# Analysis III

## **Homework**

Exercises (homework) can be found in the script at the end of each Chapter.

Chapter I: #3 (only for convex sets), #4 due Th 4-25

Chapter II: # 2,4,5,6 due Th 5-2 Chapter III: # 3c, 4 due Th 5-9 Chapter IV: # 2b, 3, 4, 6 due Th 5-16 Chapter V: # 2,4,6 due Th 5-25 Chapter VI: # 2,3,4 due Th 6-1

## **Key Dates**

Instruction begins: Mo, April 1
Instruction ends: Fr, June 7
Final's week: June 10, 12 (Mo Th

Final's week: June 10-13 (Mo-Th)

Holiday: Mo, May 27

## **April 2, 2024**

No class Thursday, April 04. Makeup class (tentatively) on Friday, April 12 at 10:30. Discussion sections on Fridays (tentatively) at 11:40.

# **Topological Vector Spaces**

# **Definition: Vector Spaces**

V over a field  $\mathbb{F}$  ( $\mathbb{R}$  or  $\mathbb{C}$ ).

## **Definition: Topological Spaces**

 $(X, \tau)$  where  $\tau \subseteq \mathcal{P}(X)$  satisfying

- 1.  $\emptyset, X \in \tau$
- 2.  $A, B \in \tau \implies A \cap B \in \tau$
- 3.  $A_{\omega} \in \tau \implies \bigcup_{\omega} A_{\omega} \in \tau$

Recall:  $A \in \tau \iff A \text{ open } \iff X \setminus A \text{ closed.}$ 

 $A^{\circ} = \bigcup_{\substack{U \subseteq A \\ U \text{ open}}} U$  the set of interior points of A.

 $\overline{A} = \bigcap_{\substack{F \supseteq A \\ F \text{ closed}}} \text{ the closure of } A.$ 

A' limit points of A.

Compact sets.

Locally compact sets.

Recall: *X* is Hausdorff iff  $\forall x, y \in X$ ,  $\exists U, V \in \tau$  such that  $x \in U$ ,  $y \in V$  and  $U \cap V = \emptyset$ .

## **Definition: Bases for Topological Spaces**

Definition: Let  $(X, \tau)$  be a topological space.  $\sigma \subseteq \tau$  is called a base for topology  $\tau$  if  $\forall x \in X, \ \forall U \in \tau, \ x \in U, \ \exists W \in \sigma$  such that  $x \in W \subseteq U$ .

## **Proposition**

 $\sigma \subseteq \tau$  is a base for  $\tau$  if and only if every  $U \in \tau$  is the union of certain sets taken from  $\sigma$ .

$$(*) \quad \tau = \left\{ \bigcup_{\omega \in \Omega} W_{\omega} : \{W_{\omega}\}_{\omega \in \Omega} \subseteq \sigma, \Omega \right\}$$

#### **Proof**

 $(\longleftarrow)$   $\checkmark$   $(\Longrightarrow)$  Take  $U \in \tau$  and let  $x \in U$ ,  $\leadsto$  find  $W_x \in \sigma$ ,  $x \in W_x \subseteq U$ .

$$U = \bigcup_{x \in U} \{x\} \subseteq \bigcup_{x \in U} W_x \subseteq U$$

Therefore  $\bigcup_{x \in U} W_x = U$ .

### **Proposition**

If  $\sigma$  is a base for some topology  $\tau$  on X, then

- 1.  $\forall x \in X, \exists W \in \sigma \text{ such that } x \in W.$
- 2.  $\forall U, V \in \sigma$ ,  $\forall x \in U \cap V$ ,  $\exists W \in \sigma$  such that  $x \in W \subseteq U \cap V$ .

Conversely, if  $\sigma \in \mathcal{P}(X)$  ( $\varnothing \notin \sigma$ ) satisfies (1) and (2), then  $\sigma$  is the base for a topology  $\tau$  (and  $\tau$  is given by (\*)). Note that  $U, V \in \tau \implies U \cap V \in \tau$  (requires (2)). If  $U = \bigcup U_{\alpha}$  and  $V = \bigcup V_{\beta}$ , then  $U \cap V = \bigcup_{\alpha,\beta} (U_{\alpha} \cap V_{\beta}) = \bigcup_{\alpha,\beta} \bigcup_{x \in U} W_{\alpha,\beta,x}$ .

# **Example: Metric Spaces**

(X, d) is a metric space if  $d: X \times X \to [0, +\infty)$  satisfies

- 1. d(x, y) = 0 if and only if x = y.
- 2. d(x, y) = d(y, x).
- 3.  $d(x,z) \le d(x,y) + d(y,z)$  (triangle inequality).

# **Definition: Epsilon Neighborhoods**

$$B_{\varepsilon}(x) = \{ y \in x : d(x, y) < \varepsilon \}$$

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 $A \subseteq X$  is open if and only if  $\forall x \in A$ ,  $\exists \varepsilon > 0$  such that  $B_{\varepsilon}(x) \subseteq A$ .  $x \in B_{\varepsilon}(x)$ .  $\tau = \text{set of all open sets.}$ 

$$\sigma_1 = \{B_{\varepsilon}(x) : x \in X, ; \varepsilon > 0\}$$

is a base for the topology  $\tau$ .

$$\sigma_2 = \left\{ B_{1/n}(x) : x \in X, \ n \in \mathbb{N} \right\}$$

is also a base for  $\tau$ .

## **Definition: Direct Product - Product Topology**

Let  $(X_1, \tau_1)$  and  $(X_2, \tau_2)$  be topological spaces. Consider  $X = X_1 \times X_2$ . The product topology  $\tau$  on X is given by the base

$$\sigma = \{U_1 \times U_2 \,:\, U_1 \in \tau_1, \; U_2 \in \tau_2\}$$

More explicitly,

$$\tau = \left\{ \bigcup_{\omega} U_{1,\omega} \times U_{2,\omega} : U_{i,\omega} \in \tau_i \right\}$$

 $(X_{\omega}, \tau_{\omega})$  topological spaces  $(\omega \in \Omega)$ 

$$X = \prod_{\omega \in \Omega} = \{(x_{\omega})_{\omega \in \Omega} : x_{\omega} \in X_{\omega}\}$$

Formally,  $f \cong (x_{\omega})_{\omega \in \Omega}$ ,  $x_{\omega} = f(\omega)$ ,  $f : \Omega \to \bigcup_{\omega \in \Omega} X_{\omega}$  such that  $f(\omega) \in X_{\omega}$ .  $[x \neq \emptyset \longleftarrow X_{\omega} \neq \emptyset \text{ axiom of choice}]$ 

$$\sigma = \left\{ \prod_{\omega \in \Omega} U_{\omega} : U_{\omega} \in \tau_{\omega} \text{ and all but finitely many } U_{\omega} = X_{\omega} \right\}$$

# **Definition: Subspace Topology**

Given  $(X, \tau)$  and  $Y \subseteq X$ , then  $(Y, \tau_Y)$  is also a topological space where

$$\tau_Y \{ U \cap Y : U \in \tau \}$$

# **Definition: Local Bases for Topological Spaces**

A collection  $\gamma \subseteq \tau$  is called a local base at  $x \in X$  if

- 1.  $\forall U \in \tau$ ,  $x \in U$ ,  $\exists W \in \gamma$  such that  $x \in W \subseteq U$ .
- 2.  $\forall W \in \gamma, x \in W$

## **Example**

Let (X, d) be a metric space.

$$\gamma_x = \{B_{\varepsilon}(x) : \varepsilon > 0\}$$

is a local base at x. Similarly,

$$\tilde{\gamma}_x = \{B_{1/n} : n \in \mathbb{N}\}$$

is a countable local base.

## **Proposition**

If  $\gamma_x$  ( $x \in X$ ) are local bases for  $\tau$  at X, then

$$\sigma = \bigcup_{x \in X} \gamma_x$$

is a bse for  $\tau$ .

## **Proposition**

 $\{\gamma_x\}_{x\in X}$  are local bases at x for some topology  $\tau$  if and only if

- 1.  $\forall x \in X$ ,  $\gamma_x$  is a non-empty collection of subsets containing x.
- 2. If  $U \in \gamma_x$ ,  $V \in \gamma_y$ , and  $z \in U \cap V$ , then  $\exists W \in \gamma_z$  such that  $z \in W \subseteq U \cap V$ .

# **Definition: Topological Vector Spaces**

Suppose V is a vector space over  $\mathbb{F} = \mathbb{R}$ ,  $\mathbb{C}$  and let  $\tau$  be a topology on V. Then V is a topological vector space (TVS) if

- 1.  $\forall x \in V$ ,  $\{x\}$  is closed.
- 2. The functions f, g (i.e. algebraic operations) are continuous.

$$f: V \times V \to V, f(x, y) = x + y$$
  
 $g: \mathbb{F} \times V \to V, g(\lambda, x) = \lambda \cdot x$ 

#### **Notation**

For  $A_1, A_2 \subseteq V$  and  $B \subseteq \mathbb{F}$ ,

$$A_1 + A_2 = \{ a_1 + a_2 : a_1 \in A_1, a_2 \in A_2 \}$$

$$a + A_1 = \{ a + \alpha : \alpha \in A \}$$

$$B \cdot A = \{ \beta \cdot a : \beta \in B, a \in A \}$$

$$\alpha \cdot A = \{ \alpha \cdot a : a \in A \}$$

## Lemma

Let V be a TVS. Then

- 1.  $\forall x, y \in V$ ,  $\forall$  open  $U_{x+y} \ni x + y$ ,  $\exists$  open  $U_x \ni x$ , open  $U_y \ni y$  such that  $U_x + U_y \subseteq U_{x+y}$ .
- 2.  $\forall x \in V, \alpha \in \mathbb{F}, \forall \text{ open } U_{\alpha x} \ni \alpha x, \exists \text{ open } U_x \ni x, U_\alpha \ni \alpha \text{ such that } U_\alpha \cdot U_x \subseteq U_{\alpha x}.$

#### Proof of 1

Given  $x, y \in X$ ,  $x + y \in U_{x+y}$  open.

$$f(x,y) = x + y \in U_{x+y}$$

and  $(x,y) \in f^{-1}(U_{x+y})$  open. In the product topology

$$(x,y) \in U_x \times U_y \subseteq f^{-1}(U_{x+y})$$

which implies  $x \in U_x$  and  $y \in U_y$ , both open, and  $U_x + U_y \le U_{x+y}$ .

## **April 9, 2024**

Office Hours: Th 11:30 AM - 1:00 PM

Makeup Class: Friday, April 12 at 11:00 AM.

### Lemma 1

Let V be a TVS

- 1.  $\forall x, y \in V, \ \forall U_{x+y} \ni x+y \ \text{open}, \ \exists U_x \ni x, U_y \ni y \ \text{such that} \ U_x + U_y \subseteq U_{x+y}.$
- 2.  $\forall \alpha \in F, \ \forall U_{\alpha x} \ni \alpha x \text{ open, } \exists U_{\alpha} \ni \alpha \text{ open in } F, \ U_{x} \ni x \text{ such that } U_{\alpha} \cdot U_{x} \subseteq U_{\alpha x}.$

For 2. with  $\alpha = 0$ ,  $\forall x \in X$ ,  $\forall U \ni 0$  open,  $\exists \delta > 0$ ,  $U_x \ni x$  open such that  $B_\delta(0) \cdot U_x \subseteq U$ . That is,  $\beta U_x \subseteq U$ ,  $\forall |\beta| < \delta$ .

# **Proposition**

In a TVS, the maps

- 1. Translation:  $T_a: x \in V \mapsto X + a \in V \ (a \in V)$
- 2. Multiplication:  $M_{\lambda}: x \in V \mapsto \lambda \cdot x \in V \ (\lambda \in \mathbb{F}, \ \lambda \neq 0)$

are continuous (in fact, homeomorphic).

#### **Proof**

We know  $(x, y) \mapsto x + y$  and  $(\lambda, x) \mapsto \lambda \cdot x$  are continuous.

#### **Inversions**

 $T_a \circ T_{-a} = \mathrm{id}, \ T_{-a} \circ T_a = \mathrm{id}, \ M_\lambda \circ M_{1/\lambda} = \mathrm{id}, \ \mathrm{and} \ M_{1/\lambda} \circ M_\lambda = \mathrm{id}.$ 

Therefore they are bijective and the inverses are continuous.

## Remark

If U is open, then a + U is also open.

If  $\gamma_0$  is a local base at 0, then  $\gamma_x = \{x + U : U \in \gamma_0\} = x + \gamma_0$  is a local base at x.

Recall that  $\gamma_x$  is a local base at x if  $\forall W \ni x$  open,  $\exists U \in \gamma_x$  such that  $x \in U \subseteq W$ .

That is, in a TVS only local base at 0 are needed. We may interpret "local base" as "local base at 0".

$$\sigma = \bigcup_{x \in V} (x + \gamma_0)$$

is a base for the TVS.

## **Types of Topologial Vector Spaces**

## **Normed Spaces / Banach Spaces**

A normed space is a vector space over  $\mathbb{F}$  together with a norm  $||\cdot||$ , i.e. a map  $||\cdot||: x \in V \mapsto ||x|| \in [0, \infty)$  such that

- 1.  $||x|| = 0 \iff x = 0$ .
- 2.  $||x + y|| \le ||x|| + ||y||$ .
- 3.  $||\lambda x|| = |\lambda| \cdot ||x||$ .

#### Remarks

A normed space is a metric space with d(x, y) = ||x - y||.

A local base (at 0) is given by  $\varepsilon$ -neighborhoods:

$$\gamma_0 = \{B_{\varepsilon}(0) : \varepsilon > 0\}$$

where

$$B_{\varepsilon}(0) = \{ x \in V : ||x|| < \varepsilon \}$$

(open ball with radius  $\varepsilon > 0$ ).

### **Convergence in Normed Space**

A sequence  $\{x_n\}$   $(x_n \in V)$  converges to  $\lambda \in V$  if  $\lim_{n\to\infty} ||x_n - x|| = 0$ .

A sequence  $\{x_n\}$  is Cauchy if  $\forall \varepsilon > 0$ ,  $\exists N$  such that  $\forall j, k \ge N$ ,  $||x_j - x_k|| < \varepsilon$ .

A normed space is complete if  $\{x_n\}$  Cauchy implies  $\exists x \in V$  such that  $x_n \to x$ .

Complete normed spaces are called Banach spaces.

#### **Example 1**

 $\ell^p(\mathbb{N})$ ,  $1 \le p < \infty$ , the set of all sequences  $\{x_n\}_{n=1}^{\infty} = x$  such that

$$||x|| = \left(\sum_{n=1}^{\infty} |x_n|^p\right)^{1/p} < +\infty$$

Recall  $\{x_n\}+\{y_n\}=\{x_n+y_n\}$  and  $\lambda\{x_n\}=\{\lambda x_n\}$ .  $\ell^p$  spaces are complete and therefore Banach. If  $\{x_n\}\in\ell^p$  and  $\{y_n\}\in\ell^q$ , then  $\{x_ny_n\}\in\ell^r$ ,  $\frac{1}{p}+\frac{1}{q}=\frac{1}{r}\in[0,1]$  (e.g.  $\ell^2\cdot\ell^2\leq\ell^1$ )

#### Example 2

 $\ell^{\infty}(\mathbb{N})$ , the set of all bounded sequences

$$||x|| = \sup_{n \in \mathbb{N}} |x_n| < +\infty$$

#### Example 3

 $C_0(\mathbb{N}) \subseteq \ell^p(\mathbb{N})$ , the set of all sequences  $\{x_n\}$ 

$$\lim_{n\to\infty} x_n = 0$$

 $C_0$  is a closed subspace, and both are Banach.

### Example 4

 $L^p(\Omega)$ ,  $1 \le p < \infty$ ,  $\Omega \subseteq \mathbb{R}^d$  a Lebesgue measurable set with  $m(\Omega) > 0$ , the space of all equivalence classes of Lebesgue measurable functions  $f: \Omega \to \mathbb{F}$  such that

$$||f|| = \left(\int_{\Omega} |f(x)|^p dx\right)^{1/p} < +\infty$$

#### Example 5

 $L^{\infty}(\Omega)$ , the measurable and essentially bounded functions

$$\begin{split} ||f|| &= \inf_{\substack{N \subseteq \Omega \\ m(N) = 0}} \sup_{x \in \Omega \backslash N} |f(x)| < + \infty \\ &= \operatorname{ess\ sup}_{x \in \Omega} |f(x)| \end{split}$$

 $L^p(\Omega)$  spaces,  $1 \le p \le \infty$ , are Banach.

#### Example 6

For  $\Omega \neq \emptyset$ , let  $B(\Omega)$  the set of all bounded functions  $f: \Omega \to \mathbb{F}$  with

$$||f|| = \sup_{x \in \Omega} |f(x)|$$

is a Banach space.

 $f_n \to f$  in  $B(\Omega)$  if and only if  $f_n$  converges uniformly on  $\Omega$  to f.

#### Example 7

Let  $\Omega$  be a topological space and  $BC(\Omega)$  the set of all bounded, continuous functions  $f:\Omega\to\mathbb{F}$ .

Then  $BC(\Omega) \subseteq B(\Omega)$  is a closed Banach subspace under the same norm.

That is, the uniform limit of continuous functions is a continuous function.

$$f_n \to f \Longrightarrow f \in B(\Omega)$$

#### **Example 8**

Let K be a compact, Hausdorff space.

Then C(K) is the set of all continous functions  $f: K \to \mathbb{F}$  and C(K) = BC(K).

### F Spaces / pre-F Spaces

A pre-*F*-space is a TVS where the topology is given by some invariant metric d(x+z,y+z)=d(x,y) or d(x,y)=d(x-y,0).

An *F*-space is a complete pre-*F*-space.

A local base (at 0) is given by

$$\gamma_0 = \{B_{\varepsilon}(0) : \varepsilon > 0\}, \quad B_{\varepsilon}(x) = \{y \in V : d(x, y) < \varepsilon\}$$

#### Example 1

 $\ell^p(\mathbb{N}), 0 , the set of all <math>\{x_n\}_{n=1}^{\infty}$  such that

$$\sum_{n=1}^{\infty} |x_n|^p < +\infty$$

with

$$d(x,y) = \sum_{n=1}^{\infty} |x_n - y_n|^p$$

Note that this obeys the triangle inequality specifically because it has not been raised to 1/p.

$$d(\lambda x, \lambda y) = |\lambda|^p \cdot d(x, y)$$

means that d(z,0) is not a norm.

Here,  $B_{\varepsilon}(x)$  are not convex sets.

#### Side Remark

Given  $\mathbb{R}^2$ , the  $\ell^p$  norm for  $1 \le p \le \infty$  is given by

$$||(x_1, x_2)|| = (|x_1|^p + |x_2|^p)^{1/p}$$

and the distance for 0 by

$$d((x_1, x_2))) = |x_1|^p + |x_2|^p$$

The  $\varepsilon$  neighborhoods for p=1 are diamonds, p=2 circles,  $p=\infty$  squares with smooth transition between them. However, for 0 , we have concave diamond shapes.

These norms and metrics are all equivalent on  $\mathbb{R}^2$  in the sense that they give the same topology.

### **Locally Convex TVS**

A TVS which has a local base  $\gamma$  at 0 consisting of open neighborhoods of 0 which are all convex.

#### **Definition: Convex Set**

A set  $A \subseteq V$  is convex if  $\forall x, y \in A, \lambda \in [0,1]$ , then  $\lambda x + (1-\lambda)y \in A$ Alternatively, the line segment between x and y is contained in A ( $[x, y] \subseteq A$ ).

### Fréchet Spaces and pre-Fréchet Spaces

A pre-Fréchet space is locally convex. A Fréchet space is a locally convex *F*-space.

## **April 11, 2024**

## Fréchet Spaces

### Example

 $S = \{\{\{x_n\}_{n=1}^{\infty} \text{ the space of all sequences } x_n \in \mathbb{F}.$ 

$$d(\{x_n\},\{y_n\}) = \sum_{n=1}^{\infty} \frac{1}{2^n} \cdot \frac{|x_n - y_n|}{1 + |x_n - y_n|} < +\infty$$

Triangle inequality:

$$\frac{a+b}{1+a+b} \le \frac{a}{1+a} + \frac{b}{1+b}, \quad a, b \ge 0$$

invariant metric, complete.

 $\gamma_0 = \{B_{\varepsilon}(0) : \varepsilon > 0 \text{ is a local base.}$ 

 $\hat{\gamma}_0 = \{U_{\varepsilon,N} : \varepsilon > 0, N \in \mathbb{N}\}.$ 

 $U_{\varepsilon,N} = \{\{x_n\}_{n=1}^{\infty} : |x|_n < \varepsilon, \forall n = 1, \dots, n\}.$ 

 $\forall \varepsilon > 0, \exists \hat{\varepsilon} > 0, N \text{ such that } U_{\hat{\varepsilon},N} \subseteq B_{\varepsilon}(0).$ 

 $\forall \hat{\varepsilon} > 0, N, \exists \varepsilon > 0 \text{ such that } B_{\varepsilon}(0) \subseteq U_{\hat{\varepsilon},N}.$ 

 $x^{(m)} \to x \text{ in metric of } \mathcal{S} \text{ as } m \to \infty.$   $x^{(m)} = \{x_n^{(m)}\}_{n=1}^{\infty}, \ x = \{x_n\}_{n=1}^{\infty} \text{ if and only if } \forall n \in \mathbb{N}, \ x_n^{(m)} \to x_n \text{ as } m \to \infty \text{ (pointwise, componentwise convergence)}.$ 

#### **Example**

 $C(\mathbb{R}^d)$ , the set of continuous functions  $f:\mathbb{R}^d\to\mathbb{F}$ .

$$|||f|||_N = \sup_{\substack{x \in \mathbb{R}^d \\ ||x|| \le N}} |f(x)|$$

$$d(f,g) = \sum_{N=1}^{\infty} \frac{1}{2^N} \cdot \frac{|||f - g|||_N}{1 + |||f - g|||_N}$$

Complete Fréchet space.

"Locally uniform congergence" such that  $f_n \to f$  in metric of  $C(\mathbb{R}^d)$  if and only if  $\forall$  compact set  $K \subseteq \mathbb{R}^d$ ,  $f_n$  converges to f uniformly on K.

## **Example**

 $C^{\infty}[0,1]$  the set of infinitely differentiable functions  $f:[0,1] \to \mathbb{F}$ .

$$|||f|||_n = \sup_{x \in [0,1]} |f^{(n)}(x)|$$

$$d(f,g) = \sum_{n=0}^{\infty} \frac{1}{2^n} \cdot \frac{|||f - g|||_n}{1 - |||f - g|||_n}$$

Fréchet space.

 $f_m \to f$  in  $C^{\infty}[0,1]$  as  $m \to \infty$  if and only if for every  $m \in \{0,1,\ldots\}, f_m^{(n)} \to f^{(n)}$  uniformly on [0,1] as  $m \to \infty$ .

## **Proposition**

Every TVS is Huasdorff.

#### **Proof**

Let  $x, y \in V$ ,  $x \neq y$ .

For  $U = V \setminus \{0\}$ , and open set,  $x - y \in U$ . Using the continuity of  $(x^2, y^2) \mapsto x^2 - y^2$  and Lemma 1, there exist  $U_x \ni x$  and  $U_y \ni y$  open such that  $U_x - U_y \subseteq U$ . Note that  $U_x \cap U_y = \emptyset$ , otherwise there would exist  $z \in U_x \cap U_y$  such that  $0 = z - z \in U_x - U_y \subseteq U$  a contradiction.

### **Definition: Balancedness**

A subset *U* of a vector space *V* is called balanced if  $\forall \lambda \in \mathbb{F}$ ,  $|\lambda| \leq 1$ ,  $\lambda U \subseteq U$ .

#### **Example**

For  $V = \mathbb{R}^2$ ,  $\mathbb{F} = \mathbb{R}$ , an ellipse is convex and balanced.

Note that since  $\lambda = 0$  is a valid choice, 0 is always in a balanced set.

A retangle, offset from the origin, is convex but not balanced.

A concave diamond centered at 0 may be balanced.

An annulus is neither.

#### **Exercise**

Show that for  $V = \mathbb{C}$ ,  $\mathbb{F} = \mathbb{C}$ , the balanced, convex sets are the open and closed disks along with the entire plane.

# **Proposition**

- 1. Every TVS has a balanced, local base.
- 2. Every locally convex TVS has a balanced and convex local base.

#### Proof of A

e.g.  $\gamma = \{U : U \text{ open, } 0 \in U\}.$ 

For every  $U \in \gamma$ , construct another  $\hat{U}$  open,  $0 \in \hat{U} \subseteq U$  balanced.

Then  $\hat{\gamma} = {\hat{U} : U \text{ taken from } \gamma}$  is a local base.

Use Lemma 1 again and the continuity of  $(\lambda, x') \mapsto \lambda \cdot x'$  at  $\lambda = 0$ , x' = 0.

Given open  $U \ni 0$ , find  $\delta > 0$  and open  $U_0 \ni 0$  such that  $B_{2\delta}(0) \cdot U_0 \subseteq U$ .

Then for  $\alpha \in \mathbb{F}$ ,  $|\alpha| \leq \delta$ ,  $\alpha \cdot U_0 \subseteq U$ . Take

$$\hat{U} = \bigcup_{\substack{\alpha \in \mathbb{F} \\ |\alpha| \le \delta}} \alpha \cdot U_0$$

Therefore  $\hat{U}$  is a union of open sets and  $0 \in \hat{U} \subseteq U$ . Finally, for  $|\lambda| \le 1$ ,

$$\lambda \cdot \hat{U} = \bigcup_{\substack{\alpha \in \mathbb{F} \\ |\alpha| < \delta}} \lambda \cdot \alpha \cdot U_0 = \bigcup_{\substack{\beta \\ |\beta| \le |\lambda| \cdot \delta \le \delta}} \beta U_0 = \hat{U}$$

#### Proof of B

We have a local base  $\gamma=\{U_\omega\},\ U_\omega\ni 0$  open and convex. We want to construct  $\hat{\gamma}=\{\hat{U}_\omega\},\ \hat{U}_\omega\ni 0$  open, convex and balanced. Given U convex, define

$$\hat{U} = \bigcap_{|\alpha| \le \delta} \alpha U$$

convex and balanced.

Need to show that  $\hat{U} \ni 0$  is an open neighbrhood.

Rest of the owl left to the reader.

#### Recall

The intrinsic characterization of a base for a topological space or a local base for a topological space X,  $\{\gamma_x\}_{x\in X}$ .

- $\forall x \in X, U \in \gamma_x, x \in U$
- $\forall x, y \in X, U \in \gamma_x, V \in \gamma_y, z \in U \cap V, \exists W \in \gamma_z, W \subseteq U \cap V.$

# **Proposition**

A balanced, local base  $\gamma$  (at 0) of a TVS V has the following properties:

- 1.  $\gamma$  is a nonempty collection of subsets of V containing 0.
- 2.  $\forall U_1, U_2 \in \gamma$ ,  $\exists U \in \gamma$  such that  $U \subseteq U_1 \cap U_2$ .
- 3.  $\forall U \in \gamma, x \in U, \exists W \in \gamma \text{ such that } x + W \subseteq U.$

- 4.  $\forall U \in \gamma$ ,  $\exists W \in \gamma$  such that  $W + W \subseteq U$  (continuity of  $(x, y) \mapsto x + y$  at (x = y = 0).
- 5.  $\forall U \in \gamma, \ \forall x \in V, \ \exists t > 0, \ x \in t \cdot U \ (\text{continuty of scalar multiplication } (\lambda, x') \mapsto \lambda x' \ \text{at } \lambda = 0, \ x' = x).$   $\frac{1}{t} \cdot x \in U, \ \frac{\delta}{2} \cdot x \subset B_{\delta}(0) \cdot \hat{U} \subseteq U.$

$$t = 0, 2 = 0, 0$$

6.  $\forall x \in V, x \neq 0, \exists U \in \gamma, x \notin U (\{x\} \text{ closed}; 0 \in V \setminus \{x\} \text{ open}; 0 \in U \subseteq V \setminus \{x\}).$  (Hausdorff)

#### Converse

Conversely, if  $\gamma$  satisfies properties 1-6, then there exists a unique topology on V such that  $\gamma$  is a balanced, local base for V and V with this topology is a TVS.

### Theorem:

Any two TVS of finite dimension d (over  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{F} = \mathbb{C}$ ) are homeomorphic to eachother.

#### **Proof**

Let V be a TVS with  $\dim(V) = d$ . We want to show that  $V \cong \mathbb{F}^d$ . We have

$$V = \lim\{v_1, \dots, v_d\}$$

a basis and

$$f:(\lambda_1,\ldots,\lambda_n)\in\mathbb{F}^d\mapsto\sum_{i=1}^d\lambda_iv_i\in V$$

an isomorphism between  $\mathbb{F}^d$  and V as vector spaces. Further, f is continuous. Consider  $\mathbb{F}^d$  equipped with the product topology and the continuity of addition and scalar multiplication.

We need only that  $f^{-1}$  is continuous at 0 which is equivalent to  $\forall U \ni 0$  open in  $\mathbb{F}^d$ ,  $\exists W \ni 0$  open in V such that  $W \subseteq f(U)$   $((f^{-1})^{-1}(U))$ .