/15/21	1.00	0.13 W	0.00	6 of 44 - Sale <sup>25</sup>
	0.017	0.87	06/15/21	1.00	0.13 W	0.00	7 of 44 - Sale <sup>25</sup>
	0.017	0.87	06/15/21	1.00	0.13 W	0.00	8 of 44 - Sale <sup>25</sup>
	0.017	0.88	06/15/21	1.00	0.12 W	0.00	9 of 44 - Sale <sup>25</sup>
	0.017	0.88	06/15/21	1.00	0.12 W	0.00	10 of 44 - Sale <sup>25</sup>
	0.017	0.88	06/15/21	1.00	0.12 W	0.00	11 of 44 - Sale <sup>25</sup>
	0.017	0.88	06/15/21	1.00	0.12 W	0.00	12 of 44 - Sale <sup>25</sup>
	0.017	0.88	06/15/21	1.00	0.12 W	0.00	13 of 44 - Sale <sup>25</sup>
	0.017	0.88	06/15/21	1.00	0.12 W	0.00	14 of 44 - Sale <sup>25</sup>
	0.017	0.88	06/15/21	1.00	0.12 W	0.00	15 of 44 - Sale <sup>25</sup>
	0.017	0.88	06/15/21	1.00	0.12 W	0.00	16 of 44 - Sale <sup>25</sup>
	0.017	0.89	06/15/21	1.00	0.11 W	0.00	17 of 44 - Sale <sup>25</sup>
	0.017	0.89	06/15/21	1.00	0.11 W	0.00	18 of 44 - Sale <sup>25</sup>
	0.017	0.89	06/15/21	1.00	0.11 W	0.00	19 of 44 - Sale <sup>25</sup>
	0.017	0.89	06/15/21	1.00	0.11 W	0.00	20 of 44 - Sale <sup>25</sup>
	1.178	61.47	06/16/21	66.72	5.25 W	0.00	21 of 44 - Sale <sup>25</sup>
	0.170	8.87	06/17/21	9.99	1.12 W	0.00	22 of 44 - Sale <sup>25</sup>
	0.016	0.83	06/17/21	1.00	0.17 W	0.00	23 of 44 - Sale <sup>25</sup>
	0.016	0.83	06/17/21	1.00	0.17 W	0.00	24 of 44 - Sale <sup>25</sup>
	0.017	0.87	06/17/21	1.00	0.13 W	0.00	25 of 44 - Sale <sup>25</sup>
	0.017	0.87	06/17/21	1.00	0.13 W	0.00	26 of 44 - Sale <sup>25</sup>
	0.017	0.87	06/17/21	1.00	0.13 W	0.00	27 of 44 - Sale <sup>25</sup>
	0.017	0.87	06/17/21	1.00	0.13 W	0.00	28 of 44 - Sale <sup>25</sup>
	0.017	0.89	06/17/21	1.00	0.11 W	0.00	29 of 44 - Sale <sup>25</sup>
	0.017	0.91	06/17/21	1.03	0.12 W	0.00	30 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.034	1.76	06/17/21	2.00	0.24 W	0.00	31 of 44 - Sale <sup>25</sup>
	0.085	4.44	06/17/21	5.00	0.56 W	0.00	32 of 44 - Sale <sup>25</sup>
	0.159	8.30	06/17/21	10.00	1.70 W	0.00	33 of 44 - Sale <sup>25</sup>
	0.169	8.84	06/17/21	10.00	1.16 W	0.00	34 of 44 - Sale <sup>25</sup>
	0.170	8.87	06/17/21	10.00	1.13 W	0.00	35 of 44 - Sale <sup>25</sup>
	0.170	8.87	06/17/21	10.00	1.13 W	0.00	36 of 44 - Sale <sup>25</sup>
	0.159	8.29	06/18/21	9.98	1.69 W	0.00	37 of 44 - Sale <sup>25</sup>
	0.016	0.82	06/18/21	1.00	0.18 W	0.00	38 of 44 - Sale <sup>25</sup>
	0.016	0.84	06/18/21	1.00	0.16 W	0.00	39 of 44 - Sale <sup>25</sup>
	0.016	0.84	06/18/21	1.00	0.16 W	0.00	40 of 44 - Sale <sup>25</sup>
	0.017	0.90	06/18/21	1.00	0.10 W	0.00	41 of 44 - Sale <sup>25</sup>
	0.158	8.26	06/18/21	10.00	1.74 W	0.00	42 of 44 - Sale <sup>25</sup>
	0.159	8.30	06/18/21	10.00	1.70 W	0.00	43 of 44 - Sale <sup>25</sup>
	0.169	8.82	06/18/21	10.00	1.18 W	0.00	44 of 44 - Sale <sup>25</sup>
06/22/21	3.314	172.93	Various	195.72	22.79 W	0.00	Total of 44 transactions
06/28/21	0.000	0.02	06/22/21	0.02	...	0.00	Sale <sup>25</sup>
23 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	0.016	0.92	06/22/21	1.01	0.09 W	0.00	1 of 23 - Sale <sup>25</sup>
	0.016	0.92	06/22/21	1.01	0.09 W	0.00	2 of 23 - Sale <sup>25</sup>
	0.017	0.93	06/22/21	1.01	0.08 W	0.00	3 of 23 - Sale <sup>25</sup>
	0.017	0.93	06/22/21	1.01	0.08 W	0.00	4 of 23 - Sale <sup>25</sup>
	0.017	0.94	06/22/21	1.01	0.07 W	0.00	5 of 23 - Sale <sup>25</sup>
	0.017	0.94	06/22/21	0.99	0.05 W	0.00	6 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.01	0.06 W	0.00	7 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.01	0.06 W	0.00	8 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.01	0.06 W	0.00	9 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.00	0.05 W	0.00	10 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.00	0.05 W	0.00	11 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.01	0.06 W	0.00	12 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.01	0.06 W	0.00	13 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.01	0.06 W	0.00	14 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.01	0.06 W	0.00	15 of 23 - Sale <sup>25</sup>
	0.017	0.95	06/22/21	1.01	0.06 W	0.00	16 of 23 - Sale <sup>25</sup>
	0.017	0.96	06/22/21	1.01	0.05 W	0.00	17 of 23 - Sale <sup>25</sup>

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Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.017	0.96	06/22/21	1.01	0.05 W	0.00	18 of 23 - Sale <sup>25</sup>
	0.017	0.97	06/22/21	1.01	0.04 W	0.00	19 of 23 - Sale <sup>25</sup>
	0.017	0.97	06/22/21	1.01	0.04 W	0.00	20 of 23 - Sale <sup>25</sup>
	0.088	4.96	06/22/21	5.22	0.26 W	0.00	21 of 23 - Sale <sup>25</sup>
	0.169	9.57	06/22/21	10.10	0.53 W	0.00	22 of 23 - Sale <sup>25</sup>
	1.178	66.51	06/22/21	67.39	0.88 W	0.00	23 of 23 - Sale <sup>25</sup>
06/28/21	1.770	99.98	Various	102.87	2.89 W	0.00	Total of 23 transactions
55 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.026	1.45	06/25/21	1.45	...	0.00	1 of 55 - Sale <sup>25</sup>
	0.182	10.00	06/25/21	10.00	...	0.00	2 of 55 - Sale <sup>25</sup>
	0.182	10.00	06/25/21	10.00	...	0.00	3 of 55 - Sale <sup>25</sup>
	0.183	10.00	06/25/21	10.00	...	0.00	4 of 55 - Sale <sup>25</sup>
	0.183	10.00	06/25/21	10.00	...	0.00	5 of 55 - Sale <sup>25</sup>
	0.183	10.00	06/25/21	10.00	...	0.00	6 of 55 - Sale <sup>25</sup>
	0.018	1.01	06/25/21	1.00	...	0.01	7 of 55 - Sale <sup>25</sup>
	0.019	1.02	06/25/21	1.00	...	0.02	8 of 55 - Sale <sup>25</sup>
	0.183	10.02	06/25/21	10.00	...	0.02	9 of 55 - Sale <sup>25</sup>
	0.092	5.03	06/25/21	5.00	...	0.03	10 of 55 - Sale <sup>25</sup>
	0.183	10.04	06/25/21	10.00	...	0.04	11 of 55 - Sale <sup>25</sup>
	0.056	3.09	06/25/21	3.03	...	0.06	12 of 55 - Sale <sup>25</sup>
	0.184	10.08	06/25/21	10.00	...	0.08	13 of 55 - Sale <sup>25</sup>
	0.184	10.09	06/25/21	10.00	...	0.09	14 of 55 - Sale <sup>25</sup>
	0.184	10.09	06/25/21	10.00	...	0.09	15 of 55 - Sale <sup>25</sup>
	0.184	10.09	06/25/21	10.00	...	0.09	16 of 55 - Sale <sup>25</sup>
	0.184	10.10	06/25/21	10.00	...	0.10	17 of 55 - Sale <sup>25</sup>
	0.184	10.11	06/25/21	10.00	...	0.11	18 of 55 - Sale <sup>25</sup>
	0.185	10.11	06/25/21	10.00	...	0.11	19 of 55 - Sale <sup>25</sup>
	0.185	10.11	06/25/21	10.00	...	0.11	20 of 55 - Sale <sup>25</sup>
	0.185	10.11	06/25/21	10.00	...	0.11	21 of 55 - Sale <sup>25</sup>
	0.185	10.11	06/25/21	10.00	...	0.11	22 of 55 - Sale <sup>25</sup>
	0.185	10.12	06/25/21	10.00	...	0.12	23 of 55 - Sale <sup>25</sup>
	0.166	9.11	06/25/21	8.98	...	0.13	24 of 55 - Sale <sup>25</sup>
	0.185	10.13	06/25/21	10.00	...	0.13	25 of 55 - Sale <sup>25</sup>
	0.185	10.13	06/25/21	10.00	...	0.13	26 of 55 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.185	10.13	06/25/21	10.00	...	0.13	27 of 55 - Sale <sup>25</sup>
	0.185	10.14	06/25/21	10.00	...	0.14	28 of 55 - Sale <sup>25</sup>
	0.185	10.14	06/25/21	10.00	...	0.14	29 of 55 - Sale <sup>25</sup>
	0.185	10.14	06/25/21	10.00	...	0.14	30 of 55 - Sale <sup>25</sup>
	0.185	10.14	06/25/21	10.00	...	0.14	31 of 55 - Sale <sup>25</sup>
	0.130	7.12	06/25/21	6.97	...	0.15	32 of 55 - Sale <sup>25</sup>
	0.167	9.16	06/25/21	9.01	...	0.15	33 of 55 - Sale <sup>25</sup>
	0.185	10.15	06/25/21	10.00	...	0.15	34 of 55 - Sale <sup>25</sup>
	0.185	10.15	06/25/21	10.00	...	0.15	35 of 55 - Sale <sup>25</sup>
	0.185	10.15	06/25/21	10.00	...	0.15	36 of 55 - Sale <sup>25</sup>
	0.185	10.15	06/25/21	10.00	...	0.15	37 of 55 - Sale <sup>25</sup>
	0.185	10.16	06/25/21	10.00	...	0.16	38 of 55 - Sale <sup>25</sup>
	0.185	10.16	06/25/21	10.00	...	0.16	39 of 55 - Sale <sup>25</sup>
	0.186	10.17	06/25/21	10.00	...	0.17	40 of 55 - Sale <sup>25</sup>
	0.186	10.17	06/25/21	10.00	...	0.17	41 of 55 - Sale <sup>25</sup>
	0.186	10.20	06/25/21	10.00	...	0.20	42 of 55 - Sale <sup>25</sup>
	0.186	10.20	06/25/21	10.00	...	0.20	43 of 55 - Sale <sup>25</sup>
	0.186	10.20	06/25/21	10.00	...	0.20	44 of 55 - Sale <sup>25</sup>
	0.186	10.20	06/25/21	10.00	...	0.20	45 of 55 - Sale <sup>25</sup>
	0.186	10.20	06/25/21	10.00	...	0.20	46 of 55 - Sale <sup>25</sup>
	0.186	10.21	06/25/21	10.00	...	0.21	47 of 55 - Sale <sup>25</sup>
	0.186	10.21	06/25/21	10.00	...	0.21	48 of 55 - Sale <sup>25</sup>
	0.186	10.21	06/25/21	10.00	...	0.21	49 of 55 - Sale <sup>25</sup>
	0.186	10.21	06/25/21	10.00	...	0.21	50 of 55 - Sale <sup>25</sup>
	0.186	10.21	06/25/21	10.00	...	0.21	51 of 55 - Sale <sup>25</sup>
	0.848	46.47	06/25/21	45.88	...	0.59	52 of 55 - Sale <sup>25</sup>
	1.000	54.80	06/25/21	54.12	...	0.68	53 of 55 - Sale <sup>25</sup>
	1.841	100.87	06/25/21	100.00	...	0.87	54 of 55 - Sale <sup>25</sup>
	1.852	101.49	06/25/21	100.00	...	1.49	55 of 55 - Sale <sup>25</sup>
06/30/21	14.162	776.06	Various	766.44	...	9.62	Total of 55 transactions
92 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.016	0.87	06/22/21	0.94	0.07 W	0.00	1 of 92 - Sale <sup>25</sup>
	0.016	0.86	06/22/21	0.93	0.07 W	0.00	2 of 92 - Sale <sup>25</sup>
	0.027	1.50	06/22/21	1.62	0.12 W	0.00	3 of 92 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.029		1.61	06/22/21	1.75	0.14 W	0.00	4 of 92 - Sale <sup>25</sup>
0.032		1.74	06/22/21	1.89	0.15 W	0.00	5 of 92 - Sale <sup>25</sup>
0.034		1.85	06/22/21	2.01	0.16 W	0.00	6 of 92 - Sale <sup>25</sup>
0.045		2.48	06/22/21	2.68	0.20 W	0.00	7 of 92 - Sale <sup>25</sup>
0.082		4.49	06/22/21	4.87	0.38 W	0.00	8 of 92 - Sale <sup>25</sup>
0.095		5.20	06/22/21	5.63	0.43 W	0.00	9 of 92 - Sale <sup>25</sup>
0.095		5.21	06/22/21	5.63	0.42 W	0.00	10 of 92 - Sale <sup>25</sup>
0.001		0.03	06/23/21	0.04	0.01 W	0.00	11 of 92 - Sale <sup>25</sup>
0.001		0.07	06/23/21	0.08	0.01 W	0.00	12 of 92 - Sale <sup>25</sup>
0.016		0.86	06/23/21	1.12	0.26 W	0.00	13 of 92 - Sale <sup>25</sup>
0.016		0.87	06/23/21	1.11	0.24 W	0.00	14 of 92 - Sale <sup>25</sup>
0.016		0.87	06/23/21	1.11	0.24 W	0.00	15 of 92 - Sale <sup>25</sup>
0.016		0.89	06/23/21	1.08	0.19 W	0.00	16 of 92 - Sale <sup>25</sup>
0.017		0.92	06/23/21	1.11	0.19 W	0.00	17 of 92 - Sale <sup>25</sup>
0.017		0.92	06/23/21	1.12	0.20 W	0.00	18 of 92 - Sale <sup>25</sup>
0.017		0.92	06/23/21	1.11	0.19 W	0.00	19 of 92 - Sale <sup>25</sup>
0.017		0.96	06/23/21	1.15	0.19 W	0.00	20 of 92 - Sale <sup>25</sup>
0.045		2.49	06/23/21	3.21	0.72 W	0.00	21 of 92 - Sale <sup>25</sup>
0.052		2.85	06/23/21	3.65	0.80 W	0.00	22 of 92 - Sale <sup>25</sup>
0.085		4.66	06/23/21	5.56	0.90 W	0.00	23 of 92 - Sale <sup>25</sup>
0.085		4.68	06/23/21	5.94	1.26 W	0.00	24 of 92 - Sale <sup>25</sup>
0.106		5.83	06/23/21	7.48	1.65 W	0.00	25 of 92 - Sale <sup>25</sup>
0.044		2.41	06/24/21	2.95	0.54 W	0.00	26 of 92 - Sale <sup>25</sup>
0.080		4.36	06/24/21	5.32	0.96 W	0.00	27 of 92 - Sale <sup>25</sup>
0.001		0.06	06/24/21	0.08	0.02 W	0.00	28 of 92 - Sale <sup>25</sup>
0.005		0.28	06/24/21	0.33	0.05 W	0.00	29 of 92 - Sale <sup>25</sup>
0.008		0.44	06/24/21	0.49	0.05 W	0.00	30 of 92 - Sale <sup>25</sup>
0.009		0.48	06/24/21	0.53	0.05 W	0.00	31 of 92 - Sale <sup>25</sup>
0.009		0.51	06/24/21	0.63	0.12 W	0.00	32 of 92 - Sale <sup>25</sup>
0.012		0.66	06/24/21	0.75	0.09 W	0.00	33 of 92 - Sale <sup>25</sup>
0.016		0.85	06/24/21	0.93	0.08 W	0.00	34 of 92 - Sale <sup>25</sup>
0.016		0.88	06/24/21	1.07	0.19 W	0.00	35 of 92 - Sale <sup>25</sup>
0.016		0.88	06/24/21	1.08	0.20 W	0.00	36 of 92 - Sale <sup>25</sup>
0.016		0.89	06/24/21	1.02	0.13 W	0.00	37 of 92 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.016	0.89	06/24/21	1.02	0.13 W	0.00	38 of 92 - Sale <sup>25</sup>
	0.017	0.90	06/24/21	1.02	0.12 W	0.00	39 of 92 - Sale <sup>25</sup>
	0.017	0.91	06/24/21	1.02	0.11 W	0.00	40 of 92 - Sale <sup>25</sup>
	0.017	0.91	06/24/21	1.01	0.10 W	0.00	41 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.01	0.09 W	0.00	42 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.01	0.09 W	0.00	43 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.02	0.10 W	0.00	44 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.00	0.08 W	0.00	45 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.00	0.08 W	0.00	46 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.01	0.09 W	0.00	47 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.02	0.10 W	0.00	48 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.02	0.10 W	0.00	49 of 92 - Sale <sup>25</sup>
	0.017	0.92	06/24/21	1.02	0.10 W	0.00	50 of 92 - Sale <sup>25</sup>
	0.017	0.93	06/24/21	1.01	0.08 W	0.00	51 of 92 - Sale <sup>25</sup>
	0.017	0.93	06/24/21	1.02	0.09 W	0.00	52 of 92 - Sale <sup>25</sup>
	0.017	0.94	06/24/21	1.01	0.07 W	0.00	53 of 92 - Sale <sup>25</sup>
	0.017	0.94	06/24/21	1.02	0.08 W	0.00	54 of 92 - Sale <sup>25</sup>
	0.018	0.97	06/24/21	1.19	0.22 W	0.00	55 of 92 - Sale <sup>25</sup>
	0.018	0.97	06/24/21	1.02	0.05 W	0.00	56 of 92 - Sale <sup>25</sup>
	0.018	0.98	06/24/21	1.19	0.21 W	0.00	57 of 92 - Sale <sup>25</sup>
	0.028	1.51	06/24/21	1.86	0.35 W	0.00	58 of 92 - Sale <sup>25</sup>
	0.061	3.32	06/24/21	4.09	0.77 W	0.00	59 of 92 - Sale <sup>25</sup>
	0.083	4.53	06/24/21	5.28	0.75 W	0.00	60 of 92 - Sale <sup>25</sup>
	0.087	4.74	06/24/21	5.53	0.79 W	0.00	61 of 92 - Sale <sup>25</sup>
	0.089	4.88	06/24/21	5.95	1.07 W	0.00	62 of 92 - Sale <sup>25</sup>
	0.176	9.63	06/24/21	10.13	0.50 W	0.00	63 of 92 - Sale <sup>25</sup>
	0.176	9.63	06/24/21	10.13	0.50 W	0.00	64 of 92 - Sale <sup>25</sup>
	0.176	9.63	06/24/21	10.13	0.50 W	0.00	65 of 92 - Sale <sup>25</sup>
	0.176	9.63	06/24/21	10.13	0.50 W	0.00	66 of 92 - Sale <sup>25</sup>
	0.176	9.63	06/24/21	10.13	0.50 W	0.00	67 of 92 - Sale <sup>25</sup>
	0.008	0.45	06/25/21	0.49	0.04 W	0.00	68 of 92 - Sale <sup>25</sup>
	0.027	1.50	06/25/21	1.62	0.12 W	0.00	69 of 92 - Sale <sup>25</sup>
	0.066	3.62	06/25/21	3.63	0.01 W	0.00	70 of 92 - Sale <sup>25</sup>
	0.073	3.97	06/25/21	4.31	0.34 W	0.00	71 of 92 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.082	4.51	06/25/21	4.52	0.01 W	0.00	72 of 92 - Sale <sup>25</sup>
	0.084	4.58	06/25/21	4.83	0.25 W	0.00	73 of 92 - Sale <sup>25</sup>
	0.086	4.71	06/25/21	4.99	0.28 W	0.00	74 of 92 - Sale <sup>25</sup>
	0.088	4.82	06/25/21	5.09	0.27 W	0.00	75 of 92 - Sale <sup>25</sup>
	0.091	4.99	06/25/21	5.00	0.01 W	0.00	76 of 92 - Sale <sup>25</sup>
	0.099	5.43	06/25/21	5.51	0.08 W	0.00	77 of 92 - Sale <sup>25</sup>
	0.116	6.36	06/25/21	6.37	0.01 W	0.00	78 of 92 - Sale <sup>25</sup>
	0.156	8.54	06/25/21	8.55	0.01 W	0.00	79 of 92 - Sale <sup>25</sup>
	0.182	9.96	06/25/21	10.00	0.04 W	0.00	80 of 92 - Sale <sup>25</sup>
	0.182	9.97	06/25/21	10.00	0.03 W	0.00	81 of 92 - Sale <sup>25</sup>
	0.182	9.98	06/25/21	10.00	0.02 W	0.00	82 of 92 - Sale <sup>25</sup>
	0.182	9.98	06/25/21	10.00	0.02 W	0.00	83 of 92 - Sale <sup>25</sup>
	0.182	9.98	06/25/21	10.00	0.02 W	0.00	84 of 92 - Sale <sup>25</sup>
	0.182	9.99	06/25/21	10.00	0.01 W	0.00	85 of 92 - Sale <sup>25</sup>
	0.182	9.99	06/25/21	10.00	0.01 W	0.00	86 of 92 - Sale <sup>25</sup>
	0.182	9.99	06/25/21	10.00	0.01 W	0.00	87 of 92 - Sale <sup>25</sup>
	0.182	9.99	06/25/21	10.14	0.15 W	0.00	88 of 92 - Sale <sup>25</sup>
	0.182	9.99	06/25/21	10.00	0.01 W	0.00	89 of 92 - Sale <sup>25</sup>
	0.182	9.99	06/25/21	10.00	0.01 W	0.00	90 of 92 - Sale <sup>25</sup>
	0.177	9.69	06/28/21	10.00	0.31 W	0.00	91 of 92 - Sale <sup>25</sup>
	0.017	0.93	06/29/21	1.00	0.07 W	0.00	92 of 92 - Sale <sup>25</sup>
06/30/21	5.948	325.92	Various	348.05	22.13 W	0.00	Total of 92 transactions
	79 transactions for 08/05/21. Total proceeds and cost reported to the IRS.						
	0.016	0.46	06/30/21	0.95	0.49 W	0.00	1 of 79 - Sale <sup>25</sup>
	0.044	1.28	06/30/21	2.95	1.67 W	0.00	2 of 79 - Sale <sup>25</sup>
	0.066	1.91	06/30/21	4.41	2.50 W	0.00	3 of 79 - Sale <sup>25</sup>
	0.001	0.02	06/30/21	0.04	0.02 W	0.00	4 of 79 - Sale <sup>25</sup>
	0.001	0.03	06/30/21	0.08	0.05 W	0.00	5 of 79 - Sale <sup>25</sup>
	0.001	0.04	06/30/21	0.08	0.04 W	0.00	6 of 79 - Sale <sup>25</sup>
	0.005	0.15	06/30/21	0.34	0.19 W	0.00	7 of 79 - Sale <sup>25</sup>
	0.008	0.23	06/30/21	0.49	0.26 W	0.00	8 of 79 - Sale <sup>25</sup>
	0.008	0.24	06/30/21	0.49	0.25 W	0.00	9 of 79 - Sale <sup>25</sup>
	0.009	0.26	06/30/21	0.53	0.27 W	0.00	10 of 79 - Sale <sup>25</sup>
	0.009	0.27	06/30/21	0.63	0.36 W	0.00	11 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.012	0.35	06/30/21	0.75	0.40 W	0.00	12 of 79 - Sale <sup>25</sup>
	0.014	0.40	06/30/21	0.92	0.52 W	0.00	13 of 79 - Sale <sup>25</sup>
	0.016	0.45	06/30/21	0.93	0.48 W	0.00	14 of 79 - Sale <sup>25</sup>
	0.016	0.46	06/30/21	1.12	0.66 W	0.00	15 of 79 - Sale <sup>25</sup>
	0.016	0.46	06/30/21	0.94	0.48 W	0.00	16 of 79 - Sale <sup>25</sup>
	0.016	0.46	06/30/21	1.11	0.65 W	0.00	17 of 79 - Sale <sup>25</sup>
	0.016	0.46	06/30/21	1.11	0.65 W	0.00	18 of 79 - Sale <sup>25</sup>
	0.016	0.46	06/30/21	1.07	0.61 W	0.00	19 of 79 - Sale <sup>25</sup>
	0.016	0.47	06/30/21	1.08	0.61 W	0.00	20 of 79 - Sale <sup>25</sup>
	0.016	0.47	06/30/21	1.08	0.61 W	0.00	21 of 79 - Sale <sup>25</sup>
	0.016	0.47	06/30/21	1.03	0.56 W	0.00	22 of 79 - Sale <sup>25</sup>
	0.016	0.47	06/30/21	1.03	0.56 W	0.00	23 of 79 - Sale <sup>25</sup>
	0.017	0.48	06/30/21	1.03	0.55 W	0.00	24 of 79 - Sale <sup>25</sup>
	0.017	0.48	06/30/21	1.02	0.54 W	0.00	25 of 79 - Sale <sup>25</sup>
	0.017	0.48	06/30/21	1.01	0.53 W	0.00	26 of 79 - Sale <sup>25</sup>
	0.017	0.48	06/30/21	1.11	0.63 W	0.00	27 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.12	0.63 W	0.00	28 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.11	0.62 W	0.00	29 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.01	0.52 W	0.00	30 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.01	0.52 W	0.00	31 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.02	0.53 W	0.00	32 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.00	0.51 W	0.00	33 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.00	0.51 W	0.00	34 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.01	0.52 W	0.00	35 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.02	0.53 W	0.00	36 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.02	0.53 W	0.00	37 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.02	0.53 W	0.00	38 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.01	0.52 W	0.00	39 of 79 - Sale <sup>25</sup>
	0.017	0.49	06/30/21	1.03	0.54 W	0.00	40 of 79 - Sale <sup>25</sup>
	0.017	0.50	06/30/21	1.01	0.51 W	0.00	41 of 79 - Sale <sup>25</sup>
	0.017	0.50	06/30/21	1.02	0.52 W	0.00	42 of 79 - Sale <sup>25</sup>
	0.017	0.51	06/30/21	1.15	0.64 W	0.00	43 of 79 - Sale <sup>25</sup>
	0.018	0.51	06/30/21	1.19	0.68 W	0.00	44 of 79 - Sale <sup>25</sup>
	0.018	0.52	06/30/21	1.02	0.50 W	0.00	45 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.018		0.52	06/30/21	1.19	0.67 W	0.00	46 of 79 - Sale <sup>25</sup>
0.027		0.79	06/30/21	1.62	0.83 W	0.00	47 of 79 - Sale <sup>25</sup>
0.028		0.80	06/30/21	1.86	1.06 W	0.00	48 of 79 - Sale <sup>25</sup>
0.029		0.86	06/30/21	1.76	0.90 W	0.00	49 of 79 - Sale <sup>25</sup>
0.032		0.92	06/30/21	1.90	0.98 W	0.00	50 of 79 - Sale <sup>25</sup>
0.034		0.98	06/30/21	2.01	1.03 W	0.00	51 of 79 - Sale <sup>25</sup>
0.045		1.32	06/30/21	2.69	1.37 W	0.00	52 of 79 - Sale <sup>25</sup>
0.045		1.32	06/30/21	3.22	1.90 W	0.00	53 of 79 - Sale <sup>25</sup>
0.052		1.51	06/30/21	3.66	2.15 W	0.00	54 of 79 - Sale <sup>25</sup>
0.060		1.75	06/30/21	3.31	1.56 W	0.00	55 of 79 - Sale <sup>25</sup>
0.061		1.76	06/30/21	4.10	2.34 W	0.00	56 of 79 - Sale <sup>25</sup>
0.073		2.11	06/30/21	4.33	2.22 W	0.00	57 of 79 - Sale <sup>25</sup>
0.082		2.39	06/30/21	4.54	2.15 W	0.00	58 of 79 - Sale <sup>25</sup>
0.083		2.40	06/30/21	5.29	2.89 W	0.00	59 of 79 - Sale <sup>25</sup>
0.084		2.43	06/30/21	4.84	2.41 W	0.00	60 of 79 - Sale <sup>25</sup>
0.085		2.47	06/30/21	5.57	3.10 W	0.00	61 of 79 - Sale <sup>25</sup>
0.085		2.48	06/30/21	5.95	3.47 W	0.00	62 of 79 - Sale <sup>25</sup>
0.086		2.49	06/30/21	5.00	2.51 W	0.00	63 of 79 - Sale <sup>25</sup>
0.087		2.51	06/30/21	5.55	3.04 W	0.00	64 of 79 - Sale <sup>25</sup>
0.088		2.55	06/30/21	5.11	2.56 W	0.00	65 of 79 - Sale <sup>25</sup>
0.089		2.58	06/30/21	5.97	3.39 W	0.00	66 of 79 - Sale <sup>25</sup>
0.095		2.76	06/30/21	5.64	2.88 W	0.00	67 of 79 - Sale <sup>25</sup>
0.095		2.76	06/30/21	5.65	2.89 W	0.00	68 of 79 - Sale <sup>25</sup>
0.099		2.88	06/30/21	5.53	2.65 W	0.00	69 of 79 - Sale <sup>25</sup>
0.106		3.09	06/30/21	7.50	4.41 W	0.00	70 of 79 - Sale <sup>25</sup>
0.176		5.10	06/30/21	10.16	5.06 W	0.00	71 of 79 - Sale <sup>25</sup>
0.176		5.11	06/30/21	10.16	5.05 W	0.00	72 of 79 - Sale <sup>25</sup>
0.176		5.11	06/30/21	10.16	5.05 W	0.00	73 of 79 - Sale <sup>25</sup>
0.176		5.11	06/30/21	10.16	5.05 W	0.00	74 of 79 - Sale <sup>25</sup>
0.176		5.11	06/30/21	10.16	5.05 W	0.00	75 of 79 - Sale <sup>25</sup>
0.182		5.28	06/30/21	10.03	4.75 W	0.00	76 of 79 - Sale <sup>25</sup>
0.182		5.28	06/30/21	10.03	4.75 W	0.00	77 of 79 - Sale <sup>25</sup>
0.182		5.29	06/30/21	10.04	4.75 W	0.00	78 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.182	5.29	06/30/21	10.17	4.88 W	0.00	79 of 79 - Sale <sup>25</sup>
08/05/21	4.000	116.21	Various	240.96	124.75 W	0.00	Total of 79 transactions
	24 transactions for 08/24/21. Total proceeds and cost reported to the IRS.						
	0.017	0.75	06/30/21	1.00	0.25 W	0.00	1 of 24 - Sale <sup>25</sup>
	0.063	2.72	06/30/21	3.44	0.72 W	0.00	2 of 24 - Sale <sup>25</sup>
	0.066	2.92	06/30/21	3.64	0.72 W	0.00	3 of 24 - Sale <sup>25</sup>
	0.084	3.76	06/30/21	4.65	0.89 W	0.00	4 of 24 - Sale <sup>25</sup>
	0.085	3.80	06/30/21	4.68	0.88 W	0.00	5 of 24 - Sale <sup>25</sup>
	0.091	4.06	06/30/21	5.01	0.95 W	0.00	6 of 24 - Sale <sup>25</sup>
	0.097	4.19	06/30/21	5.32	1.13 W	0.00	7 of 24 - Sale <sup>25</sup>
	0.096	4.26	06/30/21	5.27	1.01 W	0.00	8 of 24 - Sale <sup>25</sup>
	0.098	4.33	06/30/21	5.39	1.06 W	0.00	9 of 24 - Sale <sup>25</sup>
	0.112	4.87	06/30/21	6.18	1.31 W	0.00	10 of 24 - Sale <sup>25</sup>
	0.116	5.13	06/30/21	6.39	1.26 W	0.00	11 of 24 - Sale <sup>25</sup>
	0.162	7.15	06/30/21	8.89	1.74 W	0.00	12 of 24 - Sale <sup>25</sup>
	0.177	7.80	06/30/21	10.03	2.23 W	0.00	13 of 24 - Sale <sup>25</sup>
	0.182	7.88	06/30/21	10.00	2.12 W	0.00	14 of 24 - Sale <sup>25</sup>
	0.182	7.89	06/30/21	10.00	2.11 W	0.00	15 of 24 - Sale <sup>25</sup>
	0.182	7.89	06/30/21	10.00	2.11 W	0.00	16 of 24 - Sale <sup>25</sup>
	0.182	7.91	06/30/21	10.00	2.09 W	0.00	17 of 24 - Sale <sup>25</sup>
	0.182	8.04	06/30/21	10.03	1.99 W	0.00	18 of 24 - Sale <sup>25</sup>
	0.182	8.05	06/30/21	10.03	1.98 W	0.00	19 of 24 - Sale <sup>25</sup>
	0.182	8.12	06/30/21	10.03	1.91 W	0.00	20 of 24 - Sale <sup>25</sup>
	0.182	8.12	06/30/21	10.03	1.91 W	0.00	21 of 24 - Sale <sup>25</sup>
	0.182	8.13	06/30/21	10.03	1.90 W	0.00	22 of 24 - Sale <sup>25</sup>
	0.182	8.13	06/30/21	10.04	1.91 W	0.00	23 of 24 - Sale <sup>25</sup>
	4.915	219.70	06/30/21	270.21	50.51 W	0.00	24 of 24 - Sale <sup>25</sup>
08/24/21	8.000	355.60	Various	440.29	84.69 W	0.00	Total of 24 transactions
	32 transactions for 08/30/21. Total proceeds and cost reported to the IRS.						
	0.038	1.63	08/05/21	1.62	...	0.01	1 of 32 - Sale <sup>25</sup>
	0.026	1.15	08/05/21	1.12	...	0.03	2 of 32 - Sale <sup>25</sup>
	0.058	2.52	08/05/21	2.49	...	0.03	3 of 32 - Sale <sup>25</sup>
	0.069	3.00	08/05/21	2.97	...	0.03	4 of 32 - Sale <sup>25</sup>
	0.091	3.94	08/05/21	3.90	...	0.04	5 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.093	4.03	08/05/21	3.99	...	0.04	6 of 32 - Sale <sup>25</sup>
	0.089	3.86	08/05/21	3.81	...	0.05	7 of 32 - Sale <sup>25</sup>
	0.124	5.38	08/05/21	5.33	...	0.05	8 of 32 - Sale <sup>25</sup>
	0.182	7.89	08/05/21	7.79	...	0.10	9 of 32 - Sale <sup>25</sup>
	0.182	7.90	08/05/21	7.80	...	0.10	10 of 32 - Sale <sup>25</sup>
	0.005	0.22	08/06/21	0.22	...	0.00	11 of 32 - Sale <sup>25</sup>
	0.007	0.29	08/06/21	0.28	...	0.01	12 of 32 - Sale <sup>25</sup>
	0.011	0.48	08/06/21	0.47	...	0.01	13 of 32 - Sale <sup>25</sup>
	0.011	0.49	08/06/21	0.48	...	0.01	14 of 32 - Sale <sup>25</sup>
	0.015	0.66	08/06/21	0.65	...	0.01	15 of 32 - Sale <sup>25</sup>
	0.031	1.36	08/06/21	1.33	...	0.03	16 of 32 - Sale <sup>25</sup>
	0.031	1.37	08/06/21	1.34	...	0.03	17 of 32 - Sale <sup>25</sup>
	0.031	1.37	08/06/21	1.34	...	0.03	18 of 32 - Sale <sup>25</sup>
	0.025	1.08	08/06/21	1.04	...	0.04	19 of 32 - Sale <sup>25</sup>
	0.044	1.89	08/06/21	1.85	...	0.04	20 of 32 - Sale <sup>25</sup>
	0.066	2.86	08/06/21	2.82	...	0.04	21 of 32 - Sale <sup>25</sup>
	0.087	3.77	08/06/21	3.70	...	0.07	22 of 32 - Sale <sup>25</sup>
	0.063	2.74	08/06/21	2.64	...	0.10	23 of 32 - Sale <sup>25</sup>
	0.111	4.81	08/06/21	4.71	...	0.10	24 of 32 - Sale <sup>25</sup>
	0.139	6.01	08/06/21	5.90	...	0.11	25 of 32 - Sale <sup>25</sup>
	0.092	4.04	08/06/21	3.92	...	0.12	26 of 32 - Sale <sup>25</sup>
	0.171	7.41	08/06/21	7.28	...	0.13	27 of 32 - Sale <sup>25</sup>
	0.093	4.07	08/06/21	3.93	...	0.14	28 of 32 - Sale <sup>25</sup>
	0.124	5.45	08/09/21	5.40	...	0.05	29 of 32 - Sale <sup>25</sup>
	0.304	13.18	08/09/21	13.12	...	0.06	30 of 32 - Sale <sup>25</sup>
	0.304	13.20	08/09/21	13.13	...	0.07	31 of 32 - Sale <sup>25</sup>
	0.304	13.21	08/09/21	13.12	...	0.09	32 of 32 - Sale <sup>25</sup>
08/30/21	3.023	131.26	Various	129.49	...	1.77	Total of 32 transactions
173 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	0.007	0.30	06/30/21	0.38	0.08 W	0.00	1 of 173 - Sale <sup>25</sup>
	0.178	7.70	06/30/21	10.00	2.30 W	0.00	2 of 173 - Sale <sup>25</sup>
	0.182	7.87	06/30/21	10.00	2.13 W	0.00	3 of 173 - Sale <sup>25</sup>
	0.001	0.05	07/09/21	0.10	0.05 W	0.00	4 of 173 - Sale <sup>25</sup>
	0.008	0.35	07/09/21	0.65	0.30 W	0.00	5 of 173 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.009	0.38	07/09/21	0.69	0.31 W	0.00	6 of 173 - Sale <sup>25</sup>
	0.015	0.67	07/09/21	1.24	0.57 W	0.00	7 of 173 - Sale <sup>25</sup>
	0.017	0.72	07/09/21	1.33	0.61 W	0.00	8 of 173 - Sale <sup>25</sup>
	0.017	0.73	07/09/21	1.32	0.59 W	0.00	9 of 173 - Sale <sup>25</sup>
	0.017	0.73	07/09/21	1.32	0.59 W	0.00	10 of 173 - Sale <sup>25</sup>
	0.017	0.73	07/09/21	1.33	0.60 W	0.00	11 of 173 - Sale <sup>25</sup>
	0.017	0.73	07/09/21	1.34	0.61 W	0.00	12 of 173 - Sale <sup>25</sup>
	0.017	0.73	07/09/21	1.34	0.61 W	0.00	13 of 173 - Sale <sup>25</sup>
	0.001	0.05	07/09/21	0.11	0.06 W	0.00	14 of 173 - Sale <sup>25</sup>
	0.001	0.06	07/09/21	0.11	0.05 W	0.00	15 of 173 - Sale <sup>25</sup>
	0.016	0.67	07/09/21	1.23	0.56 W	0.00	16 of 173 - Sale <sup>25</sup>
	0.017	0.73	07/09/21	1.34	0.61 W	0.00	17 of 173 - Sale <sup>25</sup>
	0.017	0.73	07/09/21	1.34	0.61 W	0.00	18 of 173 - Sale <sup>25</sup>
	0.017	0.74	07/09/21	1.37	0.63 W	0.00	19 of 173 - Sale <sup>25</sup>
	0.017	0.74	07/09/21	1.34	0.60 W	0.00	20 of 173 - Sale <sup>25</sup>
	0.017	0.74	07/09/21	1.35	0.61 W	0.00	21 of 173 - Sale <sup>25</sup>
	0.004	0.18	07/20/21	0.29	0.11 W	0.00	22 of 173 - Sale <sup>25</sup>
	0.005	0.22	07/20/21	0.36	0.14 W	0.00	23 of 173 - Sale <sup>25</sup>
	0.012	0.50	07/20/21	0.80	0.30 W	0.00	24 of 173 - Sale <sup>25</sup>
	0.016	0.67	07/20/21	1.05	0.38 W	0.00	25 of 173 - Sale <sup>25</sup>
	0.017	0.71	07/20/21	1.19	0.48 W	0.00	26 of 173 - Sale <sup>25</sup>
	0.007	0.31	07/21/21	0.52	0.21 W	0.00	27 of 173 - Sale <sup>25</sup>
	0.012	0.54	07/21/21	0.94	0.40 W	0.00	28 of 173 - Sale <sup>25</sup>
	0.014	0.59	07/21/21	0.97	0.38 W	0.00	29 of 173 - Sale <sup>25</sup>
	0.015	0.63	07/21/21	1.05	0.42 W	0.00	30 of 173 - Sale <sup>25</sup>
	0.016	0.70	07/21/21	1.26	0.56 W	0.00	31 of 173 - Sale <sup>25</sup>
	0.016	0.71	07/21/21	1.26	0.55 W	0.00	32 of 173 - Sale <sup>25</sup>
	0.018	0.77	07/21/21	1.26	0.49 W	0.00	33 of 173 - Sale <sup>25</sup>
	0.025	1.10	07/21/21	1.82	0.72 W	0.00	34 of 173 - Sale <sup>25</sup>
	0.071	3.07	07/21/21	5.06	1.99 W	0.00	35 of 173 - Sale <sup>25</sup>
	0.090	3.90	07/21/21	6.46	2.56 W	0.00	36 of 173 - Sale <sup>25</sup>
	0.143	6.19	07/21/21	10.24	4.05 W	0.00	37 of 173 - Sale <sup>25</sup>
	0.025	1.07	07/26/21	1.71	0.64 W	0.00	38 of 173 - Sale <sup>25</sup>
	0.016	0.69	07/27/21	1.09	0.40 W	0.00	39 of 173 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.001	0.02	07/27/21	0.04	0.02 W	0.00	40 of 173 - Sale <sup>25</sup>
	0.001	0.05	07/27/21	0.09	0.04 W	0.00	41 of 173 - Sale <sup>25</sup>
	0.004	0.18	07/27/21	0.27	0.09 W	0.00	42 of 173 - Sale <sup>25</sup>
	0.008	0.36	07/27/21	0.57	0.21 W	0.00	43 of 173 - Sale <sup>25</sup>
	0.016	0.68	07/27/21	1.08	0.40 W	0.00	44 of 173 - Sale <sup>25</sup>
	0.016	0.70	07/27/21	1.13	0.43 W	0.00	45 of 173 - Sale <sup>25</sup>
	0.018	0.77	07/27/21	1.32	0.55 W	0.00	46 of 173 - Sale <sup>25</sup>
	0.027	1.18	07/27/21	1.86	0.68 W	0.00	47 of 173 - Sale <sup>25</sup>
	0.029	1.28	07/27/21	2.02	0.74 W	0.00	48 of 173 - Sale <sup>25</sup>
	0.032	1.38	07/27/21	2.19	0.81 W	0.00	49 of 173 - Sale <sup>25</sup>
	0.034	1.46	07/27/21	2.31	0.85 W	0.00	50 of 173 - Sale <sup>25</sup>
	0.045	1.96	07/27/21	3.08	1.12 W	0.00	51 of 173 - Sale <sup>25</sup>
	0.052	2.26	07/27/21	3.58	1.32 W	0.00	52 of 173 - Sale <sup>25</sup>
	0.057	2.47	07/27/21	3.86	1.39 W	0.00	53 of 173 - Sale <sup>25</sup>
	0.084	3.62	07/27/21	5.58	1.96 W	0.00	54 of 173 - Sale <sup>25</sup>
	0.086	3.72	07/27/21	5.76	2.04 W	0.00	55 of 173 - Sale <sup>25</sup>
	0.088	3.81	07/27/21	5.89	2.08 W	0.00	56 of 173 - Sale <sup>25</sup>
	0.095	4.11	07/27/21	6.48	2.37 W	0.00	57 of 173 - Sale <sup>25</sup>
	0.095	4.12	07/27/21	6.49	2.37 W	0.00	58 of 173 - Sale <sup>25</sup>
	0.099	4.29	07/27/21	6.41	2.12 W	0.00	59 of 173 - Sale <sup>25</sup>
	0.119	5.14	07/27/21	8.05	2.91 W	0.00	60 of 173 - Sale <sup>25</sup>
	0.137	5.95	07/27/21	9.31	3.36 W	0.00	61 of 173 - Sale <sup>25</sup>
	0.176	7.61	07/27/21	11.88	4.27 W	0.00	62 of 173 - Sale <sup>25</sup>
	0.182	7.89	07/27/21	11.79	3.90 W	0.00	63 of 173 - Sale <sup>25</sup>
	0.004	0.19	07/30/21	0.33	0.14 W	0.00	64 of 173 - Sale <sup>25</sup>
	0.006	0.25	07/30/21	0.42	0.17 W	0.00	65 of 173 - Sale <sup>25</sup>
	0.013	0.56	07/30/21	0.97	0.41 W	0.00	66 of 173 - Sale <sup>25</sup>
	0.017	0.72	07/30/21	1.24	0.52 W	0.00	67 of 173 - Sale <sup>25</sup>
	0.067	2.91	07/30/21	4.96	2.05 W	0.00	68 of 173 - Sale <sup>25</sup>
	0.005	0.23	08/04/21	0.36	0.13 W	0.00	69 of 173 - Sale <sup>25</sup>
	0.011	0.48	08/04/21	0.75	0.27 W	0.00	70 of 173 - Sale <sup>25</sup>
	0.017	0.72	08/04/21	1.14	0.42 W	0.00	71 of 173 - Sale <sup>25</sup>
	0.044	1.90	08/05/21	2.98	1.08 W	0.00	72 of 173 - Sale <sup>25</sup>
	0.066	2.85	08/05/21	4.46	1.61 W	0.00	73 of 173 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.002		0.07	08/05/21	0.12	0.05 W	0.00	74 of 173 - Sale <sup>25</sup>
0.005		0.22	08/05/21	0.34	0.12 W	0.00	75 of 173 - Sale <sup>25</sup>
0.006		0.26	08/05/21	0.42	0.16 W	0.00	76 of 173 - Sale <sup>25</sup>
0.009		0.40	08/05/21	0.64	0.24 W	0.00	77 of 173 - Sale <sup>25</sup>
0.010		0.43	08/05/21	0.71	0.28 W	0.00	78 of 173 - Sale <sup>25</sup>
0.010		0.43	08/05/21	0.71	0.28 W	0.00	79 of 173 - Sale <sup>25</sup>
0.011		0.47	08/05/21	0.74	0.27 W	0.00	80 of 173 - Sale <sup>25</sup>
0.012		0.52	08/05/21	0.76	0.24 W	0.00	81 of 173 - Sale <sup>25</sup>
0.014		0.59	08/05/21	0.93	0.34 W	0.00	82 of 173 - Sale <sup>25</sup>
0.014		0.61	08/05/21	1.01	0.40 W	0.00	83 of 173 - Sale <sup>25</sup>
0.016		0.69	08/05/21	1.14	0.45 W	0.00	84 of 173 - Sale <sup>25</sup>
0.016		0.69	08/05/21	1.09	0.40 W	0.00	85 of 173 - Sale <sup>25</sup>
0.016		0.70	08/05/21	1.09	0.39 W	0.00	86 of 173 - Sale <sup>25</sup>
0.018		0.77	08/05/21	1.21	0.44 W	0.00	87 of 173 - Sale <sup>25</sup>
0.018		0.77	08/05/21	1.20	0.43 W	0.00	88 of 173 - Sale <sup>25</sup>
0.019		0.82	08/05/21	1.37	0.55 W	0.00	89 of 173 - Sale <sup>25</sup>
0.023		0.98	08/05/21	1.64	0.66 W	0.00	90 of 173 - Sale <sup>25</sup>
0.026		1.12	08/05/21	1.46	0.34 W	0.00	91 of 173 - Sale <sup>25</sup>
0.026		1.14	08/05/21	1.90	0.76 W	0.00	92 of 173 - Sale <sup>25</sup>
0.028		1.19	08/05/21	1.88	0.69 W	0.00	93 of 173 - Sale <sup>25</sup>
0.029		1.27	08/05/21	2.11	0.84 W	0.00	94 of 173 - Sale <sup>25</sup>
0.031		1.34	08/05/21	2.23	0.89 W	0.00	95 of 173 - Sale <sup>25</sup>
0.033		1.42	08/05/21	2.36	0.94 W	0.00	96 of 173 - Sale <sup>25</sup>
0.033		1.42	08/05/21	2.36	0.94 W	0.00	97 of 173 - Sale <sup>25</sup>
0.034		1.49	08/05/21	1.98	0.49 W	0.00	98 of 173 - Sale <sup>25</sup>
0.060		2.59	08/05/21	3.34	0.75 W	0.00	99 of 173 - Sale <sup>25</sup>
0.061		2.63	08/05/21	4.14	1.51 W	0.00	100 of 173 - Sale <sup>25</sup>
0.082		3.57	08/05/21	4.60	1.03 W	0.00	101 of 173 - Sale <sup>25</sup>
0.083		3.58	08/05/21	5.35	1.77 W	0.00	102 of 173 - Sale <sup>25</sup>
0.085		3.70	08/05/21	6.01	2.31 W	0.00	103 of 173 - Sale <sup>25</sup>
0.087		3.75	08/05/21	5.62	1.87 W	0.00	104 of 173 - Sale <sup>25</sup>
0.089		3.86	08/05/21	6.04	2.18 W	0.00	105 of 173 - Sale <sup>25</sup>
0.122		5.28	08/05/21	6.88	1.60 W	0.00	106 of 173 - Sale <sup>25</sup>
0.182		7.88	08/05/21	10.16	2.28 W	0.00	107 of 173 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.182		7.89	08/05/21	10.27	2.38 W	0.00	108 of 173 - Sale <sup>25</sup>
0.017		0.74	08/06/21	0.79	0.05 W	0.00	109 of 173 - Sale <sup>25</sup>
0.048		2.11	08/06/21	2.14	0.03 W	0.00	110 of 173 - Sale <sup>25</sup>
0.128		5.62	08/06/21	5.69	0.07 W	0.00	111 of 173 - Sale <sup>25</sup>
0.005		0.21	08/09/21	0.22	0.01 W	0.00	112 of 173 - Sale <sup>25</sup>
0.025		1.08	08/09/21	1.09	0.01 W	0.00	113 of 173 - Sale <sup>25</sup>
0.044		1.90	08/09/21	1.91	0.01 W	0.00	114 of 173 - Sale <sup>25</sup>
0.083		3.61	08/09/21	3.65	0.04 W	0.00	115 of 173 - Sale <sup>25</sup>
0.114		4.99	08/09/21	5.04	0.05 W	0.00	116 of 173 - Sale <sup>25</sup>
0.147		6.43	08/09/21	6.51	0.08 W	0.00	117 of 173 - Sale <sup>25</sup>
0.182		7.96	08/09/21	8.00	0.04 W	0.00	118 of 173 - Sale <sup>25</sup>
0.257		11.14	08/09/21	11.18	0.04 W	0.00	119 of 173 - Sale <sup>25</sup>
0.886		38.63	08/09/21	38.93	0.30 W	0.00	120 of 173 - Sale <sup>25</sup>
1.000		43.68	08/09/21	43.97	0.29 W	0.00	121 of 173 - Sale <sup>25</sup>
1.000		43.68	08/09/21	43.97	0.29 W	0.00	122 of 173 - Sale <sup>25</sup>
0.041		1.79	08/10/21	1.83	0.04 W	0.00	123 of 173 - Sale <sup>25</sup>
0.028		1.21	08/16/21	1.32	0.11 W	0.00	124 of 173 - Sale <sup>25</sup>
0.041		1.76	08/16/21	1.83	0.07 W	0.00	125 of 173 - Sale <sup>25</sup>
0.056		2.42	08/16/21	2.65	0.23 W	0.00	126 of 173 - Sale <sup>25</sup>
0.084		3.63	08/16/21	3.97	0.34 W	0.00	127 of 173 - Sale <sup>25</sup>
0.026		1.14	08/17/21	1.28	0.14 W	0.00	128 of 173 - Sale <sup>25</sup>
0.070		3.05	08/17/21	3.30	0.25 W	0.00	129 of 173 - Sale <sup>25</sup>
0.072		3.10	08/17/21	3.35	0.25 W	0.00	130 of 173 - Sale <sup>25</sup>
0.078		3.40	08/17/21	3.91	0.51 W	0.00	131 of 173 - Sale <sup>25</sup>
0.111		4.79	08/17/21	5.35	0.56 W	0.00	132 of 173 - Sale <sup>25</sup>
0.032		1.38	08/19/21	1.47	0.09 W	0.00	133 of 173 - Sale <sup>25</sup>
0.031		1.37	08/20/21	1.40	0.03 W	0.00	134 of 173 - Sale <sup>25</sup>
0.009		0.38	08/24/21	0.69	0.31 W	0.00	135 of 173 - Sale <sup>25</sup>
0.017		0.73	08/24/21	1.34	0.61 W	0.00	136 of 173 - Sale <sup>25</sup>
0.017		0.73	08/24/21	1.34	0.61 W	0.00	137 of 173 - Sale <sup>25</sup>
0.001		0.05	08/24/21	0.10	0.05 W	0.00	138 of 173 - Sale <sup>25</sup>
0.001		0.05	08/24/21	0.11	0.06 W	0.00	139 of 173 - Sale <sup>25</sup>
0.001		0.06	08/24/21	0.11	0.05 W	0.00	140 of 173 - Sale <sup>25</sup>
0.004		0.15	08/24/21	0.28	0.13 W	0.00	141 of 173 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.004	0.18	08/24/21	0.29	0.11 W	0.00	142 of 173 - Sale <sup>25</sup>
	0.005	0.22	08/24/21	0.36	0.14 W	0.00	143 of 173 - Sale <sup>25</sup>
	0.006	0.27	08/24/21	0.42	0.15 W	0.00	144 of 173 - Sale <sup>25</sup>
	0.007	0.31	08/24/21	0.52	0.21 W	0.00	145 of 173 - Sale <sup>25</sup>
	0.008	0.35	08/24/21	0.65	0.30 W	0.00	146 of 173 - Sale <sup>25</sup>
	0.012	0.50	08/24/21	0.80	0.30 W	0.00	147 of 173 - Sale <sup>25</sup>
	0.012	0.52	08/24/21	0.95	0.43 W	0.00	148 of 173 - Sale <sup>25</sup>
	0.012	0.54	08/24/21	0.94	0.40 W	0.00	149 of 173 - Sale <sup>25</sup>
	0.014	0.59	08/24/21	0.97	0.38 W	0.00	150 of 173 - Sale <sup>25</sup>
	0.015	0.64	08/24/21	1.06	0.42 W	0.00	151 of 173 - Sale <sup>25</sup>
	0.015	0.67	08/24/21	1.24	0.57 W	0.00	152 of 173 - Sale <sup>25</sup>
	0.016	0.68	08/24/21	1.05	0.37 W	0.00	153 of 173 - Sale <sup>25</sup>
	0.016	0.71	08/24/21	1.27	0.56 W	0.00	154 of 173 - Sale <sup>25</sup>
	0.016	0.71	08/24/21	1.26	0.55 W	0.00	155 of 173 - Sale <sup>25</sup>
	0.017	0.72	08/24/21	1.19	0.47 W	0.00	156 of 173 - Sale <sup>25</sup>
	0.017	0.73	08/24/21	1.33	0.60 W	0.00	157 of 173 - Sale <sup>25</sup>
	0.017	0.73	08/24/21	1.34	0.61 W	0.00	158 of 173 - Sale <sup>25</sup>
	0.017	0.73	08/24/21	1.32	0.59 W	0.00	159 of 173 - Sale <sup>25</sup>
	0.017	0.73	08/24/21	1.32	0.59 W	0.00	160 of 173 - Sale <sup>25</sup>
	0.017	0.73	08/24/21	1.33	0.60 W	0.00	161 of 173 - Sale <sup>25</sup>
	0.017	0.73	08/24/21	1.34	0.61 W	0.00	162 of 173 - Sale <sup>25</sup>
	0.017	0.74	08/24/21	1.37	0.63 W	0.00	163 of 173 - Sale <sup>25</sup>
	0.017	0.74	08/24/21	1.34	0.60 W	0.00	164 of 173 - Sale <sup>25</sup>
	0.017	0.74	08/24/21	1.35	0.61 W	0.00	165 of 173 - Sale <sup>25</sup>
	0.018	0.77	08/24/21	1.26	0.49 W	0.00	166 of 173 - Sale <sup>25</sup>
	0.025	1.08	08/24/21	1.71	0.63 W	0.00	167 of 173 - Sale <sup>25</sup>
	0.025	1.10	08/24/21	1.82	0.72 W	0.00	168 of 173 - Sale <sup>25</sup>
	0.071	3.06	08/24/21	5.05	1.99 W	0.00	169 of 173 - Sale <sup>25</sup>
	0.090	3.91	08/24/21	6.47	2.56 W	0.00	170 of 173 - Sale <sup>25</sup>
	0.119	5.14	08/24/21	8.05	2.91 W	0.00	171 of 173 - Sale <sup>25</sup>
	0.137	5.95	08/24/21	9.30	3.35 W	0.00	172 of 173 - Sale <sup>25</sup>
	0.143	6.20	08/24/21	10.25	4.05 W	0.00	173 of 173 - Sale <sup>25</sup>
08/30/21	9.977	433.45	Various	566.66	133.21 W	0.00	Total of 173 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
16 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	0.016	0.72	08/24/21	1.09	0.37 W	0.00	1 of 16 - Sale <sup>25</sup>
	0.001	0.02	08/24/21	0.04	0.02 W	0.00	2 of 16 - Sale <sup>25</sup>
	0.004	0.18	08/24/21	0.27	0.09 W	0.00	3 of 16 - Sale <sup>25</sup>
	0.008	0.37	08/24/21	0.57	0.20 W	0.00	4 of 16 - Sale <sup>25</sup>
	0.015	0.69	08/24/21	1.05	0.36 W	0.00	5 of 16 - Sale <sup>25</sup>
	0.016	0.73	08/24/21	1.13	0.40 W	0.00	6 of 16 - Sale <sup>25</sup>
	0.027	1.23	08/24/21	1.86	0.63 W	0.00	7 of 16 - Sale <sup>25</sup>
	0.051	2.29	08/24/21	3.44	1.15 W	0.00	8 of 16 - Sale <sup>25</sup>
	0.052	2.35	08/24/21	3.58	1.23 W	0.00	9 of 16 - Sale <sup>25</sup>
	0.084	3.76	08/24/21	5.58	1.82 W	0.00	10 of 16 - Sale <sup>25</sup>
	0.086	3.87	08/24/21	5.76	1.89 W	0.00	11 of 16 - Sale <sup>25</sup>
	0.088	3.96	08/24/21	5.89	1.93 W	0.00	12 of 16 - Sale <sup>25</sup>
	0.095	4.26	08/24/21	6.48	2.22 W	0.00	13 of 16 - Sale <sup>25</sup>
	0.099	4.46	08/24/21	6.41	1.95 W	0.00	14 of 16 - Sale <sup>25</sup>
	0.176	7.91	08/24/21	11.88	3.97 W	0.00	15 of 16 - Sale <sup>25</sup>
	0.182	8.20	08/24/21	11.79	3.59 W	0.00	16 of 16 - Sale <sup>25</sup>
09/01/21	1.000	45.00	Various	66.82	21.82 W	0.00	Total of 16 transactions
10 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	0.015	0.67	08/24/21	0.67	...	0.00	1 of 10 - Sale <sup>25</sup>
	0.023	1.01	08/24/21	1.01	...	0.00	2 of 10 - Sale <sup>25</sup>
	0.023	1.01	08/24/21	1.01	...	0.00	3 of 10 - Sale <sup>25</sup>
	0.078	3.39	08/24/21	3.39	...	0.00	4 of 10 - Sale <sup>25</sup>
	0.023	1.02	08/24/21	1.01	...	0.01	5 of 10 - Sale <sup>25</sup>
	0.114	4.95	08/24/21	4.94	...	0.01	6 of 10 - Sale <sup>25</sup>
	0.260	11.25	08/24/21	11.24	...	0.01	7 of 10 - Sale <sup>25</sup>
	0.182	7.87	08/24/21	7.81	...	0.06	8 of 10 - Sale <sup>25</sup>
	0.740	32.05	08/24/21	31.97	...	0.08	9 of 10 - Sale <sup>25</sup>
	0.626	27.09	08/24/21	26.95	...	0.14	10 of 10 - Sale <sup>25</sup>
09/02/21	2.086	90.31	Various	90.00	...	0.31	Total of 10 transactions
67 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	0.044	1.90	08/24/21	2.98	1.08 W	0.00	1 of 67 - Sale <sup>25</sup>
	0.066	2.85	08/24/21	4.45	1.60 W	0.00	2 of 67 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.001		0.05	08/24/21	0.08	0.03 W	0.00	3 of 67 - Sale <sup>25</sup>
0.001		0.05	08/24/21	0.09	0.04 W	0.00	4 of 67 - Sale <sup>25</sup>
0.002		0.07	08/24/21	0.12	0.05 W	0.00	5 of 67 - Sale <sup>25</sup>
0.002		0.11	08/24/21	0.18	0.07 W	0.00	6 of 67 - Sale <sup>25</sup>
0.004		0.19	08/24/21	0.33	0.14 W	0.00	7 of 67 - Sale <sup>25</sup>
0.005		0.22	08/24/21	0.34	0.12 W	0.00	8 of 67 - Sale <sup>25</sup>
0.005		0.23	08/24/21	0.36	0.13 W	0.00	9 of 67 - Sale <sup>25</sup>
0.006		0.25	08/24/21	0.42	0.17 W	0.00	10 of 67 - Sale <sup>25</sup>
0.006		0.26	08/24/21	0.42	0.16 W	0.00	11 of 67 - Sale <sup>25</sup>
0.007		0.29	08/24/21	0.37	0.08 W	0.00	12 of 67 - Sale <sup>25</sup>
0.009		0.40	08/24/21	0.64	0.24 W	0.00	13 of 67 - Sale <sup>25</sup>
0.010		0.43	08/24/21	0.71	0.28 W	0.00	14 of 67 - Sale <sup>25</sup>
0.010		0.43	08/24/21	0.71	0.28 W	0.00	15 of 67 - Sale <sup>25</sup>
0.011		0.47	08/24/21	0.74	0.27 W	0.00	16 of 67 - Sale <sup>25</sup>
0.011		0.48	08/24/21	0.75	0.27 W	0.00	17 of 67 - Sale <sup>25</sup>
0.012		0.52	08/24/21	0.76	0.24 W	0.00	18 of 67 - Sale <sup>25</sup>
0.013		0.56	08/24/21	0.97	0.41 W	0.00	19 of 67 - Sale <sup>25</sup>
0.014		0.59	08/24/21	0.93	0.34 W	0.00	20 of 67 - Sale <sup>25</sup>
0.014		0.60	08/24/21	0.76	0.16 W	0.00	21 of 67 - Sale <sup>25</sup>
0.014		0.61	08/24/21	1.01	0.40 W	0.00	22 of 67 - Sale <sup>25</sup>
0.016		0.68	08/24/21	1.08	0.40 W	0.00	23 of 67 - Sale <sup>25</sup>
0.016		0.69	08/24/21	1.14	0.45 W	0.00	24 of 67 - Sale <sup>25</sup>
0.016		0.69	08/24/21	1.09	0.40 W	0.00	25 of 67 - Sale <sup>25</sup>
0.016		0.70	08/24/21	1.09	0.39 W	0.00	26 of 67 - Sale <sup>25</sup>
0.017		0.72	08/24/21	1.14	0.42 W	0.00	27 of 67 - Sale <sup>25</sup>
0.017		0.72	08/24/21	1.14	0.42 W	0.00	28 of 67 - Sale <sup>25</sup>
0.017		0.72	08/24/21	1.24	0.52 W	0.00	29 of 67 - Sale <sup>25</sup>
0.017		0.74	08/24/21	0.78	0.04 W	0.00	30 of 67 - Sale <sup>25</sup>
0.018		0.77	08/24/21	1.20	0.43 W	0.00	31 of 67 - Sale <sup>25</sup>
0.018		0.77	08/24/21	1.32	0.55 W	0.00	32 of 67 - Sale <sup>25</sup>
0.018		0.77	08/24/21	1.20	0.43 W	0.00	33 of 67 - Sale <sup>25</sup>
0.019		0.82	08/24/21	1.37	0.55 W	0.00	34 of 67 - Sale <sup>25</sup>
0.023		0.98	08/24/21	1.64	0.66 W	0.00	35 of 67 - Sale <sup>25</sup>
0.026		1.12	08/24/21	1.46	0.34 W	0.00	36 of 67 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.026	1.14	08/24/21	1.90	0.76 W	0.00	37 of 67 - Sale <sup>25</sup>
	0.028	1.19	08/24/21	1.88	0.69 W	0.00	38 of 67 - Sale <sup>25</sup>
	0.029	1.27	08/24/21	2.11	0.84 W	0.00	39 of 67 - Sale <sup>25</sup>
	0.029	1.27	08/24/21	2.01	0.74 W	0.00	40 of 67 - Sale <sup>25</sup>
	0.031	1.34	08/24/21	2.23	0.89 W	0.00	41 of 67 - Sale <sup>25</sup>
	0.033	1.42	08/24/21	2.35	0.93 W	0.00	42 of 67 - Sale <sup>25</sup>
	0.033	1.42	08/24/21	2.36	0.94 W	0.00	43 of 67 - Sale <sup>25</sup>
	0.034	1.46	08/24/21	2.31	0.85 W	0.00	44 of 67 - Sale <sup>25</sup>
	0.034	1.49	08/24/21	1.97	0.48 W	0.00	45 of 67 - Sale <sup>25</sup>
	0.037	1.61	08/24/21	2.41	0.80 W	0.00	46 of 67 - Sale <sup>25</sup>
	0.045	1.96	08/24/21	3.08	1.12 W	0.00	47 of 67 - Sale <sup>25</sup>
	0.048	2.09	08/24/21	2.11	0.02 W	0.00	48 of 67 - Sale <sup>25</sup>
	0.049	2.14	08/24/21	3.20	1.06 W	0.00	49 of 67 - Sale <sup>25</sup>
	0.060	2.59	08/24/21	3.33	0.74 W	0.00	50 of 67 - Sale <sup>25</sup>
	0.061	2.63	08/24/21	4.13	1.50 W	0.00	51 of 67 - Sale <sup>25</sup>
	0.063	2.72	08/24/21	3.44	0.72 W	0.00	52 of 67 - Sale <sup>25</sup>
	0.065	2.80	08/24/21	4.78	1.98 W	0.00	53 of 67 - Sale <sup>25</sup>
	0.069	2.97	08/24/21	3.01	0.04 W	0.00	54 of 67 - Sale <sup>25</sup>
	0.082	3.57	08/24/21	4.58	1.01 W	0.00	55 of 67 - Sale <sup>25</sup>
	0.083	3.58	08/24/21	5.34	1.76 W	0.00	56 of 67 - Sale <sup>25</sup>
	0.085	3.70	08/24/21	6.00	2.30 W	0.00	57 of 67 - Sale <sup>25</sup>
	0.089	3.86	08/24/21	6.03	2.17 W	0.00	58 of 67 - Sale <sup>25</sup>
	0.094	4.07	08/24/21	6.41	2.34 W	0.00	59 of 67 - Sale <sup>25</sup>
	0.112	4.87	08/24/21	6.18	1.31 W	0.00	60 of 67 - Sale <sup>25</sup>
	0.122	5.28	08/24/21	6.86	1.58 W	0.00	61 of 67 - Sale <sup>25</sup>
	0.128	5.55	08/24/21	5.60	0.05 W	0.00	62 of 67 - Sale <sup>25</sup>
	0.178	7.70	08/24/21	10.00	2.30 W	0.00	63 of 67 - Sale <sup>25</sup>
	0.182	7.88	08/24/21	10.13	2.25 W	0.00	64 of 67 - Sale <sup>25</sup>
	0.182	7.88	08/24/21	10.01	2.13 W	0.00	65 of 67 - Sale <sup>25</sup>
	0.182	7.89	08/24/21	10.24	2.35 W	0.00	66 of 67 - Sale <sup>25</sup>
	0.182	7.90	08/24/21	9.99	2.09 W	0.00	67 of 67 - Sale <sup>25</sup>
09/02/21	2.995	129.69	Various	180.99	51.30 W	0.00	Total of 67 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
33 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
0.008		0.34	08/24/21	0.34	...	0.00	1 of 33 - Sale <sup>25</sup>
0.023		1.02	08/24/21	1.01	...	0.01	2 of 33 - Sale <sup>25</sup>
0.023		1.02	08/24/21	1.01	...	0.01	3 of 33 - Sale <sup>25</sup>
0.028		1.22	08/24/21	1.21	...	0.01	4 of 33 - Sale <sup>25</sup>
0.092		4.04	08/24/21	4.00	...	0.04	5 of 33 - Sale <sup>25</sup>
0.384		16.86	08/24/21	16.72	...	0.14	6 of 33 - Sale <sup>25</sup>
0.494		21.71	08/24/21	21.55	...	0.16	7 of 33 - Sale <sup>25</sup>
0.005		0.22	08/26/21	0.22	...	0.00	8 of 33 - Sale <sup>25</sup>
0.023		1.01	08/26/21	1.01	...	0.00	9 of 33 - Sale <sup>25</sup>
0.023		1.01	08/26/21	1.01	...	0.00	10 of 33 - Sale <sup>25</sup>
0.023		1.02	08/26/21	1.01	...	0.01	11 of 33 - Sale <sup>25</sup>
0.024		1.04	08/26/21	1.03	...	0.01	12 of 33 - Sale <sup>25</sup>
0.054		2.38	08/26/21	2.35	...	0.03	13 of 33 - Sale <sup>25</sup>
0.014		0.62	08/26/21	0.58	...	0.04	14 of 33 - Sale <sup>25</sup>
0.084		3.68	08/26/21	3.44	...	0.24	15 of 33 - Sale <sup>25</sup>
0.049		2.16	08/27/21	2.01	...	0.15	16 of 33 - Sale <sup>25</sup>
0.048		2.12	08/30/21	2.11	...	0.01	17 of 33 - Sale <sup>25</sup>
0.069		3.02	08/30/21	3.01	...	0.01	18 of 33 - Sale <sup>25</sup>
0.044		1.92	08/30/21	1.90	...	0.02	19 of 33 - Sale <sup>25</sup>
0.046		2.04	08/30/21	2.00	...	0.04	20 of 33 - Sale <sup>25</sup>
0.128		5.63	08/30/21	5.59	...	0.04	21 of 33 - Sale <sup>25</sup>
0.024		1.06	08/30/21	1.01	...	0.05	22 of 33 - Sale <sup>25</sup>
0.048		2.10	08/30/21	2.00	...	0.10	23 of 33 - Sale <sup>25</sup>
0.160		7.02	08/30/21	6.89	...	0.13	24 of 33 - Sale <sup>25</sup>
0.186		8.16	08/30/21	8.00	...	0.16	25 of 33 - Sale <sup>25</sup>
0.186		8.17	08/30/21	8.00	...	0.17	26 of 33 - Sale <sup>25</sup>
0.636		27.91	08/30/21	27.42	...	0.49	27 of 33 - Sale <sup>25</sup>
1.000		43.91	08/30/21	43.11	...	0.80	28 of 33 - Sale <sup>25</sup>
1.285		56.40	08/30/21	55.46	...	0.94	29 of 33 - Sale <sup>25</sup>
4.000		175.63	08/30/21	172.58	...	3.05	30 of 33 - Sale <sup>25</sup>
0.831		36.47	09/01/21	36.00	...	0.47	31 of 33 - Sale <sup>25</sup>
0.097		4.26	09/02/21	4.18	...	0.08	32 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
09/15/21	4.997	219.42	09/02/21	215.88	...	3.54	33 of 33 - Sale <sup>25</sup>
	15.135	664.59	Various	653.64	...	10.95	Total of 33 transactions
140 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	0.005	0.23	08/26/21	0.41	0.18 W	0.00	1 of 140 - Sale <sup>25</sup>
	0.006	0.25	08/26/21	0.45	0.20 W	0.00	2 of 140 - Sale <sup>25</sup>
	0.001	0.02	08/30/21	0.04	0.02 W	0.00	3 of 140 - Sale <sup>25</sup>
	0.001	0.03	08/30/21	0.06	0.03 W	0.00	4 of 140 - Sale <sup>25</sup>
	0.001	0.05	08/30/21	0.08	0.03 W	0.00	5 of 140 - Sale <sup>25</sup>
	0.001	0.05	08/30/21	0.08	0.03 W	0.00	6 of 140 - Sale <sup>25</sup>
	0.001	0.05	08/30/21	0.10	0.05 W	0.00	7 of 140 - Sale <sup>25</sup>
	0.001	0.05	08/30/21	0.11	0.06 W	0.00	8 of 140 - Sale <sup>25</sup>
	0.001	0.05	08/30/21	0.09	0.04 W	0.00	9 of 140 - Sale <sup>25</sup>
	0.001	0.06	08/30/21	0.11	0.05 W	0.00	10 of 140 - Sale <sup>25</sup>
	0.002	0.07	08/30/21	0.12	0.05 W	0.00	11 of 140 - Sale <sup>25</sup>
	0.002	0.11	08/30/21	0.18	0.07 W	0.00	12 of 140 - Sale <sup>25</sup>
	0.004	0.16	08/30/21	0.28	0.12 W	0.00	13 of 140 - Sale <sup>25</sup>
	0.004	0.16	08/30/21	0.28	0.12 W	0.00	14 of 140 - Sale <sup>25</sup>
	0.004	0.18	08/30/21	0.19	0.01 W	0.00	15 of 140 - Sale <sup>25</sup>
	0.004	0.18	08/30/21	0.27	0.09 W	0.00	16 of 140 - Sale <sup>25</sup>
	0.004	0.18	08/30/21	0.29	0.11 W	0.00	17 of 140 - Sale <sup>25</sup>
	0.004	0.19	08/30/21	0.33	0.14 W	0.00	18 of 140 - Sale <sup>25</sup>
	0.005	0.22	08/30/21	0.36	0.14 W	0.00	19 of 140 - Sale <sup>25</sup>
	0.005	0.23	08/30/21	0.35	0.12 W	0.00	20 of 140 - Sale <sup>25</sup>
	0.005	0.23	08/30/21	0.36	0.13 W	0.00	21 of 140 - Sale <sup>25</sup>
	0.006	0.25	08/30/21	0.42	0.17 W	0.00	22 of 140 - Sale <sup>25</sup>
	0.006	0.25	08/30/21	0.37	0.12 W	0.00	23 of 140 - Sale <sup>25</sup>
	0.006	0.26	08/30/21	0.46	0.20 W	0.00	24 of 140 - Sale <sup>25</sup>
	0.006	0.26	08/30/21	0.42	0.16 W	0.00	25 of 140 - Sale <sup>25</sup>
	0.006	0.27	08/30/21	0.42	0.15 W	0.00	26 of 140 - Sale <sup>25</sup>
	0.007	0.29	08/30/21	0.37	0.08 W	0.00	27 of 140 - Sale <sup>25</sup>
	0.007	0.32	08/30/21	0.52	0.20 W	0.00	28 of 140 - Sale <sup>25</sup>
	0.008	0.35	08/30/21	0.65	0.30 W	0.00	29 of 140 - Sale <sup>25</sup>
	0.008	0.36	08/30/21	0.56	0.20 W	0.00	30 of 140 - Sale <sup>25</sup>
	0.009	0.39	08/30/21	0.69	0.30 W	0.00	31 of 140 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.009		0.41	08/30/21	0.64	0.23 W	0.00	32 of 140 - Sale <sup>25</sup>
0.010		0.43	08/30/21	0.71	0.28 W	0.00	33 of 140 - Sale <sup>25</sup>
0.010		0.44	08/30/21	0.71	0.27 W	0.00	34 of 140 - Sale <sup>25</sup>
0.011		0.48	08/30/21	0.74	0.26 W	0.00	35 of 140 - Sale <sup>25</sup>
0.011		0.48	08/30/21	0.75	0.27 W	0.00	36 of 140 - Sale <sup>25</sup>
0.011		0.49	08/30/21	0.87	0.38 W	0.00	37 of 140 - Sale <sup>25</sup>
0.012		0.51	08/30/21	0.80	0.29 W	0.00	38 of 140 - Sale <sup>25</sup>
0.012		0.53	08/30/21	0.76	0.23 W	0.00	39 of 140 - Sale <sup>25</sup>
0.012		0.55	08/30/21	0.94	0.39 W	0.00	40 of 140 - Sale <sup>25</sup>
0.013		0.56	08/30/21	1.00	0.44 W	0.00	41 of 140 - Sale <sup>25</sup>
0.013		0.57	08/30/21	0.97	0.40 W	0.00	42 of 140 - Sale <sup>25</sup>
0.014		0.60	08/30/21	0.64	0.04 W	0.00	43 of 140 - Sale <sup>25</sup>
0.014		0.60	08/30/21	0.93	0.33 W	0.00	44 of 140 - Sale <sup>25</sup>
0.014		0.60	08/30/21	0.97	0.37 W	0.00	45 of 140 - Sale <sup>25</sup>
0.014		0.61	08/30/21	0.76	0.15 W	0.00	46 of 140 - Sale <sup>25</sup>
0.014		0.61	08/30/21	1.01	0.40 W	0.00	47 of 140 - Sale <sup>25</sup>
0.015		0.65	08/30/21	1.06	0.41 W	0.00	48 of 140 - Sale <sup>25</sup>
0.015		0.67	08/30/21	1.02	0.35 W	0.00	49 of 140 - Sale <sup>25</sup>
0.015		0.68	08/30/21	1.24	0.56 W	0.00	50 of 140 - Sale <sup>25</sup>
0.016		0.68	08/30/21	1.04	0.36 W	0.00	51 of 140 - Sale <sup>25</sup>
0.016		0.69	08/30/21	1.08	0.39 W	0.00	52 of 140 - Sale <sup>25</sup>
0.016		0.70	08/30/21	1.14	0.44 W	0.00	53 of 140 - Sale <sup>25</sup>
0.016		0.70	08/30/21	1.06	0.36 W	0.00	54 of 140 - Sale <sup>25</sup>
0.016		0.70	08/30/21	1.09	0.39 W	0.00	55 of 140 - Sale <sup>25</sup>
0.016		0.71	08/30/21	1.09	0.38 W	0.00	56 of 140 - Sale <sup>25</sup>
0.016		0.71	08/30/21	1.10	0.39 W	0.00	57 of 140 - Sale <sup>25</sup>
0.016		0.72	08/30/21	1.26	0.54 W	0.00	58 of 140 - Sale <sup>25</sup>
0.016		0.72	08/30/21	1.26	0.54 W	0.00	59 of 140 - Sale <sup>25</sup>
0.017		0.72	08/30/21	1.18	0.46 W	0.00	60 of 140 - Sale <sup>25</sup>
0.017		0.73	08/30/21	1.14	0.41 W	0.00	61 of 140 - Sale <sup>25</sup>
0.017		0.73	08/30/21	1.14	0.41 W	0.00	62 of 140 - Sale <sup>25</sup>
0.017		0.73	08/30/21	1.24	0.51 W	0.00	63 of 140 - Sale <sup>25</sup>
0.017		0.73	08/30/21	1.32	0.59 W	0.00	64 of 140 - Sale <sup>25</sup>
0.017		0.74	08/30/21	1.34	0.60 W	0.00	65 of 140 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.017		0.74	08/30/21	1.32	0.58 W	0.00	66 of 140 - Sale <sup>25</sup>
0.017		0.74	08/30/21	1.32	0.58 W	0.00	67 of 140 - Sale <sup>25</sup>
0.017		0.74	08/30/21	1.33	0.59 W	0.00	68 of 140 - Sale <sup>25</sup>
0.017		0.74	08/30/21	1.34	0.60 W	0.00	69 of 140 - Sale <sup>25</sup>
0.017		0.74	08/30/21	1.34	0.60 W	0.00	70 of 140 - Sale <sup>25</sup>
0.017		0.74	08/30/21	1.34	0.60 W	0.00	71 of 140 - Sale <sup>25</sup>
0.017		0.75	08/30/21	0.77	0.02 W	0.00	72 of 140 - Sale <sup>25</sup>
0.017		0.75	08/30/21	1.31	0.56 W	0.00	73 of 140 - Sale <sup>25</sup>
0.018		0.78	08/30/21	1.20	0.42 W	0.00	74 of 140 - Sale <sup>25</sup>
0.018		0.78	08/30/21	1.26	0.48 W	0.00	75 of 140 - Sale <sup>25</sup>
0.018		0.78	08/30/21	1.32	0.54 W	0.00	76 of 140 - Sale <sup>25</sup>
0.018		0.78	08/30/21	1.20	0.42 W	0.00	77 of 140 - Sale <sup>25</sup>
0.019		0.83	08/30/21	1.37	0.54 W	0.00	78 of 140 - Sale <sup>25</sup>
0.023		1.00	08/30/21	1.64	0.64 W	0.00	79 of 140 - Sale <sup>25</sup>
0.025		1.09	08/30/21	1.70	0.61 W	0.00	80 of 140 - Sale <sup>25</sup>
0.025		1.12	08/30/21	1.82	0.70 W	0.00	81 of 140 - Sale <sup>25</sup>
0.026		1.14	08/30/21	1.46	0.32 W	0.00	82 of 140 - Sale <sup>25</sup>
0.026		1.16	08/30/21	1.28	0.12 W	0.00	83 of 140 - Sale <sup>25</sup>
0.026		1.16	08/30/21	1.90	0.74 W	0.00	84 of 140 - Sale <sup>25</sup>
0.027		1.20	08/30/21	1.81	0.61 W	0.00	85 of 140 - Sale <sup>25</sup>
0.028		1.21	08/30/21	1.88	0.67 W	0.00	86 of 140 - Sale <sup>25</sup>
0.028		1.23	08/30/21	1.32	0.09 W	0.00	87 of 140 - Sale <sup>25</sup>
0.029		1.29	08/30/21	2.11	0.82 W	0.00	88 of 140 - Sale <sup>25</sup>
0.029		1.29	08/30/21	2.02	0.73 W	0.00	89 of 140 - Sale <sup>25</sup>
0.031		1.36	08/30/21	2.23	0.87 W	0.00	90 of 140 - Sale <sup>25</sup>
0.031		1.38	08/30/21	2.04	0.66 W	0.00	91 of 140 - Sale <sup>25</sup>
0.031		1.38	08/30/21	1.39	0.01 W	0.00	92 of 140 - Sale <sup>25</sup>
0.032		1.39	08/30/21	1.46	0.07 W	0.00	93 of 140 - Sale <sup>25</sup>
0.033		1.44	08/30/21	2.35	0.91 W	0.00	94 of 140 - Sale <sup>25</sup>
0.033		1.44	08/30/21	2.36	0.92 W	0.00	95 of 140 - Sale <sup>25</sup>
0.034		1.48	08/30/21	2.31	0.83 W	0.00	96 of 140 - Sale <sup>25</sup>
0.034		1.51	08/30/21	1.96	0.45 W	0.00	97 of 140 - Sale <sup>25</sup>
0.037		1.63	08/30/21	2.42	0.79 W	0.00	98 of 140 - Sale <sup>25</sup>
0.041		1.78	08/30/21	1.83	0.05 W	0.00	99 of 140 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.041		1.81	08/30/21	1.82	0.01 W	0.00	100 of 140 - Sale <sup>25</sup>
0.044		1.93	08/30/21	2.98	1.05 W	0.00	101 of 140 - Sale <sup>25</sup>
0.045		1.99	08/30/21	3.08	1.09 W	0.00	102 of 140 - Sale <sup>25</sup>
0.046		2.03	08/30/21	3.02	0.99 W	0.00	103 of 140 - Sale <sup>25</sup>
0.049		2.17	08/30/21	3.19	1.02 W	0.00	104 of 140 - Sale <sup>25</sup>
0.051		2.23	08/30/21	3.35	1.12 W	0.00	105 of 140 - Sale <sup>25</sup>
0.051		2.25	08/30/21	2.41	0.16 W	0.00	106 of 140 - Sale <sup>25</sup>
0.052		2.29	08/30/21	3.49	1.20 W	0.00	107 of 140 - Sale <sup>25</sup>
0.056		2.45	08/30/21	2.64	0.19 W	0.00	108 of 140 - Sale <sup>25</sup>
0.060		2.63	08/30/21	3.32	0.69 W	0.00	109 of 140 - Sale <sup>25</sup>
0.061		2.66	08/30/21	4.12	1.46 W	0.00	110 of 140 - Sale <sup>25</sup>
0.063		2.75	08/30/21	3.44	0.69 W	0.00	111 of 140 - Sale <sup>25</sup>
0.065		2.84	08/30/21	4.78	1.94 W	0.00	112 of 140 - Sale <sup>25</sup>
0.066		2.89	08/30/21	4.45	1.56 W	0.00	113 of 140 - Sale <sup>25</sup>
0.071		3.10	08/30/21	5.05	1.95 W	0.00	114 of 140 - Sale <sup>25</sup>
0.072		3.14	08/30/21	3.34	0.20 W	0.00	115 of 140 - Sale <sup>25</sup>
0.078		3.44	08/30/21	3.90	0.46 W	0.00	116 of 140 - Sale <sup>25</sup>
0.082		3.62	08/30/21	4.57	0.95 W	0.00	117 of 140 - Sale <sup>25</sup>
0.083		3.63	08/30/21	5.34	1.71 W	0.00	118 of 140 - Sale <sup>25</sup>
0.084		3.68	08/30/21	3.96	0.28 W	0.00	119 of 140 - Sale <sup>25</sup>
0.085		3.75	08/30/21	6.00	2.25 W	0.00	120 of 140 - Sale <sup>25</sup>
0.086		3.77	08/30/21	5.61	1.84 W	0.00	121 of 140 - Sale <sup>25</sup>
0.088		3.86	08/30/21	5.74	1.88 W	0.00	122 of 140 - Sale <sup>25</sup>
0.089		3.91	08/30/21	6.03	2.12 W	0.00	123 of 140 - Sale <sup>25</sup>
0.090		3.96	08/30/21	6.46	2.50 W	0.00	124 of 140 - Sale <sup>25</sup>
0.094		4.13	08/30/21	6.41	2.28 W	0.00	125 of 140 - Sale <sup>25</sup>
0.095		4.16	08/30/21	6.33	2.17 W	0.00	126 of 140 - Sale <sup>25</sup>
0.099		4.35	08/30/21	6.24	1.89 W	0.00	127 of 140 - Sale <sup>25</sup>
0.111		4.85	08/30/21	5.34	0.49 W	0.00	128 of 140 - Sale <sup>25</sup>
0.112		4.94	08/30/21	6.17	1.23 W	0.00	129 of 140 - Sale <sup>25</sup>
0.119		5.21	08/30/21	8.04	2.83 W	0.00	130 of 140 - Sale <sup>25</sup>
0.122		5.35	08/30/21	6.84	1.49 W	0.00	131 of 140 - Sale <sup>25</sup>
0.137		6.03	08/30/21	9.29	3.26 W	0.00	132 of 140 - Sale <sup>25</sup>
0.143		6.28	08/30/21	10.24	3.96 W	0.00	133 of 140 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.176	7.72	08/30/21	11.57	3.85 W	0.00	134 of 140 - Sale <sup>25</sup>
	0.178	7.81	08/30/21	10.00	2.19 W	0.00	135 of 140 - Sale <sup>25</sup>
	0.182	7.99	08/30/21	10.10	2.11 W	0.00	136 of 140 - Sale <sup>25</sup>
	0.182	7.99	08/30/21	10.00	2.01 W	0.00	137 of 140 - Sale <sup>25</sup>
	0.182	8.00	08/30/21	10.22	2.22 W	0.00	138 of 140 - Sale <sup>25</sup>
	0.182	8.00	08/30/21	11.47	3.47 W	0.00	139 of 140 - Sale <sup>25</sup>
	0.182	8.01	08/30/21	9.98	1.97 W	0.00	140 of 140 - Sale <sup>25</sup>
09/15/21	5.423	238.10	Various	339.46	101.36 W	0.00	Total of 140 transactions
	163 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	0.001	0.02	09/21/21	0.04	0.02 W	0.00	1 of 163 - Sale <sup>25</sup>
	0.001	0.03	09/21/21	0.06	0.03 W	0.00	2 of 163 - Sale <sup>25</sup>
	0.001	0.04	09/21/21	0.07	0.03 W	0.00	3 of 163 - Sale <sup>25</sup>
	0.001	0.05	09/21/21	0.10	0.05 W	0.00	4 of 163 - Sale <sup>25</sup>
	0.001	0.05	09/21/21	0.11	0.06 W	0.00	5 of 163 - Sale <sup>25</sup>
	0.001	0.05	09/21/21	0.10	0.05 W	0.00	6 of 163 - Sale <sup>25</sup>
	0.004	0.14	09/21/21	0.26	0.12 W	0.00	7 of 163 - Sale <sup>25</sup>
	0.004	0.14	09/21/21	0.26	0.12 W	0.00	8 of 163 - Sale <sup>25</sup>
	0.004	0.16	09/21/21	0.17	0.01 W	0.00	9 of 163 - Sale <sup>25</sup>
	0.004	0.17	09/21/21	0.26	0.09 W	0.00	10 of 163 - Sale <sup>25</sup>
	0.004	0.17	09/21/21	0.28	0.11 W	0.00	11 of 163 - Sale <sup>25</sup>
	0.005	0.20	09/21/21	0.34	0.14 W	0.00	12 of 163 - Sale <sup>25</sup>
	0.005	0.21	09/21/21	0.39	0.18 W	0.00	13 of 163 - Sale <sup>25</sup>
	0.005	0.21	09/21/21	0.39	0.18 W	0.00	14 of 163 - Sale <sup>25</sup>
	0.005	0.21	09/21/21	0.33	0.12 W	0.00	15 of 163 - Sale <sup>25</sup>
	0.006	0.24	09/21/21	0.44	0.20 W	0.00	16 of 163 - Sale <sup>25</sup>
	0.006	0.25	09/21/21	0.40	0.15 W	0.00	17 of 163 - Sale <sup>25</sup>
	0.007	0.29	09/21/21	0.50	0.21 W	0.00	18 of 163 - Sale <sup>25</sup>
	0.008	0.32	09/21/21	0.63	0.31 W	0.00	19 of 163 - Sale <sup>25</sup>
	0.008	0.33	09/21/21	0.54	0.21 W	0.00	20 of 163 - Sale <sup>25</sup>
	0.009	0.36	09/21/21	0.66	0.30 W	0.00	21 of 163 - Sale <sup>25</sup>
	0.011	0.46	09/21/21	0.84	0.38 W	0.00	22 of 163 - Sale <sup>25</sup>
	0.012	0.47	09/21/21	0.76	0.29 W	0.00	23 of 163 - Sale <sup>25</sup>
	0.012	0.47	09/21/21	0.88	0.41 W	0.00	24 of 163 - Sale <sup>25</sup>
	0.012	0.50	09/21/21	0.89	0.39 W	0.00	25 of 163 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.013	0.52	09/21/21	0.96	0.44 W	0.00	26 of 163 - Sale <sup>25</sup>
	0.014	0.55	09/21/21	0.59	0.04 W	0.00	27 of 163 - Sale <sup>25</sup>
	0.014	0.55	09/21/21	0.93	0.38 W	0.00	28 of 163 - Sale <sup>25</sup>
	0.015	0.59	09/21/21	0.92	0.33 W	0.00	29 of 163 - Sale <sup>25</sup>
	0.015	0.59	09/21/21	1.01	0.42 W	0.00	30 of 163 - Sale <sup>25</sup>
	0.015	0.62	09/21/21	0.92	0.30 W	0.00	31 of 163 - Sale <sup>25</sup>
	0.015	0.62	09/21/21	1.19	0.57 W	0.00	32 of 163 - Sale <sup>25</sup>
	0.016	0.63	09/21/21	0.99	0.36 W	0.00	33 of 163 - Sale <sup>25</sup>
	0.016	0.65	09/21/21	1.05	0.40 W	0.00	34 of 163 - Sale <sup>25</sup>
	0.016	0.66	09/21/21	1.20	0.54 W	0.00	35 of 163 - Sale <sup>25</sup>
	0.016	0.66	09/21/21	1.20	0.54 W	0.00	36 of 163 - Sale <sup>25</sup>
	0.017	0.67	09/21/21	1.13	0.46 W	0.00	37 of 163 - Sale <sup>25</sup>
	0.017	0.67	09/21/21	1.05	0.38 W	0.00	38 of 163 - Sale <sup>25</sup>
	0.017	0.68	09/21/21	1.27	0.59 W	0.00	39 of 163 - Sale <sup>25</sup>
	0.017	0.68	09/21/21	1.28	0.60 W	0.00	40 of 163 - Sale <sup>25</sup>
	0.017	0.68	09/21/21	1.26	0.58 W	0.00	41 of 163 - Sale <sup>25</sup>
	0.017	0.68	09/21/21	1.26	0.58 W	0.00	42 of 163 - Sale <sup>25</sup>
	0.017	0.68	09/21/21	1.28	0.60 W	0.00	43 of 163 - Sale <sup>25</sup>
	0.017	0.68	09/21/21	1.28	0.60 W	0.00	44 of 163 - Sale <sup>25</sup>
	0.017	0.68	09/21/21	1.29	0.61 W	0.00	45 of 163 - Sale <sup>25</sup>
	0.017	0.69	09/21/21	1.25	0.56 W	0.00	46 of 163 - Sale <sup>25</sup>
	0.018	0.72	09/21/21	1.20	0.48 W	0.00	47 of 163 - Sale <sup>25</sup>
	0.025	1.00	09/21/21	1.62	0.62 W	0.00	48 of 163 - Sale <sup>25</sup>
	0.025	1.03	09/21/21	1.73	0.70 W	0.00	49 of 163 - Sale <sup>25</sup>
	0.026	1.04	09/21/21	1.55	0.51 W	0.00	50 of 163 - Sale <sup>25</sup>
	0.026	1.06	09/21/21	1.19	0.13 W	0.00	51 of 163 - Sale <sup>25</sup>
	0.027	1.10	09/21/21	1.72	0.62 W	0.00	52 of 163 - Sale <sup>25</sup>
	0.028	1.13	09/21/21	1.23	0.10 W	0.00	53 of 163 - Sale <sup>25</sup>
	0.031	1.27	09/21/21	1.29	0.02 W	0.00	54 of 163 - Sale <sup>25</sup>
	0.032	1.28	09/21/21	1.36	0.08 W	0.00	55 of 163 - Sale <sup>25</sup>
	0.032	1.29	09/21/21	1.40	0.11 W	0.00	56 of 163 - Sale <sup>25</sup>
	0.037	1.50	09/21/21	2.30	0.80 W	0.00	57 of 163 - Sale <sup>25</sup>
	0.041	1.64	09/21/21	1.70	0.06 W	0.00	58 of 163 - Sale <sup>25</sup>
	0.041	1.66	09/21/21	1.68	0.02 W	0.00	59 of 163 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.041		1.67	09/21/21	2.56	0.89 W	0.00	60 of 163 - Sale <sup>25</sup>
0.046		1.87	09/21/21	2.87	1.00 W	0.00	61 of 163 - Sale <sup>25</sup>
0.051		2.05	09/21/21	3.18	1.13 W	0.00	62 of 163 - Sale <sup>25</sup>
0.051		2.07	09/21/21	2.24	0.17 W	0.00	63 of 163 - Sale <sup>25</sup>
0.052		2.10	09/21/21	2.28	0.18 W	0.00	64 of 163 - Sale <sup>25</sup>
0.052		2.11	09/21/21	3.32	1.21 W	0.00	65 of 163 - Sale <sup>25</sup>
0.056		2.25	09/21/21	2.46	0.21 W	0.00	66 of 163 - Sale <sup>25</sup>
0.071		2.85	09/21/21	4.82	1.97 W	0.00	67 of 163 - Sale <sup>25</sup>
0.072		2.89	09/21/21	3.10	0.21 W	0.00	68 of 163 - Sale <sup>25</sup>
0.078		3.16	09/21/21	3.64	0.48 W	0.00	69 of 163 - Sale <sup>25</sup>
0.086		3.47	09/21/21	5.32	1.85 W	0.00	70 of 163 - Sale <sup>25</sup>
0.090		3.64	09/21/21	6.16	2.52 W	0.00	71 of 163 - Sale <sup>25</sup>
0.099		4.00	09/21/21	5.91	1.91 W	0.00	72 of 163 - Sale <sup>25</sup>
0.111		4.46	09/21/21	4.97	0.51 W	0.00	73 of 163 - Sale <sup>25</sup>
0.119		4.79	09/21/21	7.64	2.85 W	0.00	74 of 163 - Sale <sup>25</sup>
0.137		5.54	09/21/21	8.83	3.29 W	0.00	75 of 163 - Sale <sup>25</sup>
0.143		5.78	09/21/21	9.77	3.99 W	0.00	76 of 163 - Sale <sup>25</sup>
0.176		7.10	09/21/21	10.98	3.88 W	0.00	77 of 163 - Sale <sup>25</sup>
0.182		7.36	09/21/21	10.86	3.50 W	0.00	78 of 163 - Sale <sup>25</sup>
0.001		0.05	09/22/21	0.08	0.03 W	0.00	79 of 163 - Sale <sup>25</sup>
0.002		0.07	09/22/21	0.11	0.04 W	0.00	80 of 163 - Sale <sup>25</sup>
0.003		0.11	09/22/21	0.17	0.06 W	0.00	81 of 163 - Sale <sup>25</sup>
0.004		0.18	09/22/21	0.29	0.11 W	0.00	82 of 163 - Sale <sup>25</sup>
0.012		0.49	09/22/21	0.77	0.28 W	0.00	83 of 163 - Sale <sup>25</sup>
0.014		0.57	09/22/21	0.91	0.34 W	0.00	84 of 163 - Sale <sup>25</sup>
0.025		1.01	09/22/21	1.61	0.60 W	0.00	85 of 163 - Sale <sup>25</sup>
0.029		1.19	09/22/21	1.89	0.70 W	0.00	86 of 163 - Sale <sup>25</sup>
0.036		1.45	09/22/21	2.29	0.84 W	0.00	87 of 163 - Sale <sup>25</sup>
0.053		2.14	09/22/21	3.38	1.24 W	0.00	88 of 163 - Sale <sup>25</sup>
0.001		0.05	09/23/21	0.09	0.04 W	0.00	89 of 163 - Sale <sup>25</sup>
0.002		0.07	09/23/21	0.12	0.05 W	0.00	90 of 163 - Sale <sup>25</sup>
0.002		0.10	09/23/21	0.16	0.06 W	0.00	91 of 163 - Sale <sup>25</sup>
0.002		0.10	09/23/21	0.17	0.07 W	0.00	92 of 163 - Sale <sup>25</sup>
0.002		0.10	09/23/21	0.16	0.06 W	0.00	93 of 163 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.004	0.18	09/23/21	0.32	0.14 W	0.00	94 of 163 - Sale <sup>25</sup>
	0.005	0.21	09/23/21	0.33	0.12 W	0.00	95 of 163 - Sale <sup>25</sup>
	0.005	0.21	09/23/21	0.34	0.13 W	0.00	96 of 163 - Sale <sup>25</sup>
	0.006	0.23	09/23/21	0.40	0.17 W	0.00	97 of 163 - Sale <sup>25</sup>
	0.006	0.23	09/23/21	0.35	0.12 W	0.00	98 of 163 - Sale <sup>25</sup>
	0.006	0.24	09/23/21	0.40	0.16 W	0.00	99 of 163 - Sale <sup>25</sup>
	0.007	0.27	09/23/21	0.35	0.08 W	0.00	100 of 163 - Sale <sup>25</sup>
	0.007	0.30	09/23/21	0.46	0.16 W	0.00	101 of 163 - Sale <sup>25</sup>
	0.009	0.35	09/23/21	0.56	0.21 W	0.00	102 of 163 - Sale <sup>25</sup>
	0.009	0.35	09/23/21	0.54	0.19 W	0.00	103 of 163 - Sale <sup>25</sup>
	0.009	0.38	09/23/21	0.61	0.23 W	0.00	104 of 163 - Sale <sup>25</sup>
	0.009	0.38	09/23/21	0.61	0.23 W	0.00	105 of 163 - Sale <sup>25</sup>
	0.010	0.40	09/23/21	0.68	0.28 W	0.00	106 of 163 - Sale <sup>25</sup>
	0.010	0.40	09/23/21	0.67	0.27 W	0.00	107 of 163 - Sale <sup>25</sup>
	0.011	0.44	09/23/21	0.70	0.26 W	0.00	108 of 163 - Sale <sup>25</sup>
	0.012	0.49	09/23/21	0.72	0.23 W	0.00	109 of 163 - Sale <sup>25</sup>
	0.013	0.51	09/23/21	0.65	0.14 W	0.00	110 of 163 - Sale <sup>25</sup>
	0.013	0.53	09/23/21	0.93	0.40 W	0.00	111 of 163 - Sale <sup>25</sup>
	0.013	0.54	09/23/21	0.86	0.32 W	0.00	112 of 163 - Sale <sup>25</sup>
	0.014	0.55	09/23/21	0.88	0.33 W	0.00	113 of 163 - Sale <sup>25</sup>
	0.014	0.56	09/23/21	0.96	0.40 W	0.00	114 of 163 - Sale <sup>25</sup>
	0.015	0.62	09/23/21	0.97	0.35 W	0.00	115 of 163 - Sale <sup>25</sup>
	0.016	0.64	09/23/21	1.03	0.39 W	0.00	116 of 163 - Sale <sup>25</sup>
	0.016	0.64	09/23/21	1.08	0.44 W	0.00	117 of 163 - Sale <sup>25</sup>
	0.016	0.65	09/23/21	1.04	0.39 W	0.00	118 of 163 - Sale <sup>25</sup>
	0.017	0.67	09/23/21	1.09	0.42 W	0.00	119 of 163 - Sale <sup>25</sup>
	0.017	0.68	09/23/21	1.18	0.50 W	0.00	120 of 163 - Sale <sup>25</sup>
	0.018	0.71	09/23/21	1.14	0.43 W	0.00	121 of 163 - Sale <sup>25</sup>
	0.018	0.72	09/23/21	1.26	0.54 W	0.00	122 of 163 - Sale <sup>25</sup>
	0.018	0.72	09/23/21	1.14	0.42 W	0.00	123 of 163 - Sale <sup>25</sup>
	0.019	0.77	09/23/21	1.31	0.54 W	0.00	124 of 163 - Sale <sup>25</sup>
	0.023	0.92	09/23/21	1.56	0.64 W	0.00	125 of 163 - Sale <sup>25</sup>
	0.026	1.07	09/23/21	1.81	0.74 W	0.00	126 of 163 - Sale <sup>25</sup>
	0.028	1.11	09/23/21	1.78	0.67 W	0.00	127 of 163 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.028	1.14	09/23/21	1.81	0.67 W	0.00	128 of 163 - Sale <sup>25</sup>
	0.029	1.18	09/23/21	2.01	0.83 W	0.00	129 of 163 - Sale <sup>25</sup>
	0.031	1.25	09/23/21	2.12	0.87 W	0.00	130 of 163 - Sale <sup>25</sup>
	0.033	1.32	09/23/21	2.24	0.92 W	0.00	131 of 163 - Sale <sup>25</sup>
	0.033	1.32	09/23/21	2.24	0.92 W	0.00	132 of 163 - Sale <sup>25</sup>
	0.044	1.77	09/23/21	2.82	1.05 W	0.00	133 of 163 - Sale <sup>25</sup>
	0.060	2.42	09/23/21	3.05	0.63 W	0.00	134 of 163 - Sale <sup>25</sup>
	0.061	2.45	09/23/21	3.91	1.46 W	0.00	135 of 163 - Sale <sup>25</sup>
	0.061	2.45	09/23/21	3.90	1.45 W	0.00	136 of 163 - Sale <sup>25</sup>
	0.065	2.61	09/23/21	4.56	1.95 W	0.00	137 of 163 - Sale <sup>25</sup>
	0.066	2.66	09/23/21	4.22	1.56 W	0.00	138 of 163 - Sale <sup>25</sup>
	0.083	3.33	09/23/21	5.04	1.71 W	0.00	139 of 163 - Sale <sup>25</sup>
	0.085	3.44	09/23/21	5.70	2.26 W	0.00	140 of 163 - Sale <sup>25</sup>
	0.095	3.83	09/23/21	6.00	2.17 W	0.00	141 of 163 - Sale <sup>25</sup>
	0.122	4.92	09/23/21	6.28	1.36 W	0.00	142 of 163 - Sale <sup>25</sup>
	0.178	7.18	09/23/21	9.29	2.11 W	0.00	143 of 163 - Sale <sup>25</sup>
	0.001	0.04	09/24/21	0.05	0.01 W	0.00	144 of 163 - Sale <sup>25</sup>
	0.014	0.55	09/24/21	0.71	0.16 W	0.00	145 of 163 - Sale <sup>25</sup>
	0.017	0.69	09/24/21	0.70	0.01 W	0.00	146 of 163 - Sale <sup>25</sup>
	0.025	1.01	09/24/21	1.28	0.27 W	0.00	147 of 163 - Sale <sup>25</sup>
	0.031	1.27	09/24/21	1.91	0.64 W	0.00	148 of 163 - Sale <sup>25</sup>
	0.035	1.40	09/24/21	1.78	0.38 W	0.00	149 of 163 - Sale <sup>25</sup>
	0.035	1.41	09/24/21	1.78	0.37 W	0.00	150 of 163 - Sale <sup>25</sup>
	0.044	1.77	09/24/21	2.22	0.45 W	0.00	151 of 163 - Sale <sup>25</sup>
	0.047	1.91	09/24/21	2.43	0.52 W	0.00	152 of 163 - Sale <sup>25</sup>
	0.049	1.99	09/24/21	2.98	0.99 W	0.00	153 of 163 - Sale <sup>25</sup>
	0.050	2.02	09/24/21	2.61	0.59 W	0.00	154 of 163 - Sale <sup>25</sup>
	0.063	2.53	09/24/21	3.18	0.65 W	0.00	155 of 163 - Sale <sup>25</sup>
	0.068	2.76	09/24/21	3.47	0.71 W	0.00	156 of 163 - Sale <sup>25</sup>
	0.108	4.36	09/24/21	5.59	1.23 W	0.00	157 of 163 - Sale <sup>25</sup>
	0.112	4.51	09/24/21	5.78	1.27 W	0.00	158 of 163 - Sale <sup>25</sup>
	0.182	7.34	09/24/21	9.35	2.01 W	0.00	159 of 163 - Sale <sup>25</sup>
	0.182	7.36	09/24/21	9.21	1.85 W	0.00	160 of 163 - Sale <sup>25</sup>
	0.004	0.16	09/27/21	0.20	0.04 W	0.00	161 of 163 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.020	0.81	09/27/21	1.06	0.25 W	0.00	162 of 163 - Sale <sup>25</sup>
	0.026	1.04	09/27/21	1.36	0.32 W	0.00	163 of 163 - Sale <sup>25</sup>
09/27/21	5.385	217.40	Various	317.64	100.24 W	0.00	Total of 163 transactions
	28 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	0.034	1.38	09/27/21	1.38	...	0.00	1 of 28 - Sale <sup>25</sup>
	0.067	2.69	09/27/21	2.69	...	0.00	2 of 28 - Sale <sup>25</sup>
	0.027	1.07	09/28/21	1.00	...	0.07	3 of 28 - Sale <sup>25</sup>
	0.164	6.57	09/29/21	6.00	...	0.57	4 of 28 - Sale <sup>25</sup>
	0.168	6.75	09/29/21	6.02	...	0.73	5 of 28 - Sale <sup>25</sup>
	0.026	1.04	09/30/21	1.00	...	0.04	6 of 28 - Sale <sup>25</sup>
	0.026	1.05	09/30/21	1.00	...	0.05	7 of 28 - Sale <sup>25</sup>
	0.026	1.06	09/30/21	1.00	...	0.06	8 of 28 - Sale <sup>25</sup>
	0.080	3.20	09/30/21	3.13	...	0.07	9 of 28 - Sale <sup>25</sup>
	0.041	1.66	09/30/21	1.57	...	0.09	10 of 28 - Sale <sup>25</sup>
	0.130	5.23	09/30/21	5.00	...	0.23	11 of 28 - Sale <sup>25</sup>
	0.121	4.85	09/30/21	4.58	...	0.27	12 of 28 - Sale <sup>25</sup>
	0.132	5.31	09/30/21	5.00	...	0.31	13 of 28 - Sale <sup>25</sup>
	0.261	10.45	09/30/21	10.00	...	0.45	14 of 28 - Sale <sup>25</sup>
	0.111	4.46	09/30/21	3.98	...	0.48	15 of 28 - Sale <sup>25</sup>
	0.222	8.92	09/30/21	8.43	...	0.49	16 of 28 - Sale <sup>25</sup>
	0.143	5.75	09/30/21	5.00	...	0.75	17 of 28 - Sale <sup>25</sup>
	0.408	16.34	09/30/21	15.42	...	0.92	18 of 28 - Sale <sup>25</sup>
	0.049	1.98	10/01/21	1.91	...	0.07	19 of 28 - Sale <sup>25</sup>
	0.137	5.50	10/05/21	5.00	...	0.50	20 of 28 - Sale <sup>25</sup>
	0.469	18.82	10/05/21	17.15	...	1.67	21 of 28 - Sale <sup>25</sup>
	2.000	80.20	10/05/21	73.09	...	7.11	22 of 28 - Sale <sup>25</sup>
	0.598	24.00	10/11/21	22.48	...	1.52	23 of 28 - Sale <sup>25</sup>
	0.027	1.09	10/12/21	1.00	...	0.09	24 of 28 - Sale <sup>25</sup>
	0.027	1.09	10/12/21	1.00	...	0.09	25 of 28 - Sale <sup>25</sup>
	0.027	1.09	10/12/21	1.00	...	0.09	26 of 28 - Sale <sup>25</sup>
	0.027	1.09	10/12/21	1.00	...	0.09	27 of 28 - Sale <sup>25</sup>
	0.027	1.09	10/12/21	1.00	...	0.09	28 of 28 - Sale <sup>25</sup>
10/14/21	5.579	223.73	Various	206.83	...	16.90	Total of 28 transactions

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
169 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
0.001		0.02	09/27/21	0.04	0.02 W	0.00	1 of 169 - Sale <sup>25</sup>
0.001		0.03	09/27/21	0.06	0.03 W	0.00	2 of 169 - Sale <sup>25</sup>
0.001		0.03	09/27/21	0.06	0.03 W	0.00	3 of 169 - Sale <sup>25</sup>
0.001		0.04	09/27/21	0.05	0.01 W	0.00	4 of 169 - Sale <sup>25</sup>
0.001		0.04	09/27/21	0.07	0.03 W	0.00	5 of 169 - Sale <sup>25</sup>
0.001		0.04	09/27/21	0.07	0.03 W	0.00	6 of 169 - Sale <sup>25</sup>
0.001		0.05	09/27/21	0.08	0.03 W	0.00	7 of 169 - Sale <sup>25</sup>
0.001		0.05	09/27/21	0.10	0.05 W	0.00	8 of 169 - Sale <sup>25</sup>
0.001		0.05	09/27/21	0.11	0.06 W	0.00	9 of 169 - Sale <sup>25</sup>
0.001		0.05	09/27/21	0.09	0.04 W	0.00	10 of 169 - Sale <sup>25</sup>
0.001		0.05	09/27/21	0.10	0.05 W	0.00	11 of 169 - Sale <sup>25</sup>
0.002		0.07	09/27/21	0.12	0.05 W	0.00	12 of 169 - Sale <sup>25</sup>
0.002		0.07	09/27/21	0.11	0.04 W	0.00	13 of 169 - Sale <sup>25</sup>
0.002		0.10	09/27/21	0.16	0.06 W	0.00	14 of 169 - Sale <sup>25</sup>
0.002		0.10	09/27/21	0.17	0.07 W	0.00	15 of 169 - Sale <sup>25</sup>
0.002		0.10	09/27/21	0.16	0.06 W	0.00	16 of 169 - Sale <sup>25</sup>
0.003		0.11	09/27/21	0.17	0.06 W	0.00	17 of 169 - Sale <sup>25</sup>
0.004		0.14	09/27/21	0.26	0.12 W	0.00	18 of 169 - Sale <sup>25</sup>
0.004		0.14	09/27/21	0.26	0.12 W	0.00	19 of 169 - Sale <sup>25</sup>
0.004		0.15	09/27/21	0.19	0.04 W	0.00	20 of 169 - Sale <sup>25</sup>
0.004		0.16	09/27/21	0.17	0.01 W	0.00	21 of 169 - Sale <sup>25</sup>
0.004		0.16	09/27/21	0.25	0.09 W	0.00	22 of 169 - Sale <sup>25</sup>
0.004		0.16	09/27/21	0.27	0.11 W	0.00	23 of 169 - Sale <sup>25</sup>
0.004		0.18	09/27/21	0.32	0.14 W	0.00	24 of 169 - Sale <sup>25</sup>
0.004		0.18	09/27/21	0.29	0.11 W	0.00	25 of 169 - Sale <sup>25</sup>
0.005		0.20	09/27/21	0.34	0.14 W	0.00	26 of 169 - Sale <sup>25</sup>
0.005		0.21	09/27/21	0.39	0.18 W	0.00	27 of 169 - Sale <sup>25</sup>
0.005		0.21	09/27/21	0.33	0.12 W	0.00	28 of 169 - Sale <sup>25</sup>
0.005		0.21	09/27/21	0.39	0.18 W	0.00	29 of 169 - Sale <sup>25</sup>
0.005		0.21	09/27/21	0.39	0.18 W	0.00	30 of 169 - Sale <sup>25</sup>
0.005		0.21	09/27/21	0.34	0.13 W	0.00	31 of 169 - Sale <sup>25</sup>
0.005		0.21	09/27/21	0.33	0.12 W	0.00	32 of 169 - Sale <sup>25</sup>
0.006		0.23	09/27/21	0.40	0.17 W	0.00	33 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.006		0.23	09/27/21	0.35	0.12 W	0.00	34 of 169 - Sale <sup>25</sup>
0.006		0.24	09/27/21	0.44	0.20 W	0.00	35 of 169 - Sale <sup>25</sup>
0.006		0.24	09/27/21	0.40	0.16 W	0.00	36 of 169 - Sale <sup>25</sup>
0.006		0.25	09/27/21	0.40	0.15 W	0.00	37 of 169 - Sale <sup>25</sup>
0.007		0.27	09/27/21	0.35	0.08 W	0.00	38 of 169 - Sale <sup>25</sup>
0.007		0.29	09/27/21	0.50	0.21 W	0.00	39 of 169 - Sale <sup>25</sup>
0.007		0.29	09/27/21	0.53	0.24 W	0.00	40 of 169 - Sale <sup>25</sup>
0.007		0.29	09/27/21	0.45	0.16 W	0.00	41 of 169 - Sale <sup>25</sup>
0.008		0.32	09/27/21	0.63	0.31 W	0.00	42 of 169 - Sale <sup>25</sup>
0.008		0.33	09/27/21	0.54	0.21 W	0.00	43 of 169 - Sale <sup>25</sup>
0.009		0.34	09/27/21	0.55	0.21 W	0.00	44 of 169 - Sale <sup>25</sup>
0.009		0.35	09/27/21	0.54	0.19 W	0.00	45 of 169 - Sale <sup>25</sup>
0.009		0.35	09/27/21	0.65	0.30 W	0.00	46 of 169 - Sale <sup>25</sup>
0.009		0.37	09/27/21	0.60	0.23 W	0.00	47 of 169 - Sale <sup>25</sup>
0.009		0.38	09/27/21	0.61	0.23 W	0.00	48 of 169 - Sale <sup>25</sup>
0.010		0.39	09/27/21	0.72	0.33 W	0.00	49 of 169 - Sale <sup>25</sup>
0.010		0.39	09/27/21	0.72	0.33 W	0.00	50 of 169 - Sale <sup>25</sup>
0.010		0.40	09/27/21	0.68	0.28 W	0.00	51 of 169 - Sale <sup>25</sup>
0.010		0.40	09/27/21	0.67	0.27 W	0.00	52 of 169 - Sale <sup>25</sup>
0.011		0.44	09/27/21	0.70	0.26 W	0.00	53 of 169 - Sale <sup>25</sup>
0.011		0.45	09/27/21	0.83	0.38 W	0.00	54 of 169 - Sale <sup>25</sup>
0.012		0.47	09/27/21	0.76	0.29 W	0.00	55 of 169 - Sale <sup>25</sup>
0.012		0.47	09/27/21	0.88	0.41 W	0.00	56 of 169 - Sale <sup>25</sup>
0.012		0.48	09/27/21	0.76	0.28 W	0.00	57 of 169 - Sale <sup>25</sup>
0.012		0.48	09/27/21	0.71	0.23 W	0.00	58 of 169 - Sale <sup>25</sup>
0.012		0.50	09/27/21	0.89	0.39 W	0.00	59 of 169 - Sale <sup>25</sup>
0.013		0.51	09/27/21	0.65	0.14 W	0.00	60 of 169 - Sale <sup>25</sup>
0.013		0.51	09/27/21	0.95	0.44 W	0.00	61 of 169 - Sale <sup>25</sup>
0.013		0.52	09/27/21	0.92	0.40 W	0.00	62 of 169 - Sale <sup>25</sup>
0.013		0.54	09/27/21	0.86	0.32 W	0.00	63 of 169 - Sale <sup>25</sup>
0.014		0.54	09/27/21	0.70	0.16 W	0.00	64 of 169 - Sale <sup>25</sup>
0.014		0.55	09/27/21	0.59	0.04 W	0.00	65 of 169 - Sale <sup>25</sup>
0.014		0.55	09/27/21	0.88	0.33 W	0.00	66 of 169 - Sale <sup>25</sup>
0.014		0.55	09/27/21	0.93	0.38 W	0.00	67 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.014		0.55	09/27/21	0.95	0.40 W	0.00	68 of 169 - Sale <sup>25</sup>
0.014		0.57	09/27/21	0.91	0.34 W	0.00	69 of 169 - Sale <sup>25</sup>
0.015		0.58	09/27/21	0.91	0.33 W	0.00	70 of 169 - Sale <sup>25</sup>
0.015		0.59	09/27/21	1.01	0.42 W	0.00	71 of 169 - Sale <sup>25</sup>
0.015		0.61	09/27/21	0.96	0.35 W	0.00	72 of 169 - Sale <sup>25</sup>
0.015		0.62	09/27/21	0.92	0.30 W	0.00	73 of 169 - Sale <sup>25</sup>
0.015		0.62	09/27/21	1.19	0.57 W	0.00	74 of 169 - Sale <sup>25</sup>
0.016		0.62	09/27/21	0.98	0.36 W	0.00	75 of 169 - Sale <sup>25</sup>
0.016		0.63	09/27/21	1.02	0.39 W	0.00	76 of 169 - Sale <sup>25</sup>
0.016		0.64	09/27/21	1.08	0.44 W	0.00	77 of 169 - Sale <sup>25</sup>
0.016		0.64	09/27/21	1.03	0.39 W	0.00	78 of 169 - Sale <sup>25</sup>
0.016		0.65	09/27/21	1.05	0.40 W	0.00	79 of 169 - Sale <sup>25</sup>
0.016		0.65	09/27/21	1.19	0.54 W	0.00	80 of 169 - Sale <sup>25</sup>
0.016		0.65	09/27/21	1.19	0.54 W	0.00	81 of 169 - Sale <sup>25</sup>
0.017		0.66	09/27/21	1.12	0.46 W	0.00	82 of 169 - Sale <sup>25</sup>
0.017		0.66	09/27/21	1.04	0.38 W	0.00	83 of 169 - Sale <sup>25</sup>
0.017		0.67	09/27/21	1.09	0.42 W	0.00	84 of 169 - Sale <sup>25</sup>
0.017		0.67	09/27/21	1.17	0.50 W	0.00	85 of 169 - Sale <sup>25</sup>
0.017		0.67	09/27/21	1.26	0.59 W	0.00	86 of 169 - Sale <sup>25</sup>
0.017		0.67	09/27/21	1.27	0.60 W	0.00	87 of 169 - Sale <sup>25</sup>
0.017		0.67	09/27/21	1.25	0.58 W	0.00	88 of 169 - Sale <sup>25</sup>
0.017		0.67	09/27/21	1.25	0.58 W	0.00	89 of 169 - Sale <sup>25</sup>
0.017		0.67	09/27/21	1.27	0.60 W	0.00	90 of 169 - Sale <sup>25</sup>
0.017		0.67	09/27/21	1.27	0.60 W	0.00	91 of 169 - Sale <sup>25</sup>
0.017		0.68	09/27/21	1.29	0.61 W	0.00	92 of 169 - Sale <sup>25</sup>
0.017		0.68	09/27/21	0.69	0.01 W	0.00	93 of 169 - Sale <sup>25</sup>
0.018		0.71	09/27/21	1.14	0.43 W	0.00	94 of 169 - Sale <sup>25</sup>
0.018		0.71	09/27/21	1.19	0.48 W	0.00	95 of 169 - Sale <sup>25</sup>
0.018		0.71	09/27/21	1.25	0.54 W	0.00	96 of 169 - Sale <sup>25</sup>
0.018		0.71	09/27/21	1.13	0.42 W	0.00	97 of 169 - Sale <sup>25</sup>
0.019		0.76	09/27/21	1.30	0.54 W	0.00	98 of 169 - Sale <sup>25</sup>
0.020		0.80	09/27/21	1.05	0.25 W	0.00	99 of 169 - Sale <sup>25</sup>
0.023		0.91	09/27/21	1.55	0.64 W	0.00	100 of 169 - Sale <sup>25</sup>
0.025		0.99	09/27/21	1.61	0.62 W	0.00	101 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.025		1.00	09/27/21	1.27	0.27 W	0.00	102 of 169 - Sale <sup>25</sup>
0.025		1.00	09/27/21	1.60	0.60 W	0.00	103 of 169 - Sale <sup>25</sup>
0.025		1.02	09/27/21	1.72	0.70 W	0.00	104 of 169 - Sale <sup>25</sup>
0.026		1.04	09/27/21	1.55	0.51 W	0.00	105 of 169 - Sale <sup>25</sup>
0.026		1.04	09/27/21	1.36	0.32 W	0.00	106 of 169 - Sale <sup>25</sup>
0.026		1.05	09/27/21	1.18	0.13 W	0.00	107 of 169 - Sale <sup>25</sup>
0.026		1.06	09/27/21	1.80	0.74 W	0.00	108 of 169 - Sale <sup>25</sup>
0.027		1.09	09/27/21	1.71	0.62 W	0.00	109 of 169 - Sale <sup>25</sup>
0.028		1.10	09/27/21	1.78	0.68 W	0.00	110 of 169 - Sale <sup>25</sup>
0.028		1.12	09/27/21	1.22	0.10 W	0.00	111 of 169 - Sale <sup>25</sup>
0.028		1.13	09/27/21	1.80	0.67 W	0.00	112 of 169 - Sale <sup>25</sup>
0.029		1.18	09/27/21	2.01	0.83 W	0.00	113 of 169 - Sale <sup>25</sup>
0.029		1.18	09/27/21	1.88	0.70 W	0.00	114 of 169 - Sale <sup>25</sup>
0.031		1.22	09/27/21	1.62	0.40 W	0.00	115 of 169 - Sale <sup>25</sup>
0.031		1.24	09/27/21	2.11	0.87 W	0.00	116 of 169 - Sale <sup>25</sup>
0.031		1.26	09/27/21	1.90	0.64 W	0.00	117 of 169 - Sale <sup>25</sup>
0.031		1.26	09/27/21	1.28	0.02 W	0.00	118 of 169 - Sale <sup>25</sup>
0.032		1.27	09/27/21	1.35	0.08 W	0.00	119 of 169 - Sale <sup>25</sup>
0.032		1.28	09/27/21	1.39	0.11 W	0.00	120 of 169 - Sale <sup>25</sup>
0.033		1.31	09/27/21	2.23	0.92 W	0.00	121 of 169 - Sale <sup>25</sup>
0.033		1.31	09/27/21	2.23	0.92 W	0.00	122 of 169 - Sale <sup>25</sup>
0.035		1.39	09/27/21	1.77	0.38 W	0.00	123 of 169 - Sale <sup>25</sup>
0.035		1.40	09/27/21	1.77	0.37 W	0.00	124 of 169 - Sale <sup>25</sup>
0.036		1.44	09/27/21	2.28	0.84 W	0.00	125 of 169 - Sale <sup>25</sup>
0.037		1.49	09/27/21	2.29	0.80 W	0.00	126 of 169 - Sale <sup>25</sup>
0.041		1.63	09/27/21	1.69	0.06 W	0.00	127 of 169 - Sale <sup>25</sup>
0.041		1.65	09/27/21	1.67	0.02 W	0.00	128 of 169 - Sale <sup>25</sup>
0.041		1.66	09/27/21	2.55	0.89 W	0.00	129 of 169 - Sale <sup>25</sup>
0.044		1.76	09/27/21	2.21	0.45 W	0.00	130 of 169 - Sale <sup>25</sup>
0.044		1.76	09/27/21	2.81	1.05 W	0.00	131 of 169 - Sale <sup>25</sup>
0.046		1.86	09/27/21	2.86	1.00 W	0.00	132 of 169 - Sale <sup>25</sup>
0.047		1.90	09/27/21	2.42	0.52 W	0.00	133 of 169 - Sale <sup>25</sup>
0.049		1.98	09/27/21	2.97	0.99 W	0.00	134 of 169 - Sale <sup>25</sup>
0.050		2.00	09/27/21	2.60	0.60 W	0.00	135 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.051		2.04	09/27/21	3.17	1.13 W	0.00	136 of 169 - Sale <sup>25</sup>
0.051		2.06	09/27/21	2.23	0.17 W	0.00	137 of 169 - Sale <sup>25</sup>
0.052		2.08	09/27/21	2.26	0.18 W	0.00	138 of 169 - Sale <sup>25</sup>
0.052		2.09	09/27/21	3.30	1.21 W	0.00	139 of 169 - Sale <sup>25</sup>
0.053		2.12	09/27/21	3.36	1.24 W	0.00	140 of 169 - Sale <sup>25</sup>
0.056		2.24	09/27/21	2.45	0.21 W	0.00	141 of 169 - Sale <sup>25</sup>
0.060		2.40	09/27/21	3.03	0.63 W	0.00	142 of 169 - Sale <sup>25</sup>
0.061		2.43	09/27/21	3.89	1.46 W	0.00	143 of 169 - Sale <sup>25</sup>
0.061		2.43	09/27/21	3.88	1.45 W	0.00	144 of 169 - Sale <sup>25</sup>
0.063		2.52	09/27/21	3.17	0.65 W	0.00	145 of 169 - Sale <sup>25</sup>
0.065		2.60	09/27/21	4.55	1.95 W	0.00	146 of 169 - Sale <sup>25</sup>
0.066		2.64	09/27/21	4.20	1.56 W	0.00	147 of 169 - Sale <sup>25</sup>
0.068		2.74	09/27/21	3.45	0.71 W	0.00	148 of 169 - Sale <sup>25</sup>
0.071		2.83	09/27/21	4.80	1.97 W	0.00	149 of 169 - Sale <sup>25</sup>
0.072		2.87	09/27/21	3.08	0.21 W	0.00	150 of 169 - Sale <sup>25</sup>
0.078		3.14	09/27/21	3.62	0.48 W	0.00	151 of 169 - Sale <sup>25</sup>
0.083		3.31	09/27/21	5.02	1.71 W	0.00	152 of 169 - Sale <sup>25</sup>
0.085		3.42	09/27/21	5.68	2.26 W	0.00	153 of 169 - Sale <sup>25</sup>
0.086		3.44	09/27/21	5.29	1.85 W	0.00	154 of 169 - Sale <sup>25</sup>
0.090		3.62	09/27/21	6.14	2.52 W	0.00	155 of 169 - Sale <sup>25</sup>
0.095		3.80	09/27/21	5.97	2.17 W	0.00	156 of 169 - Sale <sup>25</sup>
0.099		3.97	09/27/21	5.88	1.91 W	0.00	157 of 169 - Sale <sup>25</sup>
0.108		4.33	09/27/21	5.57	1.24 W	0.00	158 of 169 - Sale <sup>25</sup>
0.111		4.43	09/27/21	4.94	0.51 W	0.00	159 of 169 - Sale <sup>25</sup>
0.112		4.48	09/27/21	5.75	1.27 W	0.00	160 of 169 - Sale <sup>25</sup>
0.119		4.76	09/27/21	7.61	2.85 W	0.00	161 of 169 - Sale <sup>25</sup>
0.122		4.89	09/27/21	6.25	1.36 W	0.00	162 of 169 - Sale <sup>25</sup>
0.137		5.50	09/27/21	8.79	3.29 W	0.00	163 of 169 - Sale <sup>25</sup>
0.143		5.74	09/27/21	9.73	3.99 W	0.00	164 of 169 - Sale <sup>25</sup>
0.176		7.05	09/27/21	10.93	3.88 W	0.00	165 of 169 - Sale <sup>25</sup>
0.178		7.13	09/27/21	9.24	2.11 W	0.00	166 of 169 - Sale <sup>25</sup>
0.182		7.30	09/27/21	9.31	2.01 W	0.00	167 of 169 - Sale <sup>25</sup>
0.182		7.31	09/27/21	10.81	3.50 W	0.00	168 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.182	7.31	09/27/21	9.17	1.86 W	0.00	169 of 169 - Sale <sup>25</sup>
10/14/21	5.432	217.70	Various	318.96	101.26 W	0.00	Total of 169 transactions
	19 transactions for 10/21/21. Total proceeds and cost reported to the IRS.						
	0.178	7.41	10/21/21	9.51	...	-2.10	1 of 19 - Sale <sup>25</sup>
	0.182	7.59	10/21/21	9.44	...	-1.85	2 of 19 - Sale <sup>25</sup>
	0.122	5.07	10/21/21	6.43	...	-1.36	3 of 19 - Sale <sup>25</sup>
	0.068	2.85	10/21/21	3.55	...	-0.70	4 of 19 - Sale <sup>25</sup>
	0.063	2.62	10/21/21	3.26	...	-0.64	5 of 19 - Sale <sup>25</sup>
	0.060	2.49	10/21/21	3.12	...	-0.63	6 of 19 - Sale <sup>25</sup>
	0.111	4.60	10/21/21	5.10	...	-0.50	7 of 19 - Sale <sup>25</sup>
	0.078	3.26	10/21/21	3.74	...	-0.48	8 of 19 - Sale <sup>25</sup>
	0.044	1.82	10/21/21	2.27	...	-0.45	9 of 19 - Sale <sup>25</sup>
	0.072	2.98	10/21/21	3.18	...	-0.20	10 of 19 - Sale <sup>25</sup>
	0.051	2.14	10/21/21	2.30	...	-0.16	11 of 19 - Sale <sup>25</sup>
	0.013	0.53	10/21/21	0.67	...	-0.14	12 of 19 - Sale <sup>25</sup>
	0.026	1.09	10/21/21	1.22	...	-0.13	13 of 19 - Sale <sup>25</sup>
	0.007	0.28	10/21/21	0.36	...	-0.08	14 of 19 - Sale <sup>25</sup>
	0.032	1.32	10/21/21	1.40	...	-0.08	15 of 19 - Sale <sup>25</sup>
	0.004	0.16	10/21/21	0.20	...	-0.04	16 of 19 - Sale <sup>25</sup>
	0.014	0.57	10/21/21	0.61	...	-0.04	17 of 19 - Sale <sup>25</sup>
	0.031	1.31	10/21/21	1.33	...	-0.02	18 of 19 - Sale <sup>25</sup>
	0.001	0.04	10/21/21	0.05	...	-0.01	19 of 19 - Sale <sup>25</sup>
10/21/21	1.156	48.13	Various	57.74	...	-9.61	Total of 19 transactions
	189 transactions for 10/21/21. Total proceeds and cost reported to the IRS.						
	0.001	0.02	10/19/21	0.04	0.02 W	0.00	1 of 189 - Sale <sup>25</sup>
	0.001	0.05	10/19/21	0.10	0.05 W	0.00	2 of 189 - Sale <sup>25</sup>
	0.001	0.05	10/19/21	0.11	0.06 W	0.00	3 of 189 - Sale <sup>25</sup>
	0.001	0.06	10/19/21	0.11	0.05 W	0.00	4 of 189 - Sale <sup>25</sup>
	0.004	0.15	10/19/21	0.27	0.12 W	0.00	5 of 189 - Sale <sup>25</sup>
	0.004	0.15	10/19/21	0.27	0.12 W	0.00	6 of 189 - Sale <sup>25</sup>
	0.004	0.17	10/19/21	0.18	0.01 W	0.00	7 of 189 - Sale <sup>25</sup>
	0.004	0.17	10/19/21	0.26	0.09 W	0.00	8 of 189 - Sale <sup>25</sup>
	0.004	0.17	10/19/21	0.28	0.11 W	0.00	9 of 189 - Sale <sup>25</sup>
	0.005	0.21	10/19/21	0.35	0.14 W	0.00	10 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.005		0.21	10/19/21	0.39	0.18 W	0.00	11 of 189 - Sale <sup>25</sup>
0.006		0.25	10/19/21	0.44	0.19 W	0.00	12 of 189 - Sale <sup>25</sup>
0.007		0.30	10/19/21	0.51	0.21 W	0.00	13 of 189 - Sale <sup>25</sup>
0.007		0.30	10/19/21	0.54	0.24 W	0.00	14 of 189 - Sale <sup>25</sup>
0.008		0.33	10/19/21	0.64	0.31 W	0.00	15 of 189 - Sale <sup>25</sup>
0.008		0.35	10/19/21	0.55	0.20 W	0.00	16 of 189 - Sale <sup>25</sup>
0.009		0.37	10/19/21	0.67	0.30 W	0.00	17 of 189 - Sale <sup>25</sup>
0.011		0.47	10/19/21	0.85	0.38 W	0.00	18 of 189 - Sale <sup>25</sup>
0.012		0.48	10/19/21	0.77	0.29 W	0.00	19 of 189 - Sale <sup>25</sup>
0.012		0.49	10/19/21	0.90	0.41 W	0.00	20 of 189 - Sale <sup>25</sup>
0.012		0.52	10/19/21	0.91	0.39 W	0.00	21 of 189 - Sale <sup>25</sup>
0.013		0.53	10/19/21	0.97	0.44 W	0.00	22 of 189 - Sale <sup>25</sup>
0.014		0.57	10/19/21	0.95	0.38 W	0.00	23 of 189 - Sale <sup>25</sup>
0.015		0.61	10/19/21	1.03	0.42 W	0.00	24 of 189 - Sale <sup>25</sup>
0.015		0.65	10/19/21	1.21	0.56 W	0.00	25 of 189 - Sale <sup>25</sup>
0.016		0.65	10/19/21	1.01	0.36 W	0.00	26 of 189 - Sale <sup>25</sup>
0.016		0.68	10/19/21	1.08	0.40 W	0.00	27 of 189 - Sale <sup>25</sup>
0.016		0.68	10/19/21	1.22	0.54 W	0.00	28 of 189 - Sale <sup>25</sup>
0.016		0.68	10/19/21	1.22	0.54 W	0.00	29 of 189 - Sale <sup>25</sup>
0.017		0.69	10/19/21	1.15	0.46 W	0.00	30 of 189 - Sale <sup>25</sup>
0.017		0.70	10/19/21	1.29	0.59 W	0.00	31 of 189 - Sale <sup>25</sup>
0.017		0.70	10/19/21	1.30	0.60 W	0.00	32 of 189 - Sale <sup>25</sup>
0.017		0.70	10/19/21	1.28	0.58 W	0.00	33 of 189 - Sale <sup>25</sup>
0.017		0.70	10/19/21	1.28	0.58 W	0.00	34 of 189 - Sale <sup>25</sup>
0.017		0.70	10/19/21	1.30	0.60 W	0.00	35 of 189 - Sale <sup>25</sup>
0.017		0.70	10/19/21	1.30	0.60 W	0.00	36 of 189 - Sale <sup>25</sup>
0.017		0.71	10/19/21	1.31	0.60 W	0.00	37 of 189 - Sale <sup>25</sup>
0.018		0.74	10/19/21	1.22	0.48 W	0.00	38 of 189 - Sale <sup>25</sup>
0.025		1.04	10/19/21	1.65	0.61 W	0.00	39 of 189 - Sale <sup>25</sup>
0.025		1.06	10/19/21	1.76	0.70 W	0.00	40 of 189 - Sale <sup>25</sup>
0.027		1.14	10/19/21	1.76	0.62 W	0.00	41 of 189 - Sale <sup>25</sup>
0.051		2.12	10/19/21	3.25	1.13 W	0.00	42 of 189 - Sale <sup>25</sup>
0.052		2.18	10/19/21	3.38	1.20 W	0.00	43 of 189 - Sale <sup>25</sup>
0.071		2.95	10/19/21	4.92	1.97 W	0.00	44 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.090		3.77	10/19/21	6.28	2.51 W	0.00	45 of 189 - Sale <sup>25</sup>
0.092		3.84	10/19/21	6.04	2.20 W	0.00	46 of 189 - Sale <sup>25</sup>
0.099		4.14	10/19/21	6.04	1.90 W	0.00	47 of 189 - Sale <sup>25</sup>
0.137		5.74	10/19/21	9.01	3.27 W	0.00	48 of 189 - Sale <sup>25</sup>
0.143		5.98	10/19/21	9.95	3.97 W	0.00	49 of 189 - Sale <sup>25</sup>
0.176		7.35	10/19/21	11.20	3.85 W	0.00	50 of 189 - Sale <sup>25</sup>
0.182		7.62	10/19/21	11.09	3.47 W	0.00	51 of 189 - Sale <sup>25</sup>
0.001		0.05	10/20/21	0.08	0.03 W	0.00	52 of 189 - Sale <sup>25</sup>
0.001		0.05	10/20/21	0.09	0.04 W	0.00	53 of 189 - Sale <sup>25</sup>
0.002		0.07	10/20/21	0.12	0.05 W	0.00	54 of 189 - Sale <sup>25</sup>
0.002		0.08	10/20/21	0.12	0.04 W	0.00	55 of 189 - Sale <sup>25</sup>
0.002		0.08	10/20/21	0.13	0.05 W	0.00	56 of 189 - Sale <sup>25</sup>
0.002		0.10	10/20/21	0.16	0.06 W	0.00	57 of 189 - Sale <sup>25</sup>
0.002		0.10	10/20/21	0.17	0.07 W	0.00	58 of 189 - Sale <sup>25</sup>
0.002		0.10	10/20/21	0.16	0.06 W	0.00	59 of 189 - Sale <sup>25</sup>
0.003		0.11	10/20/21	0.17	0.06 W	0.00	60 of 189 - Sale <sup>25</sup>
0.004		0.19	10/20/21	0.32	0.13 W	0.00	61 of 189 - Sale <sup>25</sup>
0.004		0.19	10/20/21	0.30	0.11 W	0.00	62 of 189 - Sale <sup>25</sup>
0.005		0.22	10/20/21	0.34	0.12 W	0.00	63 of 189 - Sale <sup>25</sup>
0.005		0.22	10/20/21	0.35	0.13 W	0.00	64 of 189 - Sale <sup>25</sup>
0.005		0.22	10/20/21	0.34	0.12 W	0.00	65 of 189 - Sale <sup>25</sup>
0.006		0.24	10/20/21	0.41	0.17 W	0.00	66 of 189 - Sale <sup>25</sup>
0.006		0.24	10/20/21	0.36	0.12 W	0.00	67 of 189 - Sale <sup>25</sup>
0.006		0.25	10/20/21	0.41	0.16 W	0.00	68 of 189 - Sale <sup>25</sup>
0.006		0.26	10/20/21	0.41	0.15 W	0.00	69 of 189 - Sale <sup>25</sup>
0.007		0.31	10/20/21	0.46	0.15 W	0.00	70 of 189 - Sale <sup>25</sup>
0.008		0.35	10/20/21	0.52	0.17 W	0.00	71 of 189 - Sale <sup>25</sup>
0.009		0.36	10/20/21	0.57	0.21 W	0.00	72 of 189 - Sale <sup>25</sup>
0.009		0.36	10/20/21	0.55	0.19 W	0.00	73 of 189 - Sale <sup>25</sup>
0.009		0.39	10/20/21	0.62	0.23 W	0.00	74 of 189 - Sale <sup>25</sup>
0.009		0.39	10/20/21	0.62	0.23 W	0.00	75 of 189 - Sale <sup>25</sup>
0.010		0.41	10/20/21	0.69	0.28 W	0.00	76 of 189 - Sale <sup>25</sup>
0.010		0.42	10/20/21	0.68	0.26 W	0.00	77 of 189 - Sale <sup>25</sup>
0.011		0.46	10/20/21	0.71	0.25 W	0.00	78 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.012		0.49	10/20/21	0.77	0.28 W	0.00	79 of 189 - Sale <sup>25</sup>
0.012		0.50	10/20/21	0.78	0.28 W	0.00	80 of 189 - Sale <sup>25</sup>
0.012		0.50	10/20/21	0.73	0.23 W	0.00	81 of 189 - Sale <sup>25</sup>
0.013		0.54	10/20/21	0.94	0.40 W	0.00	82 of 189 - Sale <sup>25</sup>
0.013		0.56	10/20/21	0.88	0.32 W	0.00	83 of 189 - Sale <sup>25</sup>
0.014		0.58	10/20/21	0.97	0.39 W	0.00	84 of 189 - Sale <sup>25</sup>
0.014		0.59	10/20/21	0.93	0.34 W	0.00	85 of 189 - Sale <sup>25</sup>
0.015		0.61	10/20/21	0.93	0.32 W	0.00	86 of 189 - Sale <sup>25</sup>
0.015		0.64	10/20/21	0.98	0.34 W	0.00	87 of 189 - Sale <sup>25</sup>
0.015		0.64	10/20/21	0.94	0.30 W	0.00	88 of 189 - Sale <sup>25</sup>
0.016		0.66	10/20/21	1.04	0.38 W	0.00	89 of 189 - Sale <sup>25</sup>
0.016		0.66	10/20/21	1.10	0.44 W	0.00	90 of 189 - Sale <sup>25</sup>
0.016		0.67	10/20/21	1.05	0.38 W	0.00	91 of 189 - Sale <sup>25</sup>
0.017		0.69	10/20/21	1.07	0.38 W	0.00	92 of 189 - Sale <sup>25</sup>
0.017		0.70	10/20/21	1.11	0.41 W	0.00	93 of 189 - Sale <sup>25</sup>
0.017		0.70	10/20/21	1.19	0.49 W	0.00	94 of 189 - Sale <sup>25</sup>
0.017		0.71	10/20/21	0.72	0.01 W	0.00	95 of 189 - Sale <sup>25</sup>
0.018		0.74	10/20/21	1.16	0.42 W	0.00	96 of 189 - Sale <sup>25</sup>
0.018		0.74	10/20/21	1.28	0.54 W	0.00	97 of 189 - Sale <sup>25</sup>
0.018		0.74	10/20/21	1.16	0.42 W	0.00	98 of 189 - Sale <sup>25</sup>
0.019		0.79	10/20/21	1.33	0.54 W	0.00	99 of 189 - Sale <sup>25</sup>
0.023		0.95	10/20/21	1.58	0.63 W	0.00	100 of 189 - Sale <sup>25</sup>
0.025		1.05	10/20/21	1.31	0.26 W	0.00	101 of 189 - Sale <sup>25</sup>
0.025		1.05	10/20/21	1.64	0.59 W	0.00	102 of 189 - Sale <sup>25</sup>
0.026		1.08	10/20/21	1.58	0.50 W	0.00	103 of 189 - Sale <sup>25</sup>
0.026		1.10	10/20/21	1.84	0.74 W	0.00	104 of 189 - Sale <sup>25</sup>
0.027		1.12	10/20/21	1.75	0.63 W	0.00	105 of 189 - Sale <sup>25</sup>
0.028		1.15	10/20/21	1.82	0.67 W	0.00	106 of 189 - Sale <sup>25</sup>
0.028		1.18	10/20/21	1.84	0.66 W	0.00	107 of 189 - Sale <sup>25</sup>
0.029		1.22	10/20/21	2.05	0.83 W	0.00	108 of 189 - Sale <sup>25</sup>
0.029		1.23	10/20/21	1.92	0.69 W	0.00	109 of 189 - Sale <sup>25</sup>
0.031		1.30	10/20/21	2.16	0.86 W	0.00	110 of 189 - Sale <sup>25</sup>
0.031		1.32	10/20/21	1.94	0.62 W	0.00	111 of 189 - Sale <sup>25</sup>
0.033		1.37	10/20/21	2.28	0.91 W	0.00	112 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
0.033		1.37	10/20/21	2.28	0.91 W	0.00	113 of 189 - Sale <sup>25</sup>
0.035		1.45	10/20/21	1.82	0.37 W	0.00	114 of 189 - Sale <sup>25</sup>
0.035		1.46	10/20/21	1.81	0.35 W	0.00	115 of 189 - Sale <sup>25</sup>
0.036		1.50	10/20/21	2.33	0.83 W	0.00	116 of 189 - Sale <sup>25</sup>
0.037		1.55	10/20/21	2.34	0.79 W	0.00	117 of 189 - Sale <sup>25</sup>
0.041		1.72	10/20/21	1.73	0.01 W	0.00	118 of 189 - Sale <sup>25</sup>
0.041		1.73	10/20/21	2.61	0.88 W	0.00	119 of 189 - Sale <sup>25</sup>
0.044		1.83	10/20/21	2.87	1.04 W	0.00	120 of 189 - Sale <sup>25</sup>
0.046		1.94	10/20/21	2.92	0.98 W	0.00	121 of 189 - Sale <sup>25</sup>
0.047		1.98	10/20/21	2.48	0.50 W	0.00	122 of 189 - Sale <sup>25</sup>
0.049		2.06	10/20/21	3.04	0.98 W	0.00	123 of 189 - Sale <sup>25</sup>
0.053		2.21	10/20/21	3.43	1.22 W	0.00	124 of 189 - Sale <sup>25</sup>
0.061		2.53	10/20/21	3.97	1.44 W	0.00	125 of 189 - Sale <sup>25</sup>
0.061		2.54	10/20/21	3.97	1.43 W	0.00	126 of 189 - Sale <sup>25</sup>
0.065		2.71	10/20/21	4.64	1.93 W	0.00	127 of 189 - Sale <sup>25</sup>
0.066		2.75	10/20/21	4.29	1.54 W	0.00	128 of 189 - Sale <sup>25</sup>
0.078		3.24	10/20/21	4.89	1.65 W	0.00	129 of 189 - Sale <sup>25</sup>
0.083		3.45	10/20/21	5.13	1.68 W	0.00	130 of 189 - Sale <sup>25</sup>
0.085		3.56	10/20/21	5.80	2.24 W	0.00	131 of 189 - Sale <sup>25</sup>
0.089		3.73	10/20/21	4.72	0.99 W	0.00	132 of 189 - Sale <sup>25</sup>
0.095		3.96	10/20/21	6.10	2.14 W	0.00	133 of 189 - Sale <sup>25</sup>
0.182		7.60	10/20/21	9.55	1.95 W	0.00	134 of 189 - Sale <sup>25</sup>
0.026		1.08	10/21/21	1.40	0.22 W	-0.10	135 of 189 - Sale <sup>25</sup>
0.001		0.03	10/21/21	0.06	0.03 W	0.00	136 of 189 - Sale <sup>25</sup>
0.001		0.04	10/21/21	0.07	0.03 W	0.00	137 of 189 - Sale <sup>25</sup>
0.001		0.05	10/21/21	0.10	0.05 W	0.00	138 of 189 - Sale <sup>25</sup>
0.001		0.05	10/21/21	0.11	0.06 W	0.00	139 of 189 - Sale <sup>25</sup>
0.001		0.06	10/21/21	0.11	0.05 W	0.00	140 of 189 - Sale <sup>25</sup>
0.004		0.15	10/21/21	0.27	0.12 W	0.00	141 of 189 - Sale <sup>25</sup>
0.004		0.15	10/21/21	0.27	0.12 W	0.00	142 of 189 - Sale <sup>25</sup>
0.004		0.17	10/21/21	0.18	0.01 W	0.00	143 of 189 - Sale <sup>25</sup>
0.004		0.17	10/21/21	0.28	0.11 W	0.00	144 of 189 - Sale <sup>25</sup>
0.005		0.21	10/21/21	0.35	0.14 W	0.00	145 of 189 - Sale <sup>25</sup>
0.005		0.21	10/21/21	0.39	0.18 W	0.00	146 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.005	0.22	10/21/21	0.40	0.18 W	0.00	147 of 189 - Sale <sup>25</sup>
	0.006	0.24	10/21/21	0.43	0.19 W	0.00	148 of 189 - Sale <sup>25</sup>
	0.007	0.29	10/21/21	0.52	0.23 W	0.00	149 of 189 - Sale <sup>25</sup>
	0.007	0.30	10/21/21	0.51	0.21 W	0.00	150 of 189 - Sale <sup>25</sup>
	0.008	0.33	10/21/21	0.64	0.31 W	0.00	151 of 189 - Sale <sup>25</sup>
	0.009	0.37	10/21/21	0.67	0.30 W	0.00	152 of 189 - Sale <sup>25</sup>
	0.010	0.41	10/21/21	0.74	0.33 W	0.00	153 of 189 - Sale <sup>25</sup>
	0.011	0.47	10/21/21	0.85	0.38 W	0.00	154 of 189 - Sale <sup>25</sup>
	0.012	0.48	10/21/21	0.77	0.29 W	0.00	155 of 189 - Sale <sup>25</sup>
	0.012	0.48	10/21/21	0.89	0.41 W	0.00	156 of 189 - Sale <sup>25</sup>
	0.012	0.52	10/21/21	0.91	0.39 W	0.00	157 of 189 - Sale <sup>25</sup>
	0.013	0.53	10/21/21	0.97	0.44 W	0.00	158 of 189 - Sale <sup>25</sup>
	0.014	0.57	10/21/21	0.72	0.15 W	0.00	159 of 189 - Sale <sup>25</sup>
	0.014	0.57	10/21/21	0.95	0.38 W	0.00	160 of 189 - Sale <sup>25</sup>
	0.015	0.61	10/21/21	1.03	0.42 W	0.00	161 of 189 - Sale <sup>25</sup>
	0.015	0.64	10/21/21	1.20	0.56 W	0.00	162 of 189 - Sale <sup>25</sup>
	0.016	0.65	10/21/21	1.01	0.36 W	0.00	163 of 189 - Sale <sup>25</sup>
	0.016	0.68	10/21/21	1.22	0.54 W	0.00	164 of 189 - Sale <sup>25</sup>
	0.016	0.68	10/21/21	1.22	0.54 W	0.00	165 of 189 - Sale <sup>25</sup>
	0.017	0.69	10/21/21	1.15	0.46 W	0.00	166 of 189 - Sale <sup>25</sup>
	0.017	0.70	10/21/21	1.29	0.59 W	0.00	167 of 189 - Sale <sup>25</sup>
	0.017	0.70	10/21/21	1.30	0.60 W	0.00	168 of 189 - Sale <sup>25</sup>
	0.017	0.70	10/21/21	1.28	0.58 W	0.00	169 of 189 - Sale <sup>25</sup>
	0.017	0.70	10/21/21	1.28	0.58 W	0.00	170 of 189 - Sale <sup>25</sup>
	0.017	0.70	10/21/21	1.30	0.60 W	0.00	171 of 189 - Sale <sup>25</sup>
	0.017	0.70	10/21/21	1.30	0.60 W	0.00	172 of 189 - Sale <sup>25</sup>
	0.017	0.70	10/21/21	1.30	0.60 W	0.00	173 of 189 - Sale <sup>25</sup>
	0.018	0.74	10/21/21	1.22	0.48 W	0.00	174 of 189 - Sale <sup>25</sup>
	0.019	0.78	10/21/21	1.00	0.22 W	0.00	175 of 189 - Sale <sup>25</sup>
	0.020	0.83	10/21/21	1.08	0.25 W	0.00	176 of 189 - Sale <sup>25</sup>
	0.025	1.06	10/21/21	1.76	0.70 W	0.00	177 of 189 - Sale <sup>25</sup>
	0.027	1.13	10/21/21	1.23	0.10 W	0.00	178 of 189 - Sale <sup>25</sup>
	0.031	1.27	10/21/21	1.67	0.40 W	0.00	179 of 189 - Sale <sup>25</sup>
	0.032	1.33	10/21/21	1.43	0.10 W	0.00	180 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.041	1.69	10/21/21	1.75	0.06 W	0.00	181 of 189 - Sale <sup>25</sup>
	0.049	2.05	10/21/21	3.13	1.08 W	0.00	182 of 189 - Sale <sup>25</sup>
	0.050	2.08	10/21/21	2.68	0.60 W	0.00	183 of 189 - Sale <sup>25</sup>
	0.052	2.16	10/21/21	2.34	0.18 W	0.00	184 of 189 - Sale <sup>25</sup>
	0.056	2.32	10/21/21	2.53	0.21 W	0.00	185 of 189 - Sale <sup>25</sup>
	0.071	2.94	10/21/21	4.91	1.97 W	0.00	186 of 189 - Sale <sup>25</sup>
	0.090	3.75	10/21/21	6.25	2.50 W	0.00	187 of 189 - Sale <sup>25</sup>
	0.112	4.65	10/21/21	5.92	1.27 W	0.00	188 of 189 - Sale <sup>25</sup>
	0.143	5.96	10/21/21	9.91	3.95 W	0.00	189 of 189 - Sale <sup>25</sup>
10/21/21	5.007	208.99	Various	321.19	112.10 W	-0.10	Total of 189 transactions
	11 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	0.176	7.43	10/25/21	10.42	...	-2.99	1 of 11 - Sale <sup>25</sup>
	0.182	7.70	10/25/21	10.27	...	-2.57	2 of 11 - Sale <sup>25</sup>
	0.074	3.12	10/25/21	4.17	...	-1.05	3 of 11 - Sale <sup>25</sup>
	0.052	2.21	10/25/21	3.15	...	-0.94	4 of 11 - Sale <sup>25</sup>
	0.025	1.05	10/25/21	1.54	...	-0.49	5 of 11 - Sale <sup>25</sup>
	0.027	1.15	10/25/21	1.64	...	-0.49	6 of 11 - Sale <sup>25</sup>
	0.016	0.69	10/25/21	1.01	...	-0.32	7 of 11 - Sale <sup>25</sup>
	0.008	0.35	10/25/21	0.51	...	-0.16	8 of 11 - Sale <sup>25</sup>
	0.004	0.17	10/25/21	0.24	...	-0.07	9 of 11 - Sale <sup>25</sup>
	0.002	0.07	10/25/21	0.10	...	-0.03	10 of 11 - Sale <sup>25</sup>
	0.001	0.02	10/25/21	0.04	...	-0.02	11 of 11 - Sale <sup>25</sup>
11/03/21	0.567	23.96	Various	33.09	...	-9.13	Total of 11 transactions
	156 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	0.137	5.72	11/09/21	8.89	...	-3.17	1 of 156 - Sale <sup>25</sup>
	0.092	3.83	11/09/21	5.96	...	-2.13	2 of 156 - Sale <sup>25</sup>
	0.078	3.24	11/09/21	4.83	...	-1.59	3 of 156 - Sale <sup>25</sup>
	0.046	1.93	11/09/21	2.87	...	-0.94	4 of 156 - Sale <sup>25</sup>
	0.041	1.73	11/09/21	2.57	...	-0.84	5 of 156 - Sale <sup>25</sup>
	0.037	1.55	11/09/21	2.31	...	-0.76	6 of 156 - Sale <sup>25</sup>
	0.027	1.12	11/09/21	1.73	...	-0.61	7 of 156 - Sale <sup>25</sup>
	0.027	1.12	11/09/21	1.72	...	-0.60	8 of 156 - Sale <sup>25</sup>
	0.026	1.08	11/09/21	1.56	...	-0.48	9 of 156 - Sale <sup>25</sup>
	0.025	1.06	11/09/21	1.53	...	-0.47	10 of 156 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.017	0.69	11/09/21	1.06	...	-0.37	11 of 156 - Sale <sup>25</sup>
	0.015	0.61	11/09/21	0.91	...	-0.30	12 of 156 - Sale <sup>25</sup>
	0.015	0.64	11/09/21	0.93	...	-0.29	13 of 156 - Sale <sup>25</sup>
	0.012	0.50	11/09/21	0.77	...	-0.27	14 of 156 - Sale <sup>25</sup>
	0.008	0.34	11/09/21	0.51	...	-0.17	15 of 156 - Sale <sup>25</sup>
	0.006	0.26	11/09/21	0.41	...	-0.15	16 of 156 - Sale <sup>25</sup>
	0.005	0.22	11/09/21	0.34	...	-0.12	17 of 156 - Sale <sup>25</sup>
	0.004	0.19	11/09/21	0.29	...	-0.10	18 of 156 - Sale <sup>25</sup>
	0.003	0.11	11/09/21	0.17	...	-0.06	19 of 156 - Sale <sup>25</sup>
	0.085	3.56	11/10/21	5.58	...	-2.02	20 of 156 - Sale <sup>25</sup>
	0.095	3.96	11/10/21	5.86	...	-1.90	21 of 156 - Sale <sup>25</sup>
	0.065	2.70	11/10/21	4.47	...	-1.77	22 of 156 - Sale <sup>25</sup>
	0.182	7.65	11/10/21	9.14	...	-1.49	23 of 156 - Sale <sup>25</sup>
	0.083	3.47	11/10/21	4.94	...	-1.47	24 of 156 - Sale <sup>25</sup>
	0.061	2.53	11/10/21	3.82	...	-1.29	25 of 156 - Sale <sup>25</sup>
	0.062	2.58	11/10/21	3.87	...	-1.29	26 of 156 - Sale <sup>25</sup>
	0.061	2.53	11/10/21	3.81	...	-1.28	27 of 156 - Sale <sup>25</sup>
	0.053	2.21	11/10/21	3.26	...	-1.05	28 of 156 - Sale <sup>25</sup>
	0.044	1.85	11/10/21	2.76	...	-0.91	29 of 156 - Sale <sup>25</sup>
	0.049	2.08	11/10/21	2.92	...	-0.84	30 of 156 - Sale <sup>25</sup>
	0.033	1.37	11/10/21	2.19	...	-0.82	31 of 156 - Sale <sup>25</sup>
	0.033	1.37	11/10/21	2.19	...	-0.82	32 of 156 - Sale <sup>25</sup>
	0.031	1.29	11/10/21	2.08	...	-0.79	33 of 156 - Sale <sup>25</sup>
	0.029	1.22	11/10/21	1.98	...	-0.76	34 of 156 - Sale <sup>25</sup>
	0.026	1.10	11/10/21	1.78	...	-0.68	35 of 156 - Sale <sup>25</sup>
	0.032	1.35	11/10/21	1.99	...	-0.64	36 of 156 - Sale <sup>25</sup>
	0.028	1.15	11/10/21	1.75	...	-0.60	37 of 156 - Sale <sup>25</sup>
	0.023	0.95	11/10/21	1.52	...	-0.57	38 of 156 - Sale <sup>25</sup>
	0.031	1.32	11/10/21	1.86	...	-0.54	39 of 156 - Sale <sup>25</sup>
	0.025	1.04	11/10/21	1.55	...	-0.51	40 of 156 - Sale <sup>25</sup>
	0.018	0.74	11/10/21	1.24	...	-0.50	41 of 156 - Sale <sup>25</sup>
	0.019	0.79	11/10/21	1.29	...	-0.50	42 of 156 - Sale <sup>25</sup>
	0.024	1.00	11/10/21	1.50	...	-0.50	43 of 156 - Sale <sup>25</sup>
	0.017	0.70	11/10/21	1.15	...	-0.45	44 of 156 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.016	0.66	11/10/21	1.06	...	-0.40	45 of 156 - Sale <sup>25</sup>
	0.013	0.54	11/10/21	0.91	...	-0.37	46 of 156 - Sale <sup>25</sup>
	0.017	0.70	11/10/21	1.07	...	-0.37	47 of 156 - Sale <sup>25</sup>
	0.018	0.74	11/10/21	1.11	...	-0.37	48 of 156 - Sale <sup>25</sup>
	0.018	0.75	11/10/21	1.12	...	-0.37	49 of 156 - Sale <sup>25</sup>
	0.016	0.67	11/10/21	1.01	...	-0.34	50 of 156 - Sale <sup>25</sup>
	0.012	0.50	11/10/21	0.81	...	-0.31	51 of 156 - Sale <sup>25</sup>
	0.014	0.59	11/10/21	0.89	...	-0.30	52 of 156 - Sale <sup>25</sup>
	0.015	0.64	11/10/21	0.94	...	-0.30	53 of 156 - Sale <sup>25</sup>
	0.013	0.54	11/10/21	0.81	...	-0.27	54 of 156 - Sale <sup>25</sup>
	0.010	0.41	11/10/21	0.67	...	-0.26	55 of 156 - Sale <sup>25</sup>
	0.035	1.46	11/10/21	1.72	...	-0.26	56 of 156 - Sale <sup>25</sup>
	0.032	1.34	11/10/21	1.59	...	-0.25	57 of 156 - Sale <sup>25</sup>
	0.010	0.41	11/10/21	0.65	...	-0.24	58 of 156 - Sale <sup>25</sup>
	0.031	1.32	11/10/21	1.56	...	-0.24	59 of 156 - Sale <sup>25</sup>
	0.011	0.45	11/10/21	0.68	...	-0.23	60 of 156 - Sale <sup>25</sup>
	0.009	0.39	11/10/21	0.60	...	-0.21	61 of 156 - Sale <sup>25</sup>
	0.010	0.43	11/10/21	0.64	...	-0.21	62 of 156 - Sale <sup>25</sup>
	0.009	0.39	11/10/21	0.59	...	-0.20	63 of 156 - Sale <sup>25</sup>
	0.009	0.36	11/10/21	0.55	...	-0.19	64 of 156 - Sale <sup>25</sup>
	0.012	0.51	11/10/21	0.70	...	-0.19	65 of 156 - Sale <sup>25</sup>
	0.025	1.05	11/10/21	1.24	...	-0.19	66 of 156 - Sale <sup>25</sup>
	0.009	0.36	11/10/21	0.53	...	-0.17	67 of 156 - Sale <sup>25</sup>
	0.005	0.22	11/10/21	0.37	...	-0.15	68 of 156 - Sale <sup>25</sup>
	0.006	0.25	11/10/21	0.39	...	-0.14	69 of 156 - Sale <sup>25</sup>
	0.007	0.29	11/10/21	0.43	...	-0.14	70 of 156 - Sale <sup>25</sup>
	0.007	0.30	11/10/21	0.44	...	-0.14	71 of 156 - Sale <sup>25</sup>
	0.016	0.68	11/10/21	0.81	...	-0.13	72 of 156 - Sale <sup>25</sup>
	0.005	0.22	11/10/21	0.34	...	-0.12	73 of 156 - Sale <sup>25</sup>
	0.014	0.59	11/10/21	0.71	...	-0.12	74 of 156 - Sale <sup>25</sup>
	0.004	0.19	11/10/21	0.30	...	-0.11	75 of 156 - Sale <sup>25</sup>
	0.006	0.24	11/10/21	0.35	...	-0.11	76 of 156 - Sale <sup>25</sup>
	0.005	0.21	11/10/21	0.31	...	-0.10	77 of 156 - Sale <sup>25</sup>
	0.005	0.22	11/10/21	0.32	...	-0.10	78 of 156 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.004	0.18	11/10/21	0.27	...	-0.09	79 of 156 - Sale <sup>25</sup>
	0.004	0.17	11/10/21	0.25	...	-0.08	80 of 156 - Sale <sup>25</sup>
	0.002	0.10	11/10/21	0.17	...	-0.07	81 of 156 - Sale <sup>25</sup>
	0.003	0.13	11/10/21	0.20	...	-0.07	82 of 156 - Sale <sup>25</sup>
	0.004	0.15	11/10/21	0.22	...	-0.07	83 of 156 - Sale <sup>25</sup>
	0.002	0.10	11/10/21	0.16	...	-0.06	84 of 156 - Sale <sup>25</sup>
	0.003	0.10	11/10/21	0.16	...	-0.06	85 of 156 - Sale <sup>25</sup>
	0.003	0.12	11/10/21	0.18	...	-0.06	86 of 156 - Sale <sup>25</sup>
	0.002	0.07	11/10/21	0.12	...	-0.05	87 of 156 - Sale <sup>25</sup>
	0.002	0.08	11/10/21	0.13	...	-0.05	88 of 156 - Sale <sup>25</sup>
	0.002	0.10	11/10/21	0.15	...	-0.05	89 of 156 - Sale <sup>25</sup>
	0.001	0.05	11/10/21	0.09	...	-0.04	90 of 156 - Sale <sup>25</sup>
	0.002	0.08	11/10/21	0.12	...	-0.04	91 of 156 - Sale <sup>25</sup>
	0.002	0.08	11/10/21	0.11	...	-0.03	92 of 156 - Sale <sup>25</sup>
	0.001	0.05	11/10/21	0.07	...	-0.02	93 of 156 - Sale <sup>25</sup>
	0.003	0.12	11/10/21	0.14	...	-0.02	94 of 156 - Sale <sup>25</sup>
	0.000	0.01	11/10/21	0.02	...	-0.01	95 of 156 - Sale <sup>25</sup>
	0.017	0.71	11/10/21	0.68	...	0.03	96 of 156 - Sale <sup>25</sup>
	0.041	1.73	11/10/21	1.63	...	0.10	97 of 156 - Sale <sup>25</sup>
	0.143	6.02	11/11/21	9.49	...	-3.47	98 of 156 - Sale <sup>25</sup>
	0.090	3.79	11/11/21	5.99	...	-2.20	99 of 156 - Sale <sup>25</sup>
	0.071	2.97	11/11/21	4.70	...	-1.73	100 of 156 - Sale <sup>25</sup>
	0.049	2.07	11/11/21	2.99	...	-0.92	101 of 156 - Sale <sup>25</sup>
	0.112	4.70	11/11/21	5.62	...	-0.92	102 of 156 - Sale <sup>25</sup>
	0.025	1.07	11/11/21	1.68	...	-0.61	103 of 156 - Sale <sup>25</sup>
	0.075	3.16	11/11/21	3.76	...	-0.60	104 of 156 - Sale <sup>25</sup>
	0.017	0.70	11/11/21	1.24	...	-0.54	105 of 156 - Sale <sup>25</sup>
	0.017	0.71	11/11/21	1.25	...	-0.54	106 of 156 - Sale <sup>25</sup>
	0.017	0.71	11/11/21	1.25	...	-0.54	107 of 156 - Sale <sup>25</sup>
	0.017	0.71	11/11/21	1.25	...	-0.54	108 of 156 - Sale <sup>25</sup>
	0.017	0.71	11/11/21	1.25	...	-0.54	109 of 156 - Sale <sup>25</sup>
	0.017	0.71	11/11/21	1.23	...	-0.52	110 of 156 - Sale <sup>25</sup>
	0.017	0.71	11/11/21	1.23	...	-0.52	111 of 156 - Sale <sup>25</sup>
	0.015	0.65	11/11/21	1.16	...	-0.51	112 of 156 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.016	0.69	11/11/21	1.17	...	-0.48	113 of 156 - Sale <sup>25</sup>
	0.016	0.69	11/11/21	1.17	...	-0.48	114 of 156 - Sale <sup>25</sup>
	0.018	0.75	11/11/21	1.17	...	-0.42	115 of 156 - Sale <sup>25</sup>
	0.017	0.69	11/11/21	1.10	...	-0.41	116 of 156 - Sale <sup>25</sup>
	0.013	0.54	11/11/21	0.93	...	-0.39	117 of 156 - Sale <sup>25</sup>
	0.012	0.49	11/11/21	0.86	...	-0.37	118 of 156 - Sale <sup>25</sup>
	0.015	0.62	11/11/21	0.99	...	-0.37	119 of 156 - Sale <sup>25</sup>
	0.011	0.47	11/11/21	0.82	...	-0.35	120 of 156 - Sale <sup>25</sup>
	0.012	0.52	11/11/21	0.87	...	-0.35	121 of 156 - Sale <sup>25</sup>
	0.014	0.58	11/11/21	0.91	...	-0.33	122 of 156 - Sale <sup>25</sup>
	0.037	1.56	11/11/21	1.89	...	-0.33	123 of 156 - Sale <sup>25</sup>
	0.010	0.41	11/11/21	0.71	...	-0.30	124 of 156 - Sale <sup>25</sup>
	0.016	0.66	11/11/21	0.96	...	-0.30	125 of 156 - Sale <sup>25</sup>
	0.031	1.28	11/11/21	1.58	...	-0.30	126 of 156 - Sale <sup>25</sup>
	0.008	0.34	11/11/21	0.62	...	-0.28	127 of 156 - Sale <sup>25</sup>
	0.009	0.37	11/11/21	0.64	...	-0.27	128 of 156 - Sale <sup>25</sup>
	0.012	0.49	11/11/21	0.74	...	-0.25	129 of 156 - Sale <sup>25</sup>
	0.007	0.29	11/11/21	0.49	...	-0.20	130 of 156 - Sale <sup>25</sup>
	0.007	0.31	11/11/21	0.49	...	-0.18	131 of 156 - Sale <sup>25</sup>
	0.005	0.22	11/11/21	0.38	...	-0.16	132 of 156 - Sale <sup>25</sup>
	0.005	0.22	11/11/21	0.38	...	-0.16	133 of 156 - Sale <sup>25</sup>
	0.005	0.22	11/11/21	0.38	...	-0.16	134 of 156 - Sale <sup>25</sup>
	0.019	0.79	11/11/21	0.95	...	-0.16	135 of 156 - Sale <sup>25</sup>
	0.005	0.21	11/11/21	0.33	...	-0.12	136 of 156 - Sale <sup>25</sup>
	0.004	0.15	11/11/21	0.26	...	-0.11	137 of 156 - Sale <sup>25</sup>
	0.004	0.15	11/11/21	0.26	...	-0.11	138 of 156 - Sale <sup>25</sup>
	0.013	0.54	11/11/21	0.65	...	-0.11	139 of 156 - Sale <sup>25</sup>
	0.014	0.57	11/11/21	0.68	...	-0.11	140 of 156 - Sale <sup>25</sup>
	0.004	0.17	11/11/21	0.27	...	-0.10	141 of 156 - Sale <sup>25</sup>
	0.001	0.05	11/11/21	0.10	...	-0.05	142 of 156 - Sale <sup>25</sup>
	0.001	0.05	11/11/21	0.09	...	-0.04	143 of 156 - Sale <sup>25</sup>
	0.001	0.06	11/11/21	0.10	...	-0.04	144 of 156 - Sale <sup>25</sup>
	0.001	0.03	11/11/21	0.06	...	-0.03	145 of 156 - Sale <sup>25</sup>
	0.001	0.04	11/11/21	0.07	...	-0.03	146 of 156 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.001	0.02	11/11/21	0.04	...	-0.02	147 of 156 - Sale <sup>25</sup>
	0.056	2.35	11/11/21	2.37	...	-0.02	148 of 156 - Sale <sup>25</sup>
	0.052	2.18	11/11/21	2.19	...	-0.01	149 of 156 - Sale <sup>25</sup>
	0.004	0.17	11/11/21	0.17	...	0.00	150 of 156 - Sale <sup>25</sup>
	0.014	0.60	11/11/21	0.60	...	0.00	151 of 156 - Sale <sup>25</sup>
	0.032	1.34	11/11/21	1.34	...	0.00	152 of 156 - Sale <sup>25</sup>
	0.041	1.71	11/11/21	1.63	...	0.08	153 of 156 - Sale <sup>25</sup>
	0.020	0.84	11/12/21	1.03	...	-0.19	154 of 156 - Sale <sup>25</sup>
	0.018	0.76	11/12/21	0.93	...	-0.17	155 of 156 - Sale <sup>25</sup>
	0.013	0.54	11/12/21	0.56	...	-0.02	156 of 156 - Sale <sup>25</sup>
11/16/21	3.683	154.32	Various	222.97	...	-68.65	Total of 156 transactions
	<b>Security total:</b>	<b>11,570.36</b>		<b>12,011.34</b>	<b>920.68 W</b>	<b>479.70</b>	
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
02/24/21	0.017	3.18	02/23/21	3.00	...	0.18	Sale <sup>25</sup>
	47 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	0.006	1.00	04/09/21	1.00	...	0.00	1 of 47 - Sale <sup>25</sup>
	0.006	1.00	04/09/21	1.00	...	0.00	2 of 47 - Sale <sup>25</sup>
	0.006	1.02	04/09/21	1.02	...	0.00	3 of 47 - Sale <sup>25</sup>
	0.011	2.01	04/09/21	2.00	...	0.01	4 of 47 - Sale <sup>25</sup>
	0.028	5.01	04/09/21	5.00	...	0.01	5 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	6 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	7 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	8 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	9 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	10 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	11 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	12 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	13 of 47 - Sale <sup>25</sup>
	0.028	5.02	04/09/21	5.00	...	0.02	14 of 47 - Sale <sup>25</sup>
	0.044	7.89	04/09/21	7.87	...	0.02	15 of 47 - Sale <sup>25</sup>
	0.056	10.02	04/09/21	10.00	...	0.02	16 of 47 - Sale <sup>25</sup>
	0.006	1.01	04/12/21	1.00	...	0.01	17 of 47 - Sale <sup>25</sup>
	0.006	1.01	04/12/21	1.00	...	0.01	18 of 47 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	0.006	1.01	04/12/21	1.00	...	0.01	19 of 47 - Sale <sup>25</sup>
	0.006	1.01	04/12/21	1.00	...	0.01	20 of 47 - Sale <sup>25</sup>
	0.006	1.01	04/12/21	1.00	...	0.01	21 of 47 - Sale <sup>25</sup>
	0.006	1.01	04/12/21	1.00	...	0.01	22 of 47 - Sale <sup>25</sup>
	0.011	2.01	04/12/21	2.00	...	0.01	23 of 47 - Sale <sup>25</sup>
	0.011	2.01	04/12/21	2.00	...	0.01	24 of 47 - Sale <sup>25</sup>
	0.011	2.01	04/12/21	2.00	...	0.01	25 of 47 - Sale <sup>25</sup>
	0.011	2.01	04/12/21	2.00	...	0.01	26 of 47 - Sale <sup>25</sup>
	0.011	2.01	04/12/21	2.00	...	0.01	27 of 47 - Sale <sup>25</sup>
	0.010	1.86	04/12/21	1.84	...	0.02	28 of 47 - Sale <sup>25</sup>
	0.011	2.02	04/12/21	2.00	...	0.02	29 of 47 - Sale <sup>25</sup>
	0.011	2.02	04/12/21	2.00	...	0.02	30 of 47 - Sale <sup>25</sup>
	0.017	2.97	04/12/21	2.95	...	0.02	31 of 47 - Sale <sup>25</sup>
	0.017	3.02	04/12/21	3.00	...	0.02	32 of 47 - Sale <sup>25</sup>
	0.028	5.05	04/12/21	5.00	...	0.05	33 of 47 - Sale <sup>25</sup>
	0.006	1.01	04/13/21	1.00	...	0.01	34 of 47 - Sale <sup>25</sup>
	0.006	1.01	04/13/21	1.00	...	0.01	35 of 47 - Sale <sup>25</sup>
	0.006	1.01	04/13/21	1.00	...	0.01	36 of 47 - Sale <sup>25</sup>
	0.006	1.02	04/13/21	1.00	...	0.02	37 of 47 - Sale <sup>25</sup>
	0.006	1.02	04/13/21	1.00	...	0.02	38 of 47 - Sale <sup>25</sup>
	0.006	1.03	04/13/21	1.00	...	0.03	39 of 47 - Sale <sup>25</sup>
	0.007	1.26	04/13/21	1.23	...	0.03	40 of 47 - Sale <sup>25</sup>
	0.011	2.04	04/13/21	2.00	...	0.04	41 of 47 - Sale <sup>25</sup>
	0.009	1.67	04/13/21	1.61	...	0.06	42 of 47 - Sale <sup>25</sup>
	0.012	2.06	04/13/21	1.99	...	0.07	43 of 47 - Sale <sup>25</sup>
	0.012	2.07	04/13/21	2.00	...	0.07	44 of 47 - Sale <sup>25</sup>
	0.012	2.07	04/13/21	2.00	...	0.07	45 of 47 - Sale <sup>25</sup>
	0.012	2.07	04/13/21	2.00	...	0.07	46 of 47 - Sale <sup>25</sup>
	0.012	2.08	04/13/21	2.00	...	0.08	47 of 47 - Sale <sup>25</sup>
04/14/21	0.713	127.60	Various	126.51	...	1.09	Total of 47 transactions
	<b>Security total:</b>	<b>130.78</b>		<b>129.51</b>	...	<b>1.27</b>	

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
02/08/21	0.007	1.00	02/05/21	1.00	...	0.00	Sale <sup>25</sup>
	174 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	0.139	18.55	02/05/21	19.00	...	-0.45	1 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	2 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	3 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	4 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	5 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	6 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	7 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	8 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	9 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	10 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	11 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	12 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	13 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/05/21	1.00	...	-0.02	14 of 174 - Sale <sup>25</sup>
	0.015	1.96	02/08/21	2.00	...	-0.04	15 of 174 - Sale <sup>25</sup>
	0.015	1.96	02/08/21	2.00	...	-0.04	16 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	17 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	18 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	19 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	20 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	21 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	22 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	23 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	24 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	25 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	26 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	27 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	28 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	29 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	30 of 174 - Sale <sup>25</sup>
	0.007	0.98	02/08/21	1.00	...	-0.02	31 of 174 - Sale <sup>25</sup>
	0.008	1.05	02/08/21	1.07	...	-0.02	32 of 174 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
0.009		1.17	02/08/21	1.19	...	-0.02	33 of 174 - Sale <sup>25</sup>
0.022		2.94	02/09/21	3.00	...	-0.06	34 of 174 - Sale <sup>25</sup>
0.015		1.96	02/09/21	2.00	...	-0.04	35 of 174 - Sale <sup>25</sup>
0.015		1.99	02/09/21	2.03	...	-0.04	36 of 174 - Sale <sup>25</sup>
0.008		1.03	02/09/21	1.06	...	-0.03	37 of 174 - Sale <sup>25</sup>
0.007		0.98	02/09/21	1.00	...	-0.02	38 of 174 - Sale <sup>25</sup>
0.009		1.20	02/10/21	1.23	...	-0.03	39 of 174 - Sale <sup>25</sup>
0.012		1.60	02/10/21	1.63	...	-0.03	40 of 174 - Sale <sup>25</sup>
0.015		1.97	02/10/21	2.00	...	-0.03	41 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	42 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	43 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	44 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	45 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	46 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	47 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	48 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	49 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	50 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	51 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	52 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	53 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	54 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	55 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	56 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	57 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	58 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	59 of 174 - Sale <sup>25</sup>
0.007		0.98	02/10/21	1.00	...	-0.02	60 of 174 - Sale <sup>25</sup>
0.008		1.01	02/10/21	1.03	...	-0.02	61 of 174 - Sale <sup>25</sup>
0.009		1.14	02/10/21	1.16	...	-0.02	62 of 174 - Sale <sup>25</sup>
0.009		1.21	02/10/21	1.23	...	-0.02	63 of 174 - Sale <sup>25</sup>
0.009		1.22	02/10/21	1.24	...	-0.02	64 of 174 - Sale <sup>25</sup>
0.009		1.22	02/10/21	1.24	...	-0.02	65 of 174 - Sale <sup>25</sup>
0.009		1.24	02/10/21	1.26	...	-0.02	66 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
0.007		0.99	02/10/21	1.00	...	-0.01	67 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	68 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	69 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	70 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	71 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	72 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	73 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	74 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	75 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	76 of 174 - Sale <sup>25</sup>
0.007		0.99	02/10/21	1.00	...	-0.01	77 of 174 - Sale <sup>25</sup>
0.008		1.06	02/10/21	1.07	...	-0.01	78 of 174 - Sale <sup>25</sup>
0.015		1.97	02/11/21	2.00	...	-0.03	79 of 174 - Sale <sup>25</sup>
0.015		1.97	02/11/21	2.00	...	-0.03	80 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	81 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	82 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	83 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	84 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	85 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	86 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	87 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	88 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	89 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	90 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	91 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	92 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	93 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	94 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	95 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	96 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	97 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	98 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	99 of 174 - Sale <sup>25</sup>
0.007		0.98	02/11/21	1.00	...	-0.02	100 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.011	1.44	02/11/21	1.46	...	-0.02	101 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	102 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	103 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	104 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	105 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	106 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	107 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	108 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	109 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	110 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	111 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	112 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	113 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	114 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	115 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	116 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	117 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	118 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	119 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	120 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	121 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	122 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	123 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	124 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/11/21	1.00	...	-0.01	125 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	126 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	127 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	128 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	129 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	130 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	131 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	132 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	133 of 174 - Sale <sup>25</sup>
	0.007	0.99	02/12/21	1.00	...	-0.01	134 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
0.007		0.99	02/12/21	1.00	...	-0.01	135 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	136 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	137 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	138 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	139 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	140 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	141 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	142 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	143 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	144 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	145 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	146 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	147 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	148 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	149 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	150 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	151 of 174 - Sale <sup>25</sup>
0.007		0.99	02/12/21	1.00	...	-0.01	152 of 174 - Sale <sup>25</sup>
0.010		1.27	02/12/21	1.28	...	-0.01	153 of 174 - Sale <sup>25</sup>
0.007		1.00	02/12/21	1.00	...	0.00	154 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	155 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	156 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	157 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	158 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	159 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	160 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	161 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	162 of 174 - Sale <sup>25</sup>
0.007		0.99	02/16/21	1.00	...	-0.01	163 of 174 - Sale <sup>25</sup>
0.012		1.60	02/16/21	1.61	...	-0.01	164 of 174 - Sale <sup>25</sup>
0.007		1.00	02/16/21	1.00	...	0.00	165 of 174 - Sale <sup>25</sup>
0.007		1.00	02/16/21	1.00	...	0.00	166 of 174 - Sale <sup>25</sup>
0.007		1.00	02/16/21	1.00	...	0.00	167 of 174 - Sale <sup>25</sup>
0.007		1.00	02/16/21	1.00	...	0.00	168 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.007	1.00	02/16/21	1.00	...	0.00	169 of 174 - Sale <sup>25</sup>
	0.007	1.00	02/16/21	1.00	...	0.00	170 of 174 - Sale <sup>25</sup>
	0.008	1.00	02/16/21	1.00	...	0.00	171 of 174 - Sale <sup>25</sup>
	0.008	1.00	02/16/21	1.00	...	0.00	172 of 174 - Sale <sup>25</sup>
	0.008	1.00	02/16/21	1.00	...	0.00	173 of 174 - Sale <sup>25</sup>
	0.023	3.00	02/16/21	3.00	...	0.00	174 of 174 - Sale <sup>25</sup>
02/16/21	1.529	203.66	Various	206.79	...	-3.13	Total of 174 transactions
	25 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	0.002	0.20	04/12/21	0.20	...	0.00	1 of 25 - Sale <sup>25</sup>
	0.006	0.80	04/12/21	0.80	...	0.00	2 of 25 - Sale <sup>25</sup>
	0.008	1.01	04/12/21	1.00	...	0.01	3 of 25 - Sale <sup>25</sup>
	0.008	1.01	04/12/21	1.00	...	0.01	4 of 25 - Sale <sup>25</sup>
	0.008	1.02	04/12/21	1.00	...	0.02	5 of 25 - Sale <sup>25</sup>
	0.008	1.02	04/12/21	1.00	...	0.02	6 of 25 - Sale <sup>25</sup>
	0.008	1.02	04/12/21	1.00	...	0.02	7 of 25 - Sale <sup>25</sup>
	0.008	1.02	04/12/21	1.00	...	0.02	8 of 25 - Sale <sup>25</sup>
	0.008	1.02	04/12/21	1.00	...	0.02	9 of 25 - Sale <sup>25</sup>
	0.008	1.02	04/12/21	1.00	...	0.02	10 of 25 - Sale <sup>25</sup>
	0.015	2.02	04/12/21	2.00	...	0.02	11 of 25 - Sale <sup>25</sup>
	0.015	2.03	04/12/21	2.00	...	0.03	12 of 25 - Sale <sup>25</sup>
	0.015	2.03	04/12/21	2.00	...	0.03	13 of 25 - Sale <sup>25</sup>
	0.015	2.03	04/12/21	2.00	...	0.03	14 of 25 - Sale <sup>25</sup>
	0.015	2.03	04/12/21	2.00	...	0.03	15 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	16 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	17 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	18 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	19 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	20 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	21 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	22 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	23 of 25 - Sale <sup>25</sup>
	0.015	2.04	04/12/21	2.00	...	0.04	24 of 25 - Sale <sup>25</sup>
	0.019	2.53	04/12/21	2.49	...	0.04	25 of 25 - Sale <sup>25</sup>
04/14/21	0.301	40.17	Various	39.49	...	0.68	Total of 25 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>244.83</b>		<b>247.28</b>	...	<b>-2.45</b>	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.158	1.00	01/04/21	0.99	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.215	1.35	01/04/21	1.00	...	0.35	2 of 2 - Sale <sup>25</sup>
01/04/21	0.373	2.35	Various	1.99	...	0.36	Total of 2 transactions
01/07/21	1.000	4.99	01/05/21	4.78	...	0.21	Sale <sup>25</sup>
49 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.002	0.01	01/05/21	0.01	...	0.00	1 of 49 - Sale <sup>25</sup>
	0.032	0.18	01/05/21	0.14	...	0.04	2 of 49 - Sale <sup>25</sup>
	0.032	0.19	01/05/21	0.14	...	0.05	3 of 49 - Sale <sup>25</sup>
	0.068	0.39	01/05/21	0.29	...	0.10	4 of 49 - Sale <sup>25</sup>
	0.209	1.15	01/05/21	1.00	...	0.15	5 of 49 - Sale <sup>25</sup>
	0.129	0.71	01/05/21	0.55	...	0.16	6 of 49 - Sale <sup>25</sup>
	0.210	1.16	01/05/21	1.00	...	0.16	7 of 49 - Sale <sup>25</sup>
	0.212	1.17	01/05/21	1.00	...	0.17	8 of 49 - Sale <sup>25</sup>
	0.191	1.05	01/05/21	0.86	...	0.19	9 of 49 - Sale <sup>25</sup>
	0.219	1.20	01/05/21	1.00	...	0.20	10 of 49 - Sale <sup>25</sup>
	0.210	1.22	01/05/21	1.00	...	0.22	11 of 49 - Sale <sup>25</sup>
	0.212	1.23	01/05/21	1.00	...	0.23	12 of 49 - Sale <sup>25</sup>
	0.223	1.23	01/05/21	0.99	...	0.24	13 of 49 - Sale <sup>25</sup>
	0.215	1.25	01/05/21	1.00	...	0.25	14 of 49 - Sale <sup>25</sup>
	0.228	1.33	01/05/21	1.00	...	0.33	15 of 49 - Sale <sup>25</sup>
	0.231	1.35	01/05/21	1.00	...	0.35	16 of 49 - Sale <sup>25</sup>
	0.371	2.16	01/05/21	1.67	...	0.49	17 of 49 - Sale <sup>25</sup>
	0.434	2.53	01/05/21	2.00	...	0.53	18 of 49 - Sale <sup>25</sup>
	2.000	10.89	01/05/21	9.55	...	1.34	19 of 49 - Sale <sup>25</sup>
	0.002	0.01	01/06/21	0.01	...	0.00	20 of 49 - Sale <sup>25</sup>
	0.002	0.01	01/06/21	0.01	...	0.00	21 of 49 - Sale <sup>25</sup>
	0.004	0.02	01/06/21	0.02	...	0.00	22 of 49 - Sale <sup>25</sup>
	0.014	0.08	01/06/21	0.07	...	0.01	23 of 49 - Sale <sup>25</sup>
	0.020	0.11	01/06/21	0.09	...	0.02	24 of 49 - Sale <sup>25</sup>
	0.100	0.55	01/06/21	0.45	...	0.10	25 of 49 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	0.197	1.08	01/06/21	0.90	...	0.18	26 of 49 - Sale <sup>25</sup>
	0.202	1.11	01/06/21	0.93	...	0.18	27 of 49 - Sale <sup>25</sup>
	0.215	1.18	01/06/21	1.00	...	0.18	28 of 49 - Sale <sup>25</sup>
	0.217	1.20	01/06/21	1.00	...	0.20	29 of 49 - Sale <sup>25</sup>
	0.218	1.20	01/06/21	1.00	...	0.20	30 of 49 - Sale <sup>25</sup>
	0.218	1.20	01/06/21	1.00	...	0.20	31 of 49 - Sale <sup>25</sup>
	0.219	1.20	01/06/21	1.00	...	0.20	32 of 49 - Sale <sup>25</sup>
	0.218	1.20	01/06/21	0.99	...	0.21	33 of 49 - Sale <sup>25</sup>
	0.219	1.21	01/06/21	1.00	...	0.21	34 of 49 - Sale <sup>25</sup>
	0.221	1.21	01/06/21	1.00	...	0.21	35 of 49 - Sale <sup>25</sup>
	0.223	1.23	01/06/21	1.00	...	0.23	36 of 49 - Sale <sup>25</sup>
	0.224	1.23	01/06/21	1.00	...	0.23	37 of 49 - Sale <sup>25</sup>
	0.224	1.24	01/06/21	1.00	...	0.24	38 of 49 - Sale <sup>25</sup>
	0.435	2.40	01/06/21	2.00	...	0.40	39 of 49 - Sale <sup>25</sup>
	0.436	2.40	01/06/21	1.99	...	0.41	40 of 49 - Sale <sup>25</sup>
	0.438	2.41	01/06/21	2.00	...	0.41	41 of 49 - Sale <sup>25</sup>
	0.439	2.41	01/06/21	2.00	...	0.41	42 of 49 - Sale <sup>25</sup>
	1.000	5.51	01/06/21	4.53	...	0.98	43 of 49 - Sale <sup>25</sup>
	0.238	1.31	01/08/21	1.00	...	0.31	44 of 49 - Sale <sup>25</sup>
	0.238	1.31	01/08/21	1.00	...	0.31	45 of 49 - Sale <sup>25</sup>
	0.239	1.31	01/08/21	1.00	...	0.31	46 of 49 - Sale <sup>25</sup>
	0.238	1.32	01/08/21	1.00	...	0.32	47 of 49 - Sale <sup>25</sup>
	0.240	1.32	01/08/21	1.00	...	0.32	48 of 49 - Sale <sup>25</sup>
	0.240	1.32	01/08/21	1.00	...	0.32	49 of 49 - Sale <sup>25</sup>
01/11/21	12.568	69.69	Various	57.19	...	12.50	Total of 49 transactions
7 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	0.162	1.05	06/02/21	1.00	...	0.05	1 of 7 - Sale <sup>25</sup>
	0.810	5.25	06/02/21	5.00	...	0.25	2 of 7 - Sale <sup>25</sup>
	0.810	5.25	06/02/21	5.00	...	0.25	3 of 7 - Sale <sup>25</sup>
	0.810	5.25	06/02/21	5.00	...	0.25	4 of 7 - Sale <sup>25</sup>
	0.810	5.25	06/02/21	5.00	...	0.25	5 of 7 - Sale <sup>25</sup>
	0.811	5.26	06/02/21	5.00	...	0.26	6 of 7 - Sale <sup>25</sup>
	4.000	25.94	06/02/21	24.84	...	1.10	7 of 7 - Sale <sup>25</sup>
06/03/21	8.212	53.25	Various	50.84	...	2.41	Total of 7 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
<b>Security total:</b>		<b>130.28</b>		<b>114.80</b>	...	<b>15.48</b>	
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
12/09/21	1.000	6.77	12/06/21	6.96	...	-0.19	Sale <sup>25</sup>
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
30 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
0.001		0.03	02/12/21	0.05	0.02 W	0.00	1 of 30 - Sale <sup>25</sup>
0.028		0.66	02/12/21	1.00	0.34 W	0.00	2 of 30 - Sale <sup>25</sup>
0.028		0.66	02/12/21	1.00	0.34 W	0.00	3 of 30 - Sale <sup>25</sup>
0.028		0.66	02/12/21	1.00	0.34 W	0.00	4 of 30 - Sale <sup>25</sup>
0.028		0.66	02/12/21	1.00	0.34 W	0.00	5 of 30 - Sale <sup>25</sup>
0.028		0.66	02/12/21	1.00	0.34 W	0.00	6 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	7 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	8 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	9 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	10 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	11 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	12 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	13 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	14 of 30 - Sale <sup>25</sup>
0.029		0.66	02/12/21	1.00	0.34 W	0.00	15 of 30 - Sale <sup>25</sup>
0.029		0.67	02/12/21	1.00	0.33 W	0.00	16 of 30 - Sale <sup>25</sup>
0.029		0.67	02/12/21	1.00	0.33 W	0.00	17 of 30 - Sale <sup>25</sup>
0.058		1.34	02/12/21	2.00	0.66 W	0.00	18 of 30 - Sale <sup>25</sup>
0.058		1.34	02/12/21	2.00	0.66 W	0.00	19 of 30 - Sale <sup>25</sup>
0.086		2.00	02/12/21	3.00	1.00 W	0.00	20 of 30 - Sale <sup>25</sup>
0.144		3.34	02/12/21	5.00	1.66 W	0.00	21 of 30 - Sale <sup>25</sup>
0.144		3.34	02/12/21	5.00	1.66 W	0.00	22 of 30 - Sale <sup>25</sup>
0.144		3.34	02/12/21	5.00	1.66 W	0.00	23 of 30 - Sale <sup>25</sup>
0.144		3.34	02/12/21	5.00	1.66 W	0.00	24 of 30 - Sale <sup>25</sup>
0.144		3.34	02/12/21	5.00	1.66 W	0.00	25 of 30 - Sale <sup>25</sup>
0.176		4.07	02/12/21	6.21	2.14 W	0.00	26 of 30 - Sale <sup>25</sup>
0.237		5.50	02/12/21	8.32	2.82 W	0.00	27 of 30 - Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	0.570	13.22	02/12/21	20.00	6.78 W	0.00	28 of 30 - Sale <sup>25</sup>
	0.823	19.08	02/12/21	29.10	10.02 W	0.00	29 of 30 - Sale <sup>25</sup>
	1.990	46.14	02/16/21	76.00	29.86 W	0.00	30 of 30 - Sale <sup>25</sup>
04/27/21	5.177	120.00	Various	187.68	67.68 W	0.00	Total of 30 transactions
415 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	0.395	7.74	02/16/21	14.99	...	-7.25	1 of 415 - Sale <sup>25</sup>
	0.263	5.15	02/16/21	10.00	...	-4.85	2 of 415 - Sale <sup>25</sup>
	0.165	3.22	02/16/21	6.23	...	-3.01	3 of 415 - Sale <sup>25</sup>
	0.132	2.58	02/16/21	4.99	...	-2.41	4 of 415 - Sale <sup>25</sup>
	0.105	2.07	02/16/21	4.00	...	-1.93	5 of 415 - Sale <sup>25</sup>
	0.053	1.03	02/16/21	2.00	...	-0.97	6 of 415 - Sale <sup>25</sup>
	0.026	0.51	02/16/21	1.00	...	-0.49	7 of 415 - Sale <sup>25</sup>
	0.026	0.52	02/16/21	1.00	...	-0.48	8 of 415 - Sale <sup>25</sup>
	0.000	0.01	02/16/21	0.01	...	0.00	9 of 415 - Sale <sup>25</sup>
	0.191	3.74	02/17/21	10.63	...	-6.89	10 of 415 - Sale <sup>25</sup>
	0.090	1.75	02/17/21	5.00	...	-3.25	11 of 415 - Sale <sup>25</sup>
	0.093	1.82	02/17/21	5.00	...	-3.18	12 of 415 - Sale <sup>25</sup>
	0.093	1.82	02/17/21	5.00	...	-3.18	13 of 415 - Sale <sup>25</sup>
	0.074	1.45	02/17/21	4.00	...	-2.55	14 of 415 - Sale <sup>25</sup>
	0.056	1.09	02/17/21	3.00	...	-1.91	15 of 415 - Sale <sup>25</sup>
	0.037	0.73	02/17/21	2.00	...	-1.27	16 of 415 - Sale <sup>25</sup>
	0.037	0.73	02/17/21	2.00	...	-1.27	17 of 415 - Sale <sup>25</sup>
	0.017	0.34	02/17/21	1.00	...	-0.66	18 of 415 - Sale <sup>25</sup>
	0.017	0.34	02/17/21	1.00	...	-0.66	19 of 415 - Sale <sup>25</sup>
	0.018	0.35	02/17/21	1.00	...	-0.65	20 of 415 - Sale <sup>25</sup>
	0.019	0.36	02/17/21	1.00	...	-0.64	21 of 415 - Sale <sup>25</sup>
	0.019	0.37	02/17/21	1.00	...	-0.63	22 of 415 - Sale <sup>25</sup>
	0.019	0.37	02/17/21	1.00	...	-0.63	23 of 415 - Sale <sup>25</sup>
	0.019	0.37	02/17/21	1.00	...	-0.63	24 of 415 - Sale <sup>25</sup>
	0.019	0.37	02/17/21	1.00	...	-0.63	25 of 415 - Sale <sup>25</sup>
	0.019	0.37	02/17/21	1.00	...	-0.63	26 of 415 - Sale <sup>25</sup>
	0.019	0.37	02/17/21	1.00	...	-0.63	27 of 415 - Sale <sup>25</sup>
	0.019	0.37	02/17/21	1.00	...	-0.63	28 of 415 - Sale <sup>25</sup>
	0.084	1.64	02/18/21	5.00	...	-3.36	29 of 415 - Sale <sup>25</sup>

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(continued)

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.034		0.67	02/18/21	2.00	...	-1.33	30 of 415 - Sale <sup>25</sup>
0.017		0.34	02/18/21	1.00	...	-0.66	31 of 415 - Sale <sup>25</sup>
1.000		19.58	02/19/21	51.11	...	-31.53	32 of 415 - Sale <sup>25</sup>
0.086		1.69	02/19/21	5.00	...	-3.31	33 of 415 - Sale <sup>25</sup>
0.054		1.05	02/19/21	3.20	...	-2.15	34 of 415 - Sale <sup>25</sup>
0.052		1.01	02/19/21	3.00	...	-1.99	35 of 415 - Sale <sup>25</sup>
0.034		0.67	02/19/21	2.00	...	-1.33	36 of 415 - Sale <sup>25</sup>
0.017		0.32	02/19/21	1.00	...	-0.68	37 of 415 - Sale <sup>25</sup>
0.017		0.33	02/19/21	1.00	...	-0.67	38 of 415 - Sale <sup>25</sup>
0.017		0.33	02/19/21	1.00	...	-0.67	39 of 415 - Sale <sup>25</sup>
0.017		0.33	02/19/21	1.00	...	-0.67	40 of 415 - Sale <sup>25</sup>
0.017		0.33	02/19/21	1.00	...	-0.67	41 of 415 - Sale <sup>25</sup>
0.017		0.33	02/19/21	1.00	...	-0.67	42 of 415 - Sale <sup>25</sup>
0.017		0.33	02/19/21	1.00	...	-0.67	43 of 415 - Sale <sup>25</sup>
0.017		0.33	02/19/21	1.00	...	-0.67	44 of 415 - Sale <sup>25</sup>
0.017		0.34	02/19/21	1.00	...	-0.66	45 of 415 - Sale <sup>25</sup>
0.017		0.33	02/19/21	0.89	...	-0.56	46 of 415 - Sale <sup>25</sup>
0.167		3.28	02/22/21	10.00	...	-6.72	47 of 415 - Sale <sup>25</sup>
0.168		3.28	02/22/21	10.00	...	-6.72	48 of 415 - Sale <sup>25</sup>
0.168		3.28	02/22/21	10.00	...	-6.72	49 of 415 - Sale <sup>25</sup>
0.084		1.64	02/22/21	5.00	...	-3.36	50 of 415 - Sale <sup>25</sup>
0.067		1.32	02/22/21	4.00	...	-2.68	51 of 415 - Sale <sup>25</sup>
0.034		0.66	02/22/21	2.00	...	-1.34	52 of 415 - Sale <sup>25</sup>
0.017		0.33	02/22/21	1.00	...	-0.67	53 of 415 - Sale <sup>25</sup>
0.017		0.33	02/22/21	1.00	...	-0.67	54 of 415 - Sale <sup>25</sup>
0.017		0.33	02/22/21	1.00	...	-0.67	55 of 415 - Sale <sup>25</sup>
0.026		0.50	02/23/21	1.00	...	-0.50	56 of 415 - Sale <sup>25</sup>
0.026		0.52	02/23/21	1.00	...	-0.48	57 of 415 - Sale <sup>25</sup>
0.027		0.52	02/23/21	0.99	...	-0.47	58 of 415 - Sale <sup>25</sup>
0.027		0.53	02/23/21	1.00	...	-0.47	59 of 415 - Sale <sup>25</sup>
0.028		0.55	02/23/21	1.00	...	-0.45	60 of 415 - Sale <sup>25</sup>
0.028		0.55	02/23/21	1.00	...	-0.45	61 of 415 - Sale <sup>25</sup>
0.028		0.56	02/23/21	1.00	...	-0.44	62 of 415 - Sale <sup>25</sup>
0.029		0.56	02/23/21	1.00	...	-0.44	63 of 415 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	0.029	0.58	02/23/21	1.00	...	-0.42	64 of 415 - Sale <sup>25</sup>
	0.029	0.58	02/23/21	1.00	...	-0.42	65 of 415 - Sale <sup>25</sup>
	0.030	0.58	02/23/21	1.00	...	-0.42	66 of 415 - Sale <sup>25</sup>
	0.030	0.59	02/23/21	1.00	...	-0.41	67 of 415 - Sale <sup>25</sup>
	0.030	0.59	02/23/21	1.00	...	-0.41	68 of 415 - Sale <sup>25</sup>
	0.030	0.59	02/23/21	1.00	...	-0.41	69 of 415 - Sale <sup>25</sup>
	0.030	0.59	02/23/21	1.00	...	-0.41	70 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/23/21	1.00	...	-0.39	71 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/23/21	1.00	...	-0.39	72 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/23/21	1.00	...	-0.39	73 of 415 - Sale <sup>25</sup>
	0.035	0.68	02/24/21	1.19	...	-0.51	74 of 415 - Sale <sup>25</sup>
	0.032	0.63	02/24/21	1.12	...	-0.49	75 of 415 - Sale <sup>25</sup>
	0.029	0.56	02/24/21	1.00	...	-0.44	76 of 415 - Sale <sup>25</sup>
	0.029	0.57	02/24/21	1.00	...	-0.43	77 of 415 - Sale <sup>25</sup>
	0.029	0.57	02/24/21	1.00	...	-0.43	78 of 415 - Sale <sup>25</sup>
	0.029	0.57	02/24/21	1.00	...	-0.43	79 of 415 - Sale <sup>25</sup>
	0.029	0.57	02/24/21	1.00	...	-0.43	80 of 415 - Sale <sup>25</sup>
	0.029	0.57	02/24/21	1.00	...	-0.43	81 of 415 - Sale <sup>25</sup>
	0.029	0.57	02/24/21	1.00	...	-0.43	82 of 415 - Sale <sup>25</sup>
	0.031	0.60	02/24/21	1.00	...	-0.40	83 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/24/21	1.00	...	-0.39	84 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/24/21	1.00	...	-0.39	85 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/24/21	1.00	...	-0.39	86 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/24/21	1.00	...	-0.39	87 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/24/21	1.00	...	-0.39	88 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/24/21	1.00	...	-0.39	89 of 415 - Sale <sup>25</sup>
	0.032	0.62	02/24/21	1.00	...	-0.38	90 of 415 - Sale <sup>25</sup>
	0.033	0.64	02/24/21	1.00	...	-0.36	91 of 415 - Sale <sup>25</sup>
	0.033	0.64	02/24/21	1.00	...	-0.36	92 of 415 - Sale <sup>25</sup>
	0.061	1.20	02/25/21	1.93	...	-0.73	93 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/25/21	1.00	...	-0.39	94 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/25/21	1.00	...	-0.39	95 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/25/21	1.00	...	-0.39	96 of 415 - Sale <sup>25</sup>
	0.031	0.61	02/25/21	1.00	...	-0.39	97 of 415 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.032		0.62	02/25/21	1.00	...	-0.38	98 of 415 - Sale <sup>25</sup>
0.032		0.62	02/25/21	1.00	...	-0.38	99 of 415 - Sale <sup>25</sup>
0.040		0.79	02/25/21	1.17	...	-0.38	100 of 415 - Sale <sup>25</sup>
0.032		0.63	02/25/21	1.00	...	-0.37	101 of 415 - Sale <sup>25</sup>
0.032		0.63	02/25/21	1.00	...	-0.37	102 of 415 - Sale <sup>25</sup>
0.032		0.63	02/25/21	1.00	...	-0.37	103 of 415 - Sale <sup>25</sup>
0.032		0.64	02/25/21	1.00	...	-0.36	104 of 415 - Sale <sup>25</sup>
0.033		0.64	02/25/21	1.00	...	-0.36	105 of 415 - Sale <sup>25</sup>
0.034		0.67	02/25/21	1.00	...	-0.33	106 of 415 - Sale <sup>25</sup>
0.035		0.68	02/25/21	1.00	...	-0.32	107 of 415 - Sale <sup>25</sup>
0.035		0.68	02/25/21	1.00	...	-0.32	108 of 415 - Sale <sup>25</sup>
0.035		0.68	02/25/21	1.00	...	-0.32	109 of 415 - Sale <sup>25</sup>
0.035		0.69	02/25/21	1.00	...	-0.31	110 of 415 - Sale <sup>25</sup>
0.035		0.69	02/25/21	1.00	...	-0.31	111 of 415 - Sale <sup>25</sup>
0.031		0.60	02/26/21	1.00	...	-0.40	112 of 415 - Sale <sup>25</sup>
0.031		0.61	02/26/21	1.00	...	-0.39	113 of 415 - Sale <sup>25</sup>
0.033		0.64	02/26/21	1.00	...	-0.36	114 of 415 - Sale <sup>25</sup>
0.033		0.65	02/26/21	1.00	...	-0.35	115 of 415 - Sale <sup>25</sup>
0.033		0.65	02/26/21	1.00	...	-0.35	116 of 415 - Sale <sup>25</sup>
0.034		0.67	02/26/21	1.00	...	-0.33	117 of 415 - Sale <sup>25</sup>
0.035		0.68	02/26/21	1.00	...	-0.32	118 of 415 - Sale <sup>25</sup>
0.032		0.62	03/01/21	1.00	...	-0.38	119 of 415 - Sale <sup>25</sup>
0.032		0.62	03/01/21	1.00	...	-0.38	120 of 415 - Sale <sup>25</sup>
0.032		0.62	03/01/21	1.00	...	-0.38	121 of 415 - Sale <sup>25</sup>
0.032		0.62	03/01/21	1.00	...	-0.38	122 of 415 - Sale <sup>25</sup>
0.032		0.62	03/01/21	1.00	...	-0.38	123 of 415 - Sale <sup>25</sup>
0.032		0.63	03/01/21	1.01	...	-0.38	124 of 415 - Sale <sup>25</sup>
0.032		0.63	03/01/21	1.01	...	-0.38	125 of 415 - Sale <sup>25</sup>
0.032		0.63	03/01/21	1.00	...	-0.37	126 of 415 - Sale <sup>25</sup>
0.032		0.63	03/01/21	1.00	...	-0.37	127 of 415 - Sale <sup>25</sup>
0.032		0.63	03/01/21	1.00	...	-0.37	128 of 415 - Sale <sup>25</sup>
0.033		0.65	03/01/21	1.00	...	-0.35	129 of 415 - Sale <sup>25</sup>
0.033		0.65	03/01/21	1.00	...	-0.35	130 of 415 - Sale <sup>25</sup>
0.033		0.65	03/01/21	1.00	...	-0.35	131 of 415 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.067		1.31	03/02/21	2.00	...	-0.69	132 of 415 - Sale <sup>25</sup>
0.033		0.65	03/02/21	1.00	...	-0.35	133 of 415 - Sale <sup>25</sup>
0.033		0.65	03/02/21	1.00	...	-0.35	134 of 415 - Sale <sup>25</sup>
0.033		0.65	03/02/21	1.00	...	-0.35	135 of 415 - Sale <sup>25</sup>
0.034		0.66	03/02/21	1.00	...	-0.34	136 of 415 - Sale <sup>25</sup>
0.034		0.66	03/02/21	1.00	...	-0.34	137 of 415 - Sale <sup>25</sup>
0.034		0.66	03/02/21	1.00	...	-0.34	138 of 415 - Sale <sup>25</sup>
0.034		0.66	03/02/21	1.00	...	-0.34	139 of 415 - Sale <sup>25</sup>
0.035		0.68	03/02/21	1.00	...	-0.32	140 of 415 - Sale <sup>25</sup>
0.036		0.70	03/02/21	1.00	...	-0.30	141 of 415 - Sale <sup>25</sup>
0.036		0.71	03/02/21	1.00	...	-0.29	142 of 415 - Sale <sup>25</sup>
0.036		0.71	03/02/21	1.00	...	-0.29	143 of 415 - Sale <sup>25</sup>
0.036		0.71	03/03/21	1.00	...	-0.29	144 of 415 - Sale <sup>25</sup>
0.040		0.78	03/03/21	1.00	...	-0.22	145 of 415 - Sale <sup>25</sup>
0.040		0.79	03/03/21	1.00	...	-0.21	146 of 415 - Sale <sup>25</sup>
0.040		0.79	03/03/21	1.00	...	-0.21	147 of 415 - Sale <sup>25</sup>
0.041		0.81	03/03/21	1.00	...	-0.19	148 of 415 - Sale <sup>25</sup>
0.041		0.80	03/04/21	1.00	...	-0.20	149 of 415 - Sale <sup>25</sup>
0.041		0.81	03/04/21	1.00	...	-0.19	150 of 415 - Sale <sup>25</sup>
0.042		0.81	03/04/21	1.00	...	-0.19	151 of 415 - Sale <sup>25</sup>
0.042		0.82	03/04/21	1.00	...	-0.18	152 of 415 - Sale <sup>25</sup>
0.042		0.82	03/04/21	1.00	...	-0.18	153 of 415 - Sale <sup>25</sup>
0.042		0.82	03/04/21	1.00	...	-0.18	154 of 415 - Sale <sup>25</sup>
0.043		0.84	03/04/21	1.00	...	-0.16	155 of 415 - Sale <sup>25</sup>
0.043		0.84	03/04/21	1.00	...	-0.16	156 of 415 - Sale <sup>25</sup>
0.044		0.85	03/04/21	1.00	...	-0.15	157 of 415 - Sale <sup>25</sup>
0.040		0.78	03/05/21	1.00	...	-0.22	158 of 415 - Sale <sup>25</sup>
0.040		0.79	03/05/21	1.00	...	-0.21	159 of 415 - Sale <sup>25</sup>
0.043		0.85	03/05/21	1.00	...	-0.15	160 of 415 - Sale <sup>25</sup>
0.044		0.87	03/05/21	1.00	...	-0.13	161 of 415 - Sale <sup>25</sup>
0.045		0.88	03/05/21	1.00	...	-0.12	162 of 415 - Sale <sup>25</sup>
0.048		0.95	03/05/21	1.00	...	-0.05	163 of 415 - Sale <sup>25</sup>
0.037		0.73	03/10/21	1.00	...	-0.27	164 of 415 - Sale <sup>25</sup>
0.038		0.74	03/10/21	1.00	...	-0.26	165 of 415 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.038		0.74	03/10/21	1.00	...	-0.26	166 of 415 - Sale <sup>25</sup>
0.038		0.75	03/10/21	1.00	...	-0.25	167 of 415 - Sale <sup>25</sup>
0.039		0.76	03/10/21	1.00	...	-0.24	168 of 415 - Sale <sup>25</sup>
0.040		0.79	03/10/21	1.03	...	-0.24	169 of 415 - Sale <sup>25</sup>
0.039		0.77	03/10/21	1.00	...	-0.23	170 of 415 - Sale <sup>25</sup>
0.040		0.77	03/10/21	1.00	...	-0.23	171 of 415 - Sale <sup>25</sup>
0.052		1.02	03/11/21	1.40	...	-0.38	172 of 415 - Sale <sup>25</sup>
0.037		0.72	03/11/21	1.00	...	-0.28	173 of 415 - Sale <sup>25</sup>
0.037		0.72	03/11/21	1.00	...	-0.28	174 of 415 - Sale <sup>25</sup>
0.037		0.72	03/11/21	1.00	...	-0.28	175 of 415 - Sale <sup>25</sup>
0.037		0.72	03/11/21	1.00	...	-0.28	176 of 415 - Sale <sup>25</sup>
0.037		0.73	03/11/21	1.00	...	-0.27	177 of 415 - Sale <sup>25</sup>
0.037		0.73	03/11/21	1.00	...	-0.27	178 of 415 - Sale <sup>25</sup>
0.037		0.73	03/11/21	1.00	...	-0.27	179 of 415 - Sale <sup>25</sup>
0.038		0.73	03/11/21	1.00	...	-0.27	180 of 415 - Sale <sup>25</sup>
0.038		0.74	03/11/21	1.00	...	-0.26	181 of 415 - Sale <sup>25</sup>
0.038		0.75	03/11/21	1.00	...	-0.25	182 of 415 - Sale <sup>25</sup>
0.038		0.75	03/11/21	1.00	...	-0.25	183 of 415 - Sale <sup>25</sup>
0.035		0.69	03/15/21	1.00	...	-0.31	184 of 415 - Sale <sup>25</sup>
0.036		0.70	03/15/21	1.01	...	-0.31	185 of 415 - Sale <sup>25</sup>
0.031		0.60	03/16/21	1.00	...	-0.40	186 of 415 - Sale <sup>25</sup>
0.031		0.61	03/16/21	1.00	...	-0.39	187 of 415 - Sale <sup>25</sup>
0.031		0.61	03/16/21	1.00	...	-0.39	188 of 415 - Sale <sup>25</sup>
0.031		0.61	03/16/21	1.00	...	-0.39	189 of 415 - Sale <sup>25</sup>
0.031		0.61	03/16/21	1.00	...	-0.39	190 of 415 - Sale <sup>25</sup>
0.032		0.62	03/16/21	1.00	...	-0.38	191 of 415 - Sale <sup>25</sup>
0.032		0.62	03/16/21	1.00	...	-0.38	192 of 415 - Sale <sup>25</sup>
0.032		0.64	03/16/21	1.00	...	-0.36	193 of 415 - Sale <sup>25</sup>
0.032		0.64	03/16/21	1.00	...	-0.36	194 of 415 - Sale <sup>25</sup>
0.033		0.64	03/16/21	1.00	...	-0.36	195 of 415 - Sale <sup>25</sup>
0.033		0.65	03/16/21	1.00	...	-0.35	196 of 415 - Sale <sup>25</sup>
0.033		0.65	03/16/21	1.00	...	-0.35	197 of 415 - Sale <sup>25</sup>
0.034		0.66	03/16/21	1.00	...	-0.34	198 of 415 - Sale <sup>25</sup>
0.033		0.65	03/17/21	1.00	...	-0.35	199 of 415 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.033		0.65	03/17/21	1.00	...	-0.35	200 of 415 - Sale <sup>25</sup>
0.033		0.66	03/17/21	1.00	...	-0.34	201 of 415 - Sale <sup>25</sup>
0.034		0.66	03/17/21	1.00	...	-0.34	202 of 415 - Sale <sup>25</sup>
0.034		0.66	03/17/21	1.00	...	-0.34	203 of 415 - Sale <sup>25</sup>
0.034		0.66	03/17/21	1.00	...	-0.34	204 of 415 - Sale <sup>25</sup>
0.034		0.66	03/17/21	1.00	...	-0.34	205 of 415 - Sale <sup>25</sup>
0.034		0.66	03/17/21	1.00	...	-0.34	206 of 415 - Sale <sup>25</sup>
0.034		0.66	03/17/21	1.00	...	-0.34	207 of 415 - Sale <sup>25</sup>
0.034		0.66	03/17/21	1.00	...	-0.34	208 of 415 - Sale <sup>25</sup>
0.034		0.67	03/17/21	1.00	...	-0.33	209 of 415 - Sale <sup>25</sup>
0.035		0.68	03/17/21	1.00	...	-0.32	210 of 415 - Sale <sup>25</sup>
0.035		0.69	03/18/21	1.00	...	-0.31	211 of 415 - Sale <sup>25</sup>
0.035		0.69	03/18/21	1.00	...	-0.31	212 of 415 - Sale <sup>25</sup>
0.035		0.69	03/18/21	1.00	...	-0.31	213 of 415 - Sale <sup>25</sup>
0.035		0.69	03/18/21	1.00	...	-0.31	214 of 415 - Sale <sup>25</sup>
0.036		0.70	03/18/21	1.00	...	-0.30	215 of 415 - Sale <sup>25</sup>
0.037		0.73	03/19/21	1.00	...	-0.27	216 of 415 - Sale <sup>25</sup>
0.037		0.73	03/19/21	1.00	...	-0.27	217 of 415 - Sale <sup>25</sup>
0.037		0.73	03/19/21	1.00	...	-0.27	218 of 415 - Sale <sup>25</sup>
0.038		0.73	03/19/21	1.00	...	-0.27	219 of 415 - Sale <sup>25</sup>
0.038		0.75	03/19/21	1.00	...	-0.25	220 of 415 - Sale <sup>25</sup>
0.038		0.75	03/19/21	1.00	...	-0.25	221 of 415 - Sale <sup>25</sup>
0.038		0.74	03/22/21	1.00	...	-0.26	222 of 415 - Sale <sup>25</sup>
0.041		0.81	03/24/21	1.00	...	-0.19	223 of 415 - Sale <sup>25</sup>
0.041		0.81	03/25/21	1.00	...	-0.19	224 of 415 - Sale <sup>25</sup>
0.042		0.82	03/31/21	1.51	...	-0.69	225 of 415 - Sale <sup>25</sup>
0.043		0.83	03/31/21	1.52	...	-0.69	226 of 415 - Sale <sup>25</sup>
0.041		0.81	04/01/21	1.50	...	-0.69	227 of 415 - Sale <sup>25</sup>
0.042		0.83	04/01/21	1.51	...	-0.68	228 of 415 - Sale <sup>25</sup>
0.033		0.65	04/01/21	1.18	...	-0.53	229 of 415 - Sale <sup>25</sup>
0.008		0.16	04/01/21	0.29	...	-0.13	230 of 415 - Sale <sup>25</sup>
0.001		0.03	04/01/21	0.05	...	-0.02	231 of 415 - Sale <sup>25</sup>
0.412		8.08	04/05/21	15.02	...	-6.94	232 of 415 - Sale <sup>25</sup>
0.377		7.39	04/05/21	13.67	...	-6.28	233 of 415 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.216		4.24	04/05/21	8.25	...	-4.01	234 of 415 - Sale <sup>25</sup>
0.216		4.24	04/05/21	8.25	...	-4.01	235 of 415 - Sale <sup>25</sup>
0.144		2.82	04/05/21	4.99	...	-2.17	236 of 415 - Sale <sup>25</sup>
0.139		2.72	04/05/21	4.81	...	-2.09	237 of 415 - Sale <sup>25</sup>
0.083		1.62	04/05/21	3.15	...	-1.53	238 of 415 - Sale <sup>25</sup>
0.086		1.68	04/05/21	3.02	...	-1.34	239 of 415 - Sale <sup>25</sup>
0.086		1.68	04/05/21	3.02	...	-1.34	240 of 415 - Sale <sup>25</sup>
0.086		1.68	04/05/21	3.02	...	-1.34	241 of 415 - Sale <sup>25</sup>
0.086		1.68	04/05/21	3.02	...	-1.34	242 of 415 - Sale <sup>25</sup>
0.085		1.67	04/05/21	2.99	...	-1.32	243 of 415 - Sale <sup>25</sup>
0.078		1.52	04/05/21	2.68	...	-1.16	244 of 415 - Sale <sup>25</sup>
0.063		1.23	04/05/21	2.19	...	-0.96	245 of 415 - Sale <sup>25</sup>
0.058		1.13	04/05/21	1.99	...	-0.86	246 of 415 - Sale <sup>25</sup>
0.055		1.08	04/05/21	1.93	...	-0.85	247 of 415 - Sale <sup>25</sup>
0.054		1.06	04/05/21	1.89	...	-0.83	248 of 415 - Sale <sup>25</sup>
0.043		0.83	04/05/21	1.51	...	-0.68	249 of 415 - Sale <sup>25</sup>
0.042		0.83	04/05/21	1.50	...	-0.67	250 of 415 - Sale <sup>25</sup>
0.043		0.83	04/05/21	1.50	...	-0.67	251 of 415 - Sale <sup>25</sup>
0.043		0.84	04/05/21	1.51	...	-0.67	252 of 415 - Sale <sup>25</sup>
0.043		0.84	04/05/21	1.51	...	-0.67	253 of 415 - Sale <sup>25</sup>
0.043		0.84	04/05/21	1.51	...	-0.67	254 of 415 - Sale <sup>25</sup>
0.043		0.84	04/05/21	1.51	...	-0.67	255 of 415 - Sale <sup>25</sup>
0.043		0.84	04/05/21	1.51	...	-0.67	256 of 415 - Sale <sup>25</sup>
0.043		0.84	04/05/21	1.50	...	-0.66	257 of 415 - Sale <sup>25</sup>
0.043		0.84	04/05/21	1.49	...	-0.65	258 of 415 - Sale <sup>25</sup>
0.043		0.85	04/05/21	1.50	...	-0.65	259 of 415 - Sale <sup>25</sup>
0.038		0.75	04/05/21	1.33	...	-0.58	260 of 415 - Sale <sup>25</sup>
0.031		0.61	04/05/21	1.09	...	-0.48	261 of 415 - Sale <sup>25</sup>
0.028		0.56	04/05/21	1.02	...	-0.46	262 of 415 - Sale <sup>25</sup>
0.029		0.56	04/05/21	1.02	...	-0.46	263 of 415 - Sale <sup>25</sup>
0.030		0.59	04/05/21	1.05	...	-0.46	264 of 415 - Sale <sup>25</sup>
0.031		0.60	04/05/21	1.06	...	-0.46	265 of 415 - Sale <sup>25</sup>
0.028		0.56	04/05/21	1.01	...	-0.45	266 of 415 - Sale <sup>25</sup>
0.028		0.56	04/05/21	1.01	...	-0.45	267 of 415 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.028		0.56	04/05/21	1.01	...	-0.45	268 of 415 - Sale <sup>25</sup>
0.029		0.56	04/05/21	1.01	...	-0.45	269 of 415 - Sale <sup>25</sup>
0.029		0.56	04/05/21	1.00	...	-0.44	270 of 415 - Sale <sup>25</sup>
0.029		0.56	04/05/21	1.00	...	-0.44	271 of 415 - Sale <sup>25</sup>
0.029		0.56	04/05/21	1.00	...	-0.44	272 of 415 - Sale <sup>25</sup>
0.029		0.56	04/05/21	1.00	...	-0.44	273 of 415 - Sale <sup>25</sup>
0.027		0.52	04/05/21	0.95	...	-0.43	274 of 415 - Sale <sup>25</sup>
0.029		0.57	04/05/21	1.00	...	-0.43	275 of 415 - Sale <sup>25</sup>
0.027		0.53	04/05/21	0.94	...	-0.41	276 of 415 - Sale <sup>25</sup>
0.023		0.46	04/05/21	0.83	...	-0.37	277 of 415 - Sale <sup>25</sup>
0.023		0.44	04/05/21	0.80	...	-0.36	278 of 415 - Sale <sup>25</sup>
0.023		0.46	04/05/21	0.81	...	-0.35	279 of 415 - Sale <sup>25</sup>
0.020		0.39	04/05/21	0.71	...	-0.32	280 of 415 - Sale <sup>25</sup>
0.018		0.36	04/05/21	0.65	...	-0.29	281 of 415 - Sale <sup>25</sup>
0.019		0.38	04/05/21	0.67	...	-0.29	282 of 415 - Sale <sup>25</sup>
0.016		0.31	04/05/21	0.56	...	-0.25	283 of 415 - Sale <sup>25</sup>
0.010		0.20	04/05/21	0.37	...	-0.17	284 of 415 - Sale <sup>25</sup>
0.009		0.17	04/05/21	0.31	...	-0.14	285 of 415 - Sale <sup>25</sup>
0.009		0.19	04/05/21	0.33	...	-0.14	286 of 415 - Sale <sup>25</sup>
0.008		0.16	04/05/21	0.29	...	-0.13	287 of 415 - Sale <sup>25</sup>
0.006		0.12	04/05/21	0.21	...	-0.09	288 of 415 - Sale <sup>25</sup>
0.005		0.10	04/05/21	0.18	...	-0.08	289 of 415 - Sale <sup>25</sup>
0.005		0.10	04/05/21	0.18	...	-0.08	290 of 415 - Sale <sup>25</sup>
0.005		0.09	04/05/21	0.16	...	-0.07	291 of 415 - Sale <sup>25</sup>
0.241		4.71	04/06/21	9.17	...	-4.46	292 of 415 - Sale <sup>25</sup>
0.214		4.18	04/06/21	8.21	...	-4.03	293 of 415 - Sale <sup>25</sup>
0.214		4.18	04/06/21	8.21	...	-4.03	294 of 415 - Sale <sup>25</sup>
0.482		9.44	04/06/21	11.14	...	-1.70	295 of 415 - Sale <sup>25</sup>
0.086		1.68	04/06/21	3.29	...	-1.61	296 of 415 - Sale <sup>25</sup>
0.086		1.68	04/06/21	3.29	...	-1.61	297 of 415 - Sale <sup>25</sup>
0.087		1.70	04/06/21	3.30	...	-1.60	298 of 415 - Sale <sup>25</sup>
0.081		1.59	04/06/21	3.11	...	-1.52	299 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.65	...	-0.81	300 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.65	...	-0.81	301 of 415 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.043		0.84	04/06/21	1.65	...	-0.81	302 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.64	...	-0.80	303 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.64	...	-0.80	304 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.64	...	-0.80	305 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.64	...	-0.80	306 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.64	...	-0.80	307 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.64	...	-0.80	308 of 415 - Sale <sup>25</sup>
0.043		0.84	04/06/21	1.64	...	-0.80	309 of 415 - Sale <sup>25</sup>
0.037		0.73	04/06/21	1.42	...	-0.69	310 of 415 - Sale <sup>25</sup>
0.041		0.80	04/06/21	1.00	...	-0.20	311 of 415 - Sale <sup>25</sup>
0.041		0.80	04/06/21	1.00	...	-0.20	312 of 415 - Sale <sup>25</sup>
0.041		0.80	04/06/21	1.00	...	-0.20	313 of 415 - Sale <sup>25</sup>
0.050		0.98	04/07/21	2.12	...	-1.14	314 of 415 - Sale <sup>25</sup>
0.042		0.82	04/07/21	1.77	...	-0.95	315 of 415 - Sale <sup>25</sup>
0.043		0.84	04/07/21	1.79	...	-0.95	316 of 415 - Sale <sup>25</sup>
0.043		0.84	04/07/21	1.79	...	-0.95	317 of 415 - Sale <sup>25</sup>
0.042		0.83	04/07/21	1.77	...	-0.94	318 of 415 - Sale <sup>25</sup>
0.042		0.83	04/07/21	1.77	...	-0.94	319 of 415 - Sale <sup>25</sup>
0.043		0.84	04/07/21	1.78	...	-0.94	320 of 415 - Sale <sup>25</sup>
0.044		0.86	04/07/21	1.80	...	-0.94	321 of 415 - Sale <sup>25</sup>
0.026		0.52	04/07/21	1.11	...	-0.59	322 of 415 - Sale <sup>25</sup>
0.010		0.20	04/07/21	0.44	...	-0.24	323 of 415 - Sale <sup>25</sup>
0.041		0.81	04/07/21	0.95	...	-0.14	324 of 415 - Sale <sup>25</sup>
0.005		0.09	04/07/21	0.21	...	-0.12	325 of 415 - Sale <sup>25</sup>
0.006		0.11	04/07/21	0.23	...	-0.12	326 of 415 - Sale <sup>25</sup>
0.002		0.04	04/07/21	0.09	...	-0.05	327 of 415 - Sale <sup>25</sup>
0.043		0.84	04/08/21	1.00	...	-0.16	328 of 415 - Sale <sup>25</sup>
0.043		0.84	04/08/21	1.00	...	-0.16	329 of 415 - Sale <sup>25</sup>
0.043		0.85	04/08/21	1.00	...	-0.15	330 of 415 - Sale <sup>25</sup>
0.043		0.85	04/08/21	1.00	...	-0.15	331 of 415 - Sale <sup>25</sup>
0.043		0.85	04/08/21	1.00	...	-0.15	332 of 415 - Sale <sup>25</sup>
0.043		0.85	04/08/21	1.00	...	-0.15	333 of 415 - Sale <sup>25</sup>
0.043		0.85	04/08/21	1.00	...	-0.15	334 of 415 - Sale <sup>25</sup>
0.043		0.85	04/08/21	1.00	...	-0.15	335 of 415 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.043		0.85	04/08/21	1.00	...	-0.15	336 of 415 - Sale <sup>25</sup>
0.044		0.85	04/08/21	1.00	...	-0.15	337 of 415 - Sale <sup>25</sup>
0.087		1.71	04/09/21	2.00	...	-0.29	338 of 415 - Sale <sup>25</sup>
0.044		0.85	04/09/21	1.00	...	-0.15	339 of 415 - Sale <sup>25</sup>
0.044		0.85	04/09/21	1.00	...	-0.15	340 of 415 - Sale <sup>25</sup>
0.044		0.85	04/09/21	1.00	...	-0.15	341 of 415 - Sale <sup>25</sup>
0.044		0.85	04/09/21	1.00	...	-0.15	342 of 415 - Sale <sup>25</sup>
0.044		0.85	04/09/21	1.00	...	-0.15	343 of 415 - Sale <sup>25</sup>
0.044		0.85	04/09/21	1.00	...	-0.15	344 of 415 - Sale <sup>25</sup>
0.088		1.72	04/12/21	2.00	...	-0.28	345 of 415 - Sale <sup>25</sup>
0.090		1.76	04/12/21	2.00	...	-0.24	346 of 415 - Sale <sup>25</sup>
0.043		0.85	04/12/21	1.00	...	-0.15	347 of 415 - Sale <sup>25</sup>
0.044		0.87	04/12/21	1.00	...	-0.13	348 of 415 - Sale <sup>25</sup>
0.044		0.87	04/12/21	1.00	...	-0.13	349 of 415 - Sale <sup>25</sup>
0.045		0.88	04/12/21	1.00	...	-0.12	350 of 415 - Sale <sup>25</sup>
0.045		0.88	04/12/21	1.00	...	-0.12	351 of 415 - Sale <sup>25</sup>
0.045		0.88	04/12/21	1.00	...	-0.12	352 of 415 - Sale <sup>25</sup>
0.045		0.88	04/12/21	1.00	...	-0.12	353 of 415 - Sale <sup>25</sup>
0.037		0.73	04/12/21	0.84	...	-0.11	354 of 415 - Sale <sup>25</sup>
0.045		0.89	04/12/21	1.00	...	-0.11	355 of 415 - Sale <sup>25</sup>
0.046		0.89	04/12/21	1.00	...	-0.11	356 of 415 - Sale <sup>25</sup>
0.007		0.14	04/12/21	0.16	...	-0.02	357 of 415 - Sale <sup>25</sup>
1.790		35.04	04/13/21	39.18	...	-4.14	358 of 415 - Sale <sup>25</sup>
0.045		0.89	04/13/21	1.00	...	-0.11	359 of 415 - Sale <sup>25</sup>
0.046		0.89	04/13/21	1.00	...	-0.11	360 of 415 - Sale <sup>25</sup>
0.046		0.89	04/13/21	1.00	...	-0.11	361 of 415 - Sale <sup>25</sup>
0.046		0.90	04/13/21	1.00	...	-0.10	362 of 415 - Sale <sup>25</sup>
0.459		8.98	04/14/21	10.00	...	-1.02	363 of 415 - Sale <sup>25</sup>
0.229		4.49	04/14/21	5.00	...	-0.51	364 of 415 - Sale <sup>25</sup>
0.230		4.50	04/14/21	5.00	...	-0.50	365 of 415 - Sale <sup>25</sup>
0.072		1.41	04/14/21	1.59	...	-0.18	366 of 415 - Sale <sup>25</sup>
0.045		0.88	04/14/21	1.00	...	-0.12	367 of 415 - Sale <sup>25</sup>
0.045		0.89	04/14/21	1.00	...	-0.11	368 of 415 - Sale <sup>25</sup>
0.045		0.89	04/14/21	1.00	...	-0.11	369 of 415 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
0.045		0.89	04/14/21	1.00	...	-0.11	370 of 415 - Sale <sup>25</sup>
0.045		0.89	04/14/21	1.00	...	-0.11	371 of 415 - Sale <sup>25</sup>
0.045		0.89	04/14/21	1.00	...	-0.11	372 of 415 - Sale <sup>25</sup>
0.045		0.89	04/14/21	1.00	...	-0.11	373 of 415 - Sale <sup>25</sup>
0.046		0.89	04/15/21	1.00	...	-0.11	374 of 415 - Sale <sup>25</sup>
0.046		0.90	04/15/21	1.00	...	-0.10	375 of 415 - Sale <sup>25</sup>
0.046		0.90	04/15/21	1.00	...	-0.10	376 of 415 - Sale <sup>25</sup>
0.046		0.90	04/15/21	1.00	...	-0.10	377 of 415 - Sale <sup>25</sup>
0.046		0.90	04/15/21	1.00	...	-0.10	378 of 415 - Sale <sup>25</sup>
0.049		0.95	04/15/21	1.00	...	-0.05	379 of 415 - Sale <sup>25</sup>
0.049		0.96	04/15/21	1.00	...	-0.04	380 of 415 - Sale <sup>25</sup>
0.049		0.96	04/15/21	1.00	...	-0.04	381 of 415 - Sale <sup>25</sup>
0.049		0.96	04/15/21	1.00	...	-0.04	382 of 415 - Sale <sup>25</sup>
0.049		0.96	04/15/21	1.00	...	-0.04	383 of 415 - Sale <sup>25</sup>
0.049		0.96	04/15/21	1.00	...	-0.04	384 of 415 - Sale <sup>25</sup>
0.049		0.97	04/15/21	1.00	...	-0.03	385 of 415 - Sale <sup>25</sup>
0.049		0.97	04/15/21	1.00	...	-0.03	386 of 415 - Sale <sup>25</sup>
0.050		0.98	04/15/21	1.00	...	-0.02	387 of 415 - Sale <sup>25</sup>
0.050		0.97	04/16/21	1.00	...	-0.03	388 of 415 - Sale <sup>25</sup>
0.050		0.98	04/19/21	1.00	...	-0.02	389 of 415 - Sale <sup>25</sup>
0.050		0.98	04/19/21	1.00	...	-0.02	390 of 415 - Sale <sup>25</sup>
0.050		0.98	04/19/21	1.00	...	-0.02	391 of 415 - Sale <sup>25</sup>
0.051		1.00	04/19/21	1.00	...	0.00	392 of 415 - Sale <sup>25</sup>
0.051		1.00	04/19/21	1.00	...	0.00	393 of 415 - Sale <sup>25</sup>
0.024		0.46	04/20/21	0.44	...	0.02	394 of 415 - Sale <sup>25</sup>
0.105		2.06	04/20/21	2.00	...	0.06	395 of 415 - Sale <sup>25</sup>
0.106		2.08	04/20/21	2.00	...	0.08	396 of 415 - Sale <sup>25</sup>
0.084		1.65	04/20/21	1.56	...	0.09	397 of 415 - Sale <sup>25</sup>
0.159		3.12	04/20/21	3.00	...	0.12	398 of 415 - Sale <sup>25</sup>
0.264		5.17	04/20/21	5.00	...	0.17	399 of 415 - Sale <sup>25</sup>
0.215		4.21	04/20/21	4.00	...	0.21	400 of 415 - Sale <sup>25</sup>
0.250		4.89	04/22/21	5.00	...	-0.11	401 of 415 - Sale <sup>25</sup>
0.100		1.95	04/22/21	2.00	...	-0.05	402 of 415 - Sale <sup>25</sup>
0.050		0.98	04/23/21	1.00	...	-0.02	403 of 415 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	0.434	8.49	04/26/21	10.00	...	-1.51	404 of 415 - Sale <sup>25</sup>
	0.323	6.32	04/26/21	7.44	...	-1.12	405 of 415 - Sale <sup>25</sup>
	0.043	0.85	04/26/21	1.00	...	-0.15	406 of 415 - Sale <sup>25</sup>
	0.206	4.04	04/27/21	5.00	...	-0.96	407 of 415 - Sale <sup>25</sup>
	0.216	4.22	04/27/21	5.00	...	-0.78	408 of 415 - Sale <sup>25</sup>
	0.046	0.91	04/30/21	1.00	...	-0.09	409 of 415 - Sale <sup>25</sup>
	0.047	0.92	04/30/21	1.00	...	-0.08	410 of 415 - Sale <sup>25</sup>
	0.047	0.93	05/03/21	1.00	...	-0.07	411 of 415 - Sale <sup>25</sup>
	0.048	0.93	05/03/21	1.00	...	-0.07	412 of 415 - Sale <sup>25</sup>
	0.052	1.01	05/04/21	1.00	...	0.01	413 of 415 - Sale <sup>25</sup>
	0.052	1.02	05/04/21	1.00	...	0.02	414 of 415 - Sale <sup>25</sup>
	0.053	1.03	05/06/21	1.00	...	0.03	415 of 415 - Sale <sup>25</sup>
05/07/21	25.000	489.50	Various	795.87	...	-306.37	Total of 415 transactions
	4 transactions for 05/07/21. Total proceeds and cost reported to the IRS.						
	0.351	6.88	02/16/21	13.31	6.43 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.010	0.20	02/16/21	0.39	0.19 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.010	0.20	02/16/21	0.39	0.19 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.026	0.52	02/16/21	1.00	0.48 W	0.00	4 of 4 - Sale <sup>25</sup>
05/07/21	0.398	7.80	Various	15.09	7.29 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>617.30</b>		<b>998.64</b>	<b>74.97 W</b>	<b>-306.37</b>	
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
04/14/21	0.000	0.02	04/14/21	0.02	...	0.00	Sale <sup>25</sup>
	20 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	0.024	8.17	04/14/21	9.97	1.80 W	0.00	1 of 20 - Sale <sup>25</sup>
	0.000	0.06	04/14/21	0.07	0.01 W	0.00	2 of 20 - Sale <sup>25</sup>
	0.003	0.84	04/14/21	1.00	0.16 W	0.00	3 of 20 - Sale <sup>25</sup>
	0.003	0.85	04/14/21	1.00	0.15 W	0.00	4 of 20 - Sale <sup>25</sup>
	0.003	0.85	04/14/21	1.00	0.15 W	0.00	5 of 20 - Sale <sup>25</sup>
	0.003	0.86	04/14/21	1.00	0.14 W	0.00	6 of 20 - Sale <sup>25</sup>
	0.006	1.85	04/14/21	2.00	0.15 W	0.00	7 of 20 - Sale <sup>25</sup>
	0.006	1.87	04/14/21	2.23	0.36 W	0.00	8 of 20 - Sale <sup>25</sup>
	0.024	8.05	04/14/21	10.00	1.95 W	0.00	9 of 20 - Sale <sup>25</sup>
	0.024	8.13	04/14/21	10.00	1.87 W	0.00	10 of 20 - Sale <sup>25</sup>

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COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: (cont'd)							
	0.024	8.18	04/14/21	10.00	1.82 W	0.00	11 of 20 - Sale <sup>25</sup>
	0.024	8.21	04/14/21	9.93	1.72 W	0.00	12 of 20 - Sale <sup>25</sup>
	0.025	8.27	04/14/21	10.00	1.73 W	0.00	13 of 20 - Sale <sup>25</sup>
	0.025	8.31	04/14/21	10.00	1.69 W	0.00	14 of 20 - Sale <sup>25</sup>
	0.025	8.40	04/14/21	10.00	1.60 W	0.00	15 of 20 - Sale <sup>25</sup>
	0.025	8.45	04/14/21	10.00	1.55 W	0.00	16 of 20 - Sale <sup>25</sup>
	0.025	8.50	04/14/21	10.00	1.50 W	0.00	17 of 20 - Sale <sup>25</sup>
	0.028	9.22	04/14/21	10.00	0.78 W	0.00	18 of 20 - Sale <sup>25</sup>
	0.237	79.47	04/14/21	100.00	20.53 W	0.00	19 of 20 - Sale <sup>25</sup>
	0.243	81.49	04/14/21	100.00	18.51 W	0.00	20 of 20 - Sale <sup>25</sup>
04/14/21	0.775	260.03	Various	318.20	58.17 W	0.00	Total of 20 transactions
121 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	0.062	16.23	04/15/21	29.65	...	-13.42	1 of 121 - Sale <sup>25</sup>
	0.060	15.89	04/15/21	29.24	...	-13.35	2 of 121 - Sale <sup>25</sup>
	0.046	12.06	04/15/21	22.19	...	-10.13	3 of 121 - Sale <sup>25</sup>
	0.042	11.11	04/15/21	20.15	...	-9.04	4 of 121 - Sale <sup>25</sup>
	0.032	8.52	04/15/21	15.44	...	-6.92	5 of 121 - Sale <sup>25</sup>
	0.030	7.93	04/15/21	14.55	...	-6.62	6 of 121 - Sale <sup>25</sup>
	0.024	6.32	04/15/21	11.33	...	-5.01	7 of 121 - Sale <sup>25</sup>
	0.024	6.39	04/15/21	11.34	...	-4.95	8 of 121 - Sale <sup>25</sup>
	0.024	6.41	04/15/21	11.32	...	-4.91	9 of 121 - Sale <sup>25</sup>
	0.025	6.53	04/15/21	11.35	...	-4.82	10 of 121 - Sale <sup>25</sup>
	0.025	6.59	04/15/21	11.37	...	-4.78	11 of 121 - Sale <sup>25</sup>
	0.021	5.64	04/15/21	10.30	...	-4.66	12 of 121 - Sale <sup>25</sup>
	0.024	6.37	04/15/21	10.87	...	-4.50	13 of 121 - Sale <sup>25</sup>
	0.016	4.22	04/15/21	7.76	...	-3.54	14 of 121 - Sale <sup>25</sup>
	0.015	4.06	04/15/21	7.41	...	-3.35	15 of 121 - Sale <sup>25</sup>
	0.017	4.48	04/15/21	7.82	...	-3.34	16 of 121 - Sale <sup>25</sup>
	0.015	4.01	04/15/21	7.31	...	-3.30	17 of 121 - Sale <sup>25</sup>
	0.015	4.06	04/15/21	7.36	...	-3.30	18 of 121 - Sale <sup>25</sup>
	0.015	4.06	04/15/21	7.36	...	-3.30	19 of 121 - Sale <sup>25</sup>
	0.015	4.06	04/15/21	7.36	...	-3.30	20 of 121 - Sale <sup>25</sup>
	0.015	4.05	04/15/21	7.32	...	-3.27	21 of 121 - Sale <sup>25</sup>
	0.015	3.83	04/15/21	7.02	...	-3.19	22 of 121 - Sale <sup>25</sup>

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COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: (cont'd)							
	0.014	3.74	04/15/21	6.39	...	-2.65	23 of 121 - Sale <sup>25</sup>
	0.015	4.07	04/15/21	6.47	...	-2.40	24 of 121 - Sale <sup>25</sup>
	0.011	2.87	04/15/21	5.23	...	-2.36	25 of 121 - Sale <sup>25</sup>
	0.010	2.59	04/15/21	4.75	...	-2.16	26 of 121 - Sale <sup>25</sup>
	0.011	2.89	04/15/21	4.97	...	-2.08	27 of 121 - Sale <sup>25</sup>
	0.009	2.36	04/15/21	4.31	...	-1.95	28 of 121 - Sale <sup>25</sup>
	0.009	2.36	04/15/21	4.29	...	-1.93	29 of 121 - Sale <sup>25</sup>
	0.008	2.01	04/15/21	3.66	...	-1.65	30 of 121 - Sale <sup>25</sup>
	0.008	2.01	04/15/21	3.52	...	-1.51	31 of 121 - Sale <sup>25</sup>
	0.006	1.70	04/15/21	3.10	...	-1.40	32 of 121 - Sale <sup>25</sup>
	0.006	1.62	04/15/21	2.93	...	-1.31	33 of 121 - Sale <sup>25</sup>
	0.005	1.43	04/15/21	2.60	...	-1.17	34 of 121 - Sale <sup>25</sup>
	0.005	1.29	04/15/21	2.37	...	-1.08	35 of 121 - Sale <sup>25</sup>
	0.006	1.47	04/15/21	2.52	...	-1.05	36 of 121 - Sale <sup>25</sup>
	0.003	0.78	04/15/21	1.45	...	-0.67	37 of 121 - Sale <sup>25</sup>
	0.003	0.79	04/15/21	1.46	...	-0.67	38 of 121 - Sale <sup>25</sup>
	0.003	0.81	04/15/21	1.48	...	-0.67	39 of 121 - Sale <sup>25</sup>
	0.003	0.81	04/15/21	1.48	...	-0.67	40 of 121 - Sale <sup>25</sup>
	0.003	0.81	04/15/21	1.48	...	-0.67	41 of 121 - Sale <sup>25</sup>
	0.003	0.79	04/15/21	1.45	...	-0.66	42 of 121 - Sale <sup>25</sup>
	0.004	1.11	04/15/21	1.77	...	-0.66	43 of 121 - Sale <sup>25</sup>
	0.003	0.66	04/15/21	1.14	...	-0.48	44 of 121 - Sale <sup>25</sup>
	0.003	0.67	04/15/21	1.15	...	-0.48	45 of 121 - Sale <sup>25</sup>
	0.003	0.82	04/15/21	1.30	...	-0.48	46 of 121 - Sale <sup>25</sup>
	0.003	0.67	04/15/21	1.14	...	-0.47	47 of 121 - Sale <sup>25</sup>
	0.002	0.51	04/15/21	0.85	...	-0.34	48 of 121 - Sale <sup>25</sup>
	0.001	0.30	04/15/21	0.52	...	-0.22	49 of 121 - Sale <sup>25</sup>
	0.001	0.17	04/15/21	0.28	...	-0.11	50 of 121 - Sale <sup>25</sup>
	0.000	0.05	04/15/21	0.10	...	-0.05	51 of 121 - Sale <sup>25</sup>
	0.000	0.02	04/15/21	0.03	...	-0.01	52 of 121 - Sale <sup>25</sup>
	0.000	0.03	04/15/21	0.04	...	-0.01	53 of 121 - Sale <sup>25</sup>
	0.056	14.64	04/20/21	21.65	...	-7.01	54 of 121 - Sale <sup>25</sup>
	0.032	8.32	04/20/21	12.20	...	-3.88	55 of 121 - Sale <sup>25</sup>
	0.030	7.84	04/20/21	11.54	...	-3.70	56 of 121 - Sale <sup>25</sup>

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COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: (cont'd)							
	0.024	6.36	04/20/21	9.41	...	-3.05	57 of 121 - Sale <sup>25</sup>
	0.049	12.82	04/20/21	15.47	...	-2.65	58 of 121 - Sale <sup>25</sup>
	0.016	4.15	04/20/21	6.10	...	-1.95	59 of 121 - Sale <sup>25</sup>
	0.016	4.15	04/20/21	6.10	...	-1.95	60 of 121 - Sale <sup>25</sup>
	0.016	4.15	04/20/21	6.10	...	-1.95	61 of 121 - Sale <sup>25</sup>
	0.007	1.73	04/20/21	3.52	...	-1.79	62 of 121 - Sale <sup>25</sup>
	0.032	8.40	04/20/21	10.15	...	-1.75	63 of 121 - Sale <sup>25</sup>
	0.032	8.31	04/20/21	10.00	...	-1.69	64 of 121 - Sale <sup>25</sup>
	0.009	2.48	04/20/21	3.64	...	-1.16	65 of 121 - Sale <sup>25</sup>
	0.016	4.15	04/20/21	5.00	...	-0.85	66 of 121 - Sale <sup>25</sup>
	0.016	4.16	04/20/21	4.99	...	-0.83	67 of 121 - Sale <sup>25</sup>
	0.006	1.65	04/20/21	2.45	...	-0.80	68 of 121 - Sale <sup>25</sup>
	0.006	1.65	04/20/21	2.45	...	-0.80	69 of 121 - Sale <sup>25</sup>
	0.005	1.20	04/20/21	1.95	...	-0.75	70 of 121 - Sale <sup>25</sup>
	0.005	1.20	04/20/21	1.95	...	-0.75	71 of 121 - Sale <sup>25</sup>
	0.006	1.45	04/20/21	2.15	...	-0.70	72 of 121 - Sale <sup>25</sup>
	0.005	1.36	04/20/21	2.05	...	-0.69	73 of 121 - Sale <sup>25</sup>
	0.003	0.90	04/20/21	1.32	...	-0.42	74 of 121 - Sale <sup>25</sup>
	0.006	1.66	04/20/21	2.00	...	-0.34	75 of 121 - Sale <sup>25</sup>
	0.001	0.25	04/20/21	0.40	...	-0.15	76 of 121 - Sale <sup>25</sup>
	0.000	0.05	04/20/21	0.09	...	-0.04	77 of 121 - Sale <sup>25</sup>
	0.006	1.69	04/21/21	2.00	...	-0.31	78 of 121 - Sale <sup>25</sup>
	0.003	0.84	04/21/21	1.00	...	-0.16	79 of 121 - Sale <sup>25</sup>
	0.003	0.84	04/21/21	1.00	...	-0.16	80 of 121 - Sale <sup>25</sup>
	0.003	0.85	04/21/21	1.00	...	-0.15	81 of 121 - Sale <sup>25</sup>
	0.065	17.15	04/22/21	20.00	...	-2.85	82 of 121 - Sale <sup>25</sup>
	0.033	8.59	04/22/21	10.00	...	-1.41	83 of 121 - Sale <sup>25</sup>
	0.016	4.25	04/22/21	5.00	...	-0.75	84 of 121 - Sale <sup>25</sup>
	0.013	3.42	04/22/21	4.00	...	-0.58	85 of 121 - Sale <sup>25</sup>
	0.007	1.97	04/22/21	2.30	...	-0.33	86 of 121 - Sale <sup>25</sup>
	0.004	1.15	04/22/21	1.35	...	-0.20	87 of 121 - Sale <sup>25</sup>
	0.003	0.85	04/22/21	1.00	...	-0.15	88 of 121 - Sale <sup>25</sup>
	0.021	5.48	04/23/21	6.00	...	-0.52	89 of 121 - Sale <sup>25</sup>
	0.003	0.91	04/23/21	1.00	...	-0.09	90 of 121 - Sale <sup>25</sup>

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: (cont'd)							
	0.033	8.68	04/26/21	10.00	...	-1.32	91 of 121 - Sale <sup>25</sup>
	0.033	8.68	04/26/21	10.00	...	-1.32	92 of 121 - Sale <sup>25</sup>
	0.033	8.68	04/26/21	10.00	...	-1.32	93 of 121 - Sale <sup>25</sup>
	0.034	8.93	04/26/21	10.24	...	-1.31	94 of 121 - Sale <sup>25</sup>
	0.033	8.78	04/26/21	10.00	...	-1.22	95 of 121 - Sale <sup>25</sup>
	0.033	8.78	04/26/21	10.00	...	-1.22	96 of 121 - Sale <sup>25</sup>
	0.019	4.92	04/26/21	5.60	...	-0.68	97 of 121 - Sale <sup>25</sup>
	0.017	4.39	04/26/21	5.00	...	-0.61	98 of 121 - Sale <sup>25</sup>
	0.013	3.51	04/26/21	4.00	...	-0.49	99 of 121 - Sale <sup>25</sup>
	0.033	8.61	04/27/21	9.95	...	-1.34	100 of 121 - Sale <sup>25</sup>
	0.016	4.32	04/27/21	5.00	...	-0.68	101 of 121 - Sale <sup>25</sup>
	0.016	4.32	04/27/21	5.00	...	-0.68	102 of 121 - Sale <sup>25</sup>
	0.016	4.31	04/27/21	4.98	...	-0.67	103 of 121 - Sale <sup>25</sup>
	0.007	1.77	04/30/21	2.00	...	-0.23	104 of 121 - Sale <sup>25</sup>
	0.007	1.78	04/30/21	2.00	...	-0.22	105 of 121 - Sale <sup>25</sup>
	0.003	0.89	04/30/21	1.00	...	-0.11	106 of 121 - Sale <sup>25</sup>
	0.003	0.89	04/30/21	1.00	...	-0.11	107 of 121 - Sale <sup>25</sup>
	0.003	0.89	04/30/21	1.00	...	-0.11	108 of 121 - Sale <sup>25</sup>
	0.003	0.87	05/03/21	1.00	...	-0.13	109 of 121 - Sale <sup>25</sup>
	0.003	0.89	05/03/21	1.00	...	-0.11	110 of 121 - Sale <sup>25</sup>
	0.035	9.28	05/04/21	10.00	...	-0.72	111 of 121 - Sale <sup>25</sup>
	0.035	9.29	05/04/21	10.00	...	-0.71	112 of 121 - Sale <sup>25</sup>
	0.035	9.29	05/04/21	10.00	...	-0.71	113 of 121 - Sale <sup>25</sup>
	0.004	0.93	05/04/21	1.00	...	-0.07	114 of 121 - Sale <sup>25</sup>
	0.004	0.93	05/04/21	1.00	...	-0.07	115 of 121 - Sale <sup>25</sup>
	0.077	20.27	05/05/21	22.23	...	-1.96	116 of 121 - Sale <sup>25</sup>
	0.062	16.19	05/05/21	17.77	...	-1.58	117 of 121 - Sale <sup>25</sup>
	0.031	8.22	05/05/21	9.00	...	-0.78	118 of 121 - Sale <sup>25</sup>
	0.016	4.15	05/05/21	4.55	...	-0.40	119 of 121 - Sale <sup>25</sup>
	0.004	1.01	05/06/21	0.99	...	0.02	120 of 121 - Sale <sup>25</sup>
	0.005	1.32	05/06/21	1.29	...	0.03	121 of 121 - Sale <sup>25</sup>
05/07/21	1.982	521.82	Various	744.98	...	-223.16	Total of 121 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: (cont'd)							
44 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
0.000		0.04	04/14/21	0.07	0.03 W	0.00	1 of 44 - Sale <sup>25</sup>
0.003		0.77	04/14/21	1.26	0.49 W	0.00	2 of 44 - Sale <sup>25</sup>
0.003		0.78	04/14/21	1.26	0.48 W	0.00	3 of 44 - Sale <sup>25</sup>
0.005		1.27	04/14/21	2.06	0.79 W	0.00	4 of 44 - Sale <sup>25</sup>
0.005		1.41	04/14/21	2.24	0.83 W	0.00	5 of 44 - Sale <sup>25</sup>
0.006		1.59	04/14/21	2.53	0.94 W	0.00	6 of 44 - Sale <sup>25</sup>
0.015		4.00	04/14/21	6.33	2.33 W	0.00	7 of 44 - Sale <sup>25</sup>
0.024		6.34	04/14/21	10.03	3.69 W	0.00	8 of 44 - Sale <sup>25</sup>
0.024		6.36	04/14/21	9.96	3.60 W	0.00	9 of 44 - Sale <sup>25</sup>
0.030		7.83	04/14/21	12.61	4.78 W	0.00	10 of 44 - Sale <sup>25</sup>
0.243		63.17	04/14/21	100.36	37.19 W	0.00	11 of 44 - Sale <sup>25</sup>
0.005		1.38	04/15/21	2.52	0.10 W	-1.04	12 of 44 - Sale <sup>25</sup>
0.024		6.33	04/15/21	9.80	3.47 W	0.00	13 of 44 - Sale <sup>25</sup>
0.000		0.05	04/15/21	0.09	0.04 W	0.00	14 of 44 - Sale <sup>25</sup>
0.003		0.65	04/15/21	0.98	0.33 W	0.00	15 of 44 - Sale <sup>25</sup>
0.003		0.66	04/15/21	0.98	0.32 W	0.00	16 of 44 - Sale <sup>25</sup>
0.003		0.66	04/15/21	0.99	0.33 W	0.00	17 of 44 - Sale <sup>25</sup>
0.003		0.67	04/15/21	0.98	0.31 W	0.00	18 of 44 - Sale <sup>25</sup>
0.003		0.78	04/15/21	1.26	0.48 W	0.00	19 of 44 - Sale <sup>25</sup>
0.003		0.89	04/15/21	1.13	0.24 W	0.00	20 of 44 - Sale <sup>25</sup>
0.004		1.10	04/15/21	1.51	0.41 W	0.00	21 of 44 - Sale <sup>25</sup>
0.006		1.43	04/15/21	1.97	0.54 W	0.00	22 of 44 - Sale <sup>25</sup>
0.006		1.45	04/15/21	2.18	0.73 W	0.00	23 of 44 - Sale <sup>25</sup>
0.007		1.73	04/15/21	3.15	1.42 W	0.00	24 of 44 - Sale <sup>25</sup>
0.008		1.99	04/15/21	3.05	1.06 W	0.00	25 of 44 - Sale <sup>25</sup>
0.008		2.02	04/15/21	2.78	0.76 W	0.00	26 of 44 - Sale <sup>25</sup>
0.012		3.18	04/15/21	5.09	1.91 W	0.00	27 of 44 - Sale <sup>25</sup>
0.016		4.03	04/15/21	5.54	1.51 W	0.00	28 of 44 - Sale <sup>25</sup>
0.017		4.43	04/15/21	6.77	2.34 W	0.00	29 of 44 - Sale <sup>25</sup>
0.017		4.51	04/15/21	7.22	2.71 W	0.00	30 of 44 - Sale <sup>25</sup>
0.024		6.24	04/15/21	9.84	3.60 W	0.00	31 of 44 - Sale <sup>25</sup>
0.024		6.28	04/15/21	8.00	1.72 W	0.00	32 of 44 - Sale <sup>25</sup>
0.024		6.31	04/15/21	9.84	3.53 W	0.00	33 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: (cont'd)							
	0.025	6.44	04/15/21	9.81	3.37 W	0.00	34 of 44 - Sale <sup>25</sup>
	0.025	6.51	04/15/21	9.80	3.29 W	0.00	35 of 44 - Sale <sup>25</sup>
	0.025	6.55	04/15/21	9.80	3.25 W	0.00	36 of 44 - Sale <sup>25</sup>
	0.025	6.59	04/15/21	9.81	3.22 W	0.00	37 of 44 - Sale <sup>25</sup>
	0.030	7.90	04/15/21	12.64	4.74 W	0.00	38 of 44 - Sale <sup>25</sup>
	0.074	19.15	04/15/21	24.39	5.24 W	0.00	39 of 44 - Sale <sup>25</sup>
	0.106	27.59	04/15/21	44.19	16.60 W	0.00	40 of 44 - Sale <sup>25</sup>
	0.123	32.04	04/15/21	40.62	8.58 W	0.00	41 of 44 - Sale <sup>25</sup>
	0.003	0.79	04/15/21	1.44	0.65 W	0.00	42 of 44 - Sale <sup>25</sup>
	0.003	0.80	04/15/21	1.44	0.64 W	0.00	43 of 44 - Sale <sup>25</sup>
	0.007	1.73	04/15/21	3.15	1.42 W	0.00	44 of 44 - Sale <sup>25</sup>
05/07/21	1.025	266.42	Various	401.47	134.01 W	-1.04	Total of 44 transactions
	6 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	0.004	1.00	06/04/21	1.94	...	-0.94	1 of 6 - Sale <sup>25</sup>
	0.003	0.69	06/04/21	1.34	...	-0.65	2 of 6 - Sale <sup>25</sup>
	0.002	0.51	06/04/21	0.99	...	-0.48	3 of 6 - Sale <sup>25</sup>
	0.002	0.49	06/04/21	0.95	...	-0.46	4 of 6 - Sale <sup>25</sup>
	0.001	0.20	06/04/21	0.38	...	-0.18	5 of 6 - Sale <sup>25</sup>
	0.000	0.11	06/04/21	0.21	...	-0.10	6 of 6 - Sale <sup>25</sup>
06/07/21	0.013	3.00	Various	5.81	...	-2.81	Total of 6 transactions
	<b>Security total:</b>	<b>1,051.29</b>		<b>1,470.48</b>	<b>192.18 W</b>	<b>-227.01</b>	

DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:

107 transactions for 01/06/21. Total proceeds and cost reported to the IRS.

0.008	0.30	12/18/20	0.30	...	0.00	1 of 107 - Sale <sup>25</sup>
0.000	0.01	12/21/20	0.01	...	0.00	2 of 107 - Sale <sup>25</sup>
0.000	0.02	12/21/20	0.02	...	0.00	3 of 107 - Sale <sup>25</sup>
0.001	0.03	12/21/20	0.03	...	0.00	4 of 107 - Sale <sup>25</sup>
0.002	0.08	12/21/20	0.08	...	0.00	5 of 107 - Sale <sup>25</sup>
0.002	0.10	12/21/20	0.10	...	0.00	6 of 107 - Sale <sup>25</sup>
0.003	0.11	12/21/20	0.11	...	0.00	7 of 107 - Sale <sup>25</sup>
0.006	0.25	12/21/20	0.25	...	0.00	8 of 107 - Sale <sup>25</sup>
0.023	0.94	12/21/20	0.94	...	0.00	9 of 107 - Sale <sup>25</sup>
0.003	0.11	12/21/20	0.11	...	0.00	10 of 107 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
0.006		0.22	12/21/20	0.22	...	0.00	11 of 107 - Sale <sup>25</sup>
0.007		0.27	12/21/20	0.27	...	0.00	12 of 107 - Sale <sup>25</sup>
0.019		0.76	12/21/20	0.76	...	0.00	13 of 107 - Sale <sup>25</sup>
0.002		0.07	12/21/20	0.06	...	0.01	14 of 107 - Sale <sup>25</sup>
0.003		0.14	12/21/20	0.13	...	0.01	15 of 107 - Sale <sup>25</sup>
0.004		0.15	12/21/20	0.14	...	0.01	16 of 107 - Sale <sup>25</sup>
0.017		0.70	12/21/20	0.69	...	0.01	17 of 107 - Sale <sup>25</sup>
0.024		0.98	12/21/20	0.97	...	0.01	18 of 107 - Sale <sup>25</sup>
0.025		1.01	12/21/20	1.00	...	0.01	19 of 107 - Sale <sup>25</sup>
0.025		1.01	12/21/20	1.00	...	0.01	20 of 107 - Sale <sup>25</sup>
0.025		1.01	12/21/20	1.00	...	0.01	21 of 107 - Sale <sup>25</sup>
0.050		2.00	12/21/20	1.99	...	0.01	22 of 107 - Sale <sup>25</sup>
0.001		0.05	12/22/20	0.05	...	0.00	23 of 107 - Sale <sup>25</sup>
0.001		0.06	12/22/20	0.06	...	0.00	24 of 107 - Sale <sup>25</sup>
0.002		0.07	12/22/20	0.07	...	0.00	25 of 107 - Sale <sup>25</sup>
0.005		0.21	12/22/20	0.21	...	0.00	26 of 107 - Sale <sup>25</sup>
0.009		0.36	12/22/20	0.36	...	0.00	27 of 107 - Sale <sup>25</sup>
0.012		0.46	12/22/20	0.46	...	0.00	28 of 107 - Sale <sup>25</sup>
0.009		0.35	12/22/20	0.34	...	0.01	29 of 107 - Sale <sup>25</sup>
0.017		0.68	12/22/20	0.67	...	0.01	30 of 107 - Sale <sup>25</sup>
0.021		0.83	12/22/20	0.82	...	0.01	31 of 107 - Sale <sup>25</sup>
0.021		0.85	12/22/20	0.84	...	0.01	32 of 107 - Sale <sup>25</sup>
0.025		1.02	12/22/20	1.00	...	0.02	33 of 107 - Sale <sup>25</sup>
0.025		1.02	12/22/20	1.00	...	0.02	34 of 107 - Sale <sup>25</sup>
0.025		1.02	12/22/20	1.00	...	0.02	35 of 107 - Sale <sup>25</sup>
0.024		0.98	12/22/20	0.95	...	0.03	36 of 107 - Sale <sup>25</sup>
0.026		1.03	12/22/20	1.00	...	0.03	37 of 107 - Sale <sup>25</sup>
0.003		0.13	12/24/20	0.13	...	0.00	38 of 107 - Sale <sup>25</sup>
0.006		0.25	12/24/20	0.25	...	0.00	39 of 107 - Sale <sup>25</sup>
0.015		0.60	12/24/20	0.60	...	0.00	40 of 107 - Sale <sup>25</sup>
0.022		0.88	12/24/20	0.87	...	0.01	41 of 107 - Sale <sup>25</sup>
0.002		0.09	12/29/20	0.10	...	-0.01	42 of 107 - Sale <sup>25</sup>
0.003		0.13	12/29/20	0.14	...	-0.01	43 of 107 - Sale <sup>25</sup>
0.020		0.79	12/29/20	0.80	...	-0.01	44 of 107 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	0.000	0.02	12/29/20	0.02	...	0.00	45 of 107 - Sale <sup>25</sup>
	0.005	0.20	12/29/20	0.20	...	0.00	46 of 107 - Sale <sup>25</sup>
	0.020	0.79	12/29/20	0.78	...	0.01	47 of 107 - Sale <sup>25</sup>
	0.050	2.02	12/29/20	2.00	...	0.02	48 of 107 - Sale <sup>25</sup>
	0.004	0.16	12/31/20	0.17	...	-0.01	49 of 107 - Sale <sup>25</sup>
	0.001	0.03	12/31/20	0.03	...	0.00	50 of 107 - Sale <sup>25</sup>
	0.020	0.81	12/31/20	0.81	...	0.00	51 of 107 - Sale <sup>25</sup>
	0.025	1.01	12/31/20	1.00	...	0.01	52 of 107 - Sale <sup>25</sup>
	0.025	1.01	12/31/20	1.00	...	0.01	53 of 107 - Sale <sup>25</sup>
	0.025	1.01	12/31/20	1.00	...	0.01	54 of 107 - Sale <sup>25</sup>
	0.025	1.01	12/31/20	1.00	...	0.01	55 of 107 - Sale <sup>25</sup>
	0.025	1.01	12/31/20	1.00	...	0.01	56 of 107 - Sale <sup>25</sup>
	0.025	1.01	12/31/20	1.00	...	0.01	57 of 107 - Sale <sup>25</sup>
	0.033	1.34	12/31/20	1.33	...	0.01	58 of 107 - Sale <sup>25</sup>
	0.025	1.02	01/04/21	1.00	...	0.02	59 of 107 - Sale <sup>25</sup>
	0.025	1.02	01/04/21	1.00	...	0.02	60 of 107 - Sale <sup>25</sup>
	0.025	1.02	01/04/21	1.00	...	0.02	61 of 107 - Sale <sup>25</sup>
	0.025	1.02	01/04/21	1.00	...	0.02	62 of 107 - Sale <sup>25</sup>
	0.025	1.03	01/04/21	1.00	...	0.03	63 of 107 - Sale <sup>25</sup>
	0.025	1.03	01/04/21	1.00	...	0.03	64 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/04/21	1.00	...	0.03	65 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/04/21	1.00	...	0.03	66 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/04/21	1.00	...	0.03	67 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/04/21	1.00	...	0.03	68 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	69 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	70 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	71 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	72 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	73 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	74 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	75 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	76 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	77 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	78 of 107 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	0.026	1.04	01/04/21	1.00	...	0.04	79 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	80 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	81 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	82 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	83 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	84 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	85 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	86 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	87 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	88 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	89 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	90 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	91 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	92 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/04/21	1.00	...	0.04	93 of 107 - Sale <sup>25</sup>
	0.026	1.05	01/04/21	1.00	...	0.05	94 of 107 - Sale <sup>25</sup>
	0.040	1.61	01/04/21	1.55	...	0.06	95 of 107 - Sale <sup>25</sup>
	0.052	2.09	01/04/21	2.00	...	0.09	96 of 107 - Sale <sup>25</sup>
	0.025	1.03	01/05/21	1.00	...	0.03	97 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/05/21	1.00	...	0.03	98 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/05/21	1.00	...	0.03	99 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/05/21	1.00	...	0.03	100 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/05/21	1.00	...	0.03	101 of 107 - Sale <sup>25</sup>
	0.026	1.03	01/05/21	1.00	...	0.03	102 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/05/21	1.00	...	0.04	103 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/05/21	1.00	...	0.04	104 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/05/21	1.00	...	0.04	105 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/05/21	1.00	...	0.04	106 of 107 - Sale <sup>25</sup>
	0.026	1.04	01/05/21	1.00	...	0.04	107 of 107 - Sale <sup>25</sup>
01/06/21	2.132	85.95	Various	83.79	...	2.16	Total of 107 transactions
	35 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	0.024	0.97	12/14/20	1.00	0.03 W	0.00	1 of 35 - Sale <sup>25</sup>
	0.024	0.97	12/14/20	1.00	0.03 W	0.00	2 of 35 - Sale <sup>25</sup>
	0.024	0.97	12/14/20	1.00	0.03 W	0.00	3 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	0.025	0.98	12/15/20	1.00	0.02 W	0.00	4 of 35 - Sale <sup>25</sup>
	0.025	0.98	12/15/20	1.00	0.02 W	0.00	5 of 35 - Sale <sup>25</sup>
	0.025	0.98	12/15/20	1.00	0.02 W	0.00	6 of 35 - Sale <sup>25</sup>
	0.025	0.98	12/15/20	1.00	0.02 W	0.00	7 of 35 - Sale <sup>25</sup>
	0.025	0.98	12/15/20	1.00	0.02 W	0.00	8 of 35 - Sale <sup>25</sup>
	0.024	0.96	12/16/20	1.00	0.04 W	0.00	9 of 35 - Sale <sup>25</sup>
	0.024	0.97	12/17/20	1.00	0.03 W	0.00	10 of 35 - Sale <sup>25</sup>
	0.006	0.26	12/18/20	0.27	0.01 W	0.00	11 of 35 - Sale <sup>25</sup>
	0.007	0.27	12/18/20	0.29	0.02 W	0.00	12 of 35 - Sale <sup>25</sup>
	0.007	0.29	12/18/20	0.30	0.01 W	0.00	13 of 35 - Sale <sup>25</sup>
	0.017	0.69	12/18/20	0.72	0.03 W	0.00	14 of 35 - Sale <sup>25</sup>
	0.017	0.70	12/18/20	0.73	0.03 W	0.00	15 of 35 - Sale <sup>25</sup>
	0.018	0.71	12/18/20	0.74	0.03 W	0.00	16 of 35 - Sale <sup>25</sup>
	0.018	0.72	12/18/20	0.75	0.03 W	0.00	17 of 35 - Sale <sup>25</sup>
	0.024	0.98	12/18/20	1.00	0.02 W	0.00	18 of 35 - Sale <sup>25</sup>
	0.025	0.98	12/18/20	1.00	0.02 W	0.00	19 of 35 - Sale <sup>25</sup>
	0.004	0.16	12/21/20	0.17	0.01 W	0.00	20 of 35 - Sale <sup>25</sup>
	0.004	0.16	12/21/20	0.17	0.01 W	0.00	21 of 35 - Sale <sup>25</sup>
	0.005	0.18	12/21/20	0.19	0.01 W	0.00	22 of 35 - Sale <sup>25</sup>
	0.005	0.21	12/21/20	0.22	0.01 W	0.00	23 of 35 - Sale <sup>25</sup>
	0.017	0.71	12/21/20	0.72	0.01 W	0.00	24 of 35 - Sale <sup>25</sup>
	0.018	0.72	12/21/20	0.73	0.01 W	0.00	25 of 35 - Sale <sup>25</sup>
	0.018	0.73	12/21/20	0.74	0.01 W	0.00	26 of 35 - Sale <sup>25</sup>
	0.021	0.83	12/21/20	0.84	0.01 W	0.00	27 of 35 - Sale <sup>25</sup>
	0.021	0.85	12/21/20	0.86	0.01 W	0.00	28 of 35 - Sale <sup>25</sup>
	0.022	0.87	12/21/20	0.90	0.03 W	0.00	29 of 35 - Sale <sup>25</sup>
	0.023	0.91	12/21/20	0.93	0.02 W	0.00	30 of 35 - Sale <sup>25</sup>
	0.003	0.10	12/22/20	0.11	0.01 W	0.00	31 of 35 - Sale <sup>25</sup>
	0.003	0.13	12/22/20	0.14	0.01 W	0.00	32 of 35 - Sale <sup>25</sup>
	0.006	0.23	12/22/20	0.24	0.01 W	0.00	33 of 35 - Sale <sup>25</sup>
	0.020	0.79	12/22/20	0.80	0.01 W	0.00	34 of 35 - Sale <sup>25</sup>
	0.004	0.16	12/24/20	0.17	0.01 W	0.00	35 of 35 - Sale <sup>25</sup>
01/06/21	0.577	23.08	Various	23.73	0.65 W	0.00	Total of 35 transactions
	<b>Security total:</b>	<b>109.03</b>		<b>107.52</b>	<b>0.65 W</b>	<b>2.16</b>	

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
25 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	0.021	0.83	02/16/21	0.99	0.16 W	0.00	1 of 25 - Sale <sup>25</sup>
	0.148	5.83	02/16/21	6.97	1.14 W	0.00	2 of 25 - Sale <sup>25</sup>
	0.116	4.55	02/16/21	5.38	0.83 W	0.00	3 of 25 - Sale <sup>25</sup>
	0.021	0.83	02/16/21	1.00	0.17 W	0.00	4 of 25 - Sale <sup>25</sup>
	0.021	0.83	02/16/21	1.00	0.17 W	0.00	5 of 25 - Sale <sup>25</sup>
	0.021	0.84	02/16/21	1.00	0.16 W	0.00	6 of 25 - Sale <sup>25</sup>
	0.021	0.84	02/16/21	1.00	0.16 W	0.00	7 of 25 - Sale <sup>25</sup>
	0.021	0.84	02/16/21	1.00	0.16 W	0.00	8 of 25 - Sale <sup>25</sup>
	0.021	0.84	02/16/21	1.00	0.16 W	0.00	9 of 25 - Sale <sup>25</sup>
	0.021	0.84	02/16/21	1.00	0.16 W	0.00	10 of 25 - Sale <sup>25</sup>
	0.021	0.84	02/16/21	1.00	0.16 W	0.00	11 of 25 - Sale <sup>25</sup>
	0.021	0.84	02/16/21	1.00	0.16 W	0.00	12 of 25 - Sale <sup>25</sup>
	0.021	0.84	02/16/21	1.00	0.16 W	0.00	13 of 25 - Sale <sup>25</sup>
	0.037	1.45	02/16/21	1.74	0.29 W	0.00	14 of 25 - Sale <sup>25</sup>
	0.042	1.67	02/16/21	2.00	0.33 W	0.00	15 of 25 - Sale <sup>25</sup>
	0.042	1.67	02/16/21	2.00	0.33 W	0.00	16 of 25 - Sale <sup>25</sup>
	0.042	1.67	02/16/21	2.00	0.33 W	0.00	17 of 25 - Sale <sup>25</sup>
	0.042	1.67	02/16/21	2.00	0.33 W	0.00	18 of 25 - Sale <sup>25</sup>
	0.042	1.67	02/16/21	2.00	0.33 W	0.00	19 of 25 - Sale <sup>25</sup>
	0.042	1.68	02/16/21	2.00	0.32 W	0.00	20 of 25 - Sale <sup>25</sup>
	0.042	1.68	02/16/21	2.00	0.32 W	0.00	21 of 25 - Sale <sup>25</sup>
	0.042	1.68	02/16/21	2.00	0.32 W	0.00	22 of 25 - Sale <sup>25</sup>
	0.042	1.68	02/16/21	2.00	0.32 W	0.00	23 of 25 - Sale <sup>25</sup>
	0.042	1.68	02/16/21	2.00	0.32 W	0.00	24 of 25 - Sale <sup>25</sup>
	0.042	1.68	02/16/21	2.00	0.32 W	0.00	25 of 25 - Sale <sup>25</sup>
03/02/21	1.000	39.47	Various	47.08	7.61 W	0.00	Total of 25 transactions
03/31/21	0.026	1.00	02/16/21	1.44	0.44 W	0.00	Sale <sup>25</sup>
51 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	0.108	4.57	02/16/21	5.00	...	-0.43	1 of 51 - Sale <sup>25</sup>
	0.108	4.57	02/16/21	5.00	...	-0.43	2 of 51 - Sale <sup>25</sup>
	0.108	4.57	02/16/21	5.00	...	-0.43	3 of 51 - Sale <sup>25</sup>
	0.108	4.57	02/16/21	5.00	...	-0.43	4 of 51 - Sale <sup>25</sup>
	0.108	4.57	02/16/21	5.00	...	-0.43	5 of 51 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
0.095		4.02	02/16/21	4.40	...	-0.38	6 of 51 - Sale <sup>25</sup>
0.065		2.75	02/16/21	3.00	...	-0.25	7 of 51 - Sale <sup>25</sup>
0.043		1.83	02/16/21	2.00	...	-0.17	8 of 51 - Sale <sup>25</sup>
0.043		1.83	02/16/21	2.00	...	-0.17	9 of 51 - Sale <sup>25</sup>
0.021		0.91	02/16/21	1.00	...	-0.09	10 of 51 - Sale <sup>25</sup>
0.021		0.91	02/16/21	1.00	...	-0.09	11 of 51 - Sale <sup>25</sup>
0.021		0.91	02/16/21	1.00	...	-0.09	12 of 51 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	...	-0.09	13 of 51 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	...	-0.09	14 of 51 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	...	-0.09	15 of 51 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	...	-0.09	16 of 51 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	...	-0.09	17 of 51 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	...	-0.09	18 of 51 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	...	-0.09	19 of 51 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	...	-0.09	20 of 51 - Sale <sup>25</sup>
0.022		0.92	02/16/21	1.00	...	-0.08	21 of 51 - Sale <sup>25</sup>
0.022		0.92	02/16/21	1.00	...	-0.08	22 of 51 - Sale <sup>25</sup>
0.013		0.55	02/16/21	0.60	...	-0.05	23 of 51 - Sale <sup>25</sup>
0.141		5.93	02/17/21	6.26	...	-0.33	24 of 51 - Sale <sup>25</sup>
0.089		3.77	02/17/21	4.00	...	-0.23	25 of 51 - Sale <sup>25</sup>
0.114		4.80	02/17/21	5.00	...	-0.20	26 of 51 - Sale <sup>25</sup>
0.114		4.81	02/17/21	5.00	...	-0.19	27 of 51 - Sale <sup>25</sup>
0.084		3.58	02/17/21	3.74	...	-0.16	28 of 51 - Sale <sup>25</sup>
0.045		1.92	02/17/21	1.99	...	-0.07	29 of 51 - Sale <sup>25</sup>
0.046		1.93	02/17/21	2.00	...	-0.07	30 of 51 - Sale <sup>25</sup>
0.046		1.95	02/17/21	2.00	...	-0.05	31 of 51 - Sale <sup>25</sup>
0.023		0.96	02/17/21	1.00	...	-0.04	32 of 51 - Sale <sup>25</sup>
0.023		0.96	02/17/21	1.00	...	-0.04	33 of 51 - Sale <sup>25</sup>
0.023		0.96	02/17/21	1.00	...	-0.04	34 of 51 - Sale <sup>25</sup>
0.023		0.97	02/17/21	1.00	...	-0.03	35 of 51 - Sale <sup>25</sup>
0.023		0.97	02/17/21	1.00	...	-0.03	36 of 51 - Sale <sup>25</sup>
0.023		0.97	02/17/21	1.00	...	-0.03	37 of 51 - Sale <sup>25</sup>
0.023		0.97	02/17/21	1.00	...	-0.03	38 of 51 - Sale <sup>25</sup>
0.023		0.97	02/17/21	1.00	...	-0.03	39 of 51 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.023	0.97	02/17/21	1.00	...	-0.03	40 of 51 - Sale <sup>25</sup>
	0.023	0.97	02/17/21	1.00	...	-0.03	41 of 51 - Sale <sup>25</sup>
	0.023	0.97	02/17/21	1.00	...	-0.03	42 of 51 - Sale <sup>25</sup>
	0.023	0.97	02/17/21	1.00	...	-0.03	43 of 51 - Sale <sup>25</sup>
	0.023	0.97	02/17/21	1.00	...	-0.03	44 of 51 - Sale <sup>25</sup>
	0.023	0.98	02/17/21	1.00	...	-0.02	45 of 51 - Sale <sup>25</sup>
	0.023	0.98	02/17/21	1.00	...	-0.02	46 of 51 - Sale <sup>25</sup>
	0.011	0.44	02/17/21	0.45	...	-0.01	47 of 51 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	48 of 51 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	49 of 51 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	50 of 51 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	51 of 51 - Sale <sup>25</sup>
04/01/21	2.207	93.31	Various	99.44	...	-6.13	Total of 51 transactions
	66 transactions for 04/01/21. Total proceeds and cost reported to the IRS.						
	0.022	0.92	02/16/21	1.00	0.02 W	-0.06	1 of 66 - Sale <sup>25</sup>
	0.004	0.15	02/16/21	0.20	0.05 W	0.00	2 of 66 - Sale <sup>25</sup>
	0.014	0.58	02/16/21	0.75	0.17 W	0.00	3 of 66 - Sale <sup>25</sup>
	0.018	0.75	02/16/21	0.95	0.20 W	0.00	4 of 66 - Sale <sup>25</sup>
	0.021	0.89	02/16/21	1.14	0.25 W	0.00	5 of 66 - Sale <sup>25</sup>
	0.021	0.89	02/16/21	1.16	0.27 W	0.00	6 of 66 - Sale <sup>25</sup>
	0.021	0.89	02/16/21	1.16	0.27 W	0.00	7 of 66 - Sale <sup>25</sup>
	0.021	0.90	02/16/21	1.15	0.25 W	0.00	8 of 66 - Sale <sup>25</sup>
	0.021	0.90	02/16/21	1.14	0.24 W	0.00	9 of 66 - Sale <sup>25</sup>
	0.021	0.90	02/16/21	1.15	0.25 W	0.00	10 of 66 - Sale <sup>25</sup>
	0.021	0.90	02/16/21	1.15	0.25 W	0.00	11 of 66 - Sale <sup>25</sup>
	0.021	0.90	02/16/21	1.15	0.25 W	0.00	12 of 66 - Sale <sup>25</sup>
	0.021	0.90	02/16/21	1.15	0.25 W	0.00	13 of 66 - Sale <sup>25</sup>
	0.021	0.90	02/16/21	1.15	0.25 W	0.00	14 of 66 - Sale <sup>25</sup>
	0.029	1.21	02/16/21	1.55	0.34 W	0.00	15 of 66 - Sale <sup>25</sup>
	0.037	1.56	02/16/21	2.00	0.44 W	0.00	16 of 66 - Sale <sup>25</sup>
	0.042	1.79	02/16/21	2.30	0.51 W	0.00	17 of 66 - Sale <sup>25</sup>
	0.042	1.79	02/16/21	2.30	0.51 W	0.00	18 of 66 - Sale <sup>25</sup>
	0.042	1.80	02/16/21	2.30	0.50 W	0.00	19 of 66 - Sale <sup>25</sup>
	0.042	1.80	02/16/21	2.30	0.50 W	0.00	20 of 66 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
0.042		1.80	02/16/21	2.30	0.50 W	0.00	21 of 66 - Sale <sup>25</sup>
0.042		1.80	02/16/21	2.30	0.50 W	0.00	22 of 66 - Sale <sup>25</sup>
0.042		1.80	02/16/21	2.30	0.50 W	0.00	23 of 66 - Sale <sup>25</sup>
0.042		1.80	02/16/21	2.30	0.50 W	0.00	24 of 66 - Sale <sup>25</sup>
0.042		1.80	02/16/21	2.30	0.50 W	0.00	25 of 66 - Sale <sup>25</sup>
0.042		1.80	02/16/21	2.30	0.50 W	0.00	26 of 66 - Sale <sup>25</sup>
0.043		1.81	02/16/21	1.99	0.18 W	0.00	27 of 66 - Sale <sup>25</sup>
0.049		2.05	02/16/21	2.63	0.58 W	0.00	28 of 66 - Sale <sup>25</sup>
0.086		3.66	02/16/21	3.99	0.33 W	0.00	29 of 66 - Sale <sup>25</sup>
0.108		4.57	02/16/21	4.99	0.42 W	0.00	30 of 66 - Sale <sup>25</sup>
0.116		4.88	02/16/21	6.21	1.33 W	0.00	31 of 66 - Sale <sup>25</sup>
0.073		3.07	02/16/21	3.95	0.88 W	0.00	32 of 66 - Sale <sup>25</sup>
0.017		0.71	02/16/21	0.77	0.06 W	0.00	33 of 66 - Sale <sup>25</sup>
0.021		0.91	02/16/21	1.00	0.09 W	0.00	34 of 66 - Sale <sup>25</sup>
0.021		0.91	02/16/21	1.00	0.09 W	0.00	35 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	36 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	37 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	38 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	39 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	40 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	41 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	42 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	43 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	44 of 66 - Sale <sup>25</sup>
0.022		0.91	02/16/21	1.00	0.09 W	0.00	45 of 66 - Sale <sup>25</sup>
0.043		1.82	02/16/21	2.00	0.18 W	0.00	46 of 66 - Sale <sup>25</sup>
0.043		1.83	02/16/21	2.00	0.17 W	0.00	47 of 66 - Sale <sup>25</sup>
0.043		1.83	02/16/21	2.00	0.17 W	0.00	48 of 66 - Sale <sup>25</sup>
0.043		1.84	02/16/21	2.00	0.16 W	0.00	49 of 66 - Sale <sup>25</sup>
0.065		2.74	02/16/21	3.00	0.26 W	0.00	50 of 66 - Sale <sup>25</sup>
0.065		2.74	02/16/21	3.00	0.26 W	0.00	51 of 66 - Sale <sup>25</sup>
0.065		2.75	02/16/21	3.00	0.25 W	0.00	52 of 66 - Sale <sup>25</sup>
0.090		3.81	02/16/21	4.20	0.39 W	0.00	53 of 66 - Sale <sup>25</sup>
0.091		3.87	02/16/21	4.23	0.36 W	0.00	54 of 66 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.107	4.55	02/16/21	5.00	0.45 W	0.00	55 of 66 - Sale <sup>25</sup>
	0.108	4.57	02/16/21	5.00	0.43 W	0.00	56 of 66 - Sale <sup>25</sup>
	0.108	4.57	02/16/21	5.00	0.43 W	0.00	57 of 66 - Sale <sup>25</sup>
	0.108	4.57	02/16/21	5.00	0.43 W	0.00	58 of 66 - Sale <sup>25</sup>
	0.108	4.57	02/16/21	5.00	0.43 W	0.00	59 of 66 - Sale <sup>25</sup>
	0.108	4.58	02/16/21	5.00	0.42 W	0.00	60 of 66 - Sale <sup>25</sup>
	0.108	4.58	02/16/21	5.00	0.42 W	0.00	61 of 66 - Sale <sup>25</sup>
	0.125	5.26	02/16/21	5.80	0.54 W	0.00	62 of 66 - Sale <sup>25</sup>
	0.215	9.07	02/16/21	10.00	0.93 W	0.00	63 of 66 - Sale <sup>25</sup>
	0.215	9.08	02/16/21	10.00	0.92 W	0.00	64 of 66 - Sale <sup>25</sup>
	0.215	9.08	02/16/21	10.00	0.92 W	0.00	65 of 66 - Sale <sup>25</sup>
	0.215	9.08	02/16/21	10.00	0.92 W	0.00	66 of 66 - Sale <sup>25</sup>
04/01/21	3.793	160.58	Various	183.71	23.07 W	-0.06	Total of 66 transactions
	79 transactions for 04/05/21. Total proceeds and cost reported to the IRS.						
	0.022	0.98	02/17/21	1.00	...	-0.02	1 of 79 - Sale <sup>25</sup>
	0.022	0.98	02/17/21	1.00	...	-0.02	2 of 79 - Sale <sup>25</sup>
	0.023	0.98	02/17/21	1.00	...	-0.02	3 of 79 - Sale <sup>25</sup>
	0.023	0.98	02/17/21	1.00	...	-0.02	4 of 79 - Sale <sup>25</sup>
	0.045	1.98	02/17/21	2.00	...	-0.02	5 of 79 - Sale <sup>25</sup>
	0.045	1.98	02/17/21	2.00	...	-0.02	6 of 79 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	7 of 79 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	8 of 79 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	9 of 79 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	10 of 79 - Sale <sup>25</sup>
	0.023	0.99	02/17/21	1.00	...	-0.01	11 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	12 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	13 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	14 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	15 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	16 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	17 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	18 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	19 of 79 - Sale <sup>25</sup>
	0.023	1.00	02/17/21	1.00	...	0.00	20 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.013	0.56	02/17/21	0.55	...	0.01	21 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	22 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	23 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	24 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	25 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	26 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	27 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	28 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	29 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/17/21	1.00	...	0.01	30 of 79 - Sale <sup>25</sup>
	0.046	2.02	02/17/21	2.00	...	0.02	31 of 79 - Sale <sup>25</sup>
	0.046	2.02	02/17/21	2.00	...	0.02	32 of 79 - Sale <sup>25</sup>
	0.046	2.02	02/17/21	2.00	...	0.02	33 of 79 - Sale <sup>25</sup>
	0.002	0.10	02/18/21	0.09	...	0.01	34 of 79 - Sale <sup>25</sup>
	0.021	0.92	02/18/21	0.91	...	0.01	35 of 79 - Sale <sup>25</sup>
	0.023	1.02	02/18/21	1.00	...	0.02	36 of 79 - Sale <sup>25</sup>
	0.023	1.02	02/18/21	1.00	...	0.02	37 of 79 - Sale <sup>25</sup>
	0.024	1.03	02/18/21	1.00	...	0.03	38 of 79 - Sale <sup>25</sup>
	0.024	1.03	02/18/21	1.00	...	0.03	39 of 79 - Sale <sup>25</sup>
	0.024	1.03	02/18/21	1.00	...	0.03	40 of 79 - Sale <sup>25</sup>
	0.023	1.04	02/18/21	1.00	...	0.04	41 of 79 - Sale <sup>25</sup>
	0.023	1.04	02/18/21	1.00	...	0.04	42 of 79 - Sale <sup>25</sup>
	0.023	1.04	02/18/21	1.00	...	0.04	43 of 79 - Sale <sup>25</sup>
	0.023	1.04	02/18/21	1.00	...	0.04	44 of 79 - Sale <sup>25</sup>
	0.023	1.04	02/18/21	1.00	...	0.04	45 of 79 - Sale <sup>25</sup>
	0.023	1.05	02/18/21	1.00	...	0.05	46 of 79 - Sale <sup>25</sup>
	0.023	1.05	02/18/21	1.00	...	0.05	47 of 79 - Sale <sup>25</sup>
	0.023	1.05	02/18/21	1.00	...	0.05	48 of 79 - Sale <sup>25</sup>
	0.023	1.05	02/18/21	1.00	...	0.05	49 of 79 - Sale <sup>25</sup>
	0.024	1.06	02/18/21	1.00	...	0.06	50 of 79 - Sale <sup>25</sup>
	0.024	1.07	02/18/21	1.00	...	0.07	51 of 79 - Sale <sup>25</sup>
	0.024	1.07	02/18/21	1.00	...	0.07	52 of 79 - Sale <sup>25</sup>
	0.024	1.07	02/18/21	1.00	...	0.07	53 of 79 - Sale <sup>25</sup>
	0.024	1.07	02/18/21	1.00	...	0.07	54 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.024	1.07	02/18/21	1.00	...	0.07	55 of 79 - Sale <sup>25</sup>
	0.024	1.08	02/18/21	1.00	...	0.08	56 of 79 - Sale <sup>25</sup>
	0.022	0.99	02/19/21	1.00	...	-0.01	57 of 79 - Sale <sup>25</sup>
	0.022	1.00	02/19/21	1.00	...	0.00	58 of 79 - Sale <sup>25</sup>
	0.022	1.00	02/19/21	1.00	...	0.00	59 of 79 - Sale <sup>25</sup>
	0.023	1.01	02/19/21	1.00	...	0.01	60 of 79 - Sale <sup>25</sup>
	0.024	1.08	02/22/21	1.00	...	0.08	61 of 79 - Sale <sup>25</sup>
	0.048	2.16	02/22/21	2.00	...	0.16	62 of 79 - Sale <sup>25</sup>
	0.048	2.17	02/22/21	2.00	...	0.17	63 of 79 - Sale <sup>25</sup>
	0.007	0.32	02/23/21	0.26	...	0.06	64 of 79 - Sale <sup>25</sup>
	0.026	1.15	02/23/21	0.99	...	0.16	65 of 79 - Sale <sup>25</sup>
	0.026	1.16	02/23/21	0.99	...	0.17	66 of 79 - Sale <sup>25</sup>
	0.027	1.19	02/23/21	1.00	...	0.19	67 of 79 - Sale <sup>25</sup>
	0.027	1.20	02/23/21	1.00	...	0.20	68 of 79 - Sale <sup>25</sup>
	0.027	1.21	02/23/21	1.00	...	0.21	69 of 79 - Sale <sup>25</sup>
	0.027	1.21	02/23/21	1.00	...	0.21	70 of 79 - Sale <sup>25</sup>
	0.027	1.21	02/23/21	1.00	...	0.21	71 of 79 - Sale <sup>25</sup>
	0.027	1.21	02/23/21	0.99	...	0.22	72 of 79 - Sale <sup>25</sup>
	0.027	1.21	02/23/21	0.99	...	0.22	73 of 79 - Sale <sup>25</sup>
	0.027	1.22	02/23/21	1.00	...	0.22	74 of 79 - Sale <sup>25</sup>
	0.027	1.22	02/23/21	1.00	...	0.22	75 of 79 - Sale <sup>25</sup>
	0.027	1.22	02/23/21	1.00	...	0.22	76 of 79 - Sale <sup>25</sup>
	0.027	1.23	02/23/21	1.00	...	0.23	77 of 79 - Sale <sup>25</sup>
	0.028	1.27	02/23/21	1.00	...	0.27	78 of 79 - Sale <sup>25</sup>
	0.028	1.27	02/23/21	1.00	...	0.27	79 of 79 - Sale <sup>25</sup>
04/05/21	2.000	88.49	Various	83.77	...	4.72	Total of 79 transactions
	20 transactions for 04/06/21. Total proceeds and cost reported to the IRS.						
	0.025	1.10	02/23/21	1.00	...	0.10	1 of 20 - Sale <sup>25</sup>
	0.020	0.89	02/23/21	0.74	...	0.15	2 of 20 - Sale <sup>25</sup>
	0.027	1.21	02/23/21	1.00	...	0.21	3 of 20 - Sale <sup>25</sup>
	0.024	1.06	02/24/21	1.00	...	0.06	4 of 20 - Sale <sup>25</sup>
	0.024	1.07	02/24/21	1.00	...	0.07	5 of 20 - Sale <sup>25</sup>
	0.024	1.07	02/24/21	1.00	...	0.07	6 of 20 - Sale <sup>25</sup>
	0.024	1.08	02/24/21	1.00	...	0.08	7 of 20 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.034	1.52	02/24/21	1.41	...	0.11	8 of 20 - Sale <sup>25</sup>
	0.025	1.13	02/24/21	1.00	...	0.13	9 of 20 - Sale <sup>25</sup>
	0.026	1.13	02/24/21	1.00	...	0.13	10 of 20 - Sale <sup>25</sup>
	0.027	1.19	02/24/21	1.05	...	0.14	11 of 20 - Sale <sup>25</sup>
	0.026	1.15	02/24/21	0.99	...	0.16	12 of 20 - Sale <sup>25</sup>
	0.026	1.16	02/24/21	1.00	...	0.16	13 of 20 - Sale <sup>25</sup>
	0.026	1.16	02/24/21	1.00	...	0.16	14 of 20 - Sale <sup>25</sup>
	0.026	1.16	02/24/21	1.00	...	0.16	15 of 20 - Sale <sup>25</sup>
	1.000	42.56	02/25/21	42.61	...	-0.05	16 of 20 - Sale <sup>25</sup>
	0.024	1.04	02/25/21	0.99	...	0.05	17 of 20 - Sale <sup>25</sup>
	0.024	1.05	02/25/21	0.99	...	0.06	18 of 20 - Sale <sup>25</sup>
	1.000	43.51	02/25/21	42.60	...	0.91	19 of 20 - Sale <sup>25</sup>
	0.569	25.23	02/25/21	24.23	...	1.00	20 of 20 - Sale <sup>25</sup>
04/06/21	3.000	130.47	Various	126.61	...	3.86	Total of 20 transactions
	5 transactions for 04/07/21. Total proceeds and cost reported to the IRS.						
	2.000	85.39	02/25/21	85.21	...	0.18	1 of 5 - Sale <sup>25</sup>
	1.000	42.84	02/25/21	42.61	...	0.23	2 of 5 - Sale <sup>25</sup>
	1.000	43.11	02/25/21	42.60	...	0.51	3 of 5 - Sale <sup>25</sup>
	1.000	43.39	02/25/21	42.60	...	0.79	4 of 5 - Sale <sup>25</sup>
	1.000	43.43	02/25/21	42.61	...	0.82	5 of 5 - Sale <sup>25</sup>
04/07/21	6.000	258.16	Various	255.63	...	2.53	Total of 5 transactions
	2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.						
	1.000	44.85	02/25/21	42.61	...	2.24	1 of 2 - Sale <sup>25</sup>
	2.000	88.73	02/25/21	85.21	...	3.52	2 of 2 - Sale <sup>25</sup>
04/08/21	3.000	133.58	Various	127.82	...	5.76	Total of 2 transactions
	3 transactions for 04/09/21. Total proceeds and cost reported to the IRS.						
	1.000	43.97	02/25/21	42.60	...	1.37	1 of 3 - Sale <sup>25</sup>
	1.000	44.02	02/25/21	42.61	...	1.41	2 of 3 - Sale <sup>25</sup>
	1.000	44.24	02/25/21	42.60	...	1.64	3 of 3 - Sale <sup>25</sup>
04/09/21	3.000	132.23	Various	127.81	...	4.42	Total of 3 transactions
	154 transactions for 04/12/21. Total proceeds and cost reported to the IRS.						
	0.023	1.00	02/25/21	1.00	...	0.00	1 of 154 - Sale <sup>25</sup>
	0.024	1.02	02/25/21	1.00	...	0.02	2 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
0.024		1.02	02/25/21	1.00	...	0.02	3 of 154 - Sale <sup>25</sup>
0.024		1.02	02/25/21	1.00	...	0.02	4 of 154 - Sale <sup>25</sup>
0.431		18.45	02/25/21	18.38	...	0.07	5 of 154 - Sale <sup>25</sup>
0.026		1.10	02/25/21	1.00	...	0.10	6 of 154 - Sale <sup>25</sup>
0.026		1.11	02/25/21	1.00	...	0.11	7 of 154 - Sale <sup>25</sup>
0.026		1.12	02/25/21	1.00	...	0.12	8 of 154 - Sale <sup>25</sup>
0.026		1.12	02/25/21	1.00	...	0.12	9 of 154 - Sale <sup>25</sup>
0.027		1.14	02/25/21	1.00	...	0.14	10 of 154 - Sale <sup>25</sup>
0.026		1.10	02/26/21	1.00	...	0.10	11 of 154 - Sale <sup>25</sup>
0.026		1.10	02/26/21	1.00	...	0.10	12 of 154 - Sale <sup>25</sup>
0.026		1.11	02/26/21	1.00	...	0.11	13 of 154 - Sale <sup>25</sup>
0.026		1.12	02/26/21	1.00	...	0.12	14 of 154 - Sale <sup>25</sup>
0.027		1.17	02/26/21	1.00	...	0.17	15 of 154 - Sale <sup>25</sup>
0.027		1.17	02/26/21	1.00	...	0.17	16 of 154 - Sale <sup>25</sup>
0.028		1.18	02/26/21	1.00	...	0.18	17 of 154 - Sale <sup>25</sup>
0.028		1.18	02/26/21	1.00	...	0.18	18 of 154 - Sale <sup>25</sup>
0.051		2.18	02/26/21	2.00	...	0.18	19 of 154 - Sale <sup>25</sup>
0.001		0.04	03/01/21	0.06	...	-0.02	20 of 154 - Sale <sup>25</sup>
0.024		1.03	03/01/21	1.00	...	0.03	21 of 154 - Sale <sup>25</sup>
0.024		1.03	03/01/21	1.00	...	0.03	22 of 154 - Sale <sup>25</sup>
0.024		1.03	03/01/21	1.00	...	0.03	23 of 154 - Sale <sup>25</sup>
0.024		1.03	03/01/21	1.00	...	0.03	24 of 154 - Sale <sup>25</sup>
0.024		1.03	03/01/21	1.00	...	0.03	25 of 154 - Sale <sup>25</sup>
0.024		1.03	03/01/21	1.00	...	0.03	26 of 154 - Sale <sup>25</sup>
0.024		1.03	03/01/21	1.00	...	0.03	27 of 154 - Sale <sup>25</sup>
0.024		1.03	03/01/21	1.00	...	0.03	28 of 154 - Sale <sup>25</sup>
0.022		0.95	03/01/21	0.91	...	0.04	29 of 154 - Sale <sup>25</sup>
0.024		1.04	03/01/21	1.00	...	0.04	30 of 154 - Sale <sup>25</sup>
0.024		1.04	03/01/21	1.00	...	0.04	31 of 154 - Sale <sup>25</sup>
0.024		1.04	03/01/21	1.00	...	0.04	32 of 154 - Sale <sup>25</sup>
0.024		1.04	03/01/21	1.00	...	0.04	33 of 154 - Sale <sup>25</sup>
0.025		1.04	03/01/21	1.00	...	0.04	34 of 154 - Sale <sup>25</sup>
0.025		1.04	03/01/21	1.00	...	0.04	35 of 154 - Sale <sup>25</sup>
0.025		1.08	03/01/21	1.04	...	0.04	36 of 154 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
0.025		1.08	03/01/21	1.04	...	0.04	37 of 154 - Sale <sup>25</sup>
0.025		1.05	03/01/21	1.00	...	0.05	38 of 154 - Sale <sup>25</sup>
0.025		1.07	03/01/21	1.01	...	0.06	39 of 154 - Sale <sup>25</sup>
0.044		1.86	03/01/21	1.80	...	0.06	40 of 154 - Sale <sup>25</sup>
0.049		2.06	03/01/21	2.00	...	0.06	41 of 154 - Sale <sup>25</sup>
0.049		2.06	03/01/21	2.00	...	0.06	42 of 154 - Sale <sup>25</sup>
0.049		2.06	03/01/21	2.00	...	0.06	43 of 154 - Sale <sup>25</sup>
0.049		2.06	03/01/21	2.00	...	0.06	44 of 154 - Sale <sup>25</sup>
0.049		2.06	03/01/21	2.00	...	0.06	45 of 154 - Sale <sup>25</sup>
0.049		2.06	03/01/21	2.00	...	0.06	46 of 154 - Sale <sup>25</sup>
0.027		1.16	03/01/21	1.09	...	0.07	47 of 154 - Sale <sup>25</sup>
0.040		1.70	03/01/21	1.63	...	0.07	48 of 154 - Sale <sup>25</sup>
0.050		2.13	03/01/21	2.04	...	0.09	49 of 154 - Sale <sup>25</sup>
0.049		2.10	03/01/21	2.00	...	0.10	50 of 154 - Sale <sup>25</sup>
0.049		2.10	03/01/21	2.00	...	0.10	51 of 154 - Sale <sup>25</sup>
0.026		1.10	03/03/21	1.31	...	-0.21	52 of 154 - Sale <sup>25</sup>
0.026		1.11	03/03/21	1.31	...	-0.20	53 of 154 - Sale <sup>25</sup>
0.021		0.90	03/03/21	1.07	...	-0.17	54 of 154 - Sale <sup>25</sup>
0.021		0.91	03/03/21	1.07	...	-0.16	55 of 154 - Sale <sup>25</sup>
0.018		0.76	03/03/21	0.89	...	-0.13	56 of 154 - Sale <sup>25</sup>
0.018		0.77	03/03/21	0.90	...	-0.13	57 of 154 - Sale <sup>25</sup>
0.014		0.60	03/03/21	0.71	...	-0.11	58 of 154 - Sale <sup>25</sup>
0.012		0.50	03/03/21	0.60	...	-0.10	59 of 154 - Sale <sup>25</sup>
0.022		0.95	03/03/21	1.05	...	-0.10	60 of 154 - Sale <sup>25</sup>
0.020		0.85	03/03/21	0.93	...	-0.08	61 of 154 - Sale <sup>25</sup>
0.021		0.91	03/03/21	0.99	...	-0.08	62 of 154 - Sale <sup>25</sup>
0.008		0.34	03/03/21	0.40	...	-0.06	63 of 154 - Sale <sup>25</sup>
0.013		0.55	03/03/21	0.61	...	-0.06	64 of 154 - Sale <sup>25</sup>
0.013		0.57	03/03/21	0.63	...	-0.06	65 of 154 - Sale <sup>25</sup>
0.015		0.65	03/03/21	0.71	...	-0.06	66 of 154 - Sale <sup>25</sup>
0.015		0.66	03/03/21	0.71	...	-0.05	67 of 154 - Sale <sup>25</sup>
0.005		0.21	03/03/21	0.25	...	-0.04	68 of 154 - Sale <sup>25</sup>
0.008		0.36	03/03/21	0.39	...	-0.03	69 of 154 - Sale <sup>25</sup>
0.003		0.13	03/03/21	0.16	...	-0.03	70 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.004	0.15	03/03/21	0.18	...	-0.03	71 of 154 - Sale <sup>25</sup>
	0.002	0.10	03/03/21	0.12	...	-0.02	72 of 154 - Sale <sup>25</sup>
	0.006	0.26	03/03/21	0.28	...	-0.02	73 of 154 - Sale <sup>25</sup>
	0.001	0.06	03/03/21	0.07	...	-0.01	74 of 154 - Sale <sup>25</sup>
	0.028	1.21	03/04/21	1.32	...	-0.11	75 of 154 - Sale <sup>25</sup>
	0.020	0.87	03/04/21	0.94	...	-0.07	76 of 154 - Sale <sup>25</sup>
	0.021	0.88	03/04/21	0.94	...	-0.06	77 of 154 - Sale <sup>25</sup>
	0.031	1.31	03/04/21	1.36	...	-0.05	78 of 154 - Sale <sup>25</sup>
	0.029	1.23	03/04/21	1.27	...	-0.04	79 of 154 - Sale <sup>25</sup>
	0.009	0.37	03/04/21	0.40	...	-0.03	80 of 154 - Sale <sup>25</sup>
	0.010	0.42	03/04/21	0.44	...	-0.02	81 of 154 - Sale <sup>25</sup>
	0.024	1.04	03/04/21	1.05	...	-0.01	82 of 154 - Sale <sup>25</sup>
	0.018	0.78	03/04/21	0.78	...	0.00	83 of 154 - Sale <sup>25</sup>
	0.001	0.03	03/04/21	0.03	...	0.00	84 of 154 - Sale <sup>25</sup>
	0.002	0.09	03/04/21	0.09	...	0.00	85 of 154 - Sale <sup>25</sup>
	0.014	0.59	03/04/21	0.59	...	0.00	86 of 154 - Sale <sup>25</sup>
	0.008	0.34	03/04/21	0.33	...	0.01	87 of 154 - Sale <sup>25</sup>
	0.024	1.01	03/05/21	1.05	...	-0.04	88 of 154 - Sale <sup>25</sup>
	0.031	1.32	03/05/21	1.36	...	-0.04	89 of 154 - Sale <sup>25</sup>
	0.014	0.58	03/05/21	0.61	...	-0.03	90 of 154 - Sale <sup>25</sup>
	0.017	0.73	03/05/21	0.76	...	-0.03	91 of 154 - Sale <sup>25</sup>
	0.031	1.34	03/05/21	1.37	...	-0.03	92 of 154 - Sale <sup>25</sup>
	0.012	0.50	03/05/21	0.52	...	-0.02	93 of 154 - Sale <sup>25</sup>
	0.019	0.82	03/05/21	0.84	...	-0.02	94 of 154 - Sale <sup>25</sup>
	0.007	0.31	03/05/21	0.32	...	-0.01	95 of 154 - Sale <sup>25</sup>
	0.004	0.15	03/05/21	0.15	...	0.00	96 of 154 - Sale <sup>25</sup>
	0.005	0.20	03/05/21	0.18	...	0.02	97 of 154 - Sale <sup>25</sup>
	0.029	1.26	03/05/21	1.24	...	0.02	98 of 154 - Sale <sup>25</sup>
	0.012	0.51	03/05/21	0.49	...	0.02	99 of 154 - Sale <sup>25</sup>
	0.013	0.57	03/05/21	0.55	...	0.02	100 of 154 - Sale <sup>25</sup>
	0.022	0.93	03/05/21	0.90	...	0.03	101 of 154 - Sale <sup>25</sup>
	0.021	0.90	03/05/21	0.86	...	0.04	102 of 154 - Sale <sup>25</sup>
	0.031	1.31	03/05/21	1.23	...	0.08	103 of 154 - Sale <sup>25</sup>
	0.029	1.25	03/10/21	1.33	...	-0.08	104 of 154 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.021	0.91	03/10/21	0.98	...	-0.07	105 of 154 - Sale <sup>25</sup>
	0.033	1.40	03/10/21	1.46	...	-0.06	106 of 154 - Sale <sup>25</sup>
	0.030	1.29	03/10/21	1.34	...	-0.05	107 of 154 - Sale <sup>25</sup>
	0.017	0.74	03/10/21	0.78	...	-0.04	108 of 154 - Sale <sup>25</sup>
	0.013	0.54	03/10/21	0.57	...	-0.03	109 of 154 - Sale <sup>25</sup>
	0.007	0.29	03/10/21	0.31	...	-0.02	110 of 154 - Sale <sup>25</sup>
	0.009	0.37	03/10/21	0.39	...	-0.02	111 of 154 - Sale <sup>25</sup>
	0.010	0.42	03/10/21	0.35	...	0.07	112 of 154 - Sale <sup>25</sup>
	0.011	0.50	03/10/21	0.42	...	0.08	113 of 154 - Sale <sup>25</sup>
	0.023	0.99	03/10/21	0.87	...	0.12	114 of 154 - Sale <sup>25</sup>
	0.020	0.85	03/10/21	0.71	...	0.14	115 of 154 - Sale <sup>25</sup>
	0.022	0.92	03/10/21	0.78	...	0.14	116 of 154 - Sale <sup>25</sup>
	0.031	1.34	03/10/21	1.13	...	0.21	117 of 154 - Sale <sup>25</sup>
	0.032	1.35	03/10/21	1.14	...	0.21	118 of 154 - Sale <sup>25</sup>
	0.032	1.35	03/10/21	1.14	...	0.21	119 of 154 - Sale <sup>25</sup>
	0.032	1.35	03/10/21	1.13	...	0.22	120 of 154 - Sale <sup>25</sup>
	0.032	1.36	03/10/21	1.14	...	0.22	121 of 154 - Sale <sup>25</sup>
	0.004	0.16	03/11/21	0.15	...	0.01	122 of 154 - Sale <sup>25</sup>
	0.010	0.42	03/11/21	0.38	...	0.04	123 of 154 - Sale <sup>25</sup>
	0.014	0.61	03/11/21	0.55	...	0.06	124 of 154 - Sale <sup>25</sup>
	0.015	0.64	03/11/21	0.57	...	0.07	125 of 154 - Sale <sup>25</sup>
	0.019	0.82	03/11/21	0.74	...	0.08	126 of 154 - Sale <sup>25</sup>
	0.025	1.08	03/11/21	0.98	...	0.10	127 of 154 - Sale <sup>25</sup>
	0.029	1.23	03/11/21	1.13	...	0.10	128 of 154 - Sale <sup>25</sup>
	0.029	1.23	03/11/21	1.13	...	0.10	129 of 154 - Sale <sup>25</sup>
	0.029	1.23	03/11/21	1.13	...	0.10	130 of 154 - Sale <sup>25</sup>
	0.029	1.23	03/11/21	1.12	...	0.11	131 of 154 - Sale <sup>25</sup>
	0.029	1.23	03/11/21	1.12	...	0.11	132 of 154 - Sale <sup>25</sup>
	0.029	1.23	03/11/21	1.12	...	0.11	133 of 154 - Sale <sup>25</sup>
	0.029	1.23	03/11/21	1.12	...	0.11	134 of 154 - Sale <sup>25</sup>
	0.029	1.24	03/11/21	1.13	...	0.11	135 of 154 - Sale <sup>25</sup>
	0.029	1.24	03/11/21	1.12	...	0.12	136 of 154 - Sale <sup>25</sup>
	0.029	1.24	03/11/21	1.12	...	0.12	137 of 154 - Sale <sup>25</sup>
	0.029	1.25	03/11/21	1.12	...	0.13	138 of 154 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.029	1.26	03/11/21	1.13	...	0.13	139 of 154 - Sale <sup>25</sup>
	0.029	1.26	03/11/21	1.13	...	0.13	140 of 154 - Sale <sup>25</sup>
	0.031	1.33	03/12/21	1.13	...	0.20	141 of 154 - Sale <sup>25</sup>
	0.029	1.24	03/15/21	1.13	...	0.11	142 of 154 - Sale <sup>25</sup>
	0.029	1.24	03/15/21	1.13	...	0.11	143 of 154 - Sale <sup>25</sup>
	0.029	1.24	03/15/21	1.12	...	0.12	144 of 154 - Sale <sup>25</sup>
	0.029	1.24	03/15/21	1.12	...	0.12	145 of 154 - Sale <sup>25</sup>
	0.030	1.29	03/15/21	1.17	...	0.12	146 of 154 - Sale <sup>25</sup>
	0.014	0.60	03/16/21	0.60	...	0.00	147 of 154 - Sale <sup>25</sup>
	0.024	1.01	03/16/21	1.01	...	0.00	148 of 154 - Sale <sup>25</sup>
	0.026	1.12	03/16/21	1.11	...	0.01	149 of 154 - Sale <sup>25</sup>
	0.009	0.38	03/16/21	0.36	...	0.02	150 of 154 - Sale <sup>25</sup>
	0.035	1.51	03/16/21	1.49	...	0.02	151 of 154 - Sale <sup>25</sup>
	0.018	0.79	03/16/21	0.76	...	0.03	152 of 154 - Sale <sup>25</sup>
	0.027	1.17	03/16/21	1.12	...	0.05	153 of 154 - Sale <sup>25</sup>
	0.027	1.17	03/16/21	1.12	...	0.05	154 of 154 - Sale <sup>25</sup>
04/12/21	3.975	169.95	Various	164.64	...	5.31	Total of 154 transactions
04/12/21	0.025	1.09	03/01/21	1.44	0.01 W	-0.34	Sale <sup>25</sup>
85 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	0.003	0.11	03/16/21	0.11	...	0.00	1 of 85 - Sale <sup>25</sup>
	0.005	0.20	03/16/21	0.19	...	0.01	2 of 85 - Sale <sup>25</sup>
	0.009	0.41	03/16/21	0.40	...	0.01	3 of 85 - Sale <sup>25</sup>
	0.006	0.28	03/16/21	0.26	...	0.02	4 of 85 - Sale <sup>25</sup>
	0.007	0.33	03/16/21	0.31	...	0.02	5 of 85 - Sale <sup>25</sup>
	0.007	0.33	03/16/21	0.31	...	0.02	6 of 85 - Sale <sup>25</sup>
	0.012	0.53	03/16/21	0.51	...	0.02	7 of 85 - Sale <sup>25</sup>
	0.012	0.53	03/16/21	0.51	...	0.02	8 of 85 - Sale <sup>25</sup>
	0.014	0.62	03/16/21	0.60	...	0.02	9 of 85 - Sale <sup>25</sup>
	0.021	0.94	03/16/21	0.92	...	0.02	10 of 85 - Sale <sup>25</sup>
	0.026	1.14	03/16/21	1.12	...	0.02	11 of 85 - Sale <sup>25</sup>
	0.017	0.74	03/16/21	0.71	...	0.03	12 of 85 - Sale <sup>25</sup>
	0.019	0.83	03/16/21	0.80	...	0.03	13 of 85 - Sale <sup>25</sup>
	0.026	1.14	03/16/21	1.11	...	0.03	14 of 85 - Sale <sup>25</sup>
	0.026	1.14	03/16/21	1.11	...	0.03	15 of 85 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.019	0.84	03/16/21	0.80	...	0.04	16 of 85 - Sale <sup>25</sup>
	0.024	1.05	03/16/21	1.00	...	0.05	17 of 85 - Sale <sup>25</sup>
	0.027	1.16	03/16/21	1.11	...	0.05	18 of 85 - Sale <sup>25</sup>
	0.027	1.16	03/16/21	1.11	...	0.05	19 of 85 - Sale <sup>25</sup>
	0.026	1.16	03/16/21	1.10	...	0.06	20 of 85 - Sale <sup>25</sup>
	0.026	1.16	03/16/21	1.10	...	0.06	21 of 85 - Sale <sup>25</sup>
	0.021	0.92	03/16/21	0.85	...	0.07	22 of 85 - Sale <sup>25</sup>
	0.027	1.20	03/16/21	1.11	...	0.09	23 of 85 - Sale <sup>25</sup>
	0.003	0.11	03/17/21	0.10	...	0.01	24 of 85 - Sale <sup>25</sup>
	0.008	0.37	03/17/21	0.33	...	0.04	25 of 85 - Sale <sup>25</sup>
	0.012	0.52	03/17/21	0.47	...	0.05	26 of 85 - Sale <sup>25</sup>
	0.017	0.72	03/17/21	0.65	...	0.07	27 of 85 - Sale <sup>25</sup>
	0.017	0.76	03/17/21	0.68	...	0.08	28 of 85 - Sale <sup>25</sup>
	0.020	0.87	03/17/21	0.78	...	0.09	29 of 85 - Sale <sup>25</sup>
	0.037	1.63	03/17/21	1.54	...	0.09	30 of 85 - Sale <sup>25</sup>
	0.026	1.13	03/17/21	1.01	...	0.12	31 of 85 - Sale <sup>25</sup>
	0.028	1.24	03/17/21	1.11	...	0.13	32 of 85 - Sale <sup>25</sup>
	0.028	1.24	03/17/21	1.11	...	0.13	33 of 85 - Sale <sup>25</sup>
	0.054	2.35	03/17/21	2.22	...	0.13	34 of 85 - Sale <sup>25</sup>
	0.039	1.72	03/17/21	1.55	...	0.17	35 of 85 - Sale <sup>25</sup>
	0.057	2.48	03/17/21	2.22	...	0.26	36 of 85 - Sale <sup>25</sup>
	0.005	0.21	03/18/21	0.19	...	0.02	37 of 85 - Sale <sup>25</sup>
	0.008	0.36	03/18/21	0.33	...	0.03	38 of 85 - Sale <sup>25</sup>
	0.016	0.71	03/18/21	0.64	...	0.07	39 of 85 - Sale <sup>25</sup>
	0.020	0.86	03/18/21	0.78	...	0.08	40 of 85 - Sale <sup>25</sup>
	0.023	1.01	03/18/21	0.92	...	0.09	41 of 85 - Sale <sup>25</sup>
	0.028	1.22	03/18/21	1.11	...	0.11	42 of 85 - Sale <sup>25</sup>
	0.028	1.22	03/18/21	1.11	...	0.11	43 of 85 - Sale <sup>25</sup>
	0.028	1.22	03/18/21	1.11	...	0.11	44 of 85 - Sale <sup>25</sup>
	0.028	1.23	03/18/21	1.11	...	0.12	45 of 85 - Sale <sup>25</sup>
	0.040	1.75	03/18/21	1.58	...	0.17	46 of 85 - Sale <sup>25</sup>
	0.008	0.35	03/19/21	0.31	...	0.04	47 of 85 - Sale <sup>25</sup>
	0.008	0.35	03/19/21	0.30	...	0.05	48 of 85 - Sale <sup>25</sup>
	0.010	0.43	03/19/21	0.38	...	0.05	49 of 85 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
0.013		0.58	03/19/21	0.53	...	0.05	50 of 85 - Sale <sup>25</sup>
0.010		0.43	03/19/21	0.37	...	0.06	51 of 85 - Sale <sup>25</sup>
0.015		0.66	03/19/21	0.59	...	0.07	52 of 85 - Sale <sup>25</sup>
0.021		0.89	03/19/21	0.81	...	0.08	53 of 85 - Sale <sup>25</sup>
0.022		0.93	03/19/21	0.84	...	0.09	54 of 85 - Sale <sup>25</sup>
0.022		0.93	03/19/21	0.84	...	0.09	55 of 85 - Sale <sup>25</sup>
0.022		0.93	03/19/21	0.84	...	0.09	56 of 85 - Sale <sup>25</sup>
0.020		0.84	03/19/21	0.74	...	0.10	57 of 85 - Sale <sup>25</sup>
0.021		0.93	03/19/21	0.80	...	0.13	58 of 85 - Sale <sup>25</sup>
0.022		0.93	03/19/21	0.79	...	0.14	59 of 85 - Sale <sup>25</sup>
0.022		0.93	03/19/21	0.79	...	0.14	60 of 85 - Sale <sup>25</sup>
0.029		1.27	03/19/21	1.12	...	0.15	61 of 85 - Sale <sup>25</sup>
0.029		1.27	03/19/21	1.11	...	0.16	62 of 85 - Sale <sup>25</sup>
0.029		1.27	03/19/21	1.11	...	0.16	63 of 85 - Sale <sup>25</sup>
0.059		2.54	03/19/21	2.23	...	0.31	64 of 85 - Sale <sup>25</sup>
0.026		1.14	03/22/21	1.10	...	0.04	65 of 85 - Sale <sup>25</sup>
0.027		1.15	03/23/21	1.10	...	0.05	66 of 85 - Sale <sup>25</sup>
0.028		1.21	03/24/21	1.11	...	0.10	67 of 85 - Sale <sup>25</sup>
0.012		0.51	03/25/21	0.43	...	0.08	68 of 85 - Sale <sup>25</sup>
0.019		0.81	03/25/21	0.69	...	0.12	69 of 85 - Sale <sup>25</sup>
0.028		1.23	03/30/21	1.11	...	0.12	70 of 85 - Sale <sup>25</sup>
0.005		0.20	03/31/21	0.20	...	0.00	71 of 85 - Sale <sup>25</sup>
0.009		0.40	03/31/21	0.40	...	0.00	72 of 85 - Sale <sup>25</sup>
0.017		0.73	03/31/21	0.71	...	0.02	73 of 85 - Sale <sup>25</sup>
0.022		0.93	03/31/21	0.91	...	0.02	74 of 85 - Sale <sup>25</sup>
0.013		0.55	03/31/21	0.51	...	0.04	75 of 85 - Sale <sup>25</sup>
0.026		1.15	03/31/21	1.10	...	0.05	76 of 85 - Sale <sup>25</sup>
0.024		1.04	04/01/21	1.09	...	-0.05	77 of 85 - Sale <sup>25</sup>
0.018		0.79	04/01/21	0.82	...	-0.03	78 of 85 - Sale <sup>25</sup>
0.023		0.98	04/01/21	1.00	...	-0.02	79 of 85 - Sale <sup>25</sup>
0.025		1.08	04/01/21	1.10	...	-0.02	80 of 85 - Sale <sup>25</sup>
0.006		0.26	04/01/21	0.27	...	-0.01	81 of 85 - Sale <sup>25</sup>
0.009		0.38	04/01/21	0.39	...	-0.01	82 of 85 - Sale <sup>25</sup>
0.025		1.08	04/01/21	1.09	...	-0.01	83 of 85 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
	0.002	0.10	04/01/21	0.10	...	0.00	84 of 85 - Sale <sup>25</sup>
	0.016	0.70	04/01/21	0.70	...	0.00	85 of 85 - Sale <sup>25</sup>
04/13/21	1.739	75.73	Various	70.23	...	5.50	Total of 85 transactions
	<b>Security total:</b>	<b>1,284.06</b>		<b>1,289.62</b>	<b>31.13 W</b>	<b>25.57</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
	2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.						
	0.031	1.22	10/26/20	0.77	...	0.45	1 of 2 - Sale <sup>25</sup>
	0.049	1.89	10/26/20	1.19	...	0.70	2 of 2 - Sale <sup>25</sup>
01/04/21	0.080	3.11	Various	1.96	...	1.15	Total of 2 transactions
	9 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	0.002	0.06	10/26/20	0.04	...	0.02	1 of 9 - Sale <sup>25</sup>
	0.010	0.39	10/26/20	0.25	...	0.14	2 of 9 - Sale <sup>25</sup>
	0.020	0.80	10/26/20	0.49	...	0.31	3 of 9 - Sale <sup>25</sup>
	0.051	2.02	10/26/20	1.24	...	0.78	4 of 9 - Sale <sup>25</sup>
	0.052	2.07	10/26/20	1.27	...	0.80	5 of 9 - Sale <sup>25</sup>
	0.062	2.46	10/26/20	1.48	...	0.98	6 of 9 - Sale <sup>25</sup>
	0.074	2.95	10/26/20	1.83	...	1.12	7 of 9 - Sale <sup>25</sup>
	0.148	5.89	10/26/20	3.63	...	2.26	8 of 9 - Sale <sup>25</sup>
	0.336	13.36	10/26/20	8.25	...	5.11	9 of 9 - Sale <sup>25</sup>
01/05/21	0.755	30.00	Various	18.48	...	11.52	Total of 9 transactions
	22 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.000	0.02	10/26/20	0.01	...	0.01	1 of 22 - Sale <sup>25</sup>
	0.001	0.06	10/26/20	0.04	...	0.02	2 of 22 - Sale <sup>25</sup>
	0.002	0.10	10/26/20	0.07	...	0.03	3 of 22 - Sale <sup>25</sup>
	0.005	0.24	10/26/20	0.17	...	0.07	4 of 22 - Sale <sup>25</sup>
	0.007	0.36	10/26/20	0.23	...	0.13	5 of 22 - Sale <sup>25</sup>
	0.007	0.38	10/26/20	0.25	...	0.13	6 of 22 - Sale <sup>25</sup>
	0.007	0.38	10/26/20	0.25	...	0.13	7 of 22 - Sale <sup>25</sup>
	0.011	0.58	10/26/20	0.37	...	0.21	8 of 22 - Sale <sup>25</sup>
	0.012	0.59	10/26/20	0.37	...	0.22	9 of 22 - Sale <sup>25</sup>
	0.013	0.67	10/26/20	0.42	...	0.25	10 of 22 - Sale <sup>25</sup>
	0.020	1.00	10/26/20	0.71	...	0.29	11 of 22 - Sale <sup>25</sup>
	0.017	0.87	10/26/20	0.56	...	0.31	12 of 22 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.019	0.97	10/26/20	0.62	...	0.35	13 of 22 - Sale <sup>25</sup>
	0.021	1.07	10/26/20	0.72	...	0.35	14 of 22 - Sale <sup>25</sup>
	0.023	1.19	10/26/20	0.74	...	0.45	15 of 22 - Sale <sup>25</sup>
	0.024	1.20	10/26/20	0.75	...	0.45	16 of 22 - Sale <sup>25</sup>
	0.025	1.29	10/26/20	0.82	...	0.47	17 of 22 - Sale <sup>25</sup>
	0.030	1.51	10/26/20	0.97	...	0.54	18 of 22 - Sale <sup>25</sup>
	0.032	1.61	10/26/20	1.01	...	0.60	19 of 22 - Sale <sup>25</sup>
	0.034	1.73	10/26/20	1.13	...	0.60	20 of 22 - Sale <sup>25</sup>
	0.039	2.00	10/26/20	1.30	...	0.70	21 of 22 - Sale <sup>25</sup>
	0.043	2.20	10/26/20	1.03	...	1.17	22 of 22 - Sale <sup>25</sup>
01/28/21	0.394	20.02	Various	12.54	...	7.48	Total of 22 transactions
	4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	0.004	0.21	10/26/20	0.16	...	0.05	1 of 4 - Sale <sup>25</sup>
	0.007	0.35	10/26/20	0.25	...	0.10	2 of 4 - Sale <sup>25</sup>
	0.015	0.73	10/26/20	0.35	...	0.38	3 of 4 - Sale <sup>25</sup>
	0.055	2.71	10/26/20	1.32	...	1.39	4 of 4 - Sale <sup>25</sup>
01/29/21	0.081	4.00	Various	2.08	...	1.92	Total of 4 transactions
	6 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	0.001	0.04	10/26/20	0.02	...	0.02	1 of 6 - Sale <sup>25</sup>
	0.020	0.91	10/26/20	0.50	...	0.41	2 of 6 - Sale <sup>25</sup>
	0.019	0.89	10/26/20	0.46	...	0.43	3 of 6 - Sale <sup>25</sup>
	0.042	1.94	10/26/20	1.03	...	0.91	4 of 6 - Sale <sup>25</sup>
	0.063	2.91	10/26/20	1.52	...	1.39	5 of 6 - Sale <sup>25</sup>
	0.071	3.31	10/26/20	1.73	...	1.58	6 of 6 - Sale <sup>25</sup>
02/01/21	0.215	10.00	Various	5.26	...	4.74	Total of 6 transactions
	9 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	0.003	0.15	10/26/20	0.08	...	0.07	1 of 9 - Sale <sup>25</sup>
	0.005	0.23	10/26/20	0.13	...	0.10	2 of 9 - Sale <sup>25</sup>
	0.007	0.33	10/26/20	0.19	...	0.14	3 of 9 - Sale <sup>25</sup>
	0.018	0.88	10/26/20	0.51	...	0.37	4 of 9 - Sale <sup>25</sup>
	0.019	0.89	10/26/20	0.47	...	0.42	5 of 9 - Sale <sup>25</sup>
	0.029	1.38	10/26/20	0.79	...	0.59	6 of 9 - Sale <sup>25</sup>
	0.037	1.78	10/26/20	1.02	...	0.76	7 of 9 - Sale <sup>25</sup>

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Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.035	1.70	10/26/20	0.88	...	0.82	8 of 9 - Sale <sup>25</sup>
	0.055	2.66	10/26/20	1.51	...	1.15	9 of 9 - Sale <sup>25</sup>
02/02/21	0.207	10.00	Various	5.58	...	4.42	Total of 9 transactions
	10 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	0.000	0.02	10/26/20	0.01	...	0.01	1 of 10 - Sale <sup>25</sup>
	0.001	0.07	10/26/20	0.04	...	0.03	2 of 10 - Sale <sup>25</sup>
	0.003	0.15	10/26/20	0.08	...	0.07	3 of 10 - Sale <sup>25</sup>
	0.006	0.29	10/26/20	0.18	...	0.11	4 of 10 - Sale <sup>25</sup>
	0.012	0.61	10/26/20	0.39	...	0.22	5 of 10 - Sale <sup>25</sup>
	0.029	1.49	10/26/20	0.93	...	0.56	6 of 10 - Sale <sup>25</sup>
	0.025	1.30	10/26/20	0.68	...	0.62	7 of 10 - Sale <sup>25</sup>
	0.036	1.85	10/26/20	0.92	...	0.93	8 of 10 - Sale <sup>25</sup>
	0.038	2.00	10/26/20	1.01	...	0.99	9 of 10 - Sale <sup>25</sup>
	0.043	2.22	10/26/20	1.15	...	1.07	10 of 10 - Sale <sup>25</sup>
02/03/21	0.192	10.00	Various	5.39	...	4.61	Total of 10 transactions
	56 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	0.001	0.05	10/26/20	0.03	...	0.02	1 of 56 - Sale <sup>25</sup>
	0.001	0.05	10/26/20	0.02	...	0.03	2 of 56 - Sale <sup>25</sup>
	0.003	0.19	10/26/20	0.11	...	0.08	3 of 56 - Sale <sup>25</sup>
	0.003	0.22	10/26/20	0.13	...	0.09	4 of 56 - Sale <sup>25</sup>
	0.004	0.24	10/26/20	0.14	...	0.10	5 of 56 - Sale <sup>25</sup>
	0.004	0.23	10/26/20	0.12	...	0.11	6 of 56 - Sale <sup>25</sup>
	0.005	0.33	10/26/20	0.21	...	0.12	7 of 56 - Sale <sup>25</sup>
	0.005	0.31	10/26/20	0.17	...	0.14	8 of 56 - Sale <sup>25</sup>
	0.006	0.38	10/26/20	0.21	...	0.17	9 of 56 - Sale <sup>25</sup>
	0.006	0.41	10/26/20	0.22	...	0.19	10 of 56 - Sale <sup>25</sup>
	0.007	0.46	10/26/20	0.25	...	0.21	11 of 56 - Sale <sup>25</sup>
	0.008	0.53	10/26/20	0.27	...	0.26	12 of 56 - Sale <sup>25</sup>
	0.010	0.65	10/26/20	0.38	...	0.27	13 of 56 - Sale <sup>25</sup>
	0.012	0.81	10/26/20	0.40	...	0.41	14 of 56 - Sale <sup>25</sup>
	0.014	0.90	10/26/20	0.49	...	0.41	15 of 56 - Sale <sup>25</sup>
	0.014	0.92	10/26/20	0.51	...	0.41	16 of 56 - Sale <sup>25</sup>
	0.014	0.93	10/26/20	0.51	...	0.42	17 of 56 - Sale <sup>25</sup>
	0.017	1.05	10/26/20	0.63	...	0.42	18 of 56 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.016	1.03	10/26/20	0.60	...	0.43	19 of 56 - Sale <sup>25</sup>
	0.013	0.86	10/26/20	0.43	...	0.43	20 of 56 - Sale <sup>25</sup>
	0.014	0.88	10/26/20	0.45	...	0.43	21 of 56 - Sale <sup>25</sup>
	0.014	0.93	10/26/20	0.46	...	0.47	22 of 56 - Sale <sup>25</sup>
	0.015	0.97	10/26/20	0.50	...	0.47	23 of 56 - Sale <sup>25</sup>
	0.016	1.06	10/26/20	0.59	...	0.47	24 of 56 - Sale <sup>25</sup>
	0.015	0.97	10/26/20	0.47	...	0.50	25 of 56 - Sale <sup>25</sup>
	0.016	1.00	10/26/20	0.50	...	0.50	26 of 56 - Sale <sup>25</sup>
	0.018	1.17	10/26/20	0.67	...	0.50	27 of 56 - Sale <sup>25</sup>
	0.016	1.04	10/26/20	0.53	...	0.51	28 of 56 - Sale <sup>25</sup>
	0.020	1.32	10/26/20	0.79	...	0.53	29 of 56 - Sale <sup>25</sup>
	0.022	1.43	10/26/20	0.85	...	0.58	30 of 56 - Sale <sup>25</sup>
	0.021	1.37	10/26/20	0.77	...	0.60	31 of 56 - Sale <sup>25</sup>
	0.020	1.29	10/26/20	0.66	...	0.63	32 of 56 - Sale <sup>25</sup>
	0.023	1.47	10/26/20	0.81	...	0.66	33 of 56 - Sale <sup>25</sup>
	0.026	1.65	10/26/20	0.99	...	0.66	34 of 56 - Sale <sup>25</sup>
	0.026	1.67	10/26/20	1.01	...	0.66	35 of 56 - Sale <sup>25</sup>
	0.024	1.58	10/26/20	0.91	...	0.67	36 of 56 - Sale <sup>25</sup>
	0.026	1.66	10/26/20	0.99	...	0.67	37 of 56 - Sale <sup>25</sup>
	0.023	1.43	10/26/20	0.74	...	0.69	38 of 56 - Sale <sup>25</sup>
	0.026	1.69	10/26/20	0.93	...	0.76	39 of 56 - Sale <sup>25</sup>
	0.026	1.69	10/26/20	0.92	...	0.77	40 of 56 - Sale <sup>25</sup>
	0.028	1.78	10/26/20	0.94	...	0.84	41 of 56 - Sale <sup>25</sup>
	0.028	1.79	10/26/20	0.94	...	0.85	42 of 56 - Sale <sup>25</sup>
	0.028	1.78	10/26/20	0.93	...	0.85	43 of 56 - Sale <sup>25</sup>
	0.028	1.80	10/26/20	0.94	...	0.86	44 of 56 - Sale <sup>25</sup>
	0.028	1.78	10/26/20	0.91	...	0.87	45 of 56 - Sale <sup>25</sup>
	0.028	1.80	10/26/20	0.93	...	0.87	46 of 56 - Sale <sup>25</sup>
	0.028	1.79	10/26/20	0.90	...	0.89	47 of 56 - Sale <sup>25</sup>
	0.029	1.85	10/26/20	0.94	...	0.91	48 of 56 - Sale <sup>25</sup>
	0.029	1.85	10/26/20	0.94	...	0.91	49 of 56 - Sale <sup>25</sup>
	0.029	1.86	10/26/20	0.94	...	0.92	50 of 56 - Sale <sup>25</sup>
	0.029	1.86	10/26/20	0.94	...	0.92	51 of 56 - Sale <sup>25</sup>
	0.029	1.87	10/26/20	0.93	...	0.94	52 of 56 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.030	1.91	10/26/20	0.93	...	0.98	53 of 56 - Sale <sup>25</sup>
	0.030	1.92	10/26/20	0.94	...	0.98	54 of 56 - Sale <sup>25</sup>
	0.041	2.63	10/26/20	1.05	...	1.58	55 of 56 - Sale <sup>25</sup>
	0.107	6.93	10/26/20	2.50	...	4.43	56 of 56 - Sale <sup>25</sup>
02/19/21	1.119	72.02	Various	36.97	...	35.05	Total of 56 transactions
44 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.001	0.07	10/26/20	0.04	...	0.03	1 of 44 - Sale <sup>25</sup>
	0.001	0.07	10/26/20	0.03	...	0.04	2 of 44 - Sale <sup>25</sup>
	0.001	0.09	10/26/20	0.05	...	0.04	3 of 44 - Sale <sup>25</sup>
	0.001	0.10	10/26/20	0.05	...	0.05	4 of 44 - Sale <sup>25</sup>
	0.002	0.11	10/26/20	0.05	...	0.06	5 of 44 - Sale <sup>25</sup>
	0.002	0.17	10/26/20	0.09	...	0.08	6 of 44 - Sale <sup>25</sup>
	0.003	0.18	10/26/20	0.10	...	0.08	7 of 44 - Sale <sup>25</sup>
	0.003	0.23	10/26/20	0.12	...	0.11	8 of 44 - Sale <sup>25</sup>
	0.004	0.27	10/26/20	0.15	...	0.12	9 of 44 - Sale <sup>25</sup>
	0.004	0.27	10/26/20	0.15	...	0.12	10 of 44 - Sale <sup>25</sup>
	0.004	0.29	10/26/20	0.15	...	0.14	11 of 44 - Sale <sup>25</sup>
	0.005	0.32	10/26/20	0.18	...	0.14	12 of 44 - Sale <sup>25</sup>
	0.004	0.29	10/26/20	0.13	...	0.16	13 of 44 - Sale <sup>25</sup>
	0.005	0.34	10/26/20	0.17	...	0.17	14 of 44 - Sale <sup>25</sup>
	0.006	0.39	10/26/20	0.22	...	0.17	15 of 44 - Sale <sup>25</sup>
	0.006	0.39	10/26/20	0.21	...	0.18	16 of 44 - Sale <sup>25</sup>
	0.006	0.41	10/26/20	0.22	...	0.19	17 of 44 - Sale <sup>25</sup>
	0.006	0.43	10/26/20	0.24	...	0.19	18 of 44 - Sale <sup>25</sup>
	0.006	0.43	10/26/20	0.23	...	0.20	19 of 44 - Sale <sup>25</sup>
	0.006	0.44	10/26/20	0.24	...	0.20	20 of 44 - Sale <sup>25</sup>
	0.007	0.51	10/26/20	0.28	...	0.23	21 of 44 - Sale <sup>25</sup>
	0.008	0.59	10/26/20	0.29	...	0.30	22 of 44 - Sale <sup>25</sup>
	0.009	0.63	10/26/20	0.33	...	0.30	23 of 44 - Sale <sup>25</sup>
	0.010	0.69	10/26/20	0.36	...	0.33	24 of 44 - Sale <sup>25</sup>
	0.010	0.74	10/26/20	0.41	...	0.33	25 of 44 - Sale <sup>25</sup>
	0.011	0.80	10/26/20	0.45	...	0.35	26 of 44 - Sale <sup>25</sup>
	0.011	0.76	10/26/20	0.40	...	0.36	27 of 44 - Sale <sup>25</sup>
	0.014	1.00	10/26/20	0.57	...	0.43	28 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.014	0.98	10/26/20	0.53	...	0.45	29 of 44 - Sale <sup>25</sup>
	0.014	1.01	10/26/20	0.56	...	0.45	30 of 44 - Sale <sup>25</sup>
	0.016	1.09	10/26/20	0.59	...	0.50	31 of 44 - Sale <sup>25</sup>
	0.017	1.16	10/26/20	0.64	...	0.52	32 of 44 - Sale <sup>25</sup>
	0.017	1.16	10/26/20	0.63	...	0.53	33 of 44 - Sale <sup>25</sup>
	0.017	1.16	10/26/20	0.61	...	0.55	34 of 44 - Sale <sup>25</sup>
	0.018	1.28	10/26/20	0.70	...	0.58	35 of 44 - Sale <sup>25</sup>
	0.019	1.31	10/26/20	0.73	...	0.58	36 of 44 - Sale <sup>25</sup>
	0.023	1.58	10/26/20	0.87	...	0.71	37 of 44 - Sale <sup>25</sup>
	0.024	1.68	10/26/20	0.91	...	0.77	38 of 44 - Sale <sup>25</sup>
	0.025	1.74	10/26/20	0.96	...	0.78	39 of 44 - Sale <sup>25</sup>
	0.026	1.81	10/26/20	1.01	...	0.80	40 of 44 - Sale <sup>25</sup>
	0.026	1.82	10/26/20	0.99	...	0.83	41 of 44 - Sale <sup>25</sup>
	0.026	1.85	10/26/20	1.01	...	0.84	42 of 44 - Sale <sup>25</sup>
	0.026	1.84	10/26/20	0.92	...	0.92	43 of 44 - Sale <sup>25</sup>
	0.036	2.51	10/26/20	1.39	...	1.12	44 of 44 - Sale <sup>25</sup>
02/23/21	0.499	34.99	Various	18.96	...	16.03	Total of 44 transactions
	9 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	0.001	0.04	10/26/20	0.02	...	0.02	1 of 9 - Sale <sup>25</sup>
	0.003	0.24	10/26/20	0.12	...	0.12	2 of 9 - Sale <sup>25</sup>
	0.005	0.40	10/26/20	0.21	...	0.19	3 of 9 - Sale <sup>25</sup>
	0.006	0.45	10/26/20	0.23	...	0.22	4 of 9 - Sale <sup>25</sup>
	0.009	0.65	10/26/20	0.36	...	0.29	5 of 9 - Sale <sup>25</sup>
	0.010	0.72	10/26/20	0.39	...	0.33	6 of 9 - Sale <sup>25</sup>
	0.011	0.78	10/26/20	0.42	...	0.36	7 of 9 - Sale <sup>25</sup>
	0.014	1.02	10/26/20	0.56	...	0.46	8 of 9 - Sale <sup>25</sup>
	0.017	1.26	10/26/20	0.68	...	0.58	9 of 9 - Sale <sup>25</sup>
03/02/21	0.075	5.56	Various	2.99	...	2.57	Total of 9 transactions
	14 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	0.001	0.09	10/26/20	0.05	...	0.04	1 of 14 - Sale <sup>25</sup>
	0.002	0.12	10/26/20	0.06	...	0.06	2 of 14 - Sale <sup>25</sup>
	0.004	0.30	10/26/20	0.16	...	0.14	3 of 14 - Sale <sup>25</sup>
	0.004	0.34	10/26/20	0.18	...	0.16	4 of 14 - Sale <sup>25</sup>
	0.006	0.47	10/26/20	0.25	...	0.22	5 of 14 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.007	0.52	10/26/20	0.29	...	0.23	6 of 14 - Sale <sup>25</sup>
	0.007	0.53	10/26/20	0.28	...	0.25	7 of 14 - Sale <sup>25</sup>
	0.008	0.63	10/26/20	0.32	...	0.31	8 of 14 - Sale <sup>25</sup>
	0.010	0.78	10/26/20	0.44	...	0.34	9 of 14 - Sale <sup>25</sup>
	0.011	0.88	10/26/20	0.46	...	0.42	10 of 14 - Sale <sup>25</sup>
	0.013	1.02	10/26/20	0.55	...	0.47	11 of 14 - Sale <sup>25</sup>
	0.016	1.24	10/26/20	0.64	...	0.60	12 of 14 - Sale <sup>25</sup>
	0.018	1.38	10/26/20	0.76	...	0.62	13 of 14 - Sale <sup>25</sup>
	0.022	1.70	10/26/20	0.91	...	0.79	14 of 14 - Sale <sup>25</sup>
03/03/21	0.129	10.00	Various	5.35	...	4.65	Total of 14 transactions
	11 transactions for 03/04/21. Total proceeds and cost reported to the IRS.						
	0.005	0.42	10/26/20	0.21	...	0.21	1 of 11 - Sale <sup>25</sup>
	0.007	0.58	10/26/20	0.29	...	0.29	2 of 11 - Sale <sup>25</sup>
	0.010	0.80	10/26/20	0.41	...	0.39	3 of 11 - Sale <sup>25</sup>
	0.012	0.91	10/26/20	0.46	...	0.45	4 of 11 - Sale <sup>25</sup>
	0.013	0.98	10/26/20	0.50	...	0.48	5 of 11 - Sale <sup>25</sup>
	0.013	1.04	10/26/20	0.54	...	0.50	6 of 11 - Sale <sup>25</sup>
	0.016	1.22	10/26/20	0.63	...	0.59	7 of 11 - Sale <sup>25</sup>
	0.017	1.30	10/26/20	0.64	...	0.66	8 of 11 - Sale <sup>25</sup>
	0.021	1.59	10/26/20	0.81	...	0.78	9 of 11 - Sale <sup>25</sup>
	0.004	0.28	10/27/20	0.14	...	0.14	10 of 11 - Sale <sup>25</sup>
	0.011	0.88	10/27/20	0.42	...	0.46	11 of 11 - Sale <sup>25</sup>
03/04/21	0.129	10.00	Various	5.05	...	4.95	Total of 11 transactions
	7 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	0.002	0.12	10/27/20	0.06	...	0.06	1 of 7 - Sale <sup>25</sup>
	0.013	1.03	10/27/20	0.47	...	0.56	2 of 7 - Sale <sup>25</sup>
	0.018	1.48	10/27/20	0.68	...	0.80	3 of 7 - Sale <sup>25</sup>
	0.003	0.26	10/29/20	0.11	...	0.15	4 of 7 - Sale <sup>25</sup>
	0.004	0.37	10/29/20	0.16	...	0.21	5 of 7 - Sale <sup>25</sup>
	0.005	0.41	10/29/20	0.17	...	0.24	6 of 7 - Sale <sup>25</sup>
	0.016	1.33	10/29/20	0.56	...	0.77	7 of 7 - Sale <sup>25</sup>
03/05/21	0.062	5.00	Various	2.21	...	2.79	Total of 7 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
14 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	0.001	0.05	10/29/20	0.02	...	0.03	1 of 14 - Sale <sup>25</sup>
	0.002	0.16	10/29/20	0.08	...	0.08	2 of 14 - Sale <sup>25</sup>
	0.003	0.24	10/29/20	0.12	...	0.12	3 of 14 - Sale <sup>25</sup>
	0.004	0.28	10/29/20	0.14	...	0.14	4 of 14 - Sale <sup>25</sup>
	0.005	0.39	10/29/20	0.20	...	0.19	5 of 14 - Sale <sup>25</sup>
	0.006	0.41	10/29/20	0.20	...	0.21	6 of 14 - Sale <sup>25</sup>
	0.006	0.42	10/29/20	0.20	...	0.22	7 of 14 - Sale <sup>25</sup>
	0.009	0.65	10/29/20	0.30	...	0.35	8 of 14 - Sale <sup>25</sup>
	0.010	0.69	10/29/20	0.33	...	0.36	9 of 14 - Sale <sup>25</sup>
	0.014	1.03	10/29/20	0.50	...	0.53	10 of 14 - Sale <sup>25</sup>
	0.015	1.10	10/29/20	0.53	...	0.57	11 of 14 - Sale <sup>25</sup>
	0.021	1.50	10/29/20	0.72	...	0.78	12 of 14 - Sale <sup>25</sup>
	0.027	1.91	10/29/20	0.93	...	0.98	13 of 14 - Sale <sup>25</sup>
	0.027	1.94	10/29/20	0.94	...	1.00	14 of 14 - Sale <sup>25</sup>
03/22/21	0.150	10.77	Various	5.21	...	5.56	Total of 14 transactions
12 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	0.005	0.34	10/29/20	0.17	...	0.17	1 of 12 - Sale <sup>25</sup>
	0.012	0.78	10/29/20	0.41	...	0.37	2 of 12 - Sale <sup>25</sup>
	0.023	1.54	10/29/20	0.79	...	0.75	3 of 12 - Sale <sup>25</sup>
	0.003	0.20	10/30/20	0.12	...	0.08	4 of 12 - Sale <sup>25</sup>
	0.004	0.30	10/30/20	0.16	...	0.14	5 of 12 - Sale <sup>25</sup>
	0.005	0.37	10/30/20	0.20	...	0.17	6 of 12 - Sale <sup>25</sup>
	0.008	0.53	10/30/20	0.29	...	0.24	7 of 12 - Sale <sup>25</sup>
	0.011	0.77	10/30/20	0.42	...	0.35	8 of 12 - Sale <sup>25</sup>
	0.015	1.05	10/30/20	0.55	...	0.50	9 of 12 - Sale <sup>25</sup>
	0.018	1.25	10/30/20	0.70	...	0.55	10 of 12 - Sale <sup>25</sup>
	0.021	1.44	10/30/20	0.80	...	0.64	11 of 12 - Sale <sup>25</sup>
	0.021	1.43	10/30/20	0.78	...	0.65	12 of 12 - Sale <sup>25</sup>
03/25/21	0.147	10.00	Various	5.39	...	4.61	Total of 12 transactions
27 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	0.001	0.03	10/30/20	0.02	...	0.01	1 of 27 - Sale <sup>25</sup>
	0.001	0.04	10/30/20	0.02	...	0.02	2 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.002	0.13	10/30/20	0.08	...	0.05	3 of 27 - Sale <sup>25</sup>
	0.020	1.22	10/30/20	0.74	...	0.48	4 of 27 - Sale <sup>25</sup>
	0.026	1.59	10/30/20	0.98	...	0.61	5 of 27 - Sale <sup>25</sup>
	0.001	0.03	11/02/20	0.02	...	0.01	6 of 27 - Sale <sup>25</sup>
	0.006	0.35	11/02/20	0.21	...	0.14	7 of 27 - Sale <sup>25</sup>
	0.006	0.37	11/02/20	0.22	...	0.15	8 of 27 - Sale <sup>25</sup>
	0.007	0.44	11/02/20	0.27	...	0.17	9 of 27 - Sale <sup>25</sup>
	0.007	0.45	11/02/20	0.27	...	0.18	10 of 27 - Sale <sup>25</sup>
	0.009	0.54	11/02/20	0.33	...	0.21	11 of 27 - Sale <sup>25</sup>
	0.011	0.65	11/02/20	0.40	...	0.25	12 of 27 - Sale <sup>25</sup>
	0.013	0.82	11/02/20	0.49	...	0.33	13 of 27 - Sale <sup>25</sup>
	0.014	0.89	11/02/20	0.54	...	0.35	14 of 27 - Sale <sup>25</sup>
	0.026	1.63	11/02/20	1.00	...	0.63	15 of 27 - Sale <sup>25</sup>
	0.026	1.64	11/02/20	1.00	...	0.64	16 of 27 - Sale <sup>25</sup>
	0.026	1.63	11/02/20	0.97	...	0.66	17 of 27 - Sale <sup>25</sup>
	0.001	0.05	11/03/20	0.03	...	0.02	18 of 27 - Sale <sup>25</sup>
	0.005	0.30	11/03/20	0.19	...	0.11	19 of 27 - Sale <sup>25</sup>
	0.006	0.36	11/03/20	0.22	...	0.14	20 of 27 - Sale <sup>25</sup>
	0.008	0.50	11/03/20	0.31	...	0.19	21 of 27 - Sale <sup>25</sup>
	0.010	0.64	11/03/20	0.41	...	0.23	22 of 27 - Sale <sup>25</sup>
	0.012	0.71	11/03/20	0.46	...	0.25	23 of 27 - Sale <sup>25</sup>
	0.016	1.00	11/03/20	0.61	...	0.39	24 of 27 - Sale <sup>25</sup>
	0.018	1.13	11/03/20	0.70	...	0.43	25 of 27 - Sale <sup>25</sup>
	0.021	1.28	11/03/20	0.81	...	0.47	26 of 27 - Sale <sup>25</sup>
	0.026	1.58	11/03/20	1.01	...	0.57	27 of 27 - Sale <sup>25</sup>
04/13/21	0.323	20.00	Various	12.31	...	7.69	Total of 27 transactions
	68 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	0.002	0.15	11/03/20	0.08	...	0.07	1 of 68 - Sale <sup>25</sup>
	0.002	0.17	11/03/20	0.09	...	0.08	2 of 68 - Sale <sup>25</sup>
	0.003	0.23	11/03/20	0.09	...	0.14	3 of 68 - Sale <sup>25</sup>
	0.006	0.39	11/03/20	0.22	...	0.17	4 of 68 - Sale <sup>25</sup>
	0.004	0.30	11/03/20	0.12	...	0.18	5 of 68 - Sale <sup>25</sup>
	0.005	0.35	11/03/20	0.13	...	0.22	6 of 68 - Sale <sup>25</sup>
	0.007	0.47	11/03/20	0.25	...	0.22	7 of 68 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.009	0.65	11/03/20	0.34	...	0.31	8 of 68 - Sale <sup>25</sup>
	0.009	0.65	11/03/20	0.34	...	0.31	9 of 68 - Sale <sup>25</sup>
	0.015	1.07	11/03/20	0.55	...	0.52	10 of 68 - Sale <sup>25</sup>
	0.020	1.40	11/03/20	0.64	...	0.76	11 of 68 - Sale <sup>25</sup>
	0.019	1.37	11/03/20	0.54	...	0.83	12 of 68 - Sale <sup>25</sup>
	0.027	1.90	11/03/20	0.96	...	0.94	13 of 68 - Sale <sup>25</sup>
	0.024	1.67	11/03/20	0.66	...	1.01	14 of 68 - Sale <sup>25</sup>
	0.003	0.22	11/04/20	0.09	...	0.13	15 of 68 - Sale <sup>25</sup>
	0.006	0.41	11/04/20	0.17	...	0.24	16 of 68 - Sale <sup>25</sup>
	0.018	1.24	11/04/20	0.49	...	0.75	17 of 68 - Sale <sup>25</sup>
	0.021	1.49	11/04/20	0.57	...	0.92	18 of 68 - Sale <sup>25</sup>
	0.003	0.22	11/05/20	0.09	...	0.13	19 of 68 - Sale <sup>25</sup>
	0.009	0.66	11/05/20	0.27	...	0.39	20 of 68 - Sale <sup>25</sup>
	0.010	0.72	11/05/20	0.29	...	0.43	21 of 68 - Sale <sup>25</sup>
	0.024	1.68	11/05/20	0.69	...	0.99	22 of 68 - Sale <sup>25</sup>
	0.000	0.03	11/24/20	0.03	...	0.00	23 of 68 - Sale <sup>25</sup>
	0.008	0.57	11/24/20	0.39	...	0.18	24 of 68 - Sale <sup>25</sup>
	0.017	1.17	11/24/20	0.77	...	0.40	25 of 68 - Sale <sup>25</sup>
	0.001	0.08	11/25/20	0.05	...	0.03	26 of 68 - Sale <sup>25</sup>
	0.002	0.13	11/25/20	0.09	...	0.04	27 of 68 - Sale <sup>25</sup>
	0.004	0.26	11/25/20	0.18	...	0.08	28 of 68 - Sale <sup>25</sup>
	0.005	0.33	11/25/20	0.22	...	0.11	29 of 68 - Sale <sup>25</sup>
	0.006	0.42	11/25/20	0.28	...	0.14	30 of 68 - Sale <sup>25</sup>
	0.007	0.51	11/25/20	0.35	...	0.16	31 of 68 - Sale <sup>25</sup>
	0.007	0.51	11/25/20	0.34	...	0.17	32 of 68 - Sale <sup>25</sup>
	0.015	1.05	11/25/20	0.73	...	0.32	33 of 68 - Sale <sup>25</sup>
	0.018	1.30	11/25/20	0.87	...	0.43	34 of 68 - Sale <sup>25</sup>
	0.020	1.38	11/25/20	0.95	...	0.43	35 of 68 - Sale <sup>25</sup>
	0.021	1.48	11/25/20	1.01	...	0.47	36 of 68 - Sale <sup>25</sup>
	0.023	1.60	11/25/20	1.13	...	0.47	37 of 68 - Sale <sup>25</sup>
	0.002	0.16	11/27/20	0.11	...	0.05	38 of 68 - Sale <sup>25</sup>
	0.024	1.68	11/27/20	1.16	...	0.52	39 of 68 - Sale <sup>25</sup>
	0.025	1.73	12/15/20	1.24	...	0.49	40 of 68 - Sale <sup>25</sup>
	0.026	1.83	12/31/20	1.31	...	0.52	41 of 68 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.021	1.49	01/06/21	1.00	...	0.49	42 of 68 - Sale <sup>25</sup>
	0.022	1.53	01/06/21	1.00	...	0.53	43 of 68 - Sale <sup>25</sup>
	0.022	1.55	01/06/21	1.00	...	0.55	44 of 68 - Sale <sup>25</sup>
	0.020	1.43	01/07/21	1.00	...	0.43	45 of 68 - Sale <sup>25</sup>
	0.021	1.44	01/07/21	1.00	...	0.44	46 of 68 - Sale <sup>25</sup>
	0.020	1.43	01/08/21	1.00	...	0.43	47 of 68 - Sale <sup>25</sup>
	0.020	1.44	01/08/21	1.00	...	0.44	48 of 68 - Sale <sup>25</sup>
	0.020	1.44	01/08/21	1.00	...	0.44	49 of 68 - Sale <sup>25</sup>
	0.020	1.44	01/08/21	1.00	...	0.44	50 of 68 - Sale <sup>25</sup>
	0.021	1.44	01/08/21	1.00	...	0.44	51 of 68 - Sale <sup>25</sup>
	0.021	1.45	01/08/21	1.00	...	0.45	52 of 68 - Sale <sup>25</sup>
	0.021	1.45	01/08/21	1.00	...	0.45	53 of 68 - Sale <sup>25</sup>
	0.021	1.45	01/08/21	1.00	...	0.45	54 of 68 - Sale <sup>25</sup>
	0.021	1.45	01/08/21	1.00	...	0.45	55 of 68 - Sale <sup>25</sup>
	0.021	1.46	01/08/21	1.00	...	0.46	56 of 68 - Sale <sup>25</sup>
	0.021	1.47	01/08/21	1.00	...	0.47	57 of 68 - Sale <sup>25</sup>
	0.021	1.48	01/08/21	1.00	...	0.48	58 of 68 - Sale <sup>25</sup>
	0.292	20.48	01/08/21	14.10	...	6.38	59 of 68 - Sale <sup>25</sup>
	0.708	49.75	01/08/21	34.27	...	15.48	60 of 68 - Sale <sup>25</sup>
	0.015	1.06	01/11/21	0.71	...	0.35	61 of 68 - Sale <sup>25</sup>
	0.022	1.51	01/11/21	1.00	...	0.51	62 of 68 - Sale <sup>25</sup>
	0.022	1.51	01/11/21	1.00	...	0.51	63 of 68 - Sale <sup>25</sup>
	0.022	1.51	01/11/21	1.00	...	0.51	64 of 68 - Sale <sup>25</sup>
	0.022	1.51	01/11/21	1.00	...	0.51	65 of 68 - Sale <sup>25</sup>
	0.022	1.52	01/11/21	1.00	...	0.52	66 of 68 - Sale <sup>25</sup>
	0.022	1.54	01/11/21	0.99	...	0.55	67 of 68 - Sale <sup>25</sup>
	0.023	1.58	01/11/21	1.00	...	0.58	68 of 68 - Sale <sup>25</sup>
04/14/21	2.000	140.44	Various	91.95	...	48.49	Total of 68 transactions
199 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	0.006	0.40	01/11/21	0.29	...	0.11	1 of 199 - Sale <sup>25</sup>
	0.020	1.33	01/11/21	1.00	...	0.33	2 of 199 - Sale <sup>25</sup>
	0.020	1.33	01/11/21	1.00	...	0.33	3 of 199 - Sale <sup>25</sup>
	0.020	1.34	01/11/21	1.00	...	0.34	4 of 199 - Sale <sup>25</sup>
	0.021	1.34	01/11/21	1.00	...	0.34	5 of 199 - Sale <sup>25</sup>

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Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.021	1.37	01/11/21	1.00	...	0.37	6 of 199 - Sale <sup>25</sup>
	0.021	1.37	01/11/21	1.00	...	0.37	7 of 199 - Sale <sup>25</sup>
	0.021	1.37	01/11/21	1.00	...	0.37	8 of 199 - Sale <sup>25</sup>
	0.021	1.37	01/11/21	1.00	...	0.37	9 of 199 - Sale <sup>25</sup>
	0.021	1.37	01/11/21	1.00	...	0.37	10 of 199 - Sale <sup>25</sup>
	0.021	1.38	01/11/21	1.00	...	0.38	11 of 199 - Sale <sup>25</sup>
	0.021	1.38	01/11/21	1.00	...	0.38	12 of 199 - Sale <sup>25</sup>
	0.021	1.38	01/11/21	1.00	...	0.38	13 of 199 - Sale <sup>25</sup>
	0.021	1.38	01/11/21	1.00	...	0.38	14 of 199 - Sale <sup>25</sup>
	0.021	1.39	01/11/21	1.00	...	0.39	15 of 199 - Sale <sup>25</sup>
	0.021	1.39	01/11/21	1.00	...	0.39	16 of 199 - Sale <sup>25</sup>
	0.021	1.39	01/11/21	1.00	...	0.39	17 of 199 - Sale <sup>25</sup>
	0.021	1.39	01/11/21	1.00	...	0.39	18 of 199 - Sale <sup>25</sup>
	0.021	1.39	01/11/21	1.00	...	0.39	19 of 199 - Sale <sup>25</sup>
	0.021	1.39	01/11/21	1.00	...	0.39	20 of 199 - Sale <sup>25</sup>
	0.018	1.20	01/12/21	1.00	...	0.20	21 of 199 - Sale <sup>25</sup>
	0.019	1.21	01/12/21	1.00	...	0.21	22 of 199 - Sale <sup>25</sup>
	0.019	1.21	01/12/21	1.00	...	0.21	23 of 199 - Sale <sup>25</sup>
	0.019	1.21	01/12/21	1.00	...	0.21	24 of 199 - Sale <sup>25</sup>
	0.019	1.21	01/12/21	1.00	...	0.21	25 of 199 - Sale <sup>25</sup>
	0.019	1.21	01/12/21	1.00	...	0.21	26 of 199 - Sale <sup>25</sup>
	0.018	1.19	01/13/21	1.00	...	0.19	27 of 199 - Sale <sup>25</sup>
	0.018	1.19	01/13/21	1.00	...	0.19	28 of 199 - Sale <sup>25</sup>
	0.018	1.19	01/13/21	1.00	...	0.19	29 of 199 - Sale <sup>25</sup>
	0.018	1.20	01/13/21	1.00	...	0.20	30 of 199 - Sale <sup>25</sup>
	0.018	1.20	01/13/21	1.00	...	0.20	31 of 199 - Sale <sup>25</sup>
	0.018	1.20	01/13/21	1.00	...	0.20	32 of 199 - Sale <sup>25</sup>
	0.018	1.21	01/13/21	1.00	...	0.21	33 of 199 - Sale <sup>25</sup>
	0.018	1.21	01/13/21	1.00	...	0.21	34 of 199 - Sale <sup>25</sup>
	0.019	1.21	01/13/21	1.00	...	0.21	35 of 199 - Sale <sup>25</sup>
	0.019	1.21	01/13/21	1.00	...	0.21	36 of 199 - Sale <sup>25</sup>
	0.019	1.22	01/13/21	1.00	...	0.22	37 of 199 - Sale <sup>25</sup>
	0.019	1.22	01/13/21	1.00	...	0.22	38 of 199 - Sale <sup>25</sup>
	0.019	1.22	01/13/21	1.00	...	0.22	39 of 199 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.019	1.22	01/13/21	1.00	...	0.22	40 of 199 - Sale <sup>25</sup>
	0.019	1.22	01/13/21	1.00	...	0.22	41 of 199 - Sale <sup>25</sup>
	0.019	1.22	01/13/21	1.00	...	0.22	42 of 199 - Sale <sup>25</sup>
	0.019	1.22	01/13/21	1.00	...	0.22	43 of 199 - Sale <sup>25</sup>
	0.019	1.22	01/13/21	1.00	...	0.22	44 of 199 - Sale <sup>25</sup>
	0.019	1.22	01/13/21	1.00	...	0.22	45 of 199 - Sale <sup>25</sup>
	0.037	2.42	01/13/21	2.00	...	0.42	46 of 199 - Sale <sup>25</sup>
	0.038	2.45	01/13/21	2.00	...	0.45	47 of 199 - Sale <sup>25</sup>
	0.008	0.51	01/14/21	0.44	...	0.07	48 of 199 - Sale <sup>25</sup>
	0.010	0.66	01/14/21	0.56	...	0.10	49 of 199 - Sale <sup>25</sup>
	0.017	1.14	01/14/21	1.00	...	0.14	50 of 199 - Sale <sup>25</sup>
	0.015	0.96	01/14/21	0.81	...	0.15	51 of 199 - Sale <sup>25</sup>
	0.017	1.15	01/14/21	1.00	...	0.15	52 of 199 - Sale <sup>25</sup>
	0.017	1.16	01/14/21	1.00	...	0.16	53 of 199 - Sale <sup>25</sup>
	0.017	1.16	01/14/21	1.00	...	0.16	54 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	55 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	56 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	57 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	58 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	59 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	60 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	61 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	62 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	63 of 199 - Sale <sup>25</sup>
	0.018	1.16	01/14/21	1.00	...	0.16	64 of 199 - Sale <sup>25</sup>
	0.018	1.17	01/14/21	1.00	...	0.17	65 of 199 - Sale <sup>25</sup>
	0.018	1.17	01/14/21	1.00	...	0.17	66 of 199 - Sale <sup>25</sup>
	0.018	1.18	01/14/21	1.00	...	0.18	67 of 199 - Sale <sup>25</sup>
	0.018	1.18	01/14/21	1.00	...	0.18	68 of 199 - Sale <sup>25</sup>
	0.021	1.39	01/14/21	1.19	...	0.20	69 of 199 - Sale <sup>25</sup>
	0.035	2.32	01/14/21	2.00	...	0.32	70 of 199 - Sale <sup>25</sup>
	0.035	2.32	01/14/21	2.00	...	0.32	71 of 199 - Sale <sup>25</sup>
	0.003	0.21	01/15/21	0.17	...	0.04	72 of 199 - Sale <sup>25</sup>
	0.015	1.00	01/15/21	0.83	...	0.17	73 of 199 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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### **1a- Description of property/CUSIP/Symbol**

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
0.018		1.20	01/15/21	1.00	...	0.20	74 of 199 - Sale <sup>25</sup>
0.018		1.20	01/15/21	1.00	...	0.20	75 of 199 - Sale <sup>25</sup>
0.018		1.21	01/15/21	1.00	...	0.21	76 of 199 - Sale <sup>25</sup>
0.018		1.21	01/15/21	1.00	...	0.21	77 of 199 - Sale <sup>25</sup>
0.018		1.21	01/15/21	1.00	...	0.21	78 of 199 - Sale <sup>25</sup>
0.018		1.21	01/15/21	1.00	...	0.21	79 of 199 - Sale <sup>25</sup>
0.018		1.22	01/15/21	1.00	...	0.22	80 of 199 - Sale <sup>25</sup>
0.018		1.22	01/15/21	1.00	...	0.22	81 of 199 - Sale <sup>25</sup>
0.018		1.22	01/15/21	1.00	...	0.22	82 of 199 - Sale <sup>25</sup>
0.018		1.22	01/15/21	1.00	...	0.22	83 of 199 - Sale <sup>25</sup>
0.019		1.23	01/15/21	1.00	...	0.23	84 of 199 - Sale <sup>25</sup>
0.019		1.23	01/15/21	1.00	...	0.23	85 of 199 - Sale <sup>25</sup>
0.019		1.24	01/15/21	1.00	...	0.24	86 of 199 - Sale <sup>25</sup>
0.019		1.24	01/15/21	1.00	...	0.24	87 of 199 - Sale <sup>25</sup>
0.018		1.22	01/19/21	1.00	...	0.22	88 of 199 - Sale <sup>25</sup>
0.018		1.22	01/19/21	1.00	...	0.22	89 of 199 - Sale <sup>25</sup>
0.019		1.22	01/19/21	1.00	...	0.22	90 of 199 - Sale <sup>25</sup>
0.019		1.23	01/19/21	1.00	...	0.23	91 of 199 - Sale <sup>25</sup>
0.019		1.24	01/19/21	1.01	...	0.23	92 of 199 - Sale <sup>25</sup>
0.018		1.20	01/20/21	1.00	...	0.20	93 of 199 - Sale <sup>25</sup>
0.018		1.21	01/20/21	1.00	...	0.21	94 of 199 - Sale <sup>25</sup>
0.018		1.21	01/20/21	0.99	...	0.22	95 of 199 - Sale <sup>25</sup>
0.018		1.22	01/20/21	1.00	...	0.22	96 of 199 - Sale <sup>25</sup>
0.019		1.23	01/20/21	1.00	...	0.23	97 of 199 - Sale <sup>25</sup>
0.020		1.31	01/21/21	1.00	...	0.31	98 of 199 - Sale <sup>25</sup>
0.020		1.32	01/21/21	1.00	...	0.32	99 of 199 - Sale <sup>25</sup>
0.020		1.32	01/21/21	1.00	...	0.32	100 of 199 - Sale <sup>25</sup>
0.021		1.36	01/22/21	1.00	...	0.36	101 of 199 - Sale <sup>25</sup>
0.020		1.34	01/26/21	1.00	...	0.34	102 of 199 - Sale <sup>25</sup>
0.020		1.35	01/26/21	1.00	...	0.35	103 of 199 - Sale <sup>25</sup>
0.017		1.12	02/09/21	1.00	...	0.12	104 of 199 - Sale <sup>25</sup>
0.017		1.14	02/09/21	1.00	...	0.14	105 of 199 - Sale <sup>25</sup>
0.017		1.10	02/11/21	1.00	...	0.10	106 of 199 - Sale <sup>25</sup>
0.017		1.13	02/11/21	1.00	...	0.13	107 of 199 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.017	1.13	02/11/21	1.00	...	0.13	108 of 199 - Sale <sup>25</sup>
	0.017	1.14	02/11/21	1.00	...	0.14	109 of 199 - Sale <sup>25</sup>
	0.017	1.11	02/12/21	1.00	...	0.11	110 of 199 - Sale <sup>25</sup>
	0.016	1.06	02/18/21	1.00	...	0.06	111 of 199 - Sale <sup>25</sup>
	0.016	1.06	02/18/21	1.00	...	0.06	112 of 199 - Sale <sup>25</sup>
	0.016	1.07	02/18/21	1.00	...	0.07	113 of 199 - Sale <sup>25</sup>
	0.015	0.99	02/23/21	1.00	...	-0.01	114 of 199 - Sale <sup>25</sup>
	0.016	1.06	02/23/21	1.00	...	0.06	115 of 199 - Sale <sup>25</sup>
	0.026	1.75	02/25/21	2.00	...	-0.25	116 of 199 - Sale <sup>25</sup>
	0.013	0.84	02/25/21	1.00	...	-0.16	117 of 199 - Sale <sup>25</sup>
	0.013	0.85	02/25/21	1.00	...	-0.15	118 of 199 - Sale <sup>25</sup>
	0.013	0.86	02/25/21	1.00	...	-0.14	119 of 199 - Sale <sup>25</sup>
	0.013	0.86	02/25/21	1.00	...	-0.14	120 of 199 - Sale <sup>25</sup>
	0.014	0.91	02/25/21	1.04	...	-0.13	121 of 199 - Sale <sup>25</sup>
	0.013	0.88	02/25/21	1.00	...	-0.12	122 of 199 - Sale <sup>25</sup>
	0.013	0.88	02/25/21	1.00	...	-0.12	123 of 199 - Sale <sup>25</sup>
	0.014	0.92	02/25/21	1.04	...	-0.12	124 of 199 - Sale <sup>25</sup>
	0.013	0.89	02/25/21	1.00	...	-0.11	125 of 199 - Sale <sup>25</sup>
	0.021	1.37	02/26/21	1.49	...	-0.12	126 of 199 - Sale <sup>25</sup>
	0.014	0.93	02/26/21	1.00	...	-0.07	127 of 199 - Sale <sup>25</sup>
	0.014	0.93	02/26/21	1.00	...	-0.07	128 of 199 - Sale <sup>25</sup>
	0.014	0.96	02/26/21	1.00	...	-0.04	129 of 199 - Sale <sup>25</sup>
	0.015	1.00	02/26/21	1.00	...	0.00	130 of 199 - Sale <sup>25</sup>
	0.015	1.00	02/26/21	1.00	...	0.00	131 of 199 - Sale <sup>25</sup>
	0.015	1.00	02/26/21	1.00	...	0.00	132 of 199 - Sale <sup>25</sup>
	0.030	2.00	02/26/21	2.00	...	0.00	133 of 199 - Sale <sup>25</sup>
	0.013	0.89	03/01/21	1.00	...	-0.11	134 of 199 - Sale <sup>25</sup>
	0.014	0.91	03/01/21	1.00	...	-0.09	135 of 199 - Sale <sup>25</sup>
	0.014	0.90	03/02/21	1.00	...	-0.10	136 of 199 - Sale <sup>25</sup>
	0.012	0.79	03/10/21	1.00	...	-0.21	137 of 199 - Sale <sup>25</sup>
	0.012	0.81	03/10/21	0.99	...	-0.18	138 of 199 - Sale <sup>25</sup>
	0.012	0.81	03/10/21	0.99	...	-0.18	139 of 199 - Sale <sup>25</sup>
	0.011	0.74	03/11/21	1.00	...	-0.26	140 of 199 - Sale <sup>25</sup>
	0.011	0.74	03/11/21	1.00	...	-0.26	141 of 199 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.011	0.74	03/11/21	1.00	...	-0.26	142 of 199 - Sale <sup>25</sup>
	0.011	0.75	03/11/21	1.00	...	-0.25	143 of 199 - Sale <sup>25</sup>
	0.012	0.77	03/15/21	1.00	...	-0.23	144 of 199 - Sale <sup>25</sup>
	0.012	0.77	03/15/21	1.00	...	-0.23	145 of 199 - Sale <sup>25</sup>
	0.012	0.79	03/16/21	1.00	...	-0.21	146 of 199 - Sale <sup>25</sup>
	0.012	0.79	03/16/21	1.00	...	-0.21	147 of 199 - Sale <sup>25</sup>
	0.012	0.80	03/16/21	1.00	...	-0.20	148 of 199 - Sale <sup>25</sup>
	0.012	0.80	03/16/21	1.00	...	-0.20	149 of 199 - Sale <sup>25</sup>
	0.012	0.82	03/16/21	1.00	...	-0.18	150 of 199 - Sale <sup>25</sup>
	0.012	0.82	03/16/21	1.00	...	-0.18	151 of 199 - Sale <sup>25</sup>
	0.012	0.82	03/16/21	1.00	...	-0.18	152 of 199 - Sale <sup>25</sup>
	0.012	0.82	03/16/21	1.00	...	-0.18	153 of 199 - Sale <sup>25</sup>
	0.012	0.83	03/17/21	1.00	...	-0.17	154 of 199 - Sale <sup>25</sup>
	0.013	0.84	03/17/21	1.00	...	-0.16	155 of 199 - Sale <sup>25</sup>
	0.013	0.84	03/17/21	1.00	...	-0.16	156 of 199 - Sale <sup>25</sup>
	0.025	1.69	03/18/21	2.00	...	-0.31	157 of 199 - Sale <sup>25</sup>
	0.013	0.86	03/18/21	1.00	...	-0.14	158 of 199 - Sale <sup>25</sup>
	0.013	0.86	03/18/21	1.00	...	-0.14	159 of 199 - Sale <sup>25</sup>
	0.013	0.87	03/18/21	1.00	...	-0.13	160 of 199 - Sale <sup>25</sup>
	0.028	1.84	03/19/21	2.00	...	-0.16	161 of 199 - Sale <sup>25</sup>
	0.013	0.89	03/19/21	1.00	...	-0.11	162 of 199 - Sale <sup>25</sup>
	0.014	0.92	03/19/21	1.00	...	-0.08	163 of 199 - Sale <sup>25</sup>
	0.014	0.92	03/19/21	1.00	...	-0.08	164 of 199 - Sale <sup>25</sup>
	0.014	0.92	03/19/21	1.00	...	-0.08	165 of 199 - Sale <sup>25</sup>
	0.014	0.93	03/19/21	1.00	...	-0.07	166 of 199 - Sale <sup>25</sup>
	0.014	0.94	03/23/21	1.00	...	-0.06	167 of 199 - Sale <sup>25</sup>
	0.014	0.95	03/23/21	1.00	...	-0.05	168 of 199 - Sale <sup>25</sup>
	0.015	0.96	03/23/21	1.00	...	-0.04	169 of 199 - Sale <sup>25</sup>
	0.015	0.98	03/23/21	1.00	...	-0.02	170 of 199 - Sale <sup>25</sup>
	0.014	0.92	04/01/21	1.00	...	-0.08	171 of 199 - Sale <sup>25</sup>
	0.065	4.31	04/05/21	5.00	...	-0.69	172 of 199 - Sale <sup>25</sup>
	0.027	1.79	04/05/21	2.00	...	-0.21	173 of 199 - Sale <sup>25</sup>
	0.021	1.42	04/05/21	1.58	...	-0.16	174 of 199 - Sale <sup>25</sup>
	0.013	0.89	04/05/21	1.00	...	-0.11	175 of 199 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.014	0.94	04/05/21	1.00	...	-0.06	176 of 199 - Sale <sup>25</sup>
	0.014	0.94	04/05/21	1.00	...	-0.06	177 of 199 - Sale <sup>25</sup>
	0.014	0.94	04/05/21	1.00	...	-0.06	178 of 199 - Sale <sup>25</sup>
	0.028	1.87	04/07/21	2.00	...	-0.13	179 of 199 - Sale <sup>25</sup>
	0.014	0.93	04/07/21	1.00	...	-0.07	180 of 199 - Sale <sup>25</sup>
	0.014	0.94	04/07/21	1.00	...	-0.06	181 of 199 - Sale <sup>25</sup>
	0.014	0.95	04/07/21	1.00	...	-0.05	182 of 199 - Sale <sup>25</sup>
	0.014	0.95	04/07/21	1.00	...	-0.05	183 of 199 - Sale <sup>25</sup>
	0.015	0.97	04/08/21	1.00	...	-0.03	184 of 199 - Sale <sup>25</sup>
	0.015	0.97	04/08/21	1.00	...	-0.03	185 of 199 - Sale <sup>25</sup>
	0.015	0.97	04/08/21	1.00	...	-0.03	186 of 199 - Sale <sup>25</sup>
	0.030	1.99	04/08/21	2.00	...	-0.01	187 of 199 - Sale <sup>25</sup>
	0.015	1.00	04/08/21	1.00	...	0.00	188 of 199 - Sale <sup>25</sup>
	0.015	1.00	04/08/21	1.00	...	0.00	189 of 199 - Sale <sup>25</sup>
	0.015	1.00	04/08/21	1.00	...	0.00	190 of 199 - Sale <sup>25</sup>
	0.015	0.98	04/09/21	1.00	...	-0.02	191 of 199 - Sale <sup>25</sup>
	0.015	1.01	04/09/21	1.00	...	0.01	192 of 199 - Sale <sup>25</sup>
	0.016	1.03	04/12/21	1.00	...	0.03	193 of 199 - Sale <sup>25</sup>
	0.016	1.06	04/12/21	1.00	...	0.06	194 of 199 - Sale <sup>25</sup>
	0.016	1.06	04/12/21	1.00	...	0.06	195 of 199 - Sale <sup>25</sup>
	0.016	1.07	04/12/21	1.00	...	0.07	196 of 199 - Sale <sup>25</sup>
	0.016	1.07	04/13/21	1.00	...	0.07	197 of 199 - Sale <sup>25</sup>
	0.016	1.07	04/13/21	1.00	...	0.07	198 of 199 - Sale <sup>25</sup>
	0.015	1.00	04/15/21	1.00	...	0.00	199 of 199 - Sale <sup>25</sup>
04/15/21	3.477	229.20	Various	212.42	...	16.78	Total of 199 transactions
	<b>Security total:</b>	<b>635.11</b>		<b>450.10</b>	...	<b>185.01</b>	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
4 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	0.017	1.04	02/18/21	1.00	...	0.04	1 of 4 - Sale <sup>25</sup>
	0.017	1.05	02/18/21	1.00	...	0.05	2 of 4 - Sale <sup>25</sup>
	0.017	1.05	02/18/21	1.00	...	0.05	3 of 4 - Sale <sup>25</sup>
	0.017	1.06	02/18/21	1.00	...	0.06	4 of 4 - Sale <sup>25</sup>
02/19/21	0.069	4.20	Various	4.00	...	0.20	Total of 4 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
4 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	0.018	1.07	02/23/21	1.00	...	0.07	1 of 4 - Sale <sup>25</sup>
	0.018	1.08	02/23/21	1.00	...	0.08	2 of 4 - Sale <sup>25</sup>
	0.018	1.09	02/23/21	1.00	...	0.09	3 of 4 - Sale <sup>25</sup>
	0.036	2.17	02/23/21	2.00	...	0.17	4 of 4 - Sale <sup>25</sup>
02/24/21	0.090	5.41	Various	5.00	...	0.41	Total of 4 transactions
11 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	0.016	0.97	04/08/21	1.00	0.03 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.073	4.37	04/08/21	4.49	0.12 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.082	4.86	04/08/21	5.00	0.14 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.082	4.86	04/08/21	5.00	0.14 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.082	4.87	04/08/21	5.00	0.13 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.082	4.87	04/08/21	5.00	0.13 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.082	4.87	04/08/21	5.00	0.13 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.082	4.87	04/08/21	5.00	0.13 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.082	4.88	04/08/21	5.00	0.12 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.164	9.75	04/08/21	10.00	0.25 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.176	10.47	04/08/21	10.73	0.26 W	0.00	11 of 11 - Sale <sup>25</sup>
04/22/21	1.000	59.64	Various	61.22	1.58 W	0.00	Total of 11 transactions
42 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	0.002	0.10	04/09/21	0.10	...	0.00	1 of 42 - Sale <sup>25</sup>
	0.002	0.15	04/12/21	0.15	...	0.00	2 of 42 - Sale <sup>25</sup>
	0.034	2.00	04/14/21	2.00	...	0.00	3 of 42 - Sale <sup>25</sup>
	0.169	10.00	04/14/21	10.00	...	0.00	4 of 42 - Sale <sup>25</sup>
	0.036	2.15	04/14/21	2.14	...	0.01	5 of 42 - Sale <sup>25</sup>
	0.169	10.01	04/14/21	10.00	...	0.01	6 of 42 - Sale <sup>25</sup>
	0.169	10.01	04/14/21	10.00	...	0.01	7 of 42 - Sale <sup>25</sup>
	0.017	1.00	04/15/21	1.00	...	0.00	8 of 42 - Sale <sup>25</sup>
	0.017	1.00	04/15/21	1.00	...	0.00	9 of 42 - Sale <sup>25</sup>
	0.017	1.00	04/15/21	1.00	...	0.00	10 of 42 - Sale <sup>25</sup>
	0.019	1.11	04/15/21	1.11	...	0.00	11 of 42 - Sale <sup>25</sup>
	0.034	2.01	04/15/21	2.00	...	0.01	12 of 42 - Sale <sup>25</sup>
	0.017	1.02	04/15/21	1.00	...	0.02	13 of 42 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	0.017	1.02	04/15/21	1.00	...	0.02	14 of 42 - Sale <sup>25</sup>
	0.017	1.02	04/15/21	1.00	...	0.02	15 of 42 - Sale <sup>25</sup>
	0.017	1.03	04/15/21	1.01	...	0.02	16 of 42 - Sale <sup>25</sup>
	0.017	1.03	04/15/21	1.00	...	0.03	17 of 42 - Sale <sup>25</sup>
	0.017	1.03	04/15/21	1.00	...	0.03	18 of 42 - Sale <sup>25</sup>
	0.017	1.03	04/15/21	1.00	...	0.03	19 of 42 - Sale <sup>25</sup>
	0.017	1.03	04/15/21	1.00	...	0.03	20 of 42 - Sale <sup>25</sup>
	0.017	1.03	04/15/21	1.00	...	0.03	21 of 42 - Sale <sup>25</sup>
	0.017	1.03	04/15/21	1.00	...	0.03	22 of 42 - Sale <sup>25</sup>
	0.018	1.04	04/15/21	1.00	...	0.04	23 of 42 - Sale <sup>25</sup>
	0.035	2.05	04/15/21	2.00	...	0.05	24 of 42 - Sale <sup>25</sup>
	0.035	2.05	04/15/21	2.00	...	0.05	25 of 42 - Sale <sup>25</sup>
	0.035	2.06	04/15/21	2.00	...	0.06	26 of 42 - Sale <sup>25</sup>
	0.017	1.01	04/16/21	1.00	...	0.01	27 of 42 - Sale <sup>25</sup>
	0.017	1.02	04/16/21	1.00	...	0.02	28 of 42 - Sale <sup>25</sup>
	0.017	1.02	04/19/21	1.00	...	0.02	29 of 42 - Sale <sup>25</sup>
	0.017	1.03	04/19/21	1.00	...	0.03	30 of 42 - Sale <sup>25</sup>
	0.018	1.05	04/19/21	1.00	...	0.05	31 of 42 - Sale <sup>25</sup>
	0.018	1.05	04/19/21	1.00	...	0.05	32 of 42 - Sale <sup>25</sup>
	0.018	1.05	04/19/21	1.00	...	0.05	33 of 42 - Sale <sup>25</sup>
	0.018	1.05	04/19/21	1.00	...	0.05	34 of 42 - Sale <sup>25</sup>
	0.037	2.19	04/20/21	2.00	...	0.19	35 of 42 - Sale <sup>25</sup>
	0.055	3.26	04/20/21	3.00	...	0.26	36 of 42 - Sale <sup>25</sup>
	0.092	5.44	04/20/21	5.00	...	0.44	37 of 42 - Sale <sup>25</sup>
	0.092	5.46	04/20/21	5.00	...	0.46	38 of 42 - Sale <sup>25</sup>
	0.093	5.48	04/20/21	5.00	...	0.48	39 of 42 - Sale <sup>25</sup>
	0.018	1.07	04/21/21	1.00	...	0.07	40 of 42 - Sale <sup>25</sup>
	0.017	1.01	04/22/21	1.00	...	0.01	41 of 42 - Sale <sup>25</sup>
	0.086	5.06	04/26/21	5.00	...	0.06	42 of 42 - Sale <sup>25</sup>
04/26/21	1.608	95.26	Various	92.51	...	2.75	Total of 42 transactions
	110 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	0.006	0.37	04/08/21	0.39	0.02 W	0.00	1 of 110 - Sale <sup>25</sup>
	0.007	0.39	04/08/21	0.41	0.02 W	0.00	2 of 110 - Sale <sup>25</sup>
	0.007	0.40	04/08/21	0.43	0.03 W	0.00	3 of 110 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
0.007		0.42	04/08/21	0.45	0.03 W	0.00	4 of 110 - Sale <sup>25</sup>
0.007		0.44	04/08/21	0.47	0.03 W	0.00	5 of 110 - Sale <sup>25</sup>
0.008		0.46	04/08/21	0.48	0.02 W	0.00	6 of 110 - Sale <sup>25</sup>
0.008		0.50	04/08/21	0.52	0.02 W	0.00	7 of 110 - Sale <sup>25</sup>
0.009		0.51	04/08/21	0.54	0.03 W	0.00	8 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	9 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	10 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	11 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	12 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	13 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	14 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	15 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.02	0.05 W	0.00	16 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.02	0.05 W	0.00	17 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.05 W	0.00	18 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	19 of 110 - Sale <sup>25</sup>
0.016		0.97	04/08/21	1.03	0.06 W	0.00	20 of 110 - Sale <sup>25</sup>
0.059		3.51	04/08/21	3.71	0.20 W	0.00	21 of 110 - Sale <sup>25</sup>
0.074		4.41	04/08/21	4.66	0.25 W	0.00	22 of 110 - Sale <sup>25</sup>
0.075		4.43	04/08/21	4.68	0.25 W	0.00	23 of 110 - Sale <sup>25</sup>
0.075		4.45	04/08/21	4.70	0.25 W	0.00	24 of 110 - Sale <sup>25</sup>
0.075		4.46	04/08/21	4.73	0.27 W	0.00	25 of 110 - Sale <sup>25</sup>
0.031		1.84	04/09/21	1.95	0.11 W	0.00	26 of 110 - Sale <sup>25</sup>
0.033		1.94	04/09/21	2.05	0.11 W	0.00	27 of 110 - Sale <sup>25</sup>
0.033		1.94	04/09/21	2.05	0.11 W	0.00	28 of 110 - Sale <sup>25</sup>
0.001		0.07	04/12/21	0.08	0.01 W	0.00	29 of 110 - Sale <sup>25</sup>
0.002		0.10	04/12/21	0.11	0.01 W	0.00	30 of 110 - Sale <sup>25</sup>
0.002		0.15	04/12/21	0.16	0.01 W	0.00	31 of 110 - Sale <sup>25</sup>
0.003		0.19	04/12/21	0.20	0.01 W	0.00	32 of 110 - Sale <sup>25</sup>
0.003		0.19	04/12/21	0.20	0.01 W	0.00	33 of 110 - Sale <sup>25</sup>
0.004		0.25	04/12/21	0.26	0.01 W	0.00	34 of 110 - Sale <sup>25</sup>
0.005		0.28	04/12/21	0.29	0.01 W	0.00	35 of 110 - Sale <sup>25</sup>
0.005		0.31	04/12/21	0.32	0.01 W	0.00	36 of 110 - Sale <sup>25</sup>
0.006		0.33	04/12/21	0.34	0.01 W	0.00	37 of 110 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	0.006	0.33	04/12/21	0.34	0.01 W	0.00	38 of 110 - Sale <sup>25</sup>
	0.006	0.37	04/12/21	0.38	0.01 W	0.00	39 of 110 - Sale <sup>25</sup>
	0.007	0.39	04/12/21	0.40	0.01 W	0.00	40 of 110 - Sale <sup>25</sup>
	0.007	0.40	04/12/21	0.42	0.02 W	0.00	41 of 110 - Sale <sup>25</sup>
	0.007	0.42	04/12/21	0.44	0.02 W	0.00	42 of 110 - Sale <sup>25</sup>
	0.007	0.44	04/12/21	0.46	0.02 W	0.00	43 of 110 - Sale <sup>25</sup>
	0.008	0.48	04/12/21	0.50	0.02 W	0.00	44 of 110 - Sale <sup>25</sup>
	0.008	0.49	04/12/21	0.51	0.02 W	0.00	45 of 110 - Sale <sup>25</sup>
	0.008	0.50	04/12/21	0.52	0.02 W	0.00	46 of 110 - Sale <sup>25</sup>
	0.009	0.51	04/12/21	0.54	0.03 W	0.00	47 of 110 - Sale <sup>25</sup>
	0.010	0.57	04/12/21	0.60	0.03 W	0.00	48 of 110 - Sale <sup>25</sup>
	0.012	0.69	04/12/21	0.73	0.04 W	0.00	49 of 110 - Sale <sup>25</sup>
	0.013	0.78	04/12/21	0.82	0.04 W	0.00	50 of 110 - Sale <sup>25</sup>
	0.014	0.82	04/12/21	0.85	0.03 W	0.00	51 of 110 - Sale <sup>25</sup>
	0.015	0.87	04/12/21	0.89	0.02 W	0.00	52 of 110 - Sale <sup>25</sup>
	0.016	0.95	04/12/21	0.98	0.03 W	0.00	53 of 110 - Sale <sup>25</sup>
	0.016	0.96	04/12/21	1.00	0.04 W	0.00	54 of 110 - Sale <sup>25</sup>
	0.016	0.97	04/12/21	1.01	0.04 W	0.00	55 of 110 - Sale <sup>25</sup>
	0.016	0.97	04/12/21	1.00	0.03 W	0.00	56 of 110 - Sale <sup>25</sup>
	0.016	0.97	04/12/21	1.02	0.05 W	0.00	57 of 110 - Sale <sup>25</sup>
	0.016	0.97	04/12/21	1.01	0.04 W	0.00	58 of 110 - Sale <sup>25</sup>
	0.016	0.97	04/12/21	1.01	0.04 W	0.00	59 of 110 - Sale <sup>25</sup>
	0.016	0.97	04/12/21	1.04	0.07 W	0.00	60 of 110 - Sale <sup>25</sup>
	0.016	0.97	04/12/21	1.01	0.04 W	0.00	61 of 110 - Sale <sup>25</sup>
	0.016	0.97	04/12/21	1.02	0.05 W	0.00	62 of 110 - Sale <sup>25</sup>
	0.017	0.99	04/12/21	1.03	0.04 W	0.00	63 of 110 - Sale <sup>25</sup>
	0.017	1.00	04/12/21	1.03	0.03 W	0.00	64 of 110 - Sale <sup>25</sup>
	0.017	1.02	04/12/21	1.06	0.04 W	0.00	65 of 110 - Sale <sup>25</sup>
	0.017	1.02	04/12/21	1.06	0.04 W	0.00	66 of 110 - Sale <sup>25</sup>
	0.017	1.02	04/12/21	1.06	0.04 W	0.00	67 of 110 - Sale <sup>25</sup>
	0.017	1.02	04/12/21	1.06	0.04 W	0.00	68 of 110 - Sale <sup>25</sup>
	0.017	1.02	04/12/21	1.06	0.04 W	0.00	69 of 110 - Sale <sup>25</sup>
	0.019	1.12	04/12/21	1.16	0.04 W	0.00	70 of 110 - Sale <sup>25</sup>
	0.021	1.24	04/12/21	1.28	0.04 W	0.00	71 of 110 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
0.024		1.42	04/12/21	1.46	0.04 W	0.00	72 of 110 - Sale <sup>25</sup>
0.024		1.45	04/12/21	1.52	0.07 W	0.00	73 of 110 - Sale <sup>25</sup>
0.029		1.70	04/12/21	1.76	0.06 W	0.00	74 of 110 - Sale <sup>25</sup>
0.029		1.73	04/12/21	1.78	0.05 W	0.00	75 of 110 - Sale <sup>25</sup>
0.029		1.74	04/12/21	1.79	0.05 W	0.00	76 of 110 - Sale <sup>25</sup>
0.030		1.78	04/12/21	1.87	0.09 W	0.00	77 of 110 - Sale <sup>25</sup>
0.034		1.99	04/12/21	2.05	0.06 W	0.00	78 of 110 - Sale <sup>25</sup>
0.034		1.99	04/12/21	2.05	0.06 W	0.00	79 of 110 - Sale <sup>25</sup>
0.034		2.00	04/12/21	2.05	0.05 W	0.00	80 of 110 - Sale <sup>25</sup>
0.034		2.00	04/12/21	2.05	0.05 W	0.00	81 of 110 - Sale <sup>25</sup>
0.035		2.06	04/12/21	2.12	0.06 W	0.00	82 of 110 - Sale <sup>25</sup>
0.039		2.30	04/12/21	2.39	0.09 W	0.00	83 of 110 - Sale <sup>25</sup>
0.051		3.01	04/12/21	3.13	0.12 W	0.00	84 of 110 - Sale <sup>25</sup>
0.004		0.25	04/13/21	0.26	0.01 W	0.00	85 of 110 - Sale <sup>25</sup>
0.006		0.35	04/13/21	0.36	0.01 W	0.00	86 of 110 - Sale <sup>25</sup>
0.006		0.36	04/13/21	0.38	0.02 W	0.00	87 of 110 - Sale <sup>25</sup>
0.007		0.40	04/13/21	0.42	0.02 W	0.00	88 of 110 - Sale <sup>25</sup>
0.012		0.69	04/13/21	0.72	0.03 W	0.00	89 of 110 - Sale <sup>25</sup>
0.015		0.88	04/13/21	0.92	0.04 W	0.00	90 of 110 - Sale <sup>25</sup>
0.016		0.97	04/13/21	1.01	0.04 W	0.00	91 of 110 - Sale <sup>25</sup>
0.017		0.98	04/13/21	1.02	0.04 W	0.00	92 of 110 - Sale <sup>25</sup>
0.017		1.02	04/13/21	1.05	0.03 W	0.00	93 of 110 - Sale <sup>25</sup>
0.018		1.06	04/13/21	1.10	0.04 W	0.00	94 of 110 - Sale <sup>25</sup>
0.019		1.15	04/13/21	1.20	0.05 W	0.00	95 of 110 - Sale <sup>25</sup>
0.006		0.33	04/14/21	0.34	0.01 W	0.00	96 of 110 - Sale <sup>25</sup>
0.006		0.38	04/14/21	0.39	0.01 W	0.00	97 of 110 - Sale <sup>25</sup>
0.007		0.40	04/14/21	0.42	0.02 W	0.00	98 of 110 - Sale <sup>25</sup>
0.007		0.41	04/14/21	0.43	0.02 W	0.00	99 of 110 - Sale <sup>25</sup>
0.010		0.59	04/14/21	0.61	0.02 W	0.00	100 of 110 - Sale <sup>25</sup>
0.010		0.60	04/14/21	0.61	0.01 W	0.00	101 of 110 - Sale <sup>25</sup>
0.016		0.97	04/14/21	1.00	0.03 W	0.00	102 of 110 - Sale <sup>25</sup>
0.017		0.99	04/14/21	1.03	0.04 W	0.00	103 of 110 - Sale <sup>25</sup>
0.017		1.00	04/14/21	1.03	0.03 W	0.00	104 of 110 - Sale <sup>25</sup>
0.017		1.02	04/14/21	1.06	0.04 W	0.00	105 of 110 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	0.024	1.40	04/14/21	1.44	0.04 W	0.00	106 of 110 - Sale <sup>25</sup>
	0.027	1.61	04/14/21	1.66	0.05 W	0.00	107 of 110 - Sale <sup>25</sup>
	0.034	1.99	04/14/21	2.04	0.05 W	0.00	108 of 110 - Sale <sup>25</sup>
	0.034	1.99	04/14/21	2.05	0.06 W	0.00	109 of 110 - Sale <sup>25</sup>
	0.073	4.30	04/14/21	4.31	0.01 W	0.00	110 of 110 - Sale <sup>25</sup>
04/26/21	2.067	122.42	Various	127.69	5.27 W	0.00	Total of 110 transactions
100 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	05/17/21	0.01	...	0.00	1 of 100 - Sale <sup>25</sup>
	0.001	0.06	05/17/21	0.06	...	0.00	2 of 100 - Sale <sup>25</sup>
	0.002	0.08	05/17/21	0.08	...	0.00	3 of 100 - Sale <sup>25</sup>
	0.003	0.13	05/17/21	0.13	...	0.00	4 of 100 - Sale <sup>25</sup>
	0.003	0.15	05/17/21	0.15	...	0.00	5 of 100 - Sale <sup>25</sup>
	0.003	0.15	05/17/21	0.15	...	0.00	6 of 100 - Sale <sup>25</sup>
	0.004	0.19	05/17/21	0.19	...	0.00	7 of 100 - Sale <sup>25</sup>
	0.004	0.19	05/17/21	0.19	...	0.00	8 of 100 - Sale <sup>25</sup>
	0.005	0.21	05/17/21	0.21	...	0.00	9 of 100 - Sale <sup>25</sup>
	0.005	0.24	05/17/21	0.24	...	0.00	10 of 100 - Sale <sup>25</sup>
	0.006	0.25	05/17/21	0.25	...	0.00	11 of 100 - Sale <sup>25</sup>
	0.006	0.25	05/17/21	0.25	...	0.00	12 of 100 - Sale <sup>25</sup>
	0.006	0.27	05/17/21	0.27	...	0.00	13 of 100 - Sale <sup>25</sup>
	0.006	0.28	05/17/21	0.28	...	0.00	14 of 100 - Sale <sup>25</sup>
	0.006	0.28	05/17/21	0.28	...	0.00	15 of 100 - Sale <sup>25</sup>
	0.006	0.28	05/17/21	0.28	...	0.00	16 of 100 - Sale <sup>25</sup>
	0.006	0.29	05/17/21	0.29	...	0.00	17 of 100 - Sale <sup>25</sup>
	0.007	0.29	05/17/21	0.29	...	0.00	18 of 100 - Sale <sup>25</sup>
	0.007	0.31	05/17/21	0.31	...	0.00	19 of 100 - Sale <sup>25</sup>
	0.007	0.31	05/17/21	0.31	...	0.00	20 of 100 - Sale <sup>25</sup>
	0.007	0.32	05/17/21	0.32	...	0.00	21 of 100 - Sale <sup>25</sup>
	0.007	0.34	05/17/21	0.34	...	0.00	22 of 100 - Sale <sup>25</sup>
	0.008	0.34	05/17/21	0.34	...	0.00	23 of 100 - Sale <sup>25</sup>
	0.008	0.37	05/17/21	0.37	...	0.00	24 of 100 - Sale <sup>25</sup>
	0.008	0.38	05/17/21	0.38	...	0.00	25 of 100 - Sale <sup>25</sup>
	0.010	0.45	05/17/21	0.45	...	0.00	26 of 100 - Sale <sup>25</sup>
	0.012	0.53	05/17/21	0.53	...	0.00	27 of 100 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	0.012	0.55	05/17/21	0.55	...	0.00	28 of 100 - Sale <sup>25</sup>
	0.013	0.60	05/17/21	0.60	...	0.00	29 of 100 - Sale <sup>25</sup>
	0.014	0.63	05/17/21	0.63	...	0.00	30 of 100 - Sale <sup>25</sup>
	0.016	0.72	05/17/21	0.72	...	0.00	31 of 100 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.74	...	0.00	32 of 100 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.74	...	0.00	33 of 100 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.74	...	0.00	34 of 100 - Sale <sup>25</sup>
	0.017	0.76	05/17/21	0.76	...	0.00	35 of 100 - Sale <sup>25</sup>
	0.017	0.77	05/17/21	0.77	...	0.00	36 of 100 - Sale <sup>25</sup>
	0.017	0.78	05/17/21	0.78	...	0.00	37 of 100 - Sale <sup>25</sup>
	0.017	0.78	05/17/21	0.78	...	0.00	38 of 100 - Sale <sup>25</sup>
	0.017	0.78	05/17/21	0.78	...	0.00	39 of 100 - Sale <sup>25</sup>
	0.019	0.85	05/17/21	0.85	...	0.00	40 of 100 - Sale <sup>25</sup>
	0.024	1.07	05/17/21	1.07	...	0.00	41 of 100 - Sale <sup>25</sup>
	0.035	1.55	05/17/21	1.55	...	0.00	42 of 100 - Sale <sup>25</sup>
	0.004	0.18	05/17/21	0.17	...	0.01	43 of 100 - Sale <sup>25</sup>
	0.007	0.31	05/17/21	0.30	...	0.01	44 of 100 - Sale <sup>25</sup>
	0.007	0.31	05/17/21	0.30	...	0.01	45 of 100 - Sale <sup>25</sup>
	0.007	0.33	05/17/21	0.32	...	0.01	46 of 100 - Sale <sup>25</sup>
	0.008	0.37	05/17/21	0.36	...	0.01	47 of 100 - Sale <sup>25</sup>
	0.009	0.39	05/17/21	0.38	...	0.01	48 of 100 - Sale <sup>25</sup>
	0.010	0.43	05/17/21	0.42	...	0.01	49 of 100 - Sale <sup>25</sup>
	0.010	0.45	05/17/21	0.44	...	0.01	50 of 100 - Sale <sup>25</sup>
	0.011	0.49	05/17/21	0.48	...	0.01	51 of 100 - Sale <sup>25</sup>
	0.015	0.66	05/17/21	0.65	...	0.01	52 of 100 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.73	...	0.01	53 of 100 - Sale <sup>25</sup>
	0.024	1.07	05/17/21	1.06	...	0.01	54 of 100 - Sale <sup>25</sup>
	0.027	1.23	05/17/21	1.22	...	0.01	55 of 100 - Sale <sup>25</sup>
	0.034	1.52	05/17/21	1.51	...	0.01	56 of 100 - Sale <sup>25</sup>
	0.012	0.53	05/17/21	0.51	...	0.02	57 of 100 - Sale <sup>25</sup>
	0.013	0.59	05/17/21	0.57	...	0.02	58 of 100 - Sale <sup>25</sup>
	0.015	0.67	05/17/21	0.65	...	0.02	59 of 100 - Sale <sup>25</sup>
	0.016	0.73	05/17/21	0.71	...	0.02	60 of 100 - Sale <sup>25</sup>
	0.017	0.74	05/17/21	0.72	...	0.02	61 of 100 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	0.018	0.80	05/17/21	0.78	...	0.02	62 of 100 - Sale <sup>25</sup>
	0.034	1.52	05/17/21	1.50	...	0.02	63 of 100 - Sale <sup>25</sup>
	0.015	0.68	05/17/21	0.65	...	0.03	64 of 100 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.71	...	0.03	65 of 100 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.71	...	0.03	66 of 100 - Sale <sup>25</sup>
	0.017	0.78	05/17/21	0.75	...	0.03	67 of 100 - Sale <sup>25</sup>
	0.019	0.87	05/17/21	0.84	...	0.03	68 of 100 - Sale <sup>25</sup>
	0.016	0.75	05/17/21	0.71	...	0.04	69 of 100 - Sale <sup>25</sup>
	0.024	1.11	05/17/21	1.07	...	0.04	70 of 100 - Sale <sup>25</sup>
	0.036	1.61	05/17/21	1.57	...	0.04	71 of 100 - Sale <sup>25</sup>
	0.029	1.30	05/17/21	1.24	...	0.06	72 of 100 - Sale <sup>25</sup>
	0.029	1.32	05/17/21	1.26	...	0.06	73 of 100 - Sale <sup>25</sup>
	0.039	1.75	05/17/21	1.69	...	0.06	74 of 100 - Sale <sup>25</sup>
	0.032	1.44	05/17/21	1.37	...	0.07	75 of 100 - Sale <sup>25</sup>
	0.064	2.90	05/17/21	2.77	...	0.13	76 of 100 - Sale <sup>25</sup>
	0.065	2.91	05/17/21	2.76	...	0.15	77 of 100 - Sale <sup>25</sup>
	0.103	4.64	05/17/21	4.43	...	0.21	78 of 100 - Sale <sup>25</sup>
	0.117	5.23	05/17/21	5.00	...	0.23	79 of 100 - Sale <sup>25</sup>
	0.116	5.24	05/17/21	5.00	...	0.24	80 of 100 - Sale <sup>25</sup>
	0.117	5.24	05/17/21	5.00	...	0.24	81 of 100 - Sale <sup>25</sup>
	0.117	5.25	05/17/21	5.00	...	0.25	82 of 100 - Sale <sup>25</sup>
	0.167	7.47	05/17/21	7.13	...	0.34	83 of 100 - Sale <sup>25</sup>
	0.199	8.93	05/17/21	8.52	...	0.41	84 of 100 - Sale <sup>25</sup>
	0.234	10.46	05/17/21	10.00	...	0.46	85 of 100 - Sale <sup>25</sup>
	0.234	10.46	05/17/21	10.00	...	0.46	86 of 100 - Sale <sup>25</sup>
	0.234	10.46	05/17/21	10.00	...	0.46	87 of 100 - Sale <sup>25</sup>
	0.234	10.46	05/17/21	10.00	...	0.46	88 of 100 - Sale <sup>25</sup>
	0.234	10.46	05/17/21	10.00	...	0.46	89 of 100 - Sale <sup>25</sup>
	0.234	10.46	05/17/21	10.00	...	0.46	90 of 100 - Sale <sup>25</sup>
	0.234	10.47	05/17/21	10.00	...	0.47	91 of 100 - Sale <sup>25</sup>
	0.234	10.47	05/17/21	10.00	...	0.47	92 of 100 - Sale <sup>25</sup>
	0.234	10.47	05/17/21	10.00	...	0.47	93 of 100 - Sale <sup>25</sup>
	0.233	10.48	05/17/21	10.00	...	0.48	94 of 100 - Sale <sup>25</sup>
	0.234	10.48	05/17/21	10.00	...	0.48	95 of 100 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	0.234	10.48	05/17/21	10.00	...	0.48	96 of 100 - Sale <sup>25</sup>
	0.234	10.49	05/17/21	10.00	...	0.49	97 of 100 - Sale <sup>25</sup>
	0.234	10.50	05/17/21	10.00	...	0.50	98 of 100 - Sale <sup>25</sup>
	0.234	10.51	05/17/21	10.00	...	0.51	99 of 100 - Sale <sup>25</sup>
	0.499	22.54	05/17/21	21.44	...	1.10	100 of 100 - Sale <sup>25</sup>
05/18/21	6.092	273.62	Various	262.41	...	11.21	Total of 100 transactions
	33 transactions for 05/18/21. Total proceeds and cost reported to the IRS.						
	0.002	0.11	05/17/21	0.12	0.01 W	0.00	1 of 33 - Sale <sup>25</sup>
	0.002	0.11	05/17/21	0.12	0.01 W	0.00	2 of 33 - Sale <sup>25</sup>
	0.002	0.11	05/17/21	0.12	0.01 W	0.00	3 of 33 - Sale <sup>25</sup>
	0.002	0.11	05/17/21	0.12	0.01 W	0.00	4 of 33 - Sale <sup>25</sup>
	0.002	0.11	05/17/21	0.12	0.01 W	0.00	5 of 33 - Sale <sup>25</sup>
	0.004	0.18	05/17/21	0.19	0.01 W	0.00	6 of 33 - Sale <sup>25</sup>
	0.007	0.30	05/17/21	0.31	0.01 W	0.00	7 of 33 - Sale <sup>25</sup>
	0.007	0.31	05/17/21	0.32	0.01 W	0.00	8 of 33 - Sale <sup>25</sup>
	0.007	0.32	05/17/21	0.33	0.01 W	0.00	9 of 33 - Sale <sup>25</sup>
	0.008	0.36	05/17/21	0.37	0.01 W	0.00	10 of 33 - Sale <sup>25</sup>
	0.008	0.37	05/17/21	0.38	0.01 W	0.00	11 of 33 - Sale <sup>25</sup>
	0.009	0.39	05/17/21	0.40	0.01 W	0.00	12 of 33 - Sale <sup>25</sup>
	0.010	0.43	05/17/21	0.44	0.01 W	0.00	13 of 33 - Sale <sup>25</sup>
	0.011	0.48	05/17/21	0.49	0.01 W	0.00	14 of 33 - Sale <sup>25</sup>
	0.012	0.53	05/17/21	0.54	0.01 W	0.00	15 of 33 - Sale <sup>25</sup>
	0.013	0.59	05/17/21	0.60	0.01 W	0.00	16 of 33 - Sale <sup>25</sup>
	0.015	0.67	05/17/21	0.68	0.01 W	0.00	17 of 33 - Sale <sup>25</sup>
	0.015	0.67	05/17/21	0.68	0.01 W	0.00	18 of 33 - Sale <sup>25</sup>
	0.016	0.73	05/17/21	0.74	0.01 W	0.00	19 of 33 - Sale <sup>25</sup>
	0.016	0.73	05/17/21	0.74	0.01 W	0.00	20 of 33 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.75	0.01 W	0.00	21 of 33 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.77	0.03 W	0.00	22 of 33 - Sale <sup>25</sup>
	0.016	0.74	05/17/21	0.75	0.01 W	0.00	23 of 33 - Sale <sup>25</sup>
	0.017	0.74	05/17/21	0.75	0.01 W	0.00	24 of 33 - Sale <sup>25</sup>
	0.017	0.75	05/17/21	0.76	0.01 W	0.00	25 of 33 - Sale <sup>25</sup>
	0.017	0.77	05/17/21	0.78	0.01 W	0.00	26 of 33 - Sale <sup>25</sup>
	0.018	0.80	05/17/21	0.81	0.01 W	0.00	27 of 33 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	0.019	0.87	05/17/21	0.88	0.01 W	0.00	28 of 33 - Sale <sup>25</sup>
	0.024	1.10	05/17/21	1.12	0.02 W	0.00	29 of 33 - Sale <sup>25</sup>
	0.029	1.29	05/17/21	1.30	0.01 W	0.00	30 of 33 - Sale <sup>25</sup>
	0.029	1.30	05/17/21	1.31	0.01 W	0.00	31 of 33 - Sale <sup>25</sup>
	0.036	1.60	05/17/21	1.63	0.03 W	0.00	32 of 33 - Sale <sup>25</sup>
	0.039	1.74	05/17/21	1.76	0.02 W	0.00	33 of 33 - Sale <sup>25</sup>
05/18/21	0.464	20.79	Various	21.18	0.39 W	0.00	Total of 33 transactions
	5 transactions for 05/25/21. Total proceeds and cost reported to the IRS.						
	0.002	0.12	05/20/21	0.12	...	0.00	1 of 5 - Sale <sup>25</sup>
	0.039	1.89	05/20/21	1.69	...	0.20	2 of 5 - Sale <sup>25</sup>
	0.959	46.71	05/20/21	41.73	...	4.98	3 of 5 - Sale <sup>25</sup>
	0.021	1.00	05/24/21	1.00	...	0.00	4 of 5 - Sale <sup>25</sup>
	0.021	1.01	05/24/21	1.00	...	0.01	5 of 5 - Sale <sup>25</sup>
05/25/21	1.041	50.73	Various	45.54	...	5.19	Total of 5 transactions
	23 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	0.021	1.03	06/02/21	1.00	...	0.03	1 of 23 - Sale <sup>25</sup>
	0.021	1.03	06/02/21	1.00	...	0.03	2 of 23 - Sale <sup>25</sup>
	0.021	1.03	06/02/21	1.00	...	0.03	3 of 23 - Sale <sup>25</sup>
	0.021	1.03	06/02/21	1.00	...	0.03	4 of 23 - Sale <sup>25</sup>
	0.021	1.03	06/02/21	1.00	...	0.03	5 of 23 - Sale <sup>25</sup>
	0.021	1.03	06/02/21	1.00	...	0.03	6 of 23 - Sale <sup>25</sup>
	0.021	1.04	06/02/21	1.00	...	0.04	7 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	8 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	9 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	10 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	11 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	12 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	13 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	14 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	15 of 23 - Sale <sup>25</sup>
	0.041	2.07	06/02/21	2.00	...	0.07	16 of 23 - Sale <sup>25</sup>
	0.041	2.08	06/02/21	2.00	...	0.08	17 of 23 - Sale <sup>25</sup>
	0.103	5.18	06/02/21	5.00	...	0.18	18 of 23 - Sale <sup>25</sup>
	0.103	5.19	06/02/21	5.00	...	0.19	19 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	0.103	5.19	06/02/21	5.00	...	0.19	20 of 23 - Sale <sup>25</sup>
	0.103	5.19	06/02/21	5.00	...	0.19	21 of 23 - Sale <sup>25</sup>
	0.103	5.20	06/02/21	5.00	...	0.20	22 of 23 - Sale <sup>25</sup>
	2.000	100.42	06/02/21	97.24	...	3.18	23 of 23 - Sale <sup>25</sup>
06/03/21	3.073	154.30	Various	149.24	...	5.06	Total of 23 transactions
<b>Security total:</b>		<b>786.37</b>		<b>768.79</b>	<b>7.24 W</b>	<b>24.82</b>	

JPMORGAN CHASE &amp; CO. / CUSIP: 46625H100 / Symbol:

31 transactions for 01/04/21. Total proceeds and cost reported to the IRS.

0.008	1.02	12/21/20	1.00	...	0.02	1 of 31 - Sale <sup>25</sup>
0.008	1.02	12/21/20	1.00	...	0.02	2 of 31 - Sale <sup>25</sup>
0.008	1.02	12/21/20	1.00	...	0.02	3 of 31 - Sale <sup>25</sup>
0.008	1.03	12/21/20	1.00	...	0.03	4 of 31 - Sale <sup>25</sup>
0.008	1.03	12/21/20	1.00	...	0.03	5 of 31 - Sale <sup>25</sup>
0.016	2.06	12/21/20	2.00	...	0.06	6 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	7 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	8 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	9 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	10 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	11 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	12 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	13 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	14 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	15 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	16 of 31 - Sale <sup>25</sup>
0.008	1.03	12/22/20	1.00	...	0.03	17 of 31 - Sale <sup>25</sup>
0.008	1.00	12/28/20	1.00	...	0.00	18 of 31 - Sale <sup>25</sup>
0.008	1.00	12/28/20	1.00	...	0.00	19 of 31 - Sale <sup>25</sup>
0.008	1.01	12/28/20	1.00	...	0.01	20 of 31 - Sale <sup>25</sup>
0.008	1.01	12/28/20	1.00	...	0.01	21 of 31 - Sale <sup>25</sup>
0.008	1.01	12/29/20	1.00	...	0.01	22 of 31 - Sale <sup>25</sup>
0.008	1.01	12/30/20	1.00	...	0.01	23 of 31 - Sale <sup>25</sup>
0.008	1.01	12/31/20	1.00	...	0.01	24 of 31 - Sale <sup>25</sup>
0.008	1.00	01/04/21	1.00	...	0.00	25 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol: (cont'd)							
	0.008	1.00	01/04/21	1.00	...	0.00	26 of 31 - Sale <sup>25</sup>
	0.008	1.00	01/04/21	1.00	...	0.00	27 of 31 - Sale <sup>25</sup>
	0.008	1.00	01/04/21	1.00	...	0.00	28 of 31 - Sale <sup>25</sup>
	0.008	1.00	01/04/21	1.00	...	0.00	29 of 31 - Sale <sup>25</sup>
	0.008	1.00	01/04/21	1.00	...	0.00	30 of 31 - Sale <sup>25</sup>
	0.008	1.01	01/04/21	1.00	...	0.01	31 of 31 - Sale <sup>25</sup>
01/04/21	0.258	32.57	Various	32.00	...	0.57	Total of 31 transactions
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
296 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.007	1.12	11/11/20	1.00	...	0.12	1 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/11/20	1.00	...	0.12	2 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/12/20	1.00	...	0.12	3 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/12/20	1.00	...	0.12	4 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/12/20	1.00	...	0.12	5 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/12/20	1.00	...	0.12	6 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/12/20	1.00	...	0.12	7 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/12/20	1.00	...	0.12	8 of 296 - Sale <sup>25</sup>
	0.007	1.13	11/12/20	1.00	...	0.13	9 of 296 - Sale <sup>25</sup>
	0.011	1.78	11/12/20	1.59	...	0.19	10 of 296 - Sale <sup>25</sup>
	0.007	1.10	11/16/20	1.00	...	0.10	11 of 296 - Sale <sup>25</sup>
	0.007	1.10	11/16/20	1.00	...	0.10	12 of 296 - Sale <sup>25</sup>
	0.007	1.11	11/17/20	1.00	...	0.11	13 of 296 - Sale <sup>25</sup>
	0.001	0.10	11/18/20	0.09	...	0.01	14 of 296 - Sale <sup>25</sup>
	0.006	1.02	11/18/20	0.91	...	0.11	15 of 296 - Sale <sup>25</sup>
	0.007	1.11	11/18/20	1.00	...	0.11	16 of 296 - Sale <sup>25</sup>
	0.007	1.11	11/18/20	1.00	...	0.11	17 of 296 - Sale <sup>25</sup>
	0.007	1.11	11/18/20	1.00	...	0.11	18 of 296 - Sale <sup>25</sup>
	0.007	1.11	11/18/20	1.00	...	0.11	19 of 296 - Sale <sup>25</sup>
	0.007	1.11	11/18/20	1.00	...	0.11	20 of 296 - Sale <sup>25</sup>
	0.007	1.11	11/18/20	1.00	...	0.11	21 of 296 - Sale <sup>25</sup>
	0.007	1.11	11/18/20	1.00	...	0.11	22 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/18/20	1.00	...	0.12	23 of 296 - Sale <sup>25</sup>
	0.007	1.12	11/18/20	1.00	...	0.12	24 of 296 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
0.007		1.12	11/18/20	1.00	...	0.12	25 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	26 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	27 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	28 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	29 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	30 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	31 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	32 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	33 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	34 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	35 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	36 of 296 - Sale <sup>25</sup>
0.007		1.12	11/18/20	1.00	...	0.12	37 of 296 - Sale <sup>25</sup>
0.008		1.27	11/18/20	1.14	...	0.13	38 of 296 - Sale <sup>25</sup>
0.007		1.13	11/19/20	1.00	...	0.13	39 of 296 - Sale <sup>25</sup>
0.007		1.13	11/19/20	1.00	...	0.13	40 of 296 - Sale <sup>25</sup>
0.007		1.13	11/19/20	1.00	...	0.13	41 of 296 - Sale <sup>25</sup>
0.007		1.13	11/19/20	1.00	...	0.13	42 of 296 - Sale <sup>25</sup>
0.007		1.13	11/19/20	1.00	...	0.13	43 of 296 - Sale <sup>25</sup>
0.007		1.13	11/19/20	1.00	...	0.13	44 of 296 - Sale <sup>25</sup>
0.007		1.13	11/19/20	1.00	...	0.13	45 of 296 - Sale <sup>25</sup>
0.009		1.51	11/19/20	1.34	...	0.17	46 of 296 - Sale <sup>25</sup>
0.013		2.10	11/19/20	1.86	...	0.24	47 of 296 - Sale <sup>25</sup>
0.007		1.12	11/20/20	1.00	...	0.12	48 of 296 - Sale <sup>25</sup>
0.007		1.13	11/20/20	1.00	...	0.13	49 of 296 - Sale <sup>25</sup>
0.007		1.13	11/20/20	1.00	...	0.13	50 of 296 - Sale <sup>25</sup>
0.007		1.13	11/20/20	1.00	...	0.13	51 of 296 - Sale <sup>25</sup>
0.007		1.13	11/20/20	1.00	...	0.13	52 of 296 - Sale <sup>25</sup>
0.007		1.13	11/20/20	1.00	...	0.13	53 of 296 - Sale <sup>25</sup>
0.007		1.13	11/23/20	1.00	...	0.13	54 of 296 - Sale <sup>25</sup>
0.007		1.14	11/23/20	1.00	...	0.14	55 of 296 - Sale <sup>25</sup>
0.007		1.14	11/23/20	1.00	...	0.14	56 of 296 - Sale <sup>25</sup>
0.007		1.14	11/23/20	1.00	...	0.14	57 of 296 - Sale <sup>25</sup>
0.007		1.14	11/23/20	1.00	...	0.14	58 of 296 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
	0.007	1.15	11/23/20	1.00	...	0.15	59 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/23/20	1.00	...	0.15	60 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/23/20	1.00	...	0.15	61 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/23/20	1.00	...	0.15	62 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/23/20	1.00	...	0.15	63 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/23/20	1.00	...	0.15	64 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/23/20	1.00	...	0.15	65 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	66 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	67 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	68 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	69 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	70 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	71 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	72 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	73 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	74 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	75 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	76 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	77 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	78 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	79 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	80 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	81 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	82 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	83 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	84 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	85 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	86 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/24/20	1.00	...	0.15	87 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/25/20	1.00	...	0.15	88 of 296 - Sale <sup>25</sup>
	0.007	1.15	11/25/20	1.00	...	0.15	89 of 296 - Sale <sup>25</sup>
	0.007	1.16	11/25/20	1.00	...	0.16	90 of 296 - Sale <sup>25</sup>
	0.007	1.16	11/25/20	1.00	...	0.16	91 of 296 - Sale <sup>25</sup>
	0.007	1.16	11/25/20	1.00	...	0.16	92 of 296 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
0.007		1.16	11/25/20	1.00	...	0.16	93 of 296 - Sale <sup>25</sup>
0.007		1.16	11/25/20	1.00	...	0.16	94 of 296 - Sale <sup>25</sup>
0.007		1.16	11/25/20	1.00	...	0.16	95 of 296 - Sale <sup>25</sup>
0.007		1.15	11/27/20	1.00	...	0.15	96 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	97 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	98 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	99 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	100 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	101 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	102 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	103 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	104 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	105 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	106 of 296 - Sale <sup>25</sup>
0.007		1.15	11/30/20	1.00	...	0.15	107 of 296 - Sale <sup>25</sup>
0.007		1.11	12/02/20	1.00	...	0.11	108 of 296 - Sale <sup>25</sup>
0.007		1.11	12/07/20	1.00	...	0.11	109 of 296 - Sale <sup>25</sup>
0.007		1.11	12/07/20	1.00	...	0.11	110 of 296 - Sale <sup>25</sup>
0.007		1.11	12/07/20	1.00	...	0.11	111 of 296 - Sale <sup>25</sup>
0.007		1.10	12/08/20	1.00	...	0.10	112 of 296 - Sale <sup>25</sup>
0.002		0.40	12/09/20	0.37	...	0.03	113 of 296 - Sale <sup>25</sup>
0.007		1.09	12/09/20	1.00	...	0.09	114 of 296 - Sale <sup>25</sup>
0.007		1.08	12/10/20	1.00	...	0.08	115 of 296 - Sale <sup>25</sup>
0.007		1.08	12/10/20	1.00	...	0.08	116 of 296 - Sale <sup>25</sup>
0.007		1.09	12/10/20	1.00	...	0.09	117 of 296 - Sale <sup>25</sup>
0.007		1.09	12/10/20	1.00	...	0.09	118 of 296 - Sale <sup>25</sup>
0.007		1.09	12/10/20	1.00	...	0.09	119 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	120 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	121 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	122 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	123 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	124 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	125 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	126 of 296 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
0.007		1.08	12/11/20	1.00	...	0.08	127 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	128 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	129 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	130 of 296 - Sale <sup>25</sup>
0.007		1.08	12/11/20	1.00	...	0.08	131 of 296 - Sale <sup>25</sup>
0.007		1.09	12/11/20	1.00	...	0.09	132 of 296 - Sale <sup>25</sup>
0.013		2.17	12/11/20	2.00	...	0.17	133 of 296 - Sale <sup>25</sup>
0.007		1.09	12/14/20	1.00	...	0.09	134 of 296 - Sale <sup>25</sup>
0.007		1.10	12/14/20	1.00	...	0.10	135 of 296 - Sale <sup>25</sup>
0.007		1.10	12/14/20	1.00	...	0.10	136 of 296 - Sale <sup>25</sup>
0.007		1.10	12/14/20	1.00	...	0.10	137 of 296 - Sale <sup>25</sup>
0.007		1.10	12/14/20	1.00	...	0.10	138 of 296 - Sale <sup>25</sup>
0.007		1.10	12/14/20	1.00	...	0.10	139 of 296 - Sale <sup>25</sup>
0.007		1.10	12/14/20	1.00	...	0.10	140 of 296 - Sale <sup>25</sup>
0.008		1.29	12/14/20	1.17	...	0.12	141 of 296 - Sale <sup>25</sup>
0.007		1.11	12/15/20	1.00	...	0.11	142 of 296 - Sale <sup>25</sup>
0.007		1.11	12/15/20	1.00	...	0.11	143 of 296 - Sale <sup>25</sup>
0.007		1.11	12/16/20	1.00	...	0.11	144 of 296 - Sale <sup>25</sup>
0.007		1.08	12/18/20	1.00	...	0.08	145 of 296 - Sale <sup>25</sup>
0.007		1.09	12/18/20	1.00	...	0.09	146 of 296 - Sale <sup>25</sup>
0.003		0.57	12/21/20	0.53	...	0.04	147 of 296 - Sale <sup>25</sup>
0.003		0.52	12/21/20	0.47	...	0.05	148 of 296 - Sale <sup>25</sup>
0.006		1.06	01/04/21	1.00	...	0.06	149 of 296 - Sale <sup>25</sup>
0.006		1.06	01/04/21	1.00	...	0.06	150 of 296 - Sale <sup>25</sup>
0.006		1.06	01/04/21	1.00	...	0.06	151 of 296 - Sale <sup>25</sup>
0.006		1.07	01/04/21	1.00	...	0.07	152 of 296 - Sale <sup>25</sup>
0.011		1.78	01/04/21	1.68	...	0.10	153 of 296 - Sale <sup>25</sup>
0.006		1.06	01/05/21	1.00	...	0.06	154 of 296 - Sale <sup>25</sup>
0.006		1.06	01/05/21	1.00	...	0.06	155 of 296 - Sale <sup>25</sup>
0.006		1.06	01/05/21	1.00	...	0.06	156 of 296 - Sale <sup>25</sup>
0.006		1.06	01/05/21	1.00	...	0.06	157 of 296 - Sale <sup>25</sup>
0.006		1.06	01/05/21	1.00	...	0.06	158 of 296 - Sale <sup>25</sup>
0.006		1.04	01/06/21	1.00	...	0.04	159 of 296 - Sale <sup>25</sup>
0.006		1.04	01/06/21	1.00	...	0.04	160 of 296 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
0.006	1.04	01/07/21	1.00	...	0.04	161 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/07/21	1.00	...	0.04	162 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/08/21	1.00	...	0.03	163 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/08/21	1.00	...	0.03	164 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/08/21	1.00	...	0.03	165 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/08/21	1.00	...	0.03	166 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/08/21	1.00	...	0.03	167 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	168 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	169 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	170 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	171 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	172 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	173 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	174 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	175 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	176 of 296 - Sale <sup>25</sup>	
0.006	1.03	01/11/21	1.00	...	0.03	177 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	178 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	179 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	180 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	181 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	182 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	183 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	184 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	185 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	186 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	187 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	188 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/11/21	1.00	...	0.04	189 of 296 - Sale <sup>25</sup>	
0.010	1.71	01/11/21	1.65	...	0.06	190 of 296 - Sale <sup>25</sup>	
0.013	2.23	01/11/21	2.15	...	0.08	191 of 296 - Sale <sup>25</sup>	
0.025	4.15	01/11/21	4.00	...	0.15	192 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/12/21	1.00	...	0.04	193 of 296 - Sale <sup>25</sup>	
0.006	1.04	01/12/21	1.00	...	0.04	194 of 296 - Sale <sup>25</sup>	

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
	0.006	1.04	01/12/21	1.00	...	0.04	195 of 296 - Sale <sup>25</sup>
	0.006	1.04	01/12/21	1.00	...	0.04	196 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	197 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	198 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	199 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	200 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	201 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	202 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	203 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	204 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	205 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	206 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/12/21	1.00	...	0.05	207 of 296 - Sale <sup>25</sup>
	0.013	2.10	01/12/21	2.00	...	0.10	208 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	209 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	210 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	211 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	212 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	213 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	214 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	215 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	216 of 296 - Sale <sup>25</sup>
	0.006	1.05	01/13/21	1.00	...	0.05	217 of 296 - Sale <sup>25</sup>
	0.006	1.03	01/14/21	1.00	...	0.03	218 of 296 - Sale <sup>25</sup>
	0.006	1.03	01/14/21	1.00	...	0.03	219 of 296 - Sale <sup>25</sup>
	0.006	1.03	01/14/21	1.00	...	0.03	220 of 296 - Sale <sup>25</sup>
	0.006	1.03	01/14/21	1.00	...	0.03	221 of 296 - Sale <sup>25</sup>
	0.006	1.03	01/15/21	1.00	...	0.03	222 of 296 - Sale <sup>25</sup>
	0.006	1.03	01/15/21	1.00	...	0.03	223 of 296 - Sale <sup>25</sup>
	0.006	1.03	01/15/21	1.00	...	0.03	224 of 296 - Sale <sup>25</sup>
	0.006	1.04	01/15/21	1.00	...	0.04	225 of 296 - Sale <sup>25</sup>
	0.006	1.04	01/15/21	1.00	...	0.04	226 of 296 - Sale <sup>25</sup>
	0.006	1.02	01/20/21	1.00	...	0.02	227 of 296 - Sale <sup>25</sup>
	0.006	1.02	01/20/21	1.00	...	0.02	228 of 296 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
0.006		1.02	01/20/21	1.00	...	0.02	229 of 296 - Sale <sup>25</sup>
0.006		1.02	01/20/21	1.00	...	0.02	230 of 296 - Sale <sup>25</sup>
0.006		1.02	01/21/21	1.00	...	0.02	231 of 296 - Sale <sup>25</sup>
0.006		1.02	01/21/21	1.00	...	0.02	232 of 296 - Sale <sup>25</sup>
0.006		1.03	01/21/21	1.00	...	0.03	233 of 296 - Sale <sup>25</sup>
0.006		1.02	01/22/21	1.00	...	0.02	234 of 296 - Sale <sup>25</sup>
0.006		0.98	01/27/21	1.00	...	-0.02	235 of 296 - Sale <sup>25</sup>
0.006		0.98	01/27/21	1.00	...	-0.02	236 of 296 - Sale <sup>25</sup>
0.012		1.96	01/28/21	2.00	...	-0.04	237 of 296 - Sale <sup>25</sup>
0.006		0.98	01/28/21	1.00	...	-0.02	238 of 296 - Sale <sup>25</sup>
0.006		0.98	01/28/21	1.00	...	-0.02	239 of 296 - Sale <sup>25</sup>
0.012		2.01	01/29/21	2.00	...	0.01	240 of 296 - Sale <sup>25</sup>
0.006		1.00	02/01/21	1.00	...	0.00	241 of 296 - Sale <sup>25</sup>
0.006		1.00	02/01/21	1.00	...	0.00	242 of 296 - Sale <sup>25</sup>
0.006		1.00	02/01/21	1.00	...	0.00	243 of 296 - Sale <sup>25</sup>
0.006		1.01	02/01/21	1.00	...	0.01	244 of 296 - Sale <sup>25</sup>
0.006		1.01	02/01/21	1.00	...	0.01	245 of 296 - Sale <sup>25</sup>
0.006		1.01	02/01/21	1.00	...	0.01	246 of 296 - Sale <sup>25</sup>
0.006		1.01	02/01/21	1.00	...	0.01	247 of 296 - Sale <sup>25</sup>
0.006		1.01	02/01/21	1.00	...	0.01	248 of 296 - Sale <sup>25</sup>
0.006		1.01	02/01/21	1.00	...	0.01	249 of 296 - Sale <sup>25</sup>
0.006		1.01	02/01/21	1.00	...	0.01	250 of 296 - Sale <sup>25</sup>
0.006		1.02	02/01/21	1.00	...	0.02	251 of 296 - Sale <sup>25</sup>
0.006		1.01	02/02/21	1.00	...	0.01	252 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	253 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	254 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	255 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	256 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	257 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	258 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	259 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	260 of 296 - Sale <sup>25</sup>
0.006		1.02	02/02/21	1.00	...	0.02	261 of 296 - Sale <sup>25</sup>
0.006		1.06	02/02/21	1.04	...	0.02	262 of 296 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
	0.006	1.03	02/03/21	1.00	...	0.03	263 of 296 - Sale <sup>25</sup>
	0.006	1.03	02/03/21	1.00	...	0.03	264 of 296 - Sale <sup>25</sup>
	0.006	1.03	02/03/21	1.00	...	0.03	265 of 296 - Sale <sup>25</sup>
	0.006	1.03	02/03/21	1.00	...	0.03	266 of 296 - Sale <sup>25</sup>
	0.006	1.03	02/03/21	1.00	...	0.03	267 of 296 - Sale <sup>25</sup>
	0.006	1.03	02/03/21	1.00	...	0.03	268 of 296 - Sale <sup>25</sup>
	0.006	1.03	02/03/21	1.00	...	0.03	269 of 296 - Sale <sup>25</sup>
	0.006	1.03	02/03/21	1.00	...	0.03	270 of 296 - Sale <sup>25</sup>
	0.012	2.06	02/03/21	2.00	...	0.06	271 of 296 - Sale <sup>25</sup>
	0.013	2.07	02/03/21	2.00	...	0.07	272 of 296 - Sale <sup>25</sup>
	0.013	2.07	02/03/21	2.00	...	0.07	273 of 296 - Sale <sup>25</sup>
	0.013	2.09	02/04/21	2.02	...	0.07	274 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/08/21	1.00	...	0.00	275 of 296 - Sale <sup>25</sup>
	0.006	1.01	02/08/21	1.00	...	0.01	276 of 296 - Sale <sup>25</sup>
	0.006	1.02	02/08/21	1.01	...	0.01	277 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/10/21	1.01	...	-0.01	278 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/10/21	1.00	...	0.00	279 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/10/21	1.00	...	0.00	280 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/10/21	1.00	...	0.00	281 of 296 - Sale <sup>25</sup>
	0.006	0.99	02/11/21	1.00	...	-0.01	282 of 296 - Sale <sup>25</sup>
	0.006	0.99	02/11/21	1.00	...	-0.01	283 of 296 - Sale <sup>25</sup>
	0.006	0.99	02/11/21	1.00	...	-0.01	284 of 296 - Sale <sup>25</sup>
	0.006	0.99	02/11/21	1.00	...	-0.01	285 of 296 - Sale <sup>25</sup>
	0.006	0.99	02/11/21	1.00	...	-0.01	286 of 296 - Sale <sup>25</sup>
	0.006	0.99	02/11/21	1.00	...	-0.01	287 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/11/21	1.00	...	0.00	288 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/11/21	1.00	...	0.00	289 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/11/21	1.00	...	0.00	290 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/11/21	1.00	...	0.00	291 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/11/21	1.00	...	0.00	292 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/11/21	1.00	...	0.00	293 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/12/21	1.00	...	0.00	294 of 296 - Sale <sup>25</sup>
	0.006	1.00	02/12/21	1.00	...	0.00	295 of 296 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
	0.006	1.00	02/12/21	1.00	...	0.00	296 of 296 - Sale <sup>25</sup>
02/16/21	2.004	331.74	Various	309.03	...	22.71	Total of 296 transactions
	82 transactions for 06/04/21. Total proceeds and cost reported to the IRS.						
	0.006	1.00	06/01/21	1.00	...	0.00	1 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	2 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	3 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	4 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	5 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	6 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	7 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	8 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	9 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	10 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	11 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	12 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	13 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	14 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	15 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	16 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	17 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	18 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	19 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	20 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	21 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	22 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/01/21	1.00	...	0.00	23 of 82 - Sale <sup>25</sup>
	0.009	1.50	06/01/21	1.50	...	0.00	24 of 82 - Sale <sup>25</sup>
	0.006	1.01	06/01/21	1.00	...	0.01	25 of 82 - Sale <sup>25</sup>
	0.021	3.53	06/02/21	3.59	...	-0.06	26 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.04	...	-0.04	27 of 82 - Sale <sup>25</sup>
	0.009	1.44	06/02/21	1.47	...	-0.03	28 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.03	...	-0.03	29 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.03	...	-0.03	30 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/02/21	1.02	...	-0.02	31 of 82 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
	0.006	1.00	06/02/21	1.02	...	-0.02	32 of 82 - Sale <sup>25</sup>
	0.006	1.08	06/02/21	1.10	...	-0.02	33 of 82 - Sale <sup>25</sup>
	0.009	1.48	06/02/21	1.50	...	-0.02	34 of 82 - Sale <sup>25</sup>
	0.003	0.42	06/02/21	0.43	...	-0.01	35 of 82 - Sale <sup>25</sup>
	0.003	0.56	06/02/21	0.57	...	-0.01	36 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/02/21	1.01	...	-0.01	37 of 82 - Sale <sup>25</sup>
	0.009	1.48	06/02/21	1.49	...	-0.01	38 of 82 - Sale <sup>25</sup>
	0.012	1.99	06/02/21	2.00	...	-0.01	39 of 82 - Sale <sup>25</sup>
	0.002	0.28	06/02/21	0.28	...	0.00	40 of 82 - Sale <sup>25</sup>
	0.003	0.51	06/02/21	0.51	...	0.00	41 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/02/21	1.00	...	0.00	42 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/02/21	1.00	...	0.00	43 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/02/21	1.00	...	0.00	44 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/02/21	1.00	...	0.00	45 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/02/21	1.00	...	0.00	46 of 82 - Sale <sup>25</sup>
	0.006	1.00	06/02/21	1.00	...	0.00	47 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	48 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	49 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	50 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	51 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	52 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	53 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	54 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	55 of 82 - Sale <sup>25</sup>
	0.012	2.00	06/02/21	2.00	...	0.00	56 of 82 - Sale <sup>25</sup>
	0.018	3.00	06/02/21	3.00	...	0.00	57 of 82 - Sale <sup>25</sup>
	0.018	3.00	06/02/21	3.00	...	0.00	58 of 82 - Sale <sup>25</sup>
	0.021	3.52	06/02/21	3.52	...	0.00	59 of 82 - Sale <sup>25</sup>
	0.030	5.00	06/02/21	5.00	...	0.00	60 of 82 - Sale <sup>25</sup>
	0.030	5.00	06/02/21	5.00	...	0.00	61 of 82 - Sale <sup>25</sup>
	0.012	2.01	06/02/21	2.00	...	0.01	62 of 82 - Sale <sup>25</sup>
	0.012	2.01	06/02/21	2.00	...	0.01	63 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	64 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	65 of 82 - Sale <sup>25</sup>

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Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
	0.030	5.01	06/02/21	5.00	...	0.01	66 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	67 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	68 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	69 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	70 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	71 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	72 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	73 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	74 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	75 of 82 - Sale <sup>25</sup>
	0.030	5.01	06/02/21	5.00	...	0.01	76 of 82 - Sale <sup>25</sup>
	0.060	10.01	06/02/21	10.00	...	0.01	77 of 82 - Sale <sup>25</sup>
	0.060	10.01	06/02/21	10.00	...	0.01	78 of 82 - Sale <sup>25</sup>
	0.030	5.02	06/02/21	5.00	...	0.02	79 of 82 - Sale <sup>25</sup>
	0.060	10.02	06/02/21	10.00	...	0.02	80 of 82 - Sale <sup>25</sup>
	0.059	9.80	06/02/21	9.73	...	0.07	81 of 82 - Sale <sup>25</sup>
	0.007	1.10	06/03/21	1.10	...	0.00	82 of 82 - Sale <sup>25</sup>
06/04/21	1.236	205.91	Various	205.94	...	-0.03	Total of 82 transactions
	7 transactions for 06/04/21. Total proceeds and cost reported to the IRS.						
	0.006	0.98	05/28/21	1.00	0.02 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.006	1.08	05/28/21	1.10	0.02 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.029	4.91	05/28/21	5.00	0.09 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.059	9.82	05/28/21	10.00	0.18 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.006	0.99	06/01/21	1.00	0.01 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.012	1.99	06/01/21	2.00	0.01 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.012	1.99	06/01/21	2.00	0.01 W	0.00	7 of 7 - Sale <sup>25</sup>
06/04/21	0.131	21.76	Various	22.10	0.34 W	0.00	Total of 7 transactions
	40 transactions for 09/21/21. Total proceeds and cost reported to the IRS.						
	0.007	1.17	09/13/21	1.18	...	-0.01	1 of 40 - Sale <sup>25</sup>
	0.013	2.09	09/13/21	2.10	...	-0.01	2 of 40 - Sale <sup>25</sup>
	0.007	1.23	09/14/21	1.23	...	0.00	3 of 40 - Sale <sup>25</sup>
	0.012	2.00	09/14/21	2.00	...	0.00	4 of 40 - Sale <sup>25</sup>
	0.012	2.00	09/14/21	2.00	...	0.00	5 of 40 - Sale <sup>25</sup>
	0.012	2.00	09/14/21	2.00	...	0.00	6 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
	0.012	2.00	09/14/21	2.00	...	0.00	7 of 40 - Sale <sup>25</sup>
	0.015	2.50	09/14/21	2.50	...	0.00	8 of 40 - Sale <sup>25</sup>
	0.012	2.00	09/15/21	2.00	...	0.00	9 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/15/21	1.00	...	0.01	10 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/15/21	1.00	...	0.01	11 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/15/21	1.00	...	0.01	12 of 40 - Sale <sup>25</sup>
	0.013	2.20	09/15/21	2.19	...	0.01	13 of 40 - Sale <sup>25</sup>
	0.060	10.01	09/15/21	10.00	...	0.01	14 of 40 - Sale <sup>25</sup>
	0.060	10.01	09/15/21	10.00	...	0.01	15 of 40 - Sale <sup>25</sup>
	0.060	10.01	09/15/21	10.00	...	0.01	16 of 40 - Sale <sup>25</sup>
	0.060	10.01	09/15/21	10.00	...	0.01	17 of 40 - Sale <sup>25</sup>
	0.006	1.00	09/16/21	1.00	...	0.00	18 of 40 - Sale <sup>25</sup>
	0.012	2.00	09/16/21	2.00	...	0.00	19 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/16/21	1.00	...	0.01	20 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/16/21	1.00	...	0.01	21 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/16/21	1.00	...	0.01	22 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/16/21	1.00	...	0.01	23 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/16/21	1.00	...	0.01	24 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/16/21	1.00	...	0.01	25 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/16/21	1.00	...	0.01	26 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/16/21	1.00	...	0.01	27 of 40 - Sale <sup>25</sup>
	0.012	2.01	09/16/21	2.00	...	0.01	28 of 40 - Sale <sup>25</sup>
	0.012	2.01	09/16/21	2.00	...	0.01	29 of 40 - Sale <sup>25</sup>
	0.012	2.01	09/16/21	2.00	...	0.01	30 of 40 - Sale <sup>25</sup>
	0.030	5.02	09/16/21	5.00	...	0.02	31 of 40 - Sale <sup>25</sup>
	0.030	5.03	09/16/21	5.00	...	0.03	32 of 40 - Sale <sup>25</sup>
	0.030	5.04	09/16/21	5.00	...	0.04	33 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/17/21	1.00	...	0.01	34 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/17/21	1.00	...	0.01	35 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/17/21	1.00	...	0.01	36 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/17/21	1.00	...	0.01	37 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/17/21	1.00	...	0.01	38 of 40 - Sale <sup>25</sup>
	0.006	1.01	09/17/21	1.00	...	0.01	39 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: (cont'd)							
	0.006	1.01	09/17/21	1.00	...	0.01	40 of 40 - Sale <sup>25</sup>
09/21/21	0.613	101.53	Various	101.20	...	0.33	Total of 40 transactions
	<b>Security total:</b>	<b>660.94</b>		<b>638.27</b>	<b>0.34 W</b>	<b>23.01</b>	
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol:							
200 transactions for 07/27/21. Total proceeds and cost reported to the IRS.							
	8.865	30.07	06/23/21	92.82	...	-62.75	1 of 200 - Sale <sup>25</sup>
	0.954	3.24	06/23/21	10.00	...	-6.76	2 of 200 - Sale <sup>25</sup>
	0.973	3.30	06/23/21	10.00	...	-6.70	3 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/23/21	9.95	...	-6.56	4 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/23/21	9.93	...	-6.54	5 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/23/21	9.91	...	-6.52	6 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/23/21	9.89	...	-6.50	7 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/23/21	9.71	...	-6.32	8 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/23/21	9.37	...	-5.98	9 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/23/21	9.33	...	-5.94	10 of 200 - Sale <sup>25</sup>
	0.485	1.65	06/23/21	5.00	...	-3.35	11 of 200 - Sale <sup>25</sup>
	0.495	1.68	06/23/21	5.00	...	-3.32	12 of 200 - Sale <sup>25</sup>
	0.500	1.70	06/23/21	4.91	...	-3.21	13 of 200 - Sale <sup>25</sup>
	0.096	0.33	06/23/21	1.00	...	-0.67	14 of 200 - Sale <sup>25</sup>
	0.096	0.33	06/23/21	1.00	...	-0.67	15 of 200 - Sale <sup>25</sup>
	0.072	0.24	06/23/21	0.67	...	-0.43	16 of 200 - Sale <sup>25</sup>
	0.067	0.23	06/23/21	0.63	...	-0.40	17 of 200 - Sale <sup>25</sup>
	0.030	0.10	06/23/21	0.29	...	-0.19	18 of 200 - Sale <sup>25</sup>
	0.011	0.04	06/23/21	0.11	...	-0.07	19 of 200 - Sale <sup>25</sup>
	0.009	0.03	06/23/21	0.09	...	-0.06	20 of 200 - Sale <sup>25</sup>
	0.010	0.03	06/23/21	0.09	...	-0.06	21 of 200 - Sale <sup>25</sup>
	0.007	0.02	06/23/21	0.07	...	-0.05	22 of 200 - Sale <sup>25</sup>
	0.005	0.02	06/23/21	0.05	...	-0.03	23 of 200 - Sale <sup>25</sup>
	1.011	3.43	06/24/21	10.00	...	-6.57	24 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/24/21	9.91	...	-6.52	25 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.91	...	-6.52	26 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/24/21	9.89	...	-6.50	27 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.89	...	-6.50	28 of 200 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: (cont'd)							
	1.000	3.39	06/24/21	9.87	...	-6.48	29 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.83	...	-6.44	30 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.81	...	-6.42	31 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.79	...	-6.40	32 of 200 - Sale <sup>25</sup>
	0.998	3.39	06/24/21	9.77	...	-6.38	33 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/24/21	9.77	...	-6.38	34 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.77	...	-6.38	35 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/24/21	9.75	...	-6.36	36 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.75	...	-6.36	37 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/24/21	9.73	...	-6.34	38 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.73	...	-6.34	39 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.73	...	-6.34	40 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.73	...	-6.34	41 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.71	...	-6.32	42 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/24/21	9.67	...	-6.28	43 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/24/21	9.65	...	-6.26	44 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/24/21	9.65	...	-6.26	45 of 200 - Sale <sup>25</sup>
	0.494	1.67	06/24/21	5.00	...	-3.33	46 of 200 - Sale <sup>25</sup>
	0.494	1.67	06/24/21	5.00	...	-3.33	47 of 200 - Sale <sup>25</sup>
	0.500	1.70	06/24/21	4.95	...	-3.25	48 of 200 - Sale <sup>25</sup>
	0.500	1.70	06/24/21	4.92	...	-3.22	49 of 200 - Sale <sup>25</sup>
	0.500	1.70	06/24/21	4.89	...	-3.19	50 of 200 - Sale <sup>25</sup>
	0.036	0.12	06/24/21	0.35	...	-0.23	51 of 200 - Sale <sup>25</sup>
	0.036	0.12	06/24/21	0.35	...	-0.23	52 of 200 - Sale <sup>25</sup>
	0.034	0.12	06/24/21	0.33	...	-0.21	53 of 200 - Sale <sup>25</sup>
	0.030	0.10	06/24/21	0.29	...	-0.19	54 of 200 - Sale <sup>25</sup>
	0.028	0.09	06/24/21	0.27	...	-0.18	55 of 200 - Sale <sup>25</sup>
	0.028	0.09	06/24/21	0.27	...	-0.18	56 of 200 - Sale <sup>25</sup>
	0.028	0.09	06/24/21	0.27	...	-0.18	57 of 200 - Sale <sup>25</sup>
	0.028	0.09	06/24/21	0.27	...	-0.18	58 of 200 - Sale <sup>25</sup>
	0.026	0.09	06/24/21	0.25	...	-0.16	59 of 200 - Sale <sup>25</sup>
	0.026	0.09	06/24/21	0.25	...	-0.16	60 of 200 - Sale <sup>25</sup>
	0.024	0.08	06/24/21	0.23	...	-0.15	61 of 200 - Sale <sup>25</sup>
	0.024	0.08	06/24/21	0.23	...	-0.15	62 of 200 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: (cont'd)							
	0.024	0.08	06/24/21	0.23	...	-0.15	63 of 200 - Sale <sup>25</sup>
	0.021	0.07	06/24/21	0.21	...	-0.14	64 of 200 - Sale <sup>25</sup>
	0.019	0.07	06/24/21	0.19	...	-0.12	65 of 200 - Sale <sup>25</sup>
	0.017	0.06	06/24/21	0.17	...	-0.11	66 of 200 - Sale <sup>25</sup>
	0.013	0.04	06/24/21	0.13	...	-0.09	67 of 200 - Sale <sup>25</sup>
	0.011	0.04	06/24/21	0.11	...	-0.07	68 of 200 - Sale <sup>25</sup>
	0.011	0.04	06/24/21	0.11	...	-0.07	69 of 200 - Sale <sup>25</sup>
	0.012	0.04	06/24/21	0.11	...	-0.07	70 of 200 - Sale <sup>25</sup>
	0.009	0.03	06/24/21	0.09	...	-0.06	71 of 200 - Sale <sup>25</sup>
	0.009	0.03	06/24/21	0.09	...	-0.06	72 of 200 - Sale <sup>25</sup>
	0.009	0.03	06/24/21	0.08	...	-0.05	73 of 200 - Sale <sup>25</sup>
	0.006	0.02	06/24/21	0.05	...	-0.03	74 of 200 - Sale <sup>25</sup>
	1.017	3.45	06/25/21	10.00	...	-6.55	75 of 200 - Sale <sup>25</sup>
	1.029	3.49	06/25/21	10.00	...	-6.51	76 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/25/21	9.89	...	-6.50	77 of 200 - Sale <sup>25</sup>
	0.998	3.39	06/25/21	9.87	...	-6.48	78 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/25/21	9.81	...	-6.42	79 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/25/21	9.81	...	-6.42	80 of 200 - Sale <sup>25</sup>
	0.999	3.39	06/25/21	9.77	...	-6.38	81 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/25/21	9.77	...	-6.38	82 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/25/21	9.75	...	-6.36	83 of 200 - Sale <sup>25</sup>
	0.997	3.38	06/25/21	9.73	...	-6.35	84 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/25/21	9.73	...	-6.34	85 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/25/21	9.73	...	-6.34	86 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/25/21	9.71	...	-6.32	87 of 200 - Sale <sup>25</sup>
	1.000	3.39	06/25/21	9.65	...	-6.26	88 of 200 - Sale <sup>25</sup>
	0.511	1.73	06/25/21	5.05	...	-3.32	89 of 200 - Sale <sup>25</sup>
	0.500	1.70	06/25/21	4.95	...	-3.25	90 of 200 - Sale <sup>25</sup>
	0.036	0.12	06/25/21	0.35	...	-0.23	91 of 200 - Sale <sup>25</sup>
	0.030	0.10	06/25/21	0.29	...	-0.19	92 of 200 - Sale <sup>25</sup>
	0.028	0.09	06/25/21	0.27	...	-0.18	93 of 200 - Sale <sup>25</sup>
	0.028	0.09	06/25/21	0.27	...	-0.18	94 of 200 - Sale <sup>25</sup>
	0.026	0.09	06/25/21	0.25	...	-0.16	95 of 200 - Sale <sup>25</sup>
	0.026	0.09	06/25/21	0.25	...	-0.16	96 of 200 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: (cont'd)							
0.024		0.08	06/25/21	0.23	...	-0.15	97 of 200 - Sale <sup>25</sup>
0.024		0.08	06/25/21	0.23	...	-0.15	98 of 200 - Sale <sup>25</sup>
0.019		0.07	06/25/21	0.19	...	-0.12	99 of 200 - Sale <sup>25</sup>
0.019		0.07	06/25/21	0.19	...	-0.12	100 of 200 - Sale <sup>25</sup>
0.013		0.04	06/25/21	0.13	...	-0.09	101 of 200 - Sale <sup>25</sup>
0.011		0.04	06/25/21	0.11	...	-0.07	102 of 200 - Sale <sup>25</sup>
0.002		0.01	06/25/21	0.02	...	-0.01	103 of 200 - Sale <sup>25</sup>
1.211		4.11	06/28/21	10.00	...	-5.89	104 of 200 - Sale <sup>25</sup>
1.214		4.12	06/28/21	10.00	...	-5.88	105 of 200 - Sale <sup>25</sup>
1.218		4.13	06/28/21	10.00	...	-5.87	106 of 200 - Sale <sup>25</sup>
0.998		3.38	06/28/21	9.23	...	-5.85	107 of 200 - Sale <sup>25</sup>
1.000		3.39	06/28/21	9.23	...	-5.84	108 of 200 - Sale <sup>25</sup>
1.230		4.17	06/28/21	10.00	...	-5.83	109 of 200 - Sale <sup>25</sup>
1.250		4.24	06/28/21	10.00	...	-5.76	110 of 200 - Sale <sup>25</sup>
1.258		4.27	06/28/21	10.00	...	-5.73	111 of 200 - Sale <sup>25</sup>
1.000		3.39	06/28/21	8.29	...	-4.90	112 of 200 - Sale <sup>25</sup>
1.000		3.39	06/28/21	8.26	...	-4.87	113 of 200 - Sale <sup>25</sup>
1.000		3.39	06/28/21	8.26	...	-4.87	114 of 200 - Sale <sup>25</sup>
1.000		3.39	06/28/21	8.24	...	-4.85	115 of 200 - Sale <sup>25</sup>
1.000		3.39	06/28/21	8.22	...	-4.83	116 of 200 - Sale <sup>25</sup>
1.000		3.39	06/28/21	8.22	...	-4.83	117 of 200 - Sale <sup>25</sup>
1.000		3.39	06/28/21	8.21	...	-4.82	118 of 200 - Sale <sup>25</sup>
0.219		0.74	06/28/21	1.79	...	-1.05	119 of 200 - Sale <sup>25</sup>
0.217		0.74	06/28/21	1.78	...	-1.04	120 of 200 - Sale <sup>25</sup>
0.217		0.74	06/28/21	1.78	...	-1.04	121 of 200 - Sale <sup>25</sup>
0.214		0.73	06/28/21	1.76	...	-1.03	122 of 200 - Sale <sup>25</sup>
0.211		0.72	06/28/21	1.74	...	-1.02	123 of 200 - Sale <sup>25</sup>
0.211		0.72	06/28/21	1.74	...	-1.02	124 of 200 - Sale <sup>25</sup>
0.207		0.70	06/28/21	1.71	...	-1.01	125 of 200 - Sale <sup>25</sup>
0.126		0.43	06/28/21	1.00	...	-0.57	126 of 200 - Sale <sup>25</sup>
0.084		0.28	06/28/21	0.77	...	-0.49	127 of 200 - Sale <sup>25</sup>
0.061		0.21	06/28/21	0.57	...	-0.36	128 of 200 - Sale <sup>25</sup>
0.022		0.07	06/28/21	0.20	...	-0.13	129 of 200 - Sale <sup>25</sup>
12.993		44.08	06/29/21	99.52	...	-55.44	130 of 200 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: (cont'd)							
12.992		44.07	06/29/21	98.74	...	-54.67	131 of 200 - Sale <sup>25</sup>
12.993		44.08	06/29/21	98.74	...	-54.66	132 of 200 - Sale <sup>25</sup>
12.995		44.09	06/29/21	98.74	...	-54.65	133 of 200 - Sale <sup>25</sup>
12.995		44.09	06/29/21	98.48	...	-54.39	134 of 200 - Sale <sup>25</sup>
12.993		44.08	06/29/21	98.09	...	-54.01	135 of 200 - Sale <sup>25</sup>
2.618		8.88	06/29/21	20.00	...	-11.12	136 of 200 - Sale <sup>25</sup>
1.228		4.16	06/29/21	9.99	...	-5.83	137 of 200 - Sale <sup>25</sup>
1.305		4.43	06/29/21	10.00	...	-5.57	138 of 200 - Sale <sup>25</sup>
1.305		4.43	06/29/21	10.00	...	-5.57	139 of 200 - Sale <sup>25</sup>
1.307		4.43	06/29/21	10.00	...	-5.57	140 of 200 - Sale <sup>25</sup>
1.311		4.45	06/29/21	10.00	...	-5.55	141 of 200 - Sale <sup>25</sup>
1.317		4.47	06/29/21	10.00	...	-5.53	142 of 200 - Sale <sup>25</sup>
1.326		4.50	06/29/21	10.00	...	-5.50	143 of 200 - Sale <sup>25</sup>
1.396		4.74	06/29/21	10.00	...	-5.26	144 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	8.16	...	-4.77	145 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.73	...	-4.34	146 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.73	...	-4.34	147 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.73	...	-4.34	148 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.71	...	-4.32	149 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.69	...	-4.30	150 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.67	...	-4.28	151 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.67	...	-4.28	152 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.66	...	-4.27	153 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.63	...	-4.24	154 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.59	...	-4.20	155 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.57	...	-4.18	156 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.57	...	-4.18	157 of 200 - Sale <sup>25</sup>
1.000		3.39	06/29/21	7.54	...	-4.15	158 of 200 - Sale <sup>25</sup>
0.649		2.20	06/29/21	5.00	...	-2.80	159 of 200 - Sale <sup>25</sup>
0.327		1.11	06/29/21	2.46	...	-1.35	160 of 200 - Sale <sup>25</sup>
0.322		1.09	06/29/21	2.43	...	-1.34	161 of 200 - Sale <sup>25</sup>
0.322		1.09	06/29/21	2.43	...	-1.34	162 of 200 - Sale <sup>25</sup>
0.318		1.08	06/29/21	2.41	...	-1.33	163 of 200 - Sale <sup>25</sup>
0.311		1.06	06/29/21	2.37	...	-1.31	164 of 200 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: (cont'd)							
0.305		1.03	06/29/21	2.33	...	-1.30	165 of 200 - Sale <sup>25</sup>
0.305		1.03	06/29/21	2.33	...	-1.30	166 of 200 - Sale <sup>25</sup>
0.306		1.04	06/29/21	2.34	...	-1.30	167 of 200 - Sale <sup>25</sup>
0.301		1.02	06/29/21	2.31	...	-1.29	168 of 200 - Sale <sup>25</sup>
0.298		1.01	06/29/21	2.29	...	-1.28	169 of 200 - Sale <sup>25</sup>
0.295		1.00	06/29/21	2.27	...	-1.27	170 of 200 - Sale <sup>25</sup>
0.295		1.00	06/29/21	2.27	...	-1.27	171 of 200 - Sale <sup>25</sup>
0.295		1.00	06/29/21	2.27	...	-1.27	172 of 200 - Sale <sup>25</sup>
0.226		0.77	06/29/21	1.84	...	-1.07	173 of 200 - Sale <sup>25</sup>
0.254		0.86	06/29/21	1.91	...	-1.05	174 of 200 - Sale <sup>25</sup>
0.201		0.68	06/29/21	1.52	...	-0.84	175 of 200 - Sale <sup>25</sup>
0.167		0.56	06/29/21	1.26	...	-0.70	176 of 200 - Sale <sup>25</sup>
0.167		0.57	06/29/21	1.26	...	-0.69	177 of 200 - Sale <sup>25</sup>
0.167		0.57	06/29/21	1.26	...	-0.69	178 of 200 - Sale <sup>25</sup>
0.063		0.21	06/29/21	0.48	...	-0.27	179 of 200 - Sale <sup>25</sup>
0.001		0.00	06/29/21	0.01	...	-0.01	180 of 200 - Zero proceeds / negative sale <sup>25</sup>
1.473		5.00	06/30/21	10.00	...	-5.00	181 of 200 - Sale <sup>25</sup>
1.486		5.04	06/30/21	10.00	...	-4.96	182 of 200 - Sale <sup>25</sup>
1.538		5.22	06/30/21	10.00	...	-4.78	183 of 200 - Sale <sup>25</sup>
1.544		5.24	06/30/21	10.00	...	-4.76	184 of 200 - Sale <sup>25</sup>
1.000		3.39	06/30/21	6.72	...	-3.33	185 of 200 - Sale <sup>25</sup>
1.000		3.39	06/30/21	6.51	...	-3.12	186 of 200 - Sale <sup>25</sup>
1.000		3.39	06/30/21	6.47	...	-3.08	187 of 200 - Sale <sup>25</sup>
0.546		1.85	06/30/21	3.53	...	-1.68	188 of 200 - Sale <sup>25</sup>
0.537		1.82	06/30/21	3.49	...	-1.67	189 of 200 - Sale <sup>25</sup>
0.488		1.66	06/30/21	3.28	...	-1.62	190 of 200 - Sale <sup>25</sup>
1.320		4.48	07/01/21	10.00	...	-5.52	191 of 200 - Sale <sup>25</sup>
0.999		3.39	07/01/21	7.56	...	-4.17	192 of 200 - Sale <sup>25</sup>
0.657		2.23	07/01/21	5.00	...	-2.77	193 of 200 - Sale <sup>25</sup>
0.626		2.12	07/01/21	4.76	...	-2.64	194 of 200 - Sale <sup>25</sup>
0.323		1.09	07/01/21	2.44	...	-1.35	195 of 200 - Sale <sup>25</sup>
0.299		1.01	07/20/21	1.00	...	0.01	196 of 200 - Sale <sup>25</sup>
1.000		3.40	07/21/21	3.92	...	-0.52	197 of 200 - Sale <sup>25</sup>
0.254		0.86	07/21/21	1.00	...	-0.14	198 of 200 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STOCK / CUSIP: 59134N104 / Symbol: (cont'd)							
	0.277	0.94	07/21/21	1.08	...	-0.14	199 of 200 - Sale <sup>25</sup>
	0.282	0.96	07/26/21	1.00	...	-0.04	200 of 200 - Sale <sup>25</sup>
07/27/21	205.787	698.04	Various	1,698.58	...	-1,000.54	Total of 200 transactions
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
7 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	10/26/20	0.00	...	0.01	1 of 7 - Sale <sup>25</sup>
	0.029	1.64	10/26/20	0.78	...	0.86	2 of 7 - Sale <sup>25</sup>
	0.037	2.11	10/26/20	1.00	...	1.11	3 of 7 - Sale <sup>25</sup>
	0.037	2.11	10/26/20	1.00	...	1.11	4 of 7 - Sale <sup>25</sup>
	0.037	2.11	10/26/20	1.00	...	1.11	5 of 7 - Sale <sup>25</sup>
	0.037	2.11	10/26/20	1.00	...	1.11	6 of 7 - Sale <sup>25</sup>
	0.185	10.54	10/26/20	5.00	...	5.54	7 of 7 - Sale <sup>25</sup>
02/17/21	0.362	20.63	Various	9.78	...	10.85	Total of 7 transactions
28 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	10/26/20	0.01	...	0.00	1 of 28 - Sale <sup>25</sup>
	0.008	0.42	10/26/20	0.21	...	0.21	2 of 28 - Sale <sup>25</sup>
	0.030	1.57	10/26/20	0.81	...	0.76	3 of 28 - Sale <sup>25</sup>
	0.037	1.91	10/26/20	1.00	...	0.91	4 of 28 - Sale <sup>25</sup>
	0.037	1.91	10/26/20	1.00	...	0.91	5 of 28 - Sale <sup>25</sup>
	0.037	1.91	10/26/20	1.00	...	0.91	6 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	7 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	8 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	9 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	10 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	11 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	12 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	13 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	14 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	15 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	16 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	17 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	18 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	19 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.037	1.92	10/26/20	1.00	...	0.92	20 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	21 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	22 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	23 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	24 of 28 - Sale <sup>25</sup>
	0.037	1.92	10/26/20	1.00	...	0.92	25 of 28 - Sale <sup>25</sup>
	0.037	1.93	10/26/20	1.00	...	0.93	26 of 28 - Sale <sup>25</sup>
	0.037	1.93	10/26/20	1.00	...	0.93	27 of 28 - Sale <sup>25</sup>
	0.037	1.93	10/26/20	1.00	...	0.93	28 of 28 - Sale <sup>25</sup>
02/22/21	0.970	50.00	Various	26.03	...	23.97	Total of 28 transactions
31 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	0.007	0.33	10/26/20	0.19	...	0.14	1 of 31 - Sale <sup>25</sup>
	0.037	1.79	10/26/20	1.00	...	0.79	2 of 31 - Sale <sup>25</sup>
	0.037	1.79	10/26/20	1.00	...	0.79	3 of 31 - Sale <sup>25</sup>
	0.037	1.79	10/26/20	1.00	...	0.79	4 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/26/20	1.00	...	0.80	5 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/26/20	1.00	...	0.80	6 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/26/20	1.00	...	0.80	7 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/26/20	1.00	...	0.80	8 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/26/20	1.00	...	0.80	9 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/26/20	1.00	...	0.80	10 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/26/20	1.00	...	0.80	11 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/26/20	1.00	...	0.80	12 of 31 - Sale <sup>25</sup>
	0.038	1.82	10/26/20	1.00	...	0.82	13 of 31 - Sale <sup>25</sup>
	0.039	1.85	10/26/20	1.00	...	0.85	14 of 31 - Sale <sup>25</sup>
	0.039	1.85	10/26/20	1.00	...	0.85	15 of 31 - Sale <sup>25</sup>
	0.039	1.85	10/26/20	1.00	...	0.85	16 of 31 - Sale <sup>25</sup>
	0.038	1.81	10/27/20	1.00	...	0.81	17 of 31 - Sale <sup>25</sup>
	0.038	1.82	10/27/20	1.00	...	0.82	18 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/28/20	1.00	...	0.80	19 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/28/20	1.00	...	0.80	20 of 31 - Sale <sup>25</sup>
	0.038	1.80	10/28/20	1.00	...	0.80	21 of 31 - Sale <sup>25</sup>
	0.025	1.20	11/05/20	1.00	...	0.20	22 of 31 - Sale <sup>25</sup>
	0.025	1.20	11/05/20	1.00	...	0.20	23 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.025	1.21	11/05/20	1.00	...	0.21	24 of 31 - Sale <sup>25</sup>
	0.026	1.23	11/05/20	1.00	...	0.23	25 of 31 - Sale <sup>25</sup>
	0.014	0.69	11/06/20	0.60	...	0.09	26 of 31 - Sale <sup>25</sup>
	0.024	1.15	11/06/20	1.00	...	0.15	27 of 31 - Sale <sup>25</sup>
	0.024	1.16	11/06/20	1.00	...	0.16	28 of 31 - Sale <sup>25</sup>
	0.024	1.17	11/06/20	1.00	...	0.17	29 of 31 - Sale <sup>25</sup>
	0.025	1.17	11/06/20	1.00	...	0.17	30 of 31 - Sale <sup>25</sup>
	0.025	1.18	11/06/20	1.00	...	0.18	31 of 31 - Sale <sup>25</sup>
02/25/21	1.000	47.86	Various	29.79	...	18.07	Total of 31 transactions
	10 transactions for 03/22/21. Total proceeds and cost reported to the IRS.						
	0.010	0.42	11/06/20	0.40	...	0.02	1 of 10 - Sale <sup>25</sup>
	0.024	1.04	11/06/20	1.00	...	0.04	2 of 10 - Sale <sup>25</sup>
	0.024	1.05	11/06/20	1.00	...	0.05	3 of 10 - Sale <sup>25</sup>
	0.024	1.05	11/06/20	1.00	...	0.05	4 of 10 - Sale <sup>25</sup>
	0.024	1.05	11/06/20	1.00	...	0.05	5 of 10 - Sale <sup>25</sup>
	0.024	1.05	11/06/20	1.00	...	0.05	6 of 10 - Sale <sup>25</sup>
	0.024	1.05	11/06/20	1.00	...	0.05	7 of 10 - Sale <sup>25</sup>
	0.048	2.12	11/06/20	2.00	...	0.12	8 of 10 - Sale <sup>25</sup>
	0.003	0.14	11/09/20	0.14	...	0.00	9 of 10 - Sale <sup>25</sup>
	0.024	1.06	11/09/20	1.00	...	0.06	10 of 10 - Sale <sup>25</sup>
03/22/21	0.230	10.03	Various	9.54	...	0.49	Total of 10 transactions
	3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.						
	0.023	0.99	11/09/20	1.00	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.023	0.99	11/09/20	1.00	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.023	0.99	11/09/20	1.00	0.01 W	0.00	3 of 3 - Sale <sup>25</sup>
03/22/21	0.068	2.97	Various	3.00	0.03 W	0.00	Total of 3 transactions
	23 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	11/10/20	0.01	...	0.00	1 of 23 - Sale <sup>25</sup>
	0.023	0.99	11/10/20	0.99	...	0.00	2 of 23 - Sale <sup>25</sup>
	0.024	1.00	11/10/20	1.00	...	0.00	3 of 23 - Sale <sup>25</sup>
	0.024	1.00	11/10/20	1.00	...	0.00	4 of 23 - Sale <sup>25</sup>
	0.024	1.02	11/10/20	1.00	...	0.02	5 of 23 - Sale <sup>25</sup>
	0.024	1.02	11/10/20	1.00	...	0.02	6 of 23 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.024	1.02	11/10/20	1.00	...	0.02	7 of 23 - Sale <sup>25</sup>
	0.024	1.02	11/10/20	1.00	...	0.02	8 of 23 - Sale <sup>25</sup>
	0.024	1.02	11/10/20	1.00	...	0.02	9 of 23 - Sale <sup>25</sup>
	0.024	1.02	11/10/20	1.00	...	0.02	10 of 23 - Sale <sup>25</sup>
	0.024	1.04	11/10/20	1.00	...	0.04	11 of 23 - Sale <sup>25</sup>
	0.024	1.04	11/10/20	1.00	...	0.04	12 of 23 - Sale <sup>25</sup>
	0.024	1.04	11/10/20	1.00	...	0.04	13 of 23 - Sale <sup>25</sup>
	0.025	1.04	11/10/20	1.00	...	0.04	14 of 23 - Sale <sup>25</sup>
	0.025	1.06	11/10/20	1.00	...	0.06	15 of 23 - Sale <sup>25</sup>
	0.025	1.06	11/10/20	1.00	...	0.06	16 of 23 - Sale <sup>25</sup>
	0.025	1.06	11/10/20	1.00	...	0.06	17 of 23 - Sale <sup>25</sup>
	0.025	1.06	11/10/20	1.00	...	0.06	18 of 23 - Sale <sup>25</sup>
	0.025	1.07	11/10/20	1.00	...	0.07	19 of 23 - Sale <sup>25</sup>
	0.025	1.07	11/10/20	1.00	...	0.07	20 of 23 - Sale <sup>25</sup>
	0.040	1.71	11/10/20	1.56	...	0.15	21 of 23 - Sale <sup>25</sup>
	0.062	2.65	11/10/20	2.41	...	0.24	22 of 23 - Sale <sup>25</sup>
	0.166	7.08	11/10/20	6.44	...	0.64	23 of 23 - Sale <sup>25</sup>
04/26/21	0.730	31.10	Various	29.41	...	1.69	Total of 23 transactions
	11 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	0.020	0.83	11/09/20	0.86	0.03 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.023	0.96	11/09/20	1.00	0.04 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.023	0.96	11/09/20	1.00	0.04 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.023	0.96	11/09/20	1.00	0.04 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.023	0.96	11/09/20	1.00	0.04 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.023	0.96	11/09/20	1.00	0.04 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.023	0.97	11/09/20	1.00	0.03 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.023	0.97	11/09/20	1.00	0.03 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.023	0.97	11/09/20	1.00	0.03 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.023	0.97	11/09/20	1.00	0.03 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.047	1.99	11/10/20	2.00	0.01 W	0.00	11 of 11 - Sale <sup>25</sup>
04/26/21	0.270	11.50	Various	11.86	0.36 W	0.00	Total of 11 transactions
	369 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	11/25/20	0.01	...	0.00	1 of 369 - Sale <sup>25</sup>
	0.000	0.01	02/23/21	0.01	...	0.00	2 of 369 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.000	0.01	03/17/21	0.01	...	0.00	3 of 369 - Sale <sup>25</sup>
	0.140	4.66	04/15/21	5.00	...	-0.34	4 of 369 - Sale <sup>25</sup>
	0.055	1.84	04/15/21	2.00	...	-0.16	5 of 369 - Sale <sup>25</sup>
	0.056	1.87	04/15/21	2.00	...	-0.13	6 of 369 - Sale <sup>25</sup>
	0.028	0.92	04/15/21	1.00	...	-0.08	7 of 369 - Sale <sup>25</sup>
	0.028	0.93	04/15/21	1.00	...	-0.07	8 of 369 - Sale <sup>25</sup>
	0.028	0.93	04/15/21	1.00	...	-0.07	9 of 369 - Sale <sup>25</sup>
	0.028	0.93	04/15/21	1.00	...	-0.07	10 of 369 - Sale <sup>25</sup>
	0.028	0.94	04/15/21	1.00	...	-0.06	11 of 369 - Sale <sup>25</sup>
	0.028	0.94	04/15/21	1.00	...	-0.06	12 of 369 - Sale <sup>25</sup>
	0.028	0.94	04/15/21	1.00	...	-0.06	13 of 369 - Sale <sup>25</sup>
	0.028	0.94	04/15/21	1.00	...	-0.06	14 of 369 - Sale <sup>25</sup>
	0.028	0.94	04/15/21	1.00	...	-0.06	15 of 369 - Sale <sup>25</sup>
	0.028	0.95	04/15/21	1.00	...	-0.05	16 of 369 - Sale <sup>25</sup>
	0.028	0.95	04/15/21	1.00	...	-0.05	17 of 369 - Sale <sup>25</sup>
	0.028	0.95	04/15/21	1.00	...	-0.05	18 of 369 - Sale <sup>25</sup>
	0.029	0.96	04/15/21	1.00	...	-0.04	19 of 369 - Sale <sup>25</sup>
	0.028	0.92	04/16/21	1.00	...	-0.08	20 of 369 - Sale <sup>25</sup>
	0.027	0.91	04/19/21	1.16	...	-0.25	21 of 369 - Sale <sup>25</sup>
	0.027	0.91	04/19/21	1.16	...	-0.25	22 of 369 - Sale <sup>25</sup>
	0.023	0.78	04/19/21	1.00	...	-0.22	23 of 369 - Sale <sup>25</sup>
	0.023	0.77	04/19/21	0.97	...	-0.20	24 of 369 - Sale <sup>25</sup>
	0.020	0.66	04/19/21	0.82	...	-0.16	25 of 369 - Sale <sup>25</sup>
	0.008	0.28	04/19/21	0.35	...	-0.07	26 of 369 - Sale <sup>25</sup>
	0.004	0.13	04/19/21	0.16	...	-0.03	27 of 369 - Sale <sup>25</sup>
	0.004	0.15	04/19/21	0.18	...	-0.03	28 of 369 - Sale <sup>25</sup>
	0.035	1.16	04/20/21	1.49	...	-0.33	29 of 369 - Sale <sup>25</sup>
	0.038	1.26	04/20/21	1.57	...	-0.31	30 of 369 - Sale <sup>25</sup>
	0.024	0.80	04/20/21	1.08	...	-0.28	31 of 369 - Sale <sup>25</sup>
	0.024	0.80	04/20/21	1.07	...	-0.27	32 of 369 - Sale <sup>25</sup>
	0.024	0.81	04/20/21	1.07	...	-0.26	33 of 369 - Sale <sup>25</sup>
	0.024	0.81	04/20/21	1.07	...	-0.26	34 of 369 - Sale <sup>25</sup>
	0.024	0.81	04/20/21	1.07	...	-0.26	35 of 369 - Sale <sup>25</sup>
	0.024	0.81	04/20/21	1.07	...	-0.26	36 of 369 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.025	0.82	04/20/21	1.08	...	-0.26	37 of 369 - Sale <sup>25</sup>
	0.022	0.74	04/20/21	0.97	...	-0.23	38 of 369 - Sale <sup>25</sup>
	0.025	0.84	04/20/21	1.07	...	-0.23	39 of 369 - Sale <sup>25</sup>
	0.025	0.85	04/20/21	1.07	...	-0.22	40 of 369 - Sale <sup>25</sup>
	0.025	0.85	04/20/21	1.07	...	-0.22	41 of 369 - Sale <sup>25</sup>
	0.026	0.86	04/20/21	1.08	...	-0.22	42 of 369 - Sale <sup>25</sup>
	0.026	0.86	04/20/21	1.08	...	-0.22	43 of 369 - Sale <sup>25</sup>
	0.025	0.85	04/20/21	1.06	...	-0.21	44 of 369 - Sale <sup>25</sup>
	0.021	0.68	04/20/21	0.88	...	-0.20	45 of 369 - Sale <sup>25</sup>
	0.008	0.26	04/20/21	0.38	...	-0.12	46 of 369 - Sale <sup>25</sup>
	0.014	0.45	04/20/21	0.57	...	-0.12	47 of 369 - Sale <sup>25</sup>
	0.004	0.15	04/20/21	0.19	...	-0.04	48 of 369 - Sale <sup>25</sup>
	0.002	0.07	04/20/21	0.10	...	-0.03	49 of 369 - Sale <sup>25</sup>
	0.003	0.08	04/20/21	0.11	...	-0.03	50 of 369 - Sale <sup>25</sup>
	0.000	0.01	04/20/21	0.01	...	0.00	51 of 369 - Sale <sup>25</sup>
	0.028	0.92	04/21/21	1.32	...	-0.40	52 of 369 - Sale <sup>25</sup>
	0.026	0.86	04/21/21	1.25	...	-0.39	53 of 369 - Sale <sup>25</sup>
	0.027	0.91	04/21/21	1.30	...	-0.39	54 of 369 - Sale <sup>25</sup>
	0.019	0.62	04/21/21	0.89	...	-0.27	55 of 369 - Sale <sup>25</sup>
	0.009	0.30	04/21/21	0.43	...	-0.13	56 of 369 - Sale <sup>25</sup>
	0.001	0.05	04/21/21	0.07	...	-0.02	57 of 369 - Sale <sup>25</sup>
	0.046	1.52	04/22/21	2.28	...	-0.76	58 of 369 - Sale <sup>25</sup>
	0.043	1.42	04/22/21	2.08	...	-0.66	59 of 369 - Sale <sup>25</sup>
	0.023	0.76	04/22/21	1.13	...	-0.37	60 of 369 - Sale <sup>25</sup>
	0.023	0.77	04/22/21	1.14	...	-0.37	61 of 369 - Sale <sup>25</sup>
	0.023	0.78	04/22/21	1.15	...	-0.37	62 of 369 - Sale <sup>25</sup>
	0.023	0.78	04/22/21	1.14	...	-0.36	63 of 369 - Sale <sup>25</sup>
	0.016	0.54	04/22/21	0.81	...	-0.27	64 of 369 - Sale <sup>25</sup>
	0.004	0.14	04/22/21	0.20	...	-0.06	65 of 369 - Sale <sup>25</sup>
	0.002	0.06	04/22/21	0.09	...	-0.03	66 of 369 - Sale <sup>25</sup>
	0.023	0.76	04/23/21	1.15	...	-0.39	67 of 369 - Sale <sup>25</sup>
	0.021	0.70	04/23/21	1.07	...	-0.37	68 of 369 - Sale <sup>25</sup>
	0.006	0.20	04/23/21	0.30	...	-0.10	69 of 369 - Sale <sup>25</sup>
	0.017	0.56	04/26/21	0.90	...	-0.34	70 of 369 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.007		0.22	04/26/21	0.35	...	-0.13	71 of 369 - Sale <sup>25</sup>
0.029		0.98	04/27/21	1.84	...	-0.86	72 of 369 - Sale <sup>25</sup>
0.019		0.62	04/27/21	1.17	...	-0.55	73 of 369 - Sale <sup>25</sup>
0.019		0.62	04/27/21	1.17	...	-0.55	74 of 369 - Sale <sup>25</sup>
0.019		0.62	04/27/21	1.17	...	-0.55	75 of 369 - Sale <sup>25</sup>
0.019		0.62	04/27/21	1.17	...	-0.55	76 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.18	...	-0.55	77 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.18	...	-0.55	78 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.17	...	-0.54	79 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.17	...	-0.54	80 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.17	...	-0.54	81 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.17	...	-0.54	82 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.17	...	-0.54	83 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.17	...	-0.54	84 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.17	...	-0.54	85 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.17	...	-0.54	86 of 369 - Sale <sup>25</sup>
0.019		0.64	04/27/21	1.18	...	-0.54	87 of 369 - Sale <sup>25</sup>
0.019		0.63	04/27/21	1.16	...	-0.53	88 of 369 - Sale <sup>25</sup>
0.019		0.64	04/27/21	1.17	...	-0.53	89 of 369 - Sale <sup>25</sup>
0.019		0.64	04/27/21	1.17	...	-0.53	90 of 369 - Sale <sup>25</sup>
0.019		0.64	04/27/21	1.17	...	-0.53	91 of 369 - Sale <sup>25</sup>
0.020		0.65	04/27/21	1.18	...	-0.53	92 of 369 - Sale <sup>25</sup>
0.020		0.65	04/27/21	1.18	...	-0.53	93 of 369 - Sale <sup>25</sup>
0.019		0.64	04/27/21	1.16	...	-0.52	94 of 369 - Sale <sup>25</sup>
0.020		0.66	04/27/21	1.17	...	-0.51	95 of 369 - Sale <sup>25</sup>
0.020		0.66	04/27/21	1.17	...	-0.51	96 of 369 - Sale <sup>25</sup>
0.020		0.68	04/27/21	1.19	...	-0.51	97 of 369 - Sale <sup>25</sup>
0.020		0.67	04/27/21	1.17	...	-0.50	98 of 369 - Sale <sup>25</sup>
0.021		0.69	04/27/21	1.19	...	-0.50	99 of 369 - Sale <sup>25</sup>
0.021		0.69	04/27/21	1.18	...	-0.49	100 of 369 - Sale <sup>25</sup>
0.021		0.69	04/27/21	1.18	...	-0.49	101 of 369 - Sale <sup>25</sup>
0.021		0.70	04/27/21	1.19	...	-0.49	102 of 369 - Sale <sup>25</sup>
0.021		0.71	04/27/21	1.18	...	-0.47	103 of 369 - Sale <sup>25</sup>
0.022		0.74	04/27/21	1.21	...	-0.47	104 of 369 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.022	0.74	04/27/21	1.21	...	-0.47	105 of 369 - Sale <sup>25</sup>
	0.022	0.74	04/27/21	1.21	...	-0.47	106 of 369 - Sale <sup>25</sup>
	0.017	0.57	04/27/21	1.03	...	-0.46	107 of 369 - Sale <sup>25</sup>
	0.022	0.74	04/27/21	1.20	...	-0.46	108 of 369 - Sale <sup>25</sup>
	0.022	0.74	04/27/21	1.20	...	-0.46	109 of 369 - Sale <sup>25</sup>
	0.022	0.74	04/27/21	1.19	...	-0.45	110 of 369 - Sale <sup>25</sup>
	0.023	0.75	04/27/21	1.20	...	-0.45	111 of 369 - Sale <sup>25</sup>
	0.023	0.77	04/27/21	1.20	...	-0.43	112 of 369 - Sale <sup>25</sup>
	0.021	0.69	04/27/21	1.11	...	-0.42	113 of 369 - Sale <sup>25</sup>
	0.023	0.78	04/27/21	1.19	...	-0.41	114 of 369 - Sale <sup>25</sup>
	0.024	0.80	04/27/21	1.21	...	-0.41	115 of 369 - Sale <sup>25</sup>
	0.024	0.80	04/27/21	1.20	...	-0.40	116 of 369 - Sale <sup>25</sup>
	0.018	0.61	04/27/21	1.00	...	-0.39	117 of 369 - Sale <sup>25</sup>
	0.025	0.82	04/27/21	1.21	...	-0.39	118 of 369 - Sale <sup>25</sup>
	0.016	0.54	04/27/21	0.92	...	-0.38	119 of 369 - Sale <sup>25</sup>
	0.024	0.79	04/27/21	1.17	...	-0.38	120 of 369 - Sale <sup>25</sup>
	0.025	0.83	04/27/21	1.21	...	-0.38	121 of 369 - Sale <sup>25</sup>
	0.025	0.84	04/27/21	1.22	...	-0.38	122 of 369 - Sale <sup>25</sup>
	0.025	0.84	04/27/21	1.22	...	-0.38	123 of 369 - Sale <sup>25</sup>
	0.026	0.85	04/27/21	1.23	...	-0.38	124 of 369 - Sale <sup>25</sup>
	0.013	0.42	04/27/21	0.78	...	-0.36	125 of 369 - Sale <sup>25</sup>
	0.012	0.39	04/27/21	0.71	...	-0.32	126 of 369 - Sale <sup>25</sup>
	0.016	0.52	04/27/21	0.84	...	-0.32	127 of 369 - Sale <sup>25</sup>
	0.010	0.35	04/27/21	0.64	...	-0.29	128 of 369 - Sale <sup>25</sup>
	0.010	0.32	04/27/21	0.60	...	-0.28	129 of 369 - Sale <sup>25</sup>
	0.009	0.31	04/27/21	0.58	...	-0.27	130 of 369 - Sale <sup>25</sup>
	0.009	0.29	04/27/21	0.53	...	-0.24	131 of 369 - Sale <sup>25</sup>
	0.008	0.25	04/27/21	0.46	...	-0.21	132 of 369 - Sale <sup>25</sup>
	0.006	0.21	04/27/21	0.39	...	-0.18	133 of 369 - Sale <sup>25</sup>
	0.005	0.16	04/27/21	0.27	...	-0.11	134 of 369 - Sale <sup>25</sup>
	0.003	0.08	04/27/21	0.15	...	-0.07	135 of 369 - Sale <sup>25</sup>
	0.002	0.05	04/27/21	0.09	...	-0.04	136 of 369 - Sale <sup>25</sup>
	0.002	0.05	04/27/21	0.09	...	-0.04	137 of 369 - Sale <sup>25</sup>
	0.001	0.03	04/27/21	0.04	...	-0.01	138 of 369 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.000	0.01	04/27/21	0.01	...	0.00	139 of 369 - Sale <sup>25</sup>
	0.036	1.21	04/30/21	1.88	...	-0.67	140 of 369 - Sale <sup>25</sup>
	0.019	0.64	04/30/21	1.15	...	-0.51	141 of 369 - Sale <sup>25</sup>
	0.019	0.64	04/30/21	1.14	...	-0.50	142 of 369 - Sale <sup>25</sup>
	0.020	0.68	04/30/21	1.16	...	-0.48	143 of 369 - Sale <sup>25</sup>
	0.022	0.72	04/30/21	1.17	...	-0.45	144 of 369 - Sale <sup>25</sup>
	0.018	0.60	04/30/21	1.04	...	-0.44	145 of 369 - Sale <sup>25</sup>
	0.022	0.73	04/30/21	1.16	...	-0.43	146 of 369 - Sale <sup>25</sup>
	0.022	0.73	04/30/21	1.15	...	-0.42	147 of 369 - Sale <sup>25</sup>
	0.018	0.60	04/30/21	1.01	...	-0.41	148 of 369 - Sale <sup>25</sup>
	0.022	0.74	04/30/21	1.15	...	-0.41	149 of 369 - Sale <sup>25</sup>
	0.023	0.76	04/30/21	1.17	...	-0.41	150 of 369 - Sale <sup>25</sup>
	0.023	0.76	04/30/21	1.17	...	-0.41	151 of 369 - Sale <sup>25</sup>
	0.023	0.76	04/30/21	1.17	...	-0.41	152 of 369 - Sale <sup>25</sup>
	0.023	0.77	04/30/21	1.18	...	-0.41	153 of 369 - Sale <sup>25</sup>
	0.023	0.76	04/30/21	1.16	...	-0.40	154 of 369 - Sale <sup>25</sup>
	0.022	0.73	04/30/21	1.12	...	-0.39	155 of 369 - Sale <sup>25</sup>
	0.013	0.44	04/30/21	0.80	...	-0.36	156 of 369 - Sale <sup>25</sup>
	0.019	0.62	04/30/21	0.97	...	-0.35	157 of 369 - Sale <sup>25</sup>
	0.012	0.39	04/30/21	0.70	...	-0.31	158 of 369 - Sale <sup>25</sup>
	0.010	0.34	04/30/21	0.62	...	-0.28	159 of 369 - Sale <sup>25</sup>
	0.009	0.30	04/30/21	0.54	...	-0.24	160 of 369 - Sale <sup>25</sup>
	0.012	0.42	04/30/21	0.64	...	-0.22	161 of 369 - Sale <sup>25</sup>
	0.007	0.24	04/30/21	0.43	...	-0.19	162 of 369 - Sale <sup>25</sup>
	0.010	0.34	04/30/21	0.52	...	-0.18	163 of 369 - Sale <sup>25</sup>
	0.007	0.24	04/30/21	0.38	...	-0.14	164 of 369 - Sale <sup>25</sup>
	0.004	0.13	04/30/21	0.21	...	-0.08	165 of 369 - Sale <sup>25</sup>
	0.003	0.09	04/30/21	0.15	...	-0.06	166 of 369 - Sale <sup>25</sup>
	0.001	0.05	04/30/21	0.09	...	-0.04	167 of 369 - Sale <sup>25</sup>
	0.002	0.06	04/30/21	0.09	...	-0.03	168 of 369 - Sale <sup>25</sup>
	0.001	0.04	04/30/21	0.06	...	-0.02	169 of 369 - Sale <sup>25</sup>
	0.023	0.78	05/03/21	1.32	...	-0.54	170 of 369 - Sale <sup>25</sup>
	0.019	0.65	05/03/21	1.14	...	-0.49	171 of 369 - Sale <sup>25</sup>
	0.020	0.65	05/03/21	1.13	...	-0.48	172 of 369 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.005	0.18	05/03/21	0.32	...	-0.14	173 of 369 - Sale <sup>25</sup>
	0.000	0.01	05/03/21	0.01	...	0.00	174 of 369 - Sale <sup>25</sup>
	0.100	3.34	05/04/21	5.41	...	-2.07	175 of 369 - Sale <sup>25</sup>
	0.078	2.60	05/04/21	4.17	...	-1.57	176 of 369 - Sale <sup>25</sup>
	0.019	0.62	05/04/21	1.18	...	-0.56	177 of 369 - Sale <sup>25</sup>
	0.016	0.53	05/04/21	1.07	...	-0.54	178 of 369 - Sale <sup>25</sup>
	0.016	0.53	05/04/21	1.07	...	-0.54	179 of 369 - Sale <sup>25</sup>
	0.016	0.53	05/04/21	1.07	...	-0.54	180 of 369 - Sale <sup>25</sup>
	0.016	0.53	05/04/21	1.07	...	-0.54	181 of 369 - Sale <sup>25</sup>
	0.016	0.53	05/04/21	1.06	...	-0.53	182 of 369 - Sale <sup>25</sup>
	0.016	0.53	05/04/21	1.06	...	-0.53	183 of 369 - Sale <sup>25</sup>
	0.016	0.53	05/04/21	1.06	...	-0.53	184 of 369 - Sale <sup>25</sup>
	0.016	0.55	05/04/21	1.07	...	-0.52	185 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/04/21	1.07	...	-0.50	186 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/04/21	1.07	...	-0.50	187 of 369 - Sale <sup>25</sup>
	0.015	0.49	05/04/21	0.98	...	-0.49	188 of 369 - Sale <sup>25</sup>
	0.017	0.58	05/04/21	1.07	...	-0.49	189 of 369 - Sale <sup>25</sup>
	0.019	0.62	05/04/21	1.08	...	-0.46	190 of 369 - Sale <sup>25</sup>
	0.015	0.51	05/04/21	0.96	...	-0.45	191 of 369 - Sale <sup>25</sup>
	0.012	0.40	05/04/21	0.81	...	-0.41	192 of 369 - Sale <sup>25</sup>
	0.009	0.32	05/04/21	0.64	...	-0.32	193 of 369 - Sale <sup>25</sup>
	0.006	0.22	05/04/21	0.43	...	-0.21	194 of 369 - Sale <sup>25</sup>
	0.001	0.04	05/04/21	0.09	...	-0.05	195 of 369 - Sale <sup>25</sup>
	0.032	1.08	05/06/21	2.11	...	-1.03	196 of 369 - Sale <sup>25</sup>
	0.034	1.14	05/06/21	2.12	...	-0.98	197 of 369 - Sale <sup>25</sup>
	0.023	0.76	05/06/21	1.35	...	-0.59	198 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/06/21	1.06	...	-0.52	199 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/06/21	1.06	...	-0.52	200 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/06/21	1.06	...	-0.52	201 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/06/21	1.05	...	-0.51	202 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/06/21	1.05	...	-0.51	203 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/06/21	1.05	...	-0.51	204 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/06/21	1.05	...	-0.51	205 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/06/21	1.05	...	-0.51	206 of 369 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.016	0.54	05/06/21	1.05	...	-0.51	207 of 369 - Sale <sup>25</sup>
	0.016	0.55	05/06/21	1.06	...	-0.51	208 of 369 - Sale <sup>25</sup>
	0.016	0.55	05/06/21	1.06	...	-0.51	209 of 369 - Sale <sup>25</sup>
	0.017	0.55	05/06/21	1.06	...	-0.51	210 of 369 - Sale <sup>25</sup>
	0.017	0.55	05/06/21	1.06	...	-0.51	211 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	212 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	213 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	214 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	215 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	216 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	217 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	218 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	219 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	220 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	221 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	222 of 369 - Sale <sup>25</sup>
	0.016	0.55	05/06/21	1.05	...	-0.50	223 of 369 - Sale <sup>25</sup>
	0.016	0.55	05/06/21	1.05	...	-0.50	224 of 369 - Sale <sup>25</sup>
	0.016	0.55	05/06/21	1.05	...	-0.50	225 of 369 - Sale <sup>25</sup>
	0.016	0.55	05/06/21	1.05	...	-0.50	226 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.06	...	-0.50	227 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.05	...	-0.49	228 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.05	...	-0.49	229 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.05	...	-0.49	230 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.05	...	-0.49	231 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.05	...	-0.49	232 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/06/21	1.05	...	-0.49	233 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/06/21	1.06	...	-0.49	234 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/06/21	1.06	...	-0.49	235 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/06/21	1.06	...	-0.49	236 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/06/21	1.05	...	-0.48	237 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/06/21	1.05	...	-0.48	238 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/06/21	1.05	...	-0.48	239 of 369 - Sale <sup>25</sup>
	0.017	0.57	05/06/21	1.05	...	-0.48	240 of 369 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.017		0.57	05/06/21	1.05	...	-0.48	241 of 369 - Sale <sup>25</sup>
0.017		0.57	05/06/21	1.05	...	-0.48	242 of 369 - Sale <sup>25</sup>
0.017		0.57	05/06/21	1.05	...	-0.48	243 of 369 - Sale <sup>25</sup>
0.017		0.58	05/06/21	1.06	...	-0.48	244 of 369 - Sale <sup>25</sup>
0.017		0.58	05/06/21	1.06	...	-0.48	245 of 369 - Sale <sup>25</sup>
0.018		0.58	05/06/21	1.06	...	-0.48	246 of 369 - Sale <sup>25</sup>
0.017		0.58	05/06/21	1.05	...	-0.47	247 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.06	...	-0.47	248 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.06	...	-0.47	249 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.06	...	-0.47	250 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.06	...	-0.47	251 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.06	...	-0.47	252 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.06	...	-0.47	253 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.06	...	-0.47	254 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.06	...	-0.47	255 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.07	...	-0.47	256 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.05	...	-0.46	257 of 369 - Sale <sup>25</sup>
0.018		0.59	05/06/21	1.05	...	-0.46	258 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	259 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	260 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	261 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	262 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	263 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	264 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	265 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	266 of 369 - Sale <sup>25</sup>
0.018		0.60	05/06/21	1.06	...	-0.46	267 of 369 - Sale <sup>25</sup>
0.011		0.37	05/06/21	0.70	...	-0.33	268 of 369 - Sale <sup>25</sup>
0.011		0.35	05/06/21	0.65	...	-0.30	269 of 369 - Sale <sup>25</sup>
0.006		0.19	05/06/21	0.35	...	-0.16	270 of 369 - Sale <sup>25</sup>
0.004		0.13	05/06/21	0.26	...	-0.13	271 of 369 - Sale <sup>25</sup>
0.004		0.15	05/06/21	0.26	...	-0.11	272 of 369 - Sale <sup>25</sup>
0.003		0.08	05/06/21	0.16	...	-0.08	273 of 369 - Sale <sup>25</sup>
0.002		0.08	05/06/21	0.15	...	-0.07	274 of 369 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.083	2.77	05/07/21	5.30	...	-2.53	275 of 369 - Sale <sup>25</sup>
	0.067	2.25	05/07/21	4.27	...	-2.02	276 of 369 - Sale <sup>25</sup>
	0.061	2.04	05/07/21	3.89	...	-1.85	277 of 369 - Sale <sup>25</sup>
	0.033	1.11	05/07/21	2.13	...	-1.02	278 of 369 - Sale <sup>25</sup>
	0.033	1.11	05/07/21	2.12	...	-1.01	279 of 369 - Sale <sup>25</sup>
	0.034	1.12	05/07/21	2.12	...	-1.00	280 of 369 - Sale <sup>25</sup>
	0.034	1.12	05/07/21	2.12	...	-1.00	281 of 369 - Sale <sup>25</sup>
	0.023	0.78	05/07/21	1.49	...	-0.71	282 of 369 - Sale <sup>25</sup>
	0.017	0.55	05/07/21	1.07	...	-0.52	283 of 369 - Sale <sup>25</sup>
	0.017	0.55	05/07/21	1.06	...	-0.51	284 of 369 - Sale <sup>25</sup>
	0.017	0.55	05/07/21	1.06	...	-0.51	285 of 369 - Sale <sup>25</sup>
	0.017	0.55	05/07/21	1.06	...	-0.51	286 of 369 - Sale <sup>25</sup>
	0.017	0.55	05/07/21	1.06	...	-0.51	287 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.07	...	-0.51	288 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	289 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	290 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	291 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	292 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	293 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	294 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	295 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	296 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	297 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	298 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	299 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	300 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	301 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	302 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	303 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	304 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	305 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	306 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/07/21	1.06	...	-0.50	307 of 369 - Sale <sup>25</sup>
	0.014	0.46	05/07/21	0.90	...	-0.44	308 of 369 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.010		0.33	05/07/21	0.63	...	-0.30	309 of 369 - Sale <sup>25</sup>
0.127		4.24	05/12/21	7.69	...	-3.45	310 of 369 - Sale <sup>25</sup>
0.104		3.48	05/12/21	6.33	...	-2.85	311 of 369 - Sale <sup>25</sup>
0.098		3.25	05/12/21	5.93	...	-2.68	312 of 369 - Sale <sup>25</sup>
0.050		1.68	05/12/21	3.04	...	-1.36	313 of 369 - Sale <sup>25</sup>
0.047		1.55	05/12/21	2.85	...	-1.30	314 of 369 - Sale <sup>25</sup>
0.042		1.39	05/12/21	2.54	...	-1.15	315 of 369 - Sale <sup>25</sup>
0.041		1.36	05/12/21	2.47	...	-1.11	316 of 369 - Sale <sup>25</sup>
0.029		0.96	05/12/21	1.76	...	-0.80	317 of 369 - Sale <sup>25</sup>
0.029		0.98	05/12/21	1.78	...	-0.80	318 of 369 - Sale <sup>25</sup>
0.029		0.98	05/12/21	1.77	...	-0.79	319 of 369 - Sale <sup>25</sup>
0.027		0.89	05/12/21	1.62	...	-0.73	320 of 369 - Sale <sup>25</sup>
0.017		0.56	05/12/21	1.02	...	-0.46	321 of 369 - Sale <sup>25</sup>
0.016		0.54	05/12/21	0.99	...	-0.45	322 of 369 - Sale <sup>25</sup>
0.017		0.56	05/12/21	1.01	...	-0.45	323 of 369 - Sale <sup>25</sup>
0.012		0.40	05/12/21	0.74	...	-0.34	324 of 369 - Sale <sup>25</sup>
0.009		0.29	05/12/21	0.53	...	-0.24	325 of 369 - Sale <sup>25</sup>
0.004		0.12	05/12/21	0.21	...	-0.09	326 of 369 - Sale <sup>25</sup>
0.002		0.07	05/12/21	0.14	...	-0.07	327 of 369 - Sale <sup>25</sup>
0.058		1.93	05/13/21	3.31	...	-1.38	328 of 369 - Sale <sup>25</sup>
0.032		1.06	05/13/21	1.84	...	-0.78	329 of 369 - Sale <sup>25</sup>
0.032		1.06	05/13/21	1.83	...	-0.77	330 of 369 - Sale <sup>25</sup>
0.032		1.08	05/13/21	1.85	...	-0.77	331 of 369 - Sale <sup>25</sup>
0.026		0.87	05/13/21	1.53	...	-0.66	332 of 369 - Sale <sup>25</sup>
0.019		0.63	05/13/21	1.08	...	-0.45	333 of 369 - Sale <sup>25</sup>
0.013		0.44	05/13/21	0.75	...	-0.31	334 of 369 - Sale <sup>25</sup>
0.007		0.23	05/13/21	0.39	...	-0.16	335 of 369 - Sale <sup>25</sup>
0.005		0.16	05/13/21	0.27	...	-0.11	336 of 369 - Sale <sup>25</sup>
0.063		2.10	05/14/21	3.67	...	-1.57	337 of 369 - Sale <sup>25</sup>
0.053		1.76	05/14/21	2.91	...	-1.15	338 of 369 - Sale <sup>25</sup>
0.034		1.12	05/14/21	1.94	...	-0.82	339 of 369 - Sale <sup>25</sup>
0.029		0.97	05/14/21	1.69	...	-0.72	340 of 369 - Sale <sup>25</sup>
0.027		0.89	05/14/21	1.55	...	-0.66	341 of 369 - Sale <sup>25</sup>
0.026		0.87	05/14/21	1.52	...	-0.65	342 of 369 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.024	0.79	05/14/21	1.38	...	-0.59	343 of 369 - Sale <sup>25</sup>
	0.016	0.54	05/14/21	0.96	...	-0.42	344 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/14/21	0.97	...	-0.41	345 of 369 - Sale <sup>25</sup>
	0.017	0.56	05/14/21	0.97	...	-0.41	346 of 369 - Sale <sup>25</sup>
	0.017	0.58	05/14/21	0.97	...	-0.39	347 of 369 - Sale <sup>25</sup>
	0.015	0.49	05/14/21	0.86	...	-0.37	348 of 369 - Sale <sup>25</sup>
	0.012	0.41	05/14/21	0.67	...	-0.26	349 of 369 - Sale <sup>25</sup>
	0.007	0.25	05/14/21	0.43	...	-0.18	350 of 369 - Sale <sup>25</sup>
	0.005	0.15	05/14/21	0.27	...	-0.12	351 of 369 - Sale <sup>25</sup>
	0.004	0.12	05/14/21	0.20	...	-0.08	352 of 369 - Sale <sup>25</sup>
	0.002	0.07	05/14/21	0.12	...	-0.05	353 of 369 - Sale <sup>25</sup>
	0.300	9.97	05/14/21	10.00	...	-0.03	354 of 369 - Sale <sup>25</sup>
	0.000	0.01	05/14/21	0.02	...	-0.01	355 of 369 - Sale <sup>25</sup>
	0.157	5.22	05/14/21	5.23	...	-0.01	356 of 369 - Sale <sup>25</sup>
	0.150	5.00	05/14/21	5.00	...	0.00	357 of 369 - Sale <sup>25</sup>
	0.030	1.01	05/14/21	1.00	...	0.01	358 of 369 - Sale <sup>25</sup>
	0.030	1.01	05/14/21	1.00	...	0.01	359 of 369 - Sale <sup>25</sup>
	0.030	1.01	05/14/21	1.00	...	0.01	360 of 369 - Sale <sup>25</sup>
	0.030	1.01	05/14/21	1.00	...	0.01	361 of 369 - Sale <sup>25</sup>
	0.030	1.01	05/14/21	1.00	...	0.01	362 of 369 - Sale <sup>25</sup>
	0.043	1.44	05/14/21	1.43	...	0.01	363 of 369 - Sale <sup>25</sup>
	0.060	2.01	05/14/21	2.00	...	0.01	364 of 369 - Sale <sup>25</sup>
	0.031	1.02	05/14/21	1.00	...	0.02	365 of 369 - Sale <sup>25</sup>
	0.061	2.02	05/14/21	2.00	...	0.02	366 of 369 - Sale <sup>25</sup>
	0.031	1.03	05/14/21	1.00	...	0.03	367 of 369 - Sale <sup>25</sup>
	0.062	2.07	05/14/21	2.00	...	0.07	368 of 369 - Sale <sup>25</sup>
	0.136	4.52	05/14/21	4.31	...	0.21	369 of 369 - Sale <sup>25</sup>
05/17/21	8.417	280.75	Various	441.46	...	-160.71	Total of 369 transactions
627 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	0.004	0.15	11/10/20	0.17	0.02 W	0.00	1 of 627 - Sale <sup>25</sup>
	0.024	0.81	11/10/20	1.00	0.19 W	0.00	2 of 627 - Sale <sup>25</sup>
	0.024	0.81	11/10/20	1.00	0.19 W	0.00	3 of 627 - Sale <sup>25</sup>
	0.024	0.81	11/10/20	1.00	0.19 W	0.00	4 of 627 - Sale <sup>25</sup>
	0.025	0.83	11/10/20	1.00	0.17 W	0.00	5 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.025	0.85	11/10/20	1.00	0.15 W	0.00	6 of 627 - Sale <sup>25</sup>
	0.025	0.85	11/10/20	1.00	0.15 W	0.00	7 of 627 - Sale <sup>25</sup>
	0.031	1.04	11/10/20	1.22	0.18 W	0.00	8 of 627 - Sale <sup>25</sup>
	0.037	1.24	11/10/20	1.49	0.25 W	0.00	9 of 627 - Sale <sup>25</sup>
	0.051	1.70	11/10/20	2.00	0.30 W	0.00	10 of 627 - Sale <sup>25</sup>
	0.051	1.70	11/10/20	2.00	0.30 W	0.00	11 of 627 - Sale <sup>25</sup>
	0.025	0.82	11/11/20	1.00	0.18 W	0.00	12 of 627 - Sale <sup>25</sup>
	0.025	0.84	11/11/20	1.00	0.16 W	0.00	13 of 627 - Sale <sup>25</sup>
	0.025	0.85	11/11/20	1.00	0.15 W	0.00	14 of 627 - Sale <sup>25</sup>
	0.026	0.86	11/11/20	1.00	0.14 W	0.00	15 of 627 - Sale <sup>25</sup>
	0.026	0.86	11/11/20	1.00	0.14 W	0.00	16 of 627 - Sale <sup>25</sup>
	0.051	1.71	11/11/20	2.00	0.29 W	0.00	17 of 627 - Sale <sup>25</sup>
	0.024	0.80	11/13/20	1.00	0.20 W	0.00	18 of 627 - Sale <sup>25</sup>
	0.024	0.82	11/13/20	1.00	0.18 W	0.00	19 of 627 - Sale <sup>25</sup>
	0.024	0.80	11/16/20	1.00	0.20 W	0.00	20 of 627 - Sale <sup>25</sup>
	0.024	0.81	11/16/20	1.00	0.19 W	0.00	21 of 627 - Sale <sup>25</sup>
	0.022	0.74	11/18/20	1.00	0.26 W	0.00	22 of 627 - Sale <sup>25</sup>
	0.022	0.74	11/18/20	1.00	0.26 W	0.00	23 of 627 - Sale <sup>25</sup>
	0.022	0.74	11/18/20	1.00	0.26 W	0.00	24 of 627 - Sale <sup>25</sup>
	0.022	0.74	11/18/20	1.00	0.26 W	0.00	25 of 627 - Sale <sup>25</sup>
	0.022	0.74	11/18/20	1.00	0.26 W	0.00	26 of 627 - Sale <sup>25</sup>
	0.022	0.75	11/18/20	1.00	0.25 W	0.00	27 of 627 - Sale <sup>25</sup>
	0.023	0.76	11/18/20	1.00	0.24 W	0.00	28 of 627 - Sale <sup>25</sup>
	0.023	0.76	11/18/20	1.00	0.24 W	0.00	29 of 627 - Sale <sup>25</sup>
	0.023	0.76	11/18/20	1.00	0.24 W	0.00	30 of 627 - Sale <sup>25</sup>
	0.023	0.76	11/18/20	1.00	0.24 W	0.00	31 of 627 - Sale <sup>25</sup>
	0.023	0.78	11/18/20	1.00	0.22 W	0.00	32 of 627 - Sale <sup>25</sup>
	0.044	1.48	11/18/20	2.00	0.52 W	0.00	33 of 627 - Sale <sup>25</sup>
	0.045	1.49	11/18/20	2.00	0.51 W	0.00	34 of 627 - Sale <sup>25</sup>
	0.045	1.50	11/18/20	2.00	0.50 W	0.00	35 of 627 - Sale <sup>25</sup>
	0.046	1.52	11/18/20	2.00	0.48 W	0.00	36 of 627 - Sale <sup>25</sup>
	0.047	1.56	11/18/20	2.00	0.44 W	0.00	37 of 627 - Sale <sup>25</sup>
	0.047	1.56	11/18/20	2.00	0.44 W	0.00	38 of 627 - Sale <sup>25</sup>
	0.020	0.68	11/20/20	1.00	0.32 W	0.00	39 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.021	0.69	11/20/20	1.00	0.31 W	0.00	40 of 627 - Sale <sup>25</sup>
	0.019	0.62	11/24/20	1.00	0.38 W	0.00	41 of 627 - Sale <sup>25</sup>
	0.019	0.62	11/24/20	1.00	0.38 W	0.00	42 of 627 - Sale <sup>25</sup>
	0.019	0.62	11/24/20	1.00	0.38 W	0.00	43 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	44 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	45 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	46 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	47 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	48 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	49 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	50 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	51 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	52 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/24/20	1.00	0.37 W	0.00	53 of 627 - Sale <sup>25</sup>
	0.019	0.64	11/24/20	1.00	0.36 W	0.00	54 of 627 - Sale <sup>25</sup>
	0.019	0.64	11/24/20	1.00	0.36 W	0.00	55 of 627 - Sale <sup>25</sup>
	0.019	0.65	11/24/20	1.00	0.35 W	0.00	56 of 627 - Sale <sup>25</sup>
	0.029	0.98	11/24/20	1.58	0.60 W	0.00	57 of 627 - Sale <sup>25</sup>
	0.020	0.65	11/25/20	1.00	0.35 W	0.00	58 of 627 - Sale <sup>25</sup>
	0.020	0.67	11/25/20	0.99	0.32 W	0.00	59 of 627 - Sale <sup>25</sup>
	0.019	0.62	11/30/20	1.00	0.38 W	0.00	60 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/30/20	1.00	0.37 W	0.00	61 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/30/20	1.00	0.37 W	0.00	62 of 627 - Sale <sup>25</sup>
	0.019	0.63	11/30/20	1.00	0.37 W	0.00	63 of 627 - Sale <sup>25</sup>
	0.019	0.64	11/30/20	1.00	0.36 W	0.00	64 of 627 - Sale <sup>25</sup>
	0.019	0.64	11/30/20	1.00	0.36 W	0.00	65 of 627 - Sale <sup>25</sup>
	0.019	0.64	11/30/20	1.00	0.36 W	0.00	66 of 627 - Sale <sup>25</sup>
	0.019	0.65	11/30/20	1.00	0.35 W	0.00	67 of 627 - Sale <sup>25</sup>
	0.020	0.65	11/30/20	1.00	0.35 W	0.00	68 of 627 - Sale <sup>25</sup>
	0.020	0.66	11/30/20	1.00	0.34 W	0.00	69 of 627 - Sale <sup>25</sup>
	0.020	0.66	12/01/20	1.00	0.34 W	0.00	70 of 627 - Sale <sup>25</sup>
	0.020	0.67	12/01/20	1.00	0.33 W	0.00	71 of 627 - Sale <sup>25</sup>
	0.021	0.69	12/01/20	1.00	0.31 W	0.00	72 of 627 - Sale <sup>25</sup>
	0.021	0.69	12/01/20	1.00	0.31 W	0.00	73 of 627 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.021		0.70	12/01/20	1.00	0.30 W	0.00	74 of 627 - Sale <sup>25</sup>
0.021		0.70	12/01/20	1.00	0.30 W	0.00	75 of 627 - Sale <sup>25</sup>
0.021		0.71	12/01/20	1.00	0.29 W	0.00	76 of 627 - Sale <sup>25</sup>
0.025		0.82	12/02/20	1.00	0.18 W	0.00	77 of 627 - Sale <sup>25</sup>
0.026		0.85	12/02/20	1.00	0.15 W	0.00	78 of 627 - Sale <sup>25</sup>
0.022		0.74	12/04/20	1.00	0.26 W	0.00	79 of 627 - Sale <sup>25</sup>
0.022		0.74	12/09/20	1.00	0.26 W	0.00	80 of 627 - Sale <sup>25</sup>
0.023		0.75	12/09/20	1.00	0.25 W	0.00	81 of 627 - Sale <sup>25</sup>
0.023		0.77	12/09/20	1.00	0.23 W	0.00	82 of 627 - Sale <sup>25</sup>
0.023		0.78	12/10/20	1.00	0.22 W	0.00	83 of 627 - Sale <sup>25</sup>
0.024		0.80	12/11/20	1.00	0.20 W	0.00	84 of 627 - Sale <sup>25</sup>
0.024		0.80	12/11/20	1.00	0.20 W	0.00	85 of 627 - Sale <sup>25</sup>
0.025		0.82	12/14/20	1.00	0.18 W	0.00	86 of 627 - Sale <sup>25</sup>
0.025		0.83	12/14/20	1.00	0.17 W	0.00	87 of 627 - Sale <sup>25</sup>
0.025		0.84	12/14/20	1.00	0.16 W	0.00	88 of 627 - Sale <sup>25</sup>
0.025		0.84	12/14/20	1.00	0.16 W	0.00	89 of 627 - Sale <sup>25</sup>
0.003		0.12	12/28/20	0.16	0.04 W	0.00	90 of 627 - Sale <sup>25</sup>
0.018		0.61	12/28/20	0.84	0.23 W	0.00	91 of 627 - Sale <sup>25</sup>
0.022		0.73	12/28/20	1.00	0.27 W	0.00	92 of 627 - Sale <sup>25</sup>
0.022		0.74	12/28/20	1.00	0.26 W	0.00	93 of 627 - Sale <sup>25</sup>
0.022		0.74	12/28/20	1.00	0.26 W	0.00	94 of 627 - Sale <sup>25</sup>
0.023		0.76	12/28/20	1.00	0.24 W	0.00	95 of 627 - Sale <sup>25</sup>
0.023		0.76	12/28/20	1.00	0.24 W	0.00	96 of 627 - Sale <sup>25</sup>
0.023		0.76	12/28/20	1.00	0.24 W	0.00	97 of 627 - Sale <sup>25</sup>
0.023		0.76	12/28/20	1.00	0.24 W	0.00	98 of 627 - Sale <sup>25</sup>
0.023		0.76	12/28/20	1.00	0.24 W	0.00	99 of 627 - Sale <sup>25</sup>
0.023		0.76	12/28/20	1.00	0.24 W	0.00	100 of 627 - Sale <sup>25</sup>
0.044		1.45	12/28/20	1.92	0.47 W	0.00	101 of 627 - Sale <sup>25</sup>
0.023		0.77	12/29/20	1.00	0.23 W	0.00	102 of 627 - Sale <sup>25</sup>
0.023		0.77	12/29/20	1.00	0.23 W	0.00	103 of 627 - Sale <sup>25</sup>
0.022		0.72	12/30/20	1.00	0.28 W	0.00	104 of 627 - Sale <sup>25</sup>
0.020		0.68	12/31/20	1.00	0.32 W	0.00	105 of 627 - Sale <sup>25</sup>
0.020		0.68	12/31/20	1.00	0.32 W	0.00	106 of 627 - Sale <sup>25</sup>
0.019		0.63	01/05/21	1.00	0.37 W	0.00	107 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.019	0.64	01/05/21	1.00	0.36	W	0.00	108 of 627 - Sale <sup>25</sup>
0.019	0.64	01/05/21	1.00	0.36	W	0.00	109 of 627 - Sale <sup>25</sup>
0.019	0.64	01/05/21	1.00	0.36	W	0.00	110 of 627 - Sale <sup>25</sup>
0.019	0.64	01/05/21	1.00	0.36	W	0.00	111 of 627 - Sale <sup>25</sup>
0.018	0.62	01/06/21	1.00	0.38	W	0.00	112 of 627 - Sale <sup>25</sup>
0.020	0.65	01/06/21	1.00	0.35	W	0.00	113 of 627 - Sale <sup>25</sup>
0.020	0.66	01/06/21	1.00	0.34	W	0.00	114 of 627 - Sale <sup>25</sup>
0.100	3.34	01/06/21	5.00	1.66	W	0.00	115 of 627 - Sale <sup>25</sup>
0.101	3.38	01/06/21	5.00	1.62	W	0.00	116 of 627 - Sale <sup>25</sup>
0.019	0.62	01/07/21	1.00	0.38	W	0.00	117 of 627 - Sale <sup>25</sup>
0.017	0.57	01/08/21	1.00	0.43	W	0.00	118 of 627 - Sale <sup>25</sup>
0.017	0.57	01/08/21	1.00	0.43	W	0.00	119 of 627 - Sale <sup>25</sup>
0.017	0.58	01/08/21	1.00	0.42	W	0.00	120 of 627 - Sale <sup>25</sup>
0.034	1.13	01/08/21	2.00	0.87	W	0.00	121 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	122 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	123 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	124 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	125 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	126 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	127 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	128 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	129 of 627 - Sale <sup>25</sup>
0.016	0.53	01/12/21	1.00	0.47	W	0.00	130 of 627 - Sale <sup>25</sup>
0.016	0.55	01/12/21	1.00	0.45	W	0.00	131 of 627 - Sale <sup>25</sup>
0.016	0.52	01/13/21	1.00	0.48	W	0.00	132 of 627 - Sale <sup>25</sup>
0.016	0.54	01/14/21	1.00	0.46	W	0.00	133 of 627 - Sale <sup>25</sup>
0.016	0.54	01/14/21	1.00	0.46	W	0.00	134 of 627 - Sale <sup>25</sup>
0.016	0.54	01/14/21	1.00	0.46	W	0.00	135 of 627 - Sale <sup>25</sup>
0.016	0.54	01/14/21	1.00	0.46	W	0.00	136 of 627 - Sale <sup>25</sup>
0.016	0.54	01/14/21	1.00	0.46	W	0.00	137 of 627 - Sale <sup>25</sup>
0.016	0.54	01/14/21	1.00	0.46	W	0.00	138 of 627 - Sale <sup>25</sup>
0.016	0.55	01/14/21	1.00	0.45	W	0.00	139 of 627 - Sale <sup>25</sup>
0.016	0.55	01/14/21	1.00	0.45	W	0.00	140 of 627 - Sale <sup>25</sup>
0.016	0.55	01/14/21	1.00	0.45	W	0.00	141 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.016	0.55	01/14/21	1.00	0.45 W	0.00	142 of 627 - Sale <sup>25</sup>
	0.016	0.55	01/14/21	1.00	0.45 W	0.00	143 of 627 - Sale <sup>25</sup>
	0.016	0.55	01/14/21	1.00	0.45 W	0.00	144 of 627 - Sale <sup>25</sup>
	0.016	0.55	01/14/21	1.00	0.45 W	0.00	145 of 627 - Sale <sup>25</sup>
	0.017	0.55	01/14/21	1.00	0.45 W	0.00	146 of 627 - Sale <sup>25</sup>
	0.017	0.55	01/14/21	1.00	0.45 W	0.00	147 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/15/21	1.00	0.44 W	0.00	148 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/15/21	1.00	0.44 W	0.00	149 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/15/21	1.00	0.44 W	0.00	150 of 627 - Sale <sup>25</sup>
	0.017	0.58	01/15/21	1.00	0.42 W	0.00	151 of 627 - Sale <sup>25</sup>
	0.017	0.58	01/15/21	1.00	0.42 W	0.00	152 of 627 - Sale <sup>25</sup>
	0.018	0.60	01/15/21	1.00	0.40 W	0.00	153 of 627 - Sale <sup>25</sup>
	0.017	0.57	01/19/21	1.00	0.43 W	0.00	154 of 627 - Sale <sup>25</sup>
	0.018	0.59	01/19/21	1.00	0.41 W	0.00	155 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/20/21	1.00	0.44 W	0.00	156 of 627 - Sale <sup>25</sup>
	0.018	0.58	01/20/21	1.00	0.42 W	0.00	157 of 627 - Sale <sup>25</sup>
	0.017	0.57	01/21/21	1.00	0.43 W	0.00	158 of 627 - Sale <sup>25</sup>
	0.017	0.57	01/22/21	1.00	0.43 W	0.00	159 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	160 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	161 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	162 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	163 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	164 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	165 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	166 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	167 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	168 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	169 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	170 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/26/21	1.00	0.44 W	0.00	171 of 627 - Sale <sup>25</sup>
	0.017	0.57	01/26/21	1.00	0.43 W	0.00	172 of 627 - Sale <sup>25</sup>
	0.017	0.56	01/27/21	1.00	0.44 W	0.00	173 of 627 - Sale <sup>25</sup>
	0.017	0.57	01/27/21	1.00	0.43 W	0.00	174 of 627 - Sale <sup>25</sup>
	0.017	0.58	01/27/21	1.00	0.42 W	0.00	175 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.017		0.57	01/28/21	1.00	0.43 W	0.00	176 of 627 - Sale <sup>25</sup>
0.018		0.60	01/28/21	1.00	0.40 W	0.00	177 of 627 - Sale <sup>25</sup>
0.018		0.60	01/28/21	1.00	0.40 W	0.00	178 of 627 - Sale <sup>25</sup>
0.018		0.59	02/01/21	1.00	0.41 W	0.00	179 of 627 - Sale <sup>25</sup>
0.018		0.60	02/01/21	1.00	0.40 W	0.00	180 of 627 - Sale <sup>25</sup>
0.018		0.60	02/01/21	1.00	0.40 W	0.00	181 of 627 - Sale <sup>25</sup>
0.018		0.60	02/02/21	1.00	0.40 W	0.00	182 of 627 - Sale <sup>25</sup>
0.018		0.60	02/02/21	1.00	0.40 W	0.00	183 of 627 - Sale <sup>25</sup>
0.018		0.60	02/02/21	1.00	0.40 W	0.00	184 of 627 - Sale <sup>25</sup>
0.018		0.60	02/02/21	1.00	0.40 W	0.00	185 of 627 - Sale <sup>25</sup>
0.018		0.60	02/02/21	1.00	0.40 W	0.00	186 of 627 - Sale <sup>25</sup>
0.018		0.60	02/03/21	1.00	0.40 W	0.00	187 of 627 - Sale <sup>25</sup>
0.017		0.58	02/04/21	1.00	0.42 W	0.00	188 of 627 - Sale <sup>25</sup>
0.018		0.59	02/05/21	1.00	0.41 W	0.00	189 of 627 - Sale <sup>25</sup>
0.018		0.59	02/05/21	1.00	0.41 W	0.00	190 of 627 - Sale <sup>25</sup>
0.018		0.59	02/05/21	1.00	0.41 W	0.00	191 of 627 - Sale <sup>25</sup>
0.018		0.59	02/05/21	1.00	0.41 W	0.00	192 of 627 - Sale <sup>25</sup>
0.018		0.59	02/05/21	1.00	0.41 W	0.00	193 of 627 - Sale <sup>25</sup>
0.018		0.59	02/05/21	1.00	0.41 W	0.00	194 of 627 - Sale <sup>25</sup>
0.023		0.76	02/05/21	1.28	0.52 W	0.00	195 of 627 - Sale <sup>25</sup>
0.017		0.57	02/08/21	1.00	0.43 W	0.00	196 of 627 - Sale <sup>25</sup>
0.017		0.57	02/08/21	1.00	0.43 W	0.00	197 of 627 - Sale <sup>25</sup>
0.017		0.57	02/08/21	1.00	0.43 W	0.00	198 of 627 - Sale <sup>25</sup>
0.017		0.57	02/08/21	1.00	0.43 W	0.00	199 of 627 - Sale <sup>25</sup>
0.017		0.57	02/08/21	1.00	0.43 W	0.00	200 of 627 - Sale <sup>25</sup>
0.017		0.57	02/08/21	1.00	0.43 W	0.00	201 of 627 - Sale <sup>25</sup>
0.018		0.59	02/08/21	1.00	0.41 W	0.00	202 of 627 - Sale <sup>25</sup>
0.034		1.14	02/09/21	2.00	0.86 W	0.00	203 of 627 - Sale <sup>25</sup>
0.016		0.55	02/10/21	1.00	0.45 W	0.00	204 of 627 - Sale <sup>25</sup>
0.016		0.54	02/11/21	1.00	0.46 W	0.00	205 of 627 - Sale <sup>25</sup>
0.016		0.54	02/11/21	1.00	0.46 W	0.00	206 of 627 - Sale <sup>25</sup>
0.017		0.55	02/11/21	1.00	0.45 W	0.00	207 of 627 - Sale <sup>25</sup>
0.017		0.55	02/11/21	1.00	0.45 W	0.00	208 of 627 - Sale <sup>25</sup>
0.017		0.55	02/11/21	1.00	0.45 W	0.00	209 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.017		0.55	02/11/21	1.00	0.45 W	0.00	210 of 627 - Sale <sup>25</sup>
0.032		1.08	02/11/21	2.00	0.92 W	0.00	211 of 627 - Sale <sup>25</sup>
0.083		2.77	02/11/21	5.00	2.23 W	0.00	212 of 627 - Sale <sup>25</sup>
0.017		0.55	02/12/21	1.00	0.45 W	0.00	213 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	214 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	215 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	216 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	217 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	218 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	219 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	220 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	221 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	222 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	223 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	224 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	225 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	226 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	227 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	228 of 627 - Sale <sup>25</sup>
0.017		0.56	02/12/21	1.00	0.44 W	0.00	229 of 627 - Sale <sup>25</sup>
0.033		1.12	02/12/21	2.00	0.88 W	0.00	230 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	231 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	232 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	233 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	234 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	235 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	236 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	237 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	238 of 627 - Sale <sup>25</sup>
0.017		0.56	02/16/21	1.00	0.44 W	0.00	239 of 627 - Sale <sup>25</sup>
0.033		1.11	02/16/21	2.00	0.89 W	0.00	240 of 627 - Sale <sup>25</sup>
0.033		1.11	02/16/21	2.00	0.89 W	0.00	241 of 627 - Sale <sup>25</sup>
0.034		1.12	02/16/21	2.00	0.88 W	0.00	242 of 627 - Sale <sup>25</sup>
0.034		1.12	02/16/21	2.00	0.88 W	0.00	243 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.034		1.12	02/16/21	2.00	0.88 W	0.00	244 of 627 - Sale <sup>25</sup>
0.034		1.12	02/16/21	2.00	0.88 W	0.00	245 of 627 - Sale <sup>25</sup>
0.034		1.12	02/16/21	2.00	0.88 W	0.00	246 of 627 - Sale <sup>25</sup>
0.043		1.44	02/16/21	2.58	1.14 W	0.00	247 of 627 - Sale <sup>25</sup>
0.050		1.67	02/16/21	3.00	1.33 W	0.00	248 of 627 - Sale <sup>25</sup>
0.050		1.67	02/16/21	3.00	1.33 W	0.00	249 of 627 - Sale <sup>25</sup>
0.050		1.68	02/16/21	3.00	1.32 W	0.00	250 of 627 - Sale <sup>25</sup>
0.050		1.68	02/16/21	3.00	1.32 W	0.00	251 of 627 - Sale <sup>25</sup>
0.061		2.04	02/16/21	3.66	1.62 W	0.00	252 of 627 - Sale <sup>25</sup>
0.067		2.23	02/16/21	4.00	1.77 W	0.00	253 of 627 - Sale <sup>25</sup>
0.067		2.24	02/16/21	4.00	1.76 W	0.00	254 of 627 - Sale <sup>25</sup>
0.084		2.79	02/16/21	5.00	2.21 W	0.00	255 of 627 - Sale <sup>25</sup>
0.084		2.80	02/16/21	5.00	2.20 W	0.00	256 of 627 - Sale <sup>25</sup>
0.084		2.80	02/16/21	5.00	2.20 W	0.00	257 of 627 - Sale <sup>25</sup>
0.124		4.14	02/16/21	7.42	3.28 W	0.00	258 of 627 - Sale <sup>25</sup>
0.168		5.60	02/16/21	10.00	4.40 W	0.00	259 of 627 - Sale <sup>25</sup>
0.168		5.60	02/16/21	10.00	4.40 W	0.00	260 of 627 - Sale <sup>25</sup>
0.012		0.42	02/17/21	0.70	0.28 W	0.00	261 of 627 - Sale <sup>25</sup>
0.017		0.58	02/17/21	1.00	0.42 W	0.00	262 of 627 - Sale <sup>25</sup>
0.017		0.58	02/17/21	1.00	0.42 W	0.00	263 of 627 - Sale <sup>25</sup>
0.017		0.58	02/17/21	1.00	0.42 W	0.00	264 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	265 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	266 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	267 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	268 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	269 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	270 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	271 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	272 of 627 - Sale <sup>25</sup>
0.018		0.59	02/17/21	1.00	0.41 W	0.00	273 of 627 - Sale <sup>25</sup>
0.023		0.76	02/17/21	1.30	0.54 W	0.00	274 of 627 - Sale <sup>25</sup>
0.035		1.17	02/17/21	2.00	0.83 W	0.00	275 of 627 - Sale <sup>25</sup>
0.053		1.77	02/17/21	3.00	1.23 W	0.00	276 of 627 - Sale <sup>25</sup>
0.018		0.60	02/18/21	1.00	0.40 W	0.00	277 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.018		0.60	02/18/21	1.00	0.40 W	0.00	278 of 627 - Sale <sup>25</sup>
0.018		0.61	02/18/21	1.00	0.39 W	0.00	279 of 627 - Sale <sup>25</sup>
0.018		0.61	02/18/21	1.00	0.39 W	0.00	280 of 627 - Sale <sup>25</sup>
0.018		0.61	02/18/21	1.00	0.39 W	0.00	281 of 627 - Sale <sup>25</sup>
0.018		0.61	02/18/21	1.00	0.39 W	0.00	282 of 627 - Sale <sup>25</sup>
0.018		0.61	02/18/21	1.00	0.39 W	0.00	283 of 627 - Sale <sup>25</sup>
0.018		0.61	02/18/21	1.00	0.39 W	0.00	284 of 627 - Sale <sup>25</sup>
0.018		0.62	02/18/21	1.00	0.38 W	0.00	285 of 627 - Sale <sup>25</sup>
0.018		0.60	02/19/21	1.00	0.40 W	0.00	286 of 627 - Sale <sup>25</sup>
0.018		0.60	02/19/21	1.00	0.40 W	0.00	287 of 627 - Sale <sup>25</sup>
0.018		0.60	02/19/21	1.00	0.40 W	0.00	288 of 627 - Sale <sup>25</sup>
0.018		0.61	02/19/21	1.00	0.39 W	0.00	289 of 627 - Sale <sup>25</sup>
0.018		0.61	02/19/21	1.00	0.39 W	0.00	290 of 627 - Sale <sup>25</sup>
0.018		0.62	02/19/21	1.00	0.38 W	0.00	291 of 627 - Sale <sup>25</sup>
0.001		0.03	02/23/21	0.05	0.02 W	0.00	292 of 627 - Sale <sup>25</sup>
0.002		0.07	02/23/21	0.09	0.02 W	0.00	293 of 627 - Sale <sup>25</sup>
0.021		0.69	02/23/21	0.94	0.25 W	0.00	294 of 627 - Sale <sup>25</sup>
0.022		0.72	02/23/21	0.93	0.21 W	0.00	295 of 627 - Sale <sup>25</sup>
0.023		0.76	02/23/21	0.98	0.22 W	0.00	296 of 627 - Sale <sup>25</sup>
0.022		0.72	02/23/21	1.00	0.28 W	0.00	297 of 627 - Sale <sup>25</sup>
0.022		0.72	02/23/21	1.01	0.29 W	0.00	298 of 627 - Sale <sup>25</sup>
0.022		0.72	02/23/21	1.00	0.28 W	0.00	299 of 627 - Sale <sup>25</sup>
0.022		0.73	02/23/21	1.00	0.27 W	0.00	300 of 627 - Sale <sup>25</sup>
0.022		0.73	02/23/21	1.00	0.27 W	0.00	301 of 627 - Sale <sup>25</sup>
0.022		0.73	02/23/21	1.00	0.27 W	0.00	302 of 627 - Sale <sup>25</sup>
0.023		0.78	02/23/21	1.00	0.22 W	0.00	303 of 627 - Sale <sup>25</sup>
0.023		0.78	02/23/21	1.00	0.22 W	0.00	304 of 627 - Sale <sup>25</sup>
0.024		0.79	02/23/21	1.00	0.21 W	0.00	305 of 627 - Sale <sup>25</sup>
0.024		0.79	02/23/21	1.00	0.21 W	0.00	306 of 627 - Sale <sup>25</sup>
0.022		0.72	02/26/21	1.00	0.28 W	0.00	307 of 627 - Sale <sup>25</sup>
0.022		0.72	02/26/21	1.00	0.28 W	0.00	308 of 627 - Sale <sup>25</sup>
0.022		0.74	02/26/21	1.00	0.26 W	0.00	309 of 627 - Sale <sup>25</sup>
0.022		0.74	02/26/21	1.00	0.26 W	0.00	310 of 627 - Sale <sup>25</sup>
0.022		0.74	02/26/21	1.00	0.26 W	0.00	311 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.023	0.77	02/26/21	1.04	0.27 W	0.00	312 of 627 - Sale <sup>25</sup>
	0.045	1.49	02/26/21	2.00	0.51 W	0.00	313 of 627 - Sale <sup>25</sup>
	0.020	0.67	03/01/21	1.00	0.33 W	0.00	314 of 627 - Sale <sup>25</sup>
	0.022	0.72	03/02/21	1.00	0.28 W	0.00	315 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	316 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	317 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	318 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	319 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	320 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	321 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	322 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	323 of 627 - Sale <sup>25</sup>
	0.022	0.73	03/02/21	1.00	0.27 W	0.00	324 of 627 - Sale <sup>25</sup>
	0.022	0.74	03/02/21	1.00	0.26 W	0.00	325 of 627 - Sale <sup>25</sup>
	0.023	0.76	03/02/21	1.00	0.24 W	0.00	326 of 627 - Sale <sup>25</sup>
	0.023	0.77	03/02/21	1.00	0.23 W	0.00	327 of 627 - Sale <sup>25</sup>
	0.023	0.77	03/02/21	1.00	0.23 W	0.00	328 of 627 - Sale <sup>25</sup>
	0.023	0.77	03/02/21	1.00	0.23 W	0.00	329 of 627 - Sale <sup>25</sup>
	0.023	0.77	03/02/21	1.00	0.23 W	0.00	330 of 627 - Sale <sup>25</sup>
	0.023	0.77	03/02/21	1.00	0.23 W	0.00	331 of 627 - Sale <sup>25</sup>
	0.023	0.77	03/02/21	1.00	0.23 W	0.00	332 of 627 - Sale <sup>25</sup>
	0.023	0.77	03/02/21	1.00	0.23 W	0.00	333 of 627 - Sale <sup>25</sup>
	0.039	1.32	03/02/21	1.80	0.48 W	0.00	334 of 627 - Sale <sup>25</sup>
	0.044	1.46	03/02/21	2.00	0.54 W	0.00	335 of 627 - Sale <sup>25</sup>
	0.044	1.48	03/02/21	2.00	0.52 W	0.00	336 of 627 - Sale <sup>25</sup>
	0.081	2.69	03/02/21	3.47	0.78 W	0.00	337 of 627 - Sale <sup>25</sup>
	0.023	0.75	03/03/21	1.00	0.25 W	0.00	338 of 627 - Sale <sup>25</sup>
	0.024	0.79	03/03/21	1.00	0.21 W	0.00	339 of 627 - Sale <sup>25</sup>
	0.024	0.79	03/03/21	1.00	0.21 W	0.00	340 of 627 - Sale <sup>25</sup>
	0.024	0.80	03/03/21	1.00	0.20 W	0.00	341 of 627 - Sale <sup>25</sup>
	0.024	0.80	03/03/21	1.00	0.20 W	0.00	342 of 627 - Sale <sup>25</sup>
	0.024	0.80	03/03/21	1.00	0.20 W	0.00	343 of 627 - Sale <sup>25</sup>
	0.024	0.81	03/03/21	1.00	0.19 W	0.00	344 of 627 - Sale <sup>25</sup>
	0.024	0.81	03/04/21	1.00	0.19 W	0.00	345 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.024		0.81	03/04/21	1.00	0.19 W	0.00	346 of 627 - Sale <sup>25</sup>
0.025		0.82	03/04/21	1.00	0.18 W	0.00	347 of 627 - Sale <sup>25</sup>
0.025		0.83	03/04/21	1.00	0.17 W	0.00	348 of 627 - Sale <sup>25</sup>
0.025		0.83	03/04/21	1.00	0.17 W	0.00	349 of 627 - Sale <sup>25</sup>
0.025		0.85	03/04/21	1.00	0.15 W	0.00	350 of 627 - Sale <sup>25</sup>
0.025		0.85	03/04/21	1.00	0.15 W	0.00	351 of 627 - Sale <sup>25</sup>
0.050		1.68	03/04/21	2.00	0.32 W	0.00	352 of 627 - Sale <sup>25</sup>
0.027		0.89	03/05/21	1.00	0.11 W	0.00	353 of 627 - Sale <sup>25</sup>
0.027		0.89	03/05/21	1.00	0.11 W	0.00	354 of 627 - Sale <sup>25</sup>
0.027		0.89	03/05/21	1.00	0.11 W	0.00	355 of 627 - Sale <sup>25</sup>
0.027		0.89	03/05/21	1.00	0.11 W	0.00	356 of 627 - Sale <sup>25</sup>
0.027		0.89	03/05/21	1.00	0.11 W	0.00	357 of 627 - Sale <sup>25</sup>
0.027		0.91	03/05/21	1.00	0.09 W	0.00	358 of 627 - Sale <sup>25</sup>
0.027		0.91	03/05/21	1.00	0.09 W	0.00	359 of 627 - Sale <sup>25</sup>
0.030		0.99	03/05/21	1.00	0.01 W	0.00	360 of 627 - Sale <sup>25</sup>
0.023		0.76	03/10/21	1.00	0.24 W	0.00	361 of 627 - Sale <sup>25</sup>
0.024		0.79	03/10/21	1.00	0.21 W	0.00	362 of 627 - Sale <sup>25</sup>
0.024		0.79	03/10/21	1.00	0.21 W	0.00	363 of 627 - Sale <sup>25</sup>
0.024		0.79	03/10/21	1.00	0.21 W	0.00	364 of 627 - Sale <sup>25</sup>
0.024		0.79	03/10/21	1.00	0.21 W	0.00	365 of 627 - Sale <sup>25</sup>
0.024		0.80	03/10/21	1.00	0.20 W	0.00	366 of 627 - Sale <sup>25</sup>
0.024		0.81	03/10/21	1.00	0.19 W	0.00	367 of 627 - Sale <sup>25</sup>
0.024		0.81	03/10/21	1.00	0.19 W	0.00	368 of 627 - Sale <sup>25</sup>
0.024		0.82	03/10/21	1.00	0.18 W	0.00	369 of 627 - Sale <sup>25</sup>
0.022		0.74	03/11/21	1.00	0.26 W	0.00	370 of 627 - Sale <sup>25</sup>
0.022		0.74	03/11/21	1.00	0.26 W	0.00	371 of 627 - Sale <sup>25</sup>
0.022		0.74	03/11/21	1.00	0.26 W	0.00	372 of 627 - Sale <sup>25</sup>
0.022		0.74	03/11/21	1.00	0.26 W	0.00	373 of 627 - Sale <sup>25</sup>
0.022		0.74	03/11/21	1.00	0.26 W	0.00	374 of 627 - Sale <sup>25</sup>
0.022		0.74	03/11/21	1.00	0.26 W	0.00	375 of 627 - Sale <sup>25</sup>
0.022		0.74	03/11/21	1.00	0.26 W	0.00	376 of 627 - Sale <sup>25</sup>
0.022		0.75	03/11/21	1.00	0.25 W	0.00	377 of 627 - Sale <sup>25</sup>
0.022		0.75	03/11/21	1.00	0.25 W	0.00	378 of 627 - Sale <sup>25</sup>
0.022		0.75	03/11/21	1.00	0.25 W	0.00	379 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.023		0.75	03/11/21	1.00	0.25 W	0.00	380 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	381 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	382 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	383 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	384 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	385 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	386 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	387 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	388 of 627 - Sale <sup>25</sup>
0.023		0.76	03/11/21	1.00	0.24 W	0.00	389 of 627 - Sale <sup>25</sup>
0.029		0.95	03/11/21	1.25	0.30 W	0.00	390 of 627 - Sale <sup>25</sup>
0.022		0.74	03/15/21	1.00	0.26 W	0.00	391 of 627 - Sale <sup>25</sup>
0.022		0.74	03/15/21	1.00	0.26 W	0.00	392 of 627 - Sale <sup>25</sup>
0.022		0.74	03/15/21	1.00	0.26 W	0.00	393 of 627 - Sale <sup>25</sup>
0.022		0.75	03/15/21	1.00	0.25 W	0.00	394 of 627 - Sale <sup>25</sup>
0.022		0.74	03/16/21	1.00	0.26 W	0.00	395 of 627 - Sale <sup>25</sup>
0.022		0.74	03/16/21	1.00	0.26 W	0.00	396 of 627 - Sale <sup>25</sup>
0.022		0.74	03/16/21	1.00	0.26 W	0.00	397 of 627 - Sale <sup>25</sup>
0.022		0.74	03/16/21	1.00	0.26 W	0.00	398 of 627 - Sale <sup>25</sup>
0.022		0.74	03/16/21	1.00	0.26 W	0.00	399 of 627 - Sale <sup>25</sup>
0.022		0.74	03/16/21	1.00	0.26 W	0.00	400 of 627 - Sale <sup>25</sup>
0.022		0.74	03/16/21	1.00	0.26 W	0.00	401 of 627 - Sale <sup>25</sup>
0.022		0.75	03/16/21	1.00	0.25 W	0.00	402 of 627 - Sale <sup>25</sup>
0.022		0.75	03/16/21	1.00	0.25 W	0.00	403 of 627 - Sale <sup>25</sup>
0.022		0.75	03/16/21	1.00	0.25 W	0.00	404 of 627 - Sale <sup>25</sup>
0.022		0.75	03/16/21	1.00	0.25 W	0.00	405 of 627 - Sale <sup>25</sup>
0.022		0.75	03/16/21	1.00	0.25 W	0.00	406 of 627 - Sale <sup>25</sup>
0.022		0.75	03/16/21	1.00	0.25 W	0.00	407 of 627 - Sale <sup>25</sup>
0.022		0.75	03/16/21	1.00	0.25 W	0.00	408 of 627 - Sale <sup>25</sup>
0.022		0.75	03/16/21	1.00	0.25 W	0.00	409 of 627 - Sale <sup>25</sup>
0.023		0.76	03/16/21	1.00	0.24 W	0.00	410 of 627 - Sale <sup>25</sup>
0.023		0.76	03/16/21	1.00	0.24 W	0.00	411 of 627 - Sale <sup>25</sup>
0.023		0.76	03/16/21	1.00	0.24 W	0.00	412 of 627 - Sale <sup>25</sup>
0.023		0.77	03/16/21	1.00	0.23 W	0.00	413 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.024		0.81	03/16/21	1.06	0.25 W	0.00	414 of 627 - Sale <sup>25</sup>
0.046		1.54	03/16/21	2.00	0.46 W	0.00	415 of 627 - Sale <sup>25</sup>
0.023		0.76	03/17/21	1.00	0.24 W	0.00	416 of 627 - Sale <sup>25</sup>
0.023		0.76	03/17/21	1.00	0.24 W	0.00	417 of 627 - Sale <sup>25</sup>
0.023		0.76	03/17/21	1.00	0.24 W	0.00	418 of 627 - Sale <sup>25</sup>
0.024		0.79	03/17/21	0.99	0.20 W	0.00	419 of 627 - Sale <sup>25</sup>
0.024		0.80	03/17/21	1.00	0.20 W	0.00	420 of 627 - Sale <sup>25</sup>
0.048		1.59	03/17/21	2.00	0.41 W	0.00	421 of 627 - Sale <sup>25</sup>
0.023		0.77	03/18/21	1.00	0.23 W	0.00	422 of 627 - Sale <sup>25</sup>
0.023		0.77	03/18/21	1.00	0.23 W	0.00	423 of 627 - Sale <sup>25</sup>
0.023		0.77	03/18/21	1.00	0.23 W	0.00	424 of 627 - Sale <sup>25</sup>
0.023		0.77	03/18/21	1.00	0.23 W	0.00	425 of 627 - Sale <sup>25</sup>
0.023		0.78	03/18/21	1.00	0.22 W	0.00	426 of 627 - Sale <sup>25</sup>
0.023		0.78	03/18/21	1.00	0.22 W	0.00	427 of 627 - Sale <sup>25</sup>
0.023		0.78	03/18/21	1.00	0.22 W	0.00	428 of 627 - Sale <sup>25</sup>
0.023		0.78	03/18/21	1.00	0.22 W	0.00	429 of 627 - Sale <sup>25</sup>
0.023		0.78	03/18/21	1.00	0.22 W	0.00	430 of 627 - Sale <sup>25</sup>
0.023		0.78	03/18/21	1.00	0.22 W	0.00	431 of 627 - Sale <sup>25</sup>
0.047		1.55	03/18/21	2.00	0.45 W	0.00	432 of 627 - Sale <sup>25</sup>
0.047		1.56	03/18/21	2.00	0.44 W	0.00	433 of 627 - Sale <sup>25</sup>
0.047		1.56	03/18/21	2.00	0.44 W	0.00	434 of 627 - Sale <sup>25</sup>
0.047		1.56	03/18/21	2.00	0.44 W	0.00	435 of 627 - Sale <sup>25</sup>
0.023		0.77	03/19/21	1.00	0.23 W	0.00	436 of 627 - Sale <sup>25</sup>
0.023		0.78	03/19/21	1.00	0.22 W	0.00	437 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	438 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	439 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	440 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	441 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	442 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	443 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	444 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	445 of 627 - Sale <sup>25</sup>
0.024		0.79	03/19/21	1.00	0.21 W	0.00	446 of 627 - Sale <sup>25</sup>
0.024		0.80	03/19/21	1.00	0.20 W	0.00	447 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.024		0.80	03/19/21	1.00	0.20 W	0.00	448 of 627 - Sale <sup>25</sup>
0.024		0.80	03/19/21	1.00	0.20 W	0.00	449 of 627 - Sale <sup>25</sup>
0.024		0.80	03/19/21	1.00	0.20 W	0.00	450 of 627 - Sale <sup>25</sup>
0.024		0.80	03/19/21	1.00	0.20 W	0.00	451 of 627 - Sale <sup>25</sup>
0.024		0.80	03/19/21	1.00	0.20 W	0.00	452 of 627 - Sale <sup>25</sup>
0.024		0.81	03/19/21	1.00	0.19 W	0.00	453 of 627 - Sale <sup>25</sup>
0.025		0.82	03/19/21	1.00	0.18 W	0.00	454 of 627 - Sale <sup>25</sup>
0.025		0.82	03/19/21	1.00	0.18 W	0.00	455 of 627 - Sale <sup>25</sup>
0.046		1.54	03/19/21	2.00	0.46 W	0.00	456 of 627 - Sale <sup>25</sup>
0.047		1.55	03/19/21	2.00	0.45 W	0.00	457 of 627 - Sale <sup>25</sup>
0.047		1.55	03/19/21	2.00	0.45 W	0.00	458 of 627 - Sale <sup>25</sup>
0.047		1.57	03/19/21	2.00	0.43 W	0.00	459 of 627 - Sale <sup>25</sup>
0.048		1.59	03/19/21	2.00	0.41 W	0.00	460 of 627 - Sale <sup>25</sup>
0.048		1.60	03/19/21	2.00	0.40 W	0.00	461 of 627 - Sale <sup>25</sup>
0.122		4.08	03/19/21	5.00	0.92 W	0.00	462 of 627 - Sale <sup>25</sup>
0.023		0.76	03/22/21	1.00	0.24 W	0.00	463 of 627 - Sale <sup>25</sup>
0.027		0.90	03/22/21	1.18	0.28 W	0.00	464 of 627 - Sale <sup>25</sup>
0.027		0.90	03/22/21	1.18	0.28 W	0.00	465 of 627 - Sale <sup>25</sup>
0.023		0.78	03/23/21	1.00	0.22 W	0.00	466 of 627 - Sale <sup>25</sup>
0.024		0.79	03/23/21	1.00	0.21 W	0.00	467 of 627 - Sale <sup>25</sup>
0.024		0.80	03/23/21	1.00	0.20 W	0.00	468 of 627 - Sale <sup>25</sup>
0.024		0.80	03/23/21	1.00	0.20 W	0.00	469 of 627 - Sale <sup>25</sup>
0.041		1.37	03/23/21	1.74	0.37 W	0.00	470 of 627 - Sale <sup>25</sup>
0.024		0.82	03/24/21	1.00	0.18 W	0.00	471 of 627 - Sale <sup>25</sup>
0.024		0.82	03/24/21	1.00	0.18 W	0.00	472 of 627 - Sale <sup>25</sup>
0.025		0.82	03/24/21	1.00	0.18 W	0.00	473 of 627 - Sale <sup>25</sup>
0.025		0.82	03/24/21	1.00	0.18 W	0.00	474 of 627 - Sale <sup>25</sup>
0.030		1.00	03/24/21	1.23	0.23 W	0.00	475 of 627 - Sale <sup>25</sup>
0.036		1.19	03/25/21	1.37	0.18 W	0.00	476 of 627 - Sale <sup>25</sup>
0.011		0.36	03/30/21	0.41	0.05 W	0.00	477 of 627 - Sale <sup>25</sup>
0.020		0.65	03/30/21	0.75	0.10 W	0.00	478 of 627 - Sale <sup>25</sup>
0.008		0.28	03/31/21	0.33	0.05 W	0.00	479 of 627 - Sale <sup>25</sup>
0.012		0.40	03/31/21	0.47	0.07 W	0.00	480 of 627 - Sale <sup>25</sup>
0.014		0.48	03/31/21	0.57	0.09 W	0.00	481 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.018		0.60	03/31/21	0.71	0.11 W	0.00	482 of 627 - Sale <sup>25</sup>
0.004		0.15	04/01/21	0.19	0.04 W	0.00	483 of 627 - Sale <sup>25</sup>
0.021		0.69	04/01/21	0.86	0.17 W	0.00	484 of 627 - Sale <sup>25</sup>
0.002		0.06	04/05/21	0.07	0.01 W	0.00	485 of 627 - Sale <sup>25</sup>
0.023		0.75	04/05/21	0.94	0.19 W	0.00	486 of 627 - Sale <sup>25</sup>
0.023		0.75	04/05/21	0.94	0.19 W	0.00	487 of 627 - Sale <sup>25</sup>
0.023		0.75	04/05/21	0.94	0.19 W	0.00	488 of 627 - Sale <sup>25</sup>
0.023		0.76	04/05/21	0.94	0.18 W	0.00	489 of 627 - Sale <sup>25</sup>
0.023		0.76	04/05/21	0.95	0.19 W	0.00	490 of 627 - Sale <sup>25</sup>
0.025		0.83	04/05/21	1.00	0.17 W	0.00	491 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	492 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	493 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	494 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	495 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	496 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	497 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	498 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	499 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	500 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	501 of 627 - Sale <sup>25</sup>
0.025		0.84	04/05/21	1.00	0.16 W	0.00	502 of 627 - Sale <sup>25</sup>
0.025		0.85	04/05/21	1.00	0.15 W	0.00	503 of 627 - Sale <sup>25</sup>
0.025		0.85	04/05/21	1.00	0.15 W	0.00	504 of 627 - Sale <sup>25</sup>
0.026		0.86	04/05/21	1.00	0.14 W	0.00	505 of 627 - Sale <sup>25</sup>
0.026		0.86	04/05/21	1.00	0.14 W	0.00	506 of 627 - Sale <sup>25</sup>
0.026		0.86	04/05/21	1.00	0.14 W	0.00	507 of 627 - Sale <sup>25</sup>
0.047		1.56	04/05/21	1.88	0.32 W	0.00	508 of 627 - Sale <sup>25</sup>
0.047		1.56	04/05/21	1.85	0.29 W	0.00	509 of 627 - Sale <sup>25</sup>
0.050		1.68	04/05/21	2.00	0.32 W	0.00	510 of 627 - Sale <sup>25</sup>
0.050		1.68	04/05/21	2.00	0.32 W	0.00	511 of 627 - Sale <sup>25</sup>
0.050		1.68	04/05/21	2.00	0.32 W	0.00	512 of 627 - Sale <sup>25</sup>
0.050		1.68	04/05/21	2.00	0.32 W	0.00	513 of 627 - Sale <sup>25</sup>
0.050		1.68	04/05/21	2.00	0.32 W	0.00	514 of 627 - Sale <sup>25</sup>
0.051		1.69	04/05/21	2.00	0.31 W	0.00	515 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.051		1.69	04/05/21	2.00	0.31 W	0.00	516 of 627 - Sale <sup>25</sup>
0.051		1.69	04/05/21	2.00	0.31 W	0.00	517 of 627 - Sale <sup>25</sup>
0.051		1.69	04/05/21	2.00	0.31 W	0.00	518 of 627 - Sale <sup>25</sup>
0.051		1.69	04/05/21	2.00	0.31 W	0.00	519 of 627 - Sale <sup>25</sup>
0.051		1.69	04/05/21	2.00	0.31 W	0.00	520 of 627 - Sale <sup>25</sup>
0.051		1.70	04/05/21	2.00	0.30 W	0.00	521 of 627 - Sale <sup>25</sup>
0.051		1.70	04/05/21	2.00	0.30 W	0.00	522 of 627 - Sale <sup>25</sup>
0.088		2.94	04/05/21	3.53	0.59 W	0.00	523 of 627 - Sale <sup>25</sup>
0.124		4.15	04/05/21	5.00	0.85 W	0.00	524 of 627 - Sale <sup>25</sup>
0.125		4.17	04/05/21	5.00	0.83 W	0.00	525 of 627 - Sale <sup>25</sup>
0.125		4.18	04/05/21	5.00	0.82 W	0.00	526 of 627 - Sale <sup>25</sup>
0.128		4.26	04/05/21	5.00	0.74 W	0.00	527 of 627 - Sale <sup>25</sup>
0.001		0.04	04/06/21	0.05	0.01 W	0.00	528 of 627 - Sale <sup>25</sup>
0.025		0.83	04/06/21	1.00	0.17 W	0.00	529 of 627 - Sale <sup>25</sup>
0.025		0.83	04/06/21	1.00	0.17 W	0.00	530 of 627 - Sale <sup>25</sup>
0.025		0.83	04/06/21	1.00	0.17 W	0.00	531 of 627 - Sale <sup>25</sup>
0.025		0.84	04/06/21	1.00	0.16 W	0.00	532 of 627 - Sale <sup>25</sup>
0.025		0.84	04/06/21	1.00	0.16 W	0.00	533 of 627 - Sale <sup>25</sup>
0.025		0.84	04/06/21	1.00	0.16 W	0.00	534 of 627 - Sale <sup>25</sup>
0.025		0.84	04/06/21	1.00	0.16 W	0.00	535 of 627 - Sale <sup>25</sup>
0.025		0.84	04/06/21	1.00	0.16 W	0.00	536 of 627 - Sale <sup>25</sup>
0.025		0.84	04/06/21	1.00	0.16 W	0.00	537 of 627 - Sale <sup>25</sup>
0.025		0.84	04/06/21	1.00	0.16 W	0.00	538 of 627 - Sale <sup>25</sup>
0.025		0.84	04/06/21	1.00	0.16 W	0.00	539 of 627 - Sale <sup>25</sup>
0.050		1.67	04/06/21	2.00	0.33 W	0.00	540 of 627 - Sale <sup>25</sup>
0.050		1.68	04/06/21	2.00	0.32 W	0.00	541 of 627 - Sale <sup>25</sup>
0.050		1.68	04/06/21	2.00	0.32 W	0.00	542 of 627 - Sale <sup>25</sup>
0.050		1.68	04/06/21	2.00	0.32 W	0.00	543 of 627 - Sale <sup>25</sup>
0.050		1.68	04/06/21	2.00	0.32 W	0.00	544 of 627 - Sale <sup>25</sup>
0.050		1.68	04/06/21	2.00	0.32 W	0.00	545 of 627 - Sale <sup>25</sup>
0.050		1.68	04/06/21	2.00	0.32 W	0.00	546 of 627 - Sale <sup>25</sup>
0.050		1.68	04/06/21	2.00	0.32 W	0.00	547 of 627 - Sale <sup>25</sup>
0.074		2.48	04/06/21	2.95	0.47 W	0.00	548 of 627 - Sale <sup>25</sup>
0.123		4.10	04/06/21	4.95	0.85 W	0.00	549 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.124		4.13	04/06/21	5.00	0.87 W	0.00	550 of 627 - Sale <sup>25</sup>
0.124		4.13	04/06/21	5.00	0.87 W	0.00	551 of 627 - Sale <sup>25</sup>
0.124		4.13	04/06/21	5.00	0.87 W	0.00	552 of 627 - Sale <sup>25</sup>
0.124		4.13	04/06/21	5.00	0.87 W	0.00	553 of 627 - Sale <sup>25</sup>
0.126		4.20	04/06/21	5.00	0.80 W	0.00	554 of 627 - Sale <sup>25</sup>
0.126		4.20	04/06/21	5.00	0.80 W	0.00	555 of 627 - Sale <sup>25</sup>
0.144		4.81	04/06/21	5.58	0.77 W	0.00	556 of 627 - Sale <sup>25</sup>
0.146		4.86	04/06/21	5.88	1.02 W	0.00	557 of 627 - Sale <sup>25</sup>
0.302		10.07	04/06/21	12.00	1.93 W	0.00	558 of 627 - Sale <sup>25</sup>
0.025		0.84	04/07/21	1.00	0.16 W	0.00	559 of 627 - Sale <sup>25</sup>
0.026		0.85	04/07/21	1.00	0.15 W	0.00	560 of 627 - Sale <sup>25</sup>
0.026		0.86	04/07/21	1.00	0.14 W	0.00	561 of 627 - Sale <sup>25</sup>
0.026		0.86	04/07/21	1.00	0.14 W	0.00	562 of 627 - Sale <sup>25</sup>
0.026		0.87	04/07/21	1.00	0.13 W	0.00	563 of 627 - Sale <sup>25</sup>
0.026		0.87	04/07/21	1.00	0.13 W	0.00	564 of 627 - Sale <sup>25</sup>
0.026		0.88	04/07/21	1.00	0.12 W	0.00	565 of 627 - Sale <sup>25</sup>
0.026		0.88	04/07/21	1.00	0.12 W	0.00	566 of 627 - Sale <sup>25</sup>
0.026		0.88	04/07/21	1.00	0.12 W	0.00	567 of 627 - Sale <sup>25</sup>
0.027		0.89	04/07/21	1.00	0.11 W	0.00	568 of 627 - Sale <sup>25</sup>
0.027		0.89	04/07/21	1.00	0.11 W	0.00	569 of 627 - Sale <sup>25</sup>
0.027		0.89	04/07/21	1.00	0.11 W	0.00	570 of 627 - Sale <sup>25</sup>
0.027		0.89	04/07/21	1.00	0.11 W	0.00	571 of 627 - Sale <sup>25</sup>
0.027		0.90	04/07/21	1.00	0.10 W	0.00	572 of 627 - Sale <sup>25</sup>
0.027		0.90	04/07/21	1.00	0.10 W	0.00	573 of 627 - Sale <sup>25</sup>
0.033		1.12	04/07/21	1.29	0.17 W	0.00	574 of 627 - Sale <sup>25</sup>
0.051		1.70	04/07/21	2.00	0.30 W	0.00	575 of 627 - Sale <sup>25</sup>
0.053		1.76	04/07/21	1.97	0.21 W	0.00	576 of 627 - Sale <sup>25</sup>
0.075		2.50	04/07/21	2.97	0.47 W	0.00	577 of 627 - Sale <sup>25</sup>
0.026		0.85	04/08/21	1.00	0.15 W	0.00	578 of 627 - Sale <sup>25</sup>
0.026		0.85	04/08/21	1.00	0.15 W	0.00	579 of 627 - Sale <sup>25</sup>
0.026		0.86	04/08/21	1.00	0.14 W	0.00	580 of 627 - Sale <sup>25</sup>
0.026		0.86	04/08/21	1.00	0.14 W	0.00	581 of 627 - Sale <sup>25</sup>
0.026		0.86	04/08/21	1.00	0.14 W	0.00	582 of 627 - Sale <sup>25</sup>
0.026		0.86	04/08/21	1.00	0.14 W	0.00	583 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.026	0.86	04/08/21	1.00	0.14 W	0.00	584 of 627 - Sale <sup>25</sup>
	0.026	0.86	04/08/21	1.00	0.14 W	0.00	585 of 627 - Sale <sup>25</sup>
	0.026	0.88	04/08/21	1.00	0.12 W	0.00	586 of 627 - Sale <sup>25</sup>
	0.026	0.88	04/08/21	1.00	0.12 W	0.00	587 of 627 - Sale <sup>25</sup>
	0.027	0.89	04/08/21	1.00	0.11 W	0.00	588 of 627 - Sale <sup>25</sup>
	0.077	2.58	04/08/21	3.00	0.42 W	0.00	589 of 627 - Sale <sup>25</sup>
	0.026	0.88	04/09/21	1.00	0.12 W	0.00	590 of 627 - Sale <sup>25</sup>
	0.026	0.88	04/09/21	1.00	0.12 W	0.00	591 of 627 - Sale <sup>25</sup>
	0.026	0.88	04/09/21	1.00	0.12 W	0.00	592 of 627 - Sale <sup>25</sup>
	0.027	0.88	04/09/21	1.00	0.12 W	0.00	593 of 627 - Sale <sup>25</sup>
	0.027	0.89	04/09/21	1.00	0.11 W	0.00	594 of 627 - Sale <sup>25</sup>
	0.027	0.90	04/09/21	1.00	0.10 W	0.00	595 of 627 - Sale <sup>25</sup>
	0.033	1.10	04/09/21	1.24	0.14 W	0.00	596 of 627 - Sale <sup>25</sup>
	0.053	1.76	04/09/21	2.00	0.24 W	0.00	597 of 627 - Sale <sup>25</sup>
	0.053	1.76	04/09/21	2.00	0.24 W	0.00	598 of 627 - Sale <sup>25</sup>
	0.053	1.78	04/09/21	2.00	0.22 W	0.00	599 of 627 - Sale <sup>25</sup>
	0.027	0.89	04/12/21	1.00	0.11 W	0.00	600 of 627 - Sale <sup>25</sup>
	0.027	0.90	04/12/21	1.00	0.10 W	0.00	601 of 627 - Sale <sup>25</sup>
	0.027	0.90	04/12/21	1.00	0.10 W	0.00	602 of 627 - Sale <sup>25</sup>
	0.027	0.90	04/12/21	1.00	0.10 W	0.00	603 of 627 - Sale <sup>25</sup>
	0.027	0.90	04/12/21	1.00	0.10 W	0.00	604 of 627 - Sale <sup>25</sup>
	0.027	0.90	04/12/21	1.00	0.10 W	0.00	605 of 627 - Sale <sup>25</sup>
	0.027	0.90	04/12/21	1.00	0.10 W	0.00	606 of 627 - Sale <sup>25</sup>
	0.027	0.91	04/12/21	1.00	0.09 W	0.00	607 of 627 - Sale <sup>25</sup>
	0.054	1.79	04/12/21	2.00	0.21 W	0.00	608 of 627 - Sale <sup>25</sup>
	0.054	1.80	04/12/21	2.00	0.20 W	0.00	609 of 627 - Sale <sup>25</sup>
	0.054	1.80	04/12/21	2.00	0.20 W	0.00	610 of 627 - Sale <sup>25</sup>
	0.054	1.80	04/12/21	2.00	0.20 W	0.00	611 of 627 - Sale <sup>25</sup>
	0.054	1.80	04/12/21	2.00	0.20 W	0.00	612 of 627 - Sale <sup>25</sup>
	0.054	1.80	04/12/21	2.00	0.20 W	0.00	613 of 627 - Sale <sup>25</sup>
	0.054	1.80	04/12/21	2.00	0.20 W	0.00	614 of 627 - Sale <sup>25</sup>
	0.054	1.81	04/12/21	2.00	0.19 W	0.00	615 of 627 - Sale <sup>25</sup>
	0.026	0.88	04/13/21	1.00	0.12 W	0.00	616 of 627 - Sale <sup>25</sup>
	0.027	0.89	04/13/21	1.00	0.11 W	0.00	617 of 627 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.053	1.78	04/13/21	2.00	0.22 W	0.00	618 of 627 - Sale <sup>25</sup>
	0.026	0.86	04/14/21	1.00	0.14 W	0.00	619 of 627 - Sale <sup>25</sup>
	0.026	0.86	04/14/21	1.00	0.14 W	0.00	620 of 627 - Sale <sup>25</sup>
	0.026	0.88	04/14/21	1.00	0.12 W	0.00	621 of 627 - Sale <sup>25</sup>
	0.027	0.88	04/14/21	1.00	0.12 W	0.00	622 of 627 - Sale <sup>25</sup>
	0.027	0.89	04/14/21	1.00	0.11 W	0.00	623 of 627 - Sale <sup>25</sup>
	0.134	4.48	04/14/21	5.00	0.52 W	0.00	624 of 627 - Sale <sup>25</sup>
	0.135	4.49	04/14/21	5.00	0.51 W	0.00	625 of 627 - Sale <sup>25</sup>
	0.135	4.49	04/14/21	5.00	0.51 W	0.00	626 of 627 - Sale <sup>25</sup>
	0.028	0.93	04/15/21	1.00	0.03 W	-0.04	627 of 627 - Sale <sup>25</sup>
05/17/21	18.812	627.77	Various	856.83	229.02 W	-0.04	Total of 627 transactions
235 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	0.035	1.20	05/21/21	2.03	...	-0.83	1 of 235 - Sale <sup>25</sup>
	0.122	4.28	05/21/21	5.10	...	-0.82	2 of 235 - Sale <sup>25</sup>
	0.081	2.76	05/21/21	3.54	...	-0.78	3 of 235 - Sale <sup>25</sup>
	0.124	4.36	05/21/21	5.10	...	-0.74	4 of 235 - Sale <sup>25</sup>
	0.119	4.16	05/21/21	4.84	...	-0.68	5 of 235 - Sale <sup>25</sup>
	0.023	0.78	05/21/21	1.32	...	-0.54	6 of 235 - Sale <sup>25</sup>
	0.044	1.50	05/21/21	2.04	...	-0.54	7 of 235 - Sale <sup>25</sup>
	0.044	1.52	05/21/21	2.04	...	-0.52	8 of 235 - Sale <sup>25</sup>
	0.088	3.08	05/21/21	3.60	...	-0.52	9 of 235 - Sale <sup>25</sup>
	0.045	1.53	05/21/21	2.04	...	-0.51	10 of 235 - Sale <sup>25</sup>
	0.039	1.35	05/21/21	1.83	...	-0.48	11 of 235 - Sale <sup>25</sup>
	0.046	1.59	05/21/21	2.03	...	-0.44	12 of 235 - Sale <sup>25</sup>
	0.017	0.60	05/21/21	1.02	...	-0.42	13 of 235 - Sale <sup>25</sup>
	0.017	0.60	05/21/21	1.02	...	-0.42	14 of 235 - Sale <sup>25</sup>
	0.046	1.62	05/21/21	2.04	...	-0.42	15 of 235 - Sale <sup>25</sup>
	0.018	0.60	05/21/21	1.01	...	-0.41	16 of 235 - Sale <sup>25</sup>
	0.018	0.60	05/21/21	1.01	...	-0.41	17 of 235 - Sale <sup>25</sup>
	0.018	0.60	05/21/21	1.01	...	-0.41	18 of 235 - Sale <sup>25</sup>
	0.018	0.61	05/21/21	1.02	...	-0.41	19 of 235 - Sale <sup>25</sup>
	0.018	0.61	05/21/21	1.02	...	-0.41	20 of 235 - Sale <sup>25</sup>
	0.018	0.61	05/21/21	1.02	...	-0.41	21 of 235 - Sale <sup>25</sup>
	0.018	0.61	05/21/21	1.02	...	-0.41	22 of 235 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.018		0.61	05/21/21	1.02	...	-0.41	23 of 235 - Sale <sup>25</sup>
0.018		0.61	05/21/21	1.02	...	-0.41	24 of 235 - Sale <sup>25</sup>
0.047		1.63	05/21/21	2.04	...	-0.41	25 of 235 - Sale <sup>25</sup>
0.047		1.63	05/21/21	2.04	...	-0.41	26 of 235 - Sale <sup>25</sup>
0.047		1.63	05/21/21	2.04	...	-0.41	27 of 235 - Sale <sup>25</sup>
0.018		0.61	05/21/21	1.01	...	-0.40	28 of 235 - Sale <sup>25</sup>
0.018		0.62	05/21/21	1.02	...	-0.40	29 of 235 - Sale <sup>25</sup>
0.018		0.62	05/21/21	1.02	...	-0.40	30 of 235 - Sale <sup>25</sup>
0.018		0.62	05/21/21	1.02	...	-0.40	31 of 235 - Sale <sup>25</sup>
0.018		0.62	05/21/21	1.02	...	-0.40	32 of 235 - Sale <sup>25</sup>
0.047		1.64	05/21/21	2.04	...	-0.40	33 of 235 - Sale <sup>25</sup>
0.047		1.64	05/21/21	2.04	...	-0.40	34 of 235 - Sale <sup>25</sup>
0.047		1.64	05/21/21	2.04	...	-0.40	35 of 235 - Sale <sup>25</sup>
0.018		0.62	05/21/21	1.01	...	-0.39	36 of 235 - Sale <sup>25</sup>
0.018		0.62	05/21/21	1.01	...	-0.39	37 of 235 - Sale <sup>25</sup>
0.018		0.62	05/21/21	1.01	...	-0.39	38 of 235 - Sale <sup>25</sup>
0.018		0.62	05/21/21	1.01	...	-0.39	39 of 235 - Sale <sup>25</sup>
0.018		0.63	05/21/21	1.02	...	-0.39	40 of 235 - Sale <sup>25</sup>
0.018		0.63	05/21/21	1.02	...	-0.39	41 of 235 - Sale <sup>25</sup>
0.018		0.63	05/21/21	1.02	...	-0.39	42 of 235 - Sale <sup>25</sup>
0.018		0.63	05/21/21	1.02	...	-0.39	43 of 235 - Sale <sup>25</sup>
0.048		1.65	05/21/21	2.04	...	-0.39	44 of 235 - Sale <sup>25</sup>
0.018		0.63	05/21/21	1.01	...	-0.38	45 of 235 - Sale <sup>25</sup>
0.018		0.63	05/21/21	1.01	...	-0.38	46 of 235 - Sale <sup>25</sup>
0.047		1.66	05/21/21	2.04	...	-0.38	47 of 235 - Sale <sup>25</sup>
0.048		1.67	05/21/21	2.04	...	-0.37	48 of 235 - Sale <sup>25</sup>
0.048		1.68	05/21/21	2.04	...	-0.36	49 of 235 - Sale <sup>25</sup>
0.041		1.44	05/21/21	1.78	...	-0.34	50 of 235 - Sale <sup>25</sup>
0.020		0.69	05/21/21	1.02	...	-0.33	51 of 235 - Sale <sup>25</sup>
0.050		1.72	05/21/21	2.04	...	-0.32	52 of 235 - Sale <sup>25</sup>
0.029		0.98	05/21/21	1.28	...	-0.30	53 of 235 - Sale <sup>25</sup>
0.047		1.62	05/21/21	1.92	...	-0.30	54 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.03	...	-0.29	55 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.02	...	-0.28	56 of 235 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.022		0.74	05/21/21	1.02	...	-0.28	57 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.02	...	-0.28	58 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.02	...	-0.28	59 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.02	...	-0.28	60 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.02	...	-0.28	61 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.02	...	-0.28	62 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.02	...	-0.28	63 of 235 - Sale <sup>25</sup>
0.022		0.75	05/21/21	1.02	...	-0.27	64 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	1.01	...	-0.27	65 of 235 - Sale <sup>25</sup>
0.022		0.75	05/21/21	1.02	...	-0.27	66 of 235 - Sale <sup>25</sup>
0.022		0.75	05/21/21	1.02	...	-0.27	67 of 235 - Sale <sup>25</sup>
0.022		0.75	05/21/21	1.02	...	-0.27	68 of 235 - Sale <sup>25</sup>
0.022		0.75	05/21/21	1.02	...	-0.27	69 of 235 - Sale <sup>25</sup>
0.022		0.75	05/21/21	1.02	...	-0.27	70 of 235 - Sale <sup>25</sup>
0.022		0.75	05/21/21	1.02	...	-0.27	71 of 235 - Sale <sup>25</sup>
0.022		0.75	05/21/21	1.02	...	-0.27	72 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.06	...	-0.27	73 of 235 - Sale <sup>25</sup>
0.021		0.70	05/21/21	0.96	...	-0.26	74 of 235 - Sale <sup>25</sup>
0.022		0.76	05/21/21	1.02	...	-0.26	75 of 235 - Sale <sup>25</sup>
0.022		0.76	05/21/21	1.02	...	-0.26	76 of 235 - Sale <sup>25</sup>
0.022		0.76	05/21/21	1.02	...	-0.26	77 of 235 - Sale <sup>25</sup>
0.022		0.76	05/21/21	1.02	...	-0.26	78 of 235 - Sale <sup>25</sup>
0.027		0.95	05/21/21	1.21	...	-0.26	79 of 235 - Sale <sup>25</sup>
0.027		0.95	05/21/21	1.21	...	-0.26	80 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	81 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	82 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	83 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	84 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	85 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	86 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	87 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	88 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	89 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	90 of 235 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.022		0.77	05/21/21	1.02	...	-0.25	91 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	92 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	93 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	94 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	95 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	96 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	97 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	98 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	99 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.02	...	-0.25	100 of 235 - Sale <sup>25</sup>
0.023		0.77	05/21/21	1.02	...	-0.25	101 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.01	...	-0.24	102 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.01	...	-0.24	103 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.01	...	-0.24	104 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.01	...	-0.24	105 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.01	...	-0.24	106 of 235 - Sale <sup>25</sup>
0.022		0.77	05/21/21	1.01	...	-0.24	107 of 235 - Sale <sup>25</sup>
0.022		0.78	05/21/21	1.02	...	-0.24	108 of 235 - Sale <sup>25</sup>
0.022		0.78	05/21/21	1.02	...	-0.24	109 of 235 - Sale <sup>25</sup>
0.022		0.78	05/21/21	1.02	...	-0.24	110 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.02	...	-0.24	111 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.02	...	-0.24	112 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.02	...	-0.24	113 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.02	...	-0.24	114 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.02	...	-0.24	115 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.02	...	-0.24	116 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.02	...	-0.24	117 of 235 - Sale <sup>25</sup>
0.024		0.84	05/21/21	1.08	...	-0.24	118 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.01	...	-0.23	119 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.01	...	-0.23	120 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	121 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	122 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	123 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	124 of 235 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.023		0.79	05/21/21	1.02	...	-0.23	125 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	126 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	127 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	128 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	129 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	130 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	131 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	132 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	133 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	134 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	135 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	136 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	1.02	...	-0.23	137 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	1.00	...	-0.22	138 of 235 - Sale <sup>25</sup>
0.023		0.80	05/21/21	1.02	...	-0.22	139 of 235 - Sale <sup>25</sup>
0.023		0.80	05/21/21	1.02	...	-0.22	140 of 235 - Sale <sup>25</sup>
0.023		0.80	05/21/21	1.02	...	-0.22	141 of 235 - Sale <sup>25</sup>
0.023		0.80	05/21/21	1.02	...	-0.22	142 of 235 - Sale <sup>25</sup>
0.022		0.74	05/21/21	0.95	...	-0.21	143 of 235 - Sale <sup>25</sup>
0.023		0.81	05/21/21	1.02	...	-0.21	144 of 235 - Sale <sup>25</sup>
0.023		0.81	05/21/21	1.02	...	-0.21	145 of 235 - Sale <sup>25</sup>
0.023		0.81	05/21/21	1.02	...	-0.21	146 of 235 - Sale <sup>25</sup>
0.023		0.81	05/21/21	1.02	...	-0.21	147 of 235 - Sale <sup>25</sup>
0.023		0.81	05/21/21	1.02	...	-0.21	148 of 235 - Sale <sup>25</sup>
0.024		0.81	05/21/21	1.02	...	-0.21	149 of 235 - Sale <sup>25</sup>
0.024		0.81	05/21/21	1.02	...	-0.21	150 of 235 - Sale <sup>25</sup>
0.024		0.81	05/21/21	1.02	...	-0.21	151 of 235 - Sale <sup>25</sup>
0.024		0.81	05/21/21	1.02	...	-0.21	152 of 235 - Sale <sup>25</sup>
0.024		0.81	05/21/21	1.02	...	-0.21	153 of 235 - Sale <sup>25</sup>
0.023		0.82	05/21/21	1.02	...	-0.20	154 of 235 - Sale <sup>25</sup>
0.023		0.82	05/21/21	1.02	...	-0.20	155 of 235 - Sale <sup>25</sup>
0.023		0.82	05/21/21	1.02	...	-0.20	156 of 235 - Sale <sup>25</sup>
0.023		0.82	05/21/21	1.02	...	-0.20	157 of 235 - Sale <sup>25</sup>
0.023		0.82	05/21/21	1.02	...	-0.20	158 of 235 - Sale <sup>25</sup>

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Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.023		0.82	05/21/21	1.02	...	-0.20	159 of 235 - Sale <sup>25</sup>
0.024		0.82	05/21/21	1.02	...	-0.20	160 of 235 - Sale <sup>25</sup>
0.024		0.82	05/21/21	1.02	...	-0.20	161 of 235 - Sale <sup>25</sup>
0.024		0.82	05/21/21	1.02	...	-0.20	162 of 235 - Sale <sup>25</sup>
0.024		0.82	05/21/21	1.02	...	-0.20	163 of 235 - Sale <sup>25</sup>
0.024		0.82	05/21/21	1.02	...	-0.20	164 of 235 - Sale <sup>25</sup>
0.024		0.82	05/21/21	1.02	...	-0.20	165 of 235 - Sale <sup>25</sup>
0.024		0.82	05/21/21	1.02	...	-0.20	166 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.03	...	-0.20	167 of 235 - Sale <sup>25</sup>
0.030		1.05	05/21/21	1.25	...	-0.20	168 of 235 - Sale <sup>25</sup>
0.024		0.82	05/21/21	1.01	...	-0.19	169 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	170 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	171 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	172 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	173 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	174 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	175 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	176 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	177 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	178 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	179 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	180 of 235 - Sale <sup>25</sup>
0.024		0.83	05/21/21	1.02	...	-0.19	181 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	0.96	...	-0.18	182 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	0.96	...	-0.18	183 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	0.96	...	-0.18	184 of 235 - Sale <sup>25</sup>
0.023		0.78	05/21/21	0.96	...	-0.18	185 of 235 - Sale <sup>25</sup>
0.023		0.79	05/21/21	0.97	...	-0.18	186 of 235 - Sale <sup>25</sup>
0.024		0.84	05/21/21	1.02	...	-0.18	187 of 235 - Sale <sup>25</sup>
0.024		0.84	05/21/21	1.02	...	-0.18	188 of 235 - Sale <sup>25</sup>
0.024		0.84	05/21/21	1.02	...	-0.18	189 of 235 - Sale <sup>25</sup>
0.024		0.84	05/21/21	1.02	...	-0.18	190 of 235 - Sale <sup>25</sup>
0.024		0.84	05/21/21	1.02	...	-0.18	191 of 235 - Sale <sup>25</sup>
0.024		0.84	05/21/21	1.02	...	-0.18	192 of 235 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.024	0.84	05/21/21	1.02	...	-0.18	193 of 235 - Sale <sup>25</sup>
	0.024	0.84	05/21/21	1.02	...	-0.18	194 of 235 - Sale <sup>25</sup>
	0.024	0.84	05/21/21	1.02	...	-0.18	195 of 235 - Sale <sup>25</sup>
	0.024	0.84	05/21/21	1.02	...	-0.18	196 of 235 - Sale <sup>25</sup>
	0.024	0.84	05/21/21	1.02	...	-0.18	197 of 235 - Sale <sup>25</sup>
	0.025	0.84	05/21/21	1.02	...	-0.18	198 of 235 - Sale <sup>25</sup>
	0.021	0.71	05/21/21	0.88	...	-0.17	199 of 235 - Sale <sup>25</sup>
	0.024	0.85	05/21/21	1.02	...	-0.17	200 of 235 - Sale <sup>25</sup>
	0.025	0.85	05/21/21	1.02	...	-0.17	201 of 235 - Sale <sup>25</sup>
	0.025	0.86	05/21/21	1.03	...	-0.17	202 of 235 - Sale <sup>25</sup>
	0.024	0.86	05/21/21	1.02	...	-0.16	203 of 235 - Sale <sup>25</sup>
	0.024	0.86	05/21/21	1.02	...	-0.16	204 of 235 - Sale <sup>25</sup>
	0.025	0.86	05/21/21	1.02	...	-0.16	205 of 235 - Sale <sup>25</sup>
	0.025	0.86	05/21/21	1.02	...	-0.16	206 of 235 - Sale <sup>25</sup>
	0.025	0.86	05/21/21	1.02	...	-0.16	207 of 235 - Sale <sup>25</sup>
	0.025	0.86	05/21/21	1.02	...	-0.16	208 of 235 - Sale <sup>25</sup>
	0.025	0.87	05/21/21	1.02	...	-0.15	209 of 235 - Sale <sup>25</sup>
	0.025	0.87	05/21/21	1.02	...	-0.15	210 of 235 - Sale <sup>25</sup>
	0.036	1.25	05/21/21	1.40	...	-0.15	211 of 235 - Sale <sup>25</sup>
	0.014	0.49	05/21/21	0.62	...	-0.13	212 of 235 - Sale <sup>25</sup>
	0.018	0.62	05/21/21	0.73	...	-0.11	213 of 235 - Sale <sup>25</sup>
	0.013	0.46	05/21/21	0.56	...	-0.10	214 of 235 - Sale <sup>25</sup>
	0.020	0.67	05/21/21	0.77	...	-0.10	215 of 235 - Sale <sup>25</sup>
	0.027	0.92	05/21/21	1.02	...	-0.10	216 of 235 - Sale <sup>25</sup>
	0.027	0.92	05/21/21	1.02	...	-0.10	217 of 235 - Sale <sup>25</sup>
	0.027	0.92	05/21/21	1.02	...	-0.10	218 of 235 - Sale <sup>25</sup>
	0.027	0.92	05/21/21	1.02	...	-0.10	219 of 235 - Sale <sup>25</sup>
	0.027	0.92	05/21/21	1.02	...	-0.10	220 of 235 - Sale <sup>25</sup>
	0.009	0.31	05/21/21	0.40	...	-0.09	221 of 235 - Sale <sup>25</sup>
	0.011	0.37	05/21/21	0.46	...	-0.09	222 of 235 - Sale <sup>25</sup>
	0.013	0.46	05/21/21	0.54	...	-0.08	223 of 235 - Sale <sup>25</sup>
	0.014	0.50	05/21/21	0.58	...	-0.08	224 of 235 - Sale <sup>25</sup>
	0.027	0.94	05/21/21	1.02	...	-0.08	225 of 235 - Sale <sup>25</sup>
	0.027	0.94	05/21/21	1.02	...	-0.08	226 of 235 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.012	0.41	05/21/21	0.48	...	-0.07	227 of 235 - Sale <sup>25</sup>
	0.012	0.42	05/21/21	0.48	...	-0.06	228 of 235 - Sale <sup>25</sup>
	0.011	0.37	05/21/21	0.42	...	-0.05	229 of 235 - Sale <sup>25</sup>
	0.004	0.15	05/21/21	0.19	...	-0.04	230 of 235 - Sale <sup>25</sup>
	0.008	0.29	05/21/21	0.33	...	-0.04	231 of 235 - Sale <sup>25</sup>
	0.001	0.03	05/21/21	0.05	...	-0.02	232 of 235 - Sale <sup>25</sup>
	0.002	0.07	05/21/21	0.09	...	-0.02	233 of 235 - Sale <sup>25</sup>
	0.002	0.06	05/21/21	0.07	...	-0.01	234 of 235 - Sale <sup>25</sup>
	0.030	1.02	05/21/21	1.02	...	0.00	235 of 235 - Sale <sup>25</sup>
05/24/21	6.000	207.64	Various	267.92	...	-60.28	Total of 235 transactions
139 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	0.218	7.97	05/21/21	8.83	...	-0.86	1 of 139 - Sale <sup>25</sup>
	0.146	5.34	05/21/21	6.01	...	-0.67	2 of 139 - Sale <sup>25</sup>
	0.125	4.53	05/21/21	5.11	...	-0.58	3 of 139 - Sale <sup>25</sup>
	0.124	4.54	05/21/21	5.11	...	-0.57	4 of 139 - Sale <sup>25</sup>
	0.124	4.54	05/21/21	5.11	...	-0.57	5 of 139 - Sale <sup>25</sup>
	0.124	4.54	05/21/21	5.10	...	-0.56	6 of 139 - Sale <sup>25</sup>
	0.123	4.51	05/21/21	5.06	...	-0.55	7 of 139 - Sale <sup>25</sup>
	0.121	4.38	05/21/21	4.89	...	-0.51	8 of 139 - Sale <sup>25</sup>
	0.126	4.61	05/21/21	5.10	...	-0.49	9 of 139 - Sale <sup>25</sup>
	0.128	4.67	05/21/21	5.10	...	-0.43	10 of 139 - Sale <sup>25</sup>
	0.144	5.28	05/21/21	5.70	...	-0.42	11 of 139 - Sale <sup>25</sup>
	0.084	3.06	05/21/21	3.44	...	-0.38	12 of 139 - Sale <sup>25</sup>
	0.084	3.07	05/21/21	3.43	...	-0.36	13 of 139 - Sale <sup>25</sup>
	0.074	2.70	05/21/21	3.01	...	-0.31	14 of 139 - Sale <sup>25</sup>
	0.075	2.72	05/21/21	3.03	...	-0.31	15 of 139 - Sale <sup>25</sup>
	0.077	2.81	05/21/21	3.07	...	-0.26	16 of 139 - Sale <sup>25</sup>
	0.134	4.88	05/21/21	5.12	...	-0.24	17 of 139 - Sale <sup>25</sup>
	0.050	1.82	05/21/21	2.04	...	-0.22	18 of 139 - Sale <sup>25</sup>
	0.050	1.82	05/21/21	2.04	...	-0.22	19 of 139 - Sale <sup>25</sup>
	0.050	1.83	05/21/21	2.05	...	-0.22	20 of 139 - Sale <sup>25</sup>
	0.050	1.83	05/21/21	2.05	...	-0.22	21 of 139 - Sale <sup>25</sup>
	0.050	1.83	05/21/21	2.04	...	-0.21	22 of 139 - Sale <sup>25</sup>
	0.050	1.83	05/21/21	2.04	...	-0.21	23 of 139 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.050	1.83	05/21/21	2.04	...	-0.21	24 of 139 - Sale <sup>25</sup>
	0.050	1.83	05/21/21	2.04	...	-0.21	25 of 139 - Sale <sup>25</sup>
	0.050	1.83	05/21/21	2.04	...	-0.21	26 of 139 - Sale <sup>25</sup>
	0.051	1.83	05/21/21	2.04	...	-0.21	27 of 139 - Sale <sup>25</sup>
	0.051	1.83	05/21/21	2.04	...	-0.21	28 of 139 - Sale <sup>25</sup>
	0.051	1.83	05/21/21	2.04	...	-0.21	29 of 139 - Sale <sup>25</sup>
	0.051	1.83	05/21/21	2.04	...	-0.21	30 of 139 - Sale <sup>25</sup>
	0.051	1.83	05/21/21	2.04	...	-0.21	31 of 139 - Sale <sup>25</sup>
	0.050	1.84	05/21/21	2.04	...	-0.20	32 of 139 - Sale <sup>25</sup>
	0.050	1.84	05/21/21	2.04	...	-0.20	33 of 139 - Sale <sup>25</sup>
	0.040	1.48	05/21/21	1.67	...	-0.19	34 of 139 - Sale <sup>25</sup>
	0.050	1.85	05/21/21	2.04	...	-0.19	35 of 139 - Sale <sup>25</sup>
	0.050	1.85	05/21/21	2.04	...	-0.19	36 of 139 - Sale <sup>25</sup>
	0.051	1.85	05/21/21	2.04	...	-0.19	37 of 139 - Sale <sup>25</sup>
	0.051	1.85	05/21/21	2.04	...	-0.19	38 of 139 - Sale <sup>25</sup>
	0.047	1.71	05/21/21	1.89	...	-0.18	39 of 139 - Sale <sup>25</sup>
	0.051	1.86	05/21/21	2.04	...	-0.18	40 of 139 - Sale <sup>25</sup>
	0.051	1.87	05/21/21	2.04	...	-0.17	41 of 139 - Sale <sup>25</sup>
	0.053	1.91	05/21/21	2.04	...	-0.13	42 of 139 - Sale <sup>25</sup>
	0.053	1.91	05/21/21	2.04	...	-0.13	43 of 139 - Sale <sup>25</sup>
	0.076	2.74	05/21/21	2.87	...	-0.13	44 of 139 - Sale <sup>25</sup>
	0.025	0.90	05/21/21	1.02	...	-0.12	45 of 139 - Sale <sup>25</sup>
	0.025	0.91	05/21/21	1.02	...	-0.11	46 of 139 - Sale <sup>25</sup>
	0.025	0.91	05/21/21	1.02	...	-0.11	47 of 139 - Sale <sup>25</sup>
	0.025	0.91	05/21/21	1.02	...	-0.11	48 of 139 - Sale <sup>25</sup>
	0.025	0.91	05/21/21	1.02	...	-0.11	49 of 139 - Sale <sup>25</sup>
	0.025	0.91	05/21/21	1.02	...	-0.11	50 of 139 - Sale <sup>25</sup>
	0.025	0.91	05/21/21	1.02	...	-0.11	51 of 139 - Sale <sup>25</sup>
	0.025	0.92	05/21/21	1.03	...	-0.11	52 of 139 - Sale <sup>25</sup>
	0.025	0.92	05/21/21	1.03	...	-0.11	53 of 139 - Sale <sup>25</sup>
	0.025	0.92	05/21/21	1.03	...	-0.11	54 of 139 - Sale <sup>25</sup>
	0.025	0.92	05/21/21	1.03	...	-0.11	55 of 139 - Sale <sup>25</sup>
	0.053	1.93	05/21/21	2.04	...	-0.11	56 of 139 - Sale <sup>25</sup>
	0.025	0.91	05/21/21	1.01	...	-0.10	57 of 139 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.025		0.92	05/21/21	1.02	...	-0.10	58 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	59 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	60 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	61 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	62 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	63 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	64 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	65 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	66 of 139 - Sale <sup>25</sup>
0.025		0.92	05/21/21	1.02	...	-0.10	67 of 139 - Sale <sup>25</sup>
0.025		0.93	05/21/21	1.03	...	-0.10	68 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.03	...	-0.10	69 of 139 - Sale <sup>25</sup>
0.033		1.21	05/21/21	1.31	...	-0.10	70 of 139 - Sale <sup>25</sup>
0.053		1.92	05/21/21	2.02	...	-0.10	71 of 139 - Sale <sup>25</sup>
0.053		1.94	05/21/21	2.04	...	-0.10	72 of 139 - Sale <sup>25</sup>
0.025		0.93	05/21/21	1.02	...	-0.09	73 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.02	...	-0.09	74 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.02	...	-0.09	75 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.02	...	-0.09	76 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.02	...	-0.09	77 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.02	...	-0.09	78 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.02	...	-0.09	79 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.02	...	-0.09	80 of 139 - Sale <sup>25</sup>
0.026		0.93	05/21/21	1.02	...	-0.09	81 of 139 - Sale <sup>25</sup>
0.026		0.94	05/21/21	1.03	...	-0.09	82 of 139 - Sale <sup>25</sup>
0.026		0.94	05/21/21	1.03	...	-0.09	83 of 139 - Sale <sup>25</sup>
0.026		0.94	05/21/21	1.03	...	-0.09	84 of 139 - Sale <sup>25</sup>
0.026		0.94	05/21/21	1.03	...	-0.09	85 of 139 - Sale <sup>25</sup>
0.054		1.95	05/21/21	2.04	...	-0.09	86 of 139 - Sale <sup>25</sup>
0.054		1.95	05/21/21	2.04	...	-0.09	87 of 139 - Sale <sup>25</sup>
0.054		1.96	05/21/21	2.05	...	-0.09	88 of 139 - Sale <sup>25</sup>
0.054		1.96	05/21/21	2.05	...	-0.09	89 of 139 - Sale <sup>25</sup>
0.054		1.96	05/21/21	2.05	...	-0.09	90 of 139 - Sale <sup>25</sup>
0.054		1.96	05/21/21	2.05	...	-0.09	91 of 139 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.026	0.94	0.94	05/21/21	1.02	...	-0.08	92 of 139 - Sale <sup>25</sup>
0.026	0.94	0.94	05/21/21	1.02	...	-0.08	93 of 139 - Sale <sup>25</sup>
0.026	0.94	0.94	05/21/21	1.02	...	-0.08	94 of 139 - Sale <sup>25</sup>
0.026	0.94	0.94	05/21/21	1.02	...	-0.08	95 of 139 - Sale <sup>25</sup>
0.054	1.96	1.96	05/21/21	2.04	...	-0.08	96 of 139 - Sale <sup>25</sup>
0.054	1.97	1.97	05/21/21	2.05	...	-0.08	97 of 139 - Sale <sup>25</sup>
0.026	0.95	0.95	05/21/21	1.02	...	-0.07	98 of 139 - Sale <sup>25</sup>
0.026	0.95	0.95	05/21/21	1.02	...	-0.07	99 of 139 - Sale <sup>25</sup>
0.026	0.95	0.95	05/21/21	1.02	...	-0.07	100 of 139 - Sale <sup>25</sup>
0.026	0.95	0.95	05/21/21	1.02	...	-0.07	101 of 139 - Sale <sup>25</sup>
0.026	0.96	0.96	05/21/21	1.03	...	-0.07	102 of 139 - Sale <sup>25</sup>
0.027	0.96	0.96	05/21/21	1.03	...	-0.07	103 of 139 - Sale <sup>25</sup>
0.027	0.96	0.96	05/21/21	1.03	...	-0.07	104 of 139 - Sale <sup>25</sup>
0.033	1.20	1.20	05/21/21	1.27	...	-0.07	105 of 139 - Sale <sup>25</sup>
0.016	0.60	0.60	05/21/21	0.66	...	-0.06	106 of 139 - Sale <sup>25</sup>
0.026	0.96	0.96	05/21/21	1.02	...	-0.06	107 of 139 - Sale <sup>25</sup>
0.026	0.96	0.96	05/21/21	1.02	...	-0.06	108 of 139 - Sale <sup>25</sup>
0.026	0.96	0.96	05/21/21	1.02	...	-0.06	109 of 139 - Sale <sup>25</sup>
0.026	0.96	0.96	05/21/21	1.02	...	-0.06	110 of 139 - Sale <sup>25</sup>
0.026	0.96	0.96	05/21/21	1.02	...	-0.06	111 of 139 - Sale <sup>25</sup>
0.026	0.96	0.96	05/21/21	1.02	...	-0.06	112 of 139 - Sale <sup>25</sup>
0.027	0.96	0.96	05/21/21	1.02	...	-0.06	113 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	114 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	115 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	116 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	117 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	118 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	119 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	120 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	121 of 139 - Sale <sup>25</sup>
0.027	0.97	0.97	05/21/21	1.02	...	-0.05	122 of 139 - Sale <sup>25</sup>
0.009	0.32	0.32	05/21/21	0.36	...	-0.04	123 of 139 - Sale <sup>25</sup>
0.027	0.98	0.98	05/21/21	1.02	...	-0.04	124 of 139 - Sale <sup>25</sup>
0.027	0.98	0.98	05/21/21	1.02	...	-0.04	125 of 139 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.027	0.98	05/21/21	1.02	...	-0.04	126 of 139 - Sale <sup>25</sup>
	0.027	0.98	05/21/21	1.02	...	-0.04	127 of 139 - Sale <sup>25</sup>
	0.027	0.98	05/21/21	1.02	...	-0.04	128 of 139 - Sale <sup>25</sup>
	0.027	0.98	05/21/21	1.02	...	-0.04	129 of 139 - Sale <sup>25</sup>
	0.027	0.98	05/21/21	1.02	...	-0.04	130 of 139 - Sale <sup>25</sup>
	0.027	0.98	05/21/21	1.02	...	-0.04	131 of 139 - Sale <sup>25</sup>
	0.027	0.99	05/21/21	1.02	...	-0.03	132 of 139 - Sale <sup>25</sup>
	0.005	0.19	05/21/21	0.21	...	-0.02	133 of 139 - Sale <sup>25</sup>
	0.006	0.24	05/21/21	0.26	...	-0.02	134 of 139 - Sale <sup>25</sup>
	0.001	0.04	05/21/21	0.05	...	-0.01	135 of 139 - Sale <sup>25</sup>
	0.088	3.18	05/24/21	3.32	...	-0.14	136 of 139 - Sale <sup>25</sup>
	0.059	2.14	05/24/21	2.23	...	-0.09	137 of 139 - Sale <sup>25</sup>
	0.047	1.71	05/24/21	1.78	...	-0.07	138 of 139 - Sale <sup>25</sup>
	0.012	0.42	05/24/21	0.43	...	-0.01	139 of 139 - Sale <sup>25</sup>
05/25/21	6.205	225.88	Various	246.56	...	-20.68	Total of 139 transactions
<b>Security total:</b>		<b>1,516.13</b>		<b>1,932.18</b>	<b>229.41 W</b>	<b>-186.64</b>	

NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:

95 transactions for 01/08/21. Total proceeds and cost reported to the IRS.

0.107	1.02	01/04/21	1.00	...	0.02	1 of 95 - Sale <sup>25</sup>
0.107	1.02	01/04/21	1.00	...	0.02	2 of 95 - Sale <sup>25</sup>
0.107	1.02	01/04/21	0.99	...	0.03	3 of 95 - Sale <sup>25</sup>
0.107	1.02	01/04/21	0.99	...	0.03	4 of 95 - Sale <sup>25</sup>
0.107	1.02	01/04/21	0.99	...	0.03	5 of 95 - Sale <sup>25</sup>
0.107	1.02	01/04/21	0.99	...	0.03	6 of 95 - Sale <sup>25</sup>
0.112	1.06	01/04/21	1.00	...	0.06	7 of 95 - Sale <sup>25</sup>
0.112	1.06	01/04/21	1.00	...	0.06	8 of 95 - Sale <sup>25</sup>
0.112	1.06	01/04/21	1.00	...	0.06	9 of 95 - Sale <sup>25</sup>
0.112	1.06	01/04/21	0.99	...	0.07	10 of 95 - Sale <sup>25</sup>
0.112	1.07	01/04/21	1.00	...	0.07	11 of 95 - Sale <sup>25</sup>
0.112	1.07	01/04/21	1.00	...	0.07	12 of 95 - Sale <sup>25</sup>
0.112	1.07	01/04/21	1.00	...	0.07	13 of 95 - Sale <sup>25</sup>
0.113	1.07	01/04/21	1.00	...	0.07	14 of 95 - Sale <sup>25</sup>
0.113	1.07	01/04/21	1.00	...	0.07	15 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
0.113		1.07	01/04/21	1.00	...	0.07	16 of 95 - Sale <sup>25</sup>
0.113		1.07	01/04/21	1.00	...	0.07	17 of 95 - Sale <sup>25</sup>
0.114		1.08	01/04/21	1.00	...	0.08	18 of 95 - Sale <sup>25</sup>
0.114		1.08	01/04/21	1.00	...	0.08	19 of 95 - Sale <sup>25</sup>
0.114		1.09	01/04/21	1.00	...	0.09	20 of 95 - Sale <sup>25</sup>
0.114		1.09	01/04/21	1.00	...	0.09	21 of 95 - Sale <sup>25</sup>
0.115		1.09	01/04/21	1.00	...	0.09	22 of 95 - Sale <sup>25</sup>
0.115		1.09	01/04/21	1.00	...	0.09	23 of 95 - Sale <sup>25</sup>
0.115		1.09	01/04/21	1.00	...	0.09	24 of 95 - Sale <sup>25</sup>
0.115		1.09	01/04/21	1.00	...	0.09	25 of 95 - Sale <sup>25</sup>
0.115		1.09	01/04/21	1.00	...	0.09	26 of 95 - Sale <sup>25</sup>
0.115		1.10	01/04/21	1.00	...	0.10	27 of 95 - Sale <sup>25</sup>
0.115		1.10	01/04/21	1.00	...	0.10	28 of 95 - Sale <sup>25</sup>
0.116		1.10	01/04/21	1.00	...	0.10	29 of 95 - Sale <sup>25</sup>
0.117		1.11	01/04/21	1.00	...	0.11	30 of 95 - Sale <sup>25</sup>
0.117		1.11	01/04/21	1.00	...	0.11	31 of 95 - Sale <sup>25</sup>
0.117		1.12	01/04/21	1.00	...	0.12	32 of 95 - Sale <sup>25</sup>
0.117		1.12	01/04/21	1.00	...	0.12	33 of 95 - Sale <sup>25</sup>
0.117		1.12	01/04/21	1.00	...	0.12	34 of 95 - Sale <sup>25</sup>
0.117		1.12	01/04/21	1.00	...	0.12	35 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	36 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	37 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	38 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	39 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	40 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	41 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	42 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	43 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	44 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	45 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	46 of 95 - Sale <sup>25</sup>
0.118		1.12	01/04/21	1.00	...	0.12	47 of 95 - Sale <sup>25</sup>
0.155		1.47	01/04/21	1.35	...	0.12	48 of 95 - Sale <sup>25</sup>
0.118		1.13	01/04/21	1.00	...	0.13	49 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
0.116	1.10	01/05/21	1.00	...	0.10	50 of 95 - Sale <sup>25</sup>	
0.116	1.11	01/05/21	1.00	...	0.11	51 of 95 - Sale <sup>25</sup>	
0.116	1.11	01/05/21	1.00	...	0.11	52 of 95 - Sale <sup>25</sup>	
0.116	1.11	01/05/21	1.00	...	0.11	53 of 95 - Sale <sup>25</sup>	
0.117	1.11	01/05/21	1.00	...	0.11	54 of 95 - Sale <sup>25</sup>	
0.117	1.11	01/05/21	1.00	...	0.11	55 of 95 - Sale <sup>25</sup>	
0.117	1.11	01/05/21	1.00	...	0.11	56 of 95 - Sale <sup>25</sup>	
0.117	1.11	01/05/21	1.00	...	0.11	57 of 95 - Sale <sup>25</sup>	
0.117	1.12	01/05/21	1.00	...	0.12	58 of 95 - Sale <sup>25</sup>	
0.117	1.12	01/05/21	1.00	...	0.12	59 of 95 - Sale <sup>25</sup>	
0.118	1.12	01/05/21	1.00	...	0.12	60 of 95 - Sale <sup>25</sup>	
0.118	1.12	01/05/21	1.00	...	0.12	61 of 95 - Sale <sup>25</sup>	
0.119	1.13	01/05/21	1.00	...	0.13	62 of 95 - Sale <sup>25</sup>	
0.119	1.13	01/05/21	1.00	...	0.13	63 of 95 - Sale <sup>25</sup>	
0.119	1.13	01/05/21	1.00	...	0.13	64 of 95 - Sale <sup>25</sup>	
0.119	1.13	01/05/21	1.00	...	0.13	65 of 95 - Sale <sup>25</sup>	
0.119	1.14	01/05/21	1.00	...	0.14	66 of 95 - Sale <sup>25</sup>	
0.119	1.14	01/05/21	1.00	...	0.14	67 of 95 - Sale <sup>25</sup>	
0.026	0.24	01/06/21	0.22	...	0.02	68 of 95 - Sale <sup>25</sup>	
0.118	1.06	01/06/21	1.00	...	0.06	69 of 95 - Sale <sup>25</sup>	
0.092	0.87	01/06/21	0.78	...	0.09	70 of 95 - Sale <sup>25</sup>	
0.122	1.09	01/06/21	1.00	...	0.09	71 of 95 - Sale <sup>25</sup>	
0.122	1.09	01/06/21	1.00	...	0.09	72 of 95 - Sale <sup>25</sup>	
0.122	1.09	01/06/21	1.00	...	0.09	73 of 95 - Sale <sup>25</sup>	
0.123	1.09	01/06/21	1.00	...	0.09	74 of 95 - Sale <sup>25</sup>	
0.123	1.10	01/06/21	1.00	...	0.10	75 of 95 - Sale <sup>25</sup>	
0.117	1.11	01/06/21	1.00	...	0.11	76 of 95 - Sale <sup>25</sup>	
0.124	1.11	01/06/21	1.00	...	0.11	77 of 95 - Sale <sup>25</sup>	
0.118	1.12	01/06/21	1.00	...	0.12	78 of 95 - Sale <sup>25</sup>	
0.118	1.12	01/06/21	1.00	...	0.12	79 of 95 - Sale <sup>25</sup>	
0.118	1.12	01/06/21	1.00	...	0.12	80 of 95 - Sale <sup>25</sup>	
0.118	1.12	01/06/21	1.00	...	0.12	81 of 95 - Sale <sup>25</sup>	
0.118	1.12	01/06/21	1.00	...	0.12	82 of 95 - Sale <sup>25</sup>	
0.118	1.12	01/06/21	1.00	...	0.12	83 of 95 - Sale <sup>25</sup>	

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	0.118	1.13	01/06/21	1.00	...	0.13	84 of 95 - Sale <sup>25</sup>
	0.118	1.13	01/06/21	1.00	...	0.13	85 of 95 - Sale <sup>25</sup>
	0.118	1.13	01/06/21	1.00	...	0.13	86 of 95 - Sale <sup>25</sup>
	0.118	1.13	01/06/21	1.00	...	0.13	87 of 95 - Sale <sup>25</sup>
	0.118	1.13	01/06/21	1.00	...	0.13	88 of 95 - Sale <sup>25</sup>
	0.118	1.13	01/06/21	1.00	...	0.13	89 of 95 - Sale <sup>25</sup>
	0.119	1.13	01/06/21	1.00	...	0.13	90 of 95 - Sale <sup>25</sup>
	0.126	1.13	01/06/21	1.00	...	0.13	91 of 95 - Sale <sup>25</sup>
	0.248	2.22	01/06/21	2.00	...	0.22	92 of 95 - Sale <sup>25</sup>
	0.248	2.22	01/06/21	2.00	...	0.22	93 of 95 - Sale <sup>25</sup>
	0.252	2.25	01/06/21	2.00	...	0.25	94 of 95 - Sale <sup>25</sup>
	0.118	1.06	01/07/21	1.00	...	0.06	95 of 95 - Sale <sup>25</sup>
01/08/21	11.384	107.19	Various	97.30	...	9.89	Total of 95 transactions
	2 transactions for 05/24/21. Total proceeds and cost reported to the IRS.						
	2.000	12.60	05/21/21	12.44	...	0.16	1 of 2 - Sale <sup>25</sup>
	2.000	12.67	05/21/21	12.44	...	0.23	2 of 2 - Sale <sup>25</sup>
05/24/21	4.000	25.27	Various	24.88	...	0.39	Total of 2 transactions
	2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	1.000	7.27	05/26/21	7.23	...	0.04	1 of 2 - Sale <sup>25</sup>
	1.000	7.27	05/26/21	7.21	...	0.06	2 of 2 - Sale <sup>25</sup>
05/27/21	2.000	14.54	Various	14.44	...	0.10	Total of 2 transactions
	2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	2.000	14.54	05/26/21	14.58	0.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	21.81	05/26/21	21.86	0.05 W	0.00	2 of 2 - Sale <sup>25</sup>
05/27/21	5.000	36.35	Various	36.44	0.09 W	0.00	Total of 2 transactions
	39 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	0.252	1.83	05/26/21	1.81	...	0.02	1 of 39 - Sale <sup>25</sup>
	0.749	5.44	05/26/21	5.39	...	0.05	2 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/26/21	7.20	...	0.06	3 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/26/21	7.19	...	0.07	4 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/26/21	7.18	...	0.08	5 of 39 - Sale <sup>25</sup>
	0.396	2.87	05/27/21	2.83	...	0.04	6 of 39 - Sale <sup>25</sup>
	0.396	2.87	05/27/21	2.83	...	0.04	7 of 39 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	0.396	2.87	05/27/21	2.83	...	0.04	8 of 39 - Sale <sup>25</sup>
	0.398	2.89	05/27/21	2.84	...	0.05	9 of 39 - Sale <sup>25</sup>
	0.398	2.89	05/27/21	2.84	...	0.05	10 of 39 - Sale <sup>25</sup>
	0.400	2.90	05/27/21	2.85	...	0.05	11 of 39 - Sale <sup>25</sup>
	0.400	2.90	05/27/21	2.85	...	0.05	12 of 39 - Sale <sup>25</sup>
	0.400	2.90	05/27/21	2.85	...	0.05	13 of 39 - Sale <sup>25</sup>
	0.696	5.05	05/27/21	5.00	...	0.05	14 of 39 - Sale <sup>25</sup>
	0.696	5.05	05/27/21	5.00	...	0.05	15 of 39 - Sale <sup>25</sup>
	0.402	2.92	05/27/21	2.86	...	0.06	16 of 39 - Sale <sup>25</sup>
	0.404	2.93	05/27/21	2.87	...	0.06	17 of 39 - Sale <sup>25</sup>
	0.697	5.06	05/27/21	5.00	...	0.06	18 of 39 - Sale <sup>25</sup>
	0.698	5.07	05/27/21	5.00	...	0.07	19 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.17	...	0.09	20 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.17	...	0.09	21 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.17	...	0.09	22 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.16	...	0.10	23 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.16	...	0.10	24 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.15	...	0.11	25 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.15	...	0.11	26 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.15	...	0.11	27 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.14	...	0.12	28 of 39 - Sale <sup>25</sup>
	1.000	7.26	05/27/21	7.13	...	0.13	29 of 39 - Sale <sup>25</sup>
	1.396	10.13	05/27/21	10.00	...	0.13	30 of 39 - Sale <sup>25</sup>
	1.396	10.13	05/27/21	10.00	...	0.13	31 of 39 - Sale <sup>25</sup>
	1.397	10.14	05/27/21	10.00	...	0.14	32 of 39 - Sale <sup>25</sup>
	1.397	10.14	05/27/21	10.00	...	0.14	33 of 39 - Sale <sup>25</sup>
	1.397	10.14	05/27/21	10.00	...	0.14	34 of 39 - Sale <sup>25</sup>
	1.397	10.14	05/27/21	10.00	...	0.14	35 of 39 - Sale <sup>25</sup>
	1.399	10.15	05/27/21	10.00	...	0.15	36 of 39 - Sale <sup>25</sup>
	1.400	10.16	05/27/21	10.00	...	0.16	37 of 39 - Sale <sup>25</sup>
	1.400	10.16	05/27/21	10.00	...	0.16	38 of 39 - Sale <sup>25</sup>
	1.400	10.17	05/27/21	10.00	...	0.17	39 of 39 - Sale <sup>25</sup>
05/28/21	34.748	252.28	Various	248.77	...	3.51	Total of 39 transactions

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	0.139	1.04	06/02/21	1.00	...	0.04	1 of 3 - Sale <sup>25</sup>
	0.139	1.04	06/02/21	1.00	...	0.04	2 of 3 - Sale <sup>25</sup>
	2.861	21.39	06/02/21	20.54	...	0.85	3 of 3 - Sale <sup>25</sup>
06/03/21	3.139	23.47	Various	22.54	...	0.93	Total of 3 transactions
	<b>Security total:</b>	<b>459.10</b>		<b>444.37</b>	<b>0.09 W</b>	<b>14.82</b>	
NOVO-NORDISK A/S / CUSIP: 670100205 / Symbol:							
08/20/21	0.094	10.02	08/20/21	10.00	...	0.02	Sale <sup>25</sup>
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	0.036	1.48	10/12/21	1.46	...	0.02	1 of 2 - Sale <sup>25</sup>
	0.245	10.06	10/12/21	10.00	...	0.06	2 of 2 - Sale <sup>25</sup>
10/14/21	0.281	11.54	Various	11.46	...	0.08	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	0.003	2.59	09/08/20	1.58	...	1.01	1 of 3 - Sale <sup>25</sup>
	0.011	8.45	09/08/20	5.20	...	3.25	2 of 3 - Sale <sup>25</sup>
	0.018	13.96	09/08/20	8.60	...	5.36	3 of 3 - Sale <sup>25</sup>
02/18/21	0.032	25.00	Various	15.38	...	9.62	Total of 3 transactions
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.015	10.03	09/08/20	7.39	...	2.64	1 of 2 - Sale <sup>25</sup>
	0.039	25.03	09/08/20	19.10	...	5.93	2 of 2 - Sale <sup>25</sup>
02/23/21	0.055	35.06	Various	26.49	...	8.57	Total of 2 transactions
34 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	0.002	1.45	09/08/20	0.99	...	0.46	1 of 34 - Sale <sup>25</sup>
	0.002	1.46	09/08/20	0.99	...	0.47	2 of 34 - Sale <sup>25</sup>
	0.002	1.66	09/08/20	1.12	...	0.54	3 of 34 - Sale <sup>25</sup>
	0.004	2.54	09/08/20	1.72	...	0.82	4 of 34 - Sale <sup>25</sup>
	0.004	2.88	09/08/20	1.98	...	0.90	5 of 34 - Sale <sup>25</sup>
	0.004	2.92	09/08/20	1.98	...	0.94	6 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.004	2.92	09/08/20	1.98	...	0.94	7 of 34 - Sale <sup>25</sup>
	0.004	2.90	09/08/20	1.95	...	0.95	8 of 34 - Sale <sup>25</sup>
	0.004	2.95	09/08/20	1.98	...	0.97	9 of 34 - Sale <sup>25</sup>
	0.004	2.97	09/08/20	1.98	...	0.99	10 of 34 - Sale <sup>25</sup>
	0.005	3.71	09/08/20	2.52	...	1.19	11 of 34 - Sale <sup>25</sup>
	0.005	3.87	09/08/20	2.63	...	1.24	12 of 34 - Sale <sup>25</sup>
	0.006	4.36	09/08/20	2.97	...	1.39	13 of 34 - Sale <sup>25</sup>
	0.006	4.43	09/08/20	2.97	...	1.46	14 of 34 - Sale <sup>25</sup>
	0.008	5.77	09/08/20	3.84	...	1.93	15 of 34 - Sale <sup>25</sup>
	0.010	7.31	09/08/20	4.96	...	2.35	16 of 34 - Sale <sup>25</sup>
	0.010	7.42	09/08/20	4.95	...	2.47	17 of 34 - Sale <sup>25</sup>
	0.012	8.73	09/08/20	5.95	...	2.78	18 of 34 - Sale <sup>25</sup>
	0.014	10.27	09/08/20	6.90	...	3.37	19 of 34 - Sale <sup>25</sup>
	0.021	14.72	09/08/20	9.91	...	4.81	20 of 34 - Sale <sup>25</sup>
	0.023	16.05	09/08/20	10.91	...	5.14	21 of 34 - Sale <sup>25</sup>
	0.025	17.50	09/08/20	11.89	...	5.61	22 of 34 - Sale <sup>25</sup>
	0.025	17.68	09/08/20	11.88	...	5.80	23 of 34 - Sale <sup>25</sup>
	0.051	36.22	09/08/20	24.49	...	11.73	24 of 34 - Sale <sup>25</sup>
	0.054	38.60	09/08/20	25.75	...	12.85	25 of 34 - Sale <sup>25</sup>
	0.058	40.96	09/08/20	27.74	...	13.22	26 of 34 - Sale <sup>25</sup>
	0.062	43.95	09/08/20	29.72	...	14.23	27 of 34 - Sale <sup>25</sup>
	0.062	44.12	09/08/20	29.72	...	14.40	28 of 34 - Sale <sup>25</sup>
	0.062	44.22	09/08/20	29.72	...	14.50	29 of 34 - Sale <sup>25</sup>
	0.065	46.09	09/08/20	31.37	...	14.72	30 of 34 - Sale <sup>25</sup>
	0.073	51.68	09/08/20	34.94	...	16.74	31 of 34 - Sale <sup>25</sup>
	0.094	66.63	09/08/20	45.06	...	21.57	32 of 34 - Sale <sup>25</sup>
	0.102	72.71	09/08/20	49.54	...	23.17	33 of 34 - Sale <sup>25</sup>
	0.112	79.50	09/08/20	54.50	...	25.00	34 of 34 - Sale <sup>25</sup>
02/24/21	1.000	711.15	Various	481.50	...	229.65	Total of 34 transactions
04/21/21	0.014	10.00	09/08/20	6.79	...	3.21	Sale <sup>25</sup>
04/23/21	0.014	10.00	09/08/20	6.63	...	3.37	Sale <sup>25</sup>
36 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	0.002	1.40	09/08/20	0.99	...	0.41	1 of 36 - Sale <sup>25</sup>
	0.002	1.40	09/08/20	0.99	...	0.41	2 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.48	09/08/20	1.00	...	0.48	3 of 36 - Sale <sup>25</sup>
	0.002	1.56	09/08/20	1.00	...	0.56	4 of 36 - Sale <sup>25</sup>
	0.004	2.88	09/08/20	1.99	...	0.89	5 of 36 - Sale <sup>25</sup>
	0.005	3.11	09/08/20	1.98	...	1.13	6 of 36 - Sale <sup>25</sup>
	0.005	3.18	09/08/20	1.98	...	1.20	7 of 36 - Sale <sup>25</sup>
	0.005	3.23	09/08/20	1.98	...	1.25	8 of 36 - Sale <sup>25</sup>
	0.005	3.24	09/08/20	1.98	...	1.26	9 of 36 - Sale <sup>25</sup>
	0.005	3.25	09/08/20	1.97	...	1.28	10 of 36 - Sale <sup>25</sup>
	0.007	4.46	09/08/20	2.96	...	1.50	11 of 36 - Sale <sup>25</sup>
	0.008	5.60	09/08/20	3.96	...	1.64	12 of 36 - Sale <sup>25</sup>
	0.011	7.00	09/08/20	4.96	...	2.04	13 of 36 - Sale <sup>25</sup>
	0.009	6.04	09/08/20	3.96	...	2.08	14 of 36 - Sale <sup>25</sup>
	0.009	6.15	09/08/20	3.96	...	2.19	15 of 36 - Sale <sup>25</sup>
	0.013	8.67	09/08/20	5.93	...	2.74	16 of 36 - Sale <sup>25</sup>
	0.012	8.03	09/08/20	5.07	...	2.96	17 of 36 - Sale <sup>25</sup>
	0.012	8.13	09/08/20	4.95	...	3.18	18 of 36 - Sale <sup>25</sup>
	0.014	9.47	09/08/20	5.94	...	3.53	19 of 36 - Sale <sup>25</sup>
	0.022	14.81	09/08/20	9.89	...	4.92	20 of 36 - Sale <sup>25</sup>
	0.024	15.69	09/08/20	10.63	...	5.06	21 of 36 - Sale <sup>25</sup>
	0.027	18.20	09/08/20	13.05	...	5.15	22 of 36 - Sale <sup>25</sup>
	0.027	17.75	09/08/20	12.50	...	5.25	23 of 36 - Sale <sup>25</sup>
	0.027	18.13	09/08/20	12.88	...	5.25	24 of 36 - Sale <sup>25</sup>
	0.024	15.99	09/08/20	9.89	...	6.10	25 of 36 - Sale <sup>25</sup>
	0.024	16.10	09/08/20	9.90	...	6.20	26 of 36 - Sale <sup>25</sup>
	0.034	22.56	09/08/20	15.84	...	6.72	27 of 36 - Sale <sup>25</sup>
	0.055	36.66	09/08/20	24.74	...	11.92	28 of 36 - Sale <sup>25</sup>
	0.046	30.67	09/08/20	18.53	...	12.14	29 of 36 - Sale <sup>25</sup>
	0.066	43.82	09/08/20	31.57	...	12.25	30 of 36 - Sale <sup>25</sup>
	0.046	30.54	09/08/20	17.74	...	12.80	31 of 36 - Sale <sup>25</sup>
	0.046	30.55	09/08/20	17.72	...	12.83	32 of 36 - Sale <sup>25</sup>
	0.046	30.48	09/08/20	17.61	...	12.87	33 of 36 - Sale <sup>25</sup>
	0.061	40.59	09/08/20	24.72	...	15.87	34 of 36 - Sale <sup>25</sup>
	0.131	87.08	09/08/20	50.35	...	36.73	35 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.162	108.24	09/08/20	57.00	...	51.24	36 of 36 - Sale <sup>25</sup>
05/04/21	1.000	666.14	Various	412.11	...	254.03	Total of 36 transactions
05/05/21	1.000	679.13	09/08/20	350.81	...	328.32	Sale <sup>25</sup>
67 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	0.001	0.39	09/08/20	0.20	...	0.19	1 of 67 - Sale <sup>25</sup>
	0.001	0.80	09/08/20	0.45	...	0.35	2 of 67 - Sale <sup>25</sup>
	0.001	0.84	09/08/20	0.45	...	0.39	3 of 67 - Sale <sup>25</sup>
	0.002	1.56	09/08/20	0.88	...	0.68	4 of 67 - Sale <sup>25</sup>
	0.002	1.46	09/08/20	0.77	...	0.69	5 of 67 - Sale <sup>25</sup>
	0.002	1.66	09/08/20	0.96	...	0.70	6 of 67 - Sale <sup>25</sup>
	0.002	1.66	09/08/20	0.95	...	0.71	7 of 67 - Sale <sup>25</sup>
	0.003	1.77	09/08/20	0.95	...	0.82	8 of 67 - Sale <sup>25</sup>
	0.003	1.79	09/08/20	0.94	...	0.85	9 of 67 - Sale <sup>25</sup>
	0.003	2.10	09/08/20	1.11	...	0.99	10 of 67 - Sale <sup>25</sup>
	0.004	2.53	09/08/20	1.44	...	1.09	11 of 67 - Sale <sup>25</sup>
	0.005	3.33	09/08/20	1.90	...	1.43	12 of 67 - Sale <sup>25</sup>
	0.005	3.33	09/08/20	1.90	...	1.43	13 of 67 - Sale <sup>25</sup>
	0.005	3.33	09/08/20	1.89	...	1.44	14 of 67 - Sale <sup>25</sup>
	0.009	5.82	09/08/20	3.31	...	2.51	15 of 67 - Sale <sup>25</sup>
	0.008	5.39	09/08/20	2.84	...	2.55	16 of 67 - Sale <sup>25</sup>
	0.012	7.82	09/08/20	4.00	...	3.82	17 of 67 - Sale <sup>25</sup>
	0.023	15.34	09/08/20	7.74	...	7.60	18 of 67 - Sale <sup>25</sup>
	0.355	237.11	09/08/20	124.70	...	112.41	19 of 67 - Sale <sup>25</sup>
	0.054	36.06	09/09/20	19.00	...	17.06	20 of 67 - Sale <sup>25</sup>
	0.003	1.81	09/10/20	1.00	...	0.81	21 of 67 - Sale <sup>25</sup>
	0.003	1.81	09/10/20	1.00	...	0.81	22 of 67 - Sale <sup>25</sup>
	0.005	3.45	09/10/20	2.00	...	1.45	23 of 67 - Sale <sup>25</sup>
	0.005	3.61	09/10/20	2.00	...	1.61	24 of 67 - Sale <sup>25</sup>
	0.005	3.64	09/10/20	2.00	...	1.64	25 of 67 - Sale <sup>25</sup>
	0.008	5.19	09/10/20	3.00	...	2.19	26 of 67 - Sale <sup>25</sup>
	0.008	5.53	09/10/20	3.00	...	2.53	27 of 67 - Sale <sup>25</sup>
	0.013	8.59	09/10/20	5.00	...	3.59	28 of 67 - Sale <sup>25</sup>
	0.013	8.67	09/10/20	5.04	...	3.63	29 of 67 - Sale <sup>25</sup>
	0.013	8.69	09/10/20	5.00	...	3.69	30 of 67 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.013	8.91	09/10/20	5.00	...	3.91	31 of 67 - Sale <sup>25</sup>
	0.027	17.92	09/10/20	10.00	...	7.92	32 of 67 - Sale <sup>25</sup>
	0.027	17.94	09/10/20	10.00	...	7.94	33 of 67 - Sale <sup>25</sup>
	0.003	1.82	09/11/20	1.00	...	0.82	34 of 67 - Sale <sup>25</sup>
	0.005	3.56	09/11/20	2.00	...	1.56	35 of 67 - Sale <sup>25</sup>
	0.005	3.56	09/11/20	2.00	...	1.56	36 of 67 - Sale <sup>25</sup>
	0.005	3.57	09/11/20	2.00	...	1.57	37 of 67 - Sale <sup>25</sup>
	0.005	3.64	09/11/20	2.00	...	1.64	38 of 67 - Sale <sup>25</sup>
	0.005	3.64	09/11/20	2.00	...	1.64	39 of 67 - Sale <sup>25</sup>
	0.008	5.33	09/11/20	3.00	...	2.33	40 of 67 - Sale <sup>25</sup>
	0.008	5.37	09/11/20	3.00	...	2.37	41 of 67 - Sale <sup>25</sup>
	0.014	9.08	09/11/20	5.00	...	4.08	42 of 67 - Sale <sup>25</sup>
	0.014	9.43	09/11/20	5.34	...	4.09	43 of 67 - Sale <sup>25</sup>
	0.000	0.04	09/15/20	0.02	...	0.02	44 of 67 - Sale <sup>25</sup>
	0.000	0.14	09/15/20	0.10	...	0.04	45 of 67 - Sale <sup>25</sup>
	0.001	0.74	09/15/20	0.53	...	0.21	46 of 67 - Sale <sup>25</sup>
	0.001	0.90	09/15/20	0.64	...	0.26	47 of 67 - Sale <sup>25</sup>
	0.002	1.00	09/15/20	0.72	...	0.28	48 of 67 - Sale <sup>25</sup>
	0.002	1.39	09/15/20	1.00	...	0.39	49 of 67 - Sale <sup>25</sup>
	0.002	1.39	09/15/20	0.99	...	0.40	50 of 67 - Sale <sup>25</sup>
	0.002	1.39	09/15/20	0.98	...	0.41	51 of 67 - Sale <sup>25</sup>
	0.002	1.39	09/15/20	0.98	...	0.41	52 of 67 - Sale <sup>25</sup>
	0.002	1.40	09/15/20	0.99	...	0.41	53 of 67 - Sale <sup>25</sup>
	0.002	1.40	09/15/20	0.99	...	0.41	54 of 67 - Sale <sup>25</sup>
	0.002	1.33	09/15/20	0.90	...	0.43	55 of 67 - Sale <sup>25</sup>
	0.002	1.42	09/15/20	0.98	...	0.44	56 of 67 - Sale <sup>25</sup>
	0.002	1.45	09/15/20	1.00	...	0.45	57 of 67 - Sale <sup>25</sup>
	0.002	1.46	09/15/20	1.01	...	0.45	58 of 67 - Sale <sup>25</sup>
	0.002	1.47	09/15/20	1.00	...	0.47	59 of 67 - Sale <sup>25</sup>
	0.002	1.63	09/15/20	1.16	...	0.47	60 of 67 - Sale <sup>25</sup>
	0.003	1.78	09/15/20	1.26	...	0.52	61 of 67 - Sale <sup>25</sup>
	0.003	2.02	09/15/20	1.45	...	0.57	62 of 67 - Sale <sup>25</sup>
	0.004	2.76	09/15/20	2.00	...	0.76	63 of 67 - Sale <sup>25</sup>
	0.004	2.78	09/15/20	1.97	...	0.81	64 of 67 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.004	2.92	09/15/20	2.01	...	0.91	65 of 67 - Sale <sup>25</sup>
	0.005	3.30	09/15/20	2.33	...	0.97	66 of 67 - Sale <sup>25</sup>
	0.008	5.63	09/15/20	4.10	...	1.53	67 of 67 - Sale <sup>25</sup>
05/06/21	0.780	519.98	Various	286.87	...	233.11	Total of 67 transactions
	330 transactions for 05/07/21. Total proceeds and cost reported to the IRS.						
	0.001	0.90	09/15/20	0.64	...	0.26	1 of 330 - Sale <sup>25</sup>
	0.002	1.33	09/15/20	0.95	...	0.38	2 of 330 - Sale <sup>25</sup>
	0.000	0.03	09/16/20	0.02	...	0.01	3 of 330 - Sale <sup>25</sup>
	0.000	0.11	09/16/20	0.07	...	0.04	4 of 330 - Sale <sup>25</sup>
	0.001	0.37	09/16/20	0.26	...	0.11	5 of 330 - Sale <sup>25</sup>
	0.002	1.04	09/16/20	0.73	...	0.31	6 of 330 - Sale <sup>25</sup>
	0.002	1.18	09/16/20	0.87	...	0.31	7 of 330 - Sale <sup>25</sup>
	0.002	1.50	09/16/20	1.11	...	0.39	8 of 330 - Sale <sup>25</sup>
	0.002	1.38	09/16/20	0.98	...	0.40	9 of 330 - Sale <sup>25</sup>
	0.002	1.51	09/16/20	1.11	...	0.40	10 of 330 - Sale <sup>25</sup>
	0.002	1.51	09/16/20	1.11	...	0.40	11 of 330 - Sale <sup>25</sup>
	0.002	1.46	09/16/20	1.05	...	0.41	12 of 330 - Sale <sup>25</sup>
	0.002	1.49	09/16/20	1.06	...	0.43	13 of 330 - Sale <sup>25</sup>
	0.003	1.96	09/16/20	1.45	...	0.51	14 of 330 - Sale <sup>25</sup>
	0.004	2.63	09/16/20	1.86	...	0.77	15 of 330 - Sale <sup>25</sup>
	0.005	3.01	09/16/20	2.22	...	0.79	16 of 330 - Sale <sup>25</sup>
	0.005	3.33	09/16/20	2.46	...	0.87	17 of 330 - Sale <sup>25</sup>
	0.009	6.02	09/16/20	4.43	...	1.59	18 of 330 - Sale <sup>25</sup>
	0.009	6.06	09/16/20	4.43	...	1.63	19 of 330 - Sale <sup>25</sup>
	0.005	3.18	09/17/20	2.23	...	0.95	20 of 330 - Sale <sup>25</sup>
	0.005	3.21	09/17/20	2.23	...	0.98	21 of 330 - Sale <sup>25</sup>
	0.007	4.82	09/17/20	3.34	...	1.48	22 of 330 - Sale <sup>25</sup>
	0.007	4.86	09/17/20	3.35	...	1.51	23 of 330 - Sale <sup>25</sup>
	0.001	0.38	09/18/20	0.28	...	0.10	24 of 330 - Sale <sup>25</sup>
	0.003	2.19	09/18/20	1.61	...	0.58	25 of 330 - Sale <sup>25</sup>
	0.005	3.06	09/18/20	2.26	...	0.80	26 of 330 - Sale <sup>25</sup>
	0.013	8.53	09/18/20	5.67	...	2.86	27 of 330 - Sale <sup>25</sup>
	0.039	26.25	09/18/20	19.16	...	7.09	28 of 330 - Sale <sup>25</sup>
	0.000	0.01	09/21/20	0.01	...	0.00	29 of 330 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.010		6.74	09/21/20	4.56	...	2.18	30 of 330 - Sale <sup>25</sup>
0.022		15.15	09/21/20	10.07	...	5.08	31 of 330 - Sale <sup>25</sup>
0.023		15.60	09/21/20	10.44	...	5.16	32 of 330 - Sale <sup>25</sup>
0.039		26.07	09/21/20	17.11	...	8.96	33 of 330 - Sale <sup>25</sup>
0.056		37.69	09/21/20	24.91	...	12.78	34 of 330 - Sale <sup>25</sup>
0.066		44.52	09/21/20	28.99	...	15.53	35 of 330 - Sale <sup>25</sup>
0.071		47.67	09/21/20	31.00	...	16.67	36 of 330 - Sale <sup>25</sup>
0.080		53.87	09/21/20	34.79	...	19.08	37 of 330 - Sale <sup>25</sup>
0.091		61.50	09/21/20	40.00	...	21.50	38 of 330 - Sale <sup>25</sup>
0.011		7.75	09/22/20	5.00	...	2.75	39 of 330 - Sale <sup>25</sup>
0.012		7.86	09/22/20	5.00	...	2.86	40 of 330 - Sale <sup>25</sup>
0.012		7.87	09/22/20	5.00	...	2.87	41 of 330 - Sale <sup>25</sup>
0.016		10.79	09/22/20	6.91	...	3.88	42 of 330 - Sale <sup>25</sup>
0.023		15.50	09/22/20	10.00	...	5.50	43 of 330 - Sale <sup>25</sup>
0.032		21.73	09/22/20	13.84	...	7.89	44 of 330 - Sale <sup>25</sup>
0.041		27.73	09/22/20	17.57	...	10.16	45 of 330 - Sale <sup>25</sup>
0.046		31.42	09/22/20	20.00	...	11.42	46 of 330 - Sale <sup>25</sup>
0.059		39.82	09/22/20	24.89	...	14.93	47 of 330 - Sale <sup>25</sup>
0.010		6.78	09/25/20	4.00	...	2.78	48 of 330 - Sale <sup>25</sup>
0.002		1.60	09/29/20	1.00	...	0.60	49 of 330 - Sale <sup>25</sup>
0.002		1.63	09/29/20	1.00	...	0.63	50 of 330 - Sale <sup>25</sup>
0.002		1.58	10/02/20	1.00	...	0.58	51 of 330 - Sale <sup>25</sup>
0.002		1.60	10/05/20	1.00	...	0.60	52 of 330 - Sale <sup>25</sup>
0.002		1.61	10/05/20	1.00	...	0.61	53 of 330 - Sale <sup>25</sup>
0.002		1.61	10/05/20	1.00	...	0.61	54 of 330 - Sale <sup>25</sup>
0.002		1.61	10/05/20	1.00	...	0.61	55 of 330 - Sale <sup>25</sup>
0.005		3.21	10/05/20	2.00	...	1.21	56 of 330 - Sale <sup>25</sup>
0.002		1.60	10/06/20	1.00	...	0.60	57 of 330 - Sale <sup>25</sup>
0.002		1.61	10/06/20	1.00	...	0.61	58 of 330 - Sale <sup>25</sup>
0.002		1.62	10/06/20	1.00	...	0.62	59 of 330 - Sale <sup>25</sup>
0.002		1.62	10/06/20	1.00	...	0.62	60 of 330 - Sale <sup>25</sup>
0.002		1.62	10/06/20	1.00	...	0.62	61 of 330 - Sale <sup>25</sup>
0.002		1.62	10/06/20	1.00	...	0.62	62 of 330 - Sale <sup>25</sup>
0.002		1.62	10/06/20	1.00	...	0.62	63 of 330 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.63	10/06/20	1.00	...	0.63	64 of 330 - Sale <sup>25</sup>
	0.002	1.63	10/06/20	1.00	...	0.63	65 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/06/20	1.00	...	0.64	66 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/06/20	1.00	...	0.64	67 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/06/20	1.00	...	0.64	68 of 330 - Sale <sup>25</sup>
	0.002	1.65	10/06/20	1.00	...	0.65	69 of 330 - Sale <sup>25</sup>
	0.005	3.21	10/06/20	2.00	...	1.21	70 of 330 - Sale <sup>25</sup>
	0.005	3.22	10/06/20	2.00	...	1.22	71 of 330 - Sale <sup>25</sup>
	0.005	3.23	10/06/20	2.00	...	1.23	72 of 330 - Sale <sup>25</sup>
	0.007	4.88	10/06/20	3.00	...	1.88	73 of 330 - Sale <sup>25</sup>
	0.002	1.56	10/09/20	1.00	...	0.56	74 of 330 - Sale <sup>25</sup>
	0.004	2.93	10/14/20	2.00	...	0.93	75 of 330 - Sale <sup>25</sup>
	0.004	2.94	10/14/20	2.00	...	0.94	76 of 330 - Sale <sup>25</sup>
	0.002	1.48	10/15/20	1.00	...	0.48	77 of 330 - Sale <sup>25</sup>
	0.002	1.50	10/15/20	1.00	...	0.50	78 of 330 - Sale <sup>25</sup>
	0.002	1.50	10/15/20	1.00	...	0.50	79 of 330 - Sale <sup>25</sup>
	0.004	2.98	10/15/20	2.00	...	0.98	80 of 330 - Sale <sup>25</sup>
	0.005	3.05	10/15/20	2.00	...	1.05	81 of 330 - Sale <sup>25</sup>
	0.002	1.54	10/16/20	1.00	...	0.54	82 of 330 - Sale <sup>25</sup>
	0.002	1.54	10/16/20	1.00	...	0.54	83 of 330 - Sale <sup>25</sup>
	0.005	3.05	10/16/20	2.00	...	1.05	84 of 330 - Sale <sup>25</sup>
	0.002	1.54	10/19/20	1.00	...	0.54	85 of 330 - Sale <sup>25</sup>
	0.002	1.63	10/23/20	1.00	...	0.63	86 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/23/20	1.00	...	0.64	87 of 330 - Sale <sup>25</sup>
	0.002	1.65	10/23/20	1.00	...	0.65	88 of 330 - Sale <sup>25</sup>
	0.004	2.91	10/23/20	1.78	...	1.13	89 of 330 - Sale <sup>25</sup>
	0.002	1.62	10/26/20	1.00	...	0.62	90 of 330 - Sale <sup>25</sup>
	0.002	1.63	10/26/20	1.00	...	0.63	91 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/26/20	1.00	...	0.64	92 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/26/20	1.00	...	0.64	93 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/26/20	1.00	...	0.64	94 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/26/20	1.00	...	0.64	95 of 330 - Sale <sup>25</sup>
	0.002	1.64	10/26/20	1.00	...	0.64	96 of 330 - Sale <sup>25</sup>
	0.002	1.59	10/27/20	1.00	...	0.59	97 of 330 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.59	10/27/20	1.00	...	0.59	98 of 330 - Sale <sup>25</sup>
	0.002	1.62	10/28/20	1.00	...	0.62	99 of 330 - Sale <sup>25</sup>
	0.002	1.65	10/28/20	1.00	...	0.65	100 of 330 - Sale <sup>25</sup>
	0.002	1.65	10/28/20	1.00	...	0.65	101 of 330 - Sale <sup>25</sup>
	0.002	1.65	10/28/20	1.00	...	0.65	102 of 330 - Sale <sup>25</sup>
	0.002	1.65	10/29/20	1.00	...	0.65	103 of 330 - Sale <sup>25</sup>
	0.002	1.65	10/29/20	1.00	...	0.65	104 of 330 - Sale <sup>25</sup>
	0.002	1.66	10/30/20	1.00	...	0.66	105 of 330 - Sale <sup>25</sup>
	0.003	1.69	10/30/20	1.00	...	0.69	106 of 330 - Sale <sup>25</sup>
	0.003	1.69	10/30/20	1.00	...	0.69	107 of 330 - Sale <sup>25</sup>
	0.003	1.70	10/30/20	1.00	...	0.70	108 of 330 - Sale <sup>25</sup>
	0.003	1.70	10/30/20	1.00	...	0.70	109 of 330 - Sale <sup>25</sup>
	0.003	1.71	10/30/20	1.00	...	0.71	110 of 330 - Sale <sup>25</sup>
	0.003	1.71	10/30/20	1.00	...	0.71	111 of 330 - Sale <sup>25</sup>
	0.003	1.71	10/30/20	1.00	...	0.71	112 of 330 - Sale <sup>25</sup>
	0.003	1.75	10/30/20	1.00	...	0.75	113 of 330 - Sale <sup>25</sup>
	0.003	1.75	10/30/20	1.00	...	0.75	114 of 330 - Sale <sup>25</sup>
	0.003	1.76	10/30/20	1.00	...	0.76	115 of 330 - Sale <sup>25</sup>
	0.003	1.77	10/30/20	1.00	...	0.77	116 of 330 - Sale <sup>25</sup>
	0.003	1.77	10/30/20	1.00	...	0.77	117 of 330 - Sale <sup>25</sup>
	0.003	1.77	10/30/20	1.00	...	0.77	118 of 330 - Sale <sup>25</sup>
	0.005	3.36	10/30/20	2.00	...	1.36	119 of 330 - Sale <sup>25</sup>
	0.005	3.37	10/30/20	2.00	...	1.37	120 of 330 - Sale <sup>25</sup>
	0.005	3.39	10/30/20	2.00	...	1.39	121 of 330 - Sale <sup>25</sup>
	0.005	3.40	10/30/20	2.00	...	1.40	122 of 330 - Sale <sup>25</sup>
	0.010	6.77	10/30/20	4.00	...	2.77	123 of 330 - Sale <sup>25</sup>
	0.003	1.71	11/02/20	1.00	...	0.71	124 of 330 - Sale <sup>25</sup>
	0.003	1.71	11/02/20	1.00	...	0.71	125 of 330 - Sale <sup>25</sup>
	0.002	1.58	11/06/20	1.00	...	0.58	126 of 330 - Sale <sup>25</sup>
	0.002	1.58	11/06/20	1.00	...	0.58	127 of 330 - Sale <sup>25</sup>
	0.002	1.58	11/06/20	1.00	...	0.58	128 of 330 - Sale <sup>25</sup>
	0.002	1.58	11/06/20	1.00	...	0.58	129 of 330 - Sale <sup>25</sup>
	0.002	1.56	11/09/20	1.00	...	0.56	130 of 330 - Sale <sup>25</sup>
	0.002	1.58	11/09/20	1.00	...	0.58	131 of 330 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.002		1.58	11/09/20	1.00	...	0.58	132 of 330 - Sale <sup>25</sup>
0.002		1.59	11/09/20	1.00	...	0.59	133 of 330 - Sale <sup>25</sup>
0.002		1.59	11/09/20	1.00	...	0.59	134 of 330 - Sale <sup>25</sup>
0.002		1.63	11/10/20	1.00	...	0.63	135 of 330 - Sale <sup>25</sup>
0.002		1.64	11/10/20	1.00	...	0.64	136 of 330 - Sale <sup>25</sup>
0.002		1.65	11/10/20	1.00	...	0.65	137 of 330 - Sale <sup>25</sup>
0.002		1.66	11/10/20	1.00	...	0.66	138 of 330 - Sale <sup>25</sup>
0.002		1.66	11/10/20	1.00	...	0.66	139 of 330 - Sale <sup>25</sup>
0.002		1.67	11/10/20	1.00	...	0.67	140 of 330 - Sale <sup>25</sup>
0.002		1.67	11/10/20	1.00	...	0.67	141 of 330 - Sale <sup>25</sup>
0.002		1.68	11/10/20	1.00	...	0.68	142 of 330 - Sale <sup>25</sup>
0.003		1.69	11/10/20	1.00	...	0.69	143 of 330 - Sale <sup>25</sup>
0.003		1.69	11/10/20	1.00	...	0.69	144 of 330 - Sale <sup>25</sup>
0.005		3.36	11/10/20	2.00	...	1.36	145 of 330 - Sale <sup>25</sup>
0.002		1.62	11/11/20	1.00	...	0.62	146 of 330 - Sale <sup>25</sup>
0.002		1.64	11/12/20	1.00	...	0.64	147 of 330 - Sale <sup>25</sup>
0.000		0.02	11/13/20	0.01	...	0.01	148 of 330 - Sale <sup>25</sup>
0.002		1.64	11/13/20	0.99	...	0.65	149 of 330 - Sale <sup>25</sup>
0.002		1.66	11/13/20	1.00	...	0.66	150 of 330 - Sale <sup>25</sup>
0.002		1.66	11/13/20	1.00	...	0.66	151 of 330 - Sale <sup>25</sup>
0.002		1.66	11/13/20	1.00	...	0.66	152 of 330 - Sale <sup>25</sup>
0.002		1.67	11/13/20	1.00	...	0.67	153 of 330 - Sale <sup>25</sup>
0.002		1.67	11/13/20	1.00	...	0.67	154 of 330 - Sale <sup>25</sup>
0.002		1.67	11/13/20	1.00	...	0.67	155 of 330 - Sale <sup>25</sup>
0.002		1.68	11/13/20	1.00	...	0.68	156 of 330 - Sale <sup>25</sup>
0.002		1.66	11/16/20	1.00	...	0.66	157 of 330 - Sale <sup>25</sup>
0.002		1.66	11/16/20	1.00	...	0.66	158 of 330 - Sale <sup>25</sup>
0.002		1.66	11/16/20	1.00	...	0.66	159 of 330 - Sale <sup>25</sup>
0.002		1.66	11/16/20	1.00	...	0.66	160 of 330 - Sale <sup>25</sup>
0.002		1.66	11/16/20	1.00	...	0.66	161 of 330 - Sale <sup>25</sup>
0.002		1.53	11/17/20	1.00	...	0.53	162 of 330 - Sale <sup>25</sup>
0.002		1.54	11/17/20	1.00	...	0.54	163 of 330 - Sale <sup>25</sup>
0.002		1.54	11/17/20	1.00	...	0.54	164 of 330 - Sale <sup>25</sup>
0.002		1.54	11/17/20	1.00	...	0.54	165 of 330 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.002		1.54	11/17/20	1.00	...	0.54	166 of 330 - Sale <sup>25</sup>
0.002		1.54	11/17/20	1.00	...	0.54	167 of 330 - Sale <sup>25</sup>
0.002		1.39	11/18/20	1.00	...	0.39	168 of 330 - Sale <sup>25</sup>
0.002		1.39	11/18/20	1.00	...	0.39	169 of 330 - Sale <sup>25</sup>
0.002		1.39	11/18/20	1.00	...	0.39	170 of 330 - Sale <sup>25</sup>
0.002		1.36	11/20/20	1.00	...	0.36	171 of 330 - Sale <sup>25</sup>
0.002		1.37	11/20/20	1.00	...	0.37	172 of 330 - Sale <sup>25</sup>
0.002		1.37	11/20/20	1.00	...	0.37	173 of 330 - Sale <sup>25</sup>
0.002		1.37	11/20/20	1.00	...	0.37	174 of 330 - Sale <sup>25</sup>
0.002		1.21	11/24/20	1.00	...	0.21	175 of 330 - Sale <sup>25</sup>
0.002		1.22	11/25/20	1.00	...	0.22	176 of 330 - Sale <sup>25</sup>
0.002		1.23	11/25/20	1.00	...	0.23	177 of 330 - Sale <sup>25</sup>
0.002		1.15	11/30/20	1.00	...	0.15	178 of 330 - Sale <sup>25</sup>
0.002		1.15	11/30/20	1.00	...	0.15	179 of 330 - Sale <sup>25</sup>
0.002		1.16	11/30/20	1.00	...	0.16	180 of 330 - Sale <sup>25</sup>
0.002		1.16	11/30/20	1.00	...	0.16	181 of 330 - Sale <sup>25</sup>
0.002		1.16	11/30/20	1.00	...	0.16	182 of 330 - Sale <sup>25</sup>
0.002		1.17	11/30/20	1.00	...	0.17	183 of 330 - Sale <sup>25</sup>
0.002		1.17	11/30/20	1.00	...	0.17	184 of 330 - Sale <sup>25</sup>
0.002		1.17	11/30/20	1.00	...	0.17	185 of 330 - Sale <sup>25</sup>
0.002		1.44	11/30/20	1.23	...	0.21	186 of 330 - Sale <sup>25</sup>
0.002		1.15	12/01/20	1.00	...	0.15	187 of 330 - Sale <sup>25</sup>
0.002		1.16	12/01/20	1.00	...	0.16	188 of 330 - Sale <sup>25</sup>
0.002		1.16	12/01/20	1.00	...	0.16	189 of 330 - Sale <sup>25</sup>
0.002		1.16	12/01/20	1.00	...	0.16	190 of 330 - Sale <sup>25</sup>
0.002		1.20	12/01/20	1.04	...	0.16	191 of 330 - Sale <sup>25</sup>
0.002		1.17	12/01/20	1.00	...	0.17	192 of 330 - Sale <sup>25</sup>
0.003		2.14	12/01/20	1.84	...	0.30	193 of 330 - Sale <sup>25</sup>
0.000		0.25	12/02/20	0.20	...	0.05	194 of 330 - Sale <sup>25</sup>
0.001		0.98	12/02/20	0.80	...	0.18	195 of 330 - Sale <sup>25</sup>
0.002		1.19	12/02/20	1.00	...	0.19	196 of 330 - Sale <sup>25</sup>
0.002		1.20	12/02/20	1.00	...	0.20	197 of 330 - Sale <sup>25</sup>
0.002		1.20	12/02/20	1.00	...	0.20	198 of 330 - Sale <sup>25</sup>
0.002		1.21	12/02/20	1.00	...	0.21	199 of 330 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.22	12/02/20	1.00	...	0.22	200 of 330 - Sale <sup>25</sup>
	0.002	1.23	12/02/20	1.00	...	0.23	201 of 330 - Sale <sup>25</sup>
	0.002	1.24	12/02/20	1.00	...	0.24	202 of 330 - Sale <sup>25</sup>
	0.002	1.24	12/02/20	1.00	...	0.24	203 of 330 - Sale <sup>25</sup>
	0.002	1.54	12/02/20	1.25	...	0.29	204 of 330 - Sale <sup>25</sup>
	0.003	1.71	12/02/20	1.39	...	0.32	205 of 330 - Sale <sup>25</sup>
	0.002	1.14	12/03/20	1.00	...	0.14	206 of 330 - Sale <sup>25</sup>
	0.002	1.15	12/04/20	1.00	...	0.15	207 of 330 - Sale <sup>25</sup>
	0.002	1.07	12/08/20	1.00	...	0.07	208 of 330 - Sale <sup>25</sup>
	0.002	1.08	12/08/20	1.00	...	0.08	209 of 330 - Sale <sup>25</sup>
	0.002	1.08	12/08/20	1.00	...	0.08	210 of 330 - Sale <sup>25</sup>
	0.002	1.06	12/09/20	1.00	...	0.06	211 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/09/20	1.00	...	0.11	212 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/09/20	1.00	...	0.11	213 of 330 - Sale <sup>25</sup>
	0.003	1.98	12/09/20	1.86	...	0.12	214 of 330 - Sale <sup>25</sup>
	0.003	2.25	12/09/20	2.00	...	0.25	215 of 330 - Sale <sup>25</sup>
	0.002	1.08	12/10/20	1.00	...	0.08	216 of 330 - Sale <sup>25</sup>
	0.002	1.08	12/10/20	1.00	...	0.08	217 of 330 - Sale <sup>25</sup>
	0.002	1.09	12/10/20	1.00	...	0.09	218 of 330 - Sale <sup>25</sup>
	0.003	2.21	12/10/20	2.00	...	0.21	219 of 330 - Sale <sup>25</sup>
	0.003	2.33	12/10/20	2.00	...	0.33	220 of 330 - Sale <sup>25</sup>
	0.002	1.08	12/11/20	1.00	...	0.08	221 of 330 - Sale <sup>25</sup>
	0.002	1.10	12/11/20	1.00	...	0.10	222 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	223 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	224 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	225 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	226 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	227 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	228 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	229 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	230 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	231 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	232 of 330 - Sale <sup>25</sup>
	0.002	1.11	12/11/20	1.00	...	0.11	233 of 330 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	2.22	12/11/20	2.00	...	0.22	234 of 330 - Sale <sup>25</sup>
	0.005	3.17	12/11/20	2.86	...	0.31	235 of 330 - Sale <sup>25</sup>
	0.008	5.54	12/11/20	5.00	...	0.54	236 of 330 - Sale <sup>25</sup>
	0.002	1.06	12/15/20	1.00	...	0.06	237 of 330 - Sale <sup>25</sup>
	0.002	1.07	12/15/20	1.00	...	0.07	238 of 330 - Sale <sup>25</sup>
	0.002	1.07	12/15/20	1.00	...	0.07	239 of 330 - Sale <sup>25</sup>
	0.002	1.14	12/15/20	1.07	...	0.07	240 of 330 - Sale <sup>25</sup>
	0.002	1.08	12/15/20	1.00	...	0.08	241 of 330 - Sale <sup>25</sup>
	0.002	1.08	12/16/20	1.00	...	0.08	242 of 330 - Sale <sup>25</sup>
	0.002	1.09	12/16/20	1.00	...	0.09	243 of 330 - Sale <sup>25</sup>
	0.002	1.09	12/16/20	1.00	...	0.09	244 of 330 - Sale <sup>25</sup>
	0.002	1.01	12/28/20	1.00	...	0.01	245 of 330 - Sale <sup>25</sup>
	0.002	1.02	12/29/20	1.00	...	0.02	246 of 330 - Sale <sup>25</sup>
	0.000	0.01	02/16/21	0.01	...	0.00	247 of 330 - Sale <sup>25</sup>
	0.001	1.01	02/23/21	1.00	...	0.01	248 of 330 - Sale <sup>25</sup>
	0.002	1.01	02/23/21	1.00	...	0.01	249 of 330 - Sale <sup>25</sup>
	0.001	1.00	02/23/21	0.98	...	0.02	250 of 330 - Sale <sup>25</sup>
	0.002	1.07	02/23/21	1.00	...	0.07	251 of 330 - Sale <sup>25</sup>
	0.002	1.08	02/23/21	1.00	...	0.08	252 of 330 - Sale <sup>25</sup>
	0.001	1.00	02/26/21	1.00	...	0.00	253 of 330 - Sale <sup>25</sup>
	0.002	1.01	02/26/21	1.00	...	0.01	254 of 330 - Sale <sup>25</sup>
	0.003	2.02	02/26/21	2.00	...	0.02	255 of 330 - Sale <sup>25</sup>
	0.003	2.02	02/26/21	2.00	...	0.02	256 of 330 - Sale <sup>25</sup>
	0.001	1.00	03/03/21	1.00	...	0.00	257 of 330 - Sale <sup>25</sup>
	0.001	1.01	03/03/21	1.00	...	0.01	258 of 330 - Sale <sup>25</sup>
	0.002	1.01	03/03/21	1.00	...	0.01	259 of 330 - Sale <sup>25</sup>
	0.002	1.01	03/03/21	1.00	...	0.01	260 of 330 - Sale <sup>25</sup>
	0.002	1.01	03/03/21	1.00	...	0.01	261 of 330 - Sale <sup>25</sup>
	0.003	2.01	03/03/21	2.00	...	0.01	262 of 330 - Sale <sup>25</sup>
	0.002	1.02	03/03/21	1.00	...	0.02	263 of 330 - Sale <sup>25</sup>
	0.002	1.01	03/04/21	1.00	...	0.01	264 of 330 - Sale <sup>25</sup>
	0.002	1.01	03/04/21	1.00	...	0.01	265 of 330 - Sale <sup>25</sup>
	0.002	1.02	03/04/21	1.00	...	0.02	266 of 330 - Sale <sup>25</sup>
	0.002	1.02	03/04/21	0.99	...	0.03	267 of 330 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.002		1.04	03/04/21	1.00	...	0.04	268 of 330 - Sale <sup>25</sup>
0.002		1.04	03/04/21	1.00	...	0.04	269 of 330 - Sale <sup>25</sup>
0.002		1.04	03/04/21	1.00	...	0.04	270 of 330 - Sale <sup>25</sup>
0.002		1.05	03/04/21	1.00	...	0.05	271 of 330 - Sale <sup>25</sup>
0.002		1.05	03/04/21	1.00	...	0.05	272 of 330 - Sale <sup>25</sup>
0.002		1.05	03/04/21	1.00	...	0.05	273 of 330 - Sale <sup>25</sup>
0.002		1.05	03/04/21	1.00	...	0.05	274 of 330 - Sale <sup>25</sup>
0.002		1.09	03/04/21	1.00	...	0.09	275 of 330 - Sale <sup>25</sup>
0.003		2.09	03/04/21	2.00	...	0.09	276 of 330 - Sale <sup>25</sup>
0.002		1.10	03/04/21	1.00	...	0.10	277 of 330 - Sale <sup>25</sup>
0.002		1.07	03/05/21	0.99	...	0.08	278 of 330 - Sale <sup>25</sup>
0.002		1.12	03/05/21	1.00	...	0.12	279 of 330 - Sale <sup>25</sup>
0.002		1.12	03/05/21	1.00	...	0.12	280 of 330 - Sale <sup>25</sup>
0.002		1.15	03/05/21	1.02	...	0.13	281 of 330 - Sale <sup>25</sup>
0.002		1.14	03/05/21	1.00	...	0.14	282 of 330 - Sale <sup>25</sup>
0.002		1.15	03/05/21	1.00	...	0.15	283 of 330 - Sale <sup>25</sup>
0.002		1.15	03/05/21	1.00	...	0.15	284 of 330 - Sale <sup>25</sup>
0.002		1.42	03/05/21	1.23	...	0.19	285 of 330 - Sale <sup>25</sup>
0.003		2.27	03/05/21	1.96	...	0.31	286 of 330 - Sale <sup>25</sup>
0.004		2.86	03/05/21	2.55	...	0.31	287 of 330 - Sale <sup>25</sup>
0.004		2.42	03/05/21	2.00	...	0.42	288 of 330 - Sale <sup>25</sup>
0.007		4.55	03/05/21	4.04	...	0.51	289 of 330 - Sale <sup>25</sup>
0.001		1.00	03/10/21	1.00	...	0.00	290 of 330 - Sale <sup>25</sup>
0.001		1.00	03/10/21	1.00	...	0.00	291 of 330 - Sale <sup>25</sup>
0.001		1.00	03/10/21	1.00	...	0.00	292 of 330 - Sale <sup>25</sup>
0.002		1.01	03/10/21	1.00	...	0.01	293 of 330 - Sale <sup>25</sup>
0.002		1.01	03/10/21	1.00	...	0.01	294 of 330 - Sale <sup>25</sup>
0.001		1.00	03/12/21	1.00	...	0.00	295 of 330 - Sale <sup>25</sup>
0.001		1.00	03/16/21	1.00	...	0.00	296 of 330 - Sale <sup>25</sup>
0.002		1.02	03/17/21	1.00	...	0.02	297 of 330 - Sale <sup>25</sup>
0.002		1.02	03/17/21	1.00	...	0.02	298 of 330 - Sale <sup>25</sup>
0.002		1.03	03/17/21	1.00	...	0.03	299 of 330 - Sale <sup>25</sup>
0.002		1.03	03/17/21	1.00	...	0.03	300 of 330 - Sale <sup>25</sup>
0.002		1.03	03/17/21	1.00	...	0.03	301 of 330 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	1.00	03/18/21	1.00	...	0.00	302 of 330 - Sale <sup>25</sup>
	0.003	2.00	03/18/21	2.00	...	0.00	303 of 330 - Sale <sup>25</sup>
	0.002	1.01	03/18/21	1.00	...	0.01	304 of 330 - Sale <sup>25</sup>
	0.003	2.02	03/18/21	2.00	...	0.02	305 of 330 - Sale <sup>25</sup>
	0.003	2.02	03/18/21	2.00	...	0.02	306 of 330 - Sale <sup>25</sup>
	0.003	2.02	03/18/21	2.00	...	0.02	307 of 330 - Sale <sup>25</sup>
	0.003	2.02	03/18/21	2.00	...	0.02	308 of 330 - Sale <sup>25</sup>
	0.003	2.02	03/18/21	2.00	...	0.02	309 of 330 - Sale <sup>25</sup>
	0.002	1.03	03/19/21	1.00	...	0.03	310 of 330 - Sale <sup>25</sup>
	0.002	1.04	03/19/21	1.00	...	0.04	311 of 330 - Sale <sup>25</sup>
	0.002	1.04	03/19/21	1.00	...	0.04	312 of 330 - Sale <sup>25</sup>
	0.002	1.04	03/19/21	1.00	...	0.04	313 of 330 - Sale <sup>25</sup>
	0.002	1.05	03/19/21	1.00	...	0.05	314 of 330 - Sale <sup>25</sup>
	0.002	1.07	03/19/21	1.00	...	0.07	315 of 330 - Sale <sup>25</sup>
	0.002	1.07	03/19/21	1.00	...	0.07	316 of 330 - Sale <sup>25</sup>
	0.003	2.07	03/19/21	2.00	...	0.07	317 of 330 - Sale <sup>25</sup>
	0.003	2.08	03/19/21	2.00	...	0.08	318 of 330 - Sale <sup>25</sup>
	0.003	2.08	03/19/21	2.00	...	0.08	319 of 330 - Sale <sup>25</sup>
	0.003	2.08	03/19/21	2.00	...	0.08	320 of 330 - Sale <sup>25</sup>
	0.003	2.10	03/19/21	2.00	...	0.10	321 of 330 - Sale <sup>25</sup>
	0.003	2.11	03/19/21	2.00	...	0.11	322 of 330 - Sale <sup>25</sup>
	0.001	1.01	03/23/21	1.00	...	0.01	323 of 330 - Sale <sup>25</sup>
	0.002	1.01	03/23/21	1.00	...	0.01	324 of 330 - Sale <sup>25</sup>
	0.002	1.26	03/23/21	1.25	...	0.01	325 of 330 - Sale <sup>25</sup>
	0.002	1.26	03/23/21	1.25	...	0.01	326 of 330 - Sale <sup>25</sup>
	0.002	1.26	03/23/21	1.25	...	0.01	327 of 330 - Sale <sup>25</sup>
	0.002	1.01	03/24/21	1.00	...	0.01	328 of 330 - Sale <sup>25</sup>
	0.002	1.06	03/25/21	1.00	...	0.06	329 of 330 - Sale <sup>25</sup>
	0.000	0.01	04/09/21	0.01	...	0.00	330 of 330 - Sale <sup>25</sup>
05/07/21	1.509	1,018.24	Various	714.88	...	303.36	Total of 330 transactions
	460 transactions for 05/07/21. Total proceeds and cost reported to the IRS.						
	0.001	0.88	01/06/21	1.00	0.12 W	0.00	1 of 460 - Sale <sup>25</sup>
	0.001	0.89	01/06/21	1.00	0.11 W	0.00	2 of 460 - Sale <sup>25</sup>
	0.007	4.48	01/06/21	5.00	0.52 W	0.00	3 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.77	01/08/21	1.00	0.23 W	0.00	4 of 460 - Sale <sup>25</sup>
	0.001	0.77	01/08/21	1.00	0.23 W	0.00	5 of 460 - Sale <sup>25</sup>
	0.001	0.77	01/08/21	1.00	0.23 W	0.00	6 of 460 - Sale <sup>25</sup>
	0.001	0.77	01/08/21	1.00	0.23 W	0.00	7 of 460 - Sale <sup>25</sup>
	0.001	0.77	01/08/21	1.00	0.23 W	0.00	8 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	9 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	10 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	11 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	12 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	13 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	14 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	15 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	16 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	17 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	18 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	19 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	20 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	21 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	22 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	23 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	24 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	25 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	26 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	27 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	28 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	29 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/11/21	1.00	0.20 W	0.00	30 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	31 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	32 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	33 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	34 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	35 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	36 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	37 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	38 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	39 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	40 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	41 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/11/21	1.00	0.19 W	0.00	42 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	43 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	44 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	45 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	46 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	47 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	48 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	49 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	50 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	51 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	52 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	53 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/11/21	1.00	0.18 W	0.00	54 of 460 - Sale <sup>25</sup>
	0.001	0.83	01/11/21	1.00	0.17 W	0.00	55 of 460 - Sale <sup>25</sup>
	0.001	0.83	01/11/21	1.00	0.17 W	0.00	56 of 460 - Sale <sup>25</sup>
	0.001	0.83	01/11/21	1.00	0.17 W	0.00	57 of 460 - Sale <sup>25</sup>
	0.002	1.61	01/11/21	2.00	0.39 W	0.00	58 of 460 - Sale <sup>25</sup>
	0.002	1.61	01/11/21	2.00	0.39 W	0.00	59 of 460 - Sale <sup>25</sup>
	0.002	1.62	01/11/21	2.00	0.38 W	0.00	60 of 460 - Sale <sup>25</sup>
	0.001	0.79	01/12/21	1.00	0.21 W	0.00	61 of 460 - Sale <sup>25</sup>
	0.002	1.37	01/12/21	1.74	0.37 W	0.00	62 of 460 - Sale <sup>25</sup>
	0.001	0.79	01/13/21	1.00	0.21 W	0.00	63 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/13/21	1.00	0.20 W	0.00	64 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/13/21	1.00	0.20 W	0.00	65 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/13/21	1.00	0.20 W	0.00	66 of 460 - Sale <sup>25</sup>
	0.001	0.79	01/14/21	1.00	0.21 W	0.00	67 of 460 - Sale <sup>25</sup>
	0.001	0.79	01/14/21	1.00	0.21 W	0.00	68 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/14/21	1.00	0.20 W	0.00	69 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/14/21	1.00	0.20 W	0.00	70 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/14/21	1.00	0.20 W	0.00	71 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.81	01/15/21	1.00	0.19 W	0.00	72 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/15/21	1.00	0.18 W	0.00	73 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/19/21	1.00	0.20 W	0.00	74 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/19/21	1.00	0.20 W	0.00	75 of 460 - Sale <sup>25</sup>
	0.001	0.79	01/20/21	1.00	0.21 W	0.00	76 of 460 - Sale <sup>25</sup>
	0.001	0.79	01/20/21	1.00	0.21 W	0.00	77 of 460 - Sale <sup>25</sup>
	0.001	0.79	01/20/21	1.00	0.21 W	0.00	78 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/20/21	1.00	0.20 W	0.00	79 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/21/21	1.00	0.20 W	0.00	80 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/21/21	1.00	0.20 W	0.00	81 of 460 - Sale <sup>25</sup>
	0.001	0.81	01/22/21	1.00	0.19 W	0.00	82 of 460 - Sale <sup>25</sup>
	0.001	0.78	01/27/21	1.00	0.22 W	0.00	83 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/27/21	1.00	0.18 W	0.00	84 of 460 - Sale <sup>25</sup>
	0.001	0.83	01/27/21	1.00	0.17 W	0.00	85 of 460 - Sale <sup>25</sup>
	0.001	0.80	01/28/21	1.00	0.20 W	0.00	86 of 460 - Sale <sup>25</sup>
	0.001	0.82	01/28/21	1.00	0.18 W	0.00	87 of 460 - Sale <sup>25</sup>
	0.002	1.60	01/28/21	2.00	0.40 W	0.00	88 of 460 - Sale <sup>25</sup>
	0.001	0.81	02/01/21	1.00	0.19 W	0.00	89 of 460 - Sale <sup>25</sup>
	0.002	1.02	02/01/21	1.21	0.19 W	0.00	90 of 460 - Sale <sup>25</sup>
	0.001	0.78	02/03/21	1.00	0.22 W	0.00	91 of 460 - Sale <sup>25</sup>
	0.001	0.78	02/03/21	1.00	0.22 W	0.00	92 of 460 - Sale <sup>25</sup>
	0.001	0.78	02/03/21	1.00	0.22 W	0.00	93 of 460 - Sale <sup>25</sup>
	0.001	0.79	02/03/21	1.00	0.21 W	0.00	94 of 460 - Sale <sup>25</sup>
	0.001	0.79	02/03/21	1.00	0.21 W	0.00	95 of 460 - Sale <sup>25</sup>
	0.001	0.79	02/03/21	1.00	0.21 W	0.00	96 of 460 - Sale <sup>25</sup>
	0.001	0.79	02/03/21	1.00	0.21 W	0.00	97 of 460 - Sale <sup>25</sup>
	0.001	0.79	02/03/21	1.00	0.21 W	0.00	98 of 460 - Sale <sup>25</sup>
	0.001	0.79	02/03/21	1.00	0.21 W	0.00	99 of 460 - Sale <sup>25</sup>
	0.007	4.72	02/03/21	6.00	1.28 W	0.00	100 of 460 - Sale <sup>25</sup>
	0.001	0.80	02/04/21	1.00	0.20 W	0.00	101 of 460 - Sale <sup>25</sup>
	0.001	0.80	02/04/21	1.00	0.20 W	0.00	102 of 460 - Sale <sup>25</sup>
	0.001	0.80	02/04/21	1.00	0.20 W	0.00	103 of 460 - Sale <sup>25</sup>
	0.001	0.80	02/05/21	1.00	0.20 W	0.00	104 of 460 - Sale <sup>25</sup>
	0.001	0.78	02/08/21	1.00	0.22 W	0.00	105 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.80	02/09/21	1.00	0.20 W	0.00	106 of 460 - Sale <sup>25</sup>
	0.001	0.80	02/09/21	1.00	0.20 W	0.00	107 of 460 - Sale <sup>25</sup>
	0.001	0.80	02/09/21	1.00	0.20 W	0.00	108 of 460 - Sale <sup>25</sup>
	0.001	0.88	02/09/21	1.11	0.23 W	0.00	109 of 460 - Sale <sup>25</sup>
	0.007	4.67	02/09/21	5.90	1.23 W	0.00	110 of 460 - Sale <sup>25</sup>
	0.002	1.65	02/10/21	1.99	0.34 W	0.00	111 of 460 - Sale <sup>25</sup>
	0.000	0.10	02/10/21	0.12	0.02 W	0.00	112 of 460 - Sale <sup>25</sup>
	0.001	0.73	02/10/21	0.88	0.15 W	0.00	113 of 460 - Sale <sup>25</sup>
	0.001	0.81	02/10/21	1.00	0.19 W	0.00	114 of 460 - Sale <sup>25</sup>
	0.001	0.81	02/10/21	1.00	0.19 W	0.00	115 of 460 - Sale <sup>25</sup>
	0.001	0.81	02/10/21	1.00	0.19 W	0.00	116 of 460 - Sale <sup>25</sup>
	0.001	0.81	02/10/21	1.00	0.19 W	0.00	117 of 460 - Sale <sup>25</sup>
	0.001	0.82	02/10/21	1.00	0.18 W	0.00	118 of 460 - Sale <sup>25</sup>
	0.001	0.82	02/10/21	1.00	0.18 W	0.00	119 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/10/21	1.00	0.17 W	0.00	120 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/10/21	1.00	0.16 W	0.00	121 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/10/21	1.00	0.16 W	0.00	122 of 460 - Sale <sup>25</sup>
	0.001	0.96	02/10/21	1.20	0.24 W	0.00	123 of 460 - Sale <sup>25</sup>
	0.002	1.55	02/10/21	1.85	0.30 W	0.00	124 of 460 - Sale <sup>25</sup>
	0.002	1.66	02/10/21	2.00	0.34 W	0.00	125 of 460 - Sale <sup>25</sup>
	0.002	1.67	02/10/21	2.00	0.33 W	0.00	126 of 460 - Sale <sup>25</sup>
	0.002	1.67	02/10/21	2.00	0.33 W	0.00	127 of 460 - Sale <sup>25</sup>
	0.002	1.68	02/10/21	2.00	0.32 W	0.00	128 of 460 - Sale <sup>25</sup>
	0.003	1.86	02/10/21	2.23	0.37 W	0.00	129 of 460 - Sale <sup>25</sup>
	0.003	2.20	02/10/21	2.63	0.43 W	0.00	130 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/11/21	1.00	0.17 W	0.00	131 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/11/21	1.00	0.17 W	0.00	132 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/11/21	1.00	0.17 W	0.00	133 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/11/21	1.00	0.17 W	0.00	134 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/11/21	1.00	0.17 W	0.00	135 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/11/21	1.00	0.17 W	0.00	136 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/11/21	1.00	0.17 W	0.00	137 of 460 - Sale <sup>25</sup>
	0.001	0.83	02/11/21	1.00	0.17 W	0.00	138 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/11/21	1.00	0.16 W	0.00	139 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.28	02/11/21	1.55	0.27 W	0.00	140 of 460 - Sale <sup>25</sup>
	0.002	1.40	02/11/21	1.70	0.30 W	0.00	141 of 460 - Sale <sup>25</sup>
	0.002	1.65	02/11/21	2.00	0.35 W	0.00	142 of 460 - Sale <sup>25</sup>
	0.002	1.66	02/11/21	1.99	0.33 W	0.00	143 of 460 - Sale <sup>25</sup>
	0.002	1.67	02/11/21	2.00	0.33 W	0.00	144 of 460 - Sale <sup>25</sup>
	0.006	4.19	02/11/21	5.00	0.81 W	0.00	145 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/12/21	1.00	0.16 W	0.00	146 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/12/21	1.00	0.16 W	0.00	147 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/12/21	1.00	0.16 W	0.00	148 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/12/21	1.00	0.16 W	0.00	149 of 460 - Sale <sup>25</sup>
	0.001	0.85	02/12/21	1.00	0.15 W	0.00	150 of 460 - Sale <sup>25</sup>
	0.002	1.37	02/12/21	1.63	0.26 W	0.00	151 of 460 - Sale <sup>25</sup>
	0.003	1.69	02/12/21	2.00	0.31 W	0.00	152 of 460 - Sale <sup>25</sup>
	0.006	4.21	02/12/21	5.00	0.79 W	0.00	153 of 460 - Sale <sup>25</sup>
	0.006	4.25	02/12/21	5.00	0.75 W	0.00	154 of 460 - Sale <sup>25</sup>
	0.025	16.88	02/12/21	20.00	3.12 W	0.00	155 of 460 - Sale <sup>25</sup>
	0.000	0.13	02/16/21	0.15	0.02 W	0.00	156 of 460 - Sale <sup>25</sup>
	0.001	0.71	02/16/21	0.84	0.13 W	0.00	157 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	158 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	159 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	160 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	161 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	162 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	163 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	164 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	165 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	166 of 460 - Sale <sup>25</sup>
	0.001	0.84	02/16/21	1.00	0.16 W	0.00	167 of 460 - Sale <sup>25</sup>
	0.001	0.85	02/16/21	1.00	0.15 W	0.00	168 of 460 - Sale <sup>25</sup>
	0.003	1.68	02/16/21	2.00	0.32 W	0.00	169 of 460 - Sale <sup>25</sup>
	0.003	1.69	02/16/21	2.00	0.31 W	0.00	170 of 460 - Sale <sup>25</sup>
	0.004	2.52	02/16/21	3.00	0.48 W	0.00	171 of 460 - Sale <sup>25</sup>
	0.006	4.19	02/16/21	5.00	0.81 W	0.00	172 of 460 - Sale <sup>25</sup>
	0.012	8.35	02/16/21	10.00	1.65 W	0.00	173 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.025		16.71	02/16/21	20.00	3.29 W	0.00	174 of 460 - Sale <sup>25</sup>
0.001		0.84	02/17/21	1.00	0.16 W	0.00	175 of 460 - Sale <sup>25</sup>
0.001		0.85	02/17/21	1.00	0.15 W	0.00	176 of 460 - Sale <sup>25</sup>
0.001		0.86	02/17/21	1.00	0.14 W	0.00	177 of 460 - Sale <sup>25</sup>
0.001		0.86	02/17/21	1.00	0.14 W	0.00	178 of 460 - Sale <sup>25</sup>
0.001		0.86	02/17/21	1.00	0.14 W	0.00	179 of 460 - Sale <sup>25</sup>
0.001		0.87	02/17/21	1.00	0.13 W	0.00	180 of 460 - Sale <sup>25</sup>
0.001		0.87	02/17/21	1.00	0.13 W	0.00	181 of 460 - Sale <sup>25</sup>
0.001		0.87	02/17/21	1.00	0.13 W	0.00	182 of 460 - Sale <sup>25</sup>
0.001		0.87	02/17/21	1.00	0.13 W	0.00	183 of 460 - Sale <sup>25</sup>
0.013		8.67	02/17/21	10.00	1.33 W	0.00	184 of 460 - Sale <sup>25</sup>
0.013		8.68	02/17/21	10.00	1.32 W	0.00	185 of 460 - Sale <sup>25</sup>
0.001		0.85	02/18/21	1.00	0.15 W	0.00	186 of 460 - Sale <sup>25</sup>
0.001		0.85	02/18/21	1.00	0.15 W	0.00	187 of 460 - Sale <sup>25</sup>
0.001		0.87	02/18/21	1.00	0.13 W	0.00	188 of 460 - Sale <sup>25</sup>
0.001		0.85	02/19/21	1.00	0.15 W	0.00	189 of 460 - Sale <sup>25</sup>
0.001		0.85	02/19/21	1.00	0.15 W	0.00	190 of 460 - Sale <sup>25</sup>
0.001		0.85	02/19/21	1.00	0.15 W	0.00	191 of 460 - Sale <sup>25</sup>
0.004		2.59	02/19/21	3.00	0.41 W	0.00	192 of 460 - Sale <sup>25</sup>
0.001		0.92	02/22/21	1.00	0.08 W	0.00	193 of 460 - Sale <sup>25</sup>
0.001		0.96	02/24/21	1.00	0.04 W	0.00	194 of 460 - Sale <sup>25</sup>
0.001		0.93	02/25/21	1.00	0.07 W	0.00	195 of 460 - Sale <sup>25</sup>
0.001		0.96	02/25/21	1.00	0.04 W	0.00	196 of 460 - Sale <sup>25</sup>
0.001		0.96	02/25/21	1.00	0.04 W	0.00	197 of 460 - Sale <sup>25</sup>
0.003		1.76	02/25/21	1.81	0.05 W	0.00	198 of 460 - Sale <sup>25</sup>
0.003		1.92	02/25/21	2.00	0.08 W	0.00	199 of 460 - Sale <sup>25</sup>
0.003		1.93	02/25/21	2.00	0.07 W	0.00	200 of 460 - Sale <sup>25</sup>
0.003		2.30	02/25/21	2.39	0.09 W	0.00	201 of 460 - Sale <sup>25</sup>
0.008		5.18	02/25/21	5.38	0.20 W	0.00	202 of 460 - Sale <sup>25</sup>
0.008		5.68	02/25/21	5.91	0.23 W	0.00	203 of 460 - Sale <sup>25</sup>
0.001		0.96	02/26/21	1.00	0.04 W	0.00	204 of 460 - Sale <sup>25</sup>
0.001		0.96	02/26/21	1.00	0.04 W	0.00	205 of 460 - Sale <sup>25</sup>
0.001		0.96	02/26/21	1.00	0.04 W	0.00	206 of 460 - Sale <sup>25</sup>
0.001		0.98	02/26/21	1.00	0.02 W	0.00	207 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.99	02/26/21	1.00	0.01 W	0.00	208 of 460 - Sale <sup>25</sup>
0.001		0.99	02/26/21	1.02	0.03 W	0.00	209 of 460 - Sale <sup>25</sup>
0.002		1.42	02/26/21	1.47	0.05 W	0.00	210 of 460 - Sale <sup>25</sup>
0.004		2.54	02/26/21	2.56	0.02 W	0.00	211 of 460 - Sale <sup>25</sup>
0.001		0.94	03/01/21	1.00	0.06 W	0.00	212 of 460 - Sale <sup>25</sup>
0.001		0.94	03/01/21	1.00	0.06 W	0.00	213 of 460 - Sale <sup>25</sup>
0.001		0.95	03/01/21	1.00	0.05 W	0.00	214 of 460 - Sale <sup>25</sup>
0.001		0.98	03/01/21	1.04	0.06 W	0.00	215 of 460 - Sale <sup>25</sup>
0.001		0.98	03/01/21	1.04	0.06 W	0.00	216 of 460 - Sale <sup>25</sup>
0.001		0.94	03/02/21	1.00	0.06 W	0.00	217 of 460 - Sale <sup>25</sup>
0.001		0.95	03/02/21	1.00	0.05 W	0.00	218 of 460 - Sale <sup>25</sup>
0.001		0.95	03/02/21	1.00	0.05 W	0.00	219 of 460 - Sale <sup>25</sup>
0.001		0.95	03/02/21	1.00	0.05 W	0.00	220 of 460 - Sale <sup>25</sup>
0.001		0.95	03/02/21	1.00	0.05 W	0.00	221 of 460 - Sale <sup>25</sup>
0.001		0.96	03/02/21	1.00	0.04 W	0.00	222 of 460 - Sale <sup>25</sup>
0.001		0.98	03/02/21	1.00	0.02 W	0.00	223 of 460 - Sale <sup>25</sup>
0.001		0.98	03/02/21	1.00	0.02 W	0.00	224 of 460 - Sale <sup>25</sup>
0.002		1.51	03/02/21	1.56	0.05 W	0.00	225 of 460 - Sale <sup>25</sup>
0.003		1.90	03/02/21	2.00	0.10 W	0.00	226 of 460 - Sale <sup>25</sup>
0.003		1.93	03/02/21	2.00	0.07 W	0.00	227 of 460 - Sale <sup>25</sup>
0.003		1.95	03/02/21	2.00	0.05 W	0.00	228 of 460 - Sale <sup>25</sup>
0.004		2.93	03/02/21	3.00	0.07 W	0.00	229 of 460 - Sale <sup>25</sup>
0.015		9.76	03/02/21	10.00	0.24 W	0.00	230 of 460 - Sale <sup>25</sup>
0.001		0.98	03/03/21	1.00	0.02 W	0.00	231 of 460 - Sale <sup>25</sup>
0.001		0.96	03/10/21	1.00	0.04 W	0.00	232 of 460 - Sale <sup>25</sup>
0.001		0.96	03/10/21	1.00	0.04 W	0.00	233 of 460 - Sale <sup>25</sup>
0.001		0.96	03/10/21	1.00	0.04 W	0.00	234 of 460 - Sale <sup>25</sup>
0.001		0.97	03/10/21	1.00	0.03 W	0.00	235 of 460 - Sale <sup>25</sup>
0.001		0.98	03/10/21	1.00	0.02 W	0.00	236 of 460 - Sale <sup>25</sup>
0.001		0.98	03/10/21	1.00	0.02 W	0.00	237 of 460 - Sale <sup>25</sup>
0.001		0.99	03/10/21	1.00	0.01 W	0.00	238 of 460 - Sale <sup>25</sup>
0.001		0.99	03/10/21	1.00	0.01 W	0.00	239 of 460 - Sale <sup>25</sup>
0.001		0.99	03/10/21	1.00	0.01 W	0.00	240 of 460 - Sale <sup>25</sup>
0.001		0.97	03/11/21	1.00	0.03 W	0.00	241 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.97	03/11/21	1.00	0.03 W	0.00	242 of 460 - Sale <sup>25</sup>
0.001		0.97	03/11/21	1.00	0.03 W	0.00	243 of 460 - Sale <sup>25</sup>
0.001		0.97	03/11/21	1.00	0.03 W	0.00	244 of 460 - Sale <sup>25</sup>
0.001		0.97	03/11/21	1.00	0.03 W	0.00	245 of 460 - Sale <sup>25</sup>
0.001		0.97	03/11/21	1.00	0.03 W	0.00	246 of 460 - Sale <sup>25</sup>
0.001		0.97	03/11/21	1.00	0.03 W	0.00	247 of 460 - Sale <sup>25</sup>
0.003		1.93	03/11/21	2.00	0.07 W	0.00	248 of 460 - Sale <sup>25</sup>
0.004		2.71	03/11/21	2.78	0.07 W	0.00	249 of 460 - Sale <sup>25</sup>
0.001		0.96	03/16/21	1.00	0.04 W	0.00	250 of 460 - Sale <sup>25</sup>
0.001		0.96	03/16/21	1.00	0.04 W	0.00	251 of 460 - Sale <sup>25</sup>
0.001		0.96	03/16/21	1.00	0.04 W	0.00	252 of 460 - Sale <sup>25</sup>
0.001		0.96	03/16/21	1.00	0.04 W	0.00	253 of 460 - Sale <sup>25</sup>
0.001		0.96	03/16/21	1.00	0.04 W	0.00	254 of 460 - Sale <sup>25</sup>
0.001		0.96	03/16/21	1.00	0.04 W	0.00	255 of 460 - Sale <sup>25</sup>
0.001		0.97	03/16/21	1.00	0.03 W	0.00	256 of 460 - Sale <sup>25</sup>
0.001		0.97	03/16/21	1.00	0.03 W	0.00	257 of 460 - Sale <sup>25</sup>
0.001		0.97	03/16/21	1.00	0.03 W	0.00	258 of 460 - Sale <sup>25</sup>
0.001		0.97	03/16/21	1.00	0.03 W	0.00	259 of 460 - Sale <sup>25</sup>
0.001		0.98	03/16/21	1.00	0.02 W	0.00	260 of 460 - Sale <sup>25</sup>
0.001		0.98	03/17/21	1.00	0.02 W	0.00	261 of 460 - Sale <sup>25</sup>
0.001		0.99	03/23/21	1.00	0.01 W	0.00	262 of 460 - Sale <sup>25</sup>
0.001		0.99	03/23/21	1.00	0.01 W	0.00	263 of 460 - Sale <sup>25</sup>
0.001		0.96	04/05/21	1.00	0.04 W	0.00	264 of 460 - Sale <sup>25</sup>
0.001		0.97	04/05/21	1.00	0.03 W	0.00	265 of 460 - Sale <sup>25</sup>
0.001		0.97	04/05/21	1.00	0.03 W	0.00	266 of 460 - Sale <sup>25</sup>
0.003		1.95	04/05/21	2.00	0.05 W	0.00	267 of 460 - Sale <sup>25</sup>
0.014		9.65	04/05/21	10.00	0.35 W	0.00	268 of 460 - Sale <sup>25</sup>
0.001		0.98	04/06/21	1.00	0.02 W	0.00	269 of 460 - Sale <sup>25</sup>
0.003		1.96	04/06/21	2.00	0.04 W	0.00	270 of 460 - Sale <sup>25</sup>
0.007		4.91	04/06/21	5.00	0.09 W	0.00	271 of 460 - Sale <sup>25</sup>
0.007		4.91	04/06/21	5.00	0.09 W	0.00	272 of 460 - Sale <sup>25</sup>
0.008		5.27	04/06/21	5.40	0.13 W	0.00	273 of 460 - Sale <sup>25</sup>
0.014		9.74	04/06/21	10.00	0.26 W	0.00	274 of 460 - Sale <sup>25</sup>
0.015		9.82	04/06/21	10.00	0.18 W	0.00	275 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.02	04/07/21	0.03	0.01 W	0.00	276 of 460 - Sale <sup>25</sup>
	0.000	0.05	04/07/21	0.06	0.01 W	0.00	277 of 460 - Sale <sup>25</sup>
	0.000	0.07	04/07/21	0.09	0.02 W	0.00	278 of 460 - Sale <sup>25</sup>
	0.000	0.08	04/07/21	0.10	0.02 W	0.00	279 of 460 - Sale <sup>25</sup>
	0.000	0.11	04/07/21	0.14	0.03 W	0.00	280 of 460 - Sale <sup>25</sup>
	0.000	0.13	04/07/21	0.16	0.03 W	0.00	281 of 460 - Sale <sup>25</sup>
	0.000	0.16	04/07/21	0.21	0.05 W	0.00	282 of 460 - Sale <sup>25</sup>
	0.000	0.20	04/07/21	0.25	0.05 W	0.00	283 of 460 - Sale <sup>25</sup>
	0.000	0.21	04/07/21	0.26	0.05 W	0.00	284 of 460 - Sale <sup>25</sup>
	0.000	0.23	04/07/21	0.30	0.07 W	0.00	285 of 460 - Sale <sup>25</sup>
	0.000	0.26	04/07/21	0.32	0.06 W	0.00	286 of 460 - Sale <sup>25</sup>
	0.000	0.28	04/07/21	0.35	0.07 W	0.00	287 of 460 - Sale <sup>25</sup>
	0.000	0.30	04/07/21	0.40	0.10 W	0.00	288 of 460 - Sale <sup>25</sup>
	0.000	0.31	04/07/21	0.40	0.09 W	0.00	289 of 460 - Sale <sup>25</sup>
	0.000	0.33	04/07/21	0.40	0.07 W	0.00	290 of 460 - Sale <sup>25</sup>
	0.001	0.36	04/07/21	0.45	0.09 W	0.00	291 of 460 - Sale <sup>25</sup>
	0.001	0.40	04/07/21	0.49	0.09 W	0.00	292 of 460 - Sale <sup>25</sup>
	0.001	0.41	04/07/21	0.51	0.10 W	0.00	293 of 460 - Sale <sup>25</sup>
	0.001	0.44	04/07/21	0.54	0.10 W	0.00	294 of 460 - Sale <sup>25</sup>
	0.001	0.46	04/07/21	0.61	0.15 W	0.00	295 of 460 - Sale <sup>25</sup>
	0.001	0.49	04/07/21	0.60	0.11 W	0.00	296 of 460 - Sale <sup>25</sup>
	0.001	0.49	04/07/21	0.62	0.13 W	0.00	297 of 460 - Sale <sup>25</sup>
	0.001	0.52	04/07/21	0.66	0.14 W	0.00	298 of 460 - Sale <sup>25</sup>
	0.001	0.56	04/07/21	0.71	0.15 W	0.00	299 of 460 - Sale <sup>25</sup>
	0.001	0.60	04/07/21	0.74	0.14 W	0.00	300 of 460 - Sale <sup>25</sup>
	0.001	0.61	04/07/21	0.77	0.16 W	0.00	301 of 460 - Sale <sup>25</sup>
	0.001	0.64	04/07/21	0.81	0.17 W	0.00	302 of 460 - Sale <sup>25</sup>
	0.001	0.67	04/07/21	0.85	0.18 W	0.00	303 of 460 - Sale <sup>25</sup>
	0.001	0.70	04/07/21	0.85	0.15 W	0.00	304 of 460 - Sale <sup>25</sup>
	0.001	0.70	04/07/21	0.89	0.19 W	0.00	305 of 460 - Sale <sup>25</sup>
	0.001	0.73	04/07/21	0.91	0.18 W	0.00	306 of 460 - Sale <sup>25</sup>
	0.001	0.74	04/07/21	0.90	0.16 W	0.00	307 of 460 - Sale <sup>25</sup>
	0.001	0.75	04/07/21	0.93	0.18 W	0.00	308 of 460 - Sale <sup>25</sup>
	0.001	0.76	04/07/21	1.01	0.25 W	0.00	309 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.77	04/07/21	1.01	0.24 W	0.00	310 of 460 - Sale <sup>25</sup>
0.001		0.77	04/07/21	1.01	0.24 W	0.00	311 of 460 - Sale <sup>25</sup>
0.001		0.77	04/07/21	1.02	0.25 W	0.00	312 of 460 - Sale <sup>25</sup>
0.001		0.78	04/07/21	0.97	0.19 W	0.00	313 of 460 - Sale <sup>25</sup>
0.001		0.79	04/07/21	0.96	0.17 W	0.00	314 of 460 - Sale <sup>25</sup>
0.001		0.79	04/07/21	1.01	0.22 W	0.00	315 of 460 - Sale <sup>25</sup>
0.001		0.79	04/07/21	1.01	0.22 W	0.00	316 of 460 - Sale <sup>25</sup>
0.001		0.79	04/07/21	1.01	0.22 W	0.00	317 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.01	0.21 W	0.00	318 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.01	0.21 W	0.00	319 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.01	0.21 W	0.00	320 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.01	0.21 W	0.00	321 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.01	0.21 W	0.00	322 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.01	0.21 W	0.00	323 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.02	0.22 W	0.00	324 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.02	0.22 W	0.00	325 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.02	0.22 W	0.00	326 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.02	0.22 W	0.00	327 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.02	0.22 W	0.00	328 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.02	0.22 W	0.00	329 of 460 - Sale <sup>25</sup>
0.001		0.80	04/07/21	1.02	0.22 W	0.00	330 of 460 - Sale <sup>25</sup>
0.001		0.81	04/07/21	1.00	0.19 W	0.00	331 of 460 - Sale <sup>25</sup>
0.001		0.81	04/07/21	1.00	0.19 W	0.00	332 of 460 - Sale <sup>25</sup>
0.001		0.81	04/07/21	1.00	0.19 W	0.00	333 of 460 - Sale <sup>25</sup>
0.001		0.81	04/07/21	1.00	0.19 W	0.00	334 of 460 - Sale <sup>25</sup>
0.001		0.81	04/07/21	1.00	0.19 W	0.00	335 of 460 - Sale <sup>25</sup>
0.001		0.81	04/07/21	1.00	0.19 W	0.00	336 of 460 - Sale <sup>25</sup>
0.001		0.81	04/07/21	0.99	0.18 W	0.00	337 of 460 - Sale <sup>25</sup>
0.001		0.81	04/07/21	1.00	0.19 W	0.00	338 of 460 - Sale <sup>25</sup>
0.001		0.82	04/07/21	1.01	0.19 W	0.00	339 of 460 - Sale <sup>25</sup>
0.001		0.82	04/07/21	1.01	0.19 W	0.00	340 of 460 - Sale <sup>25</sup>
0.001		0.82	04/07/21	1.01	0.19 W	0.00	341 of 460 - Sale <sup>25</sup>
0.001		0.83	04/07/21	1.03	0.20 W	0.00	342 of 460 - Sale <sup>25</sup>
0.001		0.87	04/07/21	1.01	0.14 W	0.00	343 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.89	04/07/21	1.01	0.12 W	0.00	344 of 460 - Sale <sup>25</sup>
	0.001	0.90	04/07/21	1.14	0.24 W	0.00	345 of 460 - Sale <sup>25</sup>
	0.002	1.32	04/07/21	1.49	0.17 W	0.00	346 of 460 - Sale <sup>25</sup>
	0.002	1.60	04/07/21	2.01	0.41 W	0.00	347 of 460 - Sale <sup>25</sup>
	0.005	3.15	04/07/21	3.58	0.43 W	0.00	348 of 460 - Sale <sup>25</sup>
	0.000	0.12	04/08/21	0.15	0.03 W	0.00	349 of 460 - Sale <sup>25</sup>
	0.000	0.30	04/08/21	0.36	0.06 W	0.00	350 of 460 - Sale <sup>25</sup>
	0.001	0.38	04/08/21	0.47	0.09 W	0.00	351 of 460 - Sale <sup>25</sup>
	0.001	0.44	04/08/21	0.54	0.10 W	0.00	352 of 460 - Sale <sup>25</sup>
	0.001	0.55	04/08/21	0.68	0.13 W	0.00	353 of 460 - Sale <sup>25</sup>
	0.001	0.69	04/08/21	0.85	0.16 W	0.00	354 of 460 - Sale <sup>25</sup>
	0.001	0.82	04/08/21	1.02	0.20 W	0.00	355 of 460 - Sale <sup>25</sup>
	0.000	0.15	04/09/21	0.19	0.04 W	0.00	356 of 460 - Sale <sup>25</sup>
	0.000	0.20	04/09/21	0.24	0.04 W	0.00	357 of 460 - Sale <sup>25</sup>
	0.000	0.24	04/09/21	0.30	0.06 W	0.00	358 of 460 - Sale <sup>25</sup>
	0.001	0.36	04/09/21	0.43	0.07 W	0.00	359 of 460 - Sale <sup>25</sup>
	0.001	0.36	04/09/21	0.46	0.10 W	0.00	360 of 460 - Sale <sup>25</sup>
	0.001	0.47	04/09/21	0.57	0.10 W	0.00	361 of 460 - Sale <sup>25</sup>
	0.001	0.53	04/09/21	0.64	0.11 W	0.00	362 of 460 - Sale <sup>25</sup>
	0.001	0.63	04/09/21	0.77	0.14 W	0.00	363 of 460 - Sale <sup>25</sup>
	0.001	0.64	04/09/21	0.81	0.17 W	0.00	364 of 460 - Sale <sup>25</sup>
	0.001	0.78	04/09/21	1.00	0.22 W	0.00	365 of 460 - Sale <sup>25</sup>
	0.001	0.80	04/09/21	1.00	0.20 W	0.00	366 of 460 - Sale <sup>25</sup>
	0.001	0.80	04/09/21	1.01	0.21 W	0.00	367 of 460 - Sale <sup>25</sup>
	0.001	1.00	04/09/21	1.27	0.27 W	0.00	368 of 460 - Sale <sup>25</sup>
	0.000	0.18	04/14/21	0.25	0.07 W	0.00	369 of 460 - Sale <sup>25</sup>
	0.000	0.28	04/14/21	0.38	0.10 W	0.00	370 of 460 - Sale <sup>25</sup>
	0.000	0.32	04/14/21	0.44	0.12 W	0.00	371 of 460 - Sale <sup>25</sup>
	0.001	0.39	04/14/21	0.53	0.14 W	0.00	372 of 460 - Sale <sup>25</sup>
	0.001	0.41	04/14/21	0.56	0.15 W	0.00	373 of 460 - Sale <sup>25</sup>
	0.001	0.48	04/14/21	0.66	0.18 W	0.00	374 of 460 - Sale <sup>25</sup>
	0.001	0.51	04/14/21	0.71	0.20 W	0.00	375 of 460 - Sale <sup>25</sup>
	0.001	0.56	04/14/21	0.78	0.22 W	0.00	376 of 460 - Sale <sup>25</sup>
	0.001	0.61	04/14/21	0.85	0.24 W	0.00	377 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.79	04/14/21	1.07	0.28 W	0.00	378 of 460 - Sale <sup>25</sup>
	0.001	0.79	04/14/21	1.07	0.28 W	0.00	379 of 460 - Sale <sup>25</sup>
	0.001	0.79	04/14/21	1.08	0.29 W	0.00	380 of 460 - Sale <sup>25</sup>
	0.001	0.79	04/14/21	1.07	0.28 W	0.00	381 of 460 - Sale <sup>25</sup>
	0.001	0.80	04/14/21	1.07	0.27 W	0.00	382 of 460 - Sale <sup>25</sup>
	0.001	0.80	04/14/21	1.08	0.28 W	0.00	383 of 460 - Sale <sup>25</sup>
	0.001	0.80	04/14/21	1.08	0.28 W	0.00	384 of 460 - Sale <sup>25</sup>
	0.001	0.82	04/14/21	1.08	0.26 W	0.00	385 of 460 - Sale <sup>25</sup>
	0.000	0.15	04/14/21	0.21	0.06 W	0.00	386 of 460 - Sale <sup>25</sup>
	0.001	0.80	04/14/21	1.07	0.27 W	0.00	387 of 460 - Sale <sup>25</sup>
	0.001	0.80	04/14/21	1.08	0.28 W	0.00	388 of 460 - Sale <sup>25</sup>
	0.001	0.80	04/14/21	1.08	0.28 W	0.00	389 of 460 - Sale <sup>25</sup>
	0.002	1.54	04/15/21	2.00	0.46 W	0.00	390 of 460 - Sale <sup>25</sup>
	0.000	0.01	04/15/21	0.02	0.01 W	0.00	391 of 460 - Sale <sup>25</sup>
	0.000	0.03	04/15/21	0.04	0.01 W	0.00	392 of 460 - Sale <sup>25</sup>
	0.000	0.04	04/15/21	0.06	0.02 W	0.00	393 of 460 - Sale <sup>25</sup>
	0.000	0.10	04/15/21	0.14	0.04 W	0.00	394 of 460 - Sale <sup>25</sup>
	0.000	0.11	04/15/21	0.14	0.03 W	0.00	395 of 460 - Sale <sup>25</sup>
	0.000	0.13	04/15/21	0.18	0.05 W	0.00	396 of 460 - Sale <sup>25</sup>
	0.000	0.17	04/15/21	0.22	0.05 W	0.00	397 of 460 - Sale <sup>25</sup>
	0.000	0.22	04/15/21	0.30	0.08 W	0.00	398 of 460 - Sale <sup>25</sup>
	0.000	0.23	04/15/21	0.31	0.08 W	0.00	399 of 460 - Sale <sup>25</sup>
	0.000	0.25	04/15/21	0.34	0.09 W	0.00	400 of 460 - Sale <sup>25</sup>
	0.000	0.26	04/15/21	0.35	0.09 W	0.00	401 of 460 - Sale <sup>25</sup>
	0.000	0.26	04/15/21	0.36	0.10 W	0.00	402 of 460 - Sale <sup>25</sup>
	0.000	0.33	04/15/21	0.43	0.10 W	0.00	403 of 460 - Sale <sup>25</sup>
	0.001	0.39	04/15/21	0.52	0.13 W	0.00	404 of 460 - Sale <sup>25</sup>
	0.001	0.41	04/15/21	0.55	0.14 W	0.00	405 of 460 - Sale <sup>25</sup>
	0.001	0.50	04/15/21	0.64	0.14 W	0.00	406 of 460 - Sale <sup>25</sup>
	0.001	0.52	04/15/21	0.71	0.19 W	0.00	407 of 460 - Sale <sup>25</sup>
	0.001	0.53	04/15/21	0.71	0.18 W	0.00	408 of 460 - Sale <sup>25</sup>
	0.001	0.53	04/15/21	0.73	0.20 W	0.00	409 of 460 - Sale <sup>25</sup>
	0.001	0.57	04/15/21	0.76	0.19 W	0.00	410 of 460 - Sale <sup>25</sup>
	0.001	0.59	04/15/21	0.77	0.18 W	0.00	411 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.62	04/15/21	0.84	0.22 W	0.00	412 of 460 - Sale <sup>25</sup>
0.001		0.65	04/15/21	0.87	0.22 W	0.00	413 of 460 - Sale <sup>25</sup>
0.001		0.68	04/15/21	0.91	0.23 W	0.00	414 of 460 - Sale <sup>25</sup>
0.001		0.69	04/15/21	0.91	0.22 W	0.00	415 of 460 - Sale <sup>25</sup>
0.001		0.75	04/15/21	1.02	0.27 W	0.00	416 of 460 - Sale <sup>25</sup>
0.001		0.76	04/15/21	1.01	0.25 W	0.00	417 of 460 - Sale <sup>25</sup>
0.001		0.78	04/15/21	1.06	0.28 W	0.00	418 of 460 - Sale <sup>25</sup>
0.001		0.78	04/15/21	1.06	0.28 W	0.00	419 of 460 - Sale <sup>25</sup>
0.001		0.78	04/15/21	1.07	0.29 W	0.00	420 of 460 - Sale <sup>25</sup>
0.001		0.78	04/15/21	1.07	0.29 W	0.00	421 of 460 - Sale <sup>25</sup>
0.001		0.78	04/15/21	1.05	0.27 W	0.00	422 of 460 - Sale <sup>25</sup>
0.001		0.78	04/15/21	1.06	0.28 W	0.00	423 of 460 - Sale <sup>25</sup>
0.001		0.78	04/15/21	1.07	0.29 W	0.00	424 of 460 - Sale <sup>25</sup>
0.001		0.79	04/15/21	1.06	0.27 W	0.00	425 of 460 - Sale <sup>25</sup>
0.001		0.79	04/15/21	1.06	0.27 W	0.00	426 of 460 - Sale <sup>25</sup>
0.001		0.80	04/15/21	1.04	0.24 W	0.00	427 of 460 - Sale <sup>25</sup>
0.001		0.80	04/15/21	1.07	0.27 W	0.00	428 of 460 - Sale <sup>25</sup>
0.001		0.80	04/15/21	1.08	0.28 W	0.00	429 of 460 - Sale <sup>25</sup>
0.001		0.81	04/15/21	1.08	0.27 W	0.00	430 of 460 - Sale <sup>25</sup>
0.001		0.81	04/15/21	1.08	0.27 W	0.00	431 of 460 - Sale <sup>25</sup>
0.001		0.81	04/15/21	1.08	0.27 W	0.00	432 of 460 - Sale <sup>25</sup>
0.001		0.81	04/15/21	1.08	0.27 W	0.00	433 of 460 - Sale <sup>25</sup>
0.001		0.82	04/15/21	1.08	0.26 W	0.00	434 of 460 - Sale <sup>25</sup>
0.001		0.82	04/15/21	1.08	0.26 W	0.00	435 of 460 - Sale <sup>25</sup>
0.001		0.82	04/15/21	1.08	0.26 W	0.00	436 of 460 - Sale <sup>25</sup>
0.001		0.88	04/15/21	1.11	0.23 W	0.00	437 of 460 - Sale <sup>25</sup>
0.001		0.88	04/15/21	1.19	0.31 W	0.00	438 of 460 - Sale <sup>25</sup>
0.001		0.90	04/15/21	1.21	0.31 W	0.00	439 of 460 - Sale <sup>25</sup>
0.001		0.96	04/15/21	1.29	0.33 W	0.00	440 of 460 - Sale <sup>25</sup>
0.002		1.65	04/15/21	2.15	0.50 W	0.00	441 of 460 - Sale <sup>25</sup>
0.002		1.66	04/15/21	2.15	0.49 W	0.00	442 of 460 - Sale <sup>25</sup>
0.002		1.67	04/15/21	2.15	0.48 W	0.00	443 of 460 - Sale <sup>25</sup>
0.003		2.00	04/15/21	2.71	0.71 W	0.00	444 of 460 - Sale <sup>25</sup>
0.004		2.65	04/15/21	3.59	0.94 W	0.00	445 of 460 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.007	4.70	04/15/21	6.40	1.70 W	0.00	446 of 460 - Sale <sup>25</sup>
	0.000	0.05	04/16/21	0.07	0.02 W	0.00	447 of 460 - Sale <sup>25</sup>
	0.001	0.87	04/16/21	1.11	0.24 W	0.00	448 of 460 - Sale <sup>25</sup>
	0.000	0.05	04/19/21	0.06	0.01 W	0.00	449 of 460 - Sale <sup>25</sup>
	0.001	0.92	04/19/21	0.95	0.03 W	0.00	450 of 460 - Sale <sup>25</sup>
	0.001	0.91	04/26/21	1.00	0.09 W	0.00	451 of 460 - Sale <sup>25</sup>
	0.014	9.15	04/26/21	10.00	0.85 W	0.00	452 of 460 - Sale <sup>25</sup>
	0.014	9.37	04/27/21	9.97	0.60 W	0.00	453 of 460 - Sale <sup>25</sup>
	0.014	9.38	04/27/21	10.00	0.62 W	0.00	454 of 460 - Sale <sup>25</sup>
	0.014	9.53	04/27/21	10.00	0.47 W	0.00	455 of 460 - Sale <sup>25</sup>
	0.001	0.96	04/30/21	1.00	0.04 W	0.00	456 of 460 - Sale <sup>25</sup>
	0.002	1.19	04/30/21	1.24	0.05 W	0.00	457 of 460 - Sale <sup>25</sup>
	0.001	0.97	05/03/21	1.00	0.03 W	0.00	458 of 460 - Sale <sup>25</sup>
	0.003	1.92	05/03/21	2.00	0.08 W	0.00	459 of 460 - Sale <sup>25</sup>
	0.003	1.93	05/03/21	2.00	0.07 W	0.00	460 of 460 - Sale <sup>25</sup>
05/07/21	0.852	573.64	Various	669.35	95.71 W	0.00	Total of 460 transactions
	8 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	05/14/21	0.01	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.000	0.03	05/14/21	0.03	...	0.00	2 of 8 - Sale <sup>25</sup>
	0.000	0.05	05/14/21	0.05	...	0.00	3 of 8 - Sale <sup>25</sup>
	0.000	0.21	05/14/21	0.21	...	0.00	4 of 8 - Sale <sup>25</sup>
	0.001	0.49	05/14/21	0.49	...	0.00	5 of 8 - Sale <sup>25</sup>
	0.001	0.72	05/14/21	0.72	...	0.00	6 of 8 - Sale <sup>25</sup>
	0.001	0.85	05/14/21	0.85	...	0.00	7 of 8 - Sale <sup>25</sup>
	0.004	2.19	05/14/21	2.17	...	0.02	8 of 8 - Sale <sup>25</sup>
05/17/21	0.008	4.55	Various	4.53	...	0.02	Total of 8 transactions
	197 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	0.001	0.63	05/12/21	0.80	0.17 W	0.00	1 of 197 - Sale <sup>25</sup>
	0.001	0.71	05/12/21	0.91	0.20 W	0.00	2 of 197 - Sale <sup>25</sup>
	0.001	0.71	05/12/21	0.91	0.20 W	0.00	3 of 197 - Sale <sup>25</sup>
	0.001	0.71	05/12/21	0.91	0.20 W	0.00	4 of 197 - Sale <sup>25</sup>
	0.001	0.72	05/12/21	0.91	0.19 W	0.00	5 of 197 - Sale <sup>25</sup>
	0.001	0.72	05/12/21	0.90	0.18 W	0.00	6 of 197 - Sale <sup>25</sup>
	0.001	0.72	05/12/21	0.90	0.18 W	0.00	7 of 197 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.72	05/12/21	0.91	0.19 W	0.00	8 of 197 - Sale <sup>25</sup>
0.001		0.72	05/12/21	0.91	0.19 W	0.00	9 of 197 - Sale <sup>25</sup>
0.001		0.72	05/12/21	0.91	0.19 W	0.00	10 of 197 - Sale <sup>25</sup>
0.001		0.72	05/12/21	0.91	0.19 W	0.00	11 of 197 - Sale <sup>25</sup>
0.001		0.72	05/12/21	0.91	0.19 W	0.00	12 of 197 - Sale <sup>25</sup>
0.001		0.72	05/12/21	0.91	0.19 W	0.00	13 of 197 - Sale <sup>25</sup>
0.001		0.72	05/12/21	0.90	0.18 W	0.00	14 of 197 - Sale <sup>25</sup>
0.001		0.72	05/12/21	0.91	0.19 W	0.00	15 of 197 - Sale <sup>25</sup>
0.001		0.73	05/12/21	0.91	0.18 W	0.00	16 of 197 - Sale <sup>25</sup>
0.001		0.73	05/12/21	0.91	0.18 W	0.00	17 of 197 - Sale <sup>25</sup>
0.001		0.73	05/12/21	0.90	0.17 W	0.00	18 of 197 - Sale <sup>25</sup>
0.002		1.11	05/12/21	1.41	0.30 W	0.00	19 of 197 - Sale <sup>25</sup>
0.002		1.18	05/12/21	1.50	0.32 W	0.00	20 of 197 - Sale <sup>25</sup>
0.002		1.21	05/12/21	1.55	0.34 W	0.00	21 of 197 - Sale <sup>25</sup>
0.002		1.33	05/12/21	1.68	0.35 W	0.00	22 of 197 - Sale <sup>25</sup>
0.002		1.42	05/12/21	1.81	0.39 W	0.00	23 of 197 - Sale <sup>25</sup>
0.002		1.43	05/12/21	1.80	0.37 W	0.00	24 of 197 - Sale <sup>25</sup>
0.002		1.44	05/12/21	1.81	0.37 W	0.00	25 of 197 - Sale <sup>25</sup>
0.003		1.46	05/12/21	1.81	0.35 W	0.00	26 of 197 - Sale <sup>25</sup>
0.003		1.60	05/12/21	2.02	0.42 W	0.00	27 of 197 - Sale <sup>25</sup>
0.003		1.90	05/12/21	2.38	0.48 W	0.00	28 of 197 - Sale <sup>25</sup>
0.003		1.95	05/12/21	2.44	0.49 W	0.00	29 of 197 - Sale <sup>25</sup>
0.003		1.99	05/12/21	2.51	0.52 W	0.00	30 of 197 - Sale <sup>25</sup>
0.006		3.61	05/12/21	4.53	0.92 W	0.00	31 of 197 - Sale <sup>25</sup>
0.006		3.63	05/12/21	4.54	0.91 W	0.00	32 of 197 - Sale <sup>25</sup>
0.006		3.66	05/12/21	4.52	0.86 W	0.00	33 of 197 - Sale <sup>25</sup>
0.010		5.67	05/12/21	7.23	1.56 W	0.00	34 of 197 - Sale <sup>25</sup>
0.022		12.55	05/12/21	15.64	3.09 W	0.00	35 of 197 - Sale <sup>25</sup>
0.000		0.06	05/13/21	0.07	0.01 W	0.00	36 of 197 - Sale <sup>25</sup>
0.000		0.08	05/13/21	0.09	0.01 W	0.00	37 of 197 - Sale <sup>25</sup>
0.000		0.11	05/13/21	0.13	0.02 W	0.00	38 of 197 - Sale <sup>25</sup>
0.000		0.12	05/13/21	0.15	0.03 W	0.00	39 of 197 - Sale <sup>25</sup>
0.000		0.23	05/13/21	0.27	0.04 W	0.00	40 of 197 - Sale <sup>25</sup>
0.001		0.36	05/13/21	0.44	0.08 W	0.00	41 of 197 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.36	05/13/21	0.44	0.08 W	0.00	42 of 197 - Sale <sup>25</sup>
0.001		0.50	05/13/21	0.60	0.10 W	0.00	43 of 197 - Sale <sup>25</sup>
0.001		0.60	05/13/21	0.73	0.13 W	0.00	44 of 197 - Sale <sup>25</sup>
0.001		0.61	05/13/21	0.74	0.13 W	0.00	45 of 197 - Sale <sup>25</sup>
0.001		0.63	05/13/21	0.75	0.12 W	0.00	46 of 197 - Sale <sup>25</sup>
0.001		0.65	05/13/21	0.78	0.13 W	0.00	47 of 197 - Sale <sup>25</sup>
0.001		0.72	05/13/21	0.86	0.14 W	0.00	48 of 197 - Sale <sup>25</sup>
0.001		0.72	05/13/21	0.87	0.15 W	0.00	49 of 197 - Sale <sup>25</sup>
0.001		0.73	05/13/21	0.88	0.15 W	0.00	50 of 197 - Sale <sup>25</sup>
0.001		0.73	05/13/21	0.88	0.15 W	0.00	51 of 197 - Sale <sup>25</sup>
0.001		0.87	05/13/21	1.08	0.21 W	0.00	52 of 197 - Sale <sup>25</sup>
0.002		0.98	05/13/21	1.22	0.24 W	0.00	53 of 197 - Sale <sup>25</sup>
0.002		1.00	05/13/21	1.23	0.23 W	0.00	54 of 197 - Sale <sup>25</sup>
0.002		1.00	05/13/21	1.23	0.23 W	0.00	55 of 197 - Sale <sup>25</sup>
0.002		1.00	05/13/21	1.25	0.25 W	0.00	56 of 197 - Sale <sup>25</sup>
0.002		1.01	05/13/21	1.26	0.25 W	0.00	57 of 197 - Sale <sup>25</sup>
0.002		1.03	05/13/21	1.23	0.20 W	0.00	58 of 197 - Sale <sup>25</sup>
0.002		1.40	05/13/21	1.72	0.32 W	0.00	59 of 197 - Sale <sup>25</sup>
0.003		1.95	05/13/21	2.37	0.42 W	0.00	60 of 197 - Sale <sup>25</sup>
0.005		2.93	05/13/21	3.67	0.74 W	0.00	61 of 197 - Sale <sup>25</sup>
0.007		3.81	05/13/21	4.56	0.75 W	0.00	62 of 197 - Sale <sup>25</sup>
0.000		0.06	05/14/21	0.07	0.01 W	0.00	63 of 197 - Sale <sup>25</sup>
0.000		0.06	05/14/21	0.07	0.01 W	0.00	64 of 197 - Sale <sup>25</sup>
0.000		0.10	05/14/21	0.11	0.01 W	0.00	65 of 197 - Sale <sup>25</sup>
0.000		0.11	05/14/21	0.12	0.01 W	0.00	66 of 197 - Sale <sup>25</sup>
0.000		0.12	05/14/21	0.15	0.03 W	0.00	67 of 197 - Sale <sup>25</sup>
0.000		0.12	05/14/21	0.15	0.03 W	0.00	68 of 197 - Sale <sup>25</sup>
0.000		0.13	05/14/21	0.14	0.01 W	0.00	69 of 197 - Sale <sup>25</sup>
0.000		0.15	05/14/21	0.16	0.01 W	0.00	70 of 197 - Sale <sup>25</sup>
0.000		0.18	05/14/21	0.19	0.01 W	0.00	71 of 197 - Sale <sup>25</sup>
0.000		0.21	05/14/21	0.25	0.04 W	0.00	72 of 197 - Sale <sup>25</sup>
0.000		0.21	05/14/21	0.25	0.04 W	0.00	73 of 197 - Sale <sup>25</sup>
0.000		0.23	05/14/21	0.24	0.01 W	0.00	74 of 197 - Sale <sup>25</sup>
0.000		0.27	05/14/21	0.28	0.01 W	0.00	75 of 197 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.27	05/14/21	0.28	0.01 W	0.00	76 of 197 - Sale <sup>25</sup>
	0.000	0.27	05/14/21	0.29	0.02 W	0.00	77 of 197 - Sale <sup>25</sup>
	0.001	0.29	05/14/21	0.30	0.01 W	0.00	78 of 197 - Sale <sup>25</sup>
	0.001	0.32	05/14/21	0.33	0.01 W	0.00	79 of 197 - Sale <sup>25</sup>
	0.001	0.33	05/14/21	0.34	0.01 W	0.00	80 of 197 - Sale <sup>25</sup>
	0.001	0.33	05/14/21	0.40	0.07 W	0.00	81 of 197 - Sale <sup>25</sup>
	0.001	0.33	05/14/21	0.34	0.01 W	0.00	82 of 197 - Sale <sup>25</sup>
	0.001	0.40	05/14/21	0.48	0.08 W	0.00	83 of 197 - Sale <sup>25</sup>
	0.001	0.41	05/14/21	0.43	0.02 W	0.00	84 of 197 - Sale <sup>25</sup>
	0.001	0.43	05/14/21	0.45	0.02 W	0.00	85 of 197 - Sale <sup>25</sup>
	0.001	0.44	05/14/21	0.46	0.02 W	0.00	86 of 197 - Sale <sup>25</sup>
	0.001	0.45	05/14/21	0.47	0.02 W	0.00	87 of 197 - Sale <sup>25</sup>
	0.001	0.48	05/14/21	0.50	0.02 W	0.00	88 of 197 - Sale <sup>25</sup>
	0.001	0.50	05/14/21	0.52	0.02 W	0.00	89 of 197 - Sale <sup>25</sup>
	0.001	0.52	05/14/21	0.54	0.02 W	0.00	90 of 197 - Sale <sup>25</sup>
	0.001	0.52	05/14/21	0.63	0.11 W	0.00	91 of 197 - Sale <sup>25</sup>
	0.001	0.53	05/14/21	0.57	0.04 W	0.00	92 of 197 - Sale <sup>25</sup>
	0.001	0.56	05/14/21	0.57	0.01 W	0.00	93 of 197 - Sale <sup>25</sup>
	0.001	0.58	05/14/21	0.62	0.04 W	0.00	94 of 197 - Sale <sup>25</sup>
	0.001	0.61	05/14/21	0.75	0.14 W	0.00	95 of 197 - Sale <sup>25</sup>
	0.001	0.63	05/14/21	0.74	0.11 W	0.00	96 of 197 - Sale <sup>25</sup>
	0.001	0.64	05/14/21	0.66	0.02 W	0.00	97 of 197 - Sale <sup>25</sup>
	0.001	0.65	05/14/21	0.71	0.06 W	0.00	98 of 197 - Sale <sup>25</sup>
	0.001	0.67	05/14/21	0.71	0.04 W	0.00	99 of 197 - Sale <sup>25</sup>
	0.001	0.67	05/14/21	0.82	0.15 W	0.00	100 of 197 - Sale <sup>25</sup>
	0.001	0.67	05/14/21	0.68	0.01 W	0.00	101 of 197 - Sale <sup>25</sup>
	0.001	0.67	05/14/21	0.69	0.02 W	0.00	102 of 197 - Sale <sup>25</sup>
	0.001	0.68	05/14/21	0.70	0.02 W	0.00	103 of 197 - Sale <sup>25</sup>
	0.001	0.68	05/14/21	0.71	0.03 W	0.00	104 of 197 - Sale <sup>25</sup>
	0.001	0.73	05/14/21	0.89	0.16 W	0.00	105 of 197 - Sale <sup>25</sup>
	0.001	0.73	05/14/21	0.88	0.15 W	0.00	106 of 197 - Sale <sup>25</sup>
	0.001	0.73	05/14/21	0.88	0.15 W	0.00	107 of 197 - Sale <sup>25</sup>
	0.001	0.73	05/14/21	0.89	0.16 W	0.00	108 of 197 - Sale <sup>25</sup>
	0.001	0.74	05/14/21	0.75	0.01 W	0.00	109 of 197 - Sale <sup>25</sup>

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Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.74	05/14/21	0.77	0.03 W	0.00	110 of 197 - Sale <sup>25</sup>
	0.001	0.74	05/14/21	0.88	0.14 W	0.00	111 of 197 - Sale <sup>25</sup>
	0.001	0.74	05/14/21	0.88	0.14 W	0.00	112 of 197 - Sale <sup>25</sup>
	0.001	0.74	05/14/21	0.89	0.15 W	0.00	113 of 197 - Sale <sup>25</sup>
	0.001	0.74	05/14/21	0.90	0.16 W	0.00	114 of 197 - Sale <sup>25</sup>
	0.001	0.75	05/14/21	0.87	0.12 W	0.00	115 of 197 - Sale <sup>25</sup>
	0.001	0.75	05/14/21	0.89	0.14 W	0.00	116 of 197 - Sale <sup>25</sup>
	0.001	0.75	05/14/21	0.89	0.14 W	0.00	117 of 197 - Sale <sup>25</sup>
	0.001	0.75	05/14/21	0.89	0.14 W	0.00	118 of 197 - Sale <sup>25</sup>
	0.001	0.75	05/14/21	0.89	0.14 W	0.00	119 of 197 - Sale <sup>25</sup>
	0.001	0.77	05/14/21	0.81	0.04 W	0.00	120 of 197 - Sale <sup>25</sup>
	0.001	0.80	05/14/21	0.84	0.04 W	0.00	121 of 197 - Sale <sup>25</sup>
	0.001	0.81	05/14/21	0.86	0.05 W	0.00	122 of 197 - Sale <sup>25</sup>
	0.001	0.81	05/14/21	0.86	0.05 W	0.00	123 of 197 - Sale <sup>25</sup>
	0.001	0.81	05/14/21	0.86	0.05 W	0.00	124 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	125 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	126 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	127 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	128 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	129 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.85	0.03 W	0.00	130 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	131 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	132 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	133 of 197 - Sale <sup>25</sup>
	0.001	0.82	05/14/21	0.86	0.04 W	0.00	134 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.85	0.02 W	0.00	135 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.85	0.02 W	0.00	136 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.86	0.03 W	0.00	137 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.86	0.03 W	0.00	138 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.86	0.03 W	0.00	139 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.86	0.03 W	0.00	140 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.87	0.04 W	0.00	141 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.87	0.04 W	0.00	142 of 197 - Sale <sup>25</sup>
	0.001	0.83	05/14/21	0.87	0.04 W	0.00	143 of 197 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.83	05/14/21	0.85	0.02 W	0.00	144 of 197 - Sale <sup>25</sup>
0.001		0.83	05/14/21	0.86	0.03 W	0.00	145 of 197 - Sale <sup>25</sup>
0.001		0.83	05/14/21	0.86	0.03 W	0.00	146 of 197 - Sale <sup>25</sup>
0.001		0.83	05/14/21	0.86	0.03 W	0.00	147 of 197 - Sale <sup>25</sup>
0.001		0.83	05/14/21	0.87	0.04 W	0.00	148 of 197 - Sale <sup>25</sup>
0.001		0.83	05/14/21	0.87	0.04 W	0.00	149 of 197 - Sale <sup>25</sup>
0.001		0.84	05/14/21	0.87	0.03 W	0.00	150 of 197 - Sale <sup>25</sup>
0.001		0.84	05/14/21	0.87	0.03 W	0.00	151 of 197 - Sale <sup>25</sup>
0.001		0.84	05/14/21	0.85	0.01 W	0.00	152 of 197 - Sale <sup>25</sup>
0.001		0.84	05/14/21	0.86	0.02 W	0.00	153 of 197 - Sale <sup>25</sup>
0.001		0.84	05/14/21	0.87	0.03 W	0.00	154 of 197 - Sale <sup>25</sup>
0.001		0.84	05/14/21	0.87	0.03 W	0.00	155 of 197 - Sale <sup>25</sup>
0.001		0.84	05/14/21	0.90	0.06 W	0.00	156 of 197 - Sale <sup>25</sup>
0.001		0.85	05/14/21	0.86	0.01 W	0.00	157 of 197 - Sale <sup>25</sup>
0.001		0.85	05/14/21	0.86	0.01 W	0.00	158 of 197 - Sale <sup>25</sup>
0.001		0.85	05/14/21	0.86	0.01 W	0.00	159 of 197 - Sale <sup>25</sup>
0.001		0.85	05/14/21	0.87	0.02 W	0.00	160 of 197 - Sale <sup>25</sup>
0.001		0.86	05/14/21	0.89	0.03 W	0.00	161 of 197 - Sale <sup>25</sup>
0.002		0.89	05/14/21	0.93	0.04 W	0.00	162 of 197 - Sale <sup>25</sup>
0.002		0.91	05/14/21	0.93	0.02 W	0.00	163 of 197 - Sale <sup>25</sup>
0.002		0.94	05/14/21	0.99	0.05 W	0.00	164 of 197 - Sale <sup>25</sup>
0.002		0.96	05/14/21	0.99	0.03 W	0.00	165 of 197 - Sale <sup>25</sup>
0.002		1.01	05/14/21	1.03	0.02 W	0.00	166 of 197 - Sale <sup>25</sup>
0.002		1.01	05/14/21	1.03	0.02 W	0.00	167 of 197 - Sale <sup>25</sup>
0.002		1.01	05/14/21	1.04	0.03 W	0.00	168 of 197 - Sale <sup>25</sup>
0.002		1.10	05/14/21	1.14	0.04 W	0.00	169 of 197 - Sale <sup>25</sup>
0.002		1.11	05/14/21	1.14	0.03 W	0.00	170 of 197 - Sale <sup>25</sup>
0.002		1.14	05/14/21	1.16	0.02 W	0.00	171 of 197 - Sale <sup>25</sup>
0.002		1.18	05/14/21	1.22	0.04 W	0.00	172 of 197 - Sale <sup>25</sup>
0.002		1.22	05/14/21	1.25	0.03 W	0.00	173 of 197 - Sale <sup>25</sup>
0.002		1.30	05/14/21	1.34	0.04 W	0.00	174 of 197 - Sale <sup>25</sup>
0.003		1.45	05/14/21	1.78	0.33 W	0.00	175 of 197 - Sale <sup>25</sup>
0.003		1.46	05/14/21	1.78	0.32 W	0.00	176 of 197 - Sale <sup>25</sup>
0.003		1.50	05/14/21	1.57	0.07 W	0.00	177 of 197 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	1.54	05/14/21	1.57	0.03 W	0.00	178 of 197 - Sale <sup>25</sup>
	0.003	1.56	05/14/21	1.62	0.06 W	0.00	179 of 197 - Sale <sup>25</sup>
	0.003	1.64	05/14/21	1.72	0.08 W	0.00	180 of 197 - Sale <sup>25</sup>
	0.003	1.68	05/14/21	1.74	0.06 W	0.00	181 of 197 - Sale <sup>25</sup>
	0.003	1.68	05/14/21	1.74	0.06 W	0.00	182 of 197 - Sale <sup>25</sup>
	0.003	1.74	05/14/21	1.77	0.03 W	0.00	183 of 197 - Sale <sup>25</sup>
	0.003	1.98	05/14/21	2.35	0.37 W	0.00	184 of 197 - Sale <sup>25</sup>
	0.003	1.98	05/14/21	2.35	0.37 W	0.00	185 of 197 - Sale <sup>25</sup>
	0.003	2.00	05/14/21	2.09	0.09 W	0.00	186 of 197 - Sale <sup>25</sup>
	0.003	2.00	05/14/21	2.09	0.09 W	0.00	187 of 197 - Sale <sup>25</sup>
	0.003	2.02	05/14/21	2.06	0.04 W	0.00	188 of 197 - Sale <sup>25</sup>
	0.004	2.17	05/14/21	2.67	0.50 W	0.00	189 of 197 - Sale <sup>25</sup>
	0.004	2.23	05/14/21	2.63	0.40 W	0.00	190 of 197 - Sale <sup>25</sup>
	0.005	2.73	05/14/21	3.24	0.51 W	0.00	191 of 197 - Sale <sup>25</sup>
	0.006	3.31	05/14/21	3.93	0.62 W	0.00	192 of 197 - Sale <sup>25</sup>
	0.006	3.51	05/14/21	3.58	0.07 W	0.00	193 of 197 - Sale <sup>25</sup>
	0.008	4.47	05/14/21	4.60	0.13 W	0.00	194 of 197 - Sale <sup>25</sup>
	0.008	4.54	05/14/21	4.70	0.16 W	0.00	195 of 197 - Sale <sup>25</sup>
	0.008	4.58	05/14/21	4.73	0.15 W	0.00	196 of 197 - Sale <sup>25</sup>
	0.008	4.75	05/14/21	5.63	0.88 W	0.00	197 of 197 - Sale <sup>25</sup>
05/17/21	0.374	216.88	Various	248.12	31.24 W	0.00	Total of 197 transactions
11 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	0.002	0.95	05/17/21	1.02	0.07 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.002	1.05	05/17/21	1.12	0.07 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.005	2.54	05/17/21	2.73	0.19 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.005	2.94	05/17/21	3.17	0.23 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.007	4.01	05/17/21	4.32	0.31 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.001	0.30	05/18/21	0.33	0.03 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.001	0.65	05/18/21	0.70	0.05 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.002	0.95	05/18/21	1.03	0.08 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.002	0.96	05/18/21	1.03	0.07 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.002	1.27	05/18/21	1.35	0.08 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.003	1.91	05/18/21	2.04	0.13 W	0.00	11 of 11 - Sale <sup>25</sup>
05/19/21	0.032	17.53	Various	18.84	1.31 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
14 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	0.010	5.85	05/20/21	5.83	...	0.02	1 of 14 - Sale <sup>25</sup>
	0.008	5.01	06/03/21	4.99	...	0.02	2 of 14 - Sale <sup>25</sup>
	0.017	10.03	06/03/21	10.00	...	0.03	3 of 14 - Sale <sup>25</sup>
	0.003	2.05	06/04/21	2.02	...	0.03	4 of 14 - Sale <sup>25</sup>
	0.017	10.12	06/04/21	10.00	...	0.12	5 of 14 - Sale <sup>25</sup>
	0.017	10.13	06/04/21	10.00	...	0.13	6 of 14 - Sale <sup>25</sup>
	0.017	10.13	06/04/21	10.00	...	0.13	7 of 14 - Sale <sup>25</sup>
	0.017	10.14	06/04/21	10.00	...	0.14	8 of 14 - Sale <sup>25</sup>
	0.017	10.14	06/04/21	10.00	...	0.14	9 of 14 - Sale <sup>25</sup>
	0.017	10.15	06/04/21	10.00	...	0.15	10 of 14 - Sale <sup>25</sup>
	0.017	10.16	06/04/21	10.00	...	0.16	11 of 14 - Sale <sup>25</sup>
	0.031	18.23	06/04/21	17.98	...	0.25	12 of 14 - Sale <sup>25</sup>
	0.085	50.65	06/04/21	50.00	...	0.65	13 of 14 - Sale <sup>25</sup>
	0.085	50.73	06/04/21	50.00	...	0.73	14 of 14 - Sale <sup>25</sup>
06/07/21	0.359	213.52	Various	210.82	...	2.70	Total of 14 transactions
514 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	0.000	0.02	05/20/21	0.03	0.01 W	0.00	1 of 514 - Sale <sup>25</sup>
	0.000	0.05	05/20/21	0.06	0.01 W	0.00	2 of 514 - Sale <sup>25</sup>
	0.000	0.07	05/20/21	0.08	0.01 W	0.00	3 of 514 - Sale <sup>25</sup>
	0.000	0.07	05/20/21	0.08	0.01 W	0.00	4 of 514 - Sale <sup>25</sup>
	0.000	0.09	05/20/21	0.13	0.04 W	0.00	5 of 514 - Sale <sup>25</sup>
	0.000	0.10	05/20/21	0.13	0.03 W	0.00	6 of 514 - Sale <sup>25</sup>
	0.000	0.11	05/20/21	0.13	0.02 W	0.00	7 of 514 - Sale <sup>25</sup>
	0.000	0.11	05/20/21	0.14	0.03 W	0.00	8 of 514 - Sale <sup>25</sup>
	0.000	0.13	05/20/21	0.17	0.04 W	0.00	9 of 514 - Sale <sup>25</sup>
	0.000	0.14	05/20/21	0.19	0.05 W	0.00	10 of 514 - Sale <sup>25</sup>
	0.000	0.14	05/20/21	0.19	0.05 W	0.00	11 of 514 - Sale <sup>25</sup>
	0.000	0.16	05/20/21	0.22	0.06 W	0.00	12 of 514 - Sale <sup>25</sup>
	0.000	0.17	05/20/21	0.21	0.04 W	0.00	13 of 514 - Sale <sup>25</sup>
	0.000	0.17	05/20/21	0.22	0.05 W	0.00	14 of 514 - Sale <sup>25</sup>
	0.000	0.19	05/20/21	0.23	0.04 W	0.00	15 of 514 - Sale <sup>25</sup>
	0.000	0.19	05/20/21	0.25	0.06 W	0.00	16 of 514 - Sale <sup>25</sup>
	0.000	0.21	05/20/21	0.27	0.06 W	0.00	17 of 514 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.21	05/20/21	0.27	0.06 W	0.00	18 of 514 - Sale <sup>25</sup>
	0.000	0.23	05/20/21	0.28	0.05 W	0.00	19 of 514 - Sale <sup>25</sup>
	0.000	0.25	05/20/21	0.31	0.06 W	0.00	20 of 514 - Sale <sup>25</sup>
	0.000	0.25	05/20/21	0.34	0.09 W	0.00	21 of 514 - Sale <sup>25</sup>
	0.000	0.25	05/20/21	0.34	0.09 W	0.00	22 of 514 - Sale <sup>25</sup>
	0.000	0.25	05/20/21	0.34	0.09 W	0.00	23 of 514 - Sale <sup>25</sup>
	0.000	0.25	05/20/21	0.32	0.07 W	0.00	24 of 514 - Sale <sup>25</sup>
	0.000	0.26	05/20/21	0.31	0.05 W	0.00	25 of 514 - Sale <sup>25</sup>
	0.000	0.27	05/20/21	0.36	0.09 W	0.00	26 of 514 - Sale <sup>25</sup>
	0.000	0.28	05/20/21	0.36	0.08 W	0.00	27 of 514 - Sale <sup>25</sup>
	0.000	0.28	05/20/21	0.39	0.11 W	0.00	28 of 514 - Sale <sup>25</sup>
	0.000	0.29	05/20/21	0.35	0.06 W	0.00	29 of 514 - Sale <sup>25</sup>
	0.001	0.32	05/20/21	0.38	0.06 W	0.00	30 of 514 - Sale <sup>25</sup>
	0.001	0.32	05/20/21	0.40	0.08 W	0.00	31 of 514 - Sale <sup>25</sup>
	0.001	0.32	05/20/21	0.40	0.08 W	0.00	32 of 514 - Sale <sup>25</sup>
	0.001	0.34	05/20/21	0.42	0.08 W	0.00	33 of 514 - Sale <sup>25</sup>
	0.001	0.34	05/20/21	0.47	0.13 W	0.00	34 of 514 - Sale <sup>25</sup>
	0.001	0.35	05/20/21	0.43	0.08 W	0.00	35 of 514 - Sale <sup>25</sup>
	0.001	0.35	05/20/21	0.43	0.08 W	0.00	36 of 514 - Sale <sup>25</sup>
	0.001	0.36	05/20/21	0.45	0.09 W	0.00	37 of 514 - Sale <sup>25</sup>
	0.001	0.36	05/20/21	0.50	0.14 W	0.00	38 of 514 - Sale <sup>25</sup>
	0.001	0.39	05/20/21	0.47	0.08 W	0.00	39 of 514 - Sale <sup>25</sup>
	0.001	0.39	05/20/21	0.48	0.09 W	0.00	40 of 514 - Sale <sup>25</sup>
	0.001	0.41	05/20/21	0.50	0.09 W	0.00	41 of 514 - Sale <sup>25</sup>
	0.001	0.41	05/20/21	0.54	0.13 W	0.00	42 of 514 - Sale <sup>25</sup>
	0.001	0.43	05/20/21	0.53	0.10 W	0.00	43 of 514 - Sale <sup>25</sup>
	0.001	0.43	05/20/21	0.59	0.16 W	0.00	44 of 514 - Sale <sup>25</sup>
	0.001	0.43	05/20/21	0.55	0.12 W	0.00	45 of 514 - Sale <sup>25</sup>
	0.001	0.44	05/20/21	0.61	0.17 W	0.00	46 of 514 - Sale <sup>25</sup>
	0.001	0.45	05/20/21	0.64	0.19 W	0.00	47 of 514 - Sale <sup>25</sup>
	0.001	0.46	05/20/21	0.62	0.16 W	0.00	48 of 514 - Sale <sup>25</sup>
	0.001	0.46	05/20/21	0.59	0.13 W	0.00	49 of 514 - Sale <sup>25</sup>
	0.001	0.47	05/20/21	0.56	0.09 W	0.00	50 of 514 - Sale <sup>25</sup>
	0.001	0.49	05/20/21	0.60	0.11 W	0.00	51 of 514 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.50	05/20/21	0.70	0.20 W	0.00	52 of 514 - Sale <sup>25</sup>
0.001		0.50	05/20/21	0.63	0.13 W	0.00	53 of 514 - Sale <sup>25</sup>
0.001		0.51	05/20/21	0.71	0.20 W	0.00	54 of 514 - Sale <sup>25</sup>
0.001		0.53	05/20/21	0.65	0.12 W	0.00	55 of 514 - Sale <sup>25</sup>
0.001		0.54	05/20/21	0.68	0.14 W	0.00	56 of 514 - Sale <sup>25</sup>
0.001		0.54	05/20/21	0.76	0.22 W	0.00	57 of 514 - Sale <sup>25</sup>
0.001		0.56	05/20/21	0.68	0.12 W	0.00	58 of 514 - Sale <sup>25</sup>
0.001		0.56	05/20/21	0.72	0.16 W	0.00	59 of 514 - Sale <sup>25</sup>
0.001		0.57	05/20/21	0.72	0.15 W	0.00	60 of 514 - Sale <sup>25</sup>
0.001		0.58	05/20/21	0.77	0.19 W	0.00	61 of 514 - Sale <sup>25</sup>
0.001		0.59	05/20/21	0.75	0.16 W	0.00	62 of 514 - Sale <sup>25</sup>
0.001		0.59	05/20/21	0.81	0.22 W	0.00	63 of 514 - Sale <sup>25</sup>
0.001		0.61	05/20/21	0.83	0.22 W	0.00	64 of 514 - Sale <sup>25</sup>
0.001		0.62	05/20/21	0.75	0.13 W	0.00	65 of 514 - Sale <sup>25</sup>
0.001		0.62	05/20/21	0.79	0.17 W	0.00	66 of 514 - Sale <sup>25</sup>
0.001		0.64	05/20/21	0.80	0.16 W	0.00	67 of 514 - Sale <sup>25</sup>
0.001		0.66	05/20/21	0.79	0.13 W	0.00	68 of 514 - Sale <sup>25</sup>
0.001		0.66	05/20/21	0.82	0.16 W	0.00	69 of 514 - Sale <sup>25</sup>
0.001		0.68	05/20/21	0.90	0.22 W	0.00	70 of 514 - Sale <sup>25</sup>
0.001		0.68	05/20/21	0.90	0.22 W	0.00	71 of 514 - Sale <sup>25</sup>
0.001		0.68	05/20/21	0.90	0.22 W	0.00	72 of 514 - Sale <sup>25</sup>
0.001		0.68	05/20/21	0.91	0.23 W	0.00	73 of 514 - Sale <sup>25</sup>
0.001		0.69	05/20/21	0.86	0.17 W	0.00	74 of 514 - Sale <sup>25</sup>
0.001		0.69	05/20/21	0.89	0.20 W	0.00	75 of 514 - Sale <sup>25</sup>
0.001		0.70	05/20/21	0.84	0.14 W	0.00	76 of 514 - Sale <sup>25</sup>
0.001		0.70	05/20/21	0.95	0.25 W	0.00	77 of 514 - Sale <sup>25</sup>
0.001		0.70	05/20/21	0.90	0.20 W	0.00	78 of 514 - Sale <sup>25</sup>
0.001		0.70	05/20/21	0.90	0.20 W	0.00	79 of 514 - Sale <sup>25</sup>
0.001		0.70	05/20/21	0.90	0.20 W	0.00	80 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.89	0.18 W	0.00	81 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.89	0.18 W	0.00	82 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.89	0.18 W	0.00	83 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.89	0.18 W	0.00	84 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.90	0.19 W	0.00	85 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.71	05/20/21	0.90	0.19 W	0.00	86 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.90	0.19 W	0.00	87 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.90	0.19 W	0.00	88 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.90	0.19 W	0.00	89 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.91	0.20 W	0.00	90 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.91	0.20 W	0.00	91 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.91	0.20 W	0.00	92 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.91	0.20 W	0.00	93 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.91	0.20 W	0.00	94 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.91	0.20 W	0.00	95 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.95	0.24 W	0.00	96 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.96	0.25 W	0.00	97 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.88	0.17 W	0.00	98 of 514 - Sale <sup>25</sup>
0.001		0.71	05/20/21	0.88	0.17 W	0.00	99 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.88	0.16 W	0.00	100 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.88	0.16 W	0.00	101 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.88	0.16 W	0.00	102 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.88	0.16 W	0.00	103 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.87	0.15 W	0.00	104 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.89	0.17 W	0.00	105 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.89	0.17 W	0.00	106 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.89	0.17 W	0.00	107 of 514 - Sale <sup>25</sup>
0.001		0.72	05/20/21	0.90	0.18 W	0.00	108 of 514 - Sale <sup>25</sup>
0.001		0.73	05/20/21	0.89	0.16 W	0.00	109 of 514 - Sale <sup>25</sup>
0.001		0.73	05/20/21	0.91	0.18 W	0.00	110 of 514 - Sale <sup>25</sup>
0.001		0.77	05/20/21	0.89	0.12 W	0.00	111 of 514 - Sale <sup>25</sup>
0.001		0.78	05/20/21	0.88	0.10 W	0.00	112 of 514 - Sale <sup>25</sup>
0.001		0.80	05/20/21	1.01	0.21 W	0.00	113 of 514 - Sale <sup>25</sup>
0.001		0.88	05/20/21	1.12	0.24 W	0.00	114 of 514 - Sale <sup>25</sup>
0.002		1.16	05/20/21	1.30	0.14 W	0.00	115 of 514 - Sale <sup>25</sup>
0.002		1.42	05/20/21	1.78	0.36 W	0.00	116 of 514 - Sale <sup>25</sup>
0.005		2.79	05/20/21	3.12	0.33 W	0.00	117 of 514 - Sale <sup>25</sup>
0.002		0.98	05/24/21	1.07	0.09 W	0.00	118 of 514 - Sale <sup>25</sup>
0.002		1.36	05/24/21	1.82	0.46 W	0.00	119 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.008	4.84	05/24/21	5.21	0.37 W	0.00	120 of 514 - Sale <sup>25</sup>
	0.000	0.01	05/24/21	0.02	0.01 W	0.00	121 of 514 - Sale <sup>25</sup>
	0.000	0.02	05/24/21	0.03	0.01 W	0.00	122 of 514 - Sale <sup>25</sup>
	0.000	0.03	05/24/21	0.04	0.01 W	0.00	123 of 514 - Sale <sup>25</sup>
	0.000	0.04	05/24/21	0.06	0.02 W	0.00	124 of 514 - Sale <sup>25</sup>
	0.000	0.04	05/24/21	0.05	0.01 W	0.00	125 of 514 - Sale <sup>25</sup>
	0.000	0.05	05/24/21	0.07	0.02 W	0.00	126 of 514 - Sale <sup>25</sup>
	0.000	0.09	05/24/21	0.13	0.04 W	0.00	127 of 514 - Sale <sup>25</sup>
	0.000	0.10	05/24/21	0.13	0.03 W	0.00	128 of 514 - Sale <sup>25</sup>
	0.000	0.11	05/24/21	0.15	0.04 W	0.00	129 of 514 - Sale <sup>25</sup>
	0.000	0.12	05/24/21	0.17	0.05 W	0.00	130 of 514 - Sale <sup>25</sup>
	0.000	0.13	05/24/21	0.19	0.06 W	0.00	131 of 514 - Sale <sup>25</sup>
	0.000	0.15	05/24/21	0.19	0.04 W	0.00	132 of 514 - Sale <sup>25</sup>
	0.000	0.20	05/24/21	0.27	0.07 W	0.00	133 of 514 - Sale <sup>25</sup>
	0.000	0.21	05/24/21	0.28	0.07 W	0.00	134 of 514 - Sale <sup>25</sup>
	0.000	0.21	05/24/21	0.29	0.08 W	0.00	135 of 514 - Sale <sup>25</sup>
	0.000	0.22	05/24/21	0.30	0.08 W	0.00	136 of 514 - Sale <sup>25</sup>
	0.000	0.23	05/24/21	0.31	0.08 W	0.00	137 of 514 - Sale <sup>25</sup>
	0.000	0.23	05/24/21	0.33	0.10 W	0.00	138 of 514 - Sale <sup>25</sup>
	0.000	0.24	05/24/21	0.34	0.10 W	0.00	139 of 514 - Sale <sup>25</sup>
	0.000	0.29	05/24/21	0.39	0.10 W	0.00	140 of 514 - Sale <sup>25</sup>
	0.001	0.34	05/24/21	0.47	0.13 W	0.00	141 of 514 - Sale <sup>25</sup>
	0.001	0.36	05/24/21	0.50	0.14 W	0.00	142 of 514 - Sale <sup>25</sup>
	0.001	0.44	05/24/21	0.57	0.13 W	0.00	143 of 514 - Sale <sup>25</sup>
	0.001	0.44	05/24/21	0.62	0.18 W	0.00	144 of 514 - Sale <sup>25</sup>
	0.001	0.45	05/24/21	0.64	0.19 W	0.00	145 of 514 - Sale <sup>25</sup>
	0.001	0.46	05/24/21	0.64	0.18 W	0.00	146 of 514 - Sale <sup>25</sup>
	0.001	0.47	05/24/21	0.65	0.18 W	0.00	147 of 514 - Sale <sup>25</sup>
	0.001	0.49	05/24/21	0.69	0.20 W	0.00	148 of 514 - Sale <sup>25</sup>
	0.001	0.51	05/24/21	0.69	0.18 W	0.00	149 of 514 - Sale <sup>25</sup>
	0.001	0.52	05/24/21	0.69	0.17 W	0.00	150 of 514 - Sale <sup>25</sup>
	0.001	0.55	05/24/21	0.75	0.20 W	0.00	151 of 514 - Sale <sup>25</sup>
	0.001	0.55	05/24/21	0.77	0.22 W	0.00	152 of 514 - Sale <sup>25</sup>
	0.001	0.58	05/24/21	0.79	0.21 W	0.00	153 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.60	05/24/21	0.81	0.21 W	0.00	154 of 514 - Sale <sup>25</sup>
0.001		0.61	05/24/21	0.81	0.20 W	0.00	155 of 514 - Sale <sup>25</sup>
0.001		0.66	05/24/21	0.91	0.25 W	0.00	156 of 514 - Sale <sup>25</sup>
0.001		0.68	05/24/21	0.91	0.23 W	0.00	157 of 514 - Sale <sup>25</sup>
0.001		0.69	05/24/21	0.95	0.26 W	0.00	158 of 514 - Sale <sup>25</sup>
0.001		0.69	05/24/21	0.96	0.27 W	0.00	159 of 514 - Sale <sup>25</sup>
0.001		0.69	05/24/21	0.97	0.28 W	0.00	160 of 514 - Sale <sup>25</sup>
0.001		0.69	05/24/21	0.95	0.26 W	0.00	161 of 514 - Sale <sup>25</sup>
0.001		0.69	05/24/21	0.97	0.28 W	0.00	162 of 514 - Sale <sup>25</sup>
0.001		0.69	05/24/21	0.97	0.28 W	0.00	163 of 514 - Sale <sup>25</sup>
0.001		0.70	05/24/21	0.96	0.26 W	0.00	164 of 514 - Sale <sup>25</sup>
0.001		0.70	05/24/21	0.96	0.26 W	0.00	165 of 514 - Sale <sup>25</sup>
0.001		0.70	05/24/21	0.97	0.27 W	0.00	166 of 514 - Sale <sup>25</sup>
0.001		0.70	05/24/21	0.97	0.27 W	0.00	167 of 514 - Sale <sup>25</sup>
0.001		0.71	05/24/21	0.95	0.24 W	0.00	168 of 514 - Sale <sup>25</sup>
0.001		0.71	05/24/21	0.97	0.26 W	0.00	169 of 514 - Sale <sup>25</sup>
0.001		0.71	05/24/21	0.99	0.28 W	0.00	170 of 514 - Sale <sup>25</sup>
0.001		0.71	05/24/21	0.99	0.28 W	0.00	171 of 514 - Sale <sup>25</sup>
0.001		0.72	05/24/21	0.99	0.27 W	0.00	172 of 514 - Sale <sup>25</sup>
0.001		0.72	05/24/21	0.99	0.27 W	0.00	173 of 514 - Sale <sup>25</sup>
0.001		0.73	05/24/21	0.97	0.24 W	0.00	174 of 514 - Sale <sup>25</sup>
0.001		0.73	05/24/21	0.99	0.26 W	0.00	175 of 514 - Sale <sup>25</sup>
0.001		0.73	05/24/21	0.99	0.26 W	0.00	176 of 514 - Sale <sup>25</sup>
0.001		0.77	05/24/21	1.01	0.24 W	0.00	177 of 514 - Sale <sup>25</sup>
0.001		0.78	05/24/21	0.99	0.21 W	0.00	178 of 514 - Sale <sup>25</sup>
0.001		0.78	05/24/21	1.09	0.31 W	0.00	179 of 514 - Sale <sup>25</sup>
0.001		0.78	05/24/21	1.09	0.31 W	0.00	180 of 514 - Sale <sup>25</sup>
0.001		0.80	05/24/21	1.09	0.29 W	0.00	181 of 514 - Sale <sup>25</sup>
0.001		0.81	05/24/21	0.90	0.09 W	0.00	182 of 514 - Sale <sup>25</sup>
0.001		0.81	05/24/21	0.84	0.03 W	0.00	183 of 514 - Sale <sup>25</sup>
0.001		0.85	05/24/21	1.18	0.33 W	0.00	184 of 514 - Sale <sup>25</sup>
0.002		0.98	05/24/21	1.07	0.09 W	0.00	185 of 514 - Sale <sup>25</sup>
0.002		1.22	05/24/21	1.71	0.49 W	0.00	186 of 514 - Sale <sup>25</sup>
0.002		1.46	05/24/21	1.96	0.50 W	0.00	187 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.47	05/24/21	1.96	0.49 W	0.00	188 of 514 - Sale <sup>25</sup>
	0.002	1.48	05/24/21	1.96	0.48 W	0.00	189 of 514 - Sale <sup>25</sup>
	0.003	1.57	05/24/21	2.20	0.63 W	0.00	190 of 514 - Sale <sup>25</sup>
	0.003	1.70	05/24/21	2.36	0.66 W	0.00	191 of 514 - Sale <sup>25</sup>
	0.004	2.46	05/24/21	3.42	0.96 W	0.00	192 of 514 - Sale <sup>25</sup>
	0.005	3.18	05/24/21	3.43	0.25 W	0.00	193 of 514 - Sale <sup>25</sup>
	0.009	5.12	05/24/21	5.52	0.40 W	0.00	194 of 514 - Sale <sup>25</sup>
	0.014	8.09	05/24/21	8.96	0.87 W	0.00	195 of 514 - Sale <sup>25</sup>
	0.000	0.04	05/25/21	0.05	0.01 W	0.00	196 of 514 - Sale <sup>25</sup>
	0.000	0.06	05/25/21	0.07	0.01 W	0.00	197 of 514 - Sale <sup>25</sup>
	0.000	0.07	05/25/21	0.08	0.01 W	0.00	198 of 514 - Sale <sup>25</sup>
	0.000	0.08	05/25/21	0.09	0.01 W	0.00	199 of 514 - Sale <sup>25</sup>
	0.000	0.08	05/25/21	0.09	0.01 W	0.00	200 of 514 - Sale <sup>25</sup>
	0.000	0.09	05/25/21	0.11	0.02 W	0.00	201 of 514 - Sale <sup>25</sup>
	0.000	0.11	05/25/21	0.14	0.03 W	0.00	202 of 514 - Sale <sup>25</sup>
	0.000	0.12	05/25/21	0.15	0.03 W	0.00	203 of 514 - Sale <sup>25</sup>
	0.000	0.12	05/25/21	0.15	0.03 W	0.00	204 of 514 - Sale <sup>25</sup>
	0.000	0.13	05/25/21	0.16	0.03 W	0.00	205 of 514 - Sale <sup>25</sup>
	0.000	0.15	05/25/21	0.17	0.02 W	0.00	206 of 514 - Sale <sup>25</sup>
	0.000	0.19	05/25/21	0.24	0.05 W	0.00	207 of 514 - Sale <sup>25</sup>
	0.000	0.21	05/25/21	0.22	0.01 W	0.00	208 of 514 - Sale <sup>25</sup>
	0.000	0.22	05/25/21	0.26	0.04 W	0.00	209 of 514 - Sale <sup>25</sup>
	0.000	0.22	05/25/21	0.26	0.04 W	0.00	210 of 514 - Sale <sup>25</sup>
	0.000	0.23	05/25/21	0.27	0.04 W	0.00	211 of 514 - Sale <sup>25</sup>
	0.000	0.25	05/25/21	0.26	0.01 W	0.00	212 of 514 - Sale <sup>25</sup>
	0.000	0.27	05/25/21	0.29	0.02 W	0.00	213 of 514 - Sale <sup>25</sup>
	0.000	0.28	05/25/21	0.29	0.01 W	0.00	214 of 514 - Sale <sup>25</sup>
	0.001	0.34	05/25/21	0.41	0.07 W	0.00	215 of 514 - Sale <sup>25</sup>
	0.001	0.36	05/25/21	0.37	0.01 W	0.00	216 of 514 - Sale <sup>25</sup>
	0.001	0.37	05/25/21	0.45	0.08 W	0.00	217 of 514 - Sale <sup>25</sup>
	0.001	0.37	05/25/21	0.40	0.03 W	0.00	218 of 514 - Sale <sup>25</sup>
	0.001	0.37	05/25/21	0.46	0.09 W	0.00	219 of 514 - Sale <sup>25</sup>
	0.001	0.39	05/25/21	0.41	0.02 W	0.00	220 of 514 - Sale <sup>25</sup>
	0.001	0.41	05/25/21	0.50	0.09 W	0.00	221 of 514 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.46	05/25/21	0.49	0.03 W	0.00	222 of 514 - Sale <sup>25</sup>
0.001		0.51	05/25/21	0.62	0.11 W	0.00	223 of 514 - Sale <sup>25</sup>
0.001		0.53	05/25/21	0.65	0.12 W	0.00	224 of 514 - Sale <sup>25</sup>
0.001		0.54	05/25/21	0.58	0.04 W	0.00	225 of 514 - Sale <sup>25</sup>
0.001		0.54	05/25/21	0.68	0.14 W	0.00	226 of 514 - Sale <sup>25</sup>
0.001		0.57	05/25/21	0.59	0.02 W	0.00	227 of 514 - Sale <sup>25</sup>
0.001		0.60	05/25/21	0.76	0.16 W	0.00	228 of 514 - Sale <sup>25</sup>
0.001		0.62	05/25/21	0.65	0.03 W	0.00	229 of 514 - Sale <sup>25</sup>
0.001		0.62	05/25/21	0.75	0.13 W	0.00	230 of 514 - Sale <sup>25</sup>
0.001		0.63	05/25/21	0.76	0.13 W	0.00	231 of 514 - Sale <sup>25</sup>
0.001		0.63	05/25/21	0.77	0.14 W	0.00	232 of 514 - Sale <sup>25</sup>
0.001		0.64	05/25/21	0.80	0.16 W	0.00	233 of 514 - Sale <sup>25</sup>
0.001		0.64	05/25/21	0.67	0.03 W	0.00	234 of 514 - Sale <sup>25</sup>
0.001		0.64	05/25/21	0.76	0.12 W	0.00	235 of 514 - Sale <sup>25</sup>
0.001		0.65	05/25/21	0.78	0.13 W	0.00	236 of 514 - Sale <sup>25</sup>
0.001		0.67	05/25/21	0.73	0.06 W	0.00	237 of 514 - Sale <sup>25</sup>
0.001		0.67	05/25/21	0.80	0.13 W	0.00	238 of 514 - Sale <sup>25</sup>
0.001		0.68	05/25/21	0.84	0.16 W	0.00	239 of 514 - Sale <sup>25</sup>
0.001		0.69	05/25/21	0.73	0.04 W	0.00	240 of 514 - Sale <sup>25</sup>
0.001		0.73	05/25/21	0.93	0.20 W	0.00	241 of 514 - Sale <sup>25</sup>
0.001		0.73	05/25/21	0.93	0.20 W	0.00	242 of 514 - Sale <sup>25</sup>
0.001		0.73	05/25/21	0.94	0.21 W	0.00	243 of 514 - Sale <sup>25</sup>
0.001		0.73	05/25/21	0.94	0.21 W	0.00	244 of 514 - Sale <sup>25</sup>
0.001		0.73	05/25/21	0.94	0.21 W	0.00	245 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.89	0.15 W	0.00	246 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.93	0.19 W	0.00	247 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.94	0.20 W	0.00	248 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.94	0.20 W	0.00	249 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.94	0.20 W	0.00	250 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.94	0.20 W	0.00	251 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.94	0.20 W	0.00	252 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.94	0.20 W	0.00	253 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.90	0.16 W	0.00	254 of 514 - Sale <sup>25</sup>
0.001		0.74	05/25/21	0.91	0.17 W	0.00	255 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.74	05/25/21	0.93	0.19 W	0.00	256 of 514 - Sale <sup>25</sup>
	0.001	0.75	05/25/21	0.90	0.15 W	0.00	257 of 514 - Sale <sup>25</sup>
	0.001	0.75	05/25/21	0.90	0.15 W	0.00	258 of 514 - Sale <sup>25</sup>
	0.001	0.75	05/25/21	0.93	0.18 W	0.00	259 of 514 - Sale <sup>25</sup>
	0.001	0.75	05/25/21	0.93	0.18 W	0.00	260 of 514 - Sale <sup>25</sup>
	0.001	0.75	05/25/21	0.90	0.15 W	0.00	261 of 514 - Sale <sup>25</sup>
	0.001	0.75	05/25/21	0.91	0.16 W	0.00	262 of 514 - Sale <sup>25</sup>
	0.001	0.75	05/25/21	0.93	0.18 W	0.00	263 of 514 - Sale <sup>25</sup>
	0.001	0.75	05/25/21	0.92	0.17 W	0.00	264 of 514 - Sale <sup>25</sup>
	0.001	0.76	05/25/21	0.79	0.03 W	0.00	265 of 514 - Sale <sup>25</sup>
	0.001	0.76	05/25/21	0.90	0.14 W	0.00	266 of 514 - Sale <sup>25</sup>
	0.001	0.76	05/25/21	0.90	0.14 W	0.00	267 of 514 - Sale <sup>25</sup>
	0.001	0.76	05/25/21	0.91	0.15 W	0.00	268 of 514 - Sale <sup>25</sup>
	0.001	0.76	05/25/21	0.92	0.16 W	0.00	269 of 514 - Sale <sup>25</sup>
	0.001	0.77	05/25/21	0.89	0.12 W	0.00	270 of 514 - Sale <sup>25</sup>
	0.001	0.77	05/25/21	0.91	0.14 W	0.00	271 of 514 - Sale <sup>25</sup>
	0.001	0.77	05/25/21	0.91	0.14 W	0.00	272 of 514 - Sale <sup>25</sup>
	0.001	0.77	05/25/21	0.92	0.15 W	0.00	273 of 514 - Sale <sup>25</sup>
	0.001	0.77	05/25/21	0.92	0.15 W	0.00	274 of 514 - Sale <sup>25</sup>
	0.001	0.78	05/25/21	0.82	0.04 W	0.00	275 of 514 - Sale <sup>25</sup>
	0.001	0.78	05/25/21	0.84	0.06 W	0.00	276 of 514 - Sale <sup>25</sup>
	0.001	0.82	05/25/21	0.87	0.05 W	0.00	277 of 514 - Sale <sup>25</sup>
	0.001	0.83	05/25/21	0.88	0.05 W	0.00	278 of 514 - Sale <sup>25</sup>
	0.001	0.83	05/25/21	0.89	0.06 W	0.00	279 of 514 - Sale <sup>25</sup>
	0.001	0.84	05/25/21	0.88	0.04 W	0.00	280 of 514 - Sale <sup>25</sup>
	0.001	0.84	05/25/21	0.88	0.04 W	0.00	281 of 514 - Sale <sup>25</sup>
	0.001	0.84	05/25/21	0.89	0.05 W	0.00	282 of 514 - Sale <sup>25</sup>
	0.001	0.84	05/25/21	0.89	0.05 W	0.00	283 of 514 - Sale <sup>25</sup>
	0.001	0.85	05/25/21	0.88	0.03 W	0.00	284 of 514 - Sale <sup>25</sup>
	0.001	0.85	05/25/21	0.88	0.03 W	0.00	285 of 514 - Sale <sup>25</sup>
	0.001	0.85	05/25/21	0.89	0.04 W	0.00	286 of 514 - Sale <sup>25</sup>
	0.001	0.85	05/25/21	0.89	0.04 W	0.00	287 of 514 - Sale <sup>25</sup>
	0.001	0.85	05/25/21	0.90	0.05 W	0.00	288 of 514 - Sale <sup>25</sup>
	0.001	0.85	05/25/21	0.90	0.05 W	0.00	289 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.87	05/25/21	0.93	0.06 W	0.00	290 of 514 - Sale <sup>25</sup>
0.001		0.87	05/25/21	0.89	0.02 W	0.00	291 of 514 - Sale <sup>25</sup>
0.001		0.88	05/25/21	0.90	0.02 W	0.00	292 of 514 - Sale <sup>25</sup>
0.001		0.88	05/25/21	0.92	0.04 W	0.00	293 of 514 - Sale <sup>25</sup>
0.001		0.89	05/25/21	1.11	0.22 W	0.00	294 of 514 - Sale <sup>25</sup>
0.002		0.91	05/25/21	0.95	0.04 W	0.00	295 of 514 - Sale <sup>25</sup>
0.002		0.97	05/25/21	1.04	0.07 W	0.00	296 of 514 - Sale <sup>25</sup>
0.002		0.98	05/25/21	1.04	0.06 W	0.00	297 of 514 - Sale <sup>25</sup>
0.002		0.99	05/25/21	1.02	0.03 W	0.00	298 of 514 - Sale <sup>25</sup>
0.002		1.01	05/25/21	1.26	0.25 W	0.00	299 of 514 - Sale <sup>25</sup>
0.002		1.02	05/25/21	1.26	0.24 W	0.00	300 of 514 - Sale <sup>25</sup>
0.002		1.02	05/25/21	1.28	0.26 W	0.00	301 of 514 - Sale <sup>25</sup>
0.002		1.03	05/25/21	1.27	0.24 W	0.00	302 of 514 - Sale <sup>25</sup>
0.002		1.03	05/25/21	1.07	0.04 W	0.00	303 of 514 - Sale <sup>25</sup>
0.002		1.03	05/25/21	1.29	0.26 W	0.00	304 of 514 - Sale <sup>25</sup>
0.002		1.06	05/25/21	1.27	0.21 W	0.00	305 of 514 - Sale <sup>25</sup>
0.002		1.14	05/25/21	1.44	0.30 W	0.00	306 of 514 - Sale <sup>25</sup>
0.002		1.14	05/25/21	1.18	0.04 W	0.00	307 of 514 - Sale <sup>25</sup>
0.002		1.21	05/25/21	1.54	0.33 W	0.00	308 of 514 - Sale <sup>25</sup>
0.002		1.24	05/25/21	1.59	0.35 W	0.00	309 of 514 - Sale <sup>25</sup>
0.002		1.25	05/25/21	1.29	0.04 W	0.00	310 of 514 - Sale <sup>25</sup>
0.002		1.28	05/25/21	1.35	0.07 W	0.00	311 of 514 - Sale <sup>25</sup>
0.002		1.36	05/25/21	1.44	0.08 W	0.00	312 of 514 - Sale <sup>25</sup>
0.002		1.37	05/25/21	1.73	0.36 W	0.00	313 of 514 - Sale <sup>25</sup>
0.002		1.43	05/25/21	1.76	0.33 W	0.00	314 of 514 - Sale <sup>25</sup>
0.002		1.46	05/25/21	1.86	0.40 W	0.00	315 of 514 - Sale <sup>25</sup>
0.002		1.47	05/25/21	1.85	0.38 W	0.00	316 of 514 - Sale <sup>25</sup>
0.002		1.47	05/25/21	1.86	0.39 W	0.00	317 of 514 - Sale <sup>25</sup>
0.003		1.49	05/25/21	1.83	0.34 W	0.00	318 of 514 - Sale <sup>25</sup>
0.003		1.49	05/25/21	1.63	0.14 W	0.00	319 of 514 - Sale <sup>25</sup>
0.003		1.49	05/25/21	1.83	0.34 W	0.00	320 of 514 - Sale <sup>25</sup>
0.003		1.50	05/25/21	1.86	0.36 W	0.00	321 of 514 - Sale <sup>25</sup>
0.003		1.64	05/25/21	2.08	0.44 W	0.00	322 of 514 - Sale <sup>25</sup>
0.003		1.95	05/25/21	2.45	0.50 W	0.00	323 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.003	2.00	05/25/21	2.51	0.51 W	0.00	324 of 514 - Sale <sup>25</sup>	
0.003	2.00	05/25/21	2.44	0.44 W	0.00	325 of 514 - Sale <sup>25</sup>	
0.003	2.03	05/25/21	2.42	0.39 W	0.00	326 of 514 - Sale <sup>25</sup>	
0.003	2.03	05/25/21	2.42	0.39 W	0.00	327 of 514 - Sale <sup>25</sup>	
0.003	2.05	05/25/21	2.58	0.53 W	0.00	328 of 514 - Sale <sup>25</sup>	
0.004	2.23	05/25/21	2.75	0.52 W	0.00	329 of 514 - Sale <sup>25</sup>	
0.004	2.29	05/25/21	2.71	0.42 W	0.00	330 of 514 - Sale <sup>25</sup>	
0.005	2.80	05/25/21	3.33	0.53 W	0.00	331 of 514 - Sale <sup>25</sup>	
0.005	3.01	05/25/21	3.77	0.76 W	0.00	332 of 514 - Sale <sup>25</sup>	
0.006	3.40	05/25/21	4.04	0.64 W	0.00	333 of 514 - Sale <sup>25</sup>	
0.006	3.71	05/25/21	4.65	0.94 W	0.00	334 of 514 - Sale <sup>25</sup>	
0.006	3.73	05/25/21	4.68	0.95 W	0.00	335 of 514 - Sale <sup>25</sup>	
0.006	3.76	05/25/21	4.66	0.90 W	0.00	336 of 514 - Sale <sup>25</sup>	
0.007	3.91	05/25/21	4.69	0.78 W	0.00	337 of 514 - Sale <sup>25</sup>	
0.008	4.59	05/25/21	4.75	0.16 W	0.00	338 of 514 - Sale <sup>25</sup>	
0.008	4.70	05/25/21	4.89	0.19 W	0.00	339 of 514 - Sale <sup>25</sup>	
0.008	4.87	05/25/21	5.79	0.92 W	0.00	340 of 514 - Sale <sup>25</sup>	
0.008	4.96	05/25/21	6.19	1.23 W	0.00	341 of 514 - Sale <sup>25</sup>	
0.010	5.82	05/25/21	7.43	1.61 W	0.00	342 of 514 - Sale <sup>25</sup>	
0.012	7.27	05/25/21	9.08	1.81 W	0.00	343 of 514 - Sale <sup>25</sup>	
0.014	8.33	05/25/21	8.94	0.61 W	0.00	344 of 514 - Sale <sup>25</sup>	
0.000	0.20	05/26/21	0.21	0.01 W	0.00	345 of 514 - Sale <sup>25</sup>	
0.001	0.85	05/26/21	0.89	0.04 W	0.00	346 of 514 - Sale <sup>25</sup>	
0.002	1.04	05/26/21	1.07	0.03 W	0.00	347 of 514 - Sale <sup>25</sup>	
0.002	1.34	05/26/21	1.39	0.05 W	0.00	348 of 514 - Sale <sup>25</sup>	
0.003	1.79	05/26/21	1.84	0.05 W	0.00	349 of 514 - Sale <sup>25</sup>	
0.003	2.07	05/26/21	2.14	0.07 W	0.00	350 of 514 - Sale <sup>25</sup>	
0.004	2.61	05/26/21	2.68	0.07 W	0.00	351 of 514 - Sale <sup>25</sup>	
0.001	0.89	05/28/21	0.96	0.07 W	0.00	352 of 514 - Sale <sup>25</sup>	
0.000	0.10	06/01/21	0.12	0.02 W	0.00	353 of 514 - Sale <sup>25</sup>	
0.000	0.22	06/01/21	0.24	0.02 W	0.00	354 of 514 - Sale <sup>25</sup>	
0.001	0.63	06/01/21	0.67	0.04 W	0.00	355 of 514 - Sale <sup>25</sup>	
0.002	0.96	06/01/21	1.02	0.06 W	0.00	356 of 514 - Sale <sup>25</sup>	
0.000	0.06	06/02/21	0.07	0.01 W	0.00	357 of 514 - Sale <sup>25</sup>	

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.000		0.06	06/02/21	0.07	0.01 W	0.00	358 of 514 - Sale <sup>25</sup>
0.000		0.11	06/02/21	0.13	0.02 W	0.00	359 of 514 - Sale <sup>25</sup>
0.000		0.13	06/02/21	0.15	0.02 W	0.00	360 of 514 - Sale <sup>25</sup>
0.000		0.14	06/02/21	0.15	0.01 W	0.00	361 of 514 - Sale <sup>25</sup>
0.000		0.14	06/02/21	0.16	0.02 W	0.00	362 of 514 - Sale <sup>25</sup>
0.000		0.18	06/02/21	0.20	0.02 W	0.00	363 of 514 - Sale <sup>25</sup>
0.000		0.19	06/02/21	0.20	0.01 W	0.00	364 of 514 - Sale <sup>25</sup>
0.000		0.23	06/02/21	0.24	0.01 W	0.00	365 of 514 - Sale <sup>25</sup>
0.000		0.23	06/02/21	0.25	0.02 W	0.00	366 of 514 - Sale <sup>25</sup>
0.000		0.24	06/02/21	0.26	0.02 W	0.00	367 of 514 - Sale <sup>25</sup>
0.000		0.27	06/02/21	0.29	0.02 W	0.00	368 of 514 - Sale <sup>25</sup>
0.000		0.28	06/02/21	0.30	0.02 W	0.00	369 of 514 - Sale <sup>25</sup>
0.001		0.33	06/02/21	0.35	0.02 W	0.00	370 of 514 - Sale <sup>25</sup>
0.001		0.34	06/02/21	0.35	0.01 W	0.00	371 of 514 - Sale <sup>25</sup>
0.001		0.34	06/02/21	0.35	0.01 W	0.00	372 of 514 - Sale <sup>25</sup>
0.001		0.34	06/02/21	0.36	0.02 W	0.00	373 of 514 - Sale <sup>25</sup>
0.001		0.39	06/02/21	0.42	0.03 W	0.00	374 of 514 - Sale <sup>25</sup>
0.001		0.42	06/02/21	0.45	0.03 W	0.00	375 of 514 - Sale <sup>25</sup>
0.001		0.45	06/02/21	0.48	0.03 W	0.00	376 of 514 - Sale <sup>25</sup>
0.001		0.50	06/02/21	0.53	0.03 W	0.00	377 of 514 - Sale <sup>25</sup>
0.001		0.50	06/02/21	0.53	0.03 W	0.00	378 of 514 - Sale <sup>25</sup>
0.001		0.50	06/02/21	0.55	0.05 W	0.00	379 of 514 - Sale <sup>25</sup>
0.001		0.51	06/02/21	0.55	0.04 W	0.00	380 of 514 - Sale <sup>25</sup>
0.001		0.53	06/02/21	0.56	0.03 W	0.00	381 of 514 - Sale <sup>25</sup>
0.001		0.56	06/02/21	0.60	0.04 W	0.00	382 of 514 - Sale <sup>25</sup>
0.001		0.60	06/02/21	0.65	0.05 W	0.00	383 of 514 - Sale <sup>25</sup>
0.001		0.66	06/02/21	0.69	0.03 W	0.00	384 of 514 - Sale <sup>25</sup>
0.001		0.69	06/02/21	0.73	0.04 W	0.00	385 of 514 - Sale <sup>25</sup>
0.001		0.69	06/02/21	0.72	0.03 W	0.00	386 of 514 - Sale <sup>25</sup>
0.001		0.69	06/02/21	0.72	0.03 W	0.00	387 of 514 - Sale <sup>25</sup>
0.001		0.70	06/02/21	0.75	0.05 W	0.00	388 of 514 - Sale <sup>25</sup>
0.001		0.72	06/02/21	0.77	0.05 W	0.00	389 of 514 - Sale <sup>25</sup>
0.001		0.76	06/02/21	0.79	0.03 W	0.00	390 of 514 - Sale <sup>25</sup>
0.001		0.79	06/02/21	0.86	0.07 W	0.00	391 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.84	06/02/21	0.89	0.05 W	0.00	392 of 514 - Sale <sup>25</sup>
0.001		0.85	06/02/21	0.90	0.05 W	0.00	393 of 514 - Sale <sup>25</sup>
0.001		0.85	06/02/21	0.90	0.05 W	0.00	394 of 514 - Sale <sup>25</sup>
0.001		0.85	06/02/21	0.90	0.05 W	0.00	395 of 514 - Sale <sup>25</sup>
0.001		0.85	06/02/21	0.90	0.05 W	0.00	396 of 514 - Sale <sup>25</sup>
0.001		0.85	06/02/21	0.90	0.05 W	0.00	397 of 514 - Sale <sup>25</sup>
0.001		0.86	06/02/21	0.89	0.03 W	0.00	398 of 514 - Sale <sup>25</sup>
0.001		0.86	06/02/21	0.90	0.04 W	0.00	399 of 514 - Sale <sup>25</sup>
0.001		0.86	06/02/21	0.90	0.04 W	0.00	400 of 514 - Sale <sup>25</sup>
0.001		0.86	06/02/21	0.91	0.05 W	0.00	401 of 514 - Sale <sup>25</sup>
0.001		0.86	06/02/21	0.91	0.05 W	0.00	402 of 514 - Sale <sup>25</sup>
0.001		0.86	06/02/21	0.91	0.05 W	0.00	403 of 514 - Sale <sup>25</sup>
0.001		0.87	06/02/21	0.89	0.02 W	0.00	404 of 514 - Sale <sup>25</sup>
0.001		0.88	06/02/21	0.90	0.02 W	0.00	405 of 514 - Sale <sup>25</sup>
0.002		0.91	06/02/21	0.97	0.06 W	0.00	406 of 514 - Sale <sup>25</sup>
0.002		0.96	06/02/21	1.02	0.06 W	0.00	407 of 514 - Sale <sup>25</sup>
0.002		1.04	06/02/21	1.10	0.06 W	0.00	408 of 514 - Sale <sup>25</sup>
0.002		1.08	06/02/21	1.14	0.06 W	0.00	409 of 514 - Sale <sup>25</sup>
0.002		1.13	06/02/21	1.18	0.05 W	0.00	410 of 514 - Sale <sup>25</sup>
0.003		1.54	06/02/21	1.63	0.09 W	0.00	411 of 514 - Sale <sup>25</sup>
0.003		1.61	06/02/21	1.70	0.09 W	0.00	412 of 514 - Sale <sup>25</sup>
0.003		1.70	06/02/21	1.79	0.09 W	0.00	413 of 514 - Sale <sup>25</sup>
0.003		1.72	06/02/21	1.81	0.09 W	0.00	414 of 514 - Sale <sup>25</sup>
0.003		2.06	06/02/21	2.17	0.11 W	0.00	415 of 514 - Sale <sup>25</sup>
0.000		0.02	06/03/21	0.03	0.01 W	0.00	416 of 514 - Sale <sup>25</sup>
0.000		0.05	06/03/21	0.06	0.01 W	0.00	417 of 514 - Sale <sup>25</sup>
0.000		0.07	06/03/21	0.08	0.01 W	0.00	418 of 514 - Sale <sup>25</sup>
0.000		0.07	06/03/21	0.08	0.01 W	0.00	419 of 514 - Sale <sup>25</sup>
0.000		0.10	06/03/21	0.13	0.03 W	0.00	420 of 514 - Sale <sup>25</sup>
0.000		0.11	06/03/21	0.13	0.02 W	0.00	421 of 514 - Sale <sup>25</sup>
0.000		0.11	06/03/21	0.14	0.03 W	0.00	422 of 514 - Sale <sup>25</sup>
0.000		0.14	06/03/21	0.19	0.05 W	0.00	423 of 514 - Sale <sup>25</sup>
0.000		0.17	06/03/21	0.22	0.05 W	0.00	424 of 514 - Sale <sup>25</sup>
0.000		0.19	06/03/21	0.23	0.04 W	0.00	425 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.21	06/03/21	0.27	0.06 W	0.00	426 of 514 - Sale <sup>25</sup>
	0.000	0.23	06/03/21	0.27	0.04 W	0.00	427 of 514 - Sale <sup>25</sup>
	0.000	0.23	06/03/21	0.28	0.05 W	0.00	428 of 514 - Sale <sup>25</sup>
	0.000	0.24	06/03/21	0.30	0.06 W	0.00	429 of 514 - Sale <sup>25</sup>
	0.000	0.25	06/03/21	0.30	0.05 W	0.00	430 of 514 - Sale <sup>25</sup>
	0.000	0.26	06/03/21	0.33	0.07 W	0.00	431 of 514 - Sale <sup>25</sup>
	0.000	0.27	06/03/21	0.36	0.09 W	0.00	432 of 514 - Sale <sup>25</sup>
	0.000	0.28	06/03/21	0.35	0.07 W	0.00	433 of 514 - Sale <sup>25</sup>
	0.000	0.29	06/03/21	0.35	0.06 W	0.00	434 of 514 - Sale <sup>25</sup>
	0.001	0.30	06/03/21	0.36	0.06 W	0.00	435 of 514 - Sale <sup>25</sup>
	0.001	0.31	06/03/21	0.40	0.09 W	0.00	436 of 514 - Sale <sup>25</sup>
	0.001	0.32	06/03/21	0.37	0.05 W	0.00	437 of 514 - Sale <sup>25</sup>
	0.001	0.32	06/03/21	0.40	0.08 W	0.00	438 of 514 - Sale <sup>25</sup>
	0.001	0.33	06/03/21	0.36	0.03 W	0.00	439 of 514 - Sale <sup>25</sup>
	0.001	0.33	06/03/21	0.41	0.08 W	0.00	440 of 514 - Sale <sup>25</sup>
	0.001	0.34	06/03/21	0.42	0.08 W	0.00	441 of 514 - Sale <sup>25</sup>
	0.001	0.35	06/03/21	0.43	0.08 W	0.00	442 of 514 - Sale <sup>25</sup>
	0.001	0.36	06/03/21	0.45	0.09 W	0.00	443 of 514 - Sale <sup>25</sup>
	0.001	0.39	06/03/21	0.47	0.08 W	0.00	444 of 514 - Sale <sup>25</sup>
	0.001	0.39	06/03/21	0.48	0.09 W	0.00	445 of 514 - Sale <sup>25</sup>
	0.001	0.40	06/03/21	0.50	0.10 W	0.00	446 of 514 - Sale <sup>25</sup>
	0.001	0.40	06/03/21	0.51	0.11 W	0.00	447 of 514 - Sale <sup>25</sup>
	0.001	0.41	06/03/21	0.54	0.13 W	0.00	448 of 514 - Sale <sup>25</sup>
	0.001	0.42	06/03/21	0.51	0.09 W	0.00	449 of 514 - Sale <sup>25</sup>
	0.001	0.43	06/03/21	0.53	0.10 W	0.00	450 of 514 - Sale <sup>25</sup>
	0.001	0.43	06/03/21	0.55	0.12 W	0.00	451 of 514 - Sale <sup>25</sup>
	0.001	0.46	06/03/21	0.59	0.13 W	0.00	452 of 514 - Sale <sup>25</sup>
	0.001	0.49	06/03/21	0.60	0.11 W	0.00	453 of 514 - Sale <sup>25</sup>
	0.001	0.49	06/03/21	0.60	0.11 W	0.00	454 of 514 - Sale <sup>25</sup>
	0.001	0.50	06/03/21	0.63	0.13 W	0.00	455 of 514 - Sale <sup>25</sup>
	0.001	0.53	06/03/21	0.65	0.12 W	0.00	456 of 514 - Sale <sup>25</sup>
	0.001	0.54	06/03/21	0.68	0.14 W	0.00	457 of 514 - Sale <sup>25</sup>
	0.001	0.56	06/03/21	0.73	0.17 W	0.00	458 of 514 - Sale <sup>25</sup>
	0.001	0.59	06/03/21	0.61	0.02 W	0.00	459 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.59	06/03/21	0.75	0.16 W	0.00	460 of 514 - Sale <sup>25</sup>
	0.001	0.62	06/03/21	0.75	0.13 W	0.00	461 of 514 - Sale <sup>25</sup>
	0.001	0.62	06/03/21	0.79	0.17 W	0.00	462 of 514 - Sale <sup>25</sup>
	0.001	0.64	06/03/21	0.80	0.16 W	0.00	463 of 514 - Sale <sup>25</sup>
	0.001	0.66	06/03/21	0.79	0.13 W	0.00	464 of 514 - Sale <sup>25</sup>
	0.001	0.66	06/03/21	0.82	0.16 W	0.00	465 of 514 - Sale <sup>25</sup>
	0.001	0.68	06/03/21	0.90	0.22 W	0.00	466 of 514 - Sale <sup>25</sup>
	0.001	0.68	06/03/21	0.90	0.22 W	0.00	467 of 514 - Sale <sup>25</sup>
	0.001	0.68	06/03/21	0.90	0.22 W	0.00	468 of 514 - Sale <sup>25</sup>
	0.001	0.68	06/03/21	0.91	0.23 W	0.00	469 of 514 - Sale <sup>25</sup>
	0.001	0.69	06/03/21	0.86	0.17 W	0.00	470 of 514 - Sale <sup>25</sup>
	0.001	0.69	06/03/21	0.83	0.14 W	0.00	471 of 514 - Sale <sup>25</sup>
	0.001	0.70	06/03/21	0.90	0.20 W	0.00	472 of 514 - Sale <sup>25</sup>
	0.001	0.70	06/03/21	0.90	0.20 W	0.00	473 of 514 - Sale <sup>25</sup>
	0.001	0.70	06/03/21	0.90	0.20 W	0.00	474 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.76	0.05 W	0.00	475 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.88	0.17 W	0.00	476 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.88	0.17 W	0.00	477 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.90	0.19 W	0.00	478 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.90	0.19 W	0.00	479 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.90	0.19 W	0.00	480 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.90	0.19 W	0.00	481 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.90	0.19 W	0.00	482 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.91	0.20 W	0.00	483 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.91	0.20 W	0.00	484 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.91	0.20 W	0.00	485 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.91	0.20 W	0.00	486 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.91	0.20 W	0.00	487 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.87	0.16 W	0.00	488 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.87	0.16 W	0.00	489 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.88	0.17 W	0.00	490 of 514 - Sale <sup>25</sup>
	0.001	0.71	06/03/21	0.88	0.17 W	0.00	491 of 514 - Sale <sup>25</sup>
	0.001	0.72	06/03/21	0.88	0.16 W	0.00	492 of 514 - Sale <sup>25</sup>
	0.001	0.72	06/03/21	0.88	0.16 W	0.00	493 of 514 - Sale <sup>25</sup>

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Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.72	06/03/21	0.89	0.17 W	0.00	494 of 514 - Sale <sup>25</sup>
	0.001	0.72	06/03/21	0.89	0.17 W	0.00	495 of 514 - Sale <sup>25</sup>
	0.001	0.72	06/03/21	0.90	0.18 W	0.00	496 of 514 - Sale <sup>25</sup>
	0.001	0.73	06/03/21	0.88	0.15 W	0.00	497 of 514 - Sale <sup>25</sup>
	0.001	0.78	06/03/21	0.88	0.10 W	0.00	498 of 514 - Sale <sup>25</sup>
	0.001	0.80	06/03/21	1.01	0.21 W	0.00	499 of 514 - Sale <sup>25</sup>
	0.002	0.98	06/03/21	1.06	0.08 W	0.00	500 of 514 - Sale <sup>25</sup>
	0.002	1.03	06/03/21	1.10	0.07 W	0.00	501 of 514 - Sale <sup>25</sup>
	0.002	1.03	06/03/21	1.10	0.07 W	0.00	502 of 514 - Sale <sup>25</sup>
	0.002	1.03	06/03/21	1.11	0.08 W	0.00	503 of 514 - Sale <sup>25</sup>
	0.002	1.13	06/03/21	1.20	0.07 W	0.00	504 of 514 - Sale <sup>25</sup>
	0.002	1.16	06/03/21	1.18	0.02 W	0.00	505 of 514 - Sale <sup>25</sup>
	0.002	1.16	06/03/21	1.30	0.14 W	0.00	506 of 514 - Sale <sup>25</sup>
	0.002	1.38	06/03/21	1.45	0.07 W	0.00	507 of 514 - Sale <sup>25</sup>
	0.002	1.42	06/03/21	1.77	0.35 W	0.00	508 of 514 - Sale <sup>25</sup>
	0.003	1.55	06/03/21	1.65	0.10 W	0.00	509 of 514 - Sale <sup>25</sup>
	0.003	2.07	06/03/21	2.20	0.13 W	0.00	510 of 514 - Sale <sup>25</sup>
	0.005	2.79	06/03/21	3.11	0.32 W	0.00	511 of 514 - Sale <sup>25</sup>
	0.005	2.96	06/03/21	3.19	0.23 W	0.00	512 of 514 - Sale <sup>25</sup>
	0.007	4.35	06/03/21	4.65	0.30 W	0.00	513 of 514 - Sale <sup>25</sup>
	0.007	4.42	06/03/21	4.57	0.15 W	0.00	514 of 514 - Sale <sup>25</sup>
06/07/21	0.718	427.19	Various	508.04	80.85 W	0.00	Total of 514 transactions
	436 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	0.012	7.46	06/07/21	8.94	...	-1.48	1 of 436 - Sale <sup>25</sup>
	0.010	5.98	06/07/21	7.32	...	-1.34	2 of 436 - Sale <sup>25</sup>
	0.008	5.09	06/07/21	6.10	...	-1.01	3 of 436 - Sale <sup>25</sup>
	0.004	2.52	06/07/21	3.37	...	-0.85	4 of 436 - Sale <sup>25</sup>
	0.006	3.83	06/07/21	4.61	...	-0.78	5 of 436 - Sale <sup>25</sup>
	0.006	3.80	06/07/21	4.57	...	-0.77	6 of 436 - Sale <sup>25</sup>
	0.006	3.86	06/07/21	4.58	...	-0.72	7 of 436 - Sale <sup>25</sup>
	0.008	5.00	06/07/21	5.70	...	-0.70	8 of 436 - Sale <sup>25</sup>
	0.005	3.09	06/07/21	3.71	...	-0.62	9 of 436 - Sale <sup>25</sup>
	0.007	4.01	06/07/21	4.61	...	-0.60	10 of 436 - Sale <sup>25</sup>
	0.003	1.75	06/07/21	2.33	...	-0.58	11 of 436 - Sale <sup>25</sup>

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Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.003		1.61	06/07/21	2.17	...	-0.56	12 of 436 - Sale <sup>25</sup>
0.014		8.30	06/07/21	8.81	...	-0.51	13 of 436 - Sale <sup>25</sup>
0.006		3.49	06/07/21	3.97	...	-0.48	14 of 436 - Sale <sup>25</sup>
0.003		2.10	06/07/21	2.54	...	-0.44	15 of 436 - Sale <sup>25</sup>
0.002		1.25	06/07/21	1.68	...	-0.43	16 of 436 - Sale <sup>25</sup>
0.002		1.50	06/07/21	1.93	...	-0.43	17 of 436 - Sale <sup>25</sup>
0.002		1.51	06/07/21	1.93	...	-0.42	18 of 436 - Sale <sup>25</sup>
0.003		2.05	06/07/21	2.47	...	-0.42	19 of 436 - Sale <sup>25</sup>
0.002		1.52	06/07/21	1.93	...	-0.41	20 of 436 - Sale <sup>25</sup>
0.003		2.00	06/07/21	2.41	...	-0.41	21 of 436 - Sale <sup>25</sup>
0.004		2.29	06/07/21	2.70	...	-0.41	22 of 436 - Sale <sup>25</sup>
0.005		2.87	06/07/21	3.28	...	-0.41	23 of 436 - Sale <sup>25</sup>
0.002		1.39	06/07/21	1.79	...	-0.40	24 of 436 - Sale <sup>25</sup>
0.003		1.69	06/07/21	2.05	...	-0.36	25 of 436 - Sale <sup>25</sup>
0.003		2.06	06/07/21	2.41	...	-0.35	26 of 436 - Sale <sup>25</sup>
0.002		1.49	06/07/21	1.83	...	-0.34	27 of 436 - Sale <sup>25</sup>
0.002		1.51	06/07/21	1.84	...	-0.33	28 of 436 - Sale <sup>25</sup>
0.002		1.50	06/07/21	1.82	...	-0.32	29 of 436 - Sale <sup>25</sup>
0.004		2.35	06/07/21	2.66	...	-0.31	30 of 436 - Sale <sup>25</sup>
0.002		1.27	06/07/21	1.57	...	-0.30	31 of 436 - Sale <sup>25</sup>
0.003		2.08	06/07/21	2.38	...	-0.30	32 of 436 - Sale <sup>25</sup>
0.001		0.87	06/07/21	1.16	...	-0.29	33 of 436 - Sale <sup>25</sup>
0.002		1.41	06/07/21	1.70	...	-0.29	34 of 436 - Sale <sup>25</sup>
0.002		1.45	06/07/21	1.74	...	-0.29	35 of 436 - Sale <sup>25</sup>
0.003		1.54	06/07/21	1.83	...	-0.29	36 of 436 - Sale <sup>25</sup>
0.003		2.09	06/07/21	2.38	...	-0.29	37 of 436 - Sale <sup>25</sup>
0.003		1.53	06/07/21	1.81	...	-0.28	38 of 436 - Sale <sup>25</sup>
0.001		0.80	06/07/21	1.07	...	-0.27	39 of 436 - Sale <sup>25</sup>
0.001		0.80	06/07/21	1.07	...	-0.27	40 of 436 - Sale <sup>25</sup>
0.002		1.25	06/07/21	1.52	...	-0.27	41 of 436 - Sale <sup>25</sup>
0.002		1.47	06/07/21	1.74	...	-0.27	42 of 436 - Sale <sup>25</sup>
0.003		1.53	06/07/21	1.80	...	-0.27	43 of 436 - Sale <sup>25</sup>
0.001		0.71	06/07/21	0.96	...	-0.25	44 of 436 - Sale <sup>25</sup>
0.001		0.71	06/07/21	0.96	...	-0.25	45 of 436 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.71	06/07/21	0.96	...	-0.25	46 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.98	...	-0.25	47 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.98	...	-0.25	48 of 436 - Sale <sup>25</sup>
	0.001	0.82	06/07/21	1.07	...	-0.25	49 of 436 - Sale <sup>25</sup>
	0.002	1.16	06/07/21	1.41	...	-0.25	50 of 436 - Sale <sup>25</sup>
	0.001	0.71	06/07/21	0.95	...	-0.24	51 of 436 - Sale <sup>25</sup>
	0.001	0.72	06/07/21	0.96	...	-0.24	52 of 436 - Sale <sup>25</sup>
	0.001	0.72	06/07/21	0.96	...	-0.24	53 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.97	...	-0.24	54 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.97	...	-0.24	55 of 436 - Sale <sup>25</sup>
	0.001	0.71	06/07/21	0.94	...	-0.23	56 of 436 - Sale <sup>25</sup>
	0.001	0.71	06/07/21	0.94	...	-0.23	57 of 436 - Sale <sup>25</sup>
	0.001	0.72	06/07/21	0.95	...	-0.23	58 of 436 - Sale <sup>25</sup>
	0.001	0.72	06/07/21	0.95	...	-0.23	59 of 436 - Sale <sup>25</sup>
	0.014	8.55	06/07/21	8.78	...	-0.23	60 of 436 - Sale <sup>25</sup>
	0.001	0.68	06/07/21	0.90	...	-0.22	61 of 436 - Sale <sup>25</sup>
	0.001	0.71	06/07/21	0.93	...	-0.22	62 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.95	...	-0.22	63 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.95	...	-0.22	64 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.97	...	-0.22	65 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.97	...	-0.22	66 of 436 - Sale <sup>25</sup>
	0.001	0.72	06/07/21	0.93	...	-0.21	67 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.94	...	-0.21	68 of 436 - Sale <sup>25</sup>
	0.002	1.03	06/07/21	1.24	...	-0.21	69 of 436 - Sale <sup>25</sup>
	0.002	1.05	06/07/21	1.26	...	-0.21	70 of 436 - Sale <sup>25</sup>
	0.002	1.06	06/07/21	1.27	...	-0.21	71 of 436 - Sale <sup>25</sup>
	0.001	0.69	06/07/21	0.89	...	-0.20	72 of 436 - Sale <sup>25</sup>
	0.001	0.70	06/07/21	0.90	...	-0.20	73 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.95	...	-0.20	74 of 436 - Sale <sup>25</sup>
	0.001	0.79	06/07/21	0.99	...	-0.20	75 of 436 - Sale <sup>25</sup>
	0.001	0.91	06/07/21	1.11	...	-0.20	76 of 436 - Sale <sup>25</sup>
	0.002	1.05	06/07/21	1.25	...	-0.20	77 of 436 - Sale <sup>25</sup>
	0.002	1.05	06/07/21	1.25	...	-0.20	78 of 436 - Sale <sup>25</sup>
	0.001	0.56	06/07/21	0.75	...	-0.19	79 of 436 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.57	06/07/21	0.76	...	-0.19	80 of 436 - Sale <sup>25</sup>
	0.001	0.59	06/07/21	0.78	...	-0.19	81 of 436 - Sale <sup>25</sup>
	0.001	0.61	06/07/21	0.80	...	-0.19	82 of 436 - Sale <sup>25</sup>
	0.001	0.62	06/07/21	0.81	...	-0.19	83 of 436 - Sale <sup>25</sup>
	0.001	0.69	06/07/21	0.88	...	-0.19	84 of 436 - Sale <sup>25</sup>
	0.001	0.70	06/07/21	0.89	...	-0.19	85 of 436 - Sale <sup>25</sup>
	0.001	0.70	06/07/21	0.89	...	-0.19	86 of 436 - Sale <sup>25</sup>
	0.005	2.86	06/07/21	3.05	...	-0.19	87 of 436 - Sale <sup>25</sup>
	0.001	0.51	06/07/21	0.69	...	-0.18	88 of 436 - Sale <sup>25</sup>
	0.001	0.56	06/07/21	0.74	...	-0.18	89 of 436 - Sale <sup>25</sup>
	0.001	0.62	06/07/21	0.80	...	-0.18	90 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.93	...	-0.18	91 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.93	...	-0.18	92 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.93	...	-0.18	93 of 436 - Sale <sup>25</sup>
	0.001	0.91	06/07/21	1.09	...	-0.18	94 of 436 - Sale <sup>25</sup>
	0.001	0.46	06/07/21	0.63	...	-0.17	95 of 436 - Sale <sup>25</sup>
	0.001	0.46	06/07/21	0.63	...	-0.17	96 of 436 - Sale <sup>25</sup>
	0.001	0.51	06/07/21	0.68	...	-0.17	97 of 436 - Sale <sup>25</sup>
	0.001	0.53	06/07/21	0.70	...	-0.17	98 of 436 - Sale <sup>25</sup>
	0.001	0.62	06/07/21	0.79	...	-0.17	99 of 436 - Sale <sup>25</sup>
	0.001	0.71	06/07/21	0.88	...	-0.17	100 of 436 - Sale <sup>25</sup>
	0.001	0.72	06/07/21	0.89	...	-0.17	101 of 436 - Sale <sup>25</sup>
	0.001	0.72	06/07/21	0.89	...	-0.17	102 of 436 - Sale <sup>25</sup>
	0.001	0.72	06/07/21	0.89	...	-0.17	103 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.90	...	-0.17	104 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.90	...	-0.17	105 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.90	...	-0.17	106 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.90	...	-0.17	107 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.90	...	-0.17	108 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.92	...	-0.17	109 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.92	...	-0.17	110 of 436 - Sale <sup>25</sup>
	0.001	0.76	06/07/21	0.93	...	-0.17	111 of 436 - Sale <sup>25</sup>
	0.001	0.80	06/07/21	0.97	...	-0.17	112 of 436 - Sale <sup>25</sup>
	0.001	0.82	06/07/21	0.99	...	-0.17	113 of 436 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.08	06/07/21	1.25	...	-0.17	114 of 436 - Sale <sup>25</sup>
	0.009	5.25	06/07/21	5.42	...	-0.17	115 of 436 - Sale <sup>25</sup>
	0.001	0.45	06/07/21	0.61	...	-0.16	116 of 436 - Sale <sup>25</sup>
	0.001	0.47	06/07/21	0.63	...	-0.16	117 of 436 - Sale <sup>25</sup>
	0.001	0.48	06/07/21	0.64	...	-0.16	118 of 436 - Sale <sup>25</sup>
	0.001	0.52	06/07/21	0.68	...	-0.16	119 of 436 - Sale <sup>25</sup>
	0.001	0.60	06/07/21	0.76	...	-0.16	120 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.89	...	-0.16	121 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.89	...	-0.16	122 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.89	...	-0.16	123 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.89	...	-0.16	124 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.89	...	-0.16	125 of 436 - Sale <sup>25</sup>
	0.001	0.76	06/07/21	0.92	...	-0.16	126 of 436 - Sale <sup>25</sup>
	0.001	0.76	06/07/21	0.92	...	-0.16	127 of 436 - Sale <sup>25</sup>
	0.001	0.76	06/07/21	0.92	...	-0.16	128 of 436 - Sale <sup>25</sup>
	0.001	0.76	06/07/21	0.92	...	-0.16	129 of 436 - Sale <sup>25</sup>
	0.001	0.76	06/07/21	0.92	...	-0.16	130 of 436 - Sale <sup>25</sup>
	0.001	0.76	06/07/21	0.92	...	-0.16	131 of 436 - Sale <sup>25</sup>
	0.001	0.76	06/07/21	0.92	...	-0.16	132 of 436 - Sale <sup>25</sup>
	0.008	4.97	06/07/21	5.12	...	-0.15	133 of 436 - Sale <sup>25</sup>
	0.001	0.45	06/07/21	0.60	...	-0.15	134 of 436 - Sale <sup>25</sup>
	0.001	0.53	06/07/21	0.68	...	-0.15	135 of 436 - Sale <sup>25</sup>
	0.001	0.74	06/07/21	0.89	...	-0.15	136 of 436 - Sale <sup>25</sup>
	0.001	0.77	06/07/21	0.92	...	-0.15	137 of 436 - Sale <sup>25</sup>
	0.001	0.44	06/07/21	0.58	...	-0.14	138 of 436 - Sale <sup>25</sup>
	0.001	0.47	06/07/21	0.61	...	-0.14	139 of 436 - Sale <sup>25</sup>
	0.001	0.58	06/07/21	0.72	...	-0.14	140 of 436 - Sale <sup>25</sup>
	0.001	0.61	06/07/21	0.75	...	-0.14	141 of 436 - Sale <sup>25</sup>
	0.001	0.64	06/07/21	0.78	...	-0.14	142 of 436 - Sale <sup>25</sup>
	0.001	0.71	06/07/21	0.85	...	-0.14	143 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.87	...	-0.14	144 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.87	...	-0.14	145 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.87	...	-0.14	146 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.87	...	-0.14	147 of 436 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		0.74	06/07/21	0.88	...	-0.14	148 of 436 - Sale <sup>25</sup>
0.001		0.74	06/07/21	0.88	...	-0.14	149 of 436 - Sale <sup>25</sup>
0.001		0.76	06/07/21	0.90	...	-0.14	150 of 436 - Sale <sup>25</sup>
0.001		0.77	06/07/21	0.91	...	-0.14	151 of 436 - Sale <sup>25</sup>
0.001		0.77	06/07/21	0.91	...	-0.14	152 of 436 - Sale <sup>25</sup>
0.001		0.77	06/07/21	0.91	...	-0.14	153 of 436 - Sale <sup>25</sup>
0.001		0.37	06/07/21	0.50	...	-0.13	154 of 436 - Sale <sup>25</sup>
0.001		0.58	06/07/21	0.71	...	-0.13	155 of 436 - Sale <sup>25</sup>
0.001		0.61	06/07/21	0.74	...	-0.13	156 of 436 - Sale <sup>25</sup>
0.001		0.66	06/07/21	0.79	...	-0.13	157 of 436 - Sale <sup>25</sup>
0.001		0.66	06/07/21	0.79	...	-0.13	158 of 436 - Sale <sup>25</sup>
0.001		0.68	06/07/21	0.81	...	-0.13	159 of 436 - Sale <sup>25</sup>
0.001		0.70	06/07/21	0.83	...	-0.13	160 of 436 - Sale <sup>25</sup>
0.001		0.73	06/07/21	0.86	...	-0.13	161 of 436 - Sale <sup>25</sup>
0.001		0.73	06/07/21	0.86	...	-0.13	162 of 436 - Sale <sup>25</sup>
0.001		0.73	06/07/21	0.86	...	-0.13	163 of 436 - Sale <sup>25</sup>
0.001		0.73	06/07/21	0.86	...	-0.13	164 of 436 - Sale <sup>25</sup>
0.001		0.73	06/07/21	0.86	...	-0.13	165 of 436 - Sale <sup>25</sup>
0.001		0.73	06/07/21	0.86	...	-0.13	166 of 436 - Sale <sup>25</sup>
0.001		0.76	06/07/21	0.89	...	-0.13	167 of 436 - Sale <sup>25</sup>
0.001		0.77	06/07/21	0.90	...	-0.13	168 of 436 - Sale <sup>25</sup>
0.001		0.35	06/07/21	0.47	...	-0.12	169 of 436 - Sale <sup>25</sup>
0.001		0.37	06/07/21	0.49	...	-0.12	170 of 436 - Sale <sup>25</sup>
0.001		0.55	06/07/21	0.67	...	-0.12	171 of 436 - Sale <sup>25</sup>
0.001		0.76	06/07/21	0.88	...	-0.12	172 of 436 - Sale <sup>25</sup>
0.001		0.78	06/07/21	0.90	...	-0.12	173 of 436 - Sale <sup>25</sup>
0.001		0.79	06/07/21	0.91	...	-0.12	174 of 436 - Sale <sup>25</sup>
0.001		0.79	06/07/21	0.91	...	-0.12	175 of 436 - Sale <sup>25</sup>
0.001		0.35	06/07/21	0.46	...	-0.11	176 of 436 - Sale <sup>25</sup>
0.001		0.42	06/07/21	0.53	...	-0.11	177 of 436 - Sale <sup>25</sup>
0.001		0.45	06/07/21	0.56	...	-0.11	178 of 436 - Sale <sup>25</sup>
0.001		0.48	06/07/21	0.59	...	-0.11	179 of 436 - Sale <sup>25</sup>
0.001		0.51	06/07/21	0.62	...	-0.11	180 of 436 - Sale <sup>25</sup>
0.001		0.56	06/07/21	0.67	...	-0.11	181 of 436 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.65	06/07/21	0.76	...	-0.11	182 of 436 - Sale <sup>25</sup>
	0.001	0.71	06/07/21	0.82	...	-0.11	183 of 436 - Sale <sup>25</sup>
	0.001	0.75	06/07/21	0.86	...	-0.11	184 of 436 - Sale <sup>25</sup>
	0.001	0.77	06/07/21	0.88	...	-0.11	185 of 436 - Sale <sup>25</sup>
	0.001	0.77	06/07/21	0.88	...	-0.11	186 of 436 - Sale <sup>25</sup>
	0.001	0.77	06/07/21	0.88	...	-0.11	187 of 436 - Sale <sup>25</sup>
	0.001	0.78	06/07/21	0.89	...	-0.11	188 of 436 - Sale <sup>25</sup>
	0.000	0.29	06/07/21	0.39	...	-0.10	189 of 436 - Sale <sup>25</sup>
	0.001	0.45	06/07/21	0.55	...	-0.10	190 of 436 - Sale <sup>25</sup>
	0.001	0.54	06/07/21	0.64	...	-0.10	191 of 436 - Sale <sup>25</sup>
	0.001	0.63	06/07/21	0.73	...	-0.10	192 of 436 - Sale <sup>25</sup>
	0.001	0.64	06/07/21	0.74	...	-0.10	193 of 436 - Sale <sup>25</sup>
	0.001	0.65	06/07/21	0.75	...	-0.10	194 of 436 - Sale <sup>25</sup>
	0.001	0.67	06/07/21	0.77	...	-0.10	195 of 436 - Sale <sup>25</sup>
	0.001	0.67	06/07/21	0.77	...	-0.10	196 of 436 - Sale <sup>25</sup>
	0.001	0.68	06/07/21	0.78	...	-0.10	197 of 436 - Sale <sup>25</sup>
	0.001	0.78	06/07/21	0.88	...	-0.10	198 of 436 - Sale <sup>25</sup>
	0.001	0.78	06/07/21	0.88	...	-0.10	199 of 436 - Sale <sup>25</sup>
	0.001	0.79	06/07/21	0.89	...	-0.10	200 of 436 - Sale <sup>25</sup>
	0.001	0.79	06/07/21	0.89	...	-0.10	201 of 436 - Sale <sup>25</sup>
	0.005	3.04	06/07/21	3.14	...	-0.10	202 of 436 - Sale <sup>25</sup>
	0.005	3.27	06/07/21	3.37	...	-0.10	203 of 436 - Sale <sup>25</sup>
	0.007	4.46	06/07/21	4.56	...	-0.10	204 of 436 - Sale <sup>25</sup>
	0.000	0.24	06/07/21	0.33	...	-0.09	205 of 436 - Sale <sup>25</sup>
	0.000	0.25	06/07/21	0.34	...	-0.09	206 of 436 - Sale <sup>25</sup>
	0.000	0.30	06/07/21	0.39	...	-0.09	207 of 436 - Sale <sup>25</sup>
	0.001	0.41	06/07/21	0.50	...	-0.09	208 of 436 - Sale <sup>25</sup>
	0.001	0.50	06/07/21	0.59	...	-0.09	209 of 436 - Sale <sup>25</sup>
	0.001	0.50	06/07/21	0.59	...	-0.09	210 of 436 - Sale <sup>25</sup>
	0.001	0.55	06/07/21	0.64	...	-0.09	211 of 436 - Sale <sup>25</sup>
	0.001	0.58	06/07/21	0.67	...	-0.09	212 of 436 - Sale <sup>25</sup>
	0.001	0.66	06/07/21	0.75	...	-0.09	213 of 436 - Sale <sup>25</sup>
	0.002	1.19	06/07/21	1.28	...	-0.09	214 of 436 - Sale <sup>25</sup>
	0.000	0.25	06/07/21	0.33	...	-0.08	215 of 436 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.000		0.25	06/07/21	0.33	...	-0.08	216 of 436 - Sale <sup>25</sup>
0.000		0.26	06/07/21	0.34	...	-0.08	217 of 436 - Sale <sup>25</sup>
0.001		0.32	06/07/21	0.40	...	-0.08	218 of 436 - Sale <sup>25</sup>
0.001		0.38	06/07/21	0.46	...	-0.08	219 of 436 - Sale <sup>25</sup>
0.001		0.40	06/07/21	0.48	...	-0.08	220 of 436 - Sale <sup>25</sup>
0.001		0.41	06/07/21	0.49	...	-0.08	221 of 436 - Sale <sup>25</sup>
0.001		0.44	06/07/21	0.52	...	-0.08	222 of 436 - Sale <sup>25</sup>
0.001		0.53	06/07/21	0.61	...	-0.08	223 of 436 - Sale <sup>25</sup>
0.001		0.79	06/07/21	0.87	...	-0.08	224 of 436 - Sale <sup>25</sup>
0.000		0.22	06/07/21	0.29	...	-0.07	225 of 436 - Sale <sup>25</sup>
0.000		0.22	06/07/21	0.29	...	-0.07	226 of 436 - Sale <sup>25</sup>
0.000		0.23	06/07/21	0.30	...	-0.07	227 of 436 - Sale <sup>25</sup>
0.000		0.28	06/07/21	0.35	...	-0.07	228 of 436 - Sale <sup>25</sup>
0.001		0.32	06/07/21	0.39	...	-0.07	229 of 436 - Sale <sup>25</sup>
0.001		0.34	06/07/21	0.41	...	-0.07	230 of 436 - Sale <sup>25</sup>
0.001		0.36	06/07/21	0.43	...	-0.07	231 of 436 - Sale <sup>25</sup>
0.001		0.37	06/07/21	0.44	...	-0.07	232 of 436 - Sale <sup>25</sup>
0.001		0.42	06/07/21	0.49	...	-0.07	233 of 436 - Sale <sup>25</sup>
0.001		0.42	06/07/21	0.49	...	-0.07	234 of 436 - Sale <sup>25</sup>
0.001		0.43	06/07/21	0.50	...	-0.07	235 of 436 - Sale <sup>25</sup>
0.001		0.48	06/07/21	0.55	...	-0.07	236 of 436 - Sale <sup>25</sup>
0.001		0.80	06/07/21	0.87	...	-0.07	237 of 436 - Sale <sup>25</sup>
0.003		1.53	06/07/21	1.60	...	-0.07	238 of 436 - Sale <sup>25</sup>
0.000		0.20	06/07/21	0.26	...	-0.06	239 of 436 - Sale <sup>25</sup>
0.000		0.21	06/07/21	0.27	...	-0.06	240 of 436 - Sale <sup>25</sup>
0.000		0.28	06/07/21	0.34	...	-0.06	241 of 436 - Sale <sup>25</sup>
0.001		0.33	06/07/21	0.39	...	-0.06	242 of 436 - Sale <sup>25</sup>
0.001		0.35	06/07/21	0.41	...	-0.06	243 of 436 - Sale <sup>25</sup>
0.001		0.36	06/07/21	0.42	...	-0.06	244 of 436 - Sale <sup>25</sup>
0.001		0.38	06/07/21	0.44	...	-0.06	245 of 436 - Sale <sup>25</sup>
0.001		0.40	06/07/21	0.46	...	-0.06	246 of 436 - Sale <sup>25</sup>
0.002		1.00	06/07/21	1.05	...	-0.05	247 of 436 - Sale <sup>25</sup>
0.000		0.12	06/07/21	0.17	...	-0.05	248 of 436 - Sale <sup>25</sup>
0.000		0.14	06/07/21	0.19	...	-0.05	249 of 436 - Sale <sup>25</sup>

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Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.000		0.16	06/07/21	0.21	...	-0.05	250 of 436 - Sale <sup>25</sup>
0.000		0.19	06/07/21	0.24	...	-0.05	251 of 436 - Sale <sup>25</sup>
0.000		0.19	06/07/21	0.24	...	-0.05	252 of 436 - Sale <sup>25</sup>
0.000		0.21	06/07/21	0.26	...	-0.05	253 of 436 - Sale <sup>25</sup>
0.000		0.22	06/07/21	0.27	...	-0.05	254 of 436 - Sale <sup>25</sup>
0.000		0.24	06/07/21	0.29	...	-0.05	255 of 436 - Sale <sup>25</sup>
0.000		0.27	06/07/21	0.32	...	-0.05	256 of 436 - Sale <sup>25</sup>
0.000		0.30	06/07/21	0.35	...	-0.05	257 of 436 - Sale <sup>25</sup>
0.001		0.31	06/07/21	0.36	...	-0.05	258 of 436 - Sale <sup>25</sup>
0.001		0.35	06/07/21	0.40	...	-0.05	259 of 436 - Sale <sup>25</sup>
0.001		0.83	06/07/21	0.88	...	-0.05	260 of 436 - Sale <sup>25</sup>
0.002		1.00	06/07/21	1.05	...	-0.05	261 of 436 - Sale <sup>25</sup>
0.000		0.09	06/07/21	0.13	...	-0.04	262 of 436 - Sale <sup>25</sup>
0.000		0.09	06/07/21	0.13	...	-0.04	263 of 436 - Sale <sup>25</sup>
0.000		0.15	06/07/21	0.19	...	-0.04	264 of 436 - Sale <sup>25</sup>
0.000		0.15	06/07/21	0.19	...	-0.04	265 of 436 - Sale <sup>25</sup>
0.000		0.18	06/07/21	0.22	...	-0.04	266 of 436 - Sale <sup>25</sup>
0.000		0.24	06/07/21	0.28	...	-0.04	267 of 436 - Sale <sup>25</sup>
0.000		0.25	06/07/21	0.29	...	-0.04	268 of 436 - Sale <sup>25</sup>
0.000		0.27	06/07/21	0.31	...	-0.04	269 of 436 - Sale <sup>25</sup>
0.001		0.33	06/07/21	0.37	...	-0.04	270 of 436 - Sale <sup>25</sup>
0.001		0.33	06/07/21	0.37	...	-0.04	271 of 436 - Sale <sup>25</sup>
0.002		1.01	06/07/21	1.05	...	-0.04	272 of 436 - Sale <sup>25</sup>
0.000		0.10	06/07/21	0.13	...	-0.03	273 of 436 - Sale <sup>25</sup>
0.000		0.10	06/07/21	0.13	...	-0.03	274 of 436 - Sale <sup>25</sup>
0.000		0.12	06/07/21	0.15	...	-0.03	275 of 436 - Sale <sup>25</sup>
0.000		0.12	06/07/21	0.15	...	-0.03	276 of 436 - Sale <sup>25</sup>
0.000		0.13	06/07/21	0.16	...	-0.03	277 of 436 - Sale <sup>25</sup>
0.000		0.14	06/07/21	0.17	...	-0.03	278 of 436 - Sale <sup>25</sup>
0.000		0.15	06/07/21	0.18	...	-0.03	279 of 436 - Sale <sup>25</sup>
0.000		0.18	06/07/21	0.21	...	-0.03	280 of 436 - Sale <sup>25</sup>
0.000		0.19	06/07/21	0.22	...	-0.03	281 of 436 - Sale <sup>25</sup>
0.000		0.22	06/07/21	0.25	...	-0.03	282 of 436 - Sale <sup>25</sup>
0.000		0.23	06/07/21	0.26	...	-0.03	283 of 436 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.000		0.23	06/07/21	0.26	...	-0.03	284 of 436 - Sale <sup>25</sup>
0.000		0.24	06/07/21	0.27	...	-0.03	285 of 436 - Sale <sup>25</sup>
0.001		0.69	06/07/21	0.72	...	-0.03	286 of 436 - Sale <sup>25</sup>
0.001		0.82	06/07/21	0.85	...	-0.03	287 of 436 - Sale <sup>25</sup>
0.001		0.85	06/07/21	0.88	...	-0.03	288 of 436 - Sale <sup>25</sup>
0.001		0.91	06/07/21	0.94	...	-0.03	289 of 436 - Sale <sup>25</sup>
0.002		1.00	06/07/21	1.03	...	-0.03	290 of 436 - Sale <sup>25</sup>
0.002		1.06	06/07/21	1.09	...	-0.03	291 of 436 - Sale <sup>25</sup>
0.003		1.59	06/07/21	1.62	...	-0.03	292 of 436 - Sale <sup>25</sup>
0.003		2.13	06/07/21	2.16	...	-0.03	293 of 436 - Sale <sup>25</sup>
0.000		0.04	06/07/21	0.06	...	-0.02	294 of 436 - Sale <sup>25</sup>
0.000		0.10	06/07/21	0.12	...	-0.02	295 of 436 - Sale <sup>25</sup>
0.000		0.11	06/07/21	0.13	...	-0.02	296 of 436 - Sale <sup>25</sup>
0.000		0.12	06/07/21	0.14	...	-0.02	297 of 436 - Sale <sup>25</sup>
0.000		0.12	06/07/21	0.14	...	-0.02	298 of 436 - Sale <sup>25</sup>
0.000		0.13	06/07/21	0.15	...	-0.02	299 of 436 - Sale <sup>25</sup>
0.001		0.38	06/07/21	0.40	...	-0.02	300 of 436 - Sale <sup>25</sup>
0.001		0.43	06/07/21	0.45	...	-0.02	301 of 436 - Sale <sup>25</sup>
0.001		0.52	06/07/21	0.54	...	-0.02	302 of 436 - Sale <sup>25</sup>
0.001		0.55	06/07/21	0.57	...	-0.02	303 of 436 - Sale <sup>25</sup>
0.001		0.62	06/07/21	0.64	...	-0.02	304 of 436 - Sale <sup>25</sup>
0.001		0.72	06/07/21	0.74	...	-0.02	305 of 436 - Sale <sup>25</sup>
0.001		0.74	06/07/21	0.76	...	-0.02	306 of 436 - Sale <sup>25</sup>
0.001		0.81	06/07/21	0.83	...	-0.02	307 of 436 - Sale <sup>25</sup>
0.001		0.85	06/07/21	0.87	...	-0.02	308 of 436 - Sale <sup>25</sup>
0.001		0.87	06/07/21	0.89	...	-0.02	309 of 436 - Sale <sup>25</sup>
0.001		0.87	06/07/21	0.89	...	-0.02	310 of 436 - Sale <sup>25</sup>
0.001		0.89	06/07/21	0.91	...	-0.02	311 of 436 - Sale <sup>25</sup>
0.002		0.94	06/07/21	0.96	...	-0.02	312 of 436 - Sale <sup>25</sup>
0.002		0.98	06/07/21	1.00	...	-0.02	313 of 436 - Sale <sup>25</sup>
0.002		1.06	06/07/21	1.08	...	-0.02	314 of 436 - Sale <sup>25</sup>
0.002		1.06	06/07/21	1.08	...	-0.02	315 of 436 - Sale <sup>25</sup>
0.002		1.06	06/07/21	1.08	...	-0.02	316 of 436 - Sale <sup>25</sup>
0.002		1.16	06/07/21	1.18	...	-0.02	317 of 436 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.002		1.40	06/07/21	1.42	...	-0.02	318 of 436 - Sale <sup>25</sup>
0.003		1.58	06/07/21	1.60	...	-0.02	319 of 436 - Sale <sup>25</sup>
0.003		1.74	06/07/21	1.76	...	-0.02	320 of 436 - Sale <sup>25</sup>
0.003		2.11	06/07/21	2.13	...	-0.02	321 of 436 - Sale <sup>25</sup>
0.000		0.01	06/07/21	0.02	...	-0.01	322 of 436 - Sale <sup>25</sup>
0.000		0.02	06/07/21	0.03	...	-0.01	323 of 436 - Sale <sup>25</sup>
0.000		0.02	06/07/21	0.03	...	-0.01	324 of 436 - Sale <sup>25</sup>
0.000		0.03	06/07/21	0.04	...	-0.01	325 of 436 - Sale <sup>25</sup>
0.000		0.04	06/07/21	0.05	...	-0.01	326 of 436 - Sale <sup>25</sup>
0.000		0.05	06/07/21	0.06	...	-0.01	327 of 436 - Sale <sup>25</sup>
0.000		0.05	06/07/21	0.06	...	-0.01	328 of 436 - Sale <sup>25</sup>
0.000		0.06	06/07/21	0.07	...	-0.01	329 of 436 - Sale <sup>25</sup>
0.000		0.06	06/07/21	0.07	...	-0.01	330 of 436 - Sale <sup>25</sup>
0.000		0.06	06/07/21	0.07	...	-0.01	331 of 436 - Sale <sup>25</sup>
0.000		0.07	06/07/21	0.08	...	-0.01	332 of 436 - Sale <sup>25</sup>
0.000		0.08	06/07/21	0.09	...	-0.01	333 of 436 - Sale <sup>25</sup>
0.000		0.08	06/07/21	0.09	...	-0.01	334 of 436 - Sale <sup>25</sup>
0.000		0.10	06/07/21	0.11	...	-0.01	335 of 436 - Sale <sup>25</sup>
0.000		0.12	06/07/21	0.13	...	-0.01	336 of 436 - Sale <sup>25</sup>
0.000		0.14	06/07/21	0.15	...	-0.01	337 of 436 - Sale <sup>25</sup>
0.000		0.15	06/07/21	0.16	...	-0.01	338 of 436 - Sale <sup>25</sup>
0.000		0.16	06/07/21	0.17	...	-0.01	339 of 436 - Sale <sup>25</sup>
0.000		0.19	06/07/21	0.20	...	-0.01	340 of 436 - Sale <sup>25</sup>
0.000		0.23	06/07/21	0.24	...	-0.01	341 of 436 - Sale <sup>25</sup>
0.000		0.24	06/07/21	0.25	...	-0.01	342 of 436 - Sale <sup>25</sup>
0.000		0.25	06/07/21	0.26	...	-0.01	343 of 436 - Sale <sup>25</sup>
0.000		0.28	06/07/21	0.29	...	-0.01	344 of 436 - Sale <sup>25</sup>
0.000		0.28	06/07/21	0.29	...	-0.01	345 of 436 - Sale <sup>25</sup>
0.000		0.28	06/07/21	0.29	...	-0.01	346 of 436 - Sale <sup>25</sup>
0.001		0.34	06/07/21	0.35	...	-0.01	347 of 436 - Sale <sup>25</sup>
0.001		0.35	06/07/21	0.36	...	-0.01	348 of 436 - Sale <sup>25</sup>
0.001		0.40	06/07/21	0.41	...	-0.01	349 of 436 - Sale <sup>25</sup>
0.001		0.46	06/07/21	0.47	...	-0.01	350 of 436 - Sale <sup>25</sup>
0.001		0.51	06/07/21	0.52	...	-0.01	351 of 436 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.51	06/07/21	0.52	...	-0.01	352 of 436 - Sale <sup>25</sup>
	0.001	0.53	06/07/21	0.54	...	-0.01	353 of 436 - Sale <sup>25</sup>
	0.001	0.58	06/07/21	0.59	...	-0.01	354 of 436 - Sale <sup>25</sup>
	0.001	0.65	06/07/21	0.66	...	-0.01	355 of 436 - Sale <sup>25</sup>
	0.001	0.70	06/07/21	0.71	...	-0.01	356 of 436 - Sale <sup>25</sup>
	0.001	0.73	06/07/21	0.74	...	-0.01	357 of 436 - Sale <sup>25</sup>
	0.001	0.84	06/07/21	0.85	...	-0.01	358 of 436 - Sale <sup>25</sup>
	0.001	0.86	06/07/21	0.87	...	-0.01	359 of 436 - Sale <sup>25</sup>
	0.001	0.86	06/07/21	0.87	...	-0.01	360 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	361 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	362 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	363 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	364 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	365 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	366 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	367 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	368 of 436 - Sale <sup>25</sup>
	0.001	0.87	06/07/21	0.88	...	-0.01	369 of 436 - Sale <sup>25</sup>
	0.001	0.88	06/07/21	0.89	...	-0.01	370 of 436 - Sale <sup>25</sup>
	0.001	0.88	06/07/21	0.89	...	-0.01	371 of 436 - Sale <sup>25</sup>
	0.001	0.88	06/07/21	0.89	...	-0.01	372 of 436 - Sale <sup>25</sup>
	0.002	0.99	06/07/21	1.00	...	-0.01	373 of 436 - Sale <sup>25</sup>
	0.002	1.01	06/07/21	1.02	...	-0.01	374 of 436 - Sale <sup>25</sup>
	0.002	1.11	06/07/21	1.12	...	-0.01	375 of 436 - Sale <sup>25</sup>
	0.002	1.32	06/07/21	1.33	...	-0.01	376 of 436 - Sale <sup>25</sup>
	0.002	1.41	06/07/21	1.42	...	-0.01	377 of 436 - Sale <sup>25</sup>
	0.003	1.65	06/07/21	1.66	...	-0.01	378 of 436 - Sale <sup>25</sup>
	0.003	1.77	06/07/21	1.78	...	-0.01	379 of 436 - Sale <sup>25</sup>
	0.000	0.05	06/07/21	0.05	...	0.00	380 of 436 - Sale <sup>25</sup>
	0.000	0.07	06/07/21	0.07	...	0.00	381 of 436 - Sale <sup>25</sup>
	0.000	0.07	06/07/21	0.07	...	0.00	382 of 436 - Sale <sup>25</sup>
	0.000	0.14	06/07/21	0.14	...	0.00	383 of 436 - Sale <sup>25</sup>
	0.000	0.19	06/07/21	0.19	...	0.00	384 of 436 - Sale <sup>25</sup>
	0.000	0.21	06/07/21	0.21	...	0.00	385 of 436 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.000		0.21	06/07/21	0.21	...	0.00	386 of 436 - Sale <sup>25</sup>
0.000		0.23	06/07/21	0.23	...	0.00	387 of 436 - Sale <sup>25</sup>
0.000		0.26	06/07/21	0.26	...	0.00	388 of 436 - Sale <sup>25</sup>
0.000		0.28	06/07/21	0.28	...	0.00	389 of 436 - Sale <sup>25</sup>
0.001		0.34	06/07/21	0.34	...	0.00	390 of 436 - Sale <sup>25</sup>
0.001		0.34	06/07/21	0.34	...	0.00	391 of 436 - Sale <sup>25</sup>
0.001		0.40	06/07/21	0.40	...	0.00	392 of 436 - Sale <sup>25</sup>
0.001		0.48	06/07/21	0.48	...	0.00	393 of 436 - Sale <sup>25</sup>
0.001		0.55	06/07/21	0.55	...	0.00	394 of 436 - Sale <sup>25</sup>
0.001		0.63	06/07/21	0.63	...	0.00	395 of 436 - Sale <sup>25</sup>
0.001		0.66	06/07/21	0.66	...	0.00	396 of 436 - Sale <sup>25</sup>
0.001		0.68	06/07/21	0.68	...	0.00	397 of 436 - Sale <sup>25</sup>
0.001		0.71	06/07/21	0.71	...	0.00	398 of 436 - Sale <sup>25</sup>
0.001		0.71	06/07/21	0.71	...	0.00	399 of 436 - Sale <sup>25</sup>
0.001		0.71	06/07/21	0.71	...	0.00	400 of 436 - Sale <sup>25</sup>
0.001		0.77	06/07/21	0.77	...	0.00	401 of 436 - Sale <sup>25</sup>
0.001		0.80	06/07/21	0.80	...	0.00	402 of 436 - Sale <sup>25</sup>
0.001		0.86	06/07/21	0.86	...	0.00	403 of 436 - Sale <sup>25</sup>
0.001		0.86	06/07/21	0.86	...	0.00	404 of 436 - Sale <sup>25</sup>
0.001		0.88	06/07/21	0.88	...	0.00	405 of 436 - Sale <sup>25</sup>
0.001		0.88	06/07/21	0.88	...	0.00	406 of 436 - Sale <sup>25</sup>
0.001		0.90	06/07/21	0.90	...	0.00	407 of 436 - Sale <sup>25</sup>
0.002		0.93	06/07/21	0.93	...	0.00	408 of 436 - Sale <sup>25</sup>
0.001		0.35	06/07/21	0.34	...	0.01	409 of 436 - Sale <sup>25</sup>
0.001		0.37	06/07/21	0.36	...	0.01	410 of 436 - Sale <sup>25</sup>
0.001		0.59	06/07/21	0.58	...	0.01	411 of 436 - Sale <sup>25</sup>
0.001		0.61	06/07/21	0.60	...	0.01	412 of 436 - Sale <sup>25</sup>
0.001		0.78	06/07/21	0.77	...	0.01	413 of 436 - Sale <sup>25</sup>
0.001		0.83	06/07/21	0.82	...	0.01	414 of 436 - Sale <sup>25</sup>
0.001		0.87	06/07/21	0.86	...	0.01	415 of 436 - Sale <sup>25</sup>
0.001		0.87	06/07/21	0.86	...	0.01	416 of 436 - Sale <sup>25</sup>
0.001		0.88	06/07/21	0.87	...	0.01	417 of 436 - Sale <sup>25</sup>
0.002		1.01	06/07/21	1.00	...	0.01	418 of 436 - Sale <sup>25</sup>
0.002		1.06	06/07/21	1.05	...	0.01	419 of 436 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	1.16	06/07/21	1.15	...	0.01	420 of 436 - Sale <sup>25</sup>
	0.002	1.17	06/07/21	1.16	...	0.01	421 of 436 - Sale <sup>25</sup>
	0.002	1.37	06/07/21	1.36	...	0.01	422 of 436 - Sale <sup>25</sup>
	0.001	0.89	06/07/21	0.87	...	0.02	423 of 436 - Sale <sup>25</sup>
	0.001	0.89	06/07/21	0.87	...	0.02	424 of 436 - Sale <sup>25</sup>
	0.001	0.90	06/07/21	0.88	...	0.02	425 of 436 - Sale <sup>25</sup>
	0.001	0.90	06/07/21	0.88	...	0.02	426 of 436 - Sale <sup>25</sup>
	0.002	1.07	06/07/21	1.05	...	0.02	427 of 436 - Sale <sup>25</sup>
	0.002	1.29	06/07/21	1.27	...	0.02	428 of 436 - Sale <sup>25</sup>
	0.002	1.19	06/07/21	1.16	...	0.03	429 of 436 - Sale <sup>25</sup>
	0.003	1.83	06/07/21	1.80	...	0.03	430 of 436 - Sale <sup>25</sup>
	0.003	2.13	06/07/21	2.10	...	0.03	431 of 436 - Sale <sup>25</sup>
	0.008	4.83	06/07/21	4.80	...	0.03	432 of 436 - Sale <sup>25</sup>
	0.004	2.68	06/07/21	2.63	...	0.05	433 of 436 - Sale <sup>25</sup>
	0.007	4.53	06/07/21	4.48	...	0.05	434 of 436 - Sale <sup>25</sup>
	0.008	4.71	06/07/21	4.66	...	0.05	435 of 436 - Sale <sup>25</sup>
	0.359	219.24	06/07/21	209.57	...	9.67	436 of 436 - Sale <sup>25</sup>
06/08/21	1.000	610.84	Various	653.57	...	-42.73	Total of 436 transactions
11/10/21	0.101	103.41	11/10/21	100.00	...	3.41	Sale <sup>25</sup>
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	0.004	5.06	11/23/21	5.01	...	0.05	1 of 2 - Sale <sup>25</sup>
	0.001	1.02	11/30/21	1.00	...	0.02	2 of 2 - Sale <sup>25</sup>
11/30/21	0.005	6.08	Various	6.01	...	0.07	Total of 2 transactions
<b>Security total:</b>		<b>5,848.34</b>		<b>4,720.74</b>	<b>209.11 W</b>	<b>1,336.71</b>	
3M COMPANY / CUSIP: 88579Y101 / Symbol:							
02/08/21	0.006	1.01	02/05/21	1.00	...	0.01	Sale <sup>25</sup>
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	1.000	9.94	06/21/21	8.62	...	1.32	1 of 2 - Sale <sup>25</sup>
	2.000	19.88	06/21/21	17.67	...	2.21	2 of 2 - Sale <sup>25</sup>
06/21/21	3.000	29.82	Various	26.29	...	3.53	Total of 2 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
01/05/21	0.002	0.05	09/30/20	0.06	...	-0.01	1 of 3 - Sale <sup>25</sup>
	0.001	0.03	09/30/20	0.03	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.002	0.04	09/30/20	0.04	...	0.00	3 of 3 - Sale <sup>25</sup>
	0.005	0.12	Various	0.13	...	-0.01	Total of 3 transactions
64 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	0.043	1.02	09/30/20	1.31	0.21 W	-0.08	1 of 64 - Sale <sup>25</sup>
	0.001	0.02	09/30/20	0.04	0.02 W	0.00	2 of 64 - Sale <sup>25</sup>
	0.002	0.05	09/30/20	0.07	0.02 W	0.00	3 of 64 - Sale <sup>25</sup>
	0.003	0.06	09/30/20	0.07	0.01 W	0.00	4 of 64 - Sale <sup>25</sup>
	0.003	0.07	09/30/20	0.09	0.02 W	0.00	5 of 64 - Sale <sup>25</sup>
	0.003	0.07	09/30/20	0.09	0.02 W	0.00	6 of 64 - Sale <sup>25</sup>
	0.003	0.08	09/30/20	0.09	0.01 W	0.00	7 of 64 - Sale <sup>25</sup>
	0.003	0.08	09/30/20	0.12	0.04 W	0.00	8 of 64 - Sale <sup>25</sup>
	0.005	0.11	09/30/20	0.13	0.02 W	0.00	9 of 64 - Sale <sup>25</sup>
	0.005	0.11	09/30/20	0.15	0.04 W	0.00	10 of 64 - Sale <sup>25</sup>
	0.005	0.11	09/30/20	0.16	0.05 W	0.00	11 of 64 - Sale <sup>25</sup>
	0.005	0.11	09/30/20	0.14	0.03 W	0.00	12 of 64 - Sale <sup>25</sup>
	0.006	0.13	09/30/20	0.15	0.02 W	0.00	13 of 64 - Sale <sup>25</sup>
	0.006	0.13	09/30/20	0.17	0.04 W	0.00	14 of 64 - Sale <sup>25</sup>
	0.008	0.18	09/30/20	0.25	0.07 W	0.00	15 of 64 - Sale <sup>25</sup>
	0.008	0.20	09/30/20	0.22	0.02 W	0.00	16 of 64 - Sale <sup>25</sup>
	0.009	0.21	09/30/20	0.28	0.07 W	0.00	17 of 64 - Sale <sup>25</sup>
	0.009	0.21	09/30/20	0.31	0.10 W	0.00	18 of 64 - Sale <sup>25</sup>
	0.009	0.22	09/30/20	0.26	0.04 W	0.00	19 of 64 - Sale <sup>25</sup>
	0.011	0.25	09/30/20	0.30	0.05 W	0.00	20 of 64 - Sale <sup>25</sup>
	0.012	0.28	09/30/20	0.37	0.09 W	0.00	21 of 64 - Sale <sup>25</sup>
	0.012	0.29	09/30/20	0.33	0.04 W	0.00	22 of 64 - Sale <sup>25</sup>
	0.012	0.29	09/30/20	0.35	0.06 W	0.00	23 of 64 - Sale <sup>25</sup>
	0.014	0.33	09/30/20	0.43	0.10 W	0.00	24 of 64 - Sale <sup>25</sup>
	0.014	0.33	09/30/20	0.39	0.06 W	0.00	25 of 64 - Sale <sup>25</sup>
	0.016	0.37	09/30/20	0.44	0.07 W	0.00	26 of 64 - Sale <sup>25</sup>
	0.016	0.37	09/30/20	0.46	0.09 W	0.00	27 of 64 - Sale <sup>25</sup>
	0.017	0.39	09/30/20	0.56	0.17 W	0.00	28 of 64 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
0.017		0.40	09/30/20	0.57	0.17 W	0.00	29 of 64 - Sale <sup>25</sup>
0.018		0.42	09/30/20	0.57	0.15 W	0.00	30 of 64 - Sale <sup>25</sup>
0.021		0.49	09/30/20	0.57	0.08 W	0.00	31 of 64 - Sale <sup>25</sup>
0.022		0.50	09/30/20	0.60	0.10 W	0.00	32 of 64 - Sale <sup>25</sup>
0.021		0.51	09/30/20	0.65	0.14 W	0.00	33 of 64 - Sale <sup>25</sup>
0.022		0.51	09/30/20	0.61	0.10 W	0.00	34 of 64 - Sale <sup>25</sup>
0.024		0.58	09/30/20	0.83	0.25 W	0.00	35 of 64 - Sale <sup>25</sup>
0.026		0.61	09/30/20	0.79	0.18 W	0.00	36 of 64 - Sale <sup>25</sup>
0.027		0.63	09/30/20	0.90	0.27 W	0.00	37 of 64 - Sale <sup>25</sup>
0.028		0.64	09/30/20	0.77	0.13 W	0.00	38 of 64 - Sale <sup>25</sup>
0.028		0.66	09/30/20	0.86	0.20 W	0.00	39 of 64 - Sale <sup>25</sup>
0.028		0.66	09/30/20	0.78	0.12 W	0.00	40 of 64 - Sale <sup>25</sup>
0.029		0.68	09/30/20	0.88	0.20 W	0.00	41 of 64 - Sale <sup>25</sup>
0.030		0.70	09/30/20	1.01	0.31 W	0.00	42 of 64 - Sale <sup>25</sup>
0.033		0.77	09/30/20	0.85	0.08 W	0.00	43 of 64 - Sale <sup>25</sup>
0.033		0.77	09/30/20	0.93	0.16 W	0.00	44 of 64 - Sale <sup>25</sup>
0.034		0.78	09/30/20	0.96	0.18 W	0.00	45 of 64 - Sale <sup>25</sup>
0.035		0.83	09/30/20	1.11	0.28 W	0.00	46 of 64 - Sale <sup>25</sup>
0.036		0.85	09/30/20	0.95	0.10 W	0.00	47 of 64 - Sale <sup>25</sup>
0.038		0.89	09/30/20	1.08	0.19 W	0.00	48 of 64 - Sale <sup>25</sup>
0.038		0.91	09/30/20	1.22	0.31 W	0.00	49 of 64 - Sale <sup>25</sup>
0.039		0.92	09/30/20	1.26	0.34 W	0.00	50 of 64 - Sale <sup>25</sup>
0.040		0.94	09/30/20	1.13	0.19 W	0.00	51 of 64 - Sale <sup>25</sup>
0.041		0.97	09/30/20	1.32	0.35 W	0.00	52 of 64 - Sale <sup>25</sup>
0.042		1.00	09/30/20	1.15	0.15 W	0.00	53 of 64 - Sale <sup>25</sup>
0.043		1.00	09/30/20	1.20	0.20 W	0.00	54 of 64 - Sale <sup>25</sup>
0.043		1.02	09/30/20	1.35	0.33 W	0.00	55 of 64 - Sale <sup>25</sup>
0.043		1.03	09/30/20	1.40	0.37 W	0.00	56 of 64 - Sale <sup>25</sup>
0.044		1.05	09/30/20	1.17	0.12 W	0.00	57 of 64 - Sale <sup>25</sup>
0.047		1.12	09/30/20	1.51	0.39 W	0.00	58 of 64 - Sale <sup>25</sup>
0.048		1.13	09/30/20	1.51	0.38 W	0.00	59 of 64 - Sale <sup>25</sup>
0.049		1.13	09/30/20	1.43	0.30 W	0.00	60 of 64 - Sale <sup>25</sup>
0.005		0.11	09/30/20	0.15	0.04 W	0.00	61 of 64 - Sale <sup>25</sup>
0.006		0.14	09/30/20	0.18	0.04 W	0.00	62 of 64 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	0.041	0.98	09/30/20	1.31			63 of 64 - Sale <sup>25</sup>
	0.047	1.12	09/30/20	1.49	0.33 W	0.00	64 of 64 - Sale <sup>25</sup>
01/05/21	1.425	33.52	Various	42.78	9.18 W	-0.08	Total of 64 transactions
	30 transactions for 01/12/21. Total proceeds and cost reported to the IRS.						
	0.037	0.95	09/30/20	1.11	...	-0.16	1 of 30 - Sale <sup>25</sup>
	0.030	0.77	09/30/20	0.91	...	-0.14	2 of 30 - Sale <sup>25</sup>
	0.014	0.35	09/30/20	0.42	...	-0.07	3 of 30 - Sale <sup>25</sup>
	0.008	0.20	09/30/20	0.25	...	-0.05	4 of 30 - Sale <sup>25</sup>
	0.010	0.26	09/30/20	0.31	...	-0.05	5 of 30 - Sale <sup>25</sup>
	0.022	0.57	09/30/20	0.62	...	-0.05	6 of 30 - Sale <sup>25</sup>
	0.004	0.11	09/30/20	0.13	...	-0.02	7 of 30 - Sale <sup>25</sup>
	0.007	0.19	09/30/20	0.21	...	-0.02	8 of 30 - Sale <sup>25</sup>
	0.004	0.11	09/30/20	0.12	...	-0.01	9 of 30 - Sale <sup>25</sup>
	0.007	0.18	09/30/20	0.15	...	0.03	10 of 30 - Sale <sup>25</sup>
	0.012	0.31	09/30/20	0.25	...	0.06	11 of 30 - Sale <sup>25</sup>
	0.021	0.54	09/30/20	0.42	...	0.12	12 of 30 - Sale <sup>25</sup>
	0.022	0.57	09/30/20	0.44	...	0.13	13 of 30 - Sale <sup>25</sup>
	0.025	0.64	09/30/20	0.50	...	0.14	14 of 30 - Sale <sup>25</sup>
	0.026	0.68	09/30/20	0.53	...	0.15	15 of 30 - Sale <sup>25</sup>
	0.030	0.77	09/30/20	0.61	...	0.16	16 of 30 - Sale <sup>25</sup>
	0.029	0.75	09/30/20	0.58	...	0.17	17 of 30 - Sale <sup>25</sup>
	0.034	0.87	09/30/20	0.68	...	0.19	18 of 30 - Sale <sup>25</sup>
	0.039	1.00	09/30/20	0.77	...	0.23	19 of 30 - Sale <sup>25</sup>
	0.052	1.33	09/30/20	1.00	...	0.33	20 of 30 - Sale <sup>25</sup>
	0.052	1.34	09/30/20	1.00	...	0.34	21 of 30 - Sale <sup>25</sup>
	0.066	1.70	09/30/20	1.28	...	0.42	22 of 30 - Sale <sup>25</sup>
	0.135	3.48	09/30/20	2.62	...	0.86	23 of 30 - Sale <sup>25</sup>
	0.051	1.32	10/01/20	1.00	...	0.32	24 of 30 - Sale <sup>25</sup>
	0.051	1.33	10/01/20	1.00	...	0.33	25 of 30 - Sale <sup>25</sup>
	0.052	1.34	10/02/20	1.00	...	0.34	26 of 30 - Sale <sup>25</sup>
	0.048	1.23	10/06/20	1.00	...	0.23	27 of 30 - Sale <sup>25</sup>
	0.018	0.45	10/09/20	0.38	...	0.07	28 of 30 - Sale <sup>25</sup>
	0.046	1.20	10/09/20	1.00	...	0.20	29 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
01/12/21	0.047	1.20	10/09/20	1.00	...	0.20	30 of 30 - Sale <sup>25</sup>
	1.000	25.74	Various	21.29	...	4.45	Total of 30 transactions
	8 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	0.029	0.77	10/09/20	0.62	...	0.15	1 of 8 - Sale <sup>25</sup>
	0.021	0.55	10/12/20	0.44	...	0.11	2 of 8 - Sale <sup>25</sup>
	0.035	0.97	10/12/20	0.75	...	0.22	3 of 8 - Sale <sup>25</sup>
	0.047	1.25	10/12/20	1.00	...	0.25	4 of 8 - Sale <sup>25</sup>
	0.048	1.26	10/12/20	1.00	...	0.26	5 of 8 - Sale <sup>25</sup>
	0.074	2.03	10/12/20	1.56	...	0.47	6 of 8 - Sale <sup>25</sup>
	0.142	3.73	10/12/20	3.00	...	0.73	7 of 8 - Sale <sup>25</sup>
	0.474	12.44	10/12/20	10.00	...	2.44	8 of 8 - Sale <sup>25</sup>
01/13/21	0.870	23.00	Various	18.37	...	4.63	Total of 8 transactions
01/19/21	0.163	5.01	10/12/20	3.43	...	1.58	Sale <sup>25</sup>
	3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	0.004	0.13	10/12/20	0.08	...	0.05	1 of 3 - Sale <sup>25</sup>
	0.039	1.29	10/12/20	0.82	...	0.47	2 of 3 - Sale <sup>25</sup>
	0.047	1.58	10/12/20	1.00	...	0.58	3 of 3 - Sale <sup>25</sup>
01/21/21	0.090	3.00	Various	1.90	...	1.10	Total of 3 transactions
	5 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	0.018	0.86	10/12/20	0.36	...	0.50	1 of 5 - Sale <sup>25</sup>
	0.044	2.13	10/12/20	0.92	...	1.21	2 of 5 - Sale <sup>25</sup>
	0.048	2.33	10/12/20	1.00	...	1.33	3 of 5 - Sale <sup>25</sup>
	0.048	2.34	10/12/20	1.00	...	1.34	4 of 5 - Sale <sup>25</sup>
	0.048	2.34	10/12/20	1.00	...	1.34	5 of 5 - Sale <sup>25</sup>
02/02/21	0.204	10.00	Various	4.28	...	5.72	Total of 5 transactions
	29 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	0.031	1.60	10/12/20	0.64	...	0.96	1 of 29 - Sale <sup>25</sup>
	0.048	2.52	10/12/20	1.00	...	1.52	2 of 29 - Sale <sup>25</sup>
	0.048	2.54	10/12/20	1.00	...	1.54	3 of 29 - Sale <sup>25</sup>
	0.049	2.58	10/13/20	1.00	...	1.58	4 of 29 - Sale <sup>25</sup>
	0.011	0.56	10/14/20	0.23	...	0.33	5 of 29 - Sale <sup>25</sup>
	0.020	1.05	10/14/20	0.43	...	0.62	6 of 29 - Sale <sup>25</sup>
	0.026	1.39	10/14/20	0.57	...	0.82	7 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	0.036	1.92	10/14/20	0.77	...	1.15	8 of 29 - Sale <sup>25</sup>
	0.047	2.48	10/14/20	1.00	...	1.48	9 of 29 - Sale <sup>25</sup>
	0.018	0.97	10/15/20	0.39	...	0.58	10 of 29 - Sale <sup>25</sup>
	0.029	1.55	10/15/20	0.61	...	0.94	11 of 29 - Sale <sup>25</sup>
	0.094	4.96	10/15/20	1.98	...	2.98	12 of 29 - Sale <sup>25</sup>
	0.096	5.07	10/15/20	2.02	...	3.05	13 of 29 - Sale <sup>25</sup>
	0.017	0.87	10/23/20	0.33	...	0.54	14 of 29 - Sale <sup>25</sup>
	0.034	1.78	10/23/20	0.67	...	1.11	15 of 29 - Sale <sup>25</sup>
	0.050	2.66	10/23/20	1.00	...	1.66	16 of 29 - Sale <sup>25</sup>
	0.014	0.76	10/26/20	0.27	...	0.49	17 of 29 - Sale <sup>25</sup>
	0.025	1.33	10/26/20	0.47	...	0.86	18 of 29 - Sale <sup>25</sup>
	0.028	1.48	10/26/20	0.53	...	0.95	19 of 29 - Sale <sup>25</sup>
	0.038	1.99	10/26/20	0.73	...	1.26	20 of 29 - Sale <sup>25</sup>
	0.041	2.14	10/26/20	0.75	...	1.39	21 of 29 - Sale <sup>25</sup>
	0.053	2.75	10/26/20	1.00	...	1.75	22 of 29 - Sale <sup>25</sup>
	0.053	2.76	10/26/20	1.00	...	1.76	23 of 29 - Sale <sup>25</sup>
	0.053	2.76	10/26/20	1.00	...	1.76	24 of 29 - Sale <sup>25</sup>
	0.053	2.79	10/26/20	1.00	...	1.79	25 of 29 - Sale <sup>25</sup>
	0.053	2.81	10/26/20	1.00	...	1.81	26 of 29 - Sale <sup>25</sup>
	0.054	2.82	10/26/20	1.00	...	1.82	27 of 29 - Sale <sup>25</sup>
	0.054	2.84	10/26/20	1.00	...	1.84	28 of 29 - Sale <sup>25</sup>
	0.054	2.84	10/26/20	1.00	...	1.84	29 of 29 - Sale <sup>25</sup>
02/10/21	1.230	64.57	Various	24.39	...	40.18	Total of 29 transactions
26 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	10/26/20	0.00	...	0.01	1 of 26 - Sale <sup>25</sup>
	0.013	0.75	10/26/20	0.25	...	0.50	2 of 26 - Sale <sup>25</sup>
	0.054	3.06	10/26/20	1.00	...	2.06	3 of 26 - Sale <sup>25</sup>
	0.054	3.08	10/26/20	1.00	...	2.08	4 of 26 - Sale <sup>25</sup>
	0.056	3.20	10/28/20	1.00	...	2.20	5 of 26 - Sale <sup>25</sup>
	0.056	3.22	10/28/20	1.00	...	2.22	6 of 26 - Sale <sup>25</sup>
	0.057	3.24	10/28/20	1.00	...	2.24	7 of 26 - Sale <sup>25</sup>
	0.058	3.28	10/28/20	1.00	...	2.28	8 of 26 - Sale <sup>25</sup>
	0.055	3.16	10/29/20	1.00	...	2.16	9 of 26 - Sale <sup>25</sup>
	0.056	3.20	10/29/20	1.00	...	2.20	10 of 26 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	0.057	3.23	10/29/20	1.00	...	2.23	11 of 26 - Sale <sup>25</sup>
	0.000	0.01	10/30/20	0.00	...	0.01	12 of 26 - Sale <sup>25</sup>
	0.027	1.53	10/30/20	0.47	...	1.06	13 of 26 - Sale <sup>25</sup>
	0.030	1.76	10/30/20	0.53	...	1.23	14 of 26 - Sale <sup>25</sup>
	0.055	3.15	10/30/20	1.00	...	2.15	15 of 26 - Sale <sup>25</sup>
	0.056	3.22	10/30/20	1.00	...	2.22	16 of 26 - Sale <sup>25</sup>
	0.057	3.22	10/30/20	1.00	...	2.22	17 of 26 - Sale <sup>25</sup>
	0.057	3.32	10/30/20	1.00	...	2.32	18 of 26 - Sale <sup>25</sup>
	0.058	3.41	10/30/20	1.00	...	2.41	19 of 26 - Sale <sup>25</sup>
	0.057	3.30	11/02/20	1.00	...	2.30	20 of 26 - Sale <sup>25</sup>
	0.057	3.33	11/02/20	1.00	...	2.33	21 of 26 - Sale <sup>25</sup>
	0.057	3.35	11/02/20	1.00	...	2.35	22 of 26 - Sale <sup>25</sup>
	0.031	1.81	11/03/20	0.57	...	1.24	23 of 26 - Sale <sup>25</sup>
	0.055	3.22	11/03/20	1.00	...	2.22	24 of 26 - Sale <sup>25</sup>
	0.055	3.23	11/03/20	1.00	...	2.23	25 of 26 - Sale <sup>25</sup>
	0.056	3.26	11/03/20	1.00	...	2.26	26 of 26 - Sale <sup>25</sup>
02/11/21	1.226	70.55	Various	21.82	...	48.73	Total of 26 transactions
	112 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	0.024	1.28	11/03/20	0.43	...	0.85	1 of 112 - Sale <sup>25</sup>
	0.054	2.90	11/03/20	1.00	...	1.90	2 of 112 - Sale <sup>25</sup>
	0.054	2.93	11/03/20	1.00	...	1.93	3 of 112 - Sale <sup>25</sup>
	0.000	0.02	11/04/20	0.01	...	0.01	4 of 112 - Sale <sup>25</sup>
	0.054	2.94	11/04/20	1.00	...	1.94	5 of 112 - Sale <sup>25</sup>
	0.055	3.00	11/04/20	0.99	...	2.01	6 of 112 - Sale <sup>25</sup>
	0.055	2.97	11/05/20	1.00	...	1.97	7 of 112 - Sale <sup>25</sup>
	0.052	2.80	11/06/20	1.00	...	1.80	8 of 112 - Sale <sup>25</sup>
	0.052	2.84	11/06/20	1.00	...	1.84	9 of 112 - Sale <sup>25</sup>
	0.053	2.88	11/06/20	1.00	...	1.88	10 of 112 - Sale <sup>25</sup>
	0.054	2.91	11/06/20	1.00	...	1.91	11 of 112 - Sale <sup>25</sup>
	0.051	2.77	11/10/20	1.00	...	1.77	12 of 112 - Sale <sup>25</sup>
	0.051	2.78	11/10/20	1.00	...	1.78	13 of 112 - Sale <sup>25</sup>
	0.052	2.80	11/10/20	1.00	...	1.80	14 of 112 - Sale <sup>25</sup>
	0.052	2.81	11/10/20	1.00	...	1.81	15 of 112 - Sale <sup>25</sup>
	0.040	2.18	11/24/20	1.00	...	1.18	16 of 112 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
0.040		2.19	11/24/20	1.00	...	1.19	17 of 112 - Sale <sup>25</sup>
0.041		2.19	11/24/20	1.00	...	1.19	18 of 112 - Sale <sup>25</sup>
0.005		0.28	12/10/20	0.20	...	0.08	19 of 112 - Sale <sup>25</sup>
0.005		0.25	12/10/20	0.16	...	0.09	20 of 112 - Sale <sup>25</sup>
0.011		0.58	12/10/20	0.40	...	0.18	21 of 112 - Sale <sup>25</sup>
0.021		1.12	12/10/20	0.79	...	0.33	22 of 112 - Sale <sup>25</sup>
0.026		1.40	12/10/20	0.96	...	0.44	23 of 112 - Sale <sup>25</sup>
0.028		1.51	12/10/20	1.03	...	0.48	24 of 112 - Sale <sup>25</sup>
0.001		0.07	12/11/20	0.05	...	0.02	25 of 112 - Sale <sup>25</sup>
0.005		0.26	12/11/20	0.20	...	0.06	26 of 112 - Sale <sup>25</sup>
0.007		0.38	12/11/20	0.26	...	0.12	27 of 112 - Sale <sup>25</sup>
0.011		0.57	12/11/20	0.39	...	0.18	28 of 112 - Sale <sup>25</sup>
0.013		0.72	12/11/20	0.50	...	0.22	29 of 112 - Sale <sup>25</sup>
0.025		1.36	12/11/20	0.92	...	0.44	30 of 112 - Sale <sup>25</sup>
0.003		0.15	12/14/20	0.08	...	0.07	31 of 112 - Sale <sup>25</sup>
0.006		0.33	12/14/20	0.20	...	0.13	32 of 112 - Sale <sup>25</sup>
0.009		0.50	12/14/20	0.29	...	0.21	33 of 112 - Sale <sup>25</sup>
0.013		0.68	12/14/20	0.39	...	0.29	34 of 112 - Sale <sup>25</sup>
0.016		0.85	12/14/20	0.50	...	0.35	35 of 112 - Sale <sup>25</sup>
0.028		1.50	12/14/20	0.89	...	0.61	36 of 112 - Sale <sup>25</sup>
0.035		1.92	12/14/20	1.16	...	0.76	37 of 112 - Sale <sup>25</sup>
0.007		0.37	12/15/20	0.20	...	0.17	38 of 112 - Sale <sup>25</sup>
0.016		0.85	12/15/20	0.46	...	0.39	39 of 112 - Sale <sup>25</sup>
0.018		0.97	12/15/20	0.54	...	0.43	40 of 112 - Sale <sup>25</sup>
0.020		1.08	12/15/20	0.58	...	0.50	41 of 112 - Sale <sup>25</sup>
0.021		1.12	12/15/20	0.61	...	0.51	42 of 112 - Sale <sup>25</sup>
0.002		0.11	12/17/20	0.06	...	0.05	43 of 112 - Sale <sup>25</sup>
0.015		0.83	12/17/20	0.47	...	0.36	44 of 112 - Sale <sup>25</sup>
0.022		1.17	12/17/20	0.65	...	0.52	45 of 112 - Sale <sup>25</sup>
0.001		0.07	12/28/20	0.04	...	0.03	46 of 112 - Sale <sup>25</sup>
0.002		0.12	12/28/20	0.07	...	0.05	47 of 112 - Sale <sup>25</sup>
0.002		0.13	12/28/20	0.07	...	0.06	48 of 112 - Sale <sup>25</sup>
0.003		0.16	12/28/20	0.09	...	0.07	49 of 112 - Sale <sup>25</sup>
0.006		0.30	12/28/20	0.16	...	0.14	50 of 112 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	0.008	0.45	12/28/20	0.22	...	0.23	51 of 112 - Sale <sup>25</sup>
	0.009	0.48	12/28/20	0.24	...	0.24	52 of 112 - Sale <sup>25</sup>
	0.010	0.57	12/28/20	0.30	...	0.27	53 of 112 - Sale <sup>25</sup>
	0.012	0.68	12/28/20	0.36	...	0.32	54 of 112 - Sale <sup>25</sup>
	0.013	0.69	12/28/20	0.36	...	0.33	55 of 112 - Sale <sup>25</sup>
	0.013	0.71	12/28/20	0.37	...	0.34	56 of 112 - Sale <sup>25</sup>
	0.021	1.12	12/28/20	0.59	...	0.53	57 of 112 - Sale <sup>25</sup>
	0.032	1.73	12/28/20	0.89	...	0.84	58 of 112 - Sale <sup>25</sup>
	0.035	1.91	12/28/20	0.94	...	0.97	59 of 112 - Sale <sup>25</sup>
	0.036	1.95	12/28/20	0.96	...	0.99	60 of 112 - Sale <sup>25</sup>
	0.014	0.76	12/31/20	0.48	...	0.28	61 of 112 - Sale <sup>25</sup>
	0.011	0.59	12/31/20	0.30	...	0.29	62 of 112 - Sale <sup>25</sup>
	0.017	0.91	12/31/20	0.57	...	0.34	63 of 112 - Sale <sup>25</sup>
	0.002	0.10	01/04/21	0.06	...	0.04	64 of 112 - Sale <sup>25</sup>
	0.005	0.26	01/04/21	0.16	...	0.10	65 of 112 - Sale <sup>25</sup>
	0.007	0.39	01/04/21	0.25	...	0.14	66 of 112 - Sale <sup>25</sup>
	0.005	0.28	01/04/21	0.13	...	0.15	67 of 112 - Sale <sup>25</sup>
	0.011	0.57	01/04/21	0.36	...	0.21	68 of 112 - Sale <sup>25</sup>
	0.015	0.80	01/04/21	0.50	...	0.30	69 of 112 - Sale <sup>25</sup>
	0.027	1.44	01/04/21	0.90	...	0.54	70 of 112 - Sale <sup>25</sup>
	0.030	1.61	01/04/21	0.99	...	0.62	71 of 112 - Sale <sup>25</sup>
	0.028	1.50	01/04/21	0.72	...	0.78	72 of 112 - Sale <sup>25</sup>
	0.001	0.05	01/06/21	0.04	...	0.01	73 of 112 - Sale <sup>25</sup>
	0.041	2.21	01/06/21	1.33	...	0.88	74 of 112 - Sale <sup>25</sup>
	0.003	0.17	01/08/21	0.12	...	0.05	75 of 112 - Sale <sup>25</sup>
	0.003	0.17	01/08/21	0.10	...	0.07	76 of 112 - Sale <sup>25</sup>
	0.010	0.55	01/08/21	0.35	...	0.20	77 of 112 - Sale <sup>25</sup>
	0.018	0.97	01/08/21	0.60	...	0.37	78 of 112 - Sale <sup>25</sup>
	0.019	1.01	01/08/21	0.63	...	0.38	79 of 112 - Sale <sup>25</sup>
	0.030	1.60	01/08/21	0.97	...	0.63	80 of 112 - Sale <sup>25</sup>
	0.037	2.00	01/08/21	1.22	...	0.78	81 of 112 - Sale <sup>25</sup>
	0.001	0.07	01/11/21	0.04	...	0.03	82 of 112 - Sale <sup>25</sup>
	0.002	0.11	01/11/21	0.07	...	0.04	83 of 112 - Sale <sup>25</sup>
	0.003	0.14	01/11/21	0.09	...	0.05	84 of 112 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	0.003	0.17	01/11/21	0.11	...	0.06	85 of 112 - Sale <sup>25</sup>
	0.004	0.22	01/11/21	0.13	...	0.09	86 of 112 - Sale <sup>25</sup>
	0.005	0.26	01/11/21	0.15	...	0.11	87 of 112 - Sale <sup>25</sup>
	0.007	0.38	01/11/21	0.24	...	0.14	88 of 112 - Sale <sup>25</sup>
	0.008	0.41	01/11/21	0.26	...	0.15	89 of 112 - Sale <sup>25</sup>
	0.008	0.45	01/11/21	0.27	...	0.18	90 of 112 - Sale <sup>25</sup>
	0.009	0.49	01/11/21	0.30	...	0.19	91 of 112 - Sale <sup>25</sup>
	0.010	0.52	01/11/21	0.31	...	0.21	92 of 112 - Sale <sup>25</sup>
	0.010	0.57	01/11/21	0.34	...	0.23	93 of 112 - Sale <sup>25</sup>
	0.011	0.57	01/11/21	0.34	...	0.23	94 of 112 - Sale <sup>25</sup>
	0.014	0.74	01/11/21	0.45	...	0.29	95 of 112 - Sale <sup>25</sup>
	0.014	0.78	01/11/21	0.48	...	0.30	96 of 112 - Sale <sup>25</sup>
	0.015	0.80	01/11/21	0.48	...	0.32	97 of 112 - Sale <sup>25</sup>
	0.016	0.85	01/11/21	0.53	...	0.32	98 of 112 - Sale <sup>25</sup>
	0.022	1.19	01/11/21	0.73	...	0.46	99 of 112 - Sale <sup>25</sup>
	0.024	1.32	01/11/21	0.82	...	0.50	100 of 112 - Sale <sup>25</sup>
	0.026	1.40	01/11/21	0.84	...	0.56	101 of 112 - Sale <sup>25</sup>
	0.026	1.39	01/11/21	0.82	...	0.57	102 of 112 - Sale <sup>25</sup>
	0.028	1.51	01/11/21	0.92	...	0.59	103 of 112 - Sale <sup>25</sup>
	0.028	1.51	01/11/21	0.90	...	0.61	104 of 112 - Sale <sup>25</sup>
	0.030	1.65	01/11/21	1.03	...	0.62	105 of 112 - Sale <sup>25</sup>
	0.035	1.89	01/11/21	1.15	...	0.74	106 of 112 - Sale <sup>25</sup>
	0.047	2.57	02/02/21	2.69	...	-0.12	107 of 112 - Sale <sup>25</sup>
	0.020	1.11	02/02/21	1.16	...	-0.05	108 of 112 - Sale <sup>25</sup>
	0.032	1.71	02/02/21	1.76	...	-0.05	109 of 112 - Sale <sup>25</sup>
	0.013	0.70	02/02/21	0.74	...	-0.04	110 of 112 - Sale <sup>25</sup>
	0.005	0.25	02/02/21	0.26	...	-0.01	111 of 112 - Sale <sup>25</sup>
	0.006	0.31	02/02/21	0.32	...	-0.01	112 of 112 - Sale <sup>25</sup>
02/12/21	2.239	121.17	Various	64.54	...	56.63	Total of 112 transactions
26 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	0.034	1.01	06/01/21	1.00	...	0.01	1 of 26 - Sale <sup>25</sup>
	0.035	1.01	06/01/21	1.00	...	0.01	2 of 26 - Sale <sup>25</sup>
	0.035	1.02	06/01/21	1.00	...	0.02	3 of 26 - Sale <sup>25</sup>
	0.035	1.02	06/01/21	1.00	...	0.02	4 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	0.035	1.02	06/01/21	1.00	...	0.02	5 of 26 - Sale <sup>25</sup>
	0.035	1.02	06/01/21	1.00	...	0.02	6 of 26 - Sale <sup>25</sup>
	0.069	2.02	06/01/21	2.00	...	0.02	7 of 26 - Sale <sup>25</sup>
	0.035	1.03	06/01/21	1.00	...	0.03	8 of 26 - Sale <sup>25</sup>
	0.035	1.03	06/01/21	1.00	...	0.03	9 of 26 - Sale <sup>25</sup>
	0.035	1.03	06/01/21	1.00	...	0.03	10 of 26 - Sale <sup>25</sup>
	0.069	2.03	06/01/21	2.00	...	0.03	11 of 26 - Sale <sup>25</sup>
	0.069	2.03	06/01/21	2.00	...	0.03	12 of 26 - Sale <sup>25</sup>
	0.069	2.03	06/01/21	2.00	...	0.03	13 of 26 - Sale <sup>25</sup>
	0.035	1.04	06/01/21	1.00	...	0.04	14 of 26 - Sale <sup>25</sup>
	0.035	1.04	06/01/21	1.00	...	0.04	15 of 26 - Sale <sup>25</sup>
	0.036	1.05	06/01/21	1.00	...	0.05	16 of 26 - Sale <sup>25</sup>
	0.036	1.05	06/01/21	1.00	...	0.05	17 of 26 - Sale <sup>25</sup>
	0.070	2.05	06/01/21	2.00	...	0.05	18 of 26 - Sale <sup>25</sup>
	0.070	2.05	06/01/21	2.00	...	0.05	19 of 26 - Sale <sup>25</sup>
	0.070	2.06	06/01/21	2.00	...	0.06	20 of 26 - Sale <sup>25</sup>
	0.071	2.08	06/01/21	2.00	...	0.08	21 of 26 - Sale <sup>25</sup>
	0.071	2.09	06/01/21	2.00	...	0.09	22 of 26 - Sale <sup>25</sup>
	0.778	22.90	06/01/21	22.75	...	0.15	23 of 26 - Sale <sup>25</sup>
	1.222	35.95	06/01/21	35.73	...	0.22	24 of 26 - Sale <sup>25</sup>
	0.348	10.25	06/01/21	10.00	...	0.25	25 of 26 - Sale <sup>25</sup>
	0.348	10.25	06/01/21	10.00	...	0.25	26 of 26 - Sale <sup>25</sup>
06/01/21	3.778	111.16	Various	109.48	...	1.68	Total of 26 transactions
	<b>Security total:</b>	<b>467.84</b>		<b>312.41</b>	<b>9.18 W</b>	<b>164.61</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
01/13/21	0.009	0.24	01/08/21	0.24	...	0.00	Sale <sup>25</sup>
	2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	0.188	4.87	01/08/21	5.00	0.13 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.189	4.89	01/08/21	5.00	0.11 W	0.00	2 of 2 - Sale <sup>25</sup>
01/13/21	0.377	9.76	Various	10.00	0.24 W	0.00	Total of 2 transactions
	16 transactions for 01/14/21. Total proceeds and cost reported to the IRS.						
	0.020	0.48	01/08/21	0.52	0.04 W	0.00	1 of 16 - Sale <sup>25</sup>
	0.022	0.54	01/08/21	0.58	0.04 W	0.00	2 of 16 - Sale <sup>25</sup>

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Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.025	0.62	01/08/21	0.66	0.04 W	0.00	3 of 16 - Sale <sup>25</sup>
	0.038	0.93	01/08/21	1.00	0.07 W	0.00	4 of 16 - Sale <sup>25</sup>
	0.038	0.93	01/08/21	1.00	0.07 W	0.00	5 of 16 - Sale <sup>25</sup>
	0.038	0.93	01/08/21	1.00	0.07 W	0.00	6 of 16 - Sale <sup>25</sup>
	0.038	0.93	01/08/21	1.00	0.07 W	0.00	7 of 16 - Sale <sup>25</sup>
	0.038	0.93	01/08/21	1.00	0.07 W	0.00	8 of 16 - Sale <sup>25</sup>
	0.038	0.93	01/08/21	1.00	0.07 W	0.00	9 of 16 - Sale <sup>25</sup>
	0.038	0.93	01/08/21	1.00	0.07 W	0.00	10 of 16 - Sale <sup>25</sup>
	0.038	0.93	01/08/21	1.00	0.07 W	0.00	11 of 16 - Sale <sup>25</sup>
	0.066	1.63	01/08/21	1.81	0.18 W	0.00	12 of 16 - Sale <sup>25</sup>
	0.068	1.66	01/08/21	1.83	0.17 W	0.00	13 of 16 - Sale <sup>25</sup>
	0.068	1.67	01/08/21	1.79	0.12 W	0.00	14 of 16 - Sale <sup>25</sup>
	0.121	2.98	01/08/21	3.28	0.30 W	0.00	15 of 16 - Sale <sup>25</sup>
	0.121	2.98	01/08/21	3.29	0.31 W	0.00	16 of 16 - Sale <sup>25</sup>
01/14/21	0.814	20.00	Various	21.76	1.76 W	0.00	Total of 16 transactions
9 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.010	0.22	01/08/21	0.28	0.06 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.013	0.29	01/08/21	0.36	0.07 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.016	0.37	01/08/21	0.46	0.09 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.018	0.42	01/08/21	0.51	0.09 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.020	0.47	01/08/21	0.58	0.11 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.025	0.59	01/08/21	0.73	0.14 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.038	0.88	01/08/21	1.10	0.22 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.038	0.88	01/08/21	1.11	0.23 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.038	0.88	01/08/21	1.10	0.22 W	0.00	9 of 9 - Sale <sup>25</sup>
01/15/21	0.215	5.00	Various	6.23	1.23 W	0.00	Total of 9 transactions
25 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	0.003	0.07	01/08/21	0.08	0.01 W	0.00	1 of 25 - Sale <sup>25</sup>
	0.003	0.07	01/08/21	0.08	0.01 W	0.00	2 of 25 - Sale <sup>25</sup>
	0.008	0.20	01/08/21	0.22	0.02 W	0.00	3 of 25 - Sale <sup>25</sup>
	0.011	0.26	01/08/21	0.30	0.04 W	0.00	4 of 25 - Sale <sup>25</sup>
	0.011	0.26	01/08/21	0.31	0.05 W	0.00	5 of 25 - Sale <sup>25</sup>
	0.011	0.27	01/08/21	0.30	0.03 W	0.00	6 of 25 - Sale <sup>25</sup>
	0.011	0.27	01/08/21	0.31	0.04 W	0.00	7 of 25 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.014	0.33	01/08/21	0.39	0.06 W	0.00	8 of 25 - Sale <sup>25</sup>
	0.020	0.47	01/08/21	0.55	0.08 W	0.00	9 of 25 - Sale <sup>25</sup>
	0.024	0.58	01/08/21	0.68	0.10 W	0.00	10 of 25 - Sale <sup>25</sup>
	0.027	0.65	01/08/21	0.76	0.11 W	0.00	11 of 25 - Sale <sup>25</sup>
	0.027	0.67	01/08/21	0.77	0.10 W	0.00	12 of 25 - Sale <sup>25</sup>
	0.038	0.92	01/08/21	1.07	0.15 W	0.00	13 of 25 - Sale <sup>25</sup>
	0.005	0.12	01/08/21	0.13	0.01 W	0.00	14 of 25 - Sale <sup>25</sup>
	0.008	0.21	01/08/21	0.24	0.03 W	0.00	15 of 25 - Sale <sup>25</sup>
	0.012	0.29	01/08/21	0.35	0.06 W	0.00	16 of 25 - Sale <sup>25</sup>
	0.014	0.35	01/08/21	0.40	0.05 W	0.00	17 of 25 - Sale <sup>25</sup>
	0.017	0.41	01/08/21	0.47	0.06 W	0.00	18 of 25 - Sale <sup>25</sup>
	0.019	0.46	01/08/21	0.56	0.10 W	0.00	19 of 25 - Sale <sup>25</sup>
	0.021	0.51	01/08/21	0.59	0.08 W	0.00	20 of 25 - Sale <sup>25</sup>
	0.021	0.52	01/08/21	0.60	0.08 W	0.00	21 of 25 - Sale <sup>25</sup>
	0.026	0.62	01/08/21	0.74	0.12 W	0.00	22 of 25 - Sale <sup>25</sup>
	0.028	0.68	01/08/21	0.82	0.14 W	0.00	23 of 25 - Sale <sup>25</sup>
	0.038	0.91	01/08/21	1.09	0.18 W	0.00	24 of 25 - Sale <sup>25</sup>
	0.038	0.92	01/08/21	1.10	0.18 W	0.00	25 of 25 - Sale <sup>25</sup>
01/20/21	0.455	11.02	Various	12.91	1.89 W	0.00	Total of 25 transactions
01/21/21	0.000	0.00	01/08/21	0.00	...	0.00	Zero proceeds / negative sale <sup>25</sup>
18 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.001	0.02	01/08/21	0.03	0.01 W	0.00	1 of 18 - Sale <sup>25</sup>
	0.004	0.10	01/08/21	0.13	0.03 W	0.00	2 of 18 - Sale <sup>25</sup>
	0.006	0.14	01/08/21	0.19	0.05 W	0.00	3 of 18 - Sale <sup>25</sup>
	0.008	0.18	01/08/21	0.22	0.04 W	0.00	4 of 18 - Sale <sup>25</sup>
	0.008	0.19	01/08/21	0.22	0.03 W	0.00	5 of 18 - Sale <sup>25</sup>
	0.013	0.29	01/08/21	0.40	0.11 W	0.00	6 of 18 - Sale <sup>25</sup>
	0.014	0.33	01/08/21	0.40	0.07 W	0.00	7 of 18 - Sale <sup>25</sup>
	0.015	0.35	01/08/21	0.48	0.13 W	0.00	8 of 18 - Sale <sup>25</sup>
	0.016	0.36	01/08/21	0.50	0.14 W	0.00	9 of 18 - Sale <sup>25</sup>
	0.016	0.36	01/08/21	0.50	0.14 W	0.00	10 of 18 - Sale <sup>25</sup>
	0.016	0.37	01/08/21	0.45	0.08 W	0.00	11 of 18 - Sale <sup>25</sup>
	0.016	0.37	01/08/21	0.45	0.08 W	0.00	12 of 18 - Sale <sup>25</sup>
	0.016	0.38	01/08/21	0.46	0.08 W	0.00	13 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.022	0.50	01/08/21	0.61	0.11 W	0.00	14 of 18 - Sale <sup>25</sup>
	0.022	0.50	01/08/21	0.61	0.11 W	0.00	15 of 18 - Sale <sup>25</sup>
	0.022	0.51	01/08/21	0.62	0.11 W	0.00	16 of 18 - Sale <sup>25</sup>
	0.022	0.52	01/08/21	0.73	0.21 W	0.00	17 of 18 - Sale <sup>25</sup>
	0.023	0.53	01/08/21	0.73	0.20 W	0.00	18 of 18 - Sale <sup>25</sup>
01/21/21	0.259	6.00	Various	7.73	1.73 W	0.00	Total of 18 transactions
01/22/21	0.000	0.01	01/08/21	0.01	...	0.00	Sale <sup>25</sup>
	14 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	0.003	0.07	01/08/21	0.09	0.02 W	0.00	1 of 14 - Sale <sup>25</sup>
	0.003	0.07	01/08/21	0.09	0.02 W	0.00	2 of 14 - Sale <sup>25</sup>
	0.004	0.10	01/08/21	0.13	0.03 W	0.00	3 of 14 - Sale <sup>25</sup>
	0.010	0.23	01/08/21	0.31	0.08 W	0.00	4 of 14 - Sale <sup>25</sup>
	0.010	0.23	01/08/21	0.30	0.07 W	0.00	5 of 14 - Sale <sup>25</sup>
	0.012	0.28	01/08/21	0.37	0.09 W	0.00	6 of 14 - Sale <sup>25</sup>
	0.012	0.29	01/08/21	0.38	0.09 W	0.00	7 of 14 - Sale <sup>25</sup>
	0.014	0.33	01/08/21	0.42	0.09 W	0.00	8 of 14 - Sale <sup>25</sup>
	0.014	0.33	01/08/21	0.46	0.13 W	0.00	9 of 14 - Sale <sup>25</sup>
	0.014	0.34	01/08/21	0.44	0.10 W	0.00	10 of 14 - Sale <sup>25</sup>
	0.015	0.35	01/08/21	0.46	0.11 W	0.00	11 of 14 - Sale <sup>25</sup>
	0.015	0.35	01/08/21	0.48	0.13 W	0.00	12 of 14 - Sale <sup>25</sup>
	0.020	0.48	01/08/21	0.64	0.16 W	0.00	13 of 14 - Sale <sup>25</sup>
	0.023	0.54	01/08/21	0.73	0.19 W	0.00	14 of 14 - Sale <sup>25</sup>
01/22/21	0.168	3.99	Various	5.30	1.31 W	0.00	Total of 14 transactions
	10 transactions for 01/26/21. Total proceeds and cost reported to the IRS.						
	0.001	0.03	01/08/21	0.03	...	0.00	1 of 10 - Sale <sup>25</sup>
	0.001	0.03	01/08/21	0.03	...	0.00	2 of 10 - Sale <sup>25</sup>
	0.005	0.13	01/08/21	0.13	...	0.00	3 of 10 - Sale <sup>25</sup>
	0.000	0.00	01/08/21	0.00	...	0.00	4 of 10 - Zero proceeds / negative sale <sup>25</sup>
	0.005	0.13	01/11/21	0.12	...	0.01	5 of 10 - Sale <sup>25</sup>
	0.029	0.77	01/11/21	0.74	...	0.03	6 of 10 - Sale <sup>25</sup>
	0.039	1.04	01/11/21	1.00	...	0.04	7 of 10 - Sale <sup>25</sup>
	0.039	1.04	01/11/21	1.00	...	0.04	8 of 10 - Sale <sup>25</sup>
	0.039	1.04	01/11/21	1.00	...	0.04	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.040	1.05	01/11/21	1.00	...	0.05	10 of 10 - Sale <sup>25</sup>
01/26/21	0.198	5.26	Various	5.05	...	0.21	Total of 10 transactions
	70 transactions for 01/26/21. Total proceeds and cost reported to the IRS.						
	0.011	0.29	01/08/21	0.31	0.02 W	0.00	1 of 70 - Sale <sup>25</sup>
	0.025	0.67	01/08/21	0.76	0.09 W	0.00	2 of 70 - Sale <sup>25</sup>
	0.001	0.02	01/08/21	0.03	0.01 W	0.00	3 of 70 - Sale <sup>25</sup>
	0.001	0.04	01/08/21	0.05	0.01 W	0.00	4 of 70 - Sale <sup>25</sup>
	0.002	0.05	01/08/21	0.06	0.01 W	0.00	5 of 70 - Sale <sup>25</sup>
	0.002	0.06	01/08/21	0.07	0.01 W	0.00	6 of 70 - Sale <sup>25</sup>
	0.003	0.08	01/08/21	0.09	0.01 W	0.00	7 of 70 - Sale <sup>25</sup>
	0.003	0.09	01/08/21	0.12	0.03 W	0.00	8 of 70 - Sale <sup>25</sup>
	0.004	0.12	01/08/21	0.15	0.03 W	0.00	9 of 70 - Sale <sup>25</sup>
	0.006	0.15	01/08/21	0.20	0.05 W	0.00	10 of 70 - Sale <sup>25</sup>
	0.006	0.16	01/08/21	0.19	0.03 W	0.00	11 of 70 - Sale <sup>25</sup>
	0.007	0.20	01/08/21	0.23	0.03 W	0.00	12 of 70 - Sale <sup>25</sup>
	0.007	0.20	01/08/21	0.23	0.03 W	0.00	13 of 70 - Sale <sup>25</sup>
	0.007	0.20	01/08/21	0.24	0.04 W	0.00	14 of 70 - Sale <sup>25</sup>
	0.008	0.20	01/08/21	0.24	0.04 W	0.00	15 of 70 - Sale <sup>25</sup>
	0.008	0.21	01/08/21	0.24	0.03 W	0.00	16 of 70 - Sale <sup>25</sup>
	0.008	0.21	01/08/21	0.25	0.04 W	0.00	17 of 70 - Sale <sup>25</sup>
	0.008	0.21	01/08/21	0.23	0.02 W	0.00	18 of 70 - Sale <sup>25</sup>
	0.008	0.23	01/08/21	0.25	0.02 W	0.00	19 of 70 - Sale <sup>25</sup>
	0.009	0.23	01/08/21	0.25	0.02 W	0.00	20 of 70 - Sale <sup>25</sup>
	0.009	0.23	01/08/21	0.26	0.03 W	0.00	21 of 70 - Sale <sup>25</sup>
	0.009	0.23	01/08/21	0.26	0.03 W	0.00	22 of 70 - Sale <sup>25</sup>
	0.010	0.27	01/08/21	0.31	0.04 W	0.00	23 of 70 - Sale <sup>25</sup>
	0.011	0.28	01/08/21	0.31	0.03 W	0.00	24 of 70 - Sale <sup>25</sup>
	0.011	0.29	01/08/21	0.33	0.04 W	0.00	25 of 70 - Sale <sup>25</sup>
	0.011	0.30	01/08/21	0.33	0.03 W	0.00	26 of 70 - Sale <sup>25</sup>
	0.012	0.33	01/08/21	0.37	0.04 W	0.00	27 of 70 - Sale <sup>25</sup>
	0.012	0.33	01/08/21	0.37	0.04 W	0.00	28 of 70 - Sale <sup>25</sup>
	0.013	0.33	01/08/21	0.44	0.11 W	0.00	29 of 70 - Sale <sup>25</sup>
	0.013	0.33	01/08/21	0.44	0.11 W	0.00	30 of 70 - Sale <sup>25</sup>
	0.013	0.34	01/08/21	0.38	0.04 W	0.00	31 of 70 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.013	0.34	01/08/21	0.39	0.05 W	0.00	32 of 70 - Sale <sup>25</sup>
	0.013	0.35	01/08/21	0.40	0.05 W	0.00	33 of 70 - Sale <sup>25</sup>
	0.013	0.35	01/08/21	0.39	0.04 W	0.00	34 of 70 - Sale <sup>25</sup>
	0.013	0.36	01/08/21	0.41	0.05 W	0.00	35 of 70 - Sale <sup>25</sup>
	0.014	0.36	01/08/21	0.42	0.06 W	0.00	36 of 70 - Sale <sup>25</sup>
	0.014	0.37	01/08/21	0.43	0.06 W	0.00	37 of 70 - Sale <sup>25</sup>
	0.014	0.38	01/08/21	0.44	0.06 W	0.00	38 of 70 - Sale <sup>25</sup>
	0.015	0.39	01/08/21	0.44	0.05 W	0.00	39 of 70 - Sale <sup>25</sup>
	0.015	0.39	01/08/21	0.45	0.06 W	0.00	40 of 70 - Sale <sup>25</sup>
	0.015	0.40	01/08/21	0.52	0.12 W	0.00	41 of 70 - Sale <sup>25</sup>
	0.015	0.41	01/08/21	0.53	0.12 W	0.00	42 of 70 - Sale <sup>25</sup>
	0.015	0.41	01/08/21	0.46	0.05 W	0.00	43 of 70 - Sale <sup>25</sup>
	0.016	0.41	01/08/21	0.55	0.14 W	0.00	44 of 70 - Sale <sup>25</sup>
	0.016	0.42	01/08/21	0.55	0.13 W	0.00	45 of 70 - Sale <sup>25</sup>
	0.016	0.42	01/08/21	0.49	0.07 W	0.00	46 of 70 - Sale <sup>25</sup>
	0.016	0.43	01/08/21	0.50	0.07 W	0.00	47 of 70 - Sale <sup>25</sup>
	0.016	0.44	01/08/21	0.50	0.06 W	0.00	48 of 70 - Sale <sup>25</sup>
	0.017	0.44	01/08/21	0.59	0.15 W	0.00	49 of 70 - Sale <sup>25</sup>
	0.017	0.44	01/08/21	0.49	0.05 W	0.00	50 of 70 - Sale <sup>25</sup>
	0.017	0.45	01/08/21	0.50	0.05 W	0.00	51 of 70 - Sale <sup>25</sup>
	0.018	0.47	01/08/21	0.53	0.06 W	0.00	52 of 70 - Sale <sup>25</sup>
	0.019	0.51	01/08/21	0.61	0.10 W	0.00	53 of 70 - Sale <sup>25</sup>
	0.022	0.57	01/08/21	0.67	0.10 W	0.00	54 of 70 - Sale <sup>25</sup>
	0.023	0.61	01/08/21	0.79	0.18 W	0.00	55 of 70 - Sale <sup>25</sup>
	0.023	0.61	01/08/21	0.78	0.17 W	0.00	56 of 70 - Sale <sup>25</sup>
	0.025	0.65	01/08/21	0.76	0.11 W	0.00	57 of 70 - Sale <sup>25</sup>
	0.003	0.08	01/11/21	0.09	0.01 W	0.00	58 of 70 - Sale <sup>25</sup>
	0.003	0.08	01/11/21	0.09	0.01 W	0.00	59 of 70 - Sale <sup>25</sup>
	0.004	0.09	01/11/21	0.12	0.03 W	0.00	60 of 70 - Sale <sup>25</sup>
	0.004	0.10	01/11/21	0.13	0.03 W	0.00	61 of 70 - Sale <sup>25</sup>
	0.005	0.15	01/11/21	0.17	0.02 W	0.00	62 of 70 - Sale <sup>25</sup>
	0.007	0.18	01/11/21	0.21	0.03 W	0.00	63 of 70 - Sale <sup>25</sup>
	0.010	0.25	01/11/21	0.32	0.07 W	0.00	64 of 70 - Sale <sup>25</sup>
	0.010	0.26	01/11/21	0.31	0.05 W	0.00	65 of 70 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.011	0.30	01/11/21	0.36	0.06 W	0.00	66 of 70 - Sale <sup>25</sup>
	0.012	0.32	01/11/21	0.39	0.07 W	0.00	67 of 70 - Sale <sup>25</sup>
	0.014	0.37	01/11/21	0.44	0.07 W	0.00	68 of 70 - Sale <sup>25</sup>
	0.014	0.38	01/11/21	0.45	0.07 W	0.00	69 of 70 - Sale <sup>25</sup>
	0.020	0.53	01/11/21	0.66	0.13 W	0.00	70 of 70 - Sale <sup>25</sup>
01/26/21	0.802	21.35	Various	25.32	3.97 W	0.00	Total of 70 transactions
	59 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	0.001	0.03	01/11/21	0.03	...	0.00	1 of 59 - Sale <sup>25</sup>
	0.000	0.00	01/11/21	0.00	...	0.00	2 of 59 - Zero proceeds / negative sale <sup>25</sup>
	0.000	0.02	01/11/21	0.01	...	0.01	3 of 59 - Sale <sup>25</sup>
	0.001	0.03	01/11/21	0.02	...	0.01	4 of 59 - Sale <sup>25</sup>
	0.001	0.03	01/11/21	0.02	...	0.01	5 of 59 - Sale <sup>25</sup>
	0.002	0.07	01/11/21	0.06	...	0.01	6 of 59 - Sale <sup>25</sup>
	0.002	0.07	01/11/21	0.05	...	0.02	7 of 59 - Sale <sup>25</sup>
	0.002	0.08	01/11/21	0.06	...	0.02	8 of 59 - Sale <sup>25</sup>
	0.002	0.09	01/11/21	0.07	...	0.02	9 of 59 - Sale <sup>25</sup>
	0.003	0.11	01/11/21	0.08	...	0.03	10 of 59 - Sale <sup>25</sup>
	0.003	0.11	01/11/21	0.08	...	0.03	11 of 59 - Sale <sup>25</sup>
	0.005	0.19	01/11/21	0.15	...	0.04	12 of 59 - Sale <sup>25</sup>
	0.003	0.12	01/11/21	0.08	...	0.04	13 of 59 - Sale <sup>25</sup>
	0.006	0.23	01/11/21	0.18	...	0.05	14 of 59 - Sale <sup>25</sup>
	0.004	0.16	01/11/21	0.11	...	0.05	15 of 59 - Sale <sup>25</sup>
	0.004	0.17	01/11/21	0.12	...	0.05	16 of 59 - Sale <sup>25</sup>
	0.004	0.17	01/11/21	0.12	...	0.05	17 of 59 - Sale <sup>25</sup>
	0.005	0.18	01/11/21	0.13	...	0.05	18 of 59 - Sale <sup>25</sup>
	0.005	0.19	01/11/21	0.14	...	0.05	19 of 59 - Sale <sup>25</sup>
	0.010	0.38	01/11/21	0.31	...	0.07	20 of 59 - Sale <sup>25</sup>
	0.006	0.23	01/11/21	0.16	...	0.07	21 of 59 - Sale <sup>25</sup>
	0.007	0.29	01/11/21	0.22	...	0.07	22 of 59 - Sale <sup>25</sup>
	0.007	0.29	01/11/21	0.22	...	0.07	23 of 59 - Sale <sup>25</sup>
	0.008	0.31	01/11/21	0.24	...	0.07	24 of 59 - Sale <sup>25</sup>
	0.008	0.31	01/11/21	0.24	...	0.07	25 of 59 - Sale <sup>25</sup>
	0.008	0.31	01/11/21	0.23	...	0.08	26 of 59 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.008	0.33	01/11/21	0.25	...	0.08	27 of 59 - Sale <sup>25</sup>
	0.009	0.34	01/11/21	0.26	...	0.08	28 of 59 - Sale <sup>25</sup>
	0.011	0.42	01/11/21	0.33	...	0.09	29 of 59 - Sale <sup>25</sup>
	0.012	0.44	01/11/21	0.35	...	0.09	30 of 59 - Sale <sup>25</sup>
	0.008	0.32	01/11/21	0.23	...	0.09	31 of 59 - Sale <sup>25</sup>
	0.009	0.34	01/11/21	0.25	...	0.09	32 of 59 - Sale <sup>25</sup>
	0.009	0.37	01/11/21	0.28	...	0.09	33 of 59 - Sale <sup>25</sup>
	0.013	0.51	01/11/21	0.41	...	0.10	34 of 59 - Sale <sup>25</sup>
	0.009	0.34	01/11/21	0.24	...	0.10	35 of 59 - Sale <sup>25</sup>
	0.010	0.39	01/11/21	0.29	...	0.10	36 of 59 - Sale <sup>25</sup>
	0.013	0.50	01/11/21	0.39	...	0.11	37 of 59 - Sale <sup>25</sup>
	0.013	0.51	01/11/21	0.40	...	0.11	38 of 59 - Sale <sup>25</sup>
	0.014	0.52	01/11/21	0.41	...	0.11	39 of 59 - Sale <sup>25</sup>
	0.014	0.52	01/11/21	0.41	...	0.11	40 of 59 - Sale <sup>25</sup>
	0.010	0.39	01/11/21	0.28	...	0.11	41 of 59 - Sale <sup>25</sup>
	0.011	0.43	01/11/21	0.32	...	0.11	42 of 59 - Sale <sup>25</sup>
	0.011	0.42	01/11/21	0.30	...	0.12	43 of 59 - Sale <sup>25</sup>
	0.011	0.44	01/11/21	0.32	...	0.12	44 of 59 - Sale <sup>25</sup>
	0.011	0.44	01/11/21	0.32	...	0.12	45 of 59 - Sale <sup>25</sup>
	0.012	0.48	01/11/21	0.36	...	0.12	46 of 59 - Sale <sup>25</sup>
	0.011	0.43	01/11/21	0.30	...	0.13	47 of 59 - Sale <sup>25</sup>
	0.012	0.49	01/11/21	0.36	...	0.13	48 of 59 - Sale <sup>25</sup>
	0.013	0.53	01/11/21	0.40	...	0.13	49 of 59 - Sale <sup>25</sup>
	0.013	0.50	01/11/21	0.36	...	0.14	50 of 59 - Sale <sup>25</sup>
	0.013	0.50	01/11/21	0.36	...	0.14	51 of 59 - Sale <sup>25</sup>
	0.013	0.52	01/11/21	0.38	...	0.14	52 of 59 - Sale <sup>25</sup>
	0.014	0.55	01/11/21	0.41	...	0.14	53 of 59 - Sale <sup>25</sup>
	0.013	0.53	01/11/21	0.38	...	0.15	54 of 59 - Sale <sup>25</sup>
	0.016	0.63	01/11/21	0.48	...	0.15	55 of 59 - Sale <sup>25</sup>
	0.016	0.64	01/11/21	0.49	...	0.15	56 of 59 - Sale <sup>25</sup>
	0.016	0.65	01/11/21	0.48	...	0.17	57 of 59 - Sale <sup>25</sup>
	0.020	0.76	01/11/21	0.58	...	0.18	58 of 59 - Sale <sup>25</sup>
	0.017	0.66	01/11/21	0.48	...	0.18	59 of 59 - Sale <sup>25</sup>
02/08/21	0.509	20.01	Various	14.99	...	5.02	Total of 59 transactions

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
89 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
0.001		0.04	01/11/21	0.04	...	0.00	1 of 89 - Sale <sup>25</sup>
0.001		0.03	01/11/21	0.02	...	0.01	2 of 89 - Sale <sup>25</sup>
0.001		0.04	01/11/21	0.03	...	0.01	3 of 89 - Sale <sup>25</sup>
0.001		0.05	01/11/21	0.04	...	0.01	4 of 89 - Sale <sup>25</sup>
0.001		0.06	01/11/21	0.05	...	0.01	5 of 89 - Sale <sup>25</sup>
0.002		0.06	01/11/21	0.05	...	0.01	6 of 89 - Sale <sup>25</sup>
0.002		0.08	01/11/21	0.07	...	0.01	7 of 89 - Sale <sup>25</sup>
0.003		0.14	01/11/21	0.12	...	0.02	8 of 89 - Sale <sup>25</sup>
0.004		0.14	01/11/21	0.12	...	0.02	9 of 89 - Sale <sup>25</sup>
0.004		0.15	01/11/21	0.13	...	0.02	10 of 89 - Sale <sup>25</sup>
0.003		0.11	01/11/21	0.08	...	0.03	11 of 89 - Sale <sup>25</sup>
0.003		0.11	01/11/21	0.08	...	0.03	12 of 89 - Sale <sup>25</sup>
0.003		0.14	01/11/21	0.11	...	0.03	13 of 89 - Sale <sup>25</sup>
0.005		0.20	01/11/21	0.17	...	0.03	14 of 89 - Sale <sup>25</sup>
0.006		0.23	01/11/21	0.20	...	0.03	15 of 89 - Sale <sup>25</sup>
0.006		0.23	01/11/21	0.19	...	0.04	16 of 89 - Sale <sup>25</sup>
0.005		0.19	01/11/21	0.14	...	0.05	17 of 89 - Sale <sup>25</sup>
0.010		0.37	01/11/21	0.32	...	0.05	18 of 89 - Sale <sup>25</sup>
0.013		0.49	01/11/21	0.44	...	0.05	19 of 89 - Sale <sup>25</sup>
0.005		0.22	01/11/21	0.16	...	0.06	20 of 89 - Sale <sup>25</sup>
0.007		0.26	01/11/21	0.20	...	0.06	21 of 89 - Sale <sup>25</sup>
0.013		0.49	01/11/21	0.43	...	0.06	22 of 89 - Sale <sup>25</sup>
0.008		0.33	01/11/21	0.26	...	0.07	23 of 89 - Sale <sup>25</sup>
0.015		0.58	01/11/21	0.51	...	0.07	24 of 89 - Sale <sup>25</sup>
0.016		0.61	01/11/21	0.54	...	0.07	25 of 89 - Sale <sup>25</sup>
0.017		0.65	01/11/21	0.58	...	0.07	26 of 89 - Sale <sup>25</sup>
0.010		0.38	01/11/21	0.30	...	0.08	27 of 89 - Sale <sup>25</sup>
0.012		0.46	01/11/21	0.38	...	0.08	28 of 89 - Sale <sup>25</sup>
0.015		0.60	01/11/21	0.52	...	0.08	29 of 89 - Sale <sup>25</sup>
0.016		0.62	01/11/21	0.54	...	0.08	30 of 89 - Sale <sup>25</sup>
0.010		0.40	01/11/21	0.31	...	0.09	31 of 89 - Sale <sup>25</sup>
0.018		0.69	01/11/21	0.60	...	0.09	32 of 89 - Sale <sup>25</sup>
0.012		0.47	01/11/21	0.37	...	0.10	33 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.014	0.55	01/11/21	0.44	...	0.11	34 of 89 - Sale <sup>25</sup>
	0.013	0.52	01/11/21	0.40	...	0.12	35 of 89 - Sale <sup>25</sup>
	0.021	0.82	01/11/21	0.69	...	0.13	36 of 89 - Sale <sup>25</sup>
	0.010	0.41	01/11/21	0.27	...	0.14	37 of 89 - Sale <sup>25</sup>
	0.023	0.91	01/11/21	0.60	...	0.31	38 of 89 - Sale <sup>25</sup>
	0.029	1.13	01/11/21	0.73	...	0.40	39 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	40 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	41 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	42 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	43 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	44 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	45 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	46 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	47 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	48 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	49 of 89 - Sale <sup>25</sup>
	0.038	1.50	01/11/21	1.00	...	0.50	50 of 89 - Sale <sup>25</sup>
	0.039	1.51	01/11/21	1.00	...	0.51	51 of 89 - Sale <sup>25</sup>
	0.039	1.51	01/11/21	1.00	...	0.51	52 of 89 - Sale <sup>25</sup>
	0.039	1.51	01/11/21	1.00	...	0.51	53 of 89 - Sale <sup>25</sup>
	0.039	1.51	01/11/21	1.00	...	0.51	54 of 89 - Sale <sup>25</sup>
	0.039	1.51	01/11/21	1.00	...	0.51	55 of 89 - Sale <sup>25</sup>
	0.039	1.51	01/11/21	1.00	...	0.51	56 of 89 - Sale <sup>25</sup>
	0.039	1.52	01/11/21	1.00	...	0.52	57 of 89 - Sale <sup>25</sup>
	0.039	1.53	01/11/21	1.00	...	0.53	58 of 89 - Sale <sup>25</sup>
	0.039	1.53	01/11/21	1.00	...	0.53	59 of 89 - Sale <sup>25</sup>
	0.039	1.53	01/11/21	1.00	...	0.53	60 of 89 - Sale <sup>25</sup>
	0.039	1.53	01/11/21	1.00	...	0.53	61 of 89 - Sale <sup>25</sup>
	0.039	1.53	01/11/21	1.00	...	0.53	62 of 89 - Sale <sup>25</sup>
	0.066	2.57	01/11/21	1.67	...	0.90	63 of 89 - Sale <sup>25</sup>
	0.074	2.89	01/11/21	1.89	...	1.00	64 of 89 - Sale <sup>25</sup>
	0.040	1.56	01/12/21	1.00	...	0.56	65 of 89 - Sale <sup>25</sup>
	0.040	1.56	01/12/21	1.00	...	0.56	66 of 89 - Sale <sup>25</sup>
	0.040	1.57	01/12/21	1.00	...	0.57	67 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.040	1.57	01/12/21	1.00	...	0.57	68 of 89 - Sale <sup>25</sup>
	0.040	1.57	01/12/21	1.00	...	0.57	69 of 89 - Sale <sup>25</sup>
	0.041	1.59	01/12/21	1.00	...	0.59	70 of 89 - Sale <sup>25</sup>
	0.041	1.59	01/12/21	1.00	...	0.59	71 of 89 - Sale <sup>25</sup>
	0.041	1.60	01/12/21	1.00	...	0.60	72 of 89 - Sale <sup>25</sup>
	0.041	1.60	01/12/21	1.00	...	0.60	73 of 89 - Sale <sup>25</sup>
	0.041	1.60	01/12/21	1.00	...	0.60	74 of 89 - Sale <sup>25</sup>
	0.041	1.60	01/12/21	1.00	...	0.60	75 of 89 - Sale <sup>25</sup>
	0.041	1.60	01/12/21	1.00	...	0.60	76 of 89 - Sale <sup>25</sup>
	0.041	1.60	01/12/21	1.00	...	0.60	77 of 89 - Sale <sup>25</sup>
	0.041	1.61	01/12/21	1.00	...	0.61	78 of 89 - Sale <sup>25</sup>
	0.041	1.61	01/12/21	1.00	...	0.61	79 of 89 - Sale <sup>25</sup>
	0.039	1.54	01/13/21	1.00	...	0.54	80 of 89 - Sale <sup>25</sup>
	0.039	1.54	01/13/21	1.00	...	0.54	81 of 89 - Sale <sup>25</sup>
	0.041	1.59	01/13/21	1.00	...	0.59	82 of 89 - Sale <sup>25</sup>
	0.041	1.59	01/13/21	1.00	...	0.59	83 of 89 - Sale <sup>25</sup>
	0.041	1.62	01/14/21	1.00	...	0.62	84 of 89 - Sale <sup>25</sup>
	0.041	1.62	01/14/21	1.00	...	0.62	85 of 89 - Sale <sup>25</sup>
	0.042	1.63	01/14/21	1.00	...	0.63	86 of 89 - Sale <sup>25</sup>
	0.042	1.63	01/14/21	1.00	...	0.63	87 of 89 - Sale <sup>25</sup>
	0.003	0.13	02/02/21	0.12	...	0.01	88 of 89 - Sale <sup>25</sup>
	0.026	1.01	02/02/21	0.88	...	0.13	89 of 89 - Sale <sup>25</sup>
02/09/21	2.349	91.88	Various	61.79	...	30.09	Total of 89 transactions
03/05/21	0.074	1.00	02/23/21	1.00	...	0.00	Sale <sup>25</sup>
6 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	0.247	3.34	02/23/21	6.48	3.14 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.021	0.29	02/23/21	0.56	0.27 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.521	7.07	02/23/21	12.94	5.87 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.065	0.88	02/24/21	1.00	0.12 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.069	0.93	02/24/21	1.00	0.07 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.003	0.04	02/25/21	0.05	0.01 W	0.00	6 of 6 - Sale <sup>25</sup>
03/05/21	0.926	12.55	Various	22.03	9.48 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
67 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
0.045		0.78	03/01/21	0.78	...	0.00	1 of 67 - Sale <sup>25</sup>
0.023		0.40	03/01/21	0.39	...	0.01	2 of 67 - Sale <sup>25</sup>
0.015		0.25	03/01/21	0.23	...	0.02	3 of 67 - Sale <sup>25</sup>
0.059		1.03	03/01/21	0.99	...	0.04	4 of 67 - Sale <sup>25</sup>
0.013		0.22	03/03/21	0.20	...	0.02	5 of 67 - Sale <sup>25</sup>
0.003		0.05	03/04/21	0.05	...	0.00	6 of 67 - Sale <sup>25</sup>
0.007		0.12	03/04/21	0.10	...	0.02	7 of 67 - Sale <sup>25</sup>
0.014		0.25	03/04/21	0.21	...	0.04	8 of 67 - Sale <sup>25</sup>
0.045		0.77	03/04/21	0.68	...	0.09	9 of 67 - Sale <sup>25</sup>
0.071		1.23	03/04/21	1.00	...	0.23	10 of 67 - Sale <sup>25</sup>
0.072		1.24	03/04/21	1.00	...	0.24	11 of 67 - Sale <sup>25</sup>
0.073		1.26	03/04/21	1.00	...	0.26	12 of 67 - Sale <sup>25</sup>
0.073		1.26	03/04/21	1.00	...	0.26	13 of 67 - Sale <sup>25</sup>
0.073		1.26	03/04/21	1.00	...	0.26	14 of 67 - Sale <sup>25</sup>
0.072		1.24	03/05/21	1.00	...	0.24	15 of 67 - Sale <sup>25</sup>
0.073		1.25	03/05/21	1.00	...	0.25	16 of 67 - Sale <sup>25</sup>
0.053		0.90	03/10/21	1.36	...	-0.46	17 of 67 - Sale <sup>25</sup>
0.059		1.02	03/10/21	1.47	...	-0.45	18 of 67 - Sale <sup>25</sup>
0.056		0.97	03/10/21	1.41	...	-0.44	19 of 67 - Sale <sup>25</sup>
0.051		0.88	03/10/21	1.31	...	-0.43	20 of 67 - Sale <sup>25</sup>
0.048		0.83	03/10/21	1.23	...	-0.40	21 of 67 - Sale <sup>25</sup>
0.046		0.79	03/10/21	1.14	...	-0.35	22 of 67 - Sale <sup>25</sup>
0.043		0.74	03/10/21	1.08	...	-0.34	23 of 67 - Sale <sup>25</sup>
0.042		0.72	03/10/21	1.02	...	-0.30	24 of 67 - Sale <sup>25</sup>
0.021		0.36	03/10/21	0.55	...	-0.19	25 of 67 - Sale <sup>25</sup>
0.019		0.33	03/10/21	0.50	...	-0.17	26 of 67 - Sale <sup>25</sup>
0.018		0.31	03/10/21	0.45	...	-0.14	27 of 67 - Sale <sup>25</sup>
0.019		0.32	03/10/21	0.46	...	-0.14	28 of 67 - Sale <sup>25</sup>
0.016		0.28	03/10/21	0.41	...	-0.13	29 of 67 - Sale <sup>25</sup>
0.019		0.32	03/10/21	0.45	...	-0.13	30 of 67 - Sale <sup>25</sup>
0.013		0.22	03/10/21	0.32	...	-0.10	31 of 67 - Sale <sup>25</sup>
0.010		0.18	03/10/21	0.26	...	-0.08	32 of 67 - Sale <sup>25</sup>
0.009		0.15	03/10/21	0.21	...	-0.06	33 of 67 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.006	0.11	03/10/21	0.16	...	-0.05	34 of 67 - Sale <sup>25</sup>
	0.004	0.06	03/10/21	0.10	...	-0.04	35 of 67 - Sale <sup>25</sup>
	0.003	0.05	03/10/21	0.08	...	-0.03	36 of 67 - Sale <sup>25</sup>
	0.002	0.03	03/10/21	0.05	...	-0.02	37 of 67 - Sale <sup>25</sup>
	0.002	0.03	03/10/21	0.05	...	-0.02	38 of 67 - Sale <sup>25</sup>
	0.002	0.04	03/10/21	0.06	...	-0.02	39 of 67 - Sale <sup>25</sup>
	0.000	0.01	03/10/21	0.02	...	-0.01	40 of 67 - Sale <sup>25</sup>
	0.001	0.01	03/10/21	0.02	...	-0.01	41 of 67 - Sale <sup>25</sup>
	0.001	0.01	03/10/21	0.02	...	-0.01	42 of 67 - Sale <sup>25</sup>
	0.001	0.02	03/10/21	0.03	...	-0.01	43 of 67 - Sale <sup>25</sup>
	0.001	0.02	03/10/21	0.03	...	-0.01	44 of 67 - Sale <sup>25</sup>
	0.001	0.02	03/10/21	0.03	...	-0.01	45 of 67 - Sale <sup>25</sup>
	0.000	0.01	03/10/21	0.01	...	0.00	46 of 67 - Sale <sup>25</sup>
	0.000	0.00	03/10/21	0.00	...	0.00	47 of 67 - Zero proceeds / negative sale <sup>25</sup>
	0.060	1.03	03/10/21	1.00	...	0.03	48 of 67 - Sale <sup>25</sup>
	0.060	1.03	03/10/21	1.00	...	0.03	49 of 67 - Sale <sup>25</sup>
	0.060	1.04	03/10/21	1.00	...	0.04	50 of 67 - Sale <sup>25</sup>
	0.062	1.07	03/10/21	1.00	...	0.07	51 of 67 - Sale <sup>25</sup>
	0.062	1.07	03/10/21	1.00	...	0.07	52 of 67 - Sale <sup>25</sup>
	0.062	1.07	03/10/21	1.00	...	0.07	53 of 67 - Sale <sup>25</sup>
	0.063	1.08	03/10/21	1.00	...	0.08	54 of 67 - Sale <sup>25</sup>
	0.063	1.09	03/10/21	1.00	...	0.09	55 of 67 - Sale <sup>25</sup>
	0.064	1.09	03/10/21	1.00	...	0.09	56 of 67 - Sale <sup>25</sup>
	0.064	1.09	03/10/21	1.00	...	0.09	57 of 67 - Sale <sup>25</sup>
	0.043	0.73	03/11/21	1.17	...	-0.44	58 of 67 - Sale <sup>25</sup>
	0.048	0.82	03/11/21	1.24	...	-0.42	59 of 67 - Sale <sup>25</sup>
	0.039	0.67	03/11/21	1.06	...	-0.39	60 of 67 - Sale <sup>25</sup>
	0.039	0.67	03/11/21	1.05	...	-0.38	61 of 67 - Sale <sup>25</sup>
	0.020	0.34	03/11/21	0.55	...	-0.21	62 of 67 - Sale <sup>25</sup>
	0.016	0.28	03/11/21	0.42	...	-0.14	63 of 67 - Sale <sup>25</sup>
	0.008	0.13	03/11/21	0.20	...	-0.07	64 of 67 - Sale <sup>25</sup>
	0.007	0.13	03/11/21	0.18	...	-0.05	65 of 67 - Sale <sup>25</sup>
	0.004	0.06	03/11/21	0.09	...	-0.03	66 of 67 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.013	0.21	03/11/21	0.21	...	0.00	67 of 67 - Sale <sup>25</sup>
03/11/21	2.155	37.05	Various	41.09	...	-4.04	Total of 67 transactions
	46 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	0.062	1.07	02/25/21	1.65	0.58 W	0.00	1 of 46 - Sale <sup>25</sup>
	0.065	1.12	02/25/21	1.73	0.61 W	0.00	2 of 46 - Sale <sup>25</sup>
	0.062	1.08	03/01/21	1.79	0.71 W	0.00	3 of 46 - Sale <sup>25</sup>
	0.063	1.08	03/01/21	1.79	0.71 W	0.00	4 of 46 - Sale <sup>25</sup>
	0.001	0.01	03/01/21	0.02	0.01 W	0.00	5 of 46 - Sale <sup>25</sup>
	0.003	0.05	03/01/21	0.06	0.01 W	0.00	6 of 46 - Sale <sup>25</sup>
	0.020	0.34	03/01/21	0.35	0.01 W	0.00	7 of 46 - Sale <sup>25</sup>
	0.020	0.35	03/01/21	0.58	0.23 W	0.00	8 of 46 - Sale <sup>25</sup>
	0.021	0.36	03/01/21	0.58	0.22 W	0.00	9 of 46 - Sale <sup>25</sup>
	0.021	0.37	03/01/21	0.61	0.24 W	0.00	10 of 46 - Sale <sup>25</sup>
	0.039	0.67	03/01/21	1.13	0.46 W	0.00	11 of 46 - Sale <sup>25</sup>
	0.045	0.77	03/01/21	0.80	0.03 W	0.00	12 of 46 - Sale <sup>25</sup>
	0.061	1.05	03/01/21	1.69	0.64 W	0.00	13 of 46 - Sale <sup>25</sup>
	0.062	1.07	03/01/21	1.69	0.62 W	0.00	14 of 46 - Sale <sup>25</sup>
	0.062	1.07	03/01/21	1.70	0.63 W	0.00	15 of 46 - Sale <sup>25</sup>
	0.062	1.08	03/01/21	1.70	0.62 W	0.00	16 of 46 - Sale <sup>25</sup>
	0.062	1.08	03/01/21	1.70	0.62 W	0.00	17 of 46 - Sale <sup>25</sup>
	0.062	1.08	03/01/21	1.80	0.72 W	0.00	18 of 46 - Sale <sup>25</sup>
	0.064	1.10	03/01/21	1.72	0.62 W	0.00	19 of 46 - Sale <sup>25</sup>
	0.043	0.73	03/02/21	1.17	0.44 W	0.00	20 of 46 - Sale <sup>25</sup>
	0.001	0.02	03/02/21	0.03	0.01 W	0.00	21 of 46 - Sale <sup>25</sup>
	0.002	0.04	03/02/21	0.06	0.02 W	0.00	22 of 46 - Sale <sup>25</sup>
	0.008	0.13	03/02/21	0.20	0.07 W	0.00	23 of 46 - Sale <sup>25</sup>
	0.009	0.15	03/02/21	0.23	0.08 W	0.00	24 of 46 - Sale <sup>25</sup>
	0.010	0.18	03/02/21	0.27	0.09 W	0.00	25 of 46 - Sale <sup>25</sup>
	0.013	0.22	03/02/21	0.34	0.12 W	0.00	26 of 46 - Sale <sup>25</sup>
	0.016	0.28	03/02/21	0.43	0.15 W	0.00	27 of 46 - Sale <sup>25</sup>
	0.019	0.32	03/02/21	0.48	0.16 W	0.00	28 of 46 - Sale <sup>25</sup>
	0.019	0.32	03/02/21	0.49	0.17 W	0.00	29 of 46 - Sale <sup>25</sup>
	0.019	0.33	03/02/21	0.53	0.20 W	0.00	30 of 46 - Sale <sup>25</sup>
	0.020	0.34	03/02/21	0.55	0.21 W	0.00	31 of 46 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.021	0.36	03/02/21	0.56	0.20 W	0.00	32 of 46 - Sale <sup>25</sup>
	0.021	0.37	03/02/21	0.58	0.21 W	0.00	33 of 46 - Sale <sup>25</sup>
	0.043	0.73	03/02/21	1.17	0.44 W	0.00	34 of 46 - Sale <sup>25</sup>
	0.044	0.75	03/02/21	1.13	0.38 W	0.00	35 of 46 - Sale <sup>25</sup>
	0.044	0.76	03/02/21	1.17	0.41 W	0.00	36 of 46 - Sale <sup>25</sup>
	0.048	0.82	03/02/21	1.25	0.43 W	0.00	37 of 46 - Sale <sup>25</sup>
	0.050	0.86	03/02/21	1.33	0.47 W	0.00	38 of 46 - Sale <sup>25</sup>
	0.051	0.88	03/02/21	1.38	0.50 W	0.00	39 of 46 - Sale <sup>25</sup>
	0.053	0.90	03/02/21	1.42	0.52 W	0.00	40 of 46 - Sale <sup>25</sup>
	0.057	0.98	03/02/21	1.50	0.52 W	0.00	41 of 46 - Sale <sup>25</sup>
	0.059	1.02	03/02/21	1.55	0.53 W	0.00	42 of 46 - Sale <sup>25</sup>
	0.048	0.82	03/03/21	1.25	0.43 W	0.00	43 of 46 - Sale <sup>25</sup>
	0.015	0.26	03/03/21	0.39	0.13 W	0.00	44 of 46 - Sale <sup>25</sup>
	0.020	0.34	03/03/21	0.52	0.18 W	0.00	45 of 46 - Sale <sup>25</sup>
	0.039	0.67	03/03/21	1.05	0.38 W	0.00	46 of 46 - Sale <sup>25</sup>
03/11/21	1.647	28.38	Various	44.12	15.74 W	0.00	Total of 46 transactions
	<b>Security total:</b>	<b>273.50</b>		<b>279.57</b>	<b>37.35 W</b>	<b>31.28</b>	
XPENG INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
	4 transactions for 01/04/21. Total proceeds and cost reported to the IRS.						
	0.021	1.00	12/02/20	1.00	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.021	1.01	12/02/20	1.00	...	0.01	2 of 4 - Sale <sup>25</sup>
	0.021	1.02	12/02/20	1.00	...	0.02	3 of 4 - Sale <sup>25</sup>
	0.000	0.01	12/08/20	0.01	...	0.00	4 of 4 - Sale <sup>25</sup>
01/04/21	0.064	3.04	Various	3.01	...	0.03	Total of 4 transactions
	68 transactions for 01/04/21. Total proceeds and cost reported to the IRS.						
	0.008	0.40	11/30/20	0.49	0.09 W	0.00	1 of 68 - Sale <sup>25</sup>
	0.017	0.79	11/30/20	1.00	0.21 W	0.00	2 of 68 - Sale <sup>25</sup>
	0.017	0.79	11/30/20	1.00	0.21 W	0.00	3 of 68 - Sale <sup>25</sup>
	0.017	0.82	11/30/20	1.00	0.18 W	0.00	4 of 68 - Sale <sup>25</sup>
	0.017	0.82	11/30/20	1.00	0.18 W	0.00	5 of 68 - Sale <sup>25</sup>
	0.017	0.82	11/30/20	1.00	0.18 W	0.00	6 of 68 - Sale <sup>25</sup>
	0.017	0.82	11/30/20	1.00	0.18 W	0.00	7 of 68 - Sale <sup>25</sup>
	0.017	0.82	11/30/20	1.00	0.18 W	0.00	8 of 68 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
0.017		0.82	11/30/20	1.00	0.18 W	0.00	9 of 68 - Sale <sup>25</sup>
0.017		0.83	11/30/20	1.00	0.17 W	0.00	10 of 68 - Sale <sup>25</sup>
0.017		0.83	11/30/20	1.00	0.17 W	0.00	11 of 68 - Sale <sup>25</sup>
0.030		1.45	11/30/20	1.77	0.32 W	0.00	12 of 68 - Sale <sup>25</sup>
0.009		0.42	11/30/20	0.51	0.09 W	0.00	13 of 68 - Sale <sup>25</sup>
0.017		0.81	11/30/20	1.00	0.19 W	0.00	14 of 68 - Sale <sup>25</sup>
0.017		0.82	11/30/20	1.00	0.18 W	0.00	15 of 68 - Sale <sup>25</sup>
0.017		0.82	11/30/20	1.00	0.18 W	0.00	16 of 68 - Sale <sup>25</sup>
0.017		0.81	12/01/20	1.00	0.19 W	0.00	17 of 68 - Sale <sup>25</sup>
0.017		0.82	12/01/20	1.00	0.18 W	0.00	18 of 68 - Sale <sup>25</sup>
0.017		0.82	12/01/20	1.00	0.18 W	0.00	19 of 68 - Sale <sup>25</sup>
0.018		0.84	12/01/20	1.00	0.16 W	0.00	20 of 68 - Sale <sup>25</sup>
0.018		0.84	12/01/20	1.00	0.16 W	0.00	21 of 68 - Sale <sup>25</sup>
0.018		0.84	12/01/20	1.00	0.16 W	0.00	22 of 68 - Sale <sup>25</sup>
0.018		0.85	12/01/20	1.00	0.15 W	0.00	23 of 68 - Sale <sup>25</sup>
0.018		0.85	12/01/20	1.00	0.15 W	0.00	24 of 68 - Sale <sup>25</sup>
0.018		0.85	12/01/20	1.00	0.15 W	0.00	25 of 68 - Sale <sup>25</sup>
0.018		0.85	12/01/20	1.00	0.15 W	0.00	26 of 68 - Sale <sup>25</sup>
0.018		0.85	12/01/20	1.00	0.15 W	0.00	27 of 68 - Sale <sup>25</sup>
0.018		0.85	12/01/20	1.00	0.15 W	0.00	28 of 68 - Sale <sup>25</sup>
0.018		0.86	12/01/20	1.00	0.14 W	0.00	29 of 68 - Sale <sup>25</sup>
0.018		0.86	12/01/20	1.00	0.14 W	0.00	30 of 68 - Sale <sup>25</sup>
0.018		0.88	12/01/20	1.00	0.12 W	0.00	31 of 68 - Sale <sup>25</sup>
0.019		0.89	12/01/20	1.00	0.11 W	0.00	32 of 68 - Sale <sup>25</sup>
0.019		0.92	12/01/20	1.00	0.08 W	0.00	33 of 68 - Sale <sup>25</sup>
0.019		0.92	12/01/20	1.00	0.08 W	0.00	34 of 68 - Sale <sup>25</sup>
0.019		0.92	12/01/20	1.08	0.16 W	0.00	35 of 68 - Sale <sup>25</sup>
0.036		1.72	12/01/20	1.98	0.26 W	0.00	36 of 68 - Sale <sup>25</sup>
0.018		0.87	12/02/20	1.00	0.13 W	0.00	37 of 68 - Sale <sup>25</sup>
0.020		0.96	12/02/20	1.00	0.04 W	0.00	38 of 68 - Sale <sup>25</sup>
0.021		0.98	12/02/20	1.00	0.02 W	0.00	39 of 68 - Sale <sup>25</sup>
0.021		0.99	12/02/20	1.00	0.01 W	0.00	40 of 68 - Sale <sup>25</sup>
0.021		0.99	12/02/20	1.00	0.01 W	0.00	41 of 68 - Sale <sup>25</sup>
0.018		0.88	12/03/20	1.00	0.12 W	0.00	42 of 68 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.019	0.90	12/03/20	1.00	0.10 W	0.00	43 of 68 - Sale <sup>25</sup>
	0.019	0.90	12/03/20	1.00	0.10 W	0.00	44 of 68 - Sale <sup>25</sup>
	0.019	0.90	12/03/20	1.00	0.10 W	0.00	45 of 68 - Sale <sup>25</sup>
	0.019	0.90	12/03/20	1.00	0.10 W	0.00	46 of 68 - Sale <sup>25</sup>
	0.019	0.91	12/03/20	1.00	0.09 W	0.00	47 of 68 - Sale <sup>25</sup>
	0.019	0.91	12/03/20	1.00	0.09 W	0.00	48 of 68 - Sale <sup>25</sup>
	0.019	0.91	12/03/20	1.00	0.09 W	0.00	49 of 68 - Sale <sup>25</sup>
	0.019	0.92	12/03/20	1.00	0.08 W	0.00	50 of 68 - Sale <sup>25</sup>
	0.019	0.92	12/03/20	1.00	0.08 W	0.00	51 of 68 - Sale <sup>25</sup>
	0.033	1.59	12/03/20	1.76	0.17 W	0.00	52 of 68 - Sale <sup>25</sup>
	0.020	0.93	12/04/20	1.00	0.07 W	0.00	53 of 68 - Sale <sup>25</sup>
	0.004	0.18	12/07/20	0.24	0.06 W	0.00	54 of 68 - Sale <sup>25</sup>
	0.006	0.29	12/07/20	0.36	0.07 W	0.00	55 of 68 - Sale <sup>25</sup>
	0.008	0.36	12/07/20	0.44	0.08 W	0.00	56 of 68 - Sale <sup>25</sup>
	0.010	0.47	12/07/20	0.57	0.10 W	0.00	57 of 68 - Sale <sup>25</sup>
	0.011	0.53	12/07/20	0.65	0.12 W	0.00	58 of 68 - Sale <sup>25</sup>
	0.013	0.61	12/07/20	0.79	0.18 W	0.00	59 of 68 - Sale <sup>25</sup>
	0.015	0.70	12/07/20	0.85	0.15 W	0.00	60 of 68 - Sale <sup>25</sup>
	0.017	0.79	12/07/20	1.02	0.23 W	0.00	61 of 68 - Sale <sup>25</sup>
	0.003	0.12	12/08/20	0.16	0.04 W	0.00	62 of 68 - Sale <sup>25</sup>
	0.003	0.16	12/08/20	0.21	0.05 W	0.00	63 of 68 - Sale <sup>25</sup>
	0.007	0.33	12/08/20	0.41	0.08 W	0.00	64 of 68 - Sale <sup>25</sup>
	0.009	0.42	12/08/20	0.54	0.12 W	0.00	65 of 68 - Sale <sup>25</sup>
	0.014	0.66	12/08/20	0.82	0.16 W	0.00	66 of 68 - Sale <sup>25</sup>
	0.017	0.80	12/08/20	1.01	0.21 W	0.00	67 of 68 - Sale <sup>25</sup>
	0.017	0.82	12/08/20	1.04	0.22 W	0.00	68 of 68 - Sale <sup>25</sup>
01/04/21	1.146	54.52	Various	63.70	9.18 W	0.00	Total of 68 transactions
	234 transactions for 01/08/21. Total proceeds and cost reported to the IRS.						
	0.000	0.02	12/11/20	0.02	...	0.00	1 of 234 - Sale <sup>25</sup>
	0.000	0.00	12/11/20	0.00	...	0.00	2 of 234 - Zero proceeds / negative sale <sup>25</sup>
	0.000	0.01	12/14/20	0.01	...	0.00	3 of 234 - Sale <sup>25</sup>
	0.002	0.09	12/14/20	0.09	...	0.00	4 of 234 - Sale <sup>25</sup>
	0.000	0.01	12/17/20	0.01	...	0.00	5 of 234 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.006	0.30	12/17/20	0.29	...	0.01	6 of 234 - Sale <sup>25</sup>
	0.001	0.05	12/22/20	0.05	...	0.00	7 of 234 - Sale <sup>25</sup>
	0.002	0.08	12/23/20	0.08	...	0.00	8 of 234 - Sale <sup>25</sup>
	0.002	0.09	12/23/20	0.09	...	0.00	9 of 234 - Sale <sup>25</sup>
	0.002	0.12	12/23/20	0.12	...	0.00	10 of 234 - Sale <sup>25</sup>
	0.002	0.08	12/24/20	0.08	...	0.00	11 of 234 - Sale <sup>25</sup>
	0.008	0.39	12/24/20	0.38	...	0.01	12 of 234 - Sale <sup>25</sup>
	0.002	0.08	12/28/20	0.08	...	0.00	13 of 234 - Sale <sup>25</sup>
	0.002	0.09	12/28/20	0.09	...	0.00	14 of 234 - Sale <sup>25</sup>
	0.003	0.14	12/28/20	0.14	...	0.00	15 of 234 - Sale <sup>25</sup>
	0.004	0.18	12/28/20	0.18	...	0.00	16 of 234 - Sale <sup>25</sup>
	0.004	0.19	12/28/20	0.19	...	0.00	17 of 234 - Sale <sup>25</sup>
	0.006	0.27	12/28/20	0.27	...	0.00	18 of 234 - Sale <sup>25</sup>
	0.007	0.32	12/28/20	0.32	...	0.00	19 of 234 - Sale <sup>25</sup>
	0.008	0.39	12/28/20	0.39	...	0.00	20 of 234 - Sale <sup>25</sup>
	0.010	0.48	12/28/20	0.48	...	0.00	21 of 234 - Sale <sup>25</sup>
	0.000	0.00	12/28/20	0.00	...	0.00	22 of 234 - Zero proceeds / negative sale <sup>25</sup>
	0.000	0.00	12/28/20	0.00	...	0.00	23 of 234 - Zero proceeds / negative sale <sup>25</sup>
	0.001	0.03	12/28/20	0.02	...	0.01	24 of 234 - Sale <sup>25</sup>
	0.003	0.12	12/28/20	0.11	...	0.01	25 of 234 - Sale <sup>25</sup>
	0.003	0.15	12/28/20	0.14	...	0.01	26 of 234 - Sale <sup>25</sup>
	0.004	0.18	12/28/20	0.17	...	0.01	27 of 234 - Sale <sup>25</sup>
	0.005	0.23	12/28/20	0.22	...	0.01	28 of 234 - Sale <sup>25</sup>
	0.006	0.28	12/28/20	0.27	...	0.01	29 of 234 - Sale <sup>25</sup>
	0.008	0.38	12/28/20	0.37	...	0.01	30 of 234 - Sale <sup>25</sup>
	0.009	0.40	12/28/20	0.39	...	0.01	31 of 234 - Sale <sup>25</sup>
	0.009	0.41	12/28/20	0.40	...	0.01	32 of 234 - Sale <sup>25</sup>
	0.009	0.43	12/28/20	0.42	...	0.01	33 of 234 - Sale <sup>25</sup>
	0.010	0.47	12/28/20	0.46	...	0.01	34 of 234 - Sale <sup>25</sup>
	0.010	0.49	12/28/20	0.48	...	0.01	35 of 234 - Sale <sup>25</sup>
	0.011	0.51	12/28/20	0.50	...	0.01	36 of 234 - Sale <sup>25</sup>
	0.012	0.55	12/28/20	0.54	...	0.01	37 of 234 - Sale <sup>25</sup>
	0.005	0.22	12/28/20	0.20	...	0.02	38 of 234 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.005	0.22	12/28/20	0.20	...	0.02	39 of 234 - Sale <sup>25</sup>
	0.005	0.22	12/28/20	0.20	...	0.02	40 of 234 - Sale <sup>25</sup>
	0.009	0.44	12/28/20	0.42	...	0.02	41 of 234 - Sale <sup>25</sup>
	0.016	0.74	12/28/20	0.72	...	0.02	42 of 234 - Sale <sup>25</sup>
	0.017	0.77	12/28/20	0.75	...	0.02	43 of 234 - Sale <sup>25</sup>
	0.019	0.87	12/28/20	0.85	...	0.02	44 of 234 - Sale <sup>25</sup>
	0.006	0.30	12/28/20	0.27	...	0.03	45 of 234 - Sale <sup>25</sup>
	0.007	0.34	12/28/20	0.31	...	0.03	46 of 234 - Sale <sup>25</sup>
	0.009	0.43	12/28/20	0.40	...	0.03	47 of 234 - Sale <sup>25</sup>
	0.006	0.30	12/28/20	0.26	...	0.04	48 of 234 - Sale <sup>25</sup>
	0.014	0.63	12/28/20	0.58	...	0.05	49 of 234 - Sale <sup>25</sup>
	0.011	0.50	12/28/20	0.44	...	0.06	50 of 234 - Sale <sup>25</sup>
	0.014	0.66	12/28/20	0.59	...	0.07	51 of 234 - Sale <sup>25</sup>
	0.014	0.67	12/28/20	0.60	...	0.07	52 of 234 - Sale <sup>25</sup>
	0.000	0.01	12/29/20	0.01	...	0.00	53 of 234 - Sale <sup>25</sup>
	0.001	0.04	12/29/20	0.04	...	0.00	54 of 234 - Sale <sup>25</sup>
	0.001	0.07	12/29/20	0.07	...	0.00	55 of 234 - Sale <sup>25</sup>
	0.006	0.26	12/29/20	0.26	...	0.00	56 of 234 - Sale <sup>25</sup>
	0.007	0.31	12/29/20	0.31	...	0.00	57 of 234 - Sale <sup>25</sup>
	0.014	0.65	12/29/20	0.64	...	0.01	58 of 234 - Sale <sup>25</sup>
	0.011	0.49	12/30/20	0.55	...	-0.06	59 of 234 - Sale <sup>25</sup>
	0.012	0.54	12/30/20	0.58	...	-0.04	60 of 234 - Sale <sup>25</sup>
	0.006	0.26	12/30/20	0.29	...	-0.03	61 of 234 - Sale <sup>25</sup>
	0.007	0.30	12/30/20	0.33	...	-0.03	62 of 234 - Sale <sup>25</sup>
	0.008	0.38	12/30/20	0.41	...	-0.03	63 of 234 - Sale <sup>25</sup>
	0.013	0.59	12/30/20	0.62	...	-0.03	64 of 234 - Sale <sup>25</sup>
	0.003	0.15	12/30/20	0.17	...	-0.02	65 of 234 - Sale <sup>25</sup>
	0.005	0.23	12/30/20	0.25	...	-0.02	66 of 234 - Sale <sup>25</sup>
	0.005	0.25	12/30/20	0.27	...	-0.02	67 of 234 - Sale <sup>25</sup>
	0.005	0.25	12/30/20	0.27	...	-0.02	68 of 234 - Sale <sup>25</sup>
	0.007	0.31	12/30/20	0.33	...	-0.02	69 of 234 - Sale <sup>25</sup>
	0.007	0.32	12/30/20	0.34	...	-0.02	70 of 234 - Sale <sup>25</sup>
	0.001	0.05	12/30/20	0.06	...	-0.01	71 of 234 - Sale <sup>25</sup>
	0.002	0.08	12/30/20	0.09	...	-0.01	72 of 234 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.003	0.14	12/30/20	0.15	...	-0.01	73 of 234 - Sale <sup>25</sup>
	0.003	0.15	12/30/20	0.16	...	-0.01	74 of 234 - Sale <sup>25</sup>
	0.003	0.15	12/30/20	0.16	...	-0.01	75 of 234 - Sale <sup>25</sup>
	0.005	0.22	12/30/20	0.23	...	-0.01	76 of 234 - Sale <sup>25</sup>
	0.009	0.44	12/30/20	0.45	...	-0.01	77 of 234 - Sale <sup>25</sup>
	0.000	0.02	12/30/20	0.02	...	0.00	78 of 234 - Sale <sup>25</sup>
	0.001	0.04	12/30/20	0.04	...	0.00	79 of 234 - Sale <sup>25</sup>
	0.002	0.09	12/30/20	0.08	...	0.01	80 of 234 - Sale <sup>25</sup>
	0.007	0.32	12/30/20	0.30	...	0.02	81 of 234 - Sale <sup>25</sup>
	0.011	0.50	12/31/20	0.56	...	-0.06	82 of 234 - Sale <sup>25</sup>
	0.011	0.51	12/31/20	0.57	...	-0.06	83 of 234 - Sale <sup>25</sup>
	0.006	0.25	12/31/20	0.28	...	-0.03	84 of 234 - Sale <sup>25</sup>
	0.006	0.26	12/31/20	0.29	...	-0.03	85 of 234 - Sale <sup>25</sup>
	0.009	0.40	12/31/20	0.43	...	-0.03	86 of 234 - Sale <sup>25</sup>
	0.004	0.18	12/31/20	0.20	...	-0.02	87 of 234 - Sale <sup>25</sup>
	0.005	0.23	12/31/20	0.25	...	-0.02	88 of 234 - Sale <sup>25</sup>
	0.007	0.30	12/31/20	0.32	...	-0.02	89 of 234 - Sale <sup>25</sup>
	0.008	0.36	12/31/20	0.38	...	-0.02	90 of 234 - Sale <sup>25</sup>
	0.009	0.41	12/31/20	0.43	...	-0.02	91 of 234 - Sale <sup>25</sup>
	0.011	0.53	12/31/20	0.55	...	-0.02	92 of 234 - Sale <sup>25</sup>
	0.000	0.01	12/31/20	0.02	...	-0.01	93 of 234 - Sale <sup>25</sup>
	0.001	0.04	12/31/20	0.05	...	-0.01	94 of 234 - Sale <sup>25</sup>
	0.001	0.04	12/31/20	0.05	...	-0.01	95 of 234 - Sale <sup>25</sup>
	0.001	0.06	12/31/20	0.07	...	-0.01	96 of 234 - Sale <sup>25</sup>
	0.004	0.18	12/31/20	0.19	...	-0.01	97 of 234 - Sale <sup>25</sup>
	0.004	0.20	12/31/20	0.21	...	-0.01	98 of 234 - Sale <sup>25</sup>
	0.005	0.22	12/31/20	0.23	...	-0.01	99 of 234 - Sale <sup>25</sup>
	0.005	0.23	12/31/20	0.24	...	-0.01	100 of 234 - Sale <sup>25</sup>
	0.005	0.24	12/31/20	0.25	...	-0.01	101 of 234 - Sale <sup>25</sup>
	0.006	0.29	12/31/20	0.30	...	-0.01	102 of 234 - Sale <sup>25</sup>
	0.006	0.30	12/31/20	0.31	...	-0.01	103 of 234 - Sale <sup>25</sup>
	0.009	0.43	12/31/20	0.44	...	-0.01	104 of 234 - Sale <sup>25</sup>
	0.000	0.01	12/31/20	0.01	...	0.00	105 of 234 - Sale <sup>25</sup>
	0.003	0.15	12/31/20	0.15	...	0.00	106 of 234 - Sale <sup>25</sup>

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Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
0.004		0.17	12/31/20	0.17	...	0.00	107 of 234 - Sale <sup>25</sup>
0.005		0.23	12/31/20	0.23	...	0.00	108 of 234 - Sale <sup>25</sup>
0.000		0.02	12/31/20	0.01	...	0.01	109 of 234 - Sale <sup>25</sup>
0.004		0.17	12/31/20	0.16	...	0.01	110 of 234 - Sale <sup>25</sup>
0.013		0.62	12/31/20	0.61	...	0.01	111 of 234 - Sale <sup>25</sup>
0.010		0.47	01/04/21	0.56	...	-0.09	112 of 234 - Sale <sup>25</sup>
0.011		0.49	01/04/21	0.58	...	-0.09	113 of 234 - Sale <sup>25</sup>
0.014		0.67	01/04/21	0.76	...	-0.09	114 of 234 - Sale <sup>25</sup>
0.016		0.73	01/04/21	0.80	...	-0.07	115 of 234 - Sale <sup>25</sup>
0.007		0.34	01/04/21	0.40	...	-0.06	116 of 234 - Sale <sup>25</sup>
0.006		0.27	01/04/21	0.32	...	-0.05	117 of 234 - Sale <sup>25</sup>
0.010		0.47	01/04/21	0.52	...	-0.05	118 of 234 - Sale <sup>25</sup>
0.004		0.18	01/04/21	0.22	...	-0.04	119 of 234 - Sale <sup>25</sup>
0.008		0.35	01/04/21	0.39	...	-0.04	120 of 234 - Sale <sup>25</sup>
0.008		0.37	01/04/21	0.41	...	-0.04	121 of 234 - Sale <sup>25</sup>
0.010		0.45	01/04/21	0.49	...	-0.04	122 of 234 - Sale <sup>25</sup>
0.011		0.51	01/04/21	0.55	...	-0.04	123 of 234 - Sale <sup>25</sup>
0.016		0.73	01/04/21	0.77	...	-0.04	124 of 234 - Sale <sup>25</sup>
0.003		0.12	01/04/21	0.15	...	-0.03	125 of 234 - Sale <sup>25</sup>
0.004		0.19	01/04/21	0.22	...	-0.03	126 of 234 - Sale <sup>25</sup>
0.004		0.20	01/04/21	0.23	...	-0.03	127 of 234 - Sale <sup>25</sup>
0.005		0.24	01/04/21	0.27	...	-0.03	128 of 234 - Sale <sup>25</sup>
0.006		0.29	01/04/21	0.32	...	-0.03	129 of 234 - Sale <sup>25</sup>
0.007		0.32	01/04/21	0.35	...	-0.03	130 of 234 - Sale <sup>25</sup>
0.007		0.33	01/04/21	0.36	...	-0.03	131 of 234 - Sale <sup>25</sup>
0.009		0.42	01/04/21	0.45	...	-0.03	132 of 234 - Sale <sup>25</sup>
0.014		0.63	01/04/21	0.66	...	-0.03	133 of 234 - Sale <sup>25</sup>
0.002		0.11	01/04/21	0.13	...	-0.02	134 of 234 - Sale <sup>25</sup>
0.002		0.07	01/04/21	0.09	...	-0.02	135 of 234 - Sale <sup>25</sup>
0.003		0.13	01/04/21	0.15	...	-0.02	136 of 234 - Sale <sup>25</sup>
0.003		0.14	01/04/21	0.16	...	-0.02	137 of 234 - Sale <sup>25</sup>
0.003		0.15	01/04/21	0.17	...	-0.02	138 of 234 - Sale <sup>25</sup>
0.003		0.15	01/04/21	0.17	...	-0.02	139 of 234 - Sale <sup>25</sup>
0.004		0.17	01/04/21	0.19	...	-0.02	140 of 234 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.004	0.18	01/04/21	0.20	...	-0.02	141 of 234 - Sale <sup>25</sup>
	0.005	0.22	01/04/21	0.24	...	-0.02	142 of 234 - Sale <sup>25</sup>
	0.005	0.23	01/04/21	0.25	...	-0.02	143 of 234 - Sale <sup>25</sup>
	0.005	0.23	01/04/21	0.25	...	-0.02	144 of 234 - Sale <sup>25</sup>
	0.005	0.24	01/04/21	0.26	...	-0.02	145 of 234 - Sale <sup>25</sup>
	0.006	0.28	01/04/21	0.30	...	-0.02	146 of 234 - Sale <sup>25</sup>
	0.011	0.50	01/04/21	0.52	...	-0.02	147 of 234 - Sale <sup>25</sup>
	0.001	0.03	01/04/21	0.04	...	-0.01	148 of 234 - Sale <sup>25</sup>
	0.001	0.03	01/04/21	0.04	...	-0.01	149 of 234 - Sale <sup>25</sup>
	0.001	0.04	01/04/21	0.05	...	-0.01	150 of 234 - Sale <sup>25</sup>
	0.001	0.04	01/04/21	0.05	...	-0.01	151 of 234 - Sale <sup>25</sup>
	0.001	0.04	01/04/21	0.05	...	-0.01	152 of 234 - Sale <sup>25</sup>
	0.002	0.10	01/04/21	0.11	...	-0.01	153 of 234 - Sale <sup>25</sup>
	0.002	0.10	01/04/21	0.11	...	-0.01	154 of 234 - Sale <sup>25</sup>
	0.003	0.12	01/04/21	0.13	...	-0.01	155 of 234 - Sale <sup>25</sup>
	0.003	0.12	01/04/21	0.13	...	-0.01	156 of 234 - Sale <sup>25</sup>
	0.003	0.14	01/04/21	0.15	...	-0.01	157 of 234 - Sale <sup>25</sup>
	0.003	0.15	01/04/21	0.16	...	-0.01	158 of 234 - Sale <sup>25</sup>
	0.004	0.19	01/04/21	0.20	...	-0.01	159 of 234 - Sale <sup>25</sup>
	0.005	0.22	01/04/21	0.23	...	-0.01	160 of 234 - Sale <sup>25</sup>
	0.005	0.22	01/04/21	0.23	...	-0.01	161 of 234 - Sale <sup>25</sup>
	0.006	0.28	01/04/21	0.29	...	-0.01	162 of 234 - Sale <sup>25</sup>
	0.000	0.01	01/04/21	0.01	...	0.00	163 of 234 - Sale <sup>25</sup>
	0.000	0.02	01/04/21	0.02	...	0.00	164 of 234 - Sale <sup>25</sup>
	0.001	0.07	01/04/21	0.07	...	0.00	165 of 234 - Sale <sup>25</sup>
	0.002	0.08	01/04/21	0.08	...	0.00	166 of 234 - Sale <sup>25</sup>
	0.002	0.09	01/04/21	0.09	...	0.00	167 of 234 - Sale <sup>25</sup>
	0.003	0.14	01/04/21	0.14	...	0.00	168 of 234 - Sale <sup>25</sup>
	0.004	0.17	01/04/21	0.17	...	0.00	169 of 234 - Sale <sup>25</sup>
	0.004	0.17	01/04/21	0.17	...	0.00	170 of 234 - Sale <sup>25</sup>
	0.005	0.23	01/04/21	0.23	...	0.00	171 of 234 - Sale <sup>25</sup>
	0.006	0.25	01/04/21	0.25	...	0.00	172 of 234 - Sale <sup>25</sup>
	0.000	0.00	01/04/21	0.00	...	0.00	173 of 234 - Zero proceeds / negative sale <sup>25</sup>
	0.005	0.25	01/04/21	0.24	...	0.01	174 of 234 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
0.007	0.33	0.33	01/04/21	0.32	...	0.01	175 of 234 - Sale <sup>25</sup>
0.007	0.33	0.33	01/04/21	0.32	...	0.01	176 of 234 - Sale <sup>25</sup>
0.007	0.33	0.33	01/04/21	0.32	...	0.01	177 of 234 - Sale <sup>25</sup>
0.004	0.17	0.17	01/04/21	0.15	...	0.02	178 of 234 - Sale <sup>25</sup>
0.013	0.62	0.62	01/04/21	0.60	...	0.02	179 of 234 - Sale <sup>25</sup>
0.023	1.05	1.05	01/04/21	1.00	...	0.05	180 of 234 - Sale <sup>25</sup>
0.023	1.05	1.05	01/05/21	0.99	...	0.06	181 of 234 - Sale <sup>25</sup>
0.023	1.06	1.06	01/05/21	1.00	...	0.06	182 of 234 - Sale <sup>25</sup>
0.023	1.06	1.06	01/05/21	1.00	...	0.06	183 of 234 - Sale <sup>25</sup>
0.023	1.07	1.07	01/05/21	1.00	...	0.07	184 of 234 - Sale <sup>25</sup>
0.023	1.08	1.08	01/05/21	1.00	...	0.08	185 of 234 - Sale <sup>25</sup>
0.023	1.08	1.08	01/05/21	1.00	...	0.08	186 of 234 - Sale <sup>25</sup>
0.023	1.08	1.08	01/05/21	1.00	...	0.08	187 of 234 - Sale <sup>25</sup>
0.023	1.09	1.09	01/05/21	1.00	...	0.09	188 of 234 - Sale <sup>25</sup>
0.024	1.09	1.09	01/05/21	1.00	...	0.09	189 of 234 - Sale <sup>25</sup>
0.024	1.09	1.09	01/05/21	1.00	...	0.09	190 of 234 - Sale <sup>25</sup>
0.024	1.10	1.10	01/05/21	1.00	...	0.10	191 of 234 - Sale <sup>25</sup>
0.024	1.10	1.10	01/05/21	1.00	...	0.10	192 of 234 - Sale <sup>25</sup>
0.024	1.10	1.10	01/05/21	1.00	...	0.10	193 of 234 - Sale <sup>25</sup>
0.024	1.10	1.10	01/05/21	1.00	...	0.10	194 of 234 - Sale <sup>25</sup>
0.024	1.10	1.10	01/05/21	1.00	...	0.10	195 of 234 - Sale <sup>25</sup>
0.024	1.10	1.10	01/05/21	1.00	...	0.10	196 of 234 - Sale <sup>25</sup>
0.024	1.11	1.11	01/05/21	1.00	...	0.11	197 of 234 - Sale <sup>25</sup>
0.024	1.11	1.11	01/05/21	1.00	...	0.11	198 of 234 - Sale <sup>25</sup>
0.024	1.11	1.11	01/05/21	1.00	...	0.11	199 of 234 - Sale <sup>25</sup>
0.038	1.78	1.78	01/05/21	1.64	...	0.14	200 of 234 - Sale <sup>25</sup>
0.023	1.07	1.07	01/06/21	1.00	...	0.07	201 of 234 - Sale <sup>25</sup>
0.023	1.07	1.07	01/06/21	1.00	...	0.07	202 of 234 - Sale <sup>25</sup>
0.023	1.07	1.07	01/06/21	1.00	...	0.07	203 of 234 - Sale <sup>25</sup>
0.023	1.07	1.07	01/06/21	1.00	...	0.07	204 of 234 - Sale <sup>25</sup>
0.023	1.07	1.07	01/06/21	1.00	...	0.07	205 of 234 - Sale <sup>25</sup>
0.023	1.07	1.07	01/06/21	1.00	...	0.07	206 of 234 - Sale <sup>25</sup>
0.024	1.11	1.11	01/06/21	1.00	...	0.11	207 of 234 - Sale <sup>25</sup>
0.024	1.11	1.11	01/06/21	1.00	...	0.11	208 of 234 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.024	1.11	01/06/21	1.00	...	0.11	209 of 234 - Sale <sup>25</sup>
	0.024	1.11	01/06/21	1.00	...	0.11	210 of 234 - Sale <sup>25</sup>
	0.024	1.11	01/06/21	1.00	...	0.11	211 of 234 - Sale <sup>25</sup>
	0.024	1.11	01/06/21	1.00	...	0.11	212 of 234 - Sale <sup>25</sup>
	0.024	1.12	01/06/21	1.00	...	0.12	213 of 234 - Sale <sup>25</sup>
	0.024	1.12	01/06/21	1.00	...	0.12	214 of 234 - Sale <sup>25</sup>
	0.024	1.12	01/06/21	1.00	...	0.12	215 of 234 - Sale <sup>25</sup>
	0.024	1.12	01/06/21	1.00	...	0.12	216 of 234 - Sale <sup>25</sup>
	0.024	1.12	01/06/21	1.00	...	0.12	217 of 234 - Sale <sup>25</sup>
	0.024	1.12	01/06/21	1.00	...	0.12	218 of 234 - Sale <sup>25</sup>
	0.024	1.12	01/06/21	1.00	...	0.12	219 of 234 - Sale <sup>25</sup>
	0.025	1.14	01/06/21	1.02	...	0.12	220 of 234 - Sale <sup>25</sup>
	0.024	1.12	01/06/21	0.99	...	0.13	221 of 234 - Sale <sup>25</sup>
	0.024	1.13	01/06/21	1.00	...	0.13	222 of 234 - Sale <sup>25</sup>
	0.024	1.13	01/06/21	1.00	...	0.13	223 of 234 - Sale <sup>25</sup>
	0.024	1.13	01/06/21	1.00	...	0.13	224 of 234 - Sale <sup>25</sup>
	0.024	1.13	01/06/21	1.00	...	0.13	225 of 234 - Sale <sup>25</sup>
	0.024	1.13	01/06/21	1.00	...	0.13	226 of 234 - Sale <sup>25</sup>
	0.049	2.26	01/06/21	2.00	...	0.26	227 of 234 - Sale <sup>25</sup>
	0.022	1.03	01/07/21	1.00	...	0.03	228 of 234 - Sale <sup>25</sup>
	0.022	1.03	01/07/21	1.00	...	0.03	229 of 234 - Sale <sup>25</sup>
	0.022	1.04	01/07/21	1.00	...	0.04	230 of 234 - Sale <sup>25</sup>
	0.023	1.06	01/07/21	1.00	...	0.06	231 of 234 - Sale <sup>25</sup>
	0.023	1.08	01/07/21	1.00	...	0.08	232 of 234 - Sale <sup>25</sup>
	0.023	1.09	01/07/21	1.00	...	0.09	233 of 234 - Sale <sup>25</sup>
	0.046	2.14	01/07/21	1.99	...	0.15	234 of 234 - Sale <sup>25</sup>
01/08/21	2.368	109.69	Various	105.72	...	3.97	Total of 234 transactions
	195 transactions for 01/08/21. Total proceeds and cost reported to the IRS.						
	0.002	0.07	12/08/20	0.09	0.02 W	0.00	1 of 195 - Sale <sup>25</sup>
	0.010	0.45	12/08/20	0.58	0.13 W	0.00	2 of 195 - Sale <sup>25</sup>
	0.008	0.35	12/09/20	0.42	0.07 W	0.00	3 of 195 - Sale <sup>25</sup>
	0.007	0.31	12/09/20	0.36	0.05 W	0.00	4 of 195 - Sale <sup>25</sup>
	0.014	0.66	12/09/20	0.80	0.14 W	0.00	5 of 195 - Sale <sup>25</sup>
	0.016	0.75	12/09/20	0.88	0.13 W	0.00	6 of 195 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.002	0.08	12/10/20	0.10	0.02 W	0.00	7 of 195 - Sale <sup>25</sup>
	0.009	0.41	12/10/20	0.49	0.08 W	0.00	8 of 195 - Sale <sup>25</sup>
	0.012	0.55	12/10/20	0.64	0.09 W	0.00	9 of 195 - Sale <sup>25</sup>
	0.001	0.04	12/11/20	0.05	0.01 W	0.00	10 of 195 - Sale <sup>25</sup>
	0.004	0.19	12/11/20	0.21	0.02 W	0.00	11 of 195 - Sale <sup>25</sup>
	0.004	0.19	12/11/20	0.22	0.03 W	0.00	12 of 195 - Sale <sup>25</sup>
	0.005	0.24	12/11/20	0.26	0.02 W	0.00	13 of 195 - Sale <sup>25</sup>
	0.005	0.26	12/11/20	0.30	0.04 W	0.00	14 of 195 - Sale <sup>25</sup>
	0.006	0.26	12/11/20	0.31	0.05 W	0.00	15 of 195 - Sale <sup>25</sup>
	0.006	0.29	12/11/20	0.33	0.04 W	0.00	16 of 195 - Sale <sup>25</sup>
	0.008	0.39	12/11/20	0.43	0.04 W	0.00	17 of 195 - Sale <sup>25</sup>
	0.009	0.41	12/11/20	0.47	0.06 W	0.00	18 of 195 - Sale <sup>25</sup>
	0.009	0.42	12/11/20	0.48	0.06 W	0.00	19 of 195 - Sale <sup>25</sup>
	0.010	0.45	12/11/20	0.51	0.06 W	0.00	20 of 195 - Sale <sup>25</sup>
	0.011	0.52	12/11/20	0.61	0.09 W	0.00	21 of 195 - Sale <sup>25</sup>
	0.012	0.54	12/11/20	0.64	0.10 W	0.00	22 of 195 - Sale <sup>25</sup>
	0.013	0.60	12/11/20	0.68	0.08 W	0.00	23 of 195 - Sale <sup>25</sup>
	0.014	0.63	12/11/20	0.72	0.09 W	0.00	24 of 195 - Sale <sup>25</sup>
	0.014	0.65	12/11/20	0.73	0.08 W	0.00	25 of 195 - Sale <sup>25</sup>
	0.014	0.66	12/11/20	0.75	0.09 W	0.00	26 of 195 - Sale <sup>25</sup>
	0.016	0.77	12/11/20	0.90	0.13 W	0.00	27 of 195 - Sale <sup>25</sup>
	0.017	0.80	12/11/20	0.93	0.13 W	0.00	28 of 195 - Sale <sup>25</sup>
	0.017	0.80	12/11/20	0.95	0.15 W	0.00	29 of 195 - Sale <sup>25</sup>
	0.017	0.82	12/11/20	0.92	0.10 W	0.00	30 of 195 - Sale <sup>25</sup>
	0.018	0.83	12/11/20	0.94	0.11 W	0.00	31 of 195 - Sale <sup>25</sup>
	0.018	0.83	12/11/20	0.95	0.12 W	0.00	32 of 195 - Sale <sup>25</sup>
	0.002	0.08	12/14/20	0.09	0.01 W	0.00	33 of 195 - Sale <sup>25</sup>
	0.002	0.08	12/14/20	0.09	0.01 W	0.00	34 of 195 - Sale <sup>25</sup>
	0.002	0.11	12/14/20	0.12	0.01 W	0.00	35 of 195 - Sale <sup>25</sup>
	0.002	0.12	12/14/20	0.13	0.01 W	0.00	36 of 195 - Sale <sup>25</sup>
	0.005	0.22	12/14/20	0.24	0.02 W	0.00	37 of 195 - Sale <sup>25</sup>
	0.005	0.22	12/14/20	0.23	0.01 W	0.00	38 of 195 - Sale <sup>25</sup>
	0.005	0.24	12/14/20	0.28	0.04 W	0.00	39 of 195 - Sale <sup>25</sup>
	0.006	0.30	12/14/20	0.31	0.01 W	0.00	40 of 195 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
0.007		0.30	12/14/20	0.31	0.01 W	0.00	41 of 195 - Sale <sup>25</sup>
0.007		0.31	12/14/20	0.33	0.02 W	0.00	42 of 195 - Sale <sup>25</sup>
0.007		0.33	12/14/20	0.38	0.05 W	0.00	43 of 195 - Sale <sup>25</sup>
0.007		0.34	12/14/20	0.36	0.02 W	0.00	44 of 195 - Sale <sup>25</sup>
0.008		0.35	12/14/20	0.41	0.06 W	0.00	45 of 195 - Sale <sup>25</sup>
0.008		0.39	12/14/20	0.45	0.06 W	0.00	46 of 195 - Sale <sup>25</sup>
0.010		0.47	12/14/20	0.56	0.09 W	0.00	47 of 195 - Sale <sup>25</sup>
0.011		0.50	12/14/20	0.57	0.07 W	0.00	48 of 195 - Sale <sup>25</sup>
0.011		0.50	12/14/20	0.59	0.09 W	0.00	49 of 195 - Sale <sup>25</sup>
0.012		0.55	12/14/20	0.60	0.05 W	0.00	50 of 195 - Sale <sup>25</sup>
0.013		0.59	12/14/20	0.66	0.07 W	0.00	51 of 195 - Sale <sup>25</sup>
0.013		0.62	12/14/20	0.65	0.03 W	0.00	52 of 195 - Sale <sup>25</sup>
0.014		0.63	12/14/20	0.68	0.05 W	0.00	53 of 195 - Sale <sup>25</sup>
0.014		0.66	12/14/20	0.69	0.03 W	0.00	54 of 195 - Sale <sup>25</sup>
0.014		0.67	12/14/20	0.70	0.03 W	0.00	55 of 195 - Sale <sup>25</sup>
0.015		0.68	12/14/20	0.75	0.07 W	0.00	56 of 195 - Sale <sup>25</sup>
0.015		0.71	12/14/20	0.81	0.10 W	0.00	57 of 195 - Sale <sup>25</sup>
0.017		0.77	12/14/20	0.87	0.10 W	0.00	58 of 195 - Sale <sup>25</sup>
0.017		0.78	12/14/20	0.87	0.09 W	0.00	59 of 195 - Sale <sup>25</sup>
0.018		0.82	12/14/20	0.86	0.04 W	0.00	60 of 195 - Sale <sup>25</sup>
0.018		0.84	12/14/20	0.96	0.12 W	0.00	61 of 195 - Sale <sup>25</sup>
0.019		0.87	12/14/20	0.95	0.08 W	0.00	62 of 195 - Sale <sup>25</sup>
0.019		0.88	12/14/20	0.99	0.11 W	0.00	63 of 195 - Sale <sup>25</sup>
0.021		0.98	12/14/20	1.10	0.12 W	0.00	64 of 195 - Sale <sup>25</sup>
0.023		1.05	12/14/20	1.17	0.12 W	0.00	65 of 195 - Sale <sup>25</sup>
0.001		0.07	12/15/20	0.09	0.02 W	0.00	66 of 195 - Sale <sup>25</sup>
0.004		0.18	12/15/20	0.25	0.07 W	0.00	67 of 195 - Sale <sup>25</sup>
0.004		0.20	12/15/20	0.26	0.06 W	0.00	68 of 195 - Sale <sup>25</sup>
0.006		0.26	12/15/20	0.29	0.03 W	0.00	69 of 195 - Sale <sup>25</sup>
0.007		0.31	12/15/20	0.35	0.04 W	0.00	70 of 195 - Sale <sup>25</sup>
0.007		0.32	12/15/20	0.37	0.05 W	0.00	71 of 195 - Sale <sup>25</sup>
0.007		0.35	12/15/20	0.40	0.05 W	0.00	72 of 195 - Sale <sup>25</sup>
0.008		0.36	12/15/20	0.46	0.10 W	0.00	73 of 195 - Sale <sup>25</sup>
0.008		0.39	12/15/20	0.45	0.06 W	0.00	74 of 195 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.009	0.40	12/15/20	0.46	0.06 W	0.00	75 of 195 - Sale <sup>25</sup>
	0.009	0.44	12/15/20	0.50	0.06 W	0.00	76 of 195 - Sale <sup>25</sup>
	0.010	0.45	12/15/20	0.53	0.08 W	0.00	77 of 195 - Sale <sup>25</sup>
	0.010	0.46	12/15/20	0.58	0.12 W	0.00	78 of 195 - Sale <sup>25</sup>
	0.010	0.49	12/15/20	0.57	0.08 W	0.00	79 of 195 - Sale <sup>25</sup>
	0.011	0.51	12/15/20	0.59	0.08 W	0.00	80 of 195 - Sale <sup>25</sup>
	0.012	0.56	12/15/20	0.64	0.08 W	0.00	81 of 195 - Sale <sup>25</sup>
	0.012	0.56	12/15/20	0.64	0.08 W	0.00	82 of 195 - Sale <sup>25</sup>
	0.013	0.59	12/15/20	0.68	0.09 W	0.00	83 of 195 - Sale <sup>25</sup>
	0.013	0.60	12/15/20	0.81	0.21 W	0.00	84 of 195 - Sale <sup>25</sup>
	0.014	0.66	12/15/20	0.73	0.07 W	0.00	85 of 195 - Sale <sup>25</sup>
	0.014	0.66	12/15/20	0.75	0.09 W	0.00	86 of 195 - Sale <sup>25</sup>
	0.015	0.71	12/15/20	0.94	0.23 W	0.00	87 of 195 - Sale <sup>25</sup>
	0.001	0.04	12/16/20	0.05	0.01 W	0.00	88 of 195 - Sale <sup>25</sup>
	0.003	0.12	12/16/20	0.17	0.05 W	0.00	89 of 195 - Sale <sup>25</sup>
	0.003	0.14	12/16/20	0.18	0.04 W	0.00	90 of 195 - Sale <sup>25</sup>
	0.003	0.16	12/16/20	0.21	0.05 W	0.00	91 of 195 - Sale <sup>25</sup>
	0.006	0.29	12/16/20	0.38	0.09 W	0.00	92 of 195 - Sale <sup>25</sup>
	0.007	0.32	12/16/20	0.41	0.09 W	0.00	93 of 195 - Sale <sup>25</sup>
	0.007	0.33	12/16/20	0.42	0.09 W	0.00	94 of 195 - Sale <sup>25</sup>
	0.007	0.33	12/16/20	0.42	0.09 W	0.00	95 of 195 - Sale <sup>25</sup>
	0.008	0.36	12/16/20	0.45	0.09 W	0.00	96 of 195 - Sale <sup>25</sup>
	0.010	0.48	12/16/20	0.63	0.15 W	0.00	97 of 195 - Sale <sup>25</sup>
	0.014	0.64	12/16/20	0.82	0.18 W	0.00	98 of 195 - Sale <sup>25</sup>
	0.014	0.65	12/16/20	0.84	0.19 W	0.00	99 of 195 - Sale <sup>25</sup>
	0.008	0.35	12/17/20	0.42	0.07 W	0.00	100 of 195 - Sale <sup>25</sup>
	0.000	0.02	12/17/20	0.03	0.01 W	0.00	101 of 195 - Sale <sup>25</sup>
	0.001	0.05	12/17/20	0.06	0.01 W	0.00	102 of 195 - Sale <sup>25</sup>
	0.002	0.08	12/17/20	0.10	0.02 W	0.00	103 of 195 - Sale <sup>25</sup>
	0.004	0.17	12/17/20	0.20	0.03 W	0.00	104 of 195 - Sale <sup>25</sup>
	0.004	0.21	12/17/20	0.24	0.03 W	0.00	105 of 195 - Sale <sup>25</sup>
	0.005	0.23	12/17/20	0.27	0.04 W	0.00	106 of 195 - Sale <sup>25</sup>
	0.005	0.25	12/17/20	0.29	0.04 W	0.00	107 of 195 - Sale <sup>25</sup>
	0.006	0.28	12/17/20	0.35	0.07 W	0.00	108 of 195 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.007	0.31	12/17/20	0.35	0.04 W	0.00	109 of 195 - Sale <sup>25</sup>
	0.009	0.40	12/17/20	0.47	0.07 W	0.00	110 of 195 - Sale <sup>25</sup>
	0.009	0.41	12/17/20	0.53	0.12 W	0.00	111 of 195 - Sale <sup>25</sup>
	0.010	0.45	12/17/20	0.57	0.12 W	0.00	112 of 195 - Sale <sup>25</sup>
	0.011	0.50	12/17/20	0.59	0.09 W	0.00	113 of 195 - Sale <sup>25</sup>
	0.011	0.52	12/17/20	0.60	0.08 W	0.00	114 of 195 - Sale <sup>25</sup>
	0.012	0.54	12/17/20	0.63	0.09 W	0.00	115 of 195 - Sale <sup>25</sup>
	0.001	0.04	12/21/20	0.05	0.01 W	0.00	116 of 195 - Sale <sup>25</sup>
	0.001	0.06	12/21/20	0.07	0.01 W	0.00	117 of 195 - Sale <sup>25</sup>
	0.003	0.15	12/21/20	0.18	0.03 W	0.00	118 of 195 - Sale <sup>25</sup>
	0.006	0.26	12/21/20	0.31	0.05 W	0.00	119 of 195 - Sale <sup>25</sup>
	0.006	0.26	12/21/20	0.30	0.04 W	0.00	120 of 195 - Sale <sup>25</sup>
	0.006	0.29	12/21/20	0.32	0.03 W	0.00	121 of 195 - Sale <sup>25</sup>
	0.008	0.36	12/21/20	0.42	0.06 W	0.00	122 of 195 - Sale <sup>25</sup>
	0.008	0.39	12/21/20	0.45	0.06 W	0.00	123 of 195 - Sale <sup>25</sup>
	0.009	0.44	12/21/20	0.50	0.06 W	0.00	124 of 195 - Sale <sup>25</sup>
	0.011	0.51	12/21/20	0.60	0.09 W	0.00	125 of 195 - Sale <sup>25</sup>
	0.013	0.59	12/21/20	0.68	0.09 W	0.00	126 of 195 - Sale <sup>25</sup>
	0.015	0.70	12/21/20	0.82	0.12 W	0.00	127 of 195 - Sale <sup>25</sup>
	0.003	0.15	12/22/20	0.17	0.02 W	0.00	128 of 195 - Sale <sup>25</sup>
	0.003	0.16	12/22/20	0.18	0.02 W	0.00	129 of 195 - Sale <sup>25</sup>
	0.004	0.17	12/22/20	0.18	0.01 W	0.00	130 of 195 - Sale <sup>25</sup>
	0.004	0.17	12/22/20	0.18	0.01 W	0.00	131 of 195 - Sale <sup>25</sup>
	0.004	0.17	12/22/20	0.18	0.01 W	0.00	132 of 195 - Sale <sup>25</sup>
	0.004	0.19	12/22/20	0.21	0.02 W	0.00	133 of 195 - Sale <sup>25</sup>
	0.004	0.19	12/22/20	0.22	0.03 W	0.00	134 of 195 - Sale <sup>25</sup>
	0.005	0.22	12/22/20	0.23	0.01 W	0.00	135 of 195 - Sale <sup>25</sup>
	0.005	0.23	12/22/20	0.26	0.03 W	0.00	136 of 195 - Sale <sup>25</sup>
	0.005	0.23	12/22/20	0.25	0.02 W	0.00	137 of 195 - Sale <sup>25</sup>
	0.005	0.24	12/22/20	0.27	0.03 W	0.00	138 of 195 - Sale <sup>25</sup>
	0.009	0.40	12/22/20	0.46	0.06 W	0.00	139 of 195 - Sale <sup>25</sup>
	0.009	0.40	12/22/20	0.46	0.06 W	0.00	140 of 195 - Sale <sup>25</sup>
	0.009	0.43	12/22/20	0.47	0.04 W	0.00	141 of 195 - Sale <sup>25</sup>
	0.010	0.45	12/22/20	0.49	0.04 W	0.00	142 of 195 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.012	0.56	12/22/20	0.64	0.08 W	0.00	143 of 195 - Sale <sup>25</sup>
	0.014	0.63	12/22/20	0.71	0.08 W	0.00	144 of 195 - Sale <sup>25</sup>
	0.014	0.64	12/22/20	0.69	0.05 W	0.00	145 of 195 - Sale <sup>25</sup>
	0.014	0.66	12/22/20	0.73	0.07 W	0.00	146 of 195 - Sale <sup>25</sup>
	0.018	0.83	12/22/20	0.92	0.09 W	0.00	147 of 195 - Sale <sup>25</sup>
	0.002	0.10	12/23/20	0.11	0.01 W	0.00	148 of 195 - Sale <sup>25</sup>
	0.004	0.20	12/23/20	0.22	0.02 W	0.00	149 of 195 - Sale <sup>25</sup>
	0.005	0.22	12/23/20	0.24	0.02 W	0.00	150 of 195 - Sale <sup>25</sup>
	0.005	0.23	12/23/20	0.25	0.02 W	0.00	151 of 195 - Sale <sup>25</sup>
	0.005	0.25	12/23/20	0.26	0.01 W	0.00	152 of 195 - Sale <sup>25</sup>
	0.006	0.28	12/23/20	0.30	0.02 W	0.00	153 of 195 - Sale <sup>25</sup>
	0.007	0.33	12/23/20	0.36	0.03 W	0.00	154 of 195 - Sale <sup>25</sup>
	0.011	0.51	12/23/20	0.55	0.04 W	0.00	155 of 195 - Sale <sup>25</sup>
	0.012	0.55	12/23/20	0.57	0.02 W	0.00	156 of 195 - Sale <sup>25</sup>
	0.016	0.73	12/23/20	0.81	0.08 W	0.00	157 of 195 - Sale <sup>25</sup>
	0.017	0.78	12/23/20	0.84	0.06 W	0.00	158 of 195 - Sale <sup>25</sup>
	0.017	0.81	12/23/20	0.85	0.04 W	0.00	159 of 195 - Sale <sup>25</sup>
	0.013	0.62	12/24/20	0.63	0.01 W	0.00	160 of 195 - Sale <sup>25</sup>
	0.004	0.17	12/28/20	0.18	0.01 W	0.00	161 of 195 - Sale <sup>25</sup>
	0.007	0.33	12/28/20	0.34	0.01 W	0.00	162 of 195 - Sale <sup>25</sup>
	0.008	0.35	12/28/20	0.36	0.01 W	0.00	163 of 195 - Sale <sup>25</sup>
	0.010	0.47	12/28/20	0.49	0.02 W	0.00	164 of 195 - Sale <sup>25</sup>
	0.001	0.03	12/29/20	0.04	0.01 W	0.00	165 of 195 - Sale <sup>25</sup>
	0.001	0.07	12/29/20	0.08	0.01 W	0.00	166 of 195 - Sale <sup>25</sup>
	0.003	0.12	12/29/20	0.16	0.04 W	0.00	167 of 195 - Sale <sup>25</sup>
	0.003	0.12	12/29/20	0.14	0.02 W	0.00	168 of 195 - Sale <sup>25</sup>
	0.003	0.14	12/29/20	0.16	0.02 W	0.00	169 of 195 - Sale <sup>25</sup>
	0.003	0.16	12/29/20	0.19	0.03 W	0.00	170 of 195 - Sale <sup>25</sup>
	0.004	0.18	12/29/20	0.23	0.05 W	0.00	171 of 195 - Sale <sup>25</sup>
	0.004	0.18	12/29/20	0.21	0.03 W	0.00	172 of 195 - Sale <sup>25</sup>
	0.004	0.20	12/29/20	0.23	0.03 W	0.00	173 of 195 - Sale <sup>25</sup>
	0.004	0.20	12/29/20	0.24	0.04 W	0.00	174 of 195 - Sale <sup>25</sup>
	0.006	0.29	12/29/20	0.34	0.05 W	0.00	175 of 195 - Sale <sup>25</sup>
	0.007	0.32	12/29/20	0.36	0.04 W	0.00	176 of 195 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 740379466

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.007	0.33	12/29/20	0.37	0.04 W	0.00	177 of 195 - Sale <sup>25</sup>
	0.007	0.33	12/29/20	0.38	0.05 W	0.00	178 of 195 - Sale <sup>25</sup>
	0.008	0.35	12/29/20	0.41	0.06 W	0.00	179 of 195 - Sale <sup>25</sup>
	0.008	0.37	12/29/20	0.43	0.06 W	0.00	180 of 195 - Sale <sup>25</sup>
	0.010	0.46	12/29/20	0.52	0.06 W	0.00	181 of 195 - Sale <sup>25</sup>
	0.010	0.47	12/29/20	0.55	0.08 W	0.00	182 of 195 - Sale <sup>25</sup>
	0.010	0.47	12/29/20	0.57	0.10 W	0.00	183 of 195 - Sale <sup>25</sup>
	0.013	0.58	12/29/20	0.60	0.02 W	0.00	184 of 195 - Sale <sup>25</sup>
	0.013	0.59	12/29/20	0.73	0.14 W	0.00	185 of 195 - Sale <sup>25</sup>
	0.014	0.67	12/29/20	0.80	0.13 W	0.00	186 of 195 - Sale <sup>25</sup>
	0.002	0.11	12/30/20	0.13	0.02 W	0.00	187 of 195 - Sale <sup>25</sup>
	0.000	0.02	12/30/20	0.03	0.01 W	0.00	188 of 195 - Sale <sup>25</sup>
	0.001	0.04	12/30/20	0.05	0.01 W	0.00	189 of 195 - Sale <sup>25</sup>
	0.001	0.05	12/30/20	0.06	0.01 W	0.00	190 of 195 - Sale <sup>25</sup>
	0.005	0.24	12/30/20	0.27	0.03 W	0.00	191 of 195 - Sale <sup>25</sup>
	0.006	0.27	12/30/20	0.33	0.06 W	0.00	192 of 195 - Sale <sup>25</sup>
	0.006	0.28	12/30/20	0.32	0.04 W	0.00	193 of 195 - Sale <sup>25</sup>
	0.009	0.41	12/30/20	0.50	0.09 W	0.00	194 of 195 - Sale <sup>25</sup>
	0.010	0.45	12/30/20	0.53	0.08 W	0.00	195 of 195 - Sale <sup>25</sup>
01/08/21	1.651	76.94	Various	88.35	11.41 W	0.00	Total of 195 transactions
	<b>Security total:</b>	<b>244.19</b>		<b>260.78</b>	<b>20.59 W</b>	<b>4.00</b>	
<b>Totals :</b>		<b>56,742.57</b>		<b>61,290.79</b>	<b>1,746.12 W</b>	<b>-2,802.10</b>	

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Robinhood Securities LLC

Account 740379466

# **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## **LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
08/30/21	0.000	0.01	08/10/21	0.01	...	0.00	Sale <sup>25</sup>
	2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	0.006	0.23	09/21/21	0.43	0.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.01	09/23/21	0.02	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
09/27/21	0.006	0.24	Various	0.45	0.21 W	0.00	Total of 2 transactions
	4 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	09/27/21	0.02	0.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.000	0.01	09/27/21	0.02	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.006	0.22	09/27/21	0.41	0.19 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.006	0.22	09/27/21	0.41	0.19 W	0.00	4 of 4 - Sale <sup>25</sup>
10/14/21	0.011	0.46	Various	0.86	0.40 W	0.00	Total of 4 transactions
	3 transactions for 10/21/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	10/21/21	0.02	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.000	0.02	10/21/21	0.03	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.006	0.23	10/21/21	0.42	0.19 W	0.00	3 of 3 - Sale <sup>25</sup>
10/21/21	0.006	0.26	Various	0.47	0.21 W	0.00	Total of 3 transactions
	3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	0.006	0.23	11/11/21	0.40	...	-0.17	1 of 3 - Sale <sup>25</sup>
	0.000	0.01	11/11/21	0.02	...	-0.01	2 of 3 - Sale <sup>25</sup>
	0.000	0.02	11/11/21	0.03	...	-0.01	3 of 3 - Sale <sup>25</sup>
11/16/21	0.006	0.26	Various	0.45	...	-0.19	Total of 3 transactions
	<b>Security total:</b>	<b>1.23</b>		<b>2.24</b>	<b>0.82 W</b>	<b>-0.19</b>	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
04/12/21	0.000	0.00	03/10/21	0.00	...	0.00	Zero proceeds / negative sale <sup>25</sup>
	3 transactions for 04/13/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	03/19/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.001	0.04	03/19/21	0.04	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.000	0.00	03/31/21	0.00	...	0.00	3 of 3 - Zero proceeds / negative sale <sup>25</sup>
04/13/21	0.001	0.05	Various	0.05	...	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>0.05</b>		<b>0.05</b>	<b>...</b>	<b>0.00</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 740379466

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
02/23/21	0.000	0.01	10/26/20	0.01	...	0.00	Sale <sup>25</sup>
04/14/21	0.000	0.01	11/03/20	0.00	...	0.01	Sale <sup>25</sup>
<b>Security total:</b>		<b>0.02</b>		<b>0.01</b>	...	<b>0.01</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	05/14/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.000	0.05	05/14/21	0.04	...	0.01	2 of 3 - Sale <sup>25</sup>
	0.000	0.05	05/14/21	0.04	...	0.01	3 of 3 - Sale <sup>25</sup>
05/17/21	0.000	0.11	Various	0.09	...	0.02	Total of 3 transactions
2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	0.000	0.08	05/14/21	0.09	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.11	05/14/21	0.12	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
05/17/21	0.000	0.19	Various	0.21	0.02 W	0.00	Total of 2 transactions
5 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	05/25/21	0.01	...	0.00	1 of 5 - Sale <sup>25</sup>
	0.000	0.01	05/25/21	0.01	...	0.00	2 of 5 - Sale <sup>25</sup>
	0.000	0.02	05/25/21	0.02	...	0.00	3 of 5 - Sale <sup>25</sup>
	0.000	0.03	05/25/21	0.03	...	0.00	4 of 5 - Sale <sup>25</sup>
	0.000	0.01	06/02/21	0.01	...	0.00	5 of 5 - Sale <sup>25</sup>
06/07/21	0.000	0.08	Various	0.08	...	0.00	Total of 5 transactions
5 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	0.000	0.03	05/25/21	0.05	0.02 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.000	0.06	05/28/21	0.07	0.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.000	0.04	06/02/21	0.06	0.02 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.000	0.08	06/02/21	0.09	0.01 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.000	0.11	06/02/21	0.12	0.01 W	0.00	5 of 5 - Sale <sup>25</sup>
06/07/21	0.001	0.32	Various	0.39	0.07 W	0.00	Total of 5 transactions
5 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	0.000	0.03	06/07/21	0.05	...	-0.02	1 of 5 - Sale <sup>25</sup>
	0.000	0.05	06/07/21	0.06	...	-0.01	2 of 5 - Sale <sup>25</sup>
	0.000	0.06	06/07/21	0.07	...	-0.01	3 of 5 - Sale <sup>25</sup>
	0.000	0.08	06/07/21	0.09	...	-0.01	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 740379466

# Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.11	06/07/21	0.12	...	-0.01	5 of 5 - Sale <sup>25</sup>
06/08/21	0.001	0.33	Various	0.39	...	-0.06	Total of 5 transactions
	<b>Security total:</b>	<b>1.03</b>		<b>1.16</b>	<b>0.09 W</b>	<b>-0.04</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
01/05/21	0.000	0.00	09/30/20	0.00	...	0.00	Zero proceeds / negative sale <sup>25</sup>
	4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	0.000	0.00	12/28/20	0.00	...	0.00	1 of 4 - Zero proceeds / negative sale <sup>25</sup>
	0.000	0.02	12/28/20	0.01	...	0.01	2 of 4 - Sale <sup>25</sup>
	0.001	0.05	12/28/20	0.03	...	0.02	3 of 4 - Sale <sup>25</sup>
	0.001	0.05	12/28/20	0.03	...	0.02	4 of 4 - Sale <sup>25</sup>
02/12/21	0.002	0.12	Various	0.07	...	0.05	Total of 4 transactions
	<b>Security total:</b>	<b>0.12</b>		<b>0.07</b>	<b>...</b>	<b>0.05</b>	
<b>Totals :</b>		<b>2.45</b>		<b>3.53</b>	<b>0.91 W</b>	<b>-0.17</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC	Account 740379466
2021	02/11/2022
Proceeds Not Reported to the IRS	

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: 07/06/21	0.686	6.41	06/23/21	7.18	...	-0.77	Gain or loss
Totals:		6.41		7.18	...	-0.77	

Robinhood Securities LLC	Account 740379466
Detail for Dividends and Distributions	
2021	02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458		03/30/21	0.27	Nonqualified dividend	03
			03/30/21	0.19	Qualified dividend	03
				0.46	Total Dividends & distributions	
PEPSICO, INC. COMMON STOCK	713448108		01/07/21	0.18	Qualified dividend	
				0.64	Total Dividends & distributions	

Robinhood Securities LLC

Account 740379466

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
MMAT1 07/16/2021 CALL \$7.50	MMAT1 07/16/21 C 7.500	06/28/21	2.00	0.00	Merger	
TRCH 07/16/2021 CALL \$7.50	TRCH 07/16/21 C 7.500	06/23/21	-2.00	0.00	Merger	
TRCH1 07/16/2021 CALL \$7.50	TRCH1 07/16/21 C 7.500	06/23/21	2.00	0.00	Merger	
		06/28/21	-2.00	0.00	Merger	

**Robinhood Securities LLC****2021 End Notes for Account 740379466**

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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