



TÉCNICO
LISBOA

Pulsarcast

Scalable and reliable pub-sub over P2P networks

João Gonçalo da Silva Antunes

Thesis to obtain the Master of Science Degree in

Information Systems and Computer Engineering

Supervisors: Doctor Luís Manuel Antunes Veiga
David Miguel dos Santos Dias

October 2019

Acknowledgments

The potential for this list to be a whole other dissertation is enormous, but I will do my best to keep it short.

Starting by a very special thank you to my dissertation supervisors Luís Veiga and David Dias, who set me up to this fantastic challenge and helped me out along the way. To João Tiago, a dear colleague and a friend, who was also writing his master thesis and provided me with tireless support. To Microsoft Azure and especially my great friend Jorge Palma, for supporting our test executions in their cloud infrastructure.

To all my colleagues over at YLD who gave me room and support to keep on going, especially Filipe Pinheiro (with his premium Grammarly account) and Fábio Oliveira.

To countless friends and colleagues that either through their kind words or just by asking how was my dissertation work going, gave me the strength to push it through.

To my family, my parents and specially to my two brothers, Pedro Beja, who gave me my first computer and made this whole thing possible and Fábio Antunes who, besides being the best engineer and the best colleague one could ever wish for, is the main reason I got into computer science in the first place.

Last but not least, to my girlfriend Rita Cravo. For making sure I kept being a functioning human being, for never giving up on me, for being there for me on all those all-nighters, for all the weekends that I had to spend in front of the computer and for all the plans we had to postpone. Thank you, from the bottom of my heart. This document would never exist without you.

Abstract

The publish-subscribe paradigm is a wildly popular form of communication in complex distributed systems. The properties offered by it make it an ideal solution for a multitude of applications, ranging from social media to content streaming and stock exchange platforms. Consequently, a lot of research exists around it, with solutions ranging from centralised message brokers, to fully decentralised scenarios (peer to peer).

Within the pub-sub realm not every solution is the same of course and trade-offs are commonly made between the ability to distribute content as fast as possible or having the assurance that all the members of the network will receive the content they have subscribed to. Delivery guarantees is something quite common within the area of centralised pub-sub solutions, there is, however, a clear lack of decentralised systems accounting for this. Specifically, a reliable system with the ability to provide message delivery guarantees and, more importantly, persistence guarantees. To this end, we present Pulsarcast, a decentralised, highly scalable, pub-sub, topic based system seeking to give guarantees that are traditionally associated with a centralised architecture such as persistence and eventual delivery guarantees.

The aim of Pulsarcast is to take advantage of the network infrastructure and protocols already in place. Relying on a structured overlay and a graph based data structure, we build a set of dissemination trees through which our events will be distributed. Our work also encompasses a software module that implements Pulsarcast, with our experimental results showing that is a viable and quite promising solution within the pub-sub and peer to peer ecosystem.

Keywords: Publish Subscribe, Peer to peer, Decentralised, Web, Reliability, Persistence, Eventual delivery

Resumo

O paradigma de produtor-consumidor é uma das mais populares formas de comunicação em sistemas distribuídos complexos. As propriedades que este oferece, tornam-o numa solução ideal para as mais diversas aplicações, desde redes sociais a distribuição de conteúdo em tempo real até plataformas de compra e venda de acções. Consequentemente, existe bastante investigação sobre o mesmo, com soluções desde *brokers* de mensagens centralizados, até sistemas completamente descentralizados (*peer to peer*).

Dentro da vertente de produtor-consumidor nem todas as soluções são iguais e, por consequência, compromissos têm que ser feitos entre a capacidade de distribuir conteúdo o mais rápido possível e a garantia que todos os membros da rede receberão o conteúdo a que estão subscritos. Garantias de entrega são bastante comuns para soluções centralizadas, existe contudo uma clara lacuna em sistemas descentralizados que tenham em conta confiabilidade, garantias de entrega de mensagens e, acima de tudo, persistência. É com esse mesmo fim que apresentamos o Pulsarcast, um sistema de comunicação produtor-consumidor, descentralizado, escalável, que procura dar o tipo de garantias normalmente associadas a arquitecturas centralizadas tais como persistência e garantia da eventual entrega de mensagens.

O Pulsarcast tem como objectivo tirar partido da infraestrutura e protocolos de rede já existentes. Tendo por base um *overlay* estruturado e uma estrutura de dados em formato de grafo, construímos árvores de disseminação através das quais os nossos eventos serão distribuídos. O nosso trabalho também englobou a criação de um módulo de *software* que implementa o nosso sistema, sendo que os resultados experimentais recolhidos mostram o Pulsarcast como uma solução promissora dentro do campo de sistemas pub-sub descentralizados.

Palavras-Chave: Produtor Consumidor, *Peer to peer*, Descentralizado, *Web*, Confiabilidade, Persistência, Garantia de entrega

Contents

List of Tables	ix
List of Figures	xi
List of Algorithms	xiii
Acronyms	xv
1 Introduction	1
1.1 Motivation	1
1.2 Contributions	2
1.3 Document Roadmap	2
2 Related Work	3
2.1 Distributed Publish-Subscribe Paradigm	3
2.1.1 Subscription Model	3
2.1.2 Network Architecture	5
2.1.3 Overlay structure	6
2.1.4 Subscription Management and Event Dissemination	10
2.2 Relevant Pub-Sub Systems	12
2.2.1 Gryphon	12
2.2.2 Siena	13
2.2.3 Scribe	13
2.2.4 Meghdoot	14
2.2.5 Poldercast	14
2.2.6 Systems Analysis	15
2.3 Web Technologies	17
2.4 Summary	20
3 Pulsarcast	21
3.1 Use Case	21
3.2 Data Structures	23
3.2.1 Content-Addressability	23
3.2.2 Data links (Merkle links)	26
3.2.3 Metadata	26
3.2.4 Distributed and local state	26
3.3 Subscription Management and Event Dissemination	28
3.3.1 Topic creation	28
3.3.2 Subscribing	29

3.3.3	Publishing and event dissemination	30
3.4	RPC message protocol	33
3.5	Summary	36
4	Implementation	37
4.1	Pulscarcast Javascript module	37
4.1.1	Dependencies	37
4.1.2	Code Organisation	39
4.1.3	Classes	39
4.1.3.1	Pulscarcast	39
4.1.3.2	Peer	42
4.1.3.3	TopicNode and EventNode	42
4.1.4	RPC Handlers	45
4.1.5	Usage	46
4.2	Testbed	47
4.2.1	Architecture	47
4.2.2	Usage	49
4.3	Summary	51
5	Evaluation	53
5.1	Testbed configuration	53
5.2	Dataset	54
5.2.1	Filtering and Normalisation	54
5.2.2	Data distribution	54
5.3	Metrics	56
5.4	Executions	57
5.5	Results	57
5.5.1	Pulscarcast With Order Guarantee	57
5.5.2	Pulscarcast Without Order Guarantee	59
5.5.3	Floodsub	61
5.5.4	Pulscarcast With Order Guarantee and Latency	63
5.5.5	Pulscarcast Without Order Guarantee and Latency	65
5.5.6	Floodsub With Latency	68
5.5.7	Comparison and Discussion	71
6	Conclusion	75
6.1	Concluding Remarks	75
6.2	Improvements and Future Work	76
	Bibliography	79
A	Implementation Details	83

List of Tables

2.1	Comparison table for the relevant system	16
5.1	Pulsarcast with order guarantee - Resource utilisation metrics per node	59
5.2	Pulsarcast without order guarantee - Resource utilisation metrics per node	60
5.3	Floodsub - Resource utilisation metrics per node	63
5.4	Pulsarcast with order guarantee and latency - Resource utilisation metrics per node . . .	66
5.5	Pulsarcast without order guarantee and latency - Resource utilisation metrics per node .	69
5.6	Pulsarcast without order guarantee and latency - CPU utilisation across time, using ranges	69
5.7	Floodsub with latency - Resource utilisation metrics per node	69
5.8	Comparison table between our test executions	73

List of Figures

2.1	Example of a Centralised and a Decentralised network, extracted from [17]	5
2.2	Example of a centralised message broker	6
2.3	A comparison between the physical network and a logical overlay	7
2.4	Example of a simple Chord ring and the finger table of a node	8
2.5	Example of a 2 dimensional CAN routing command	10
2.6	Extracted from [1]. Each level of the broker tree represents an attribute. When the event $e = \langle 1, 2, 3, 1, 2 \rangle$ is published, all dark circles (representing brokers) are visited.	12
2.7	JSON representation of a Merkle node with a Merkle link	18
2.8	Graph visualisation of a Merkle DAG and its respective hash function dependencies	18
2.9	An illustration on the IPFS architecture	19
2.10	An illustration on the libp2p architecture	19
3.1	Representation of the Pulsarcast overlays	22
3.2	Flow for creating a new Topic/Event descriptor	22
3.3	Flow for querying a Topic/Event descriptor	23
3.4	Representation of the Pulsarcast DAG	24
3.5	Overview of how state is kept across the network	27
3.6	Overview of the flow for creating a new subscription	30
3.7	Event dissemination mechanism for a topic with only the author allowed to publish, last seen event linking and request to publish allowed. This scenario provides order guarantee.	31
3.8	Event dissemination mechanism for a topic with custom event linking and global publishers allowed	32
4.1	Our Pulsarcast module in the libp2p ecosystem	38
4.2	UML representation of the classes in our Pulsarcast system	40
4.3	Overview of our ipfs-testbed deployment and our metrics/logs pipeline	49
4.4	Example of our system deployed in a Kubernetes cluster	50
5.1	Event distribution per topic with log scale	54
5.2	Subscription distribution per topic	56
5.3	Subscription distribution per number of nodes	56
5.4	Pulsarcast with order guarantee - Comparison of of events fulfilled by topic in a log scale	58
5.5	Pulsarcast with order guarantee - Comparison of percentage of events fulfilled by topic	58
5.6	Pulsarcast with order guarantee - Comparison of events received and RPC injected in the system	59
5.7	Pulsarcast with order guarantee - CPU usage across time	60
5.8	Pulsarcast without order guarantee - Comparison of of events fulfilled by topic in a log scale	60
5.9	Pulsarcast without order guarantee - Comparison of percentage of events fulfilled by topic	61

5.10 Pulsarcast without order guarantee - Comparison of events received and RPC injected in the system	61
5.11 Pulsarcast without order guarantee - CPU usage across time	62
5.12 Floodsub - Comparison of of events fulfilled by topic in a log scale	62
5.13 Floodsub - Comparison of percentage of events fulfilled by topic	63
5.14 Floodsub - Memory usage across time	64
5.15 Floodsub - CPU usage across time	64
5.16 Pulsarcast with order guarantee and latency - Comparison of of events fulfilled by topic in a log scale	65
5.17 Pulsarcast with order guarantee and latency - Comparison of percentage of events fulfilled by topic	65
5.18 Pulsarcast with order guarantee and latency - Comparison of events received and RPC injected in the system	66
5.19 Pulsarcast with order guarantee and latency - CPU usage across time	66
5.20 Pulsarcast without order guarantee and latency - Comparison of of events fulfilled by topic in a log scale	67
5.21 Pulsarcast without order guarantee and latency - Comparison of percentage of events fulfilled by topic	67
5.22 Pulsarcast without order guarantee and latency - Comparison of events received and RPC injected in the system	68
5.23 Floodsub with latency - Comparison of of events fulfilled by topic in a log scale	69
5.24 Floodsub with latency - Comparison of percentage of events fulfilled by topic	70
5.25 Floodsub with latency - Memory usage across time	70
5.26 Floodsub with latency - CPU usage across time	71

List of Algorithms

1	Create a new topic	28
2	Join request handler for each node	29
3	Event handler for each node	32
4	Event forwarding function	33

Acronyms

API Application Programming Interface. 2, 18, 19, 45, 46, 48, 49, 64

CI Continuous Integration. 39

CID Content Identifier. 38, 40–42, 45

CLI Command-line Interface. 49, 50, 54, 76

CPU Central Processing Unit. 56, 57, 59, 60, 62–66, 68–71, 73, 76

DAG Directed Acyclic Graph. 18, 23, 24, 26, 36, 42, 75

DHT Distributed Hash Table. 8, 9, 11, 13, 14, 16, 21, 23, 26, 28, 29, 31, 32, 36, 37, 41, 46, 57, 67, 68, 72, 75, 76

GiB Gibibyte. 53, 56, 59, 60, 66, 69, 73

HTTP Hypertext Transfer Protocol. 48, 53, 64

ID Identifier. 7–9, 13, 29, 34, 42, 46

IPFS Interplanetary File System. 2, 18–20, 24, 47–50, 53, 75

IPLD Interplanetary Linked Data. 18

MiB Mebibyte. 53, 56, 59, 60, 62, 63, 66, 69, 70, 73

NAT Network Address Translation. 18, 37

P2P Peer-to-Peer. 1, 2, 6, 7, 13, 14, 17–20, 75

QoS Quality of Service. 56, 57, 64, 71, 76

RAM Random Access Memory. 53

RPC Remote Procedure Call. 21, 33, 34, 36, 39, 41, 45, 46, 51, 56–61, 64, 66, 68, 69, 76

TCP Transmission Control Protocol. 17, 18, 48, 51, 57, 76

UML Unified Modelling Language. 2, 39, 40

vCPU Virtual Central Processing Unit. 53, 73

Chapter 1

Introduction

The publish-subscribe (pub-sub) interaction paradigm is an approach that has received an increasing amount of attention over the course of the century [1] [2]. This is mainly due to its special properties, that allow for full decoupling of all the communicating parties. Taking a closer look at this definition one can see that this comes hand in hand with the way information is consumed nowadays, with the exponential growth of social networks like Twitter and the usage of feeds such as RSS.

1.1 Motivation

First, we should define what the publish-subscribe pattern is. In this interaction paradigm, subscribers (or consumers) sign up for events, or classes of events, from publishers (or producers) that are subsequently asynchronously delivered. This decoupling can be broken into three different parts. The decoupling in time, space and synchronisation.

The time decoupling comes from the fact that publishers and subscribers do not need to be actively interacting with each other at the same time; this means that the publisher can publish some events while the subscriber is disconnected and the subscriber can be notified of an event whose publisher is disconnected. Space decoupling gives both parties the benefit of not needing to know each other in order to communicate, given that consumers and producers are focused on their specific roles (consuming/producing) and do not care for who is doing what, or how many producers are there, for example. Synchronization decoupling is a consequence of the asynchronous nature of the pub-sub pattern, as publishers do not need to be blocked while producing events and subscribers can be asynchronously notified. The decoupling that this kind of system offers makes it the ideal candidate for very large networks that need a way to communicate in an efficient way.

Due to the properties described above, a lot of applications rely on the publish-subscribe paradigm and a lot of work has been done by companies like Twitter ¹, Spotify [3] and LinkedIn into making these systems capable of scaling to a large number of participants, with the creation of tools like Kafka ², which aim at guaranteeing low latency and high event throughput. Other examples are the multiple message queue systems like Apache Active MQ ³, RabbitMQ ⁴, Redis ⁵, etc. Most of these solutions are, of course, centralised and as such suffer from all the common issues that affect centralised solutions: it is quite hard to maintain and scale these systems to a large number of clients. Peer-to-Peer (P2P) networks, on the other hand, have proven numerous times, that this is where they shine, with examples

¹<https://www.infoq.com/presentations/Twitter-Timeline-Scalability>

²<http://kafka.apache.org/documentation/#design>

³<http://activemq.apache.org>

⁴<https://www.rabbitmq.com/>

⁵<https://redis.io/topics/pubsub>

such as Gnutella, Skype and most recently IPFS ⁶. Or through hybrid solutions such as the one employed at Spotify to manage social interactions [3]. All of these systems are a living proof of the high scalability P2P can offer, with pub-sub systems over P2P networks being an active research topic with a lot of attention.

1.2 Contributions

As we are going to cover in the next sections, lots of different solutions exist. However, most of them either rely on a centralised or hierarchic network to have a reliable system, with stronger delivery and persistence guarantees, or end up sacrificing these same properties in order to have a decentralised system with the potential to scale to a much larger network. There is also, to the best of our knowledge, a lack of pub-sub systems with a strong focus on persistence.

We intend to address this in Pulsarcast by focusing in the following properties:

- Strong focus on reliability;
- Eventual delivery guarantees;
- Data persistence;
- Ability to scale to a vast number of users;
- Takes advantage of the network infrastructure and network protocols we have in place today;

Besides the specification and architectural model of our system we also provide a concrete implementation of it. So, in order to validate the solution we purpose we have created the following:

- A Javascript implementation module of Pulsarcast with a clearly defined API through which applications can integrate with;
- A distributed test runner capable of running large scale test scenarios and simulate abnormal network conditions;
- An easy to automate test-suite based on a real world application;

1.3 Document Roadmap

This document is structured as follows: Chapter 2 presents and analyses our related work. Chapter 3 introduces and describes Pulsarcast, its architecture, data structures and algorithms. Chapter 4 covers the implementation of our solution, with code snippets and UML class diagrams. Next, chapter 5 explains our evaluation methodology and presents those results. Finally, chapter 6 provides a set of closing remarks and a set of improvements and future work.

⁶<https://ipfs.io/>

Chapter 2

Related Work

In this section, we will cover the research work and industry references that can be considered relevant to our initial objective. The following Section 2.1 will lay the ground to define how pub-sub systems are structured. In Section 2.2 we will cover a set of systems defined as relevant. Finally, Section 2.3 will address some of the web technologies of interest in this area.

2.1 Distributed Publish-Subscribe Paradigm

In this section we will cover the basis of the pub-sub paradigm, defining a taxonomy we will later use to classify relevant systems. We will start by covering the *Subscription Model*, followed by the *Network Architecture* and *Overlay Structure*. Finally, we address the *Subscription Management and Event Dissemination*.

2.1.1 Subscription Model

When considering pub-sub systems, there is a set of different options that will lay ground for the behaviour of the whole system. We call these options, design dimensions. Specifically, in our case, one of the biggest decisions when designing a pub-sub system is what kind of subscription model to use. The subscription model determines how subscribers will define which events they are interested in. There are three major approaches covered by relevant literature [1] [2] and that implementations usually follow:

- Topic based subscriptions
- Content based subscriptions
- Type based subscriptions

Topic based subscription model employs, as the name states, the notion of topics or subjects to allow participants to subscribe to relevant content. These topics are identified by keywords and can be naturally viewed as a group or a channel to which participants can send messages (publish) and receive messages (subscribe). This approach was one of the earliest models in the pub-sub paradigm, with references such as TIBCO ¹, mainly due to its similarity with the group communication systems already in place at the time. Some examples of the topic based approach allow building a topic hierarchy. A specific one is using a UNIX path like approach, which allows a topic hierarchy just like paths in a file system. Consider as an example:

¹<https://www.tibco.com/>

```
/fruits
/fruits/citrus
/fruits/citrus/orange
```

The list above is an example of 3 topics, that act as 3 different tiers on a hierarchy. This allows for specialisation and the possibility to extend the subscription structure already in place. There are numerous solutions that cover the topic based subscription scenario. Specifically, in the distributed/decentralised area, we have solutions like Scribe [4], Bayeux [5], Tera [6] and Poldercast [7].

The content based subscription model brought a different approach that sought to use the content of the event message itself as a way for subscribers to specify the messages they were interested in [8]. Essentially, subscribers could define fields, or conditions on those same fields that would make an event match a subscription or not. Consider the following example of a simple message and subscription, represented using JSON ².

Message

```
{
  exchange: "Euronext Lisboa",
  company: "CTT",
  order: "buy",
  number: "100",
  price: "5.55",
}
```

Subscription

```
{
  exchange: "Euronext Lisboa",
  order: "buy",
  number: ">50",
  price: "<10",
}
```

The example above translates into a subscription to a stock exchange pub-sub system, where the client would receive all the event messages for *buy orders* of more than 50 stock actions for a maximum price of 10€. The notion of subscription is much more complex in this model but allows for a much more powerful, expressive and accurate message filtering. Usually, in order to implement this, systems rely on the definition of schemas as a way to create subscriptions. Some examples of solutions that follow a content based subscription model are Gryphon [9], Jedi [10], Siena [11], Meghdoot [12], Mercury [13] and Sub-2-sub [14].

Also worth referencing is the **type based subscription model**. [15]. The type based model seeks to use the type scheme of a programming language without introducing a topic hierarchy. Instead, it focuses on the idea that, in practice, messages part of the same topic usually are of the same type and notify the same kind of event. As such we can rely on a straightforward type-safe interpretation of messages belonging to the same topic, since most topic based systems only offer, at most, weakly typed interfaces. This, of course, comes quite handy when working with strongly typed languages such

²<https://www.json.org/>

as Java and C++. One other aspect also worth mentioning is that, similar to topic based systems, the type based system also offers a notion of hierarchy through sub-typing. In this area, Hermes [16] is a reference system implemented on top of a distributed network.

While looking back at these different models it is crucial to understand how they are tied to the expressiveness of the system as a whole. Choosing a topic based subscription model will allow for an easier implementation when it comes to message filtering at each node, but it will clearly affect the capabilities of the system. On the other end, a content based subscription model allows for a lot more expressiveness in subscription definition, but it makes it a lot harder to implement a scalable way of filtering messages. It is also important to note that these three categories are not strict distinct models, but somewhat fluid and subject to hybridisation, as is quite possible to have solutions in between, such as content based filtering through the use of special topics, or content based filtering only for pre-set fields. As such, not all approaches are easy to categorise and, for some specific scenarios and systems, the line is quite thin between the multiple subscription models.

It is also interesting to look at the application space and notice that not all applications have the same expressiveness requirements. This makes the existence of multiple subscription models not only justifiable but required. Consider the example that was given above for a stock exchange system: this kind of applications have a need for a complex set of subscription patterns, quite different from the ones you would probably have for a chat or social media application, which would rely heavily on the notion of topics and groups.

2.1.2 Network Architecture

Independently of the subscription model used, the system approach to the network architecture is crucial as it will, not only set the way clients interact with it, but will also determine a lot of the properties that the solution will benefit from (such as scalability, reliability, etc.). Networks can generally be categorised as centralised or decentralised.

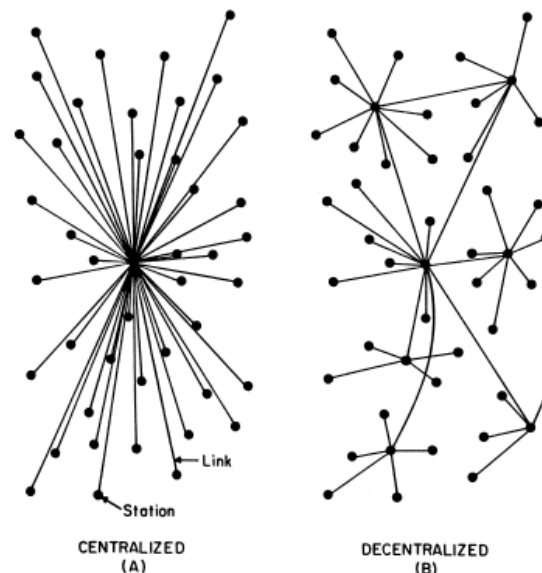


Figure 2.1: Example of a Centralised and a Decentralised network, extracted from [17]

Note that the goal of a pub-sub system is to enable the exchange of events in an asynchronous manner, with the decoupling of producers from consumers as previously discussed. This can be easily achieved using an entity which is responsible for receiving the messages from the producers, storing

them and distributing them across all the consumers. This is what we refer to as a **centralised architecture**, motivated by the need of this central entity. This is the approach adopted by many of the message queue systems like Apache Active MQ, RabbitMQ and Redis. The usual focus for applications relying on this kind of systems is on reliability and data consistency but with a low data throughput. Typically expected to operate in a more stable environment, such as datacenters. Figure 2.2 is an example to illustrate how would a centralised pub-sub system work.

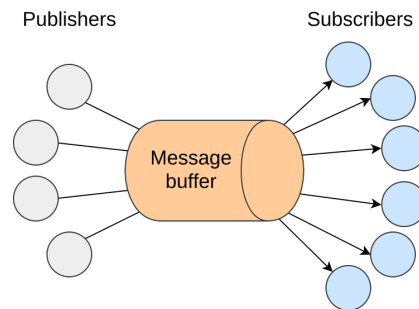


Figure 2.2: Example of a centralised message broker

Being the broad term that it is, centralised encompasses a lot of different solutions. One can have centralised solutions that employ a distribution of load through different nodes in order to improve the overall scalability of the system. In the pub-sub field, these networks of servers are commonly referred to as brokers. There are multiple pub-sub systems that follow this approach. More precisely Gryphon [9], Siena [11] and Jedi [10]. But, even between them, there are some clear differences on how these broker networks organise. In both Gryphon and Jedi, these nodes organise in a hierarchical fashion, or define what we call a **broker hierarchy**. As for Siena, the nodes resort to not following a specific structure, making it effectively a **broker mesh**. Another solution worth mentioning is HyperPubSub [18] which while still relying on a broker based network architecture, brings verifiability and other forms of decentralised validation to pub-sub operations.

The asynchronous nature of the pub-sub paradigm also allows for a different approach to message forwarding, with both producers and consumers being responsible for storing and forwarding messages, without the need of an intermediary entity. This approach is referred to as a **decentralised architecture** as there is no central entity that could easily become a bottleneck for the whole system. Additionally, when the network is **fully decentralised** it is commonly referred to as Peer-to-Peer (P2P) architecture, for it relies solely on the communication between peers in the same network. An example of a pub-sub system following this approach is Scribe [4]. This kind of systems have a great focus on scalability and, consequently, on efficient message delivery.

2.1.3 Overlay structure

Working with a P2P architecture has its own set of challenges. When we rely on the communication between peers we need a way to create and maintain links between multiple nodes in a network. Hence the overlay networks. The idea is to have a structure of logical links and nodes, independent of the physical network beneath them that actually powers the communications through. Figure 2.3 provides a practical illustration of this scenario. Unlike traditional layer-3 networks, the structure of these overlays is not dictated by the fairly static physical topology (presence and connectivity of hosts), but by logical relationships between peers. This way we have the potential to manipulate the logical network at the application level, without needing to change the network backbone that connects the nodes. This ap-

proach was key to deploy P2P applications such as Gnutella ³, Kazaa ⁴ or Skype ⁵ on top of the existing Internet infrastructure.

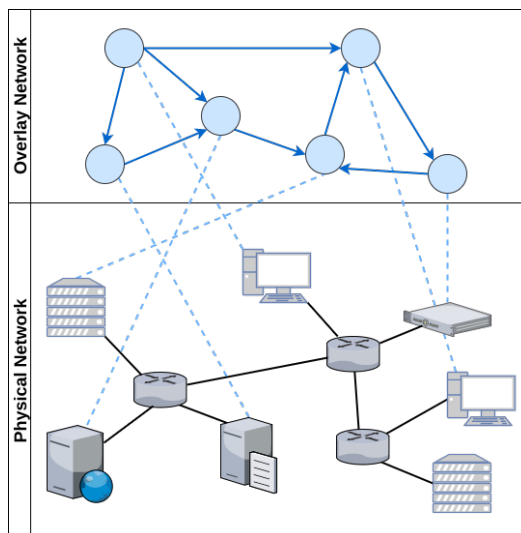


Figure 2.3: A comparison between the physical network and a logical overlay

In practical terms, each node maintains a view of its neighbours in the overlay network, which translates into the communication links between them. There are different approaches to the way this state is stored and maintained, with two main categories dominating the P2P ecosystem. At one end of the spectrum we have the **unstructured overlay** networks, where peers form a network with no clear structure or hierarchy (commonly referred to as a network mesh) with each peer connected to a subset of other nodes independent of their Identifier (ID), localisation, network IP address, etc.

Unstructured overlays: These rely on membership protocols that try to preserve a couple of key properties, such as the network diameter and its average degree. A great amount of these membership protocols use gossip based (also referred to as epidemic) approaches in order to do this. These approaches exploit properties that arise when information is disseminated in a random, or close to random, way. These probabilistic approaches help to keep the overlay connected in the event of network failures.

One relevant example is Cyclon [19], a membership protocol that uses a gossip based approach to help maintain a network which resembles a random graph in terms of degree distribution, clustering coefficient and path length. In order to do this, the approach followed by Cyclon is, at each node, besides keeping a fixed size of neighbours (other nodes in the network), to also keep information on when for the last time that node was contacted. Periodically, each node contacts the oldest node of its neighbours (i.e. the node which has been the longest time without being contacted) and shares with it a fixed size partial list of its neighbours, to which the contacted peer replies back with its own partial view of its neighbours. Each node updates its neighbours list with the new info (either by filling empty cache slots or by replacing entries that were sent in the previous contact). It is also worth noting that during this exchange, the node that initiated the contact will drop the contacted node of its neighbour list, as the contacted node will inversely add the node that established contact to his. This way we end up with a uniform and organic way to disseminate node information across the network. This approach is based on a technique named shuffling [20].

³<https://web.archive.org/web/20000620113133/http://gnutella.wego.com>

⁴<https://web.archive.org/web/20040701062605/http://www.kazaa.com:80/us/index.htm>

⁵<https://arxiv.org/abs/cs/0412017v1>

Huanyang Zheng and Jie Wu [21] work covers another approach. They detail the usage of an unstructured overlay to build a scalable pub-sub solution for *Nested Scale-Free Architecture*, a subset of *Scale-Free Architecture* (the degree distribution follows a power law). Nested indicates that the scale-free architecture is preserved, when low-degree peers and their associated connections are removed. The process of iteratively calculating and removing the low-degree peers allows the system to build an hierarchy, which is then used for dissemination purposes. By leveraging this hierarchy, the system achieves a competitive tradeoff between the event routing efficiency, system robustness and overhead.

The unstructured overlay has an interesting set of properties, such as its ability to accommodate a highly dynamic network with a high resilience to network failures and churn (i.e. high volumes of changes in network participants). However, the lack of structure in the network usually limits the kind of queries for content one can run through. The delivery of messages in the network will always follow a probabilistic best effort approach. Finally, unstructured gossip based approaches rely on a pre-set of conditions that, if not met accordingly, may affect the whole behaviour of the system [22]. For example, the selection of neighbours is a key aspect and should assume a random or pseudo-random fashion. If disturbed by a small set of nodes that could either be malfunctioning or behaving selfishly, the basic properties of the network like its resilience could be severely affected.

Structured overlays: On the other end of the spectrum of overlay networks we have the **structured overlay**, where peers are organised according to a specific structure, like a ring, a tree or a multi-dimensional space. This is usually achieved by imposing constraints on how the nodes should be organised based on their identifiers. In order to do this, a common approach is to think of the ID space as a hash table to where the content should then be distributed. The distribution of content is then done based the value of the keys generated for each piece of information, keys with values close to a node ID will be stored in that node. This is commonly referred to as a Distributed Hash Table (DHT) since the key space is distributed across multiple nodes. For example, **Chord** [23], one of the first examples of a DHT, organises the nodes in a ring like structure based on their ID (which results from the SHA-1 hash⁶ of its IP address). The content is then distributed in this key space, using the same hashing function to produce the content key that was used to produce the node ID.

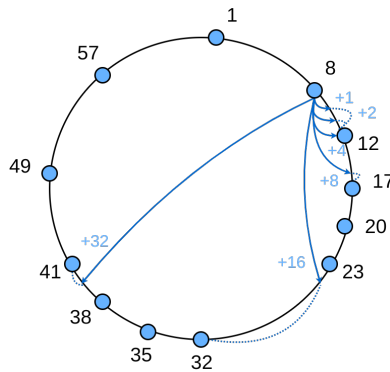


Figure 2.4: Example of a simple Chord ring and the finger table of a node

It is common for Distributed Hash Tables to have a cost of $O(\log N)$ in terms of the number of nodes contacted, on average, to search for a given key (where N is the number of nodes in the network). Chord base structure per se only gives us $O(N)$, as such, Chord uses a mechanism to allow for a speedier search. At each node, an additional routing table is kept with m entries, where m is the number of bits in the key space. Each i th entry in this table will be this node's successor (next node in the ring in a

⁶<https://tools.ietf.org/html/rfc3174>

clockwise direction) with an ID, at least, larger or equal than 2^{i-1} (modulo 2^m) in the key space. For example, for a node with ID 8, the 4th entry will be the first node in the ring with an ID larger than 16. This table, also referred to as finger table, will allow for a logarithmic search as demonstrated in Chord's specification.

Another approach is followed by **Kademlia DHT** [24]. Just as in Chord, nodes have 160 bit identifiers and content is stored in the nodes whose IDs are close to the content key (160 bit identifiers too), but the way the routing tables are structured and maintained is quite different. For starters, Kademlia relies on a XOR based distance metric between 2 keys, where the distance between 2 keys is the resulting bitwise XOR operation interpreted as an integer. The XOR metric gives us an interesting set of properties. It is unidirectional (just like Chord clockwise direction) ensuring that lookups for the same key converge along the same path but, unlike Chord, it is symmetric, as such, the distance between x and y is the same as the distance between y and x . This symmetry allows Kademlia queries to give valuable insights along every node they go through, helping out in populating each node's routing table.

Kademlia nodes keep contact information about each other in a list, size m where m is the number of bits used for the keys in the system, and where each entry is a list itself of maximum size k (a system wide parameter) containing all the known nodes of distance between 2^i and 2^{i+1} of itself. These lists are appropriately called k -buckets and are kept sorted by time last seen (least recently seen node at the head). Whenever a node receives a message, it updates the appropriate k -bucket for the sender's node ID, inserting it in the respective k -bucket or moving it to the tail of the list if it is already there. K -buckets aim at implementing a least-recently seen eviction policy, where live nodes are never removed. This stems from a careful analysis of Gnutella trace data [25] where the longer a node has been up, the more likely it is to remain up for another hour. Whenever a node wants to retrieve or store content it uses a recursive node lookup procedure in order to find the k closest nodes to a given key. This lookup can be run with multiple queries in parallel, because nodes have the flexibility to forward messages to any of the k nodes in a bucket, aiming for lower latency.

A completely different method is used in the **Content Addressable Network DHT**[26]. In CAN, the key space used to address the content stored in the DHT is a virtual d -dimensional Cartesian coordinate space. In order to store and retrieve content, the generated keys use a uniform hashing function that maps the key into the d -dimensional space, resulting in a point. The overall space is split into different areas referred to as zones. Each node is responsible for a zone and, consequently, for all the keys stored in that zone. Retrieving a key can be done by calculating its corresponding point in the d -dimensional space and, if the point does not belong to this node space or any of its neighbours (nodes responsible for adjacent zones) it can be routed through CAN infrastructure in order to find the node responsible for storing the key. Intuitively, routing in CAN works by following the straight line from the source to the destination coordinate in the Cartesian space. In practice, this is done by forwarding the message to the neighbour closest to the destination coordinate. Interestingly enough, the usage of a multidimensional space as the key space for the DHT, makes the distance metric in the CAN DHT as a simple Cartesian distance between two points.

Other popular examples in the DHT field are **Pastry** [27] and **Tapestry** [28] (that we kept out for the sake of simplicity, although a lot of the mechanisms described above apply to these). DHTs present a set of interesting benefits, such as good routing performance (usually logarithmic in the number of nodes), the limited size of state kept at each node (usually logarithmic routing tables), a better support for exact match and other complex queries and also present stronger guarantees on message delivery. If the hashing function is properly selected it can also be ensured that the load is balanced properly across the network. However, these networks lack the tolerance for heavy network partitions and network churn that the usual unstructured network can bare with.

Hybrid overlays: As with everything discussed so far, not every solution lies at each end of the spectrum, and overlay structure is no different. Recent research has been pushing more and more towards hybrid solutions that take advantages of both sides. Such example is **Vicinity** [29] which employs Cyclon (discussed above) as a peer sampling service to help out in building an ideal structure that links nodes based on their proximity (for some notion of proximity, e.g. latency, localisation, etc.). In the end, we get a structured overlay, generated from an unstructured, gossip based, overlay (hence the hybrid solution). More importantly, this overlay will have properties that guarantee that it is an almost ideal structure for a given proximity metric. The Vicinity system discusses that the usage of probabilistic mechanisms helps out in keeping a healthy and reliable structure.

2.1.4 Subscription Management and Event Dissemination

Now that we have set the underlying structures that power up the network, it is time to cover the specific requirements of a pub-sub system. We have two different aspects to cover: subscription management and event dissemination. By subscription management we refer to a set of key factors that will determine the overall performance of the pub-sub system, specifically in terms of matching events with subscribers, the selected representation for subscriptions, registering new subscriptions and deleting subscriptions. Event dissemination dictates how will the events be propagated through the system, in a way that avoids burdening specific nodes, but assures that all the subscription requirements are met. It is natural that in some ways these two aspects are connected (e.g. the way we store our subscriptions will probably impose a set of restrictions on how our events will be propagated) but it is still possible to make a clear distinction between how they work and their role in the overall system.

As discussed before, in order to match subscribers with publishers, some kind of state must be kept (what we refer to as subscriptions). There are plenty of ways of doing this and factors like network architecture and subscription model come into play here. For a system with a centralised architecture, this is not such a big challenge, since the central nodes will be responsible for keeping and managing the state, matching events with the correct subscribers and making sure the event propagation works accordingly. However, in a distributed or a decentralised scenario, this is not such a trivial problem to solve.

One interesting property of topic based systems in a decentralised and distributed scenario is that their subscription management and event dissemination can be easily implemented with an application level multicast system if we cluster subscribers of some topic/group in a single structure (e.g. a multicast tree). For example, consider the topic */foobar* issued by a particular node in a pub-sub system. If, when new subscriptions are issued to this node, a tree like structure is built that allows events related

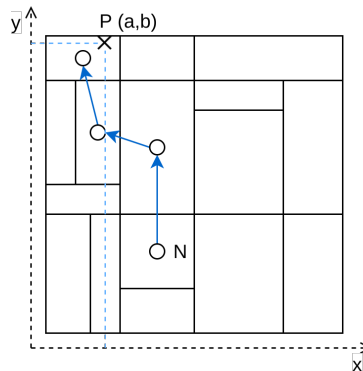


Figure 2.5: Example of a 2 dimensional CAN routing command

to this topic to flow accordingly, disseminating a new event in */foobar* is just a matter of sending the event to the root of the tree. From there, dissemination can flow blindly through the multiple links. Subscriptions are then represented as simple dissemination trees for each topic, which, interestingly enough, ends up also representing how the actual events will be propagated in each topic. The root node (or nodes) acts as a *rendezvous* which, as the name suggests, it is where events are targeted at and new subscriptions issued to. The core idea is that, by relying on such nodes, eventually, all the system state will be synchronised (all the events will be propagated to the expected nodes and no subscription is left unattended). This does not mean that other nodes cannot cache state though, the idea of the *rendezvous* is to have a basic reassurance in subscription management and event dissemination. Ideally this would be implemented in a distributed fashion, keeping as much pressure out of the *rendezvous* node as possible. This is the approach followed by Scribe and Bayeux.

The usage of *rendezvous* nodes and tree like structures to represent subscriptions is not something particular to topic based systems. There are examples of these techniques in content based systems also, specifically Gryphon and Jedi. Hermes, on the other hand, is an example of the same mechanisms with a type based subscription model. A more detailed description of how this is done in Gryphon and Scribe will be made further along since they have different approaches motivated by their different options in network architecture and subscription model.

An alternative to *rendezvous* nodes for multicast trees is presented in VCube-PS [30]. The clustering of subscribers still takes place, however, dissemination trees rooted at each producer are dynamically built on a per message basis.

For content based systems though, a common approach is to use multidimensional spaces as a way to represent subscriptions. The idea is to have each dimension refer to a specific attribute of the pub-sub schema.

```
{
  exchange: String,
  company: String,
  order: String,
  number: Integer,
  price: Float,
}
```

Considering the example above, we could map each of the given attributes to a given dimension and end up with a 5 dimensional space that we could use to route events accordingly. Meghdoot is an example of a content based pub-sub system that follows an approach close to this one, using a CAN DHT with $2n$ dimensions, where n is the number of attributes in the schema. We will cover Meghdoot further down, but it is worth mentioning that there are other alternatives to using a multidimensional space DHT to replicate this behaviour. Mercury for example relies on the usage of several ring-based DHTs to recreate this multidimensional space and support range queries, using one DHT per attribute.

A different approach to managing subscriptions and disseminating events in topic based systems is by having an overlay for each different topic. The idea is that by clustering nodes one can afford an easier event dissemination as well as an easy way of matching events with subscribers, since it is just a matter of propagating a given event inside its overlay. In order to keep everything connected, a general overlay can be used, that will allow all the nodes to have visibility on the whole set of topics. In this scenario, subscriptions are simply represented as being part of a specific network of peers, that could take any form or shape, or even be unstructured. For an unstructured network, the propagation of events could be a simple flooding algorithm, as it happens in Tera [6]. Tera, a topic based pub-sub system, follows an approach close to this one. It keeps two distinct gossip based overlays, one

responsible for keeping state on entrypoints for each topic (peers which are subscribed to a given topic and that can act as dissemination points for it) and another used to keep the subscribers of each topic. This clustering approach, where subscribers of a given topic are kept in a topic specific overlay, helps out in the dissemination step after an event has been published and reached the cluster. Another example following this approach is Poldercast, which uses a set of three different overlays to keep the pub-sub network running. We will cover Poldercast more thoroughly later on.

2.2 Relevant Pub-Sub Systems

We now describe in further detail the systems which most resemble the work we are going to do.

2.2.1 Gryphon

Gryphon [9] is a content based pub-sub system built on top of a centralised broker hierarchy topology. Developed at IBM, Gryphon uses an interesting approach to match events with subscriptions [31]. Gryphon relied on a distributed broker based network to build a tree structure representing the subscription schema. Considering a schema with multiple attributes - A_1, \dots, A_n - each level on the subscription tree would represent a specific attribute. So, for example, if we were to have an event with a value V_1 for the attribute A_1 , at the root node (which represents the attribute A_1) the link followed by the event would be the one that would represent the value V_1 . The event would then be propagated through the multiple branches of the tree until it arrives at the broker node that represented all the specific values for that event. From there it would then be propagated to all the subscribers registered with that broker node. Figure 2.6 illustrates this approach.

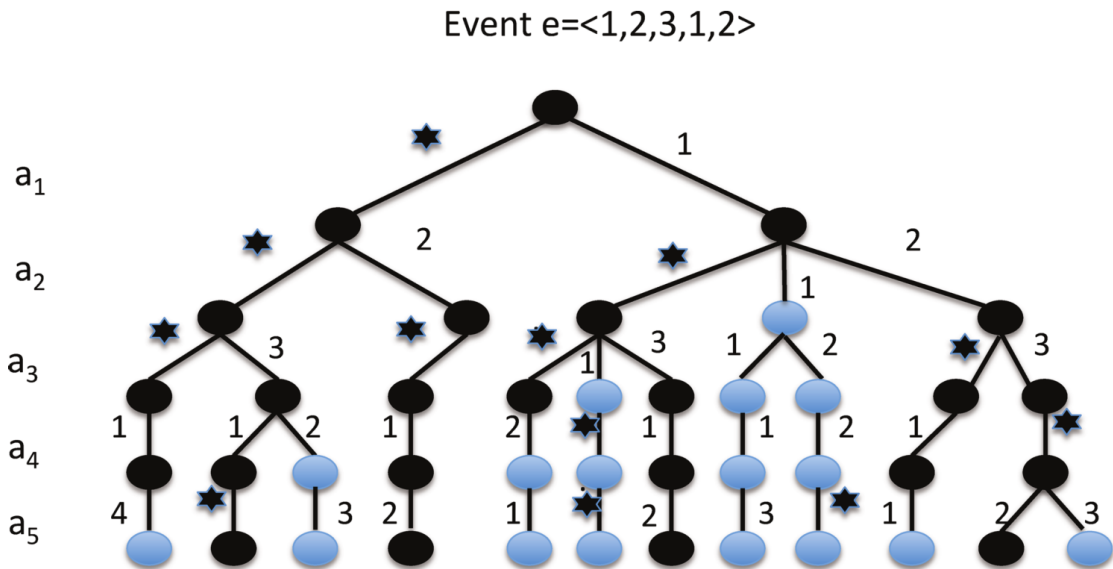


Figure 2.6: Extracted from [1]. Each level of the broker tree represents an attribute. When the event $e = \langle 1, 2, 3, 1, 2 \rangle$ is published, all dark circles (representing brokers) are visited.

When a client issues a new subscription, the same approach will be followed until the subscription arrives at the broker node that represents it. If for some reason, the tree does not have an edge for a specific value of an attribute, a new edge will be created. During both of these approaches (subscription

and event propagation), a subscription or event that does not name an attribute at a given level will follow the edge with label * (do not care).

Gryphon has been successfully deployed over the Internet for real-time sports score distribution at the Grand Slam Tennis events, Ryder Cup, and for monitoring and statistics reporting at the Sydney Olympics ⁷.

2.2.2 Siena

Siena [11] is a content based pub-sub system built on top of a centralised broker mesh topology. Siena does not make any assumptions on how the communication between servers and client-server works, as this is not vital for the system to work. Instead, for server to server communication, it provides a set of options ranging from P2P communication to a more hierarchical structure, each with its respective advantages and shortcomings.

Events in Siena are treated as a set of typed attributes with values. Consequently, subscriptions (or *event filters* as they are referred to in Siena) select events by specifying a set of attributes and constraints on its values. When issuing a new subscription, a client sends its subscription to its broker node, which then forwards it throughout the network. At each node, the subscription leaves some state behind, identifying it and the neighbour which previously forwarded the message. This is crucial, for these will be the dissemination paths that events will follow when travelling through the network. Siena also defines an interesting concept of *subscription coverage*. A subscription *S* is covered by a subscription *M* if, whenever *S* is matched by an event *e*, then *M* is matched by *e* as well. Although a simple concept, it saves a considerable overhead during subscription dissemination and processing. A broker that detects a link with a more general subscription will not need to forward subscriptions that are covered by it.

Event dissemination will work based on the previously set state at each broker node. In the end, events will technically follow the reverse paths of the subscriptions. A detail worth noting is that Siena optimises for *downstream replication*, that is, events should be routed as one copy as far as possible and should replicate only downstream.

Interestingly enough Siena also proposed another influential idea, which is the idea of *advertisements*. This concept could be viewed as a reverse subscription. The concept is simple, a node advertises to the network the type of content it is producing. In this paradigm, advertisements are propagated throughout the network and when a subscription is issued, it follows the paths previously set by the advertisements, effectively *activating* the path. Events are then forwarded through these activated paths.

2.2.3 Scribe

Scribe [4] is a topic based pub-sub system built on top of a fully decentralised network (P2P). In order to do this, it relies on Pastry DHT as its overlay structure. This allows it to leverage the robustness, self-organisation, locality and reliability properties of Pastry. Pastry DHT is at all similar to the DHTs described in the previous section (Chord and Kademlia), with a specific effort on achieving good network locality and a routing mechanism close to that of Kademlia.

Scribe subscriptions are represented by a multicast tree, with each different tree representing a specific topic (or *group* as it is referred in Scribe). The root of this tree acts as the *rendezvous* node for the group. Each group has a *groupid* assigned to it, as such, the *rendezvous* node will be the one with the closest ID in the network. This multicast tree is built by joining the multiple Pastry routes from each group member to the *rendezvous*. This dynamic process happens whenever a new node decides to join a group. In order to do that, it asks Pastry to route a *JOIN* message with the *groupid* as the key. At each

⁷<https://www.research.ibm.com/distributedmessaging/gryphon.html>

node along the path, the Scribe forward method is invoked to check whether this node is already part of this group (also called a *forwarder*). If it is, it accepts the *JOIN* request and sets the node as its child, else this node will become a *forwarder* for the group, sets the requesting node as its child and it sends a *JOIN* request to this group. Note that any node can be a *forwarder* for any group, it does not need to be an active part of it (i.e. subscriber or publisher).

Disseminating an event in a group is a matter of disseminating it through its respective multicast tree. Fault tolerance mechanisms can be implemented on top of this system but, out of the box, Scribe provides only best effort delivery. As for the *rendezvous* nodes, their state can be replicated across the k closest nodes in the leaf set of the root node. Whenever a failure is detected by one of the children, it will issue a *JOIN* message which, thanks to Pastry's properties will be routed to a new root node which has access to the previous state of the *rendezvous*.

2.2.4 Meghdoot

Meghdoot [12] is a content based pub-sub system. It is built on top of a P2P network, specifically CAN DHT (already covered in the previous section). Meghdoot leverages the multidimensional space provided by the CAN DHT in order to create an expressive content based system.

In Meghdoot, subscriptions are defined over a schema of n attributes. Each attribute has a *name*, *type*, and *domain*, and can be described by a tuple $\{Name: Type, Min, Max\}$. *Min* and *Max* describe the range of domain values taken by the given attribute. All the peers in the system will use this same model. A subscription will then be a set of predicates over the previously defined attributes. In order to map this to the CAN DHT, Meghdoot defines the n attributes schema as a $2n$ dimensional space in the DHT. Subscriptions will be a point in this multidimensional space, where the range query it defines will be represented as two separate dimensions per attribute in the DHT (hence the $2n$ space). Each subscription is routed through the CAN DHT until it reaches the peer responsible for managing the zone it is part of.

Event dissemination in Meghdoot will be a matter of routing each event through the CAN DHT. The events too will be defined by points in the multidimensional space. The point will be represented by the value of that same attribute in each of dimensions used to map it. For example, for a 2 dimensional space (x, y) (only one attribute), an event with a value z would be mapped to a point $x = y = z$. Once the event arrives at the node responsible for managing that specific zone in the DHT, it will be up to it to route the event to all of its neighbours that are part of the region affected by it. An interesting property of the $2n$ dimensional space is that half of it is left unexplored by the default subscription algorithm. This allows that space to be used to persist replicas of the subscriptions on the other half, making Meghdoot a system with fault tolerance by default.

2.2.5 Poldercast

Poldercast [7] is a recent pub-sub system with a strong focus on scalability, robustness, efficiency and fault tolerance. It follows a topic based model and follows a fully decentralised architecture. The key detail about this system is that it tries to blend deterministic propagation over a structured overlay, with probabilistic dissemination through gossip based unstructured overlays. In order to do this, Poldercast uses 3 different overlays. Two of them, Cyclon and Vicinity, we covered in the previous section and the third one closely resembles Chord in many ways.

Poldercast subscriptions are represented as a structured ring overlay. Each topic has its own overlay in fact, with all subscribers (and only them) of the corresponding topic connected to it. This overlay is maintained by a module referred to as the *Rings Module* and its overall mechanisms closely resemble

Chord's ones. In order for each node to have visibility across the whole pub-sub network, Vicinity, with the help of Cyclon, will be responsible for keeping an updated set of peers participating in each of the available topics in the network. Subscribing to a topic will then be a matter of consulting this set of peers and joining the specific overlay for the topic.

Propagating events will be a matter of forwarding the event through the specific topic overlay. It is important to notice that Poldercast assumes only peers subscribed to a topic can publish to that same topic. The way this propagation works is through the ring overlay that, despite being similar to Chord, it has some important differences. It does not use a finger table at each node to speed up propagation. Instead, with the help of Vicinity, each node keeps a random set of peers for the topics it is part of. With them, whenever a node receives a message from a specific topic, it will propagate the event through a set of these peers. This propagation will be based on a system wide fanout parameter. It will also forward the event to its successor or predecessor (depending on where the event originated from), or will simply ignore it if it is not the first time it has received it. These mechanisms, depending on the fanout parameter, guarantee average dissemination paths for each topic to be asymptotically logarithmic.

Through the multiple mechanisms described above, Poldercast attempts to provide a set of guarantees. Similar to systems like VCube-PS [30], only nodes subscribed to a topic will receive events published to that topic. In other words, no relay nodes are used. It also focuses on handling churn through the use of a mixture of gossip mechanisms, ensuring a highly resilient network. Finally, it seeks to reduce message duplication factor (i.e. nodes receiving the same message more than once).

2.2.6 Systems Analysis

Let us now analyse all the relevant pub-sub systems we used as a basis for our work. Table 2.1 will serve as a useful comparison mechanism for this. A couple of notes on the terminology used. We refer to *delivery guarantees* as the ability to deliver a message under normal working conditions and *fault tolerance* as the ability to keep such guarantees under churn. This, of course, depends on the persistence of subscriptions and mechanisms to replicate these. The rest of the criteria were addressed in the previous sections.

Systems / Properties	Subscription Model	Architecture	Overlay Structure	Subscription Management	Event dissemination	Relay Free Routing	Delivery Guarantees	Fault Tolerance
Gryphon [9]	Content based	Centralised broker hierarchy	N/A	Each broker responsible for a subscription scheme	Tree hierarchy	N/A	Yes	Best effort
Siena [11]	Content based	Centralised broker mesh	N/A	Keep state at each node	Flood with cached state	N/A	Yes	Best effort
Jedi [10]	Content based	Centralised broker hierarchy	N/A	Keep state at each node	Tree hierarchy	N/A	Yes	Best effort
Bayeux [5]	Topic based	Decentralised	Tapestry DHT	Rendezvous node	Multicast tree	No	Yes	Best effort, no subscription persistence
Scribe [4]	Topic based	Decentralised	Pastry DHT	Rendezvous node	Multicast tree	No	Yes	Best effort, no subscription persistence
Meghdoot [12]	Content based	Decentralised	CAN DHT	Points in CAN DHT	CAN routing	No	Yes	replicated subscriptions
Hermes [16]	Type based	Decentralised	Pastry DHT	Rendezvous node	Multicast tree	No	Yes	Best effort
Tera [6]	Topic based	Decentralised	Gossip based overlay	Unstructured overlay per topic	Random walks and flooding	No	no	Best effort
Mercury [13]	Content based	Decentralised	Ring based DHTs	Overlay per attribute in schema	Route through ring overlays	No	Yes	Best effort
Sub-2-Sub [14]	Content based	Decentralised	Ring based DHT and gossip based overlay	Clustering of similar subscriptions	Gossip and ring overlay routing	No	No	Best effort
Poldercast [7]	Topic based	Decentralised	Ring based DHT/ Vicinity / Cyclon	Ring overlay per topic	Ring overlay routing	Yes	Yes (every publisher is a subscriber)	High resilience to churn, no subscription persistence

Table 2.1: Comparison table for the relevant system

2.3 Web Technologies

When building any kind of network focused system nowadays, there is no question that one should take advantage of the full potential that the web has to offer. Browsers evolved a lot over the past years and allow for a vast world of possibilities in terms of applications that can be built on top of it. P2P applications are no exception here. In the next sections, we will cover a set of technologies that allow for a modern distributed application to run, not only on the desktops and servers we are used, but also in browsers running in multiple platforms.

It is indisputable that one cannot think of modern web development without speaking of **Javascript**⁸. Javascript is a lightweight, interpreted, programming language, known as the scripting language for the web. Initially created with the purpose of allowing the creation of simple interactions and animations in web pages it is now one of the main programming languages for the web⁹. It is used, not only for client side programming but also to power server side applications. Since Javascript has different runtimes, it became necessary to create a standardised base from which the multiple browser vendors and runtime maintainers could work from. Hence ECMAScript, the standard for the Javascript implementation.

As it was previously said, nowadays, Javascript is not restricted to browsers only. **NodeJS**¹⁰ was the first successful implementation of a Javascript runtime for the server, built on top of Chrome's V8 JavaScript engine¹¹. This allowed developers to write and run Javascript programs in multiple architectures and operating systems, with access to a set of common native libraries that allow to interact with relevant parts of the system¹² such as network, filesystem and others. A key aspect in NodeJS was the way it chose to deal with the lack of support from Javascript for multithreading: the use of an event loop that powers an event-driven architecture capable of asynchronous I/O.

Yet another key element in the NodeJS and Javascript ecosystem is **NPM**¹³, its package manager. NPM was one of the main drivers of a philosophy that is deeply ingrained in the JS ecosystem which focuses on building small reusable packages that everyone can use and build on top of. This is heavily inspired by the UNIX philosophy summarised by Doug McIlroy¹⁴ - "Write programs that do one thing and do it well. Write programs that work together". This approach ended up being a major differentiator on how modern web applications are developed. Currently, NPM is one of the largest package registries in the world¹⁵. This mindset though is really important, for it allows applications to be built on top of previously published packages, making modularity and code reusability core values of the ecosystem. Even more interesting is the sudden possibility offered by having the same programming language supporting different environments (browsers, servers, desktops, etc.), all of this powered by a common way of publishing and sharing code.

When focusing specifically on P2P apps, the past years have brought together a set of new network protocols that empower communication between browsers in a real-time fashion and also provide alternatives to TCP¹⁶. **WebSockets**¹⁷ aimed at providing a real-time, full-duplex communication between clients and servers over TCP, but it was **WebRTC**¹⁸ that paved the way for new P2P applications that could run in the browser. WebRTC focuses on powering real-time communications, like audio/video stream or just arbitrary data, between browsers, without the need of an intermediary. This, of course,

⁸<https://www.ecma-international.org/publications/standards/Ecma-262.htm>

⁹<https://insights.stackoverflow.com/survey/2017>

¹⁰<https://nodejs.org>

¹¹<https://developers.google.com/v8/>

¹²<https://nodejs.org/api/>

¹³<https://www.npmjs.com/>

¹⁴<https://archive.org/details/bstj57-6-1899>

¹⁵<http://blog.npmjs.org/post/143451680695/how-many-npm-users-are-there>

¹⁶<https://tools.ietf.org/html/rfc793>

¹⁷<https://tools.ietf.org/html/rfc6455>

¹⁸<https://www.w3.org/TR/webrtc/>

is a real breakthrough in the P2P field as it allows browsers to receive incoming connections. On other hand, alternatives to the TCP transport, such as **uTP** ¹⁹ and **QUIC** ²⁰, came through, seeking to bring reliability and order delivery without the poor latency and congestion control issues of TCP. This provided new suitable alternatives to communication between peers on top of UDP, a transport that has been vital in P2P applications that need an affordable way to perform NAT ²¹ traversal.

In the application realm, there have been quite a few in the past years that seek to leverage all these new technologies and breakthroughs. An example of such is **browserCloud.js**[32], a solution seeking to bring cloud computing to the Web platform, taking advantage of technologies such as WebRTC and Javascript. Another example worth mentioning is the **Interplanetary File System (IPFS)** ²², a P2P hypermedia protocol designed to create a persistent, content-addressable network on top of the distributed web.

At the core of IPFS is what they refer to as the **Merkle DAG** ²³. The Merkle DAG is a graph structure used to store and represent data, where each node can be linked to based on the hash of its content. Figure 2.7 provides an example of this.

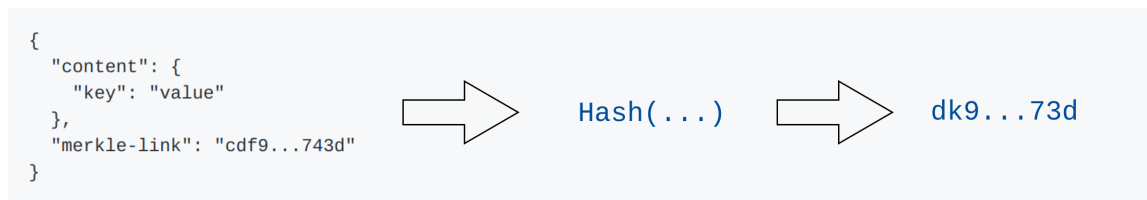


Figure 2.7: JSON representation of a Merkle node with a Merkle link

Each node can have links (Merkle links) to other nodes, creating a persistent, chain like, structure that is immutable as documented in figure 2.8

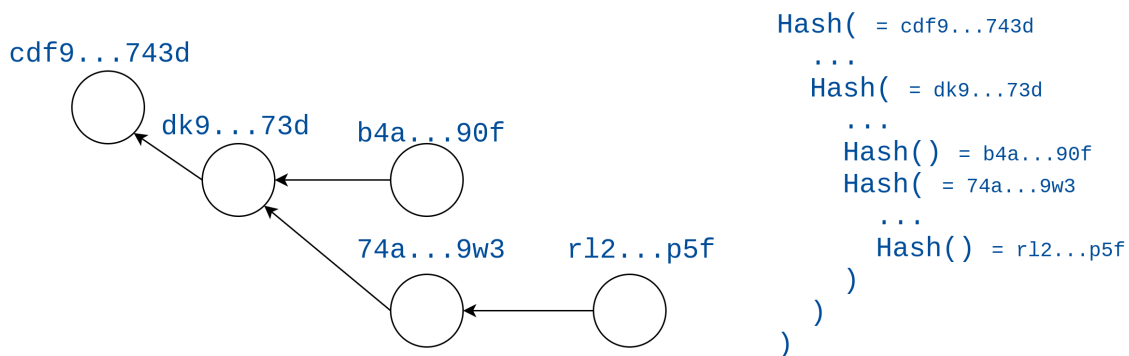


Figure 2.8: Graph visualisation of a Merkle DAG and its respective hash function dependencies

IPFS has an interface around this structure referred to as **Interplanetary Linked Data (IPLD)** which focuses on bringing together all the hash-linked data structures (e.g. git) under a unified JSON-based model. In order to interact with IPLD, IPFS exposes an API that allows us to insert and request random blobs of data, files, JSON objects and other complex structures. Having implementations in both Go and Javascript, IPFS leverages the modularity mantra in a fascinating way, focusing on creating common interfaces that allow for different pieces of the architecture to be changed and selected according to

¹⁹http://www.bittorrent.org/beps/bep_0029.html

²⁰<https://datatracker.ietf.org/wg/quic/about/>

²¹<https://tools.ietf.org/html/rfc2663>

²²<https://ipfs.io>

²³<https://github.com/ipld/specs/blob/95df205ca5fdb961ec2c2265a169989fef595db1/FOUNDATIONS.md>

one's needs. All of this without impacting the overall application and its top level API. These came from the observation that the web we have today is a set of different heterogeneous clients, that have different needs and resources. As such, not everyone can rely on the same set of transports, storage management and discovery mechanisms. These small modules that constitute IPFS have recently been brought together under the same umbrella, as **libp2p**²⁴, a set of packages that seek to solve common challenges in P2P applications.

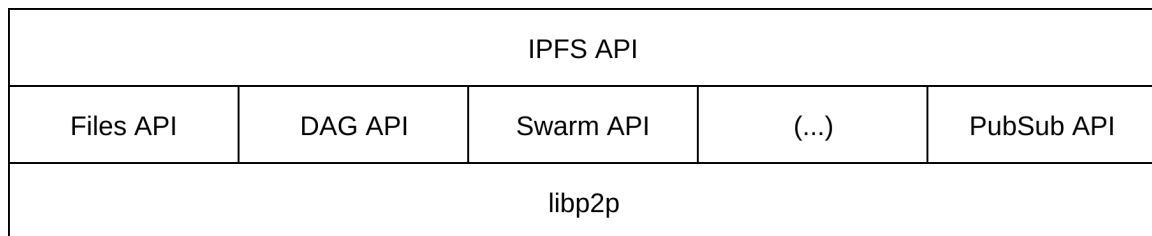


Figure 2.9: An illustration on the IPFS architecture

Interestingly enough, a recent addition to libp2p, and consequently IPFS, was a pub-sub module, with a naive implementation using a simple network flooding technique. Even though libp2p was created with the initial purpose of serving as the foundation of IPFS it is now possible to use libp2p as a standalone module for peer to peer apps, with the possibility to hand pick the functionalities we intend to use.

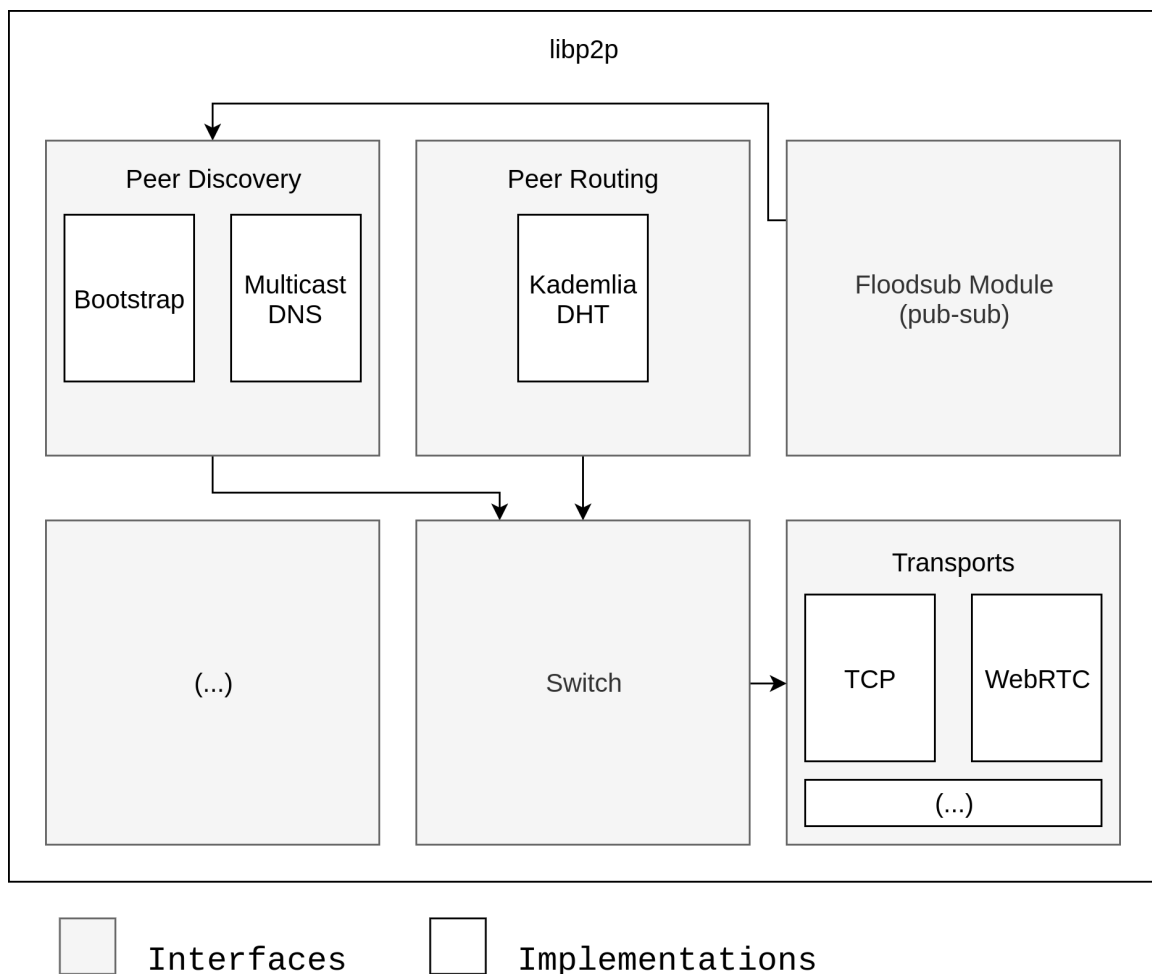


Figure 2.10: An illustration on the libp2p architecture

²⁴<https://libp2p.io>

2.4 Summary

Throughout this chapter, we looked at what defines the pub-sub paradigm. We started by laying the ground with our taxonomy in 2.1, which we have split into the following:

- Subscription model - topic-based, content-based or type-based models
- Network architecture - centralised vs decentralised
- Overlay structure - for decentralised approaches we could have structure, unstructured or hybrid overlays
- Subscription management and event dissemination - how it is managed the state that ensures subscription requirements are met. Some examples are *rendezvous* nodes or multidimensional spaces

Afterwards, we deep-dived into a series of systems that have been key to the foundation of our knowledge base in 2.2 — systems like Gryphon, Siena, Meghdoot or the more recent Poldercast. We analysed and compared these using our previously defined taxonomy.

We concluded our chapter by looking into the web technologies that would be the driver of our pub-sub system in 2.3. We looked at technologies like Javascript, which have been at the forefront of the web. Not only due to its recently acquired ubiquity with NodeJS, but also through tools like NPM. We also addressed new network protocols that came up, specially those powering P2P applications, like WebRTC, or transport protocols that offer new and exciting opportunities such as uTP and QUIC. Lastly, we briefly analysed applications like IPFS and its underlying libp2p, seeking to solve some of the problems of the decentralised web.

Chapter 3

Pulsarcast

Pulsarcast is a peer to peer, pub-sub, topic-based system focused on reliability, eventual delivery guarantees, and data persistence. We seek this while not fully compromising the scalability given by the decentralised nature of our architecture. Looking through our related work, it became clear that few fully decentralised solutions exist that try to provide this kind of guarantees. Yet, if we carefully look at the most popular and widely adopted centralised pub-sub solutions, it is clear that most of them heavily rely and depend upon these same guarantees.

We opted for the more straightforward topic-based subscription model given that, in our view, a well structured and implemented topic-based model is more than enough for a significant percentage of our use cases. In the end, we compromise a bit of the expressiveness of the system in order to avoid bringing more complexity in, something we believe will pay off.

We divided this chapter as follows. We start by covering the use case of our system in Section 3.1, where we will introduce some of our broader architectural decisions. Next, we will move to Section 3.2 where we will deep dive into Pulsarcast's data structure model and how it is distributed across the network. Afterwards, we will look into one of the most critical parts of our architecture in Section 3.3 where, based on the covered related work and the taxonomy we defined, we present the algorithms and mechanisms used for managing the subscriptions and event dissemination. We finish this chapter by looking into the RPC messages that power the Pulsarcast API in Section 3.4

3.1 Use Case

Pulsarcast is a fully decentralised solution, which means that each node plays a crucial part in fulfilling the system's purpose, delivering events and ensuring their dissemination. Conceptually speaking, Pulsarcast provides four methods for clients and applications to interact with the system, create a topic, subscribe to a topic, unsubscribe from a topic and publish an event in a topic. From a broader perspective, Pulsarcast relies on two overlays to fulfil its needs. Kadmelia DHT, already employed by IPFS and used by Pulsarcast for peer discovery, content discovery and to bootstrap our other overlay, the Pulsarcast own overlay. The Pulsarcast overlay is actually a set of different overlays or, as we call it, dissemination trees. These trees are on a per topic basis and are the critical factor in the way we disseminate information across our decentralised network. Figure 3.1 illustrates the multiple overlays in action.

The subscription model followed by Pulsarcast is a topic based one, but still allowing for some expressiveness through the usage of sub-topics to enable more complex structures. When a peer publishes an event or creates a new topic a set of the overlays described is used accordingly. For Pulsarcast,

both of these actions, happen to take a similar course. That is because the system views these pieces of information (or descriptors as we call it) as fairly similar, given their importance. Figures 3.2 and 3.3 provide an overview of how the flows for creating this information and for accessing it look like.

Pulsarcast's goal is to give users and any applications built on top of it the reassurance that events reach their destination and that they can rebuild as much of the event or topic history as they see fit. As such, we doubled our efforts to persist and propagate data. Every topic and event is stored in the



Figure 3.1: Representation of the Pulsarcast overlays



Figure 3.2: Flow for creating a new Topic/Event descriptor

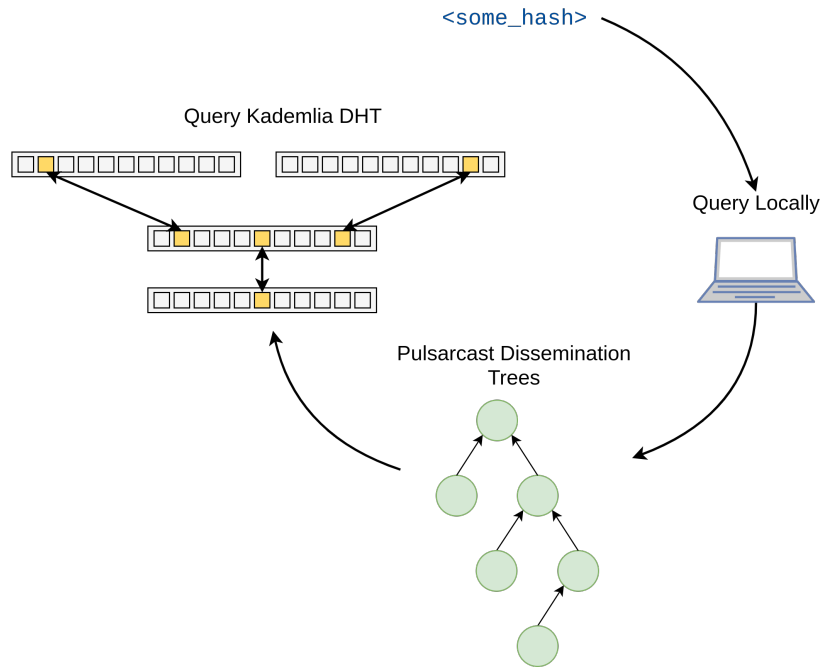


Figure 3.3: Flow for querying a Topic/Event descriptor

Kadmelia DHT before being forwarded through the topic dissemination trees. This ensures the data is persisted by a set of nodes (that might even be extraneous to the topic at hand) and anyone is later able to fetch the data using only the DHT if they want to. Afterwards, we forward the data through the appropriate dissemination trees previously built (we will cover this process in Section 3.3). Currently, every node participating in the data transmission through the dissemination tree stores it indefinitely, although it is something due to being changed in a later revision of our protocol (e.g. by means of predefined global or topic-specific temporal time-out, or by a special message per topic flushing all past events up to a specific one). On the other hand, when someone wants to fetch a piece of data (a topic or an event) it starts by performing a local search in the system, it might have been something that the node has run through when forwarding events across their dissemination trees. If this fails, though, a query to the DHT is in order.

3.2 Data Structures

Pulsarcast has a set of two fundamental data structures to which we refer to as **event** and **topic descriptors**. To help us represent this data, we rely on a concept already introduced in our related work, the Merkle DAG.

3.2.1 Content-Addressability

All of our data structures are immutable, content addressable and linked together to form a Directed Acyclic Graph (Merkle DAG). Events link both to their respective topic descriptor and a past event in that topic. Topics, on the other hand, link to their sub-topics (if any) and a previous version of themselves. Figure 3.4 provides a broader picture of how it all fits together. Immutability and content-addressability give us verifiability. Consequently, the assurance that the state of our distributed system is the same no matter where we are accessing it from or who is viewing it. It also allows us to build a notion of history which plays nicely into a pub-sub scenario. Through these links and the mechanisms described in the

previous section, users and applications are free to rebuild their topic and event history to any point they wish. Be that because they were not part of the network at the time or because they missed out due to some system or network failure, acting as a NACK (not acknowledged) for relevant events.

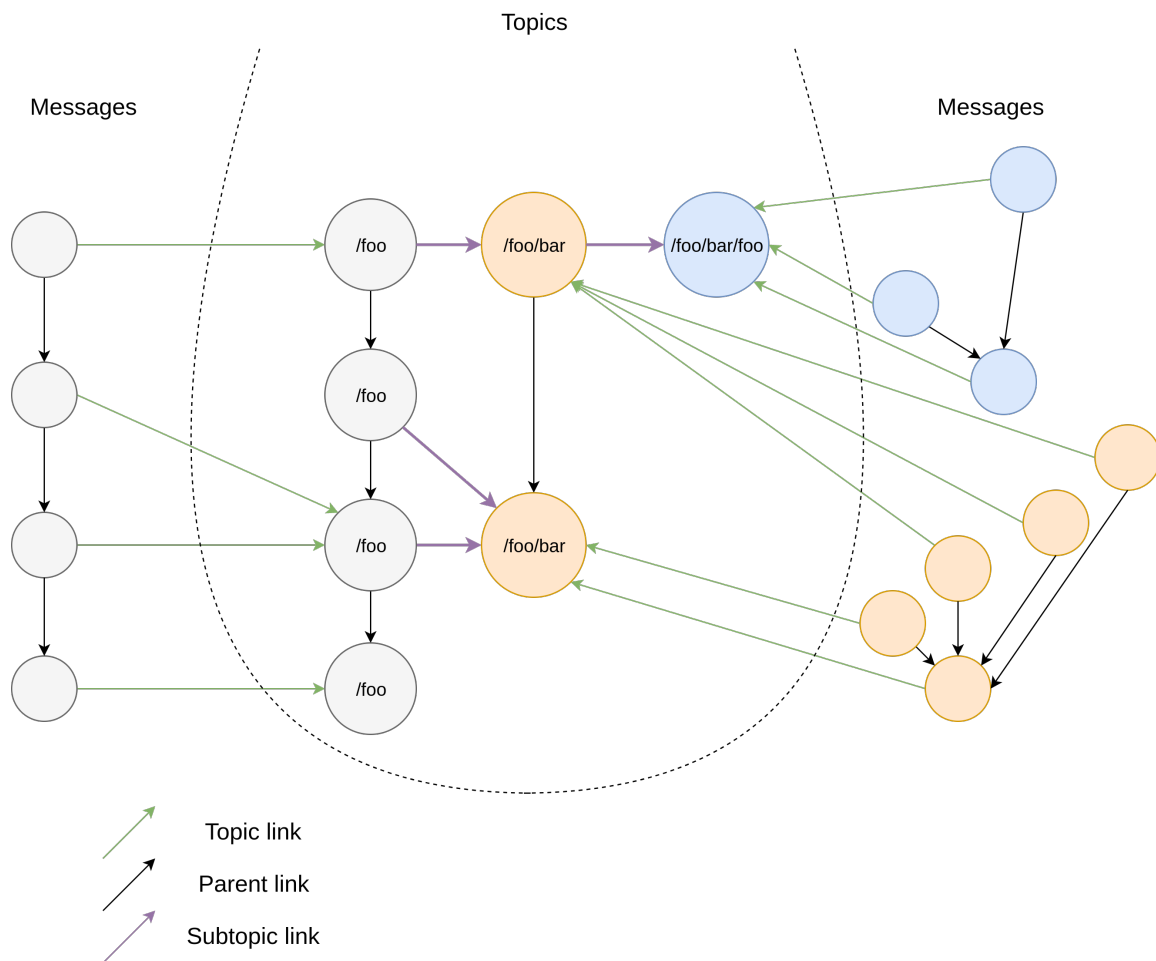


Figure 3.4: Representation of the Pulsarcast DAG

Given we are discussing addressability and linking between content, the representation used for our identifiers is an important part of our system specification. That was one of the main reasons for us to borrow inspiration from systems like IPFS and decided to use *CIDs* (Content Identifiers) ¹. A CID is a self-describing content-addressed identifier. It uses cryptographic hashes to achieve content addressing and is powered by *multihash* ². Multihash is a convention for representing the output of many different cryptographic hash functions in a compact, deterministic encoding that is accommodating of future change. This is because multihash encodes the type of hash function used to produce the output. All of the relevant identifiers in our system are CIDs. This includes node identifiers as well as the identifiers for both event descriptors and topic descriptors themselves (given they are the hash of its content). The descriptors contain a set of relevant metadata as well as the actual information that they refer to. The following JSON like Listings 3.1 and 3.2 provide an accurate description of the schema and format of our data structures. We will cover some of the properties.

¹<https://github.com/multiformats/cid>

²<https://github.com/multiformats/multihash>

```

1 {
2   "name": <string>,
3   "author": <peer-id>,
4   "parent": {                                //The parent link for this topic
5     "/": <topic-id>
6   },
7   "#": {                                    //Sub topic links
8     "meta": {                               //Meta topic
9       "/": "zdpuAkx9dPaPve3H9ezrtSipCSUhBCGt53EENDv8PrfZNmRnk"
10    },
11    <topic-name>: {
12      "/": <topic-id>
13    },
14    ...
15  },
16  "metadata": {
17    "created": <date-iso-8601>,
18    "protocolVersion": <string>, //Pulsarcast protocol version
19    "allowedPublishers": {           //If enabled, whitelist of allowed publishers
20      "enabled": <boolean>,
21      "peers": [ <peer-id> ]
22    },
23    "requestToPublish": {           //Enable request to publish
24      "enabled": <boolean>,
25      "peers": [ <peer-id> ]       //Optional whitelist able to request
26    },
27    "eventLinking": <string>,      //One of: LAST_SEEN, CUSTOM
28  }
29 }

```

Listing 3.1: Topic descriptor schema in a JSON based format

```

1 {
2   "name": <string>,
3   "publisher": <peer-id>,              //Peer who published the event
4   "author": <peer-id>,                 //Author of the event
5   "parent": {                          //The parent link for this event
6     "/": <topic-id>
7   },
8   "topic": {
9     "/": <topic-id>
10  },
11  "payload": <binary-data>
12  "metadata": {
13    "created": <date-iso-8601>,
14    "protocolVersion": <string>, //Pulsarcast protocol version
15  }
16 }

```

Listing 3.2: Event descriptor schema in a JSON based format

3.2.2 Data links (Merkle links)

Parent links in the event descriptor serve as a reference to previous events in the topic tree. A Pulsarcast node that has just received an event can, through its parent link, know a previous event of this same topic and act on it accordingly (fetch it or not). Depending on the type of topic we have at hand (something we will cover further in this document) this parent link can have different meanings and relevance.

The parent links in the topic descriptor act as a reference to a previous version of this same topic. Keep in mind that data in Pulsarcast is immutable. As such, one cannot update content that has already been published and disseminated. We can, however, create a new reference of it and link to what we consider to be a previous version. This is the exact use case for the parent links in the topic descriptor, to act as a link to previous versions of this same topic. Possible changes to the topic descriptor can encompass changes to the topic metadata for example or additions of new sub-topics.

In topic descriptors, sub-topic links are indexed under a # key. Commonly, these are indexed by name, but it is not mandatory, it is actually up to the topic and consequently its owner to choose accordingly. There is no limit to how many sub-topics a topic can have. One significant note though is that every topic comes with a default meta topic as a sub-topic. The idea is for this meta topic to be used to disseminate changes for the original topic descriptor, something we will cover in Section 3.3.

Both descriptors have an author field that is self-descriptive, essentially meaning the peer responsible for creating and, in the case of the topic, maintaining this descriptor. The topic descriptor, however, has an extra field which is the publisher field. This is because the producer of the content (author) and the peer responsible for actually pushing this into the Pulsarcast dissemination trees (publisher) might not be the same peer.

3.2.3 Metadata

Metadata for the event descriptor is quite simple. It includes a creation timestamp in ISO8601 ³ format and the version of the protocol at the time the event was published. Remember, these are content-addressable immutable data structures, as such the info we provide in these metadata fields will be persistent and verifiable, something we take advantage of. On the other hand, the topic descriptor is a bit more complicated. Besides the timestamp and version, it includes further configuration options that tell us how event dissemination and event linking will be handled for the topic, something we will cover in Section 3.3.

A key objective for these data structures and, specifically, to the metadata design has been for it to be easily extensible. The protocol version aids us with this, efficiently conveying any breaking changes. Examples of fields and properties that could be added in the future include things such as author and publisher signatures so that peers could verify the authenticity of all the content.

3.2.4 Distributed and local state

The data in Pulsarcast, however, is distributed, as illustrated by Figure 3.5, which means we have to deal with an extra layer of complexity in our system. We again tackle this with the way we represent our data, the Merkle DAG. The fact that all data is addressed based on its content means that the representation and indexing done at each node is the same we do system-wide. Descriptors are persisted using the Kadmelia DHT and disseminated through the Pulsarcast overlay, with each node then keeping this state locally. This allows us to abstract state at each node in a manner where clients can refer to the same piece of data in the same way and expect the same representation and result, whether looking locally or system-wide.

³<https://www.iso.org/iso-8601-date-and-time-format.html>

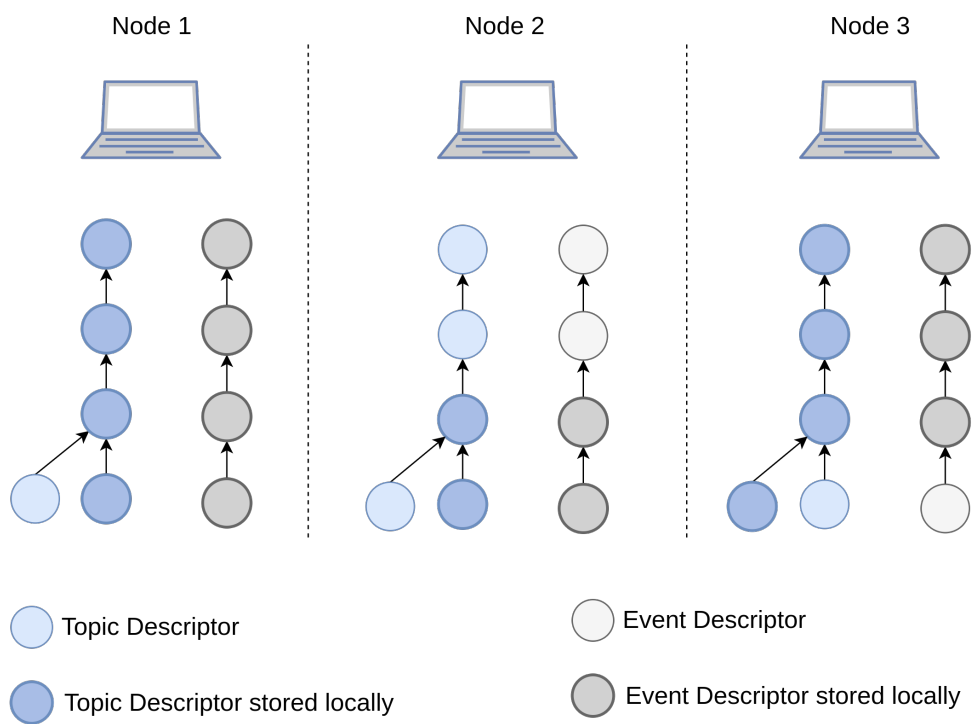


Figure 3.5: Overview of how state is kept across the network

3.3 Subscription Management and Event Dissemination

In Pulsarcast, both the subscription management and the event dissemination lie on top of the multiple overlays built on a per topic basis or, as we call it, the dissemination trees. These trees represent the path traversed by the events in order to reach the necessary subscribers, so, in the end, these end up being the actual representation of both subscriptions and dissemination paths. In order to better understand them we will start by understanding how the system handles the creation of topics, new subscriptions, followed by how events are propagated (with a detailed view of the algorithms used). We will see some of the configurations the topic descriptor allows for that will change the way events are propagated and published. Finally, we will run through the messages sent by each node in order to perform these operations, a crucial part in our distributed system.

3.3.1 Topic creation

Before we can speak about a new subscription, a topic must already exist. In order for this to happen a node starts by creating the meta topic descriptor. This meta topic descriptor is to be used to disseminate any changes relative to the topic descriptor at hand and is linked as a sub-topic of it. Procedure wise, the meta topic is created just like any other topic, with the same properties (except for its own meta topic of course). Only after it has been created and stored in the Kadmelia DHT does the node proceed to create the actual topic descriptor (with the meta topic linked as a sub-topic), which is then also persisted in the DHT. When any change to the original topic descriptor is in order, the node creates a new topic descriptor (remember the immutability of our data structures) but with the original topic descriptor linked as a parent and with the same meta topic linked as sub-topic. When these changes happen, the node publishes the new topic as an event in the meta topic. Algorithm 1 provides an overview of the procedure to create a new topic.

Algorithm 1: Create a new topic

```
1 Function CreateTopic(newTopic)
   Input: newTopic = data for new topic creation
2 begin
3   parent  $\leftarrow$  newTopic.parent;
4   if parent == null then ;           // Check if the topic has a parent link
5
6   |   metaTopic  $\leftarrow$  CreateMetaTopicDescriptor(newTopic);
7   else
8   |   metaTopic  $\leftarrow$  parent.subTopics.meta;
9   end
10  topicData  $\leftarrow$  CreateTopicDescriptor(newTopic, metaTopic);
11  Subscribe(metaTopic);
12  Subscribe(topicData);
13  StoreInDHT(metaTopic);
14  StoreInDHT(topicData);
15  Publish(metaTopic, topicData);      // Publish the new topic in the meta topic
16
17 end
```

3.3.2 Subscribing

With the topic descriptor stored and available to the whole network, its creator will act as the root node in this newly created topic dissemination tree. When a node wants to subscribe to this topic, it starts by fetching its descriptor from the Kademia DHT. After some sanity checks, such as checking if the node is already part of the dissemination tree, we use the Kademia DHT to find the closest known peer to the author of the topic. Keep in mind that we are not hitting the network and performing a Kademia lookup operation, we are resorting to information previously stored locally by the DHT in its K buckets. The node stores the closest known peer as its parent in this topic dissemination tree. The join request is then forwarded to it where the sender peer ID is extracted and used as its child in this topic dissemination tree, followed by repeating the whole process. This recursive operation, across multiple nodes in the network, ends when the join request hits a node that is either already part of the dissemination tree for this topic or, the actual author of the topic. Algorithm 2 provides a more detailed generic procedure to be used at every node when receiving or sending a subscription request (or a join request as we call it) and Figure 3.6 tries to provide a visual representation of the whole subscription flow. In order to maintain the dissemination trees, every node must keep some state of its neighbours for every topic. If by some chance a node is unable to connect to a neighbour, a retry mechanism is in place for a limited amount of retries (a configurable parameter). If the node is still unable to connect, then it goes through the subscription procedure again.

Algorithm 2: Join request handler for each node

```
1 Function ReceivedJoin(fromNodeId, topicId)
   Data: nodeId = node id of this node
   Input: topicId = topic id
   Input: fromNodeId = node who we got the join request from

2 begin
3   topicData  $\leftarrow$  GetTopicData(topicId);
4   if fromNodeId  $\neq$  nodeId then
5     AddToChildren(t, fromNodeId);           // Add as child in dissemination tree
6     if topicData.author == nodeId then ;     // This node is author of the topic
7
8     | return
9     end
10    if GetParents(topicId)  $\neq$  null then ;    // Already part of dissemination tree
11
12    | return
13    end
14  else
15    if topicData.author == nodeId then ;     // This node is author of the topic
16
17    | return
18    end
19  end
20  peer  $\leftarrow$  GetClosestKnownPeer(topicData.author);
21  AddToParents(topicData.id, peer);         // Add as parent in dissemination tree
22  SendRPC(topicData.id, peer);
23 end
```

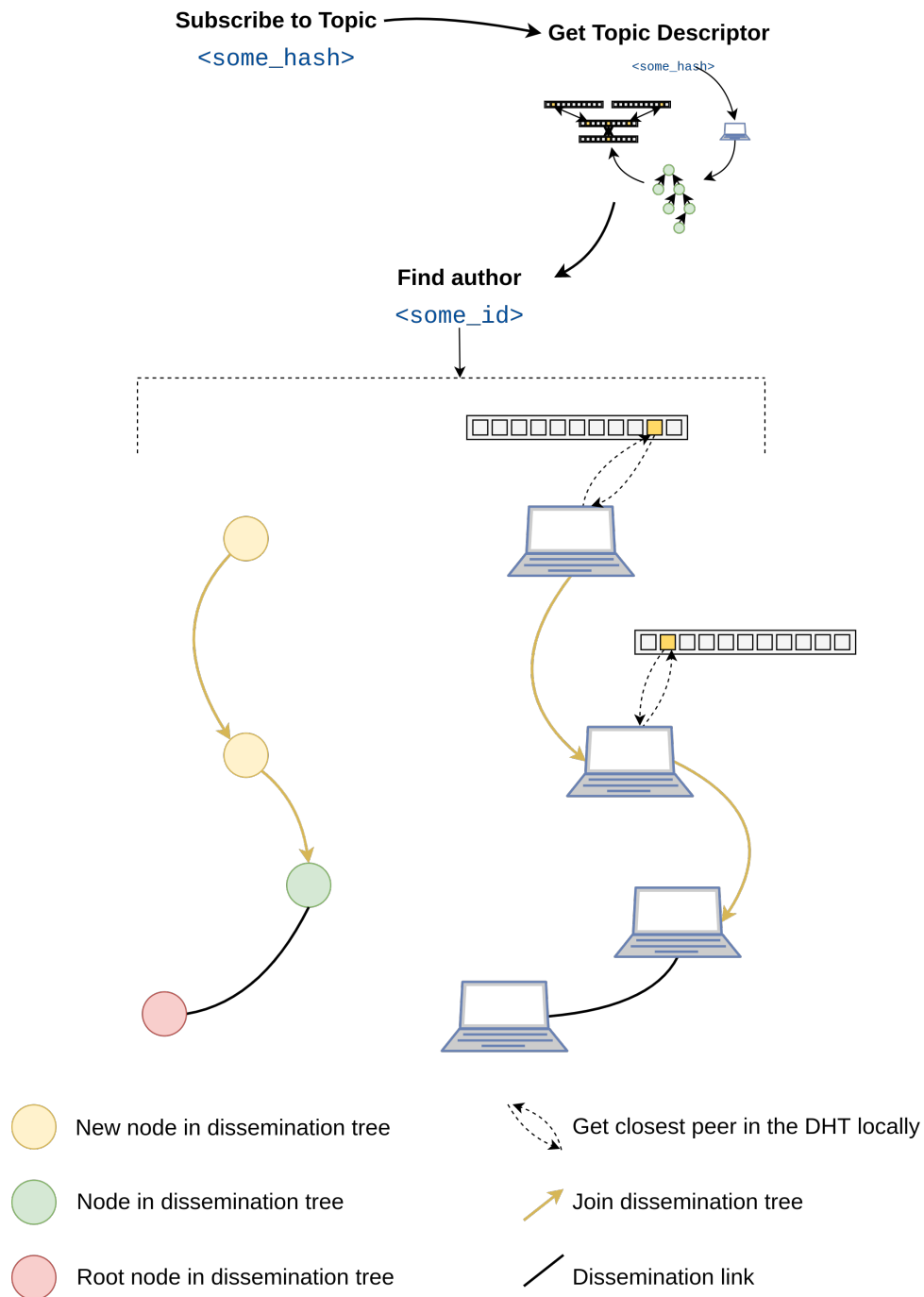


Figure 3.6: Overview of the flow for creating a new subscription

3.3.3 Publishing and event dissemination

Considering the topic creation and subscription management previously discussed we can see that event dissemination becomes easier to handle, almost as a consequence of the way the subscription management is built, and dissemination trees again play their key part here. Pulsarcast, however, allows for some additional customisation and configuration at the topic level focused on providing a lot more flexibility to our system. When a node is creating a topic, it can configure:

- Which nodes are allowed to publish
- If and which nodes can request to publish

- How events are linked together (through the parent link)

These options are *requestToPublish*, *allowedPublishers* and *eventLinking*, mentioned previously in Section 3.2, we will see how each one of these fits together with the way Pulsarcast nodes disseminate events. Figures 3.7 and 3.8 provide visual aids to how these options come together for event dissemination.

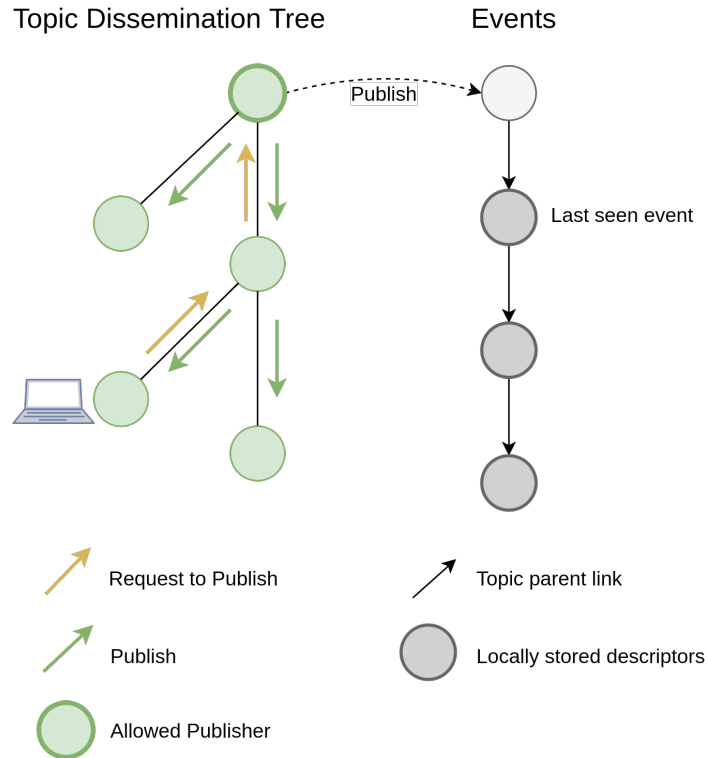


Figure 3.7: Event dissemination mechanism for a topic with only the author allowed to publish, last seen event linking and request to publish allowed. This scenario provides order guarantee.

When a node wants to publish an event in a topic, it starts by fetching the topic descriptor, first locally and then, if it is not present, from the Kadmelia DHT. This way, we have access to the topic data as well as its configurations. The node then checks if it is allowed to publish through the topic configuration whitelist mechanism. This option, *allowedPublishers*, can either be enabled and, if so, a list of nodes is provided that is checked before publishing, or it can be disabled, and in that scenario, every node can publish a message. If the node cannot publish the message, it will check if it can submit a request to publish. This request to publish is another option set in the topic descriptor, through the *requestToPublish* field, that, if enabled, allows every node in the network to submit these special requests. Optionally, it can also be a whitelist of nodes allowed to submit these. When a node forwards a request to publish across the network, it propagates across the dissemination tree (from children nodes to parents) until it eventually finds a node which is allowed to publish this event. This will dictate the difference in the publisher (node who actually publishes the content) and the author (node responsible for creating the content in the first place).

Upon receiving a publish event request, whether if it was initiated at this node or through a remote request to publish, the node starts by appropriately linking the new event to a parent event. This is where the *eventLinking* option in our topic descriptor comes into play. Right now this option can either be *CUSTOM* or *LAST_SEEN*. When the topic allows for custom linking, the client application can set a custom parent event, as long as it exists. With the last seen option, however, the Pulsarcast node takes care of linking the given event to the event last seen by it. After the linking is done, the node can safely

store the event descriptor in the Kademlia DHT, followed by disseminating it through its children and parent nodes in this topic dissemination tree. From this point forward, nodes along the dissemination tree will forward the event across branches of the tree where this has not gone through. All of the logic we have covered around event dissemination is better detailed in the Algorithms 3 and 4.

Algorithm 3: Event handler for each node

```

1 Function ReceivedEvent(fromNodeId, eventData)
  Data: nodeId = node id of this node
  Input: fromNodeId = node who we got the event from
  Input: eventData = event descriptor

2 begin
3   topicData  $\leftarrow$  GetTopicData(eventData.topicId);
4   if AllowedToPublish(nodeId, topicData) then
5     SendEvent(fromNodeId, eventData);
6   else
7     if AllowedToRequestToPublish(nodeId, topicData) then
8       SendRequestToPublish(eventData); // Send request to publish to parent
9       node
10    end
11  end
12 end

```

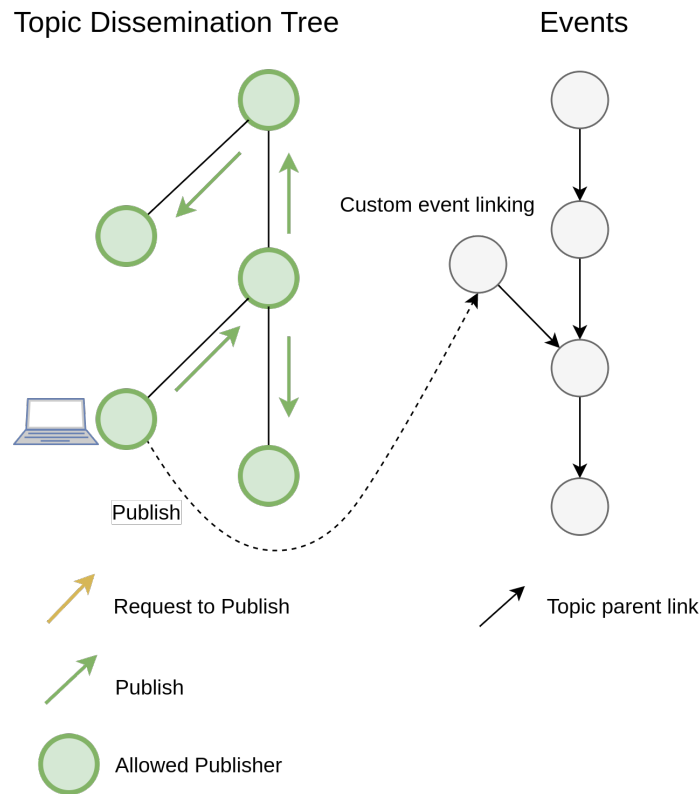


Figure 3.8: Event dissemination mechanism for a topic with custom event linking and global publishers allowed

Algorithm 4: Event forwarding function

```
1 Function SendEvent(eventData)
   Data: nodeId = node id of this node
   Input: fromNodeId = node who we got the event from
   Input: eventData = event descriptor

2 begin
3   topicData  $\leftarrow$  GetTopicData(eventData.topicId);
4   if IsNewEvent(eventData) then
5     | linkedEvent  $\leftarrow$  LinkEvent(eventData);           // Add parent link
6     | StoreInDHT(linkedEvent);
7   end
8   if (IsSubscribed(eventData.topicId) == true) then
9     | EmitEvent(eventData.topicId, eventData);
10  end
11  for peer  $\leftarrow$  GetChildren(eventData.topicId), GetParents(eventData.topicId) do
12    | if fromNodeId  $\neq$  peer then ;           // Do not send the event back
13    |
14    |   SendRPC(eventData, peer);
15    | end
16  end
17 end
```

It is essential to understand some of the properties that these multiple configuration options allow. The simplest example would be a scenario where only the author of a topic is allowed to publish, event linking is based on the last seen event and request to publish is allowed. In this example, despite every node being allowed to create content, we can achieve order guarantee, with a single stream of events all linked together. Another example would be a scenario where we have a whitelist of allowed publishers, no request to publish allowed and last seen event linking taking place. With this, we get a simple producer/consumer scenario, with a list of a few selected and vouched for producers that every node is aware of (that could even be expanded later on by the topic author). Finally, on the other end of the spectrum, we have a scenario where everyone is allowed to publish, and custom event linking is allowed. Here, we are essentially giving the ability for clients and applications to use event trees to represent data in however they see fit given that, with custom event linking, applications can shape the event trees however they like. Links can go as far as to imply event causality if applications are programmed and configured as such. All of these scenarios came from a realisation that it did not make sense to limit Pulsarcast's uses out of the box, especially taking account that through simple configuration we could cater for a broader set of use cases and applications.

3.4 RPC message protocol

We have run through the overall architecture of Pulsarcast. However, we still have not detailed how the nodes communicate with each other. The fact is, Pulsarcast does not favour or define the usage of a specific wire protocol. In our view Pulsarcast can and should work independently of what we use in the transport layer. It does, however, specify a well-defined communication protocol built using Protocol Buffers⁴. Protocol Buffers (or protobuf for short) are Google's open-source, language-neutral, platform-

⁴<https://developers.google.com/protocol-buffers/>

```

1  message RPC {
2      enum Operation {
3          PUBLISH_EVENT = 2;
4          JOIN_TOPIC = 3;
5          LEAVE_TOPIC = 4;
6          NEW_TOPIC = 5;
7          REQUEST_TO_PUBLISH = 6;
8      }
9
10     message Message {
11         optional Operation op = 1;
12         oneof payload {
13             TopicDescriptor topic = 2;
14             EventDescriptor event = 3;
15             bytes topicId = 5;
16         }
17         optional Metadata metadata = 6;
18     }
19
20     message Metadata {
21         optional string created = 1;
22         optional string protocolVersion = 2;
23     }
24
25     repeated Message msgs = 1;
26 }

```

Listing 3.3: Protobuf schema for our RPC messages

neutral, extensible mechanism for serializing structured data. It allows us to define common lightweight interfaces that, through source code generation, work across platforms. Plus, it is fast and quite small once encoded to the protocol buffer binary format (what actually runs in the wire), while also supporting a text-based representation, similar to JSON, for readability purposes. With protobuf's, we have defined a set of Remote Procedure Call (RPC) schemas used by our system to communicate between nodes.

We started by defining a common interface for all of our RPC messages as showed in Listing 3.3. In protobufs, every field is associated with a number. These are used to identify a field in the protobuf binary format ⁵ uniquely. Our message format is quite straightforward, with three fields, *op* (for operation), *payload* representing a generic payload and finally *metadata* containing relevant metadata such as the creation date of this message and the protocol version used. The supported operations for now essentially translate all the actions a node might undertake, which are publishing an event, joining a topic, leaving a topic, creating a topic and requesting to publish. The payload is an abstraction, and it can hold a topic descriptor, an event descriptor or a topic ID depending on the operation field of this message. Finally, the RPC schema itself is an array of the messages, so that if needed a node can forward multiple operations in one go.

The topic and event descriptors also have a specific protobuf schema, essentially a representation of what we have already covered in Section 3.2. Listings 3.4 and 3.5 show us the specification of these. In order to keep our messages as lightweight as possible and avoid unnecessarily burdening the network, we opted to have all of our content identifiers (such as the author and links) sent in binary format. Otherwise, the remaining fields end up being a one to one mapping from the data structure schemas of the event descriptor and topic descriptor.

⁵<https://developers.google.com/protocol-buffers/docs/encoding>

```

1  message Link {
2      optional bytes / = 1;
3  }
4
5  message TopicDescriptor {
6
7      optional string name = 1;
8      optional bytes author = 2;
9      optional Link parent = 2;
10     map<string, Link> # = 3;
11     optional Metadata metadata = 4;
12
13     message Metadata {
14
15         enum EventLinking {
16             LAST_SEEN = 0;
17             CUSTOM = 1;
18         }
19
20         message PublishersList {
21             optional bool enabled = 1;
22             repeated bytes publishers = 2;
23         }
24
25         optional string created = 1;
26         optional string protocolVersion = 2;
27         optional PublishersList allowedPublishers = 3;
28         optional PublishersList requestToPublish = 4;
29         optional EventLinking eventLinking = 5;
30     }
31 }

```

Listing 3.4: Protobuf schema of the topic descriptor

```

1  message Link {
2      optional bytes / = 1;
3  }
4
5  message EventDescriptor {
6
7      message Metadata {
8          optional string created = 1;
9          optional string protocolVersion = 2;
10     }
11
12     optional bytes author = 1;
13     optional Link topic = 2;
14     optional bytes payload = 3;
15     optional bytes publisher = 4;
16     optional Link parent = 5;
17     optional Metadata metadata = 6;
18 }

```

Listing 3.5: Protobuf schema of the event descriptor

3.5 Summary

In this chapter, we presented a technical and architectural overview of Pulsarcast. We started by introducing the use case for our decentralised topic-based system in Section 3.1, with a brief overview of what we are seeking to accomplish as well as our broader architectural decisions. We looked at how clients can interact with our system (create a topic, publish, subscribe and unsubscribe) and how the Kadmelia DHT powers our underlying system and its dissemination trees.

In Section 3.2, we introduced our immutable, content-addressable data structures, based on the Merkle DAG, representing events and topics and how these are distributed across the network.

Applying the taxonomy we had previously defined in Chapter 2, we looked into how subscriptions are managed and events are disseminated in Section 3.3, focusing on the algorithms responsible for topic creation, subscription handling and event handling.

Finally, we focused on how Pulsarcast works under the hood in Section 3.4, taking a closer look at how our RPC messages, built using Protocol Buffer, drive all the functionality we described previously.

Chapter 4

Implementation

For our Pulsarcast implementation, we decided to take advantage of the *libp2p* ecosystem as it solves a lot of the underlying issues of building a peer to peer system, not specific to our pub-sub scenario. This includes dealing with connection multiplexing, NAT traversal, discovery mechanisms and others. All of which libp2p, a community-focused project with implementations in multiple languages already solves. We can also take advantage of the utility modules it has and the advantage of having an already working implementation of the Kadmelia DHT. Our focus is then to build a module, implementing the Pulsarcast specification that clients and apps can take advantage of. Section 4.1 provides a detailed description of it.

Besides our module, we also needed to find a suitable way to test our system as a whole. Given our specific needs, we opted to build a custom testbed, detailed in Section 4.2 and the basis of the evaluation detailed in Chapter 5.

4.1 Pulsarcast Javascript module

We chose to implement our Pulsarcast module in Javascript. As we covered in our related work, Javascript is ubiquitous, running in browsers, servers and many different kinds of devices and architectures. Through it, we can run our Pulsarcast nodes in a multitude of systems and most importantly, direct its usage for the World Wide Web. Plus, libp2p has a Javascript implementation focused on cross-compatibility between server and browser. This is not to say that in the future we will not have other implementations in different languages, that is, in fact, one of the reasons for the clear separation between the Pulsarcast specification and its actual implementation. However, we had to choose, and in our view, Javascript is the clear winner. It is worth noting that, much like the work we built on top of, this module is open source ¹.

4.1.1 Dependencies

Figure 4.1 gives us an overview of how our module fits in the libp2p ecosystem. libp2p defines interfaces responsible for routing content (peer routing), discovering other peers in the network (peer discovery), network transports and leveraging multiple network connections (switch). These all come bundled in the libp2p javascript module ² which we use in Pulsarcast. Besides the main libp2p module we also use

¹<https://github.com/JGAntunes/js-pulsarcast>

²<https://github.com/libp2p/js-libp2>

```

1 {
2   ...
3   "dependencies": {
4     "async": "^2.6.1",
5     "bs58": "^4.0.1",
6     "cids": "^0.5.5",
7     "debug": "^3.1.0",
8     "ipld-dag-cbor": "^0.13.0",
9     "joi": "^13.4.0",
10    "joi-browser": "^13.4.0",
11    "peer-id": "^0.12.2",
12    "peer-info": "^0.14.1",
13    "protons": "^1.0.1",
14    "pull-length-prefixed": "^1.3.1",
15    "pull-stream": "^3.6.9"
16  },
17  ...
18 }

```

Listing 4.1: Pulsarcast module dependency list

some other utility modules such as the CID module ³ and the Peer-id module ⁴, both designed to reason with content identifiers (peer identifiers are also content identifiers).

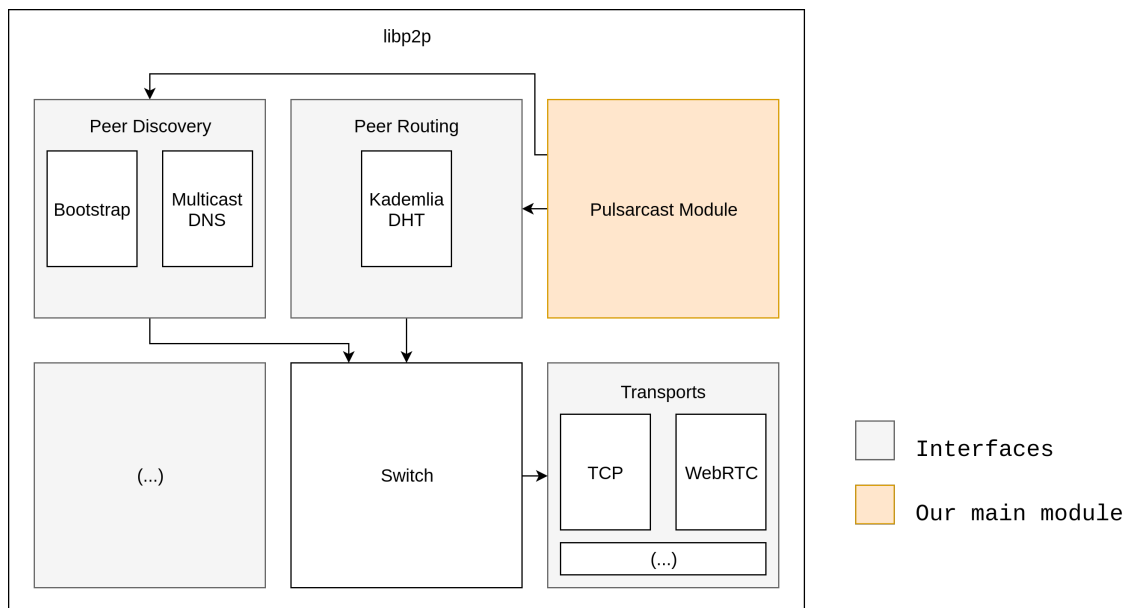


Figure 4.1: Our Pulsarcast module in the libp2p ecosystem

Other than the libp2p dependencies, we have used other open source community modules. Listing 4.1 shows our dependency list, pulled from our *package.json* (our module manifest). We have used a couple of helpers for handling data streams ⁵ as well as a library to help us with the asynchronous control flow ⁶. To help us with protocol buffers, we use protons ⁷ and finally, joi ⁸ is our validation library of choice.

³<https://github.com/multiformats/js-cid>

⁴<https://github.com/libp2p/js-peer-id>

⁵<https://github.com/pull-stream/pull-stream>

⁶<https://github.com/caolan/async>

⁷<https://github.com/ipfs/protons>

⁸<https://github.com/hapijs/joi>

4.1.2 Code Organisation

When it comes to code organisation, and despite not existing a clear standard for modules in Javascript, we follow what is most generally conceived as best practices. A more thorough overview of our file tree structure can be seen in Appendix A.

Our *src* (source) directory has all the components that constitute our Pulsarcast node. *src/index.js* holds our top-level class, Pulsarcast, that is exported and listed as the main component to import when applications *require* our module. We have split internal components into folders according to its functionality. The classes for our event and topic descriptors, as well as its trees, are under the *dag* directory. The *messages* directory keeps all the important pieces of functionality relative to our RPC messages, including its Protocol buffers, validators and marshalling logic. Our RPC handlers, containing Pulsarcast's core algorithms and logic, are kept under *rpc*. Finally, on the root of our source directory, we keep our default node configuration, our peer class and an *utils* directory, where we store a series of utility functions used across our codebase.

Apart from our source directory, we have a series of unit and integration tests, currently kept under *test*. These tests exercise the critical components of our system, running a series of nodes locally, making sure it is functionally sound. Besides being able to run locally, these tests are what we currently run on our Continuous Integration (CI) ^{9 10} environment on every code submission we perform.

4.1.3 Classes

Pulsarcast has five classes. These are:

- Pulsarcast
- Peer
- TopicNode
- EventNode
- EventTree

Figure 4.2 shows the Unified Modelling Language (UML) class diagram of our system, but we will run through those classes which we consider more relevant for a thorough look into its functioning.

4.1.3.1 Pulsarcast

Pulsarcast is our main class and essentially what makes a Pulsarcast node. It contains the state of subscriptions, topics, connections and event dissemination trees relevant to this node as well as the needed methods to interact with the Pulsarcast underlying system. It extends the built-in `EventEmitter` class ¹¹, making our class capable of reproducing the event emitter pattern ¹², the mechanism we use to bubble up new Pulsarcast events to the application level. It has a fairly simple constructor as one can see in Listing 4.2. The class has seven key methods exposed:

- `start(callback)` - Start the Pulsarcast node by registering our protocol with the *libp2p* protocol connection handler.

⁹<https://www.thoughtworks.com/continuous-integration>

¹⁰<https://gitlab.com/jgantunes/js-pulsarcast/pipelines>

¹¹<https://nodejs.org/api/events.html>

¹²<https://nodejs.dev/the-nodejs-event-emitter>

- `stop(callback)` - Stop the Pulsarcast node, gracefully close connections we might have to any peer and de-register our protocol from the *libp2p* protocol connection handler.
- `createTopic(topicName, [options], callback)` - Create a Pulsarcast topic with the specified name. An optional object can be provided through which we can add subTopics, parent link and topic metadata configuration with `allowedPublishers`, `requestToPublish` and `eventLinking`. By default, no parent link is added and only the topic author is allowed to publish (essentially creating an event dissemination tree with order guarantee).
- `subscribe(topicB58Str, [options], callback)` - Subscribe to a topic with the given base58 CID. An option `subscribeToMeta` can be provided which will determine if this node will subscribe to this topic's meta-topic also (defaults to true).
- `unsubscribe(topicB58Str, callback)` - Unsubscribe from the topic with the given base58 CID.

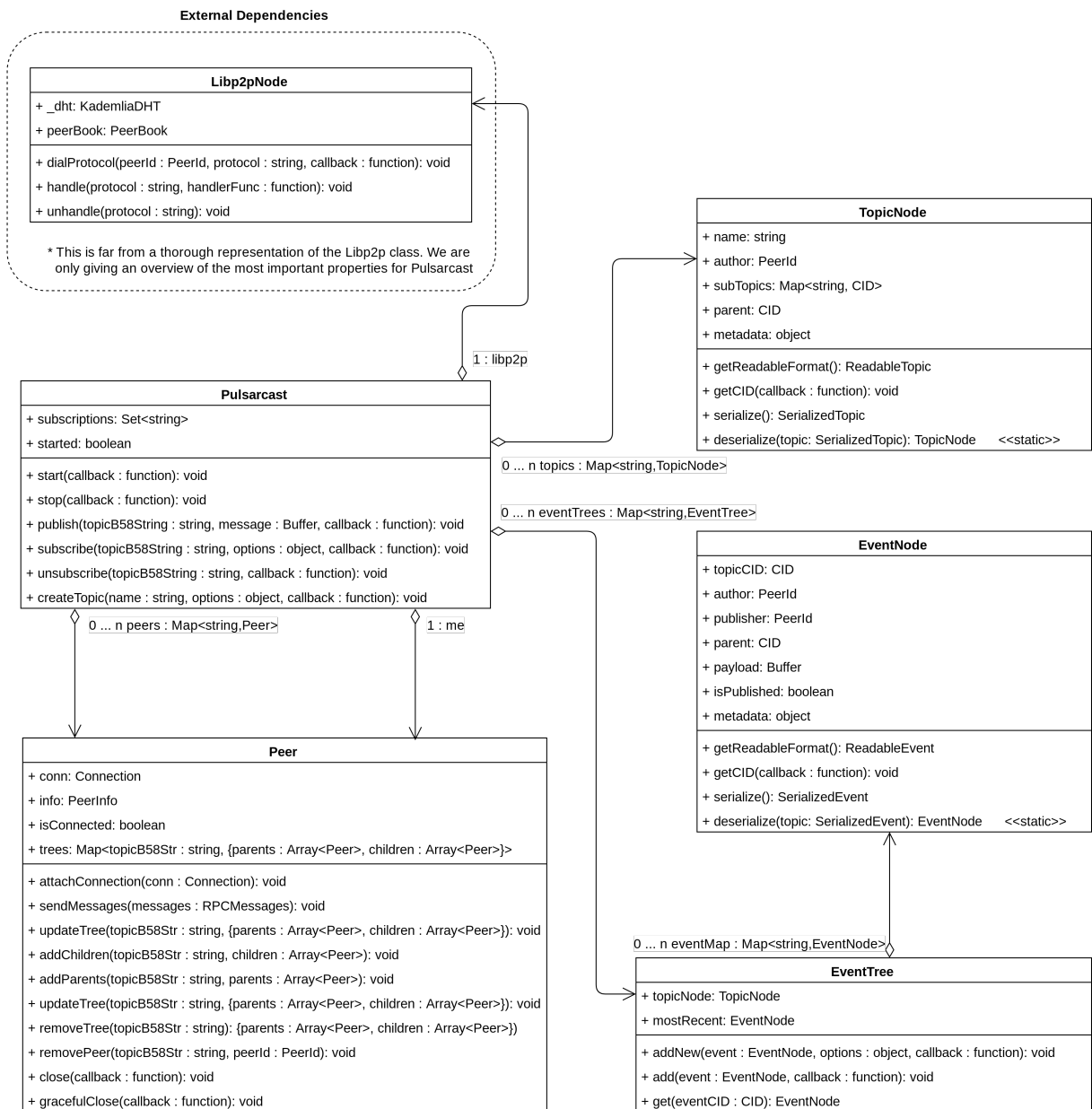


Figure 4.2: UML representation of the classes in our Pulsarcast system

```

1  class Pulsarcast extends EventEmitter {
2
3
4      /**
5       * Create a new Pulsarcast node.
6       *
7       * @param {external:Libp2pNode} libp2p
8       * @param {object} [options={}] - Pulsarcast options
9       */
10     constructor(libp2p, options = {}) {
11         super()
12
13         this.libp2p = libp2p
14         this.started = false
15
16         this.peers = new Map()
17         this.topics = new Map()
18         this.subscriptions = new Set()
19         this.eventTrees = new Map()
20
21         this.me = new Peer(libp2p.peerInfo)
22         this._onConnection = this._onConnection.bind(this)
23
24         // Create our handlers to receive and send RPC messages
25         this.rpc = createRpcHandlers(this)
26     }
27
28     (...)
29
30 }

```

Listing 4.2: Pulsarcast constructor

An option `unsubscribeFromMeta` can be provided which will determine if this node will unsubscribe to this topic's meta-topic also (defaults to true).

- `publish(topicB58Str, message, callback)` - Publish the provided message in the topic with the given base58 string as a CID.

Pulsarcast's topic creation, subscribe/unsubscribe and publish methods are relatively simple, given our separation of concerns. All of them consist of a set of input sanity checks with control, in the end, being handover to our RPC handlers, responsible for storing any relevant information in the DHT and forwarding/creating any RPC request that we might need. Listing 4.3 shows us an example of the logic above, the subscribe method. New subscription calls in Pulsarcast are done using the topic's base58 CID. The Pulsarcast node keeps all the state relative to the topics we are subscribed. As such, before performing any action, we always check to see if we are subscribed to the topic given. If all the input checks are right, we hand over to our RPC handlers to create the appropriate RPC messages and forward our request.

Although not part of the public methods we have mentioned, one key part of the Pulsarcast class is the actual management of peers and connections (outgoing and incoming). When the Pulsarcast node is started via the `start` method, we register our protocol `/pulsarcast/1.0.0` with the *libp2p* protocol handlers. When an incoming connection that matches our protocol reaches our node, the Pulsarcast class is responsible for internally handling it, creating the necessary `Peer` objects and registering the appropriate connection handlers so that when/if the connection drops we clear all the relevant state. The same goes for when the node needs to contact another peer. If a connection needs to be established,

```

1  subscribe(topicB58Str, options, callback) {
2      assert(this.started, 'Pulsarcast is not started')
3      if (!callback) {
4          callback = options
5          options = {}
6      }
7      const topicCID = new CID(topicB58Str)
8      // By default subscribe to meta topic
9      const defaultSubscribeOptions = {
10         subscribeToMeta: true
11     }
12     const subscribeOptions = { ...defaultSubscribeOptions, ...options }
13     if (this.subscriptions.has(topicB58Str)) {
14         return this._getTopic(topicCID, (err, topicNode) => {
15             callback(err, topicNode, topicCID)
16         })
17     }
18     this.subscriptions.add(topicB58Str)
19     this.rpc.receive.topic.join(
20         this.me.info.id.toB58String(),
21         topicCID,
22         subscribeOptions,
23         callback
24     )
25 }

```

Listing 4.3: Pulsarcast class subscribe method

it is up to the Pulsarcast class to do it properly. Listing 4.4 gives us a thorough look into the connection handling methods.

4.1.3.2 Peer

The Peer class provides an abstraction for the state of each peer, including connections, information such its ID and address and the dissemination trees it is part of. Listing 4.5 shows us the constructor of our class. Its `trees` property is a Map indexed by topic identifier, that keeps the peer's current dissemination trees neighbours.

4.1.3.3 TopicNode and EventNode

The TopicNode and EventNode classes refer to our topic and event descriptor dag nodes respectively. They are quite similar given that, in the end, they both abstract Merkle DAG nodes. Listings 4.6 and 4.7 show us its constructors. Despite the similarities, though, some aspects are worth noting. Such example is the `isPublished` field on the event descriptor. Which is crucial for our system to understand if this event has or has not been published yet.

Both of the classes have a series of methods which we consider worth describing:

- `getCID(callback)` - Given our descriptors are content-addressable, their identifiers are ever-changing depending on the values of their properties. This method returns, through the callback function, the CID for this descriptor.
- `getReadableFormat()` - Return an object whose properties are human-readable representations of the values of this object in the canonical format described in Chapter 3. It consists mostly of returning all the CIDs as base 58 strings.

```

1  _getPeer(peerId, callback) {
2      const idB58Str = peerId.toB58String()
3      const peer = this.peers.get(idB58Str)
4      // We already have the peer in our list
5      if (peer) return setImmediate(callback, null, peer)
6      // Let's dial to it
7      this.libp2p.dialProtocol(peerId, protocol, (err, conn) => {
8          if (err) return callback(err)
9          const peerInfo = this.libp2p.peerBook.get(peerId)
10         const peer = this._addPeer(peerInfo, conn)
11         callback(null, peer)
12     })
13 }
14
15 _onConnection(protocol, conn) {
16     conn.getPeerInfo((err, peerInfo) => {
17         if (err) {
18             // Terminate the pull stream
19             return pull(pull.empty(), conn)
20         }
21         this._addPeer(peerInfo, conn)
22     })
23 }
24
25 _listenToPeerConn(idB58Str, conn, peer) {
26     pull(
27         conn,
28         lp.decode(),
29         pull.map(data => protobuffers.RPC.decode(data)),
30         pull.drain(
31             rpc => this._onRPC(idB58Str, rpc),
32             err => this._onConnectionEnd(err, idB58Str, peer)
33         )
34     )
35 }

```

Listing 4.4: Pulsarcast internal peer and connection handlers. These methods abstract the logic of connection handling to all the other parts of the Pulsarcast system.

```

1  class Peer extends EventEmitter {
2      constructor(peerInfo, conn = null) {
3          log.trace('New peer registered %j', { peer: peerInfo.id.toB58String() })
4          assert(peerInfo, 'Need a peerInfo object to initiate the peer')
5          super()
6
7          this.stream = null
8          this.conn = conn
9          this.info = peerInfo
10         this.trees = new Map()
11
12         if (conn) {
13             this.attachConnection(conn)
14         }
15     }
16     (...)
17 }

```

Listing 4.5: Peer class constructor

```

1 class TopicNode {
2   constructor(name, author, options = {}) {
3     assert(author, 'Need an author to create a topic node')
4     this.name = name
5     this.author = author
6     this.subTopics = {}
7     this.parent = options.parent ? new CID(options.parent) : null
8     if (options.subTopics) {
9       this.subTopics = Object.entries(options.subTopics)
10        .map(([name, topicB58Str]) => ({ [name]: new CID(topicB58Str) }))
11        .reduce((topics, topic) => ({ ...topic, ...topics }), {})
12     }
13     this.metadata = createMetadata(options.metadata)
14   }
15   (...)
16 }

```

Listing 4.6: TopicNode class constructor

```

1 class EventNode {
2   constructor(topicCID, author, payload, options = {}) {
3     assert(topicCID, 'Need a topicCID object to create an event node')
4     assert(author, 'Need an author to create an event node')
5     assert(payload, 'Need a payload to create an event node')
6     this.topicCID = topicCID ? new CID(topicCID) : null
7     this.author = author
8     this.payload = payload
9     this.publisher = options.publisher
10    this.parent = options.parent ? new CID(options.parent) : null
11    this.metadata = createMetadata(options.metadata)
12  }
13
14  get isPublished() {
15    return Boolean(this.publisher)
16  }
17  (...)
18 }

```

Listing 4.7: EventNode class constructor

- `serialize()` - Return an object in the canonical format described in Chapter 3 of the descriptor in question, with all the CIDs in binary format (buffers). Mostly useful for when we are about to convert this descriptor to a protocol buffer and forward it.
- `deserialize(node)` - Convert a descriptor in a serialized format to an instance of its class, returning that same instance. A static method for each class.

4.1.4 RPC Handlers

Pulsarcast's RPC handlers are responsible for containing the implementation of the critical algorithms mentioned in Chapter 3. These functions are not only used by the Pulsarcast class when a new command is invoked but are also registered to handle incoming messages from other nodes. A generic handler is responsible for receiving every incoming message and, depending on the type of operation (see 3.3), forward it to the correct handler.

It is also the generic handler responsibility to validate incoming and outgoing messages, making sure they obey our pre-defined schemas. This is important because specific requirements and rules cannot be enforced at the protocol buffer level. For this purpose, we use the already mentioned validation library, Joi. Joi allows us to write up validation schemas that are easy to cope with, in Javascript. Listing 4.8 provides an example of such schema in practice.

```

1  const metadata = Joi.object()
2    .keys({
3      created: Joi.date().iso().required(),
4      protocolVersion: Joi.string().required()
5    }).required()
6
7  const eventDescriptor = Joi.object().keys({
8    publisher: Joi.binary().required().allow(null),
9    author: Joi.binary().required(),
10   parent: Joi.object()
11     .keys({
12       '/': Joi.binary().allow(null)
13     }).required(),
14   payload: Joi.binary().required(),
15   topic: Joi.object()
16     .keys({
17       '/': Joi.binary().required()
18     }).required(),
19   metadata
20 })

```

Listing 4.8: Joi schema for and event descriptor

We have split our RPC handlers into outgoing and incoming and tried to reuse as much logic as possible. Hence why when the client invokes a new command through the Pulsarcat API, control is handed over to the respective RPC handler as if we had just received an RPC message from another node. Consequently, some of the logic described in the algorithms in Chapter 3 is split between incoming/outgoing RPC handlers. The following list provides an overview of the functions we have created:

- `rpc.receive.genericHandler()` - The generic handle responsible for receiving all the incoming RPC messages, validating these and forwarding it accordingly.
- `rpc.receive.publish()` - The receiving RPC handler for publish events, responsible for partially implementing Algorithm 3. Upon receiving the `eventNode` and the id of the peer sending

it (`idB58Str`), it starts by checking if the publish originated in that same node. Checks for the existence of the topic descriptor the event refers to and checks this node's permission to publish. Depending on the result, the function hands control over to the `rpc.send.publish` function or `rpc.receive.requestToPublish`.

- `rpc.receive.requestToPublish()` - The receiving RPC handler for request to publish events, responsible for partially implementing Algorithm 3. Upon receiving the `eventNode` and the id of the peer sending it (`idB58Str`) checks for the existence of the topic descriptor the event refers to and checks this node's permission to request to publish. It then checks this node's permission to publish the event. Depending on the result, the function hands control over to the `rpc.send.publish` function or the `rpc.send.requestToPublish`.
- `rpc.receive.join()` - The receiving RPC handler for a new subscription, responsible for partially implementing Algorithm 2. Upon receiving the `topicCID` and the ID of the peer sending, it (`idB58Str`) checks for the existence of the topic descriptor we want to join to and if the join command originated at this node. If not, it means we have received this join request from another node, as such, we need to add it to our event dissemination tree for this topic. With the new state appropriately set, we check if we are already part of this dissemination tree, if not we need to generate a join request ourselves and forward it, handing over control to `rpc.send.publish`.
- `rpc.receive.leave()` - The receiving RPC handler for unsubscribe requests. This function is similar to its counterpart responsible for subscribing to a topic.
- `rpc.send.publish()` - The outgoing RPC handler for event publishing, responsible for partially implementing Algorithm 4. It starts by checking if the event is being created at this node. If it is, it links it to its parent event accordingly and stores it in the DHT. If the node is subscribed to this topic, the event is emitted to the application level. Finally, the event is forwarded to the branches of the dissemination tree who have not received it yet.
- `rpc.send.requestToPublish()` - The outgoing RPC handler for request to publish events, responsible for partially implementing Algorithm 4, mainly consisting of forwarding the requests to the appropriate dissemination tree branches.
- `rpc.send.join()` - The outgoing RPC handler for subscription requests, responsible for partially implementing Algorithm 2. Upon being called, it looks up for the closest known peer to the topic author, locally stored in DHT. With the closest peer id, we update our node's dissemination tree parent for this topic and send our join RPC request.
- `rpc.send.leave()` - The outgoing RPC handler for unsubscribe requests. Mostly the same logic as its counterpart `join` but for leaving a topic.
- `rpc.send.newTopic()` - This function handles the topic creation logic, responsible for implementing the Algorithm 1. When called, it starts by validating the new topic, checking if its sub-topics and parent are valid and exist, creating a meta topic if one has not been provided already, storing these in the DHT and finally propagating the new topic through the meta-topic.

4.1.5 Usage

Listing 4.9 provides a usage example of our Javascript module. Keep in mind that this is a oversimplified example of course, with a single node, its purpose is to understand how the API comes together and allows applications to integrate with it.


```

1  const Pulsarcast = require('pulsarcast')
2
3  // node is a libp2p Node
4  const pulsarcastNode = new Pulsarcast(node)
5
6  const pulsarcastNode.start((err) => {
7    if (err) console.log('No!!!', err)
8
9    pulsarcastNode.createTopic('fuuuuun', (err, cid, topicNode) => {
10     if (err) console.log('No!!!', err)
11     console.log('Our new topic \o/', topicNode)
12
13     pulsarcastNode.on(cid.toBaseEncodedString(), (eventNode) => {
14       console.log('event', eventNode)
15     })
16
17     pulsarcastNode.publish(cid.toBaseEncodedString(), new Buffer('super fun!'),
18     (err, eventCID) => {
19       if (err) console.log('No!!!', err)
20       console.log('published', eventCID.toBaseEncodedString())
21     })
22   })
23 })

```

Listing 4.9: Usage example of our Pulsarcast module

4.2 Testbed

As part of our implementation, we needed a way to test our Pulsarcast system. However we had a set of specific requirements that made our choice of tools harder. We needed something that fulfilled the following:

- Easily deploy and test different versions of our module.
- Run tests not only on Pulsarcast but also on IPFS' own pub-sub implementation.
- Able to extract relevant usage metrics.
- Simulate network constraints such as latency.
- Able to run locally but easily scalable to a large network.
- Can be controlled from a central point, while being able to interact with specific nodes in the system.
- Easy to create scripts for, so that we could automate as much of our test suite as possible.

Unfortunately for us, we could not find a tool that, straight out of the box, ticked all of these requirements. We were then faced with the need to create our own testbed which we further detail in the next sections.

4.2.1 Architecture

If we look carefully into the set of requirements above we see that a core principle that can be extracted is reproducibility. Reproducibility allows us to run our testbed locally or in a cloud service somewhere in the world and still expect the same behaviour and results. Reproducibility is usually achieved through virtualisation mechanisms that allow a certain workload to behave and act the same, whether we are

running it locally in our workstation or in a machine with different specifications in the other end of the world. Nowadays this is most commonly done through containerisation techniques^{13 14} with tools such as Docker¹⁵, which we used to create a containerised version of our module. To orchestrate our containerised application we used Kubernetes¹⁶, an open source orchestration platform based on Google's learnings on running containerised workloads at scale¹⁷, and one of the most popular solutions in the field.

Kubernetes exposes a set of relevant usage metrics^{18 19} however we still need to process, aggregate and correlate these with the data from our test runs. In order to do this we rely on Elasticsearch²⁰ to store metrics and test result data. Prior to consumption by the Elasticsearch, the data is piped through Logstash²¹ for adequate processing. At each Kubernetes node, a couple of agents entitled Beats²² are responsible for forwarding metrics and test data acquired through logs (events published, messages sent, etc.). The final piece of the puzzle is Kibana²³, used to query data and build useful visualisations. Together, all these tools are commonly referred to as the Elastic Stack²⁴ and are the key to how we gather results from our test runs. Figure 4.3 provides an overview of this pipeline in practice.

As we know it, Pulsarcast is just a module that applications can use to build on top of. In order to test it properly we needed something to allow us to perform operations on demand in a Pulsarcast network (create a topic, subscribe publish, etc.). For both simplicity sake but also because we wanted to use it as our own test baseline, we've created a fork of the Javascript IPFS module, and some of its dependencies, that instantiates and exposes a Pulsarcast node. We stripped it down of anything that it didn't need in order to run Pulsarcast and its own version of pub-sub, so that we could avoid having extraneous logic disturb our test results and/or increase resource consumption. This way we got an application which exposed an HTTP API that allowed us to interact with both systems (Pulsarcast and IPFS' pub-sub). The source code for this fork is available and open source²⁵.

In order to accurately test our solution we needed a way to simulate abnormal network conditions. Toxiproxy²⁶ ended up being our tool of choice. Toxiproxy worked by acting as a TCP proxy that, programmatically through an HTTP API, allowed us to inject multiple kinds of faults (known as toxics in the project). By putting it in front of our IPFS nodes we were able to simulate different network conditions. Figure 4.3 provides a visual explanation on how our IPFS deployment and Toxiproxy fit together.

Our IPFS deployment together with Toxiproxy makes up a configurable deployment to which we call IPFS Testbed. The template for this deployment (built using Helm²⁷) can be seen in our Helm Charts repository²⁸.

This whole setup has been coded so that we can run it in an automated fashion, being able to bootstrap the Kubernetes cluster, deploy the Elastic Stack and deploy any amount of IPFS Testbed nodes. Figure 4.4 gives us an idea of what this would look like. As usual, the logic for this is open source and accessible²⁹.

¹³<https://www.freebsd.org/doc/handbook/jails.html>

¹⁴<https://blog.jessfraz.com/post/containers-zones-jails-vms>

¹⁵<https://www.docker.com/products/container-runtime>

¹⁶<https://kubernetes.io/>

¹⁷<https://research.google/pubs/pub43438/>

¹⁸<https://kubernetes.io/docs/tasks/debug-application-cluster/resource-metrics-pipeline/>

¹⁹<https://github.com/kubernetes/kube-state-metrics>

²⁰<https://www.elastic.co/products/elasticsearch>

²¹<https://www.elastic.co/products/logstash>

²²<https://www.elastic.co/products/beats>

²³<https://www.elastic.co/products/kibana>

²⁴<https://www.elastic.co/products/elastic-stack>

²⁵<https://github.com/jgantunes/js-ipfs>

²⁶<http://toxiproxy.io>

²⁷<https://helm.sh/>

²⁸<https://github.com/JGAntunes/helm-charts/tree/master/ipfs-testbed>

²⁹<https://github.com/JGAntunes/ipfs-testbed>

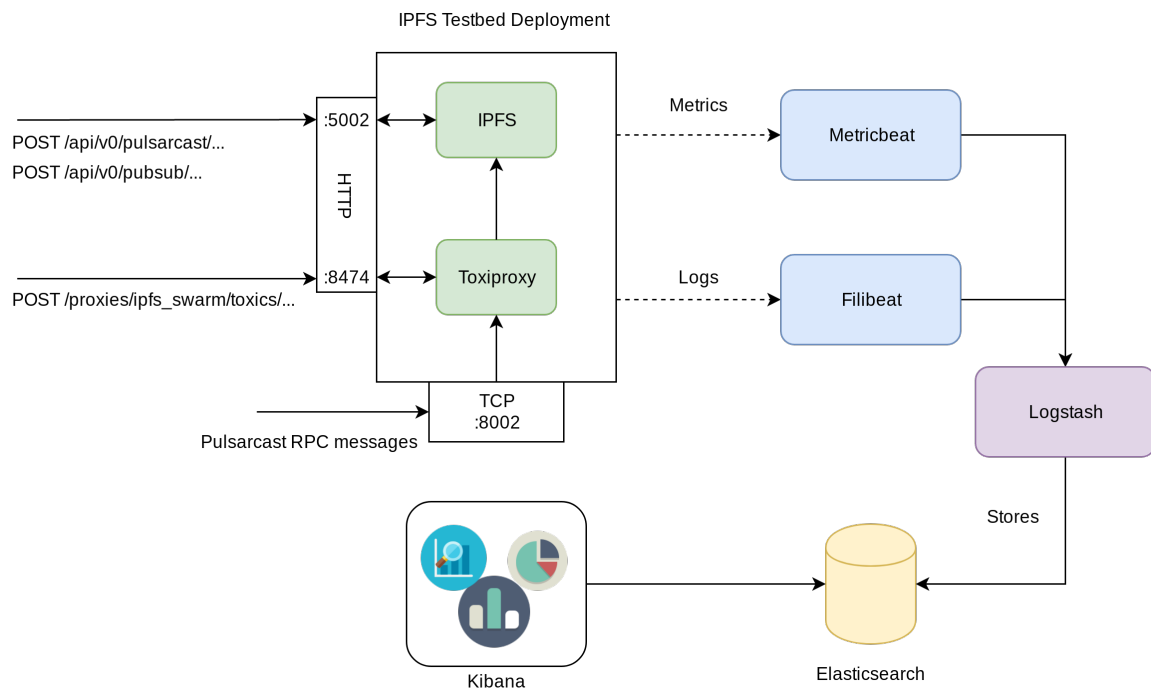


Figure 4.3: Overview of our ipfs-testbed deployment and our metrics/logs pipeline

4.2.2 Usage

After our testbed is built and running, we still need to come up with a way to easily interact with it. Our goal was to be able to orchestrate everything from a single machine and, of course, make it in a way that can easily be automated so that human intervention is barely required. For this purpose, we have built a CLI tool that allows us to interact with our testbed nodes, named `ipfs-testbed-cli`³⁰.

Under the hood our tool interacts with the Kubernetes API Server, using the credentials locally stored in the machine, to extract the addresses for our testbed node's IPFS and Toxiproxy APIs. Through these we can inject either IPFS commands or Toxiproxy configurations in any node. Listing 4.10 gives us a set of example usages. Specifically, for our test runs, we have introduced two commands that allowed for running a set of bulk operations using IPFS' Floodsub and Pulsarcast APIs. Our Toxiproxy command also supported bulk creation. This allowed us to automate most of our test executions to a couple of one-line commands from our machine.

³⁰<https://github.com/JGAntunes/ipfs-testbed-cli>

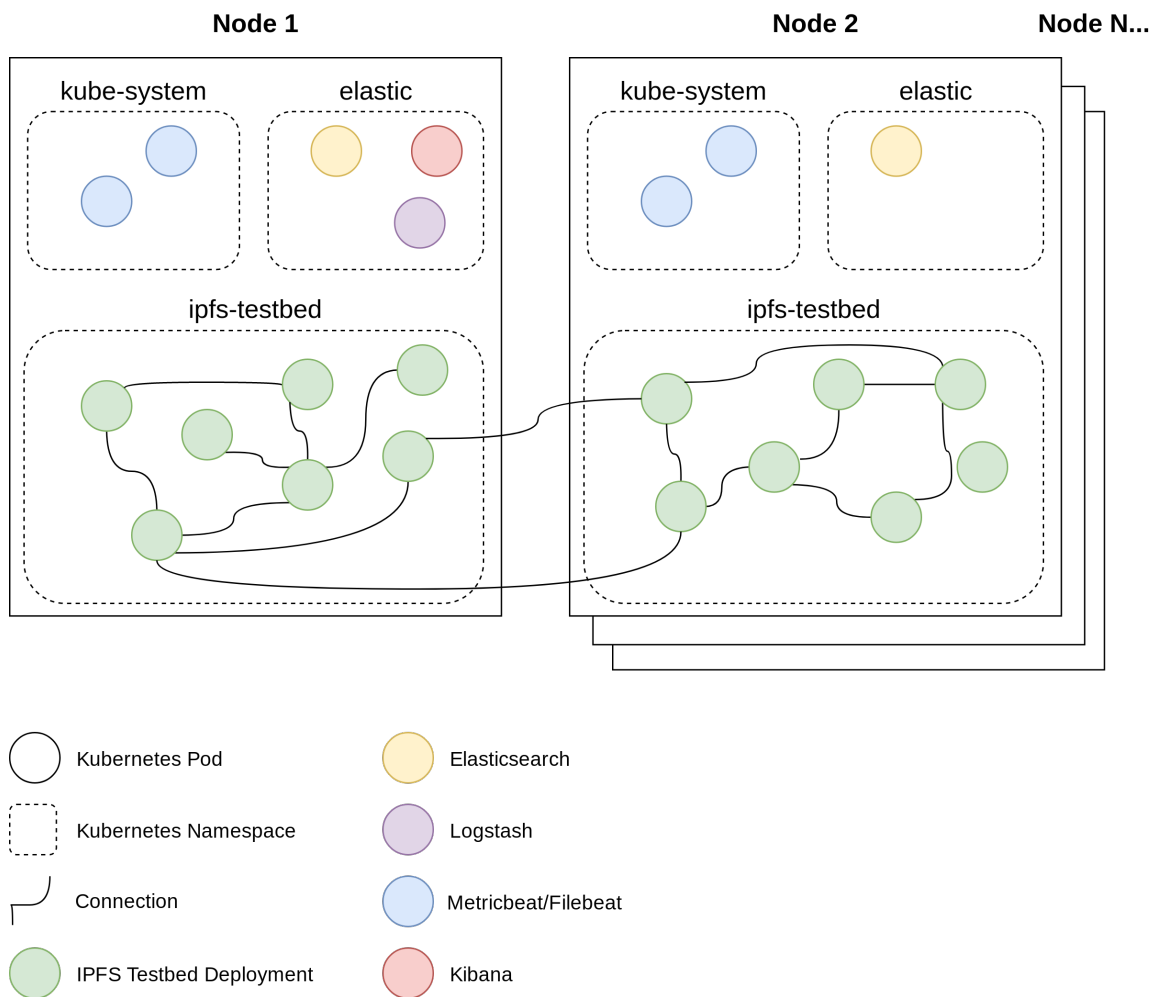


Figure 4.4: Example of our system deployed in a Kubernetes cluster

```

1 # Create latency toxics of 500 ms with 300 ms jitter on all of the nodes (bulk
  =1)
2 >$ ipt create toxic latency --latency=500 --jitter=300 --bulk=1
3
4 # Execute a ping from one node to another
5 >$ ipt exec ping <from-peer-id> <to-peer-id>
6
7 # Execute a create pulsarcast topic command at node-1
8 >$ ipt exec pulsarcast create sports --node-id=node-1
9
10 # Execute a floodsub subscribe command at node-1
11 >$ ipt exec floodsub subscribe sports --node-id=node-1
12
13 # Execute a series of bulk pulsarcast commands from file
14 >$ ipt exec pulsarcast load some-bulk-file
15
16 # Execute a series of bulk floodsub commands from file
17 >$ ipt exec floodsub load some-bulk-file

```

Listing 4.10: IPFS Testbed CLI example usages

4.3 Summary

Throughout this chapter, we covered the implementation of our Pulsarcast architecture and specification in Section 4.1. We started by briefly describing our Javascript module, its libp2p dependencies and the way it fits together with the libp2p ecosystem. Afterwards, we deep-dived into our module. Its code organisation, structure, as well as the classes that constitute the backbone of it. Next in our list, we looked into our RPC handlers, responsible for implementing Pulsarcast's core logic of subscription management and event dissemination. Finally, we provided a simple usage example of our module.

However, as we pointed out, we needed a way to test our system reliably. Given we could not find anything that supported our requirements for flexibility of deployment, control, and reproducibility, we had to build it ourselves. Section 4.2 details our chosen solution. We created a reproducible deployment of IPFS containing our Pulsarcast implementation with Toxiproxy acting as an inbound TCP proxy. This artefact is then deployed and scaled as needed in a Kubernetes cluster, who collects metrics and logs using a stack of Elasticsearch, Beats, Logstash and finally Kibana for visualisation.

Chapter 5

Evaluation

In order to evaluate our system, we want to look at its core focus, its eventual delivery guarantees and overall performance. We not only test our system by itself, but we also compare it with the current solution tied to libp2p, entitled Floodsub (currently the default pub-sub implementation within IPFS). To do this, we rely on the tools previously described in Section 4.2.

We start our evaluation by looking into how our testbed is configured in Section 5.1. In Section 5.2, we document the dataset we used as well as the transformations necessary to make this data viable. We then move on to an analysis of the metrics we intended to gather in Section 5.3. We are now ready to look into our executions in Section 5.4 as well as its results in Section 5.5, where we not only compare Pulsarcast's performance but also address its new functionalities, such as the ability to rebuild our topic stream.

5.1 Testbed configuration

We designed our test runs to be executed in managed infrastructure (commonly known as cloud services). For the initial runs and general fine-tuning of the platform, we relied on Google Cloud's managed Kubernetes solution. Later on, and for our actual test executions, we ran all of our tests in Microsoft's Azure Kubernetes solution, thanks to Microsoft and the Azure team, who were kind enough to support our efforts and offer us free credits.

Our whole setup consisted of a total of 5 VMs acting as Kubernetes Worker nodes, each with two vCPUs, 16 GiB of RAM and 32 GiB of storage. In our cluster, besides other operational bits, we ran 3 Elasticsearch instances, 1 Logstash instance, 1 Kibana and a total of 100 IPFS Testbed deployments (as described in Section 4.2.1). Because we wanted to avoid resource starvation and to better take advantage of the Kubernetes scheduler, our testbed deployments allocate 440 MiB of memory per deployment, each burstable to a maximum of 500 MiB. During our whole test execution, periodic HTTP health checks (part of the Kubernetes platform) make sure our deployments are working accordingly.

Test executions are managed through a single machine, from where all the commands are sent. During execution, a max of 5 commands are performed in parallel, with a slight 10-millisecond delay added between each bulk execution. All the requests are subject to retries. In case of failure, a maximum of 5 attempts can be executed. After these, the failure is registered, and the execution moves on.

5.2 Dataset

To test our system accordingly, we wanted a dataset that could simulate a real-life scenario as much as possible. We chose to use a dataset of Reddit's ¹ comments from 2007 ^{2 3} consisting of a sample of approximately 25000 comments in a total of 23 topics (known as subreddits in the platform). For our test runs, the comments represent events and the topics subreddits.

5.2.1 Filtering and Normalisation

Our dataset consisted of line separated JSON structures, each describing a comment. Given the broad set of data, we started by sampling a set of 25000 messages. Following this, we needed to first, remove comments from unknown users (users that had deleted the account at the time when the comments were scrapped). Next, we had to normalise our user number (reduce the number of publishers in the dataset to the number of active nodes in our Pulsarcast system). A final step of data correlation had to take place as well. We ended up creating a CLI tool that consumed data from this dataset and generated a document of multiple JSON objects separated by newlines ⁴, ready to be used by our ipfs-testbed-cli, described in Section 4.2.2. Examples of the output produced can be seen in Section 5.1

5.2.2 Data distribution

The following graphs give us a distribution analysis of events published per topic (Figure 5.1), subscriptions per topic (Figure 5.2) and subscription distribution per nodes (Figure 5.3). Given our dataset choice, we aimed for a non-uniform subscription distribution per topic and, as it would be expected in a real-world scenario, the distribution of events follows a power law based on their popularity.

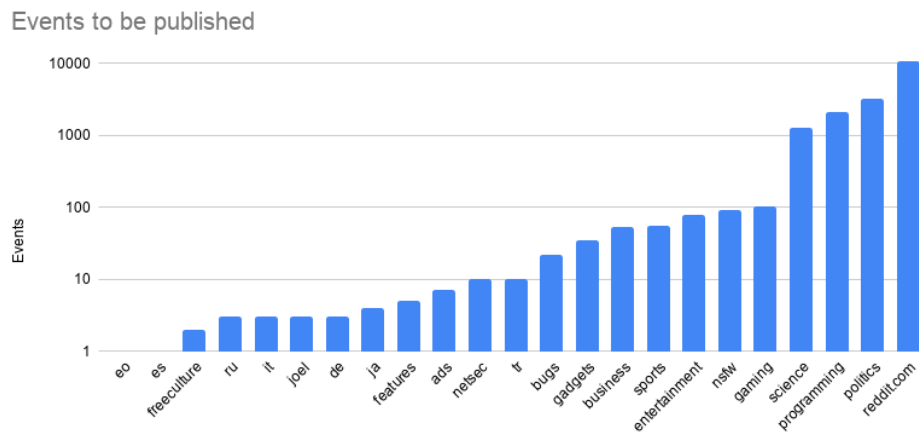


Figure 5.1: Event distribution per topic with log scale

¹<https://www.reddit.com/>

²<http://academictorrents.com/details/7690f71ea949b868080401c749e878f98de34d3d>

³https://www.reddit.com/r/datasets/comments/3bxl7/i_have_every_publicly_available_reddit_comment/

⁴<https://github.com/JGAntunes/pulsarcast-test-harness>


```

1 // Topic
2 {
3   "type": "topic",
4   "node": "node-71",
5   "name": "reddit.com",
6   "author": "test-user",
7   "totalNumberEvents": 1
8 }
9
10 // User
11 {
12   "type": "user",
13   "name": "foobar",
14   "node": "node-71",
15   "events": [
16     {
17       "internalId": 0,
18       "topic": "reddit.com",
19       "body": "test",
20       "ups": 1,
21       "downs": 0,
22       "controversiality": 0
23     },
24     {
25       "internalId": 176,
26       "topic": "reddit.com",
27       "body": "test-123",
28       "ups": 1,
29       "downs": 4,
30       "controversiality": 0
31     }
32   ],
33   "subscriptions": {
34     "reddit.com": true,
35     "politics": true,
36     "business": true,
37   }
38 }

```

Listing 5.1: Data example to be used in testbed

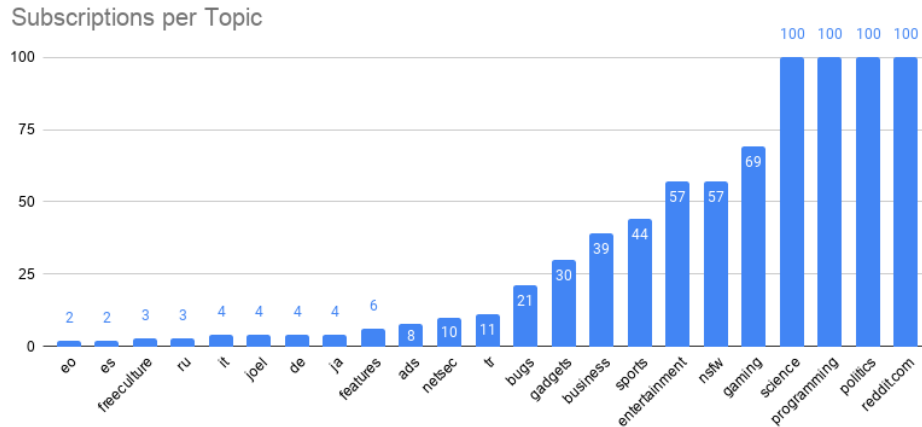


Figure 5.2: Subscription distribution per topic

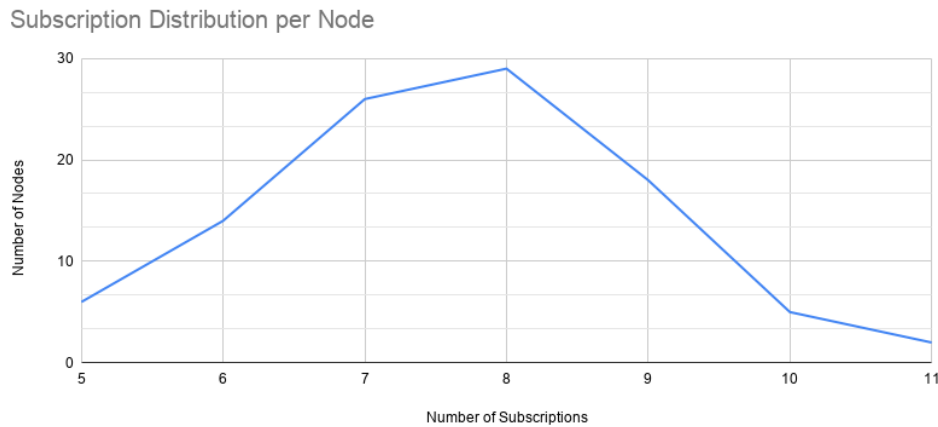


Figure 5.3: Subscription distribution per number of nodes

5.3 Metrics

For each execution, we look to extract two key groups of data: resource usage data and QoS data. The following list describes these in more detail:

- Resource usage as a total in the whole cluster, and per-node (95/99 percentile and average)
 - CPU Usage (CPU number)
 - Memory Usage (GiB)
 - Network Usage (MiB transmitted)
- QoS
 - Events published by topic and in total
 - Events received by topic and in total
 - Percentage of subscriptions fulfilled based on the number of events successfully published
 - Percentage of subscriptions fulfilled based on the total number of events injected in the system
 - Number of RPC messages sent per topic and in total

- Average, standard deviation and percentiles (99/95) of the number of RPC messages received and sent by each node

We measure the subscription coverage (number of subscriptions fulfilled) through two distinct metrics. The percentage of fulfillment having the number of events effectively published as a reference and the percentage of fulfillment having the total number of events injected into the system as reference. Given Pulsarcast's nature, when an event is injected into the system, depending on the topic configuration, it may need to be propagated through the dissemination trees before being effectively published (*request to publish*). It also needs to be persisted in the DHT. Having two different metrics allow us to better analyse and distinguish the different behaviours of the system.

It is essential to keep in mind that some of the metrics under the QoS group only make sense in Pulsarcast test runs, hence will be ignored when running the baseline Floodsub solution.

5.4 Executions

We have devised a total of 6 test executions we wanted to go through and compare results. Starting with the three base scenarios, we wanted to test:

- Pulsarcast without order delivery guarantee
- Pulsarcast with order delivery guarantee
- Floodsub (baseline solution)

For the first one, we run a scenario where every created topic in Pulsarcast allows every participating node to publish events. For the second execution, we configure the topics so that only the creator of the topic is allowed to publish, all the events from other nodes will need to be forwarded as a request to publish (as described in Section 3.3.3). Floodsub was used as it is, as no configuration is required.

For each of the executions described above, we performed two tests, one without any network disturbances, and another using Toxiproxy's features, adding a latency of 500 milliseconds and 300 milliseconds of jitter to every incoming TCP packet.

5.5 Results

In this section we will evaluate the results for each of the executions we described, followed by a comparison and analysis of these.

5.5.1 Pulsarcast With Order Guarantee

For this test, we explored how the Pulsarcast system performed when only a single node (the creator of the topic), was allowed to effectively publish events. The execution took a total of 38 minutes. However, at the 10-minute mark, one of our nodes (the root node for the reddit.com topic) became unresponsive due to the load it was dealing with and the lack of CPU power to handle it, eventually leading the Kubernetes scheduler to restart it. Given that Pulsarcast does not provide a recovery mechanism for root nodes of a topic out of the box and the fact that this was the only node allowed to publish (for this specific topic), we ended up seeing a clear impact in our results.

Looking at Figures 5.4 and 5.5 we can see that, of the total of events injected into the system, Pulsarcast fulfilled 37% of its subscriptions. Having the number of events effectively published as reference

Event fulfillment comparison by topic

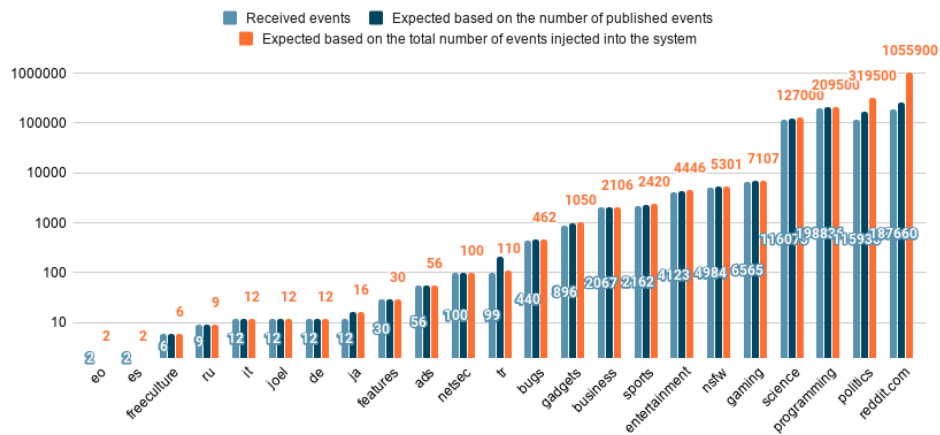


Figure 5.4: Pulsarcast with order guarantee - Comparison of of events fulfilled by topic in a log scale

Event percentage fulfillment comparison by topic

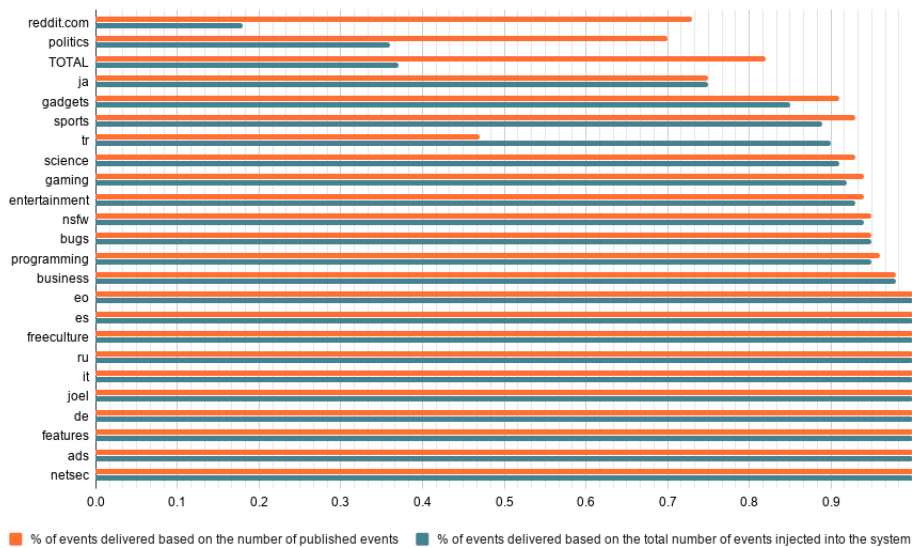


Figure 5.5: Pulsarcast with order guarantee - Comparison of percentage of events fulfilled by topic

(the *requests to publish* that reach the topic root node and single publisher), Pulsarcast fulfilled 80% of its subscriptions. The disparity between both values clearly highlights that we suffered from the early drop-out node. Given that this same node was the root node for the most popular topic (*reddit.com*), once it became unresponsive and restarted, all further requests to publish failed. However, it is important to notice that from all the events published, our system successfully covered 80% of its subscribers, which is a fairly high success rate.

Considering Figure 5.6, we see that the RPC messages injected in the system grow linearly with the number of events received.

Table 5.1 provides an overview of the Memory and Network utilisation by node. Given these grew linearly through the test execution, we are only presenting the final values. We also provide an RPC message analysis by node. Despite having a low consumption overall (Network and Memory wise), we can see the presence of a relatively large set of outliers, a possible consequence of the unfairness of this

Received events VS RPC messages

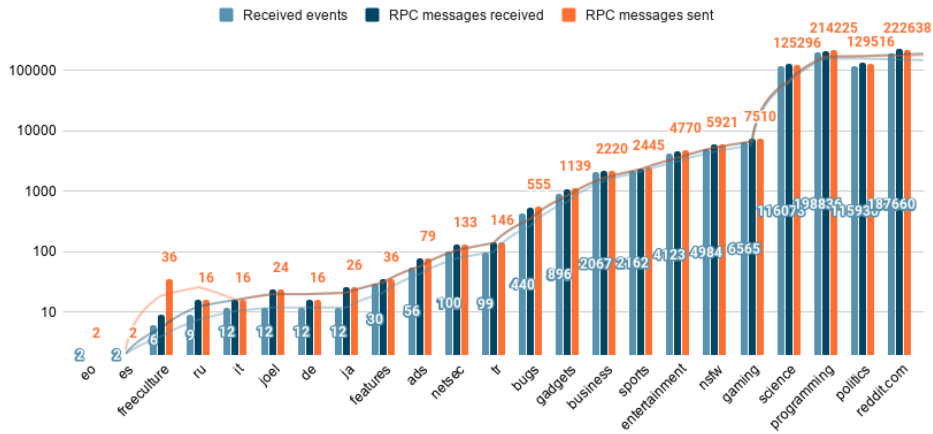


Figure 5.6: Pulsarcast with order guarantee - Comparison of events received and RPC injected in the system

execution, given its tendency to overload the owners of the topics. Now, looking at the graph in Figure 5.7 which shows us the CPU usage across time, we can see the same outlier pattern as before, as well as the moment (at the 10 minute mark) when the CPU usage picked for the node which eventually became unresponsive.

Table 5.1: Pulsarcast with order guarantee - Resource utilisation metrics per node

/	P95	P99	Average	Standard Deviation	Total
Memory (GiB)	0.207	0.358	0.178	[NA]	17.84
Network (MiB)	26.26	99.41	10.5	[NA]	1050.4
RPC Messages Received	8440	8961	7315.18	1205.68	[NA]
RPC Messages Sent	33655.5	80058.5	5889.29	13921.84	[NA]

5.5.2 Pulsarcast Without Order Guarantee

We will now be looking at the Pulsarcast scenario without order guarantee, which entails that now every node is allowed to publish messages to topics it is subscribed to. For this scenario, the execution took a total of 85 minutes.

Looking at Figures 5.8 and 5.9 we can see that, of the total of events injected into the system, Pulsarcast fulfilled 99% of its subscriptions. Taking the events effectively published as reference, Pulsarcast fulfilled 99% of its subscriptions. A clear difference to the previous execution.

Considering Figure 5.10, we see that the RPC messages injected in the system grow linearly with the number of events received.

Table 5.2 provides an overview of the Memory and Network utilisation by node. As previously, these grew linearly through the test execution we are only presenting the final values. We also provide an RPC message analysis by node. Memory wise, we have an evenly distributed consumption across nodes. In terms of network, we still have a couple of outliers, which can probably be explained by how the dissemination trees were formed. Now, looking at the graph in Figure 5.11 which shows us the CPU usage across time, we can see a low CPU usage in total. As for the distribution, we can see a small

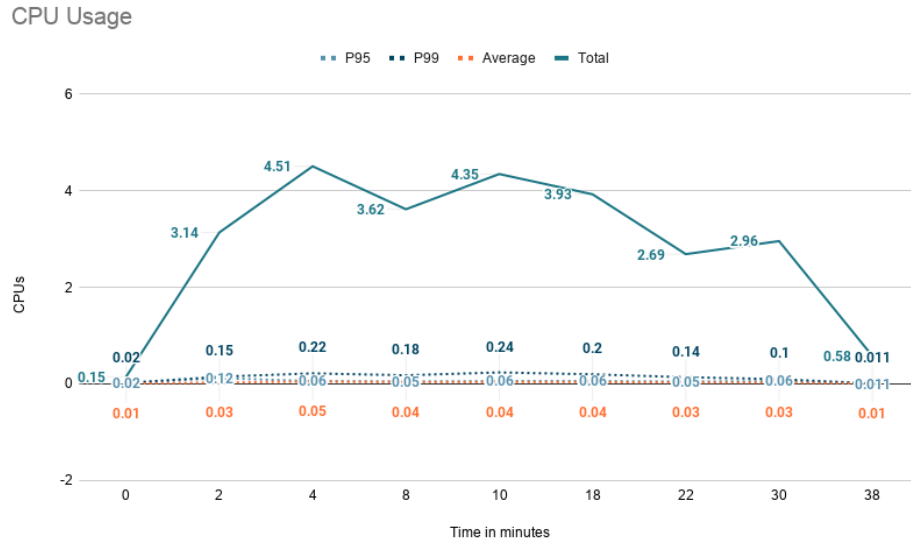


Figure 5.7: Pulsarcast with order guarantee - CPU usage across time

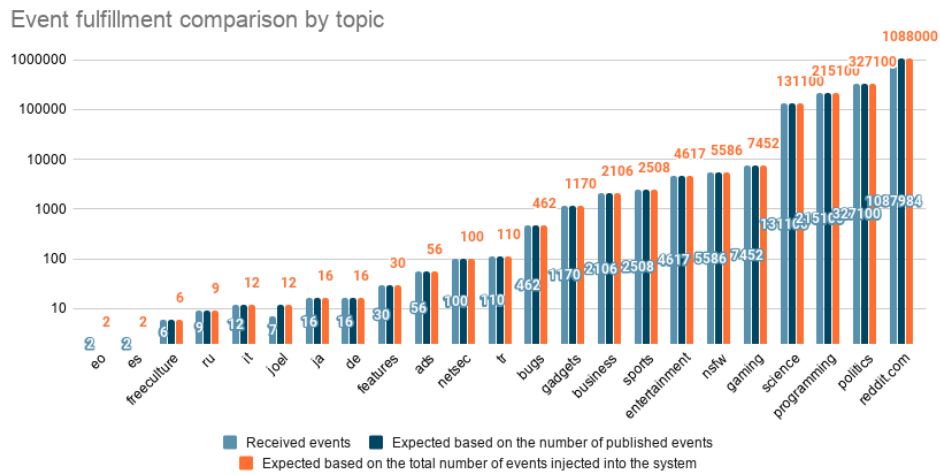


Figure 5.8: Pulsarcast without order guarantee - Comparison of of events fulfilled by topic in a log scale

set of outliers across time as these were the nodes publishing events at the time (explainable due to our heavy dependence on cryptographic hash functions). Overall though we see a clear difference in how resource consumption is more evenly distributed compared to the order guarantee experiment.

Table 5.2: Pulsarcast without order guarantee - Resource utilisation metrics per node

/	P95	P99	Average	Standard Deviation	Total
Memory (GiB)	0.369	0.378	0.319	[NA]	31.924
Network (MiB)	49.143	129.82	22.377	[NA]	2237.736
RPC Messages Received	17843	17889.5	17708.4	85.92	[NA]
RPC Messages Sent	84055	267124.5	17708.4	46329.87	[NA]

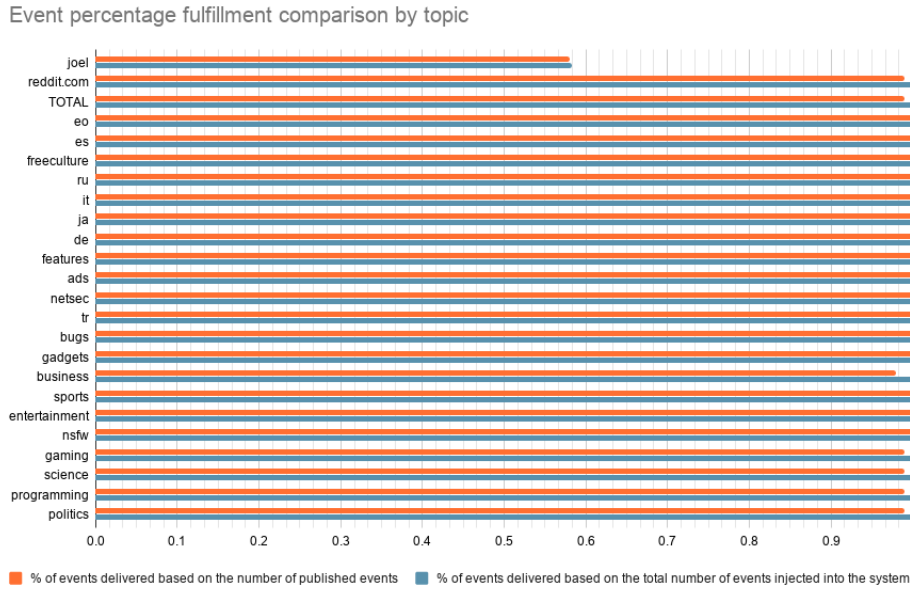


Figure 5.9: Pulsarcast without order guarantee - Comparison of percentage of events fulfilled by topic

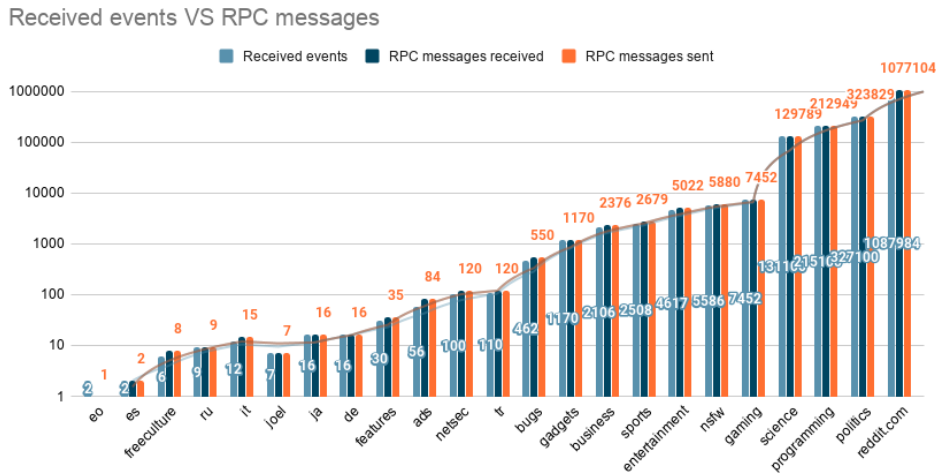


Figure 5.10: Pulsarcast without order guarantee - Comparison of events received and RPC injected in the system

5.5.3 Floodsub

Our next execution is a test run using Floodsub. The main goal of it is to act as a baseline for our system. It took a total of 70 minutes to finish. However, the whole network was unable to cope with the load of our execution and 10 minutes after our test started nodes became unresponsive and either crashed or were repeatedly terminated by our Kubernetes scheduler, eventually hitting a minimum of 15 nodes running. It took 50 minutes for the network to fully recover and have 100 nodes running again, only for the execution to finish 10 minutes later. This is a clear indicator of the Floodsub inability to handle the same workload as Pulsarcast did in the previous executions. Which is expected, as the way Floodsub operates is by forwarding messages to all of its peers, creating a huge amount of strain in the network (both CPU wise and in network data transmission).

Looking at Figures 5.12 and 5.13 we can see that, of the total of events injected into the system,

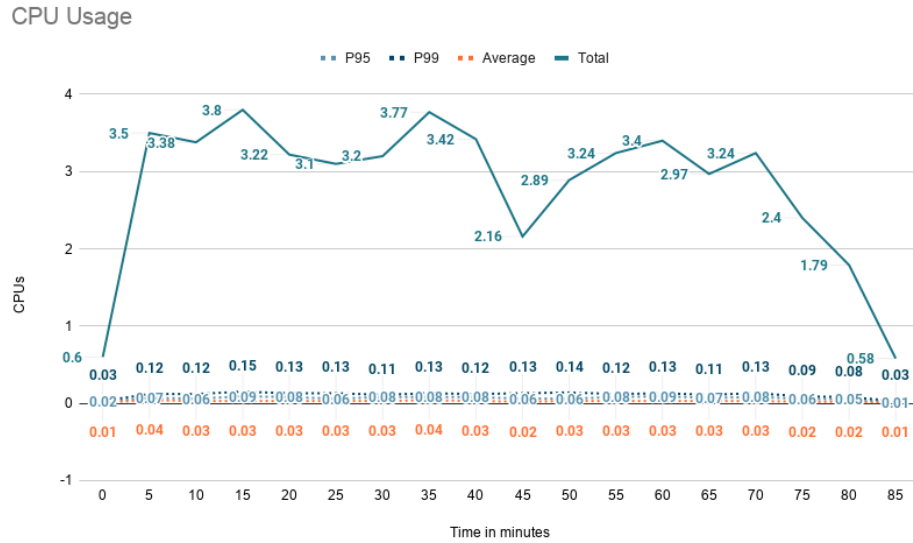


Figure 5.11: Pulsarcast without order guarantee - CPU usage across time

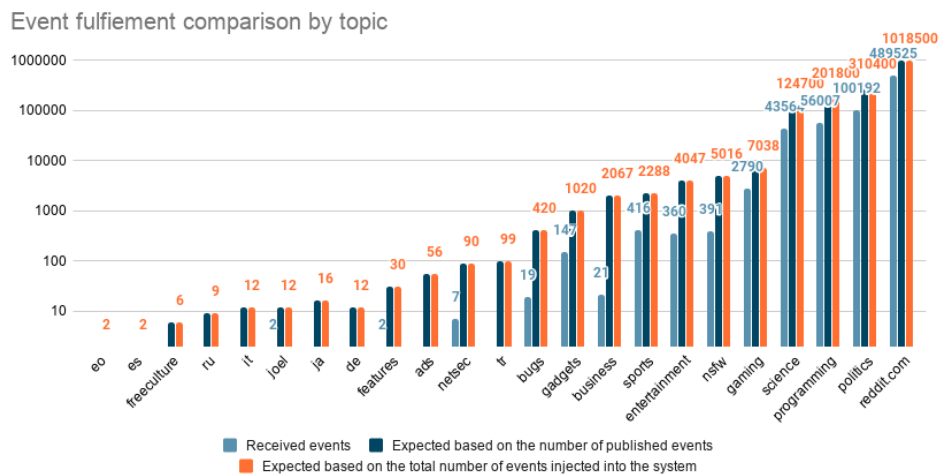


Figure 5.12: Floodsub - Comparison of of events fulfilled by topic in a log scale

Floodsub fulfilled 41% of its subscriptions. The same goes if we consider the events successfully published as the base reference. Analysing these values, we can see we have a slightly higher fulfilment percentage when comparing with Pulsarcast with order guarantee. However, a way lower fulfilment percentage comparing with the execution of Pulsarcast without order guarantee (which is the QoS level offered by Floodsub). Another thing to take into account is the way Floodsub performed on a per topic basis, failing to fulfil a whole range of low popularity topics.

Table 5.3 provides an overview of the Network utilisation by node. It grew linearly throw the test execution, and as such, we are only presenting the final values. Memory wise, contrary to previous executions, it fluctuated during the test (caused by the node failures) as such we are presenting these values in the form of a graph in Figure 5.14. The CPU usage can be seen in Figure 5.15. Starting by analysing the network usage, we see a total value of 6552 MiB transmitted, averaging at 66 MiB per node. This result comes as no surprise, given Floodsub's algorithm, which repeatedly retransmits the same event to all the peers each node is connected to. Now, looking at both the memory and CPU

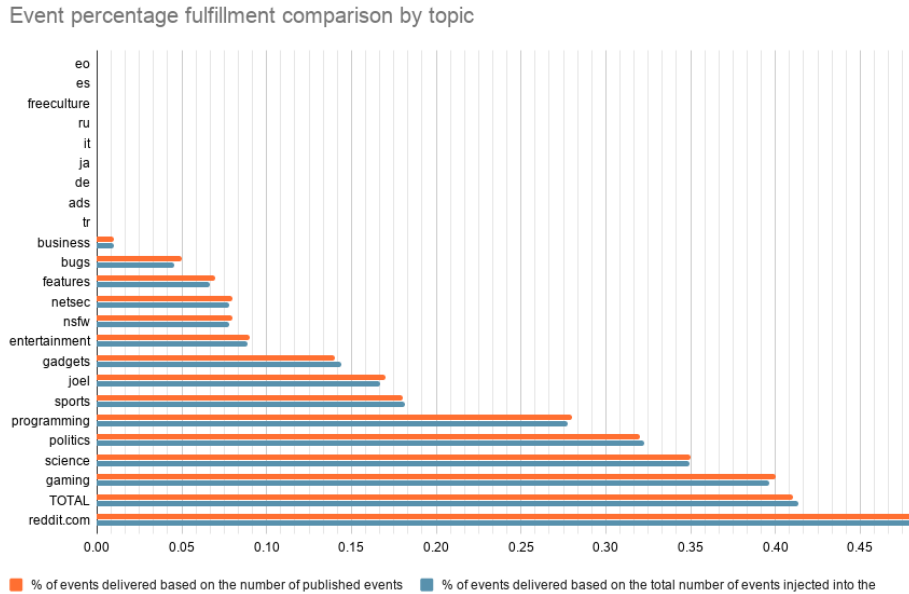


Figure 5.13: Floodsub - Comparison of percentage of events fulfilled by topic

evolution across time, these suffered from the node crashes. However, looking at the CPU usage, we see a total resource consumption greater than previous executions, as well as a more uniform distribution across nodes than, for example, the Pulsarcast order guarantee execution. With a P99 sometimes greater than 0.2, this explains the node failures across the test.

Table 5.3: Floodsub - Resource utilisation metrics per node

/	P95	P99	Average	Total
Network (MiB)	105.29	133.9	65.52	6552.4

5.5.4 Pulsarcast With Order Guarantee and Latency

For our next test, we explored how the Pulsarcast system performed when only a single node (the creator of the topic), was allowed to effectively publish events but, with a caveat, all the nodes were injected with an inbound latency of 500 ms and 300 ms of jitter. The execution took a total of 226 minutes, however, similar to what happened in the latency-free experiment, two of our nodes (the root nodes for the reddit.com and politics topics) became unresponsive at the 48 minutes and 196-minute marks respectively. This result was due to the load both nodes were dealing with and the lack of CPU power to handle it, eventually leading the Kubernetes scheduler to restart them. These two events ended up interfering with our results, just as it happened in the latency-free experiment.

Analysing Figures 5.16 and 5.17 we can see that, of the total of events injected into the system, Pulsarcast fulfilled 32% of its subscriptions. Having the events effectively published into the system as a reference, Pulsarcast fulfilled 62% of its subscriptions. Similar to what was described in Section 5.5.1, the nodes that restarted are the key reason for these lower percentages, given both were the root nodes for our most popular topics *reddit.com* and *politics*. All the requests to publish sent after the restart ended up rendering no effect. One interesting fact from this experiment is that, even though we increased the

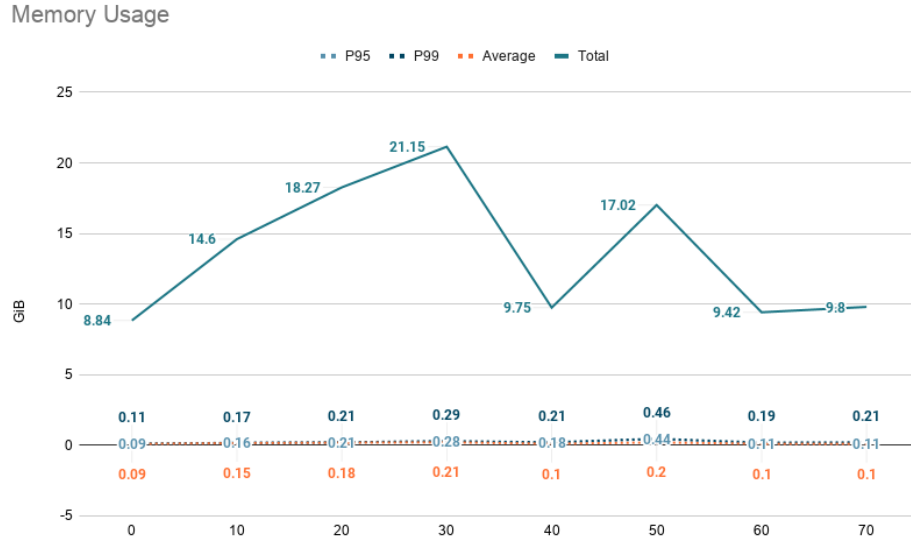


Figure 5.14: Floodsub - Memory usage across time

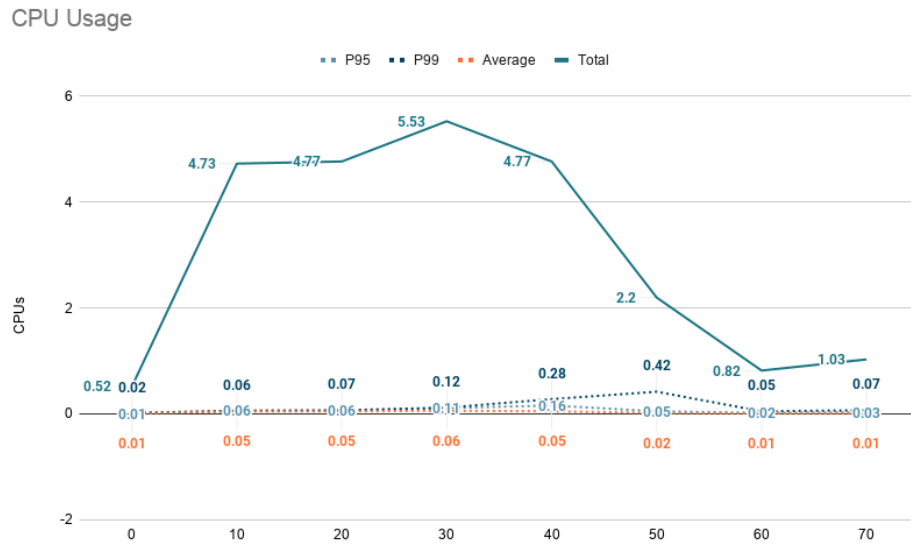


Figure 5.15: Floodsub - CPU usage across time

latency of the underlying network, the QoS did not suffer a clear impact. However, the whole experiment took a long time to fulfil. This is because the Pulsarcast implementation, when running the commands we discussed, waits on the initial propagation of events/topics before returning control to the caller (and consequently replying to the clients of our HTTP API). This ended up working as a backpressure system for our test suite.

As expected, Figure 5.18 shows us that the RPC messages injected in the system grow linearly with the number of events received.

Table 5.4 provides an overview of the Memory and Network utilisation by node. Similar to the previous experiments, these grew linearly throughout the test execution. As such, we are only presenting the final values. We also provide an RPC message analysis by node. Just as it happened in the latency-free execution, we have an overall low resource consumption, however, the presence of a clear set of outliers

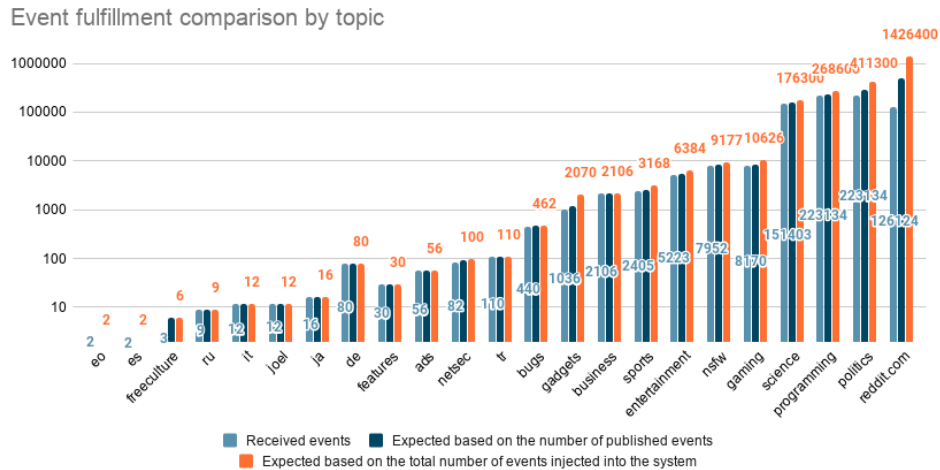


Figure 5.16: Pulsarcast with order guarantee and latency - Comparison of of events fulfilled by topic in a log scale

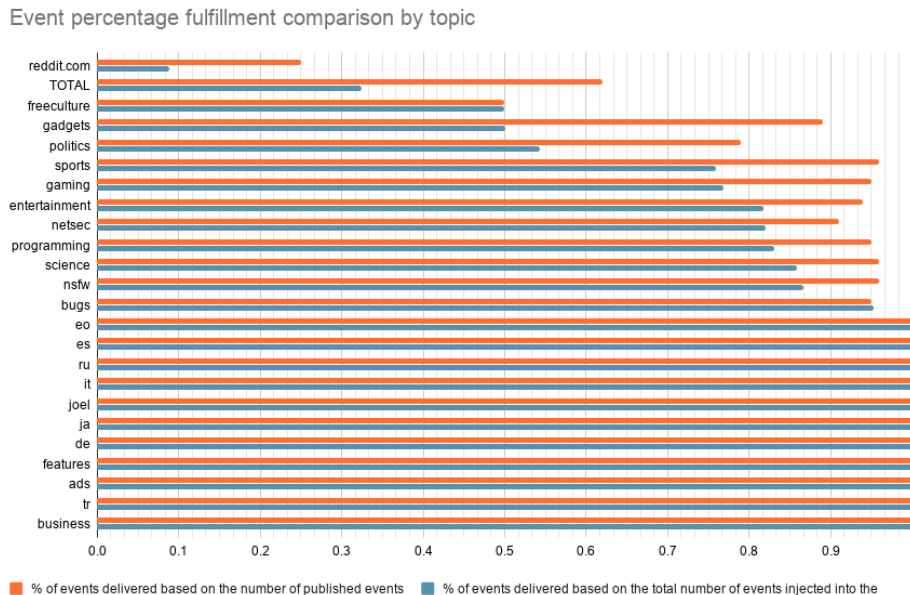


Figure 5.17: Pulsarcast with order guarantee and latency - Comparison of percentage of events fulfilled by topic

shows how unfair the network can be when order guarantee is enforced.

The graph in Figure 5.19 shows us the CPU consumption per node during the first 60 minutes. For simplicity sake, we only show a slice of the execution given that that same pattern repeats until the end of the test run. In it, we can see a spike pattern, consequence of the network latency induced. Each spike represented when the overall network was busy handling events. It is during one of these spikes that our first node became unresponsive (at the 48-minute mark) as well as the second node later on.

5.5.5 Pulsarcast Without Order Guarantee and Latency

The next execution explores how the Pulsarcast system performed when all the nodes were allowed to publish but, just as in the previous experiment, all the nodes experienced an inbound network la-

Received events VS RPC messages

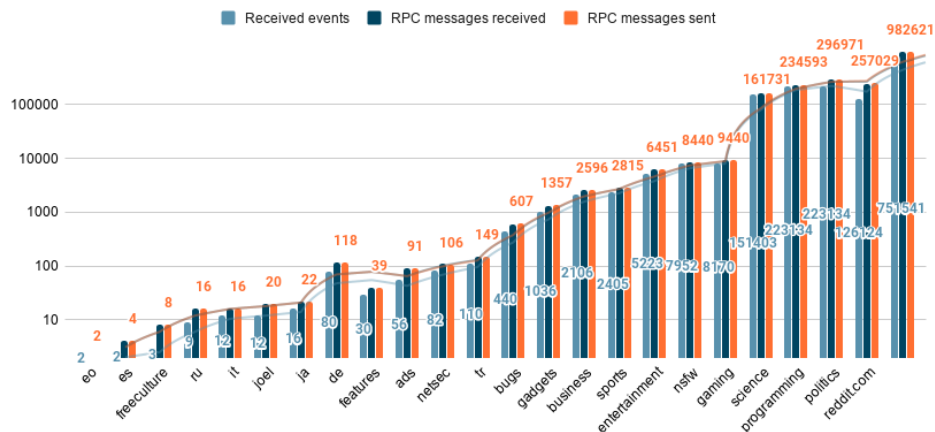


Figure 5.18: Pulsarcast with order guarantee and latency - Comparison of events received and RPC injected in the system

Table 5.4: Pulsarcast with order guarantee and latency - Resource utilisation metrics per node

/	P95	P99	Average	Standard Deviation	Total
Memory (GiB)	0.23	0.35	0.2	[NA]	19.99
Network (MiB)	37.05	150.18	15.96	[NA]	1595.6
RPC Messages Received	11731	11862.5	9647.13	1767.25	[NA]
RPC Messages Sent	43018.5	106661.5	8215.28	18268.99	[NA]

CPU Usage

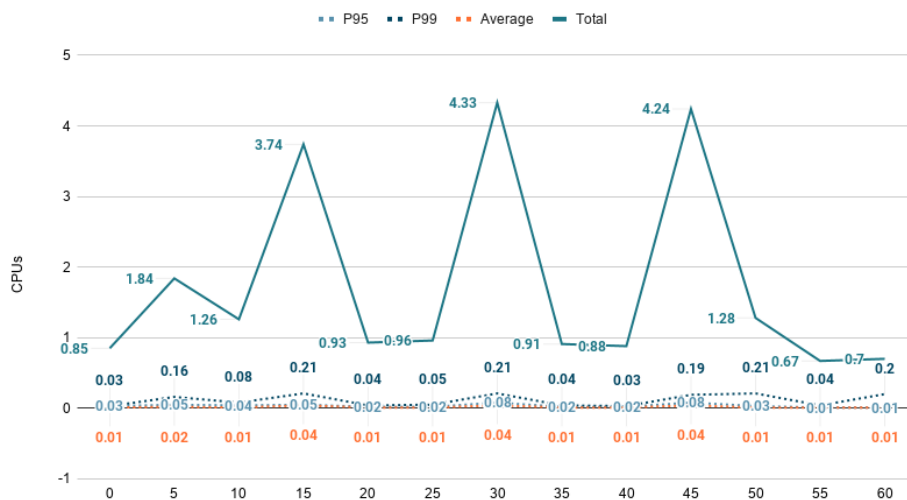


Figure 5.19: Pulsarcast with order guarantee and latency - CPU usage across time

tency of 500 ms and 300 ms of jitter. The execution took a total of 13 hours, our most lengthened experiment from all of the scenarios. Just as we described before, commands in Pulsarcast wait for the initial events/topics to be injected in the network before returning control to the client. For topics where everyone is allowed to publish (this execution exactly), this will mean that for each event published,

Pulsarcast will also wait for the event to be persisted in the DHT. Similar to what happened in Section 5.5.4, this behaviour created a natural backpressure mechanism. Unfortunately for us, we are running on a tight set of specifications, and currently all the state is kept in memory. This meant that, when the execution ended up running longer than what we originally predicted, two nodes were terminated by our Kubernetes scheduler due to shortage of memory resources at the 9-hour mark.

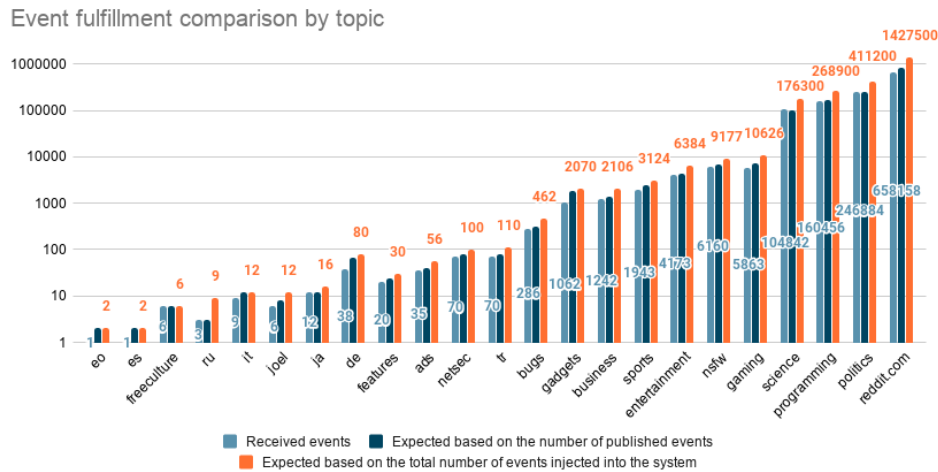


Figure 5.20: Pulsarcast without order guarantee and latency - Comparison of of events fulfilled by topic in a log scale

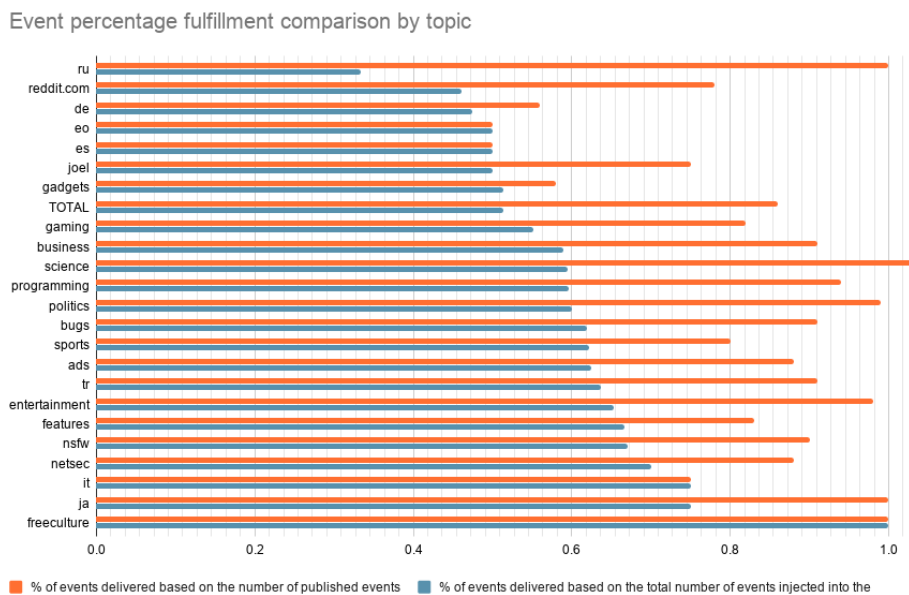


Figure 5.21: Pulsarcast without order guarantee and latency - Comparison of percentage of events fulfilled by topic

Looking at Figures 5.20 and 5.21 we can see that, of the total of events injected into the system, Pulsarcast fulfilled 51% of its subscriptions. Having the events effectively published into the system as a reference, Pulsarcast fulfilled 86% of its subscriptions. Although somewhat low for the total of events expected to be published, our fulfilment rate for events actually published was quite high (86%). The discrepancy between these two values can, of course, be explained. The two nodes that restarted

have had some impact in the results obtained but that is not the only explanation, as in this scenario every node is capable of publishing events. As it has been previously covered, Pulsarcast has a natural backpressuring system consequence of the way we wait for an initial response when disseminating events/topics or persisting state in the DHT. With the system running on top of a debilitated network, this meant that not even the retries in our test suite could cover for network timeouts and failures. This negatively impacted some events, given we were effectively unable to publish them as the nodes failed to meet the necessary pre-requisites (persist it in the DHT and disseminate it).

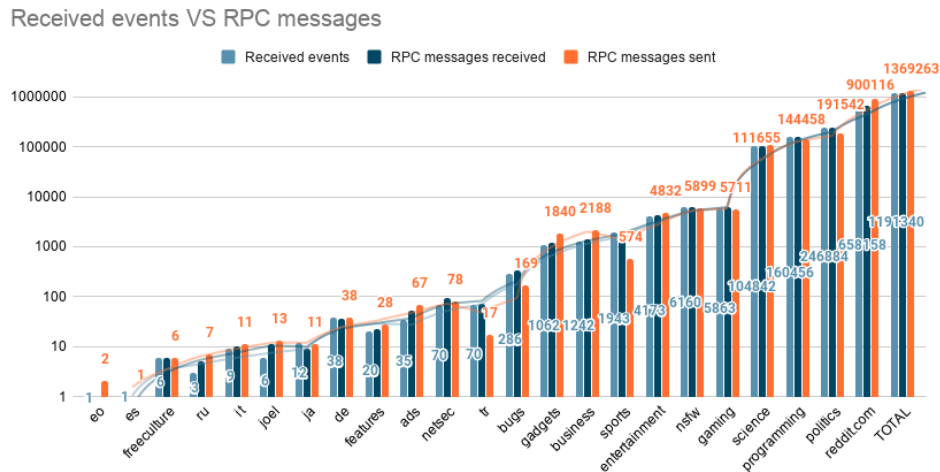


Figure 5.22: Pulsarcast without order guarantee and latency - Comparison of events received and RPC injected in the system

Just as it happened for all of our past experiments, Figure 5.22 shows us that the RPC messages injected in the system grow linearly with the number of events received.

Table 5.5 provides an overview of the Memory and Network utilisation by node. Similar to the previous experiments, these grew linearly throughout the test execution. As such, we are only presenting the final values. We also provide an RPC message analysis by node. Through this table we can see how the duration of our test run impacted our resource consumption, starting with the Memory usage and the Network bytes transmitted, which were quite high in total compared to the same latency injected execution with order guarantee. More than twice for our network transmission rate and almost twice the memory usage.

Contrary to the other experiments we present the CPU usage in a table format in Table 5.6 using ranges for both time and CPU number. This is both for the sake of simplicity and readability, given the CPU usage followed a similar pattern as the one we have seen in 5.5.4. Just as in that execution, we encounter a spiky behaviour, consequence of the latency induced in the system. However, we experience an overall set of stable values. With most of these inside a particular range throughout all the execution. Similar to other executions, the spotted outliers can be explained, as these were the nodes publishing messages at the time.

5.5.6 Floodsub With Latency

Our last and final execution is a test run using Floodsub but with latency induced through Toxiproxy. As previously all the nodes have an inbound latency of 500 ms with 300 ms of jitter. The main goal for this test was for us to see the behaviour of our baseline solution when under unfavourable network conditions. It took a total of 60 minutes to finish. Similar to our test run in Section 5.5.3, the whole

Table 5.5: Pulsarcast without order guarantee and latency - Resource utilisation metrics per node

/	P95	P99	Average	Standard Deviation	Total
Memory (GiB)	0.41	0.43	0.36	[NA]	35.8
Network (MiB)	91.01	214.51	34.23	[NA]	3423
RPC Messages Received	19624.35	19838.92	18773.3	2401.22	[NA]
RPC Messages Sent	113367.75	412396.32	21756.75	64928.3	[NA]

Table 5.6: Pulsarcast without order guarantee and latency - CPU utilisation across time, using ranges

Time Range	P95	P99	Average	Total
[0 - 1]	[0.01 - 0.04]	[0.1 - 0.02]	0.01	[0.6 - 1.25]
]1 - 8[[0.01 - 0.04]	[0.03 - 0.06]	0.01	[0.6 - 1.25]
8	[0.01 - 0.05]	[0.03 - 0.06]	0.01	[0.6 - 1.25]
[8 - 13]	[0.01 - 0.04]	[0.03 - 0.06]	0.01	[0.6 - 1.25]

network was unable to cope with the load of our execution. Ten minutes after our test started nodes became unresponsive and either crashed or were repeatedly terminated by our Kubernetes scheduler, eventually hitting a minimum of 14 nodes running. It took 40 minutes for the network to fully recover and have 100 nodes running again.

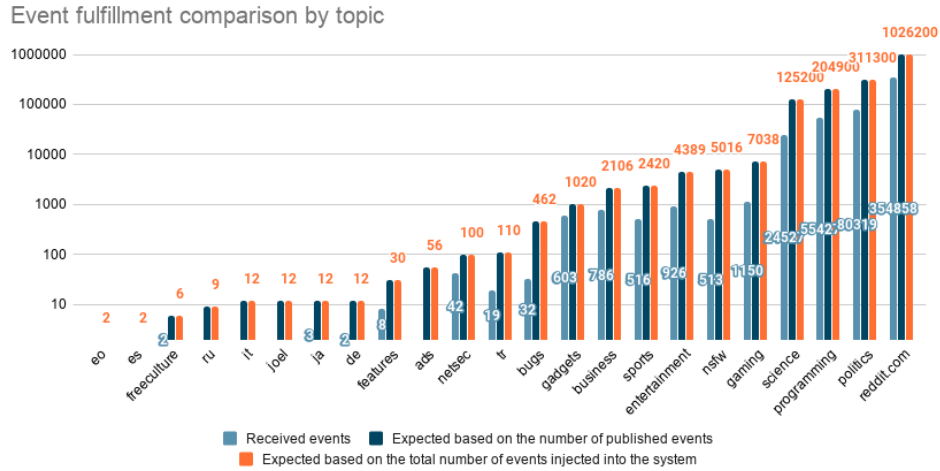


Figure 5.23: Floodsub with latency - Comparison of of events fulfilled by topic in a log scale

Looking at 5.23 and 5.24 we can see that, of the total of events injected into the system, Floodsub fulfilled 31% of its subscriptions as well as if we consider the events successfully published as the base reference. Compared to the Floodsub experiment without latency we see a drop of 10% in subscription fulfilment.

Table 5.7: Floodsub with latency - Resource utilisation metrics per node

/	P95	P99	Average	Total
Network (MiB)	117.56	122.42	64.75	6474.79

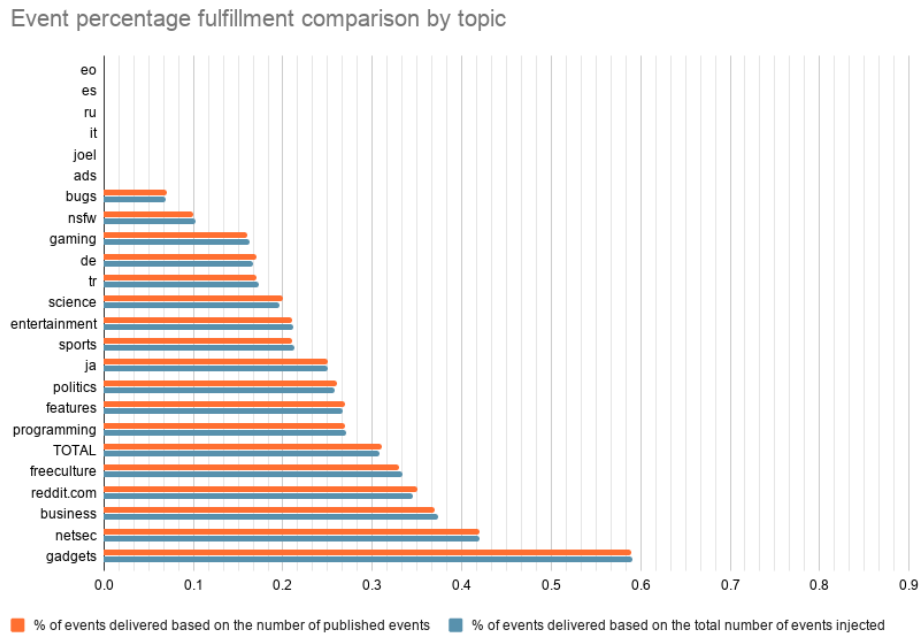


Figure 5.24: Floodsub with latency - Comparison of percentage of events fulfilled by topic

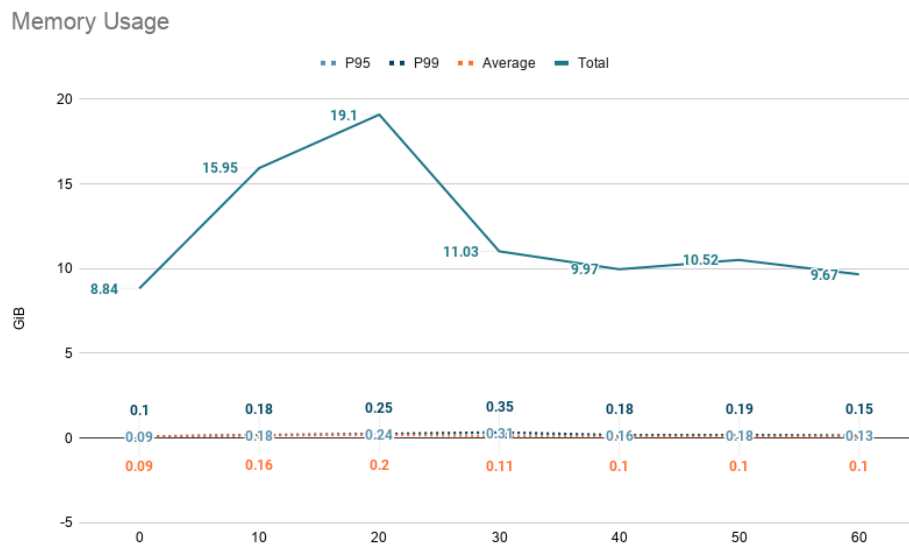


Figure 5.25: Floodsub with latency - Memory usage across time

Table 5.7 provides an overview of the Network utilisation by node. As expected, it grew linearly during the test execution and as such, we are only presenting the final values. Memory wise, it fluctuated during the test (a consequence of the node failures) as such we are presenting these values in the form of a graph in Figure 5.25. The CPU usage can be seen in Figure 5.26. Starting by analysing the network usage, we see a total value of 6475 MiB transmitted, averaging at 65 MiB by node. Comparing with the latency-free execution, we again see little to no difference at all. As expected, looking at memory and CPU usages, we see that the node crashes impacted these. Compared to the latency-free execution, we do not see much changes other than a slight drop in CPU usage overall, probably a cause of the increased network latency, which increased the CPU idle time.

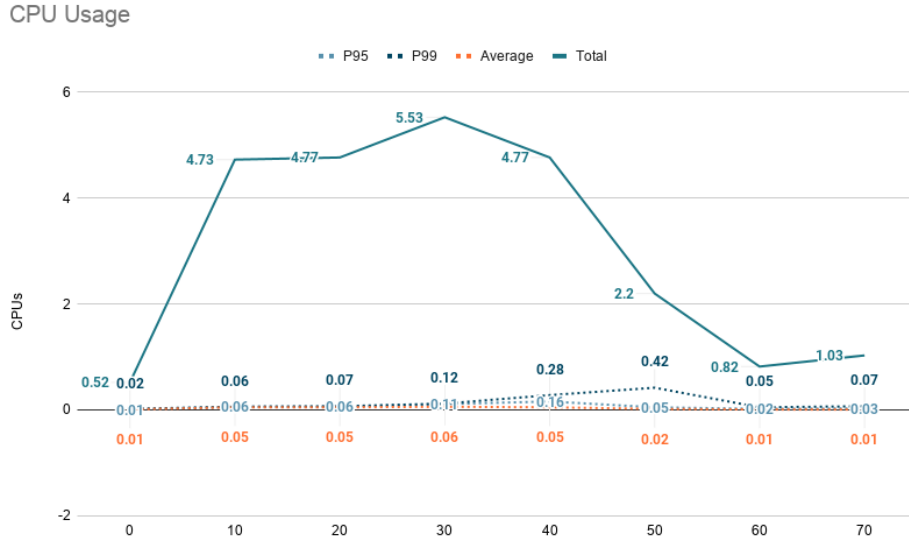


Figure 5.26: Floodsub with latency - CPU usage across time

5.5.7 Comparison and Discussion

In order to make our comparison easier, we have put into a tabular format a summary of our test results for our multiple test runs in Table 5.8. We list:

- Our coverage based on the total number of events we injected in the system
- Our coverage based on the total number of events effectively published
- Percentage of events effectively published
- Maximum total memory consumption in all of the system
- Network bytes transmitted in all of the system
- Maximum CPU usage in all of the system

One aspect that we would like to highlight is the fact that we are not only comprising months of data into a largely shorter timespan, we are also simulating interactions made by thousands of users into a much smaller set of nodes (one hundred). All of this of course in an environment based on virtualisation technics and with a limited set of resources. This experiment is essentially pushing the boundries of what both systems would handle on a real world scenario. It is also important to note that the percentage of events actually published is not at all a useful metric for Floodsub, given no acknowledgement whatsoever is made by the network when publishing an event, making it irrelevant.

Looking at Floodsub as our baseline of comparison it is safe to say that Pulsarcast surpassed Floodsub in every aspect, providing a better QoS with a smaller resource footprint. The only exception being the total subscription coverage for Pulsarcast with order guarantee compared to Floodsub, but then again, we are aiming at a different QoS level so it makes this comparison quite unfair. Resource wise, Floodsub is far more network-intensive than Pulsarcast (with six times more usage in some cases) and generally requires more CPU power, even when compared to our order guarantee scenarios. As expected though, Pulsarcast consumes more memory (when comparing the latency induced executions, twice as much), a consequence of the QoS we want to offer and not persisting state into disk for our executions.

Finally, one crucial property of Pulsarcast that is important to remember is that, for every event effectively published, we store it in the DHT. So, it is possible for any application using Pulsarcast to resolve past or missing events from the event tree. This is the cornerstone of Pulsarcast's eventual delivery guarantees, hence why it is essential to look at the percentage of events effectively published as well as the subscription coverage for these same events. Taking those same numbers into consideration we can see a considerably high coverage percentage, with the lowest being 62% for the order guarantee test with latency injected.

Table 5.8: Comparison table between our test executions

/	Sub. Coverage	Sub. Coverage for Published	Effectively Published	Memory(GiB)*	Transmitted(MiB)*	CPU(vCPU)*
Floodsub	41%	41%	100%	21.15	6552.4	5.53
Pulsarcast	99.99%	99.99%	100%	31.92	2237.74	3.77
Pulsarcast Order G.	37%	82%	46%	17.84	1050.4	4.35
Floodsub w/ Lat.	31%	31%	100%	15.95	6474.79	4.74
Pulsarcast w/ Lat.	52%	86%	60%	35.8	3423	1.26
Pulsarcast Order G. w/ Lat.	32%	62%	53%	19.99	1595.6	4.33

*max values for the total system (sum of all the resources per node)

Chapter 6

Conclusion

In this work, we introduced Pulsarcast, a decentralised pub-sub solution that seeks to bring reliability and eventual delivery guarantees (commonly associated with centralised solutions) to the P2P realm.

6.1 Concluding Remarks

We started our work by first analysing the pub-sub paradigm and what defines it. First, built a foundation with our taxonomy which we have split into:

- Subscription model - topic-based, content-based or type-based models
- Network architecture - centralised vs decentralised
- Overlay structure - for decentralised approaches we could have structure, unstructured or hybrid overlays
- Subscription management and event dissemination - how it is managed the state that ensures subscription requirements are met. Some examples are *rendezvous* nodes or multidimensional spaces

Ready to deep-dive into a series of practical systems, we analysed systems like Gryphon, Siena, Mehdoor and Poldercast. We intended to compare them using our newly built taxonomy.

While still looking at relevant and related work, we studied the web technologies that power today's applications. We looked into technologies like Javascript, which has been at the forefront of the web. Not only due to its recently acquired ubiquity with NodeJS, but also through tools like NPM. We also addressed new network protocols that came up, especially those powering P2P applications, like WebRTC, or transport protocols that offer new and exciting opportunities such as uTP and QUIC. Lastly, we briefly analysed applications like IPFS and its underlying libp2p, seeking to solve some of the problems of the decentralised web.

We have followed our related work with the architecture of our purposed solution, Pulsarcast. We introduced the use case for our decentralised topic-based system, with a brief overview of what we are seeking to accomplish as well as our broader architectural decisions. We looked at how clients can interact with our system (create a topic, publish, subscribe and unsubscribe) and how the Kadmelia DHT powers our underlying system and its dissemination trees. We covered our immutable, content-addressable data structures, based on the Merkle DAG, representing events and topics and how these are distributed across the network. Applying the taxonomy we had previously defined, we looked into how subscriptions are managed and events are disseminated, focusing on the algorithms responsible for

topic creation, subscription handling and event handling. Finally, we focused on how Pulsarcast works under the hood, taking a closer look at how our RPC messages, built using Protocol Buffer, drive all the functionality we described previously.

Our next step was to cover the actual implementation of the architecture we detailed. We started by briefly describing our Javascript module, its libp2p dependencies and the way it fits together with the libp2p ecosystem. With the intent of fully covering our implementation, we deep-dived into our module. Its code organisation, structure, as well as the classes that constitute the backbone of it. Next in our list, we looked into the RPC handlers, responsible for implementing Pulsarcast's core logic of subscription management and event dissemination. Finally, we provided a simple usage example of our module.

Given our need to test our system reliably, we set out to find a tool that fulfilled our requirements for reproducibility, scale, data extraction and automation capabilities. Unfortunately, nothing seemed fit for our specific needs, so we set out to create our solution. We created a reproducible deployment of IPFS containing our Pulsarcast implementation with Toxiproxy acting as an inbound TCP proxy. This artefact is then deployed and scaled as needed in a Kubernetes cluster, which collects metrics and logs using a stack of Elasticsearch, Beats, Logstash and finally Kibana for visualisation.

Finally, it was time to test our system. To do so, we relied on a Reddit dataset of comments from 2007, of approximately 25000 messages and a total of 23 topics (subreddits). We built a custom set of CLI tools to help us not only process the data but also to coordinate our test executions from a centralised point, given the deployments were running in a managed Kubernetes cluster under Microsoft Azure. The metrics we wanted to collect were CPU, memory and network usages, as well as a collection of QoS metrics, such as percentage of events published and subscription coverage values. We tested not only our system but also libp2p current solution entitled Floodsub.

We observed that Pulsarcast surpassed Floodsub in every aspect, providing a better QoS with a smaller resource footprint. The only exception being the total subscription coverage for Pulsarcast with order guarantee compared to Floodsub. Resource wise, Floodsub is far more network-intensive than Pulsarcast (with six times more usage in some cases) and generally requires more CPU power. Looking at Pulsarcast only though, there are still limitations in the way node failures are managed, specifically in unfair scenarios such as the order guarantee one. It is also essential to consider Pulsarcast's high publish rates, given that for each event published we store it in the DHT. This is the cornerstone of its eventual delivery guarantees, giving applications the ability to fetch missing events from their event tree.

We concluded that our system provides a very nice alternative to applications that seek a better QoS level as well as a feature-rich topology setting, that allows to restrict publishers and configure topics to one's needs. Despite being heavily reliant on a structured overlay, Pulsarcast did not underperform under adverse network conditions, making it suitable for multiple scenarios.

6.2 Improvements and Future Work

There is, of course, room to do a lot more, not only features but also technical improvements. Starting with our tests, which could have benefited from a larger resource pool, given these ended up being a limitation to the size of the network we could test with. We also could have benefited from further metrics like the number of hops each event went through in our system.

Looking at Pulsarcast only we have a set of improvements that could be made:

- Better and smarter retry mechanisms
- Improve the delivery guarantees of the order guarantee scenario by providing some kind of backup mechanism for requests to publish

- Tolerance to node failures (especially root nodes for an order guarantee scenario)

Furthermore, there is an infinite set of features and applications that could be made for Pulsarcast. Especially given its modularity and support for different configuration scenarios. To name a few, we see the logical next steps being:

- Event and topic signatures in the metadata field
- Support for end-to-end encryption
- Improved message filtering and tolerance to misbehaving nodes (e.g. blacklists)
- Discovery capabilities for topics
- Mutable pointers for descriptors

Bibliography

- [1] A.-M. Kermarrec and P. Triantafillou, "XL peer-to-peer pub/sub systems," *ACM Computing Surveys*, vol. 46, no. 2, pp. 1–45, 2013.
- [2] P. T. Eugster, P. A. Felber, R. Guerraoui, and A.-M. Kermarrec, "The many faces of publish/subscribe," *ACM Computing Surveys*, vol. 35, no. 2, pp. 114–131, 2003.
- [3] V. Setty, G. Kreitz, R. Vitenberg, M. van Steen, G. Urdaneta, and S. Gimåker, "The hidden pub/sub of spotify," in *Proceedings of the 7th ACM international conference on Distributed event-based systems - DEBS '13*, (New York, New York, USA), p. 231, ACM Press, 2013.
- [4] M. Castro, P. Druschel, A.-M. Kermarrec, and A. Rowstron, "Scribe: A large-scale and decentralized application-level multicast infrastructure," *IEEE Journal on Selected Areas in Communication*, vol. 20, 2002.
- [5] S. Q. Zhuang, B. Y. Zhao, A. D. Joseph, R. H. Katz, and J. D. Kubiawicz, "Bayeux," in *Proceedings of the 11th international workshop on Network and operating systems support for digital audio and video - NOSSDAV '01*, no. June, (New York, New York, USA), pp. 11–20, ACM Press, 2001.
- [6] R. Baldoni, R. Beraldi, V. Q. Ema, L. Querzoni, and S. Tucci-Piergiovanni, "TERA: Topic-based Event Routing for peer-to-peer Architectures," 2007.
- [7] V. Setty and M. V. Steen, "Poldercast: Fast, robust, and scalable architecture for P2P topic-based pub/sub," *Proceedings of the 13th . . .*, pp. 271–291, 2012.
- [8] G. Banavar, T. Chandra, B. Mukherjee, J. Nagarajarao, R. Strom, and D. Sturman, "An efficient multicast protocol for content-based publish-subscribe systems," *Proceedings. 19th IEEE International Conference on Distributed Computing Systems (Cat. No.99CB37003)*, pp. 262–272, 1999.
- [9] R. Strom, G. Banavar, T. Chandra, M. Kaplan, K. Miller, B. Mukherjee, D. Sturman, and M. Ward, "Gryphon: An Information Flow Based Approach to Message Brokering," *Arxiv preprint cs9810019*, vol. cs.DC/9810, pp. 1–2, 1998.
- [10] G. Cugola, E. Di Nitto, and A. Fuggetta, "The JEDI event-based infrastructure and its application to the development of the OPSS WFMS," *IEEE Transactions on Software Engineering*, vol. 27, no. 9, pp. 827–850, 2001.
- [11] A. Carzaniga, D. S. Rosenblum, and A. L. Wolf, "Design and evaluation of a wide-area event notification service," *Foundations of Intrusion Tolerant Systems, OASIS 2003*, vol. 19, no. 3, pp. 283–334, 2003.
- [12] A. Gupta, O. D. Sahin, D. Agrawal, and A. El Abbadi, "Meghdoot: Content-Based Publish/Subscribe over P2P Networks," *Springer LNCS*, vol. 3231/2004, no. Middleware 2004, pp. 254–273, 2004.

- [13] A. Bharambe, S. Rao, and S. Seshan, "Mercury: a scalable publish-subscribe system for internet games," *1st Workshop on Network and Systems Support for Games (NetGames '02)*, pp. 3–9, 2002.
- [14] S. Voulgaris, E. Rivière, A.-M. Kermarrec, and M. V. Steen, "Sub-2-Sub: Self-Organizing Content-Based Publish and Subscribe for Dynamic and Large Scale Collaborative Networks," tech. rep., 2005.
- [15] P. Eugster, R. Guerraoui, J. Sventek, and A. L. Scotland, "Type-Based Publish/Subscribe," tech. rep., Swiss Federal Institute of Technology, Lausanne, 2000.
- [16] P. R. Pietzuch and J. M. Bacon, "Hermes: A distributed event-based middleware architecture," *Proceedings - International Conference on Distributed Computing Systems*, vol. 2002-Janua, pp. 611–618, 2002.
- [17] P. Baran, "On Distributed Communications Networks," 1964.
- [18] N. Zupan, K. Zhang, and H. A. Jacobsen, "Demo: HyperPubSub: a decentralized, permissioned, publish/subscribe service using blockchains," *Middleware 2017 - Proceedings of the 2017 Middleware Posters and Demos 2017: Proceedings of the Posters and Demos Session of the 18th International Middleware Conference*, pp. 15–16, 2017.
- [19] S. Voulgaris, D. Gavidia, and M. Van Steen, "CYCLON: Inexpensive membership management for unstructured P2P overlays," *Journal of Network and Systems Management*, vol. 13, no. 2, pp. 197–216, 2005.
- [20] A. Stavrou, D. Rubenstein, and S. Sahu, "A Lightweight, Robust P2P System to Handle Flash Crowds," vol. 22, no. 1, pp. 6–17, 2002.
- [21] H. Zheng and J. Wu, "NSFA: Nested Scale-Free Architecture for scalable publish/subscribe over P2P networks," *Proceedings - International Conference on Network Protocols, ICNP*, vol. 2016-December, pp. 1–10, 2016.
- [22] L. Alvisi, J. Doumen, R. Guerraoui, B. Koldehofe, H. Li, R. van Renesse, and G. Tredan, "How robust are gossip-based communication protocols?," *ACM SIGOPS Operating Systems Review*, vol. 41, no. 5, p. 14, 2007.
- [23] I. Stoica, R. Morris, D. Karger, M. F. Kaashoek, and H. Balakrishnan, "Chord: A Scalable Peer-to-peer Pookup Service for Internet Applications," *Sigcomm*, pp. 1–14, 2001.
- [24] P. Maymounkov and D. Mazières, "Kademlia: A Peer-to-Peer Information System Based on the XOR Metric," pp. 53–65, 2002.
- [25] S. Saroiu, P. K. Gummadi, and S. Gribble, "A Measurement Study of Peer-to-Peer File Sharing Systems," *SPIE MMCN '02: Proc. of the Annual Multimedia Computing and Networking*, vol. 4673, pp. 156–170, 2002.
- [26] S. Ratnasamy, P. Francis, M. Handley, R. Karp, and S. Schenker, "A scalable content-addressable network," *ACM SIGCOMM Computer Communication Review*, vol. 31, no. 4, pp. 161–172, 2001.
- [27] A. Rowstron and P. Druschel, "Pastry: Scalable, Decentralized Object Location, and Routing for Large-Scale Peer-to-Peer Systems," No. November 2001, pp. 329–350, 2001.

- [28] B. Zhao, L. Huang, J. Stribling, S. Rhea, A. Joseph, and J. Kubiawicz, "Tapestry: A Resilient Global-Scale Overlay for Service Deployment," *IEEE Journal on Selected Areas in Communications*, vol. 22, pp. 41–53, jan 2004.
- [29] S. Voulgaris and M. Van Steen, "VICINITY: A pinch of randomness brings out the structure," *Lecture Notes in Computer Science (including subseries Lecture Notes in Artificial Intelligence and Lecture Notes in Bioinformatics)*, vol. 8275 LNCS, pp. 21–40, 2013.
- [30] J. P. De Araujo, L. Arantes, E. P. Duarte, L. A. Rodrigues, and P. Sens, "A Publish/Subscribe System Using Causal Broadcast over Dynamically Built Spanning Trees," *Proceedings - 29th International Symposium on Computer Architecture and High Performance Computing, SBAC-PAD 2017*, pp. 161–168, 2017.
- [31] M. K. Aguilera, R. E. Strom, D. C. Sturman, M. Astley, and T. D. Chandra, "Matching events in a content-based subscription system," *Proceedings of the eighteenth annual ACM symposium on Principles of distributed computing - PODC '99*, pp. 53–61, 1999.
- [32] D. Dias and L. Veiga, "BrowserCloud.js: A distributed computing fabric powered by a P2P overlay network on top of the web platform," *Proceedings of the ACM Symposium on Applied Computing*, pp. 2175–2184, 2018.

Appendix A

Implementation Details

```
1 .
2 |-- docs
3 |   |-- api.md
4 |-- LICENSE
5 |-- package.json
6 |-- package-lock.json
7 |-- README.md
8 |-- src
9 |   |-- config.js
10 |   |-- dag
11 |     |-- event-node.js
12 |     |-- event-tree.js
13 |     |-- topic-node.js
14 |     |-- topic-tree.js
15 |     |-- utils.js
16 |   |-- index.js
17 |   |-- messages
18 |     |-- create-rpc.js
19 |     |-- index.js
20 |     |-- marshallings.js
21 |     |-- protobufs
22 |       |-- index.js
23 |       |-- messages.proto.js
24 |       |-- schemas
25 |         |-- event-descriptor.js
26 |         |-- index.js
27 |         |-- peer-tree.js
28 |         |-- rpc.js
29 |         |-- topic-descriptor.js
30 |   |-- peer.js
31 |   |-- rpc
32 |     |-- index.js
33 |     |-- receive.js
34 |     |-- send.js
35 |   |-- utils
36 |     |-- dht-helpers.js
37 |     |-- logger.js
```

```
38  '-- test
39    |-- integration
40    |   |-- 2-nodes.js
41    |   '-- multiple-nodes.js
42    |-- test-node.js
43    |-- unit
44    |   '-- topic-node.js
45    '-- utils.js
```

Listing A.1: File tree for our Pulsarcast implementation

