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BL1 SENDER

TO JOHN WOOTEN  
BLOCKTRANS SYNDICATE  
99 WALL ST #4640

NEW YORK NY 10005

(241) 465-6459  
NY  
PO

REF: 80015624

DEPT: 60503364



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September 24, 2024

John Wooten  
BlockTrans Syndicate  
99 Wall St #4640  
New York, NY 10005

Dear John Wooten,

This communication is to provide your organization with a new password to participate in the Fingerprint Program for Transfer Agents and Clearing Agencies (TA/CAs) ("Program") to comply with the Exchange Rule 17f-2, as directed under 15 U.S.C. 78q(f)(2).

This password is now effective on all password-encrypted FBI results.

Your organization's new password is 

As a participant in this Program, fingerprints submitted are processed by Sterling and FINRA sends FBI results to you through secure email. FINRA created a new password that is required to access your organization's password-encrypted FBI results. In addition, in support of secure email delivery of FBI results, your organization must be able to access a zip file by using WinZip or a similar product.

FINRA will issue a new password one year from the time this password was created, or for any of the following reasons:

- Upon notification of a new Dissemination Point of Contact for your organization;
- Upon notification of a security incident;
- As required by FINRA

Do not store your password in a location that is not secure and do not share it with persons not authorized to review FBI results as part of their job responsibilities. In addition, refer to the FBI's CJIS Security Policy (<https://le.fbi.gov/cjis-division/cjis-security-policy-resource-center>) for more requirements on security, identity verification, fingerprinting, including the communications and documentation required during the fingerprint collection process, and how to properly manage criminal history record information (FBI Results).

For additional information on the Program, see <https://www.finra.org/fingerprint-program-ta-ca>.

If you have any questions regarding this communication, please email [ta\\_ca.operations@finra.org](mailto:ta_ca.operations@finra.org).

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