

- 1 rfasst: Estimator for a consistent range of adverse health
- 2 and agricultural effects attributable to air pollution for
- 3 alternate futures
- 4 Jon Sampedro<sup>1</sup>, Steven J. Smith<sup>1</sup>, Stephanie Waldhoff<sup>1</sup>, Zarrar Khan<sup>1</sup>,
- <sup>5</sup> Chris R. Vernon<sup>1</sup>, and Rita Van Dingenen<sup>2</sup>
- f 1 Joint Global Change Research Institute, Pacific Northwest National Laboratory, College Park, MD,
- USA 2 Joint Research Centre (JRC), European Commission, Ispra, Italy

#### **DOI:** 10.21105/joss.0XXXX

#### **Software**

- Review 🗗
- Repository 🗗
- Archive 🗗

### Editor: Editor Name 2

**Submitted:** 01 January XXXX <sup>13</sup> **Published:** 01 January XXXX <sup>14</sup>

#### License

Authors of papers retain copyright and release the work under a Creative Commons Attribution 4.0 International License (CC BY 4.0).

# Summary

Existing scientific literature shows that health and agricultural impacts attributable to air pollution are significant and should be considered in the integrated analysis of human and Earth-system interactions. The implementation of policies that affect to the electrification level, the composition of the vehicle fleet or the investments and deployment of different energy sources would result in different air pollution levels. Even though the methodology for estimating the impacts of air pollution, such as exposure-response functions, are extensively applied by the scientific community, they are normally not included in integrated assessment modeling outputs.

rfasst is an R package designed to estimate future human-health and agricultural damages attributable to air pollution within the Global Change Analysis Model (GCAM). The package reads in outputs of a GCAM scenario, namely emission pathways and agricultural production and prices, and replicates the calculations of the TM5-FASST air quality model in order to estimate the associated adverse health and agricultural impacts. The structure of the rfasst package is summarized in the following Figure 1.

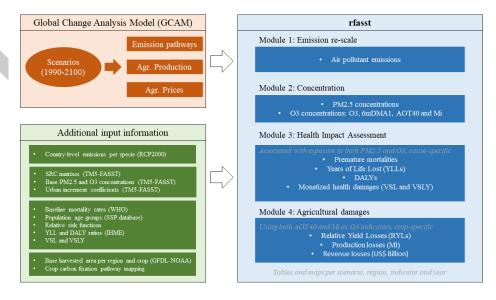


Figure 1: Structure of the rfasst package



- 23 rfasst can be accessed via the web at the public domain https://github.com/JGCRI/rfasst.
- 24 We provide an R vignette step-by-step tutorial for users to get started with rfasst which is
- <sub>25</sub> accessible here: Tutorial.

## Statement of need

According to the World Health Organization (WHO), more than 90% of people breathe unhealthy air at a global level. Therefore, premature mortality associated to air pollution is one of the biggest threats for human health, accounting for more than 8 million deaths annually over the world (Burnett et al., 2018), but heavily concentrated in developing Asia. Likewise, air pollution leads to a significant decrease of crop yields. Ozone, which is formed by the reaction of air pollutants with solar radiation, is considered the most hazardous pollutant for crop yields (Emberson et al., 2018). Current high ozone concentration levels entail substantial economic damages and would increase pressures on several measures associated with food security. The integration of these effects into integrated assessment models, such as GCAM, would provide valuable insights for scenario analysis.

The GCAM model (Calvin et al., 2019), developed at the Joint Global Change Research In-37 stitute (JGCRI), is an integrated assessment multi-sector model designed to explore human and Earth-system dynamics. For each scenario, GCAM reports a full suite of emissions of greenhouse gases and air pollutants, by region and period (through 2100). GCAM outputs also include regional agricultural production projections for a range of crops, detailed in on-41 line documentation. However, GCAM does not include the atmospheric and meteorological 42 information required to translate the GHG and air pollutant emissions into particulate matter  $(PM_{2.5})$  and ozone  $(O_3)$  concentration levels. This transformation from emissions to concentration is addressed by full chemistry models or by simplified air quality emulators, such as 45 TM5-FASST (Van Dingenen et al., 2018). These concentration levels are the inputs for the exposure-response functions that are normally used to calculate adverse human-health and agricultural effects associated with exposure to  $PM_{2.5}$  and  $O_3$ .

Therefore, the combined use of these models, which is the essence of rfasst, is a powerful methodology to estimate a consistent range of health and agricultural damages and the cobenefits associated with different strategies or climate policies. Prior to the development of this package, we have used GCAM and TM5-FASST to analyze these co-benefits in different studies. Sampedro, Smith, et al. (2020) shows that health co-benefits attributable to air pollution are larger than mitigation costs for different technological scenarios consistent with the 2°C target of the Paris Agreement.

Previously, in (Markandya et al., 2018), we demonstrated that these health co-benefits outweigh mitigation costs in multiple decarbonization scenarios based on different emissions abatement efforts across regions.

In addition, we have applied this methodology to show how high  $O_3$  levels generate substantial crop losses and, subsequently, negative economic impacts in the agricultural sector (Sampedro, Waldhoff, et al., 2020). Taking all these results into consideration, we understand that a tool that systematically addresses air pollution driven human-health and agricultural damages within an integrated assessment modelling framework, is a significant contribution to this community, and it would be of interest for a range of stakeholders, particularly for the design of alternative transition strategies.

# 5 Functionality

- 67 The package includes several functions that have been classified in four different modules.
- Note that all the functions are listed in the Tutorial, which includes individual documentation
- pages for each of these modules.



71

72

74

75

78

79

80

81

82

- Module 1: Static downscaling of GCAM emissions to country-level and re-aggregation into a new regional distribution (consistent with TM5-FASST), and some additional pollutant-related adjustments (e.g. organic carbon to organic matter).
- Module 2: Calculation of regional fine particulate matter  $(PM_{2.5})$  and ozone  $(O_3)$  concentration levels using different indicators, described in the corresponding documentation vignette.
- Module 3: Estimation of health impacts attributable to  $PM_{2.5}$  and  $O_3$  exposure. The package reports both physical damages, such as premature mortality, years of life lost (YLLs), and disability adjusted life years (DALYs), and the associated monetized damages based on the Value of Statistical Life (VSL).
- Module 4: Estimation of agricultural damages attributable to  $O_3$  exposure, including relative yield losses (RYLs), and losses in agricultural production and revenue ( $Revenue = Prod \cdot Price$ ).

The package also includes additional input information, namely constant values and mapping files, that need to be read in for running the different functions. The constants.R file is flexible and easy to be modified by the user. The Tutorial explains which are the values that can be changed within each module. These include the time horizon (from 2010 to 2100 in 10-year periods, +2005), the crop categories to be included in the analysis (see Kyle et al. (2011) for a detailed mapping of GCAM crop categories), the coefficients or counterfactual values for the exposure-response functions (both for health and agricultural damages), the base Value of Statistical Life (VSL) or Value of Statistical Life Year (VSLY), and additional ancillary information.

The outputs generated by the package consist of both .csv files and maps that can be controlled by the user. If the parameter saveOutput is set to TRUE, the function writes a csv table with the selected outcome in the corresponding sub-directory. In addition, if map is set to TRUE, the function generates a suite of maps and animations for the corresponding output. We note that these maps are generated using the rmap package, documented in the following website. As an example, the following Figure 2 shows the average  $PM_{2.5}$  concentration levels per region, for a GCAM-v5.3 reference scenario.



Figure 2:  $PM_{2.5}$  concentration per country and period in a reference scenario (ug/m3)

Finally, the package is expected to be in continuous development and some additional features are planned to be implemented in the near and the longer terms. For example, an alternative dynamic GDP-based downscaling technique for re-scaling GCAM emissions in Module 1, additional age-specific functions for the health impact assessment, or a more flexible structure, so the package would be able to read in emission pathways from different models.

## Acknowledgements

The research described in this paper was conducted under the Laboratory Directed Research and Development Program at Pacific Northwest National Laboratory, a multiprogram national laboratory operated by Battelle for the U.S. Department of Energy. The views and opinions expressed in this paper are those of the authors alone.



### References

- Burnett, R., Chen, H., Szyszkowicz, M., Fann, N., Hubbell, B., Pope, C. A., Apte, J. S.,
  Brauer, M., Cohen, A., Weichenthal, S., & others. (2018). Global estimates of mortality
  associated with long-term exposure to outdoor fine particulate matter. *Proceedings of the*National Academy of Sciences, 115(38), 9592–9597.
- Calvin, K., Patel, P., Clarke, L., Asrar, G., Bond-Lamberty, B., Cui, R. Y., Di Vittorio, A., Dorheim, K., Edmonds, J., Hartin, C., & others. (2019). GCAM v5. 1: Representing the linkages between energy, water, land, climate, and economic systems. *Geoscientific Model Development (Online)*, 12(PNNL-SA-137098). https://doi.org/10.5194/gmd-12-677-2019
- Emberson, L. D., Pleijel, H., Ainsworth, E. A., Van den Berg, M., Ren, W., Osborne, S., Mills, G., Pandey, D., Dentener, F., Büker, P., & others. (2018). Ozone effects on crops and consideration in crop models. *European Journal of Agronomy*, 100, 19–34.
- Kyle, G. P., Luckow, P., Calvin, K. V., Emanuel, W. R., Nathan, M., & Zhou, Y. (2011). GCAM 3.0 agriculture and land use: Data sources and methods.
- Markandya, A., Sampedro, J., Smith, S. J., Van Dingenen, R., Pizarro-Irizar, C., Arto, I., & González-Eguino, M. (2018). Health co-benefits from air pollution and mitigation costs of the paris agreement: A modelling study. *The Lancet Planetary Health*, 2(3), e126–e133.
- Sampedro, J., Smith, S. J., Arto, I., González-Eguino, M., Markandya, A., Mulvaney, K. M., Pizarro-Irizar, C., & Van Dingenen, R. (2020). Health co-benefits and mitigation costs as per the paris agreement under different technological pathways for energy supply. *Environment International*, 136, 105513.
- Sampedro, J., Waldhoff, S. T., Van de Ven, D.-J., Pardo, G., Van Dingenen, R., Arto, I., Del Prado, A., & Sanz, M. J. (2020). Future impacts of ozone driven damages on agricultural systems. *Atmospheric Environment*, *231*, 117538.
- Van Dingenen, R., Dentener, F., Crippa, M., Leitao, J., Marmer, E., Rao, S., Solazzo, E., & Valentini, L. (2018). TM5-FASST: A global atmospheric source–receptor model for rapid impact analysis of emission changes on air quality and short-lived climate pollutants.

  \*\*Atmospheric Chemistry and Physics, 18(21), 16173–16211.