# Topics in Analysis (F24)

# **September 30, 2024**

# **Chapter 1: Banach Algebras**

# 1.1: Definitions and Basic Properties

**Definition: Banach Space** 

A Banach space X (over  $\mathbb{C}$ ) is a normed vector space with algebraic operations

$$(x,y)\mapsto x+y$$
 addition  $(\lambda,y)\mapsto \lambda y$  scalar multiplication

and a norm

$$x \mapsto ||x||$$

which is complete (i.e. every Cauchy sequence converges).

**Definition: (Complex) Banach Algebra** 

A (complex) Banach algebra *B* is a Banach space in which there is multiplication

$$B \times B \ni (x, y) \mapsto xy \in B$$

such that

1. 
$$x(yz) = (xy)z$$

2. 
$$(x+y)z = xz + yz$$
 and  $x(y+z) = xy + xz$ 

3. 
$$\lambda(xy) = (\lambda x)y = x(\lambda y)$$

4. 
$$||xy|| \le ||x|| \cdot ||y||$$

**Definition: Unital Banach Algebra** 

B is called a unital Banach algebra if  $\exists e \in B$  such that

$$xe = ex = x$$
 and  $||e|| = 1$ .

If *e* exists, it is unique.

## 1.2: Examples

## Example 1

If X is a Banach space, then  $B = \mathcal{L}(X)$  (the set of all bounded inear operators  $A: X \to X$ ) equipped with algebraic operations

$$(A+B)x = Ax + Bx$$
$$(\lambda A)x = \lambda (Ax)$$
$$(AB)x = A(Bx)$$

and the operator norm

$$||A||_{\mathcal{L}(X)} = \sup_{x \neq 0} \frac{||Ax||_X}{||x||_X}.$$

 $B = \mathcal{L}(X)$  is complete because X is complete. The unit element is given by  $I_X x = x$ .

## **Example 2**

If  $X = \mathbb{C}^n$ , then  $B = \mathcal{L}(\mathbb{C}^n) \cong \mathbb{C}^{n \times n}$ .

$$A = (a_{ij})_{i,j=1}^{n}$$

$$Ax = y$$

$$\sum_{j=1}^{n} a_{ij}x_{j} = y_{i}.$$

$$\begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nn} \end{pmatrix} \begin{pmatrix} x_{1} \\ \vdots \\ x_{n} \end{pmatrix} = \begin{pmatrix} y_{1} \\ \vdots \\ y_{n} \end{pmatrix}$$

The norm in  $\mathbb{C}^n$  leadsto a norm in  $\mathbb{C}^{n\times n}$ 

$$||(x_i)|| = \left(\sum |x_i|^2\right)^{1/2}$$
  $||A|| =$   
 $||(x_i)|| = \sum |x_i|$   $||A|| = \max_j \sum_i |a_{ij}|$   
 $||(x_i)|| = \max |x_i|$   $||A|| = \max_i \sum_j |a_{ij}|$ 

All norms are quivalent.

#### Example 3

Take B = C(K) with K a compact Hausdorff space,  $f : K \to \mathbb{C}$  continuous and  $||f|| = \max_{t \in K} |f(t)|$ .

# Example 4

Take B = A(K),  $K \subseteq \mathbb{C}$  compact with  $\operatorname{int}(K) \neq 0$ ,  $f : K \to \mathbb{C}$  continuous where f is holomorphic on  $\operatorname{int}(K)$  and

$$||f|| = \max_{t \in K} |f(t)| = \max_{t \in K \setminus \text{int}(K)} |f(t)|$$

e.g.  $K = \overline{\mathbb{D}} = \{ t \in \mathbb{C} : |t| \le 1 \}$ . Then  $A(K) \subseteq C(K)$ .

## Example 5

Take  $B = \ell^{\infty}(\mathbb{N})$  or  $B = L^{\infty}(S, \sigma, \mu)$  with  $(S, \sigma, \mu)$  a measure space,  $f : S \to \mathbb{C}$  essentially bounded functions and

$$||f|| = \operatorname{ess\,sup}_{t \in S} |f(t)| = \inf_{\substack{N \subseteq S \\ \mu(N)}} \left( \sup_{t \in S \setminus N} |f(t)| \right)$$

## Example 6

Take  $B = \ell^1(\mathbb{Z})$  or  $B = L^1(\mathbb{R}^d)$  with  $||\{x_n\}|| = \sum |x_n|$  and  $||f|| = \int_{\mathbb{R}^d} |f(t)| dt$  respectively. Multiplication is given by the convolution. e.g.

$$fg = (f * g)(x) = \int_{\mathbb{D}^d} f(x - t)g(t) dt$$

 $\ell^1(\mathbb{Z})$  is unital, but  $L^1(\mathbb{R}^d)$  is non-unital (since the unit of convolution is the Dirac delta; see Example 7).

# Example 7

Take  $B = M(\mathbb{R}^d)$  the complex measures on  $\mathbb{R}^d$  with bounded variation. Then multiplications is given as

$$(\mu * \nu)(A) = \int_{\mathbb{D}^d} \mu(A - x) d\nu(x)$$

and norm

$$||\mu|| = \sup_{\mathbb{R}^d = \bigcup A_i \atop \text{disjoint}} \sum_{i=1}^n |\mu(A_i)| < +\infty.$$

Then,  $f dm = d\mu$  gives  $L^1(\mathbb{R}^d) \to M(\mathbb{R}^d)$ .

## Example 8

Take  $B = C^{n \times n}[K]$  with K compcat and Hausdorff, continuous functions  $f: K \to \mathbb{C}^{n \times n}$  and norm

$$||f||_B = \max_{t \in k} ||f(t)||_{C^{n \times n}}.$$

Then  $B \cong (C(K))^{n \times n}$  the  $n \times n$  matrices with entries from C(K).

## 1.3: Remarks

• If B does not have a unit element, consider  $B_1 = B \times \mathbb{C}$  with operations

$$(b_1, \lambda_1) + (b_2, \lambda_2) = (b_1 + b_2, \lambda_1 + \lambda_2)$$
$$\alpha(b, \lambda) = (\alpha b, \alpha \lambda)$$
$$(b_1, \lambda_1)(b_2, \lambda_2) = b_1 b_2 + \lambda_1 b_2 + \lambda_2 b_1, \lambda_1 \lambda_2)$$

and norm

$$||(b,\lambda)|| = ||b|| + |\lambda|.$$

Then  $B_1$  is a unital Banach algebra with e = (0,1). One writes  $(b,\lambda) = (b,0) + \lambda(0,1) = b + \lambda \cdot e$ . In some sense,  $B \subseteq B_1$  where  $b \in B \mapsto (b,0) \in B_1$ .

#### 1.4: Definitions

**Definition: Commutative Banach Algebra** 

*B* is called commutative if xy = yx.

**Definition: Banach Subalgebra** 

A subset  $B_0$  of a B-algebra is called a subalgebra if it is closed with respect to the algebraic operations

$$x, y \in B_0, \lambda \in \mathbb{C} \Rightarrow x + y, xy, \lambda x \in B$$

**Definition: Closed Subalgebra** 

 $B_0$  is a closed subalgebra or Banach subalgebra if it is norm-closed.

• Proposition:  $B_0$  is a Banach algebra.

## **Definition: Generated Subalgebra**

Let  $M \neq \emptyset$  be a subset of a Banach algebra B.

The Banach subalgebra generated by M is the smallest closed subalgebra containing M.

$$alg M = (clos alg_B M)$$

Remark

$$\begin{split} &\operatorname{alg} M \text{ is the intersection of all closed subalgebras containing } M. \\ &\operatorname{alg} M = \operatorname{clos} \left\{ \sum_{i=1}^N \lambda_i a_1^{(i)} a_2^{(i)} \cdots a_{n_i}^{(i)} \right\} \text{ is the norm-closure of finite linear combinations of finite products of } a_j^{(i)} \in M. \end{split}$$

## 1.5: Examples

## **Exammple 1**

Take B unital,  $b \in B$ . Then

$$\operatorname{alg}\{e,b\} = \operatorname{clos}_{B}\left\{\sum_{i=0}^{N} \lambda_{i} b^{i} : \lambda_{i} \in \mathbb{C}, \ N \in \mathbb{N}\right\}$$

where  $b^0 = e$ .

## 1.6 Definitions

# **Definition: Banach Algebra Homomorphism**

A Banach algebra homomorphism is a map  $\phi: B_1 \to B_2$  between Banach algebras  $B_1$  and  $B_2$  such that

- $\phi$  is linear
- $\phi$  is bounded (continuous)
- $\phi$  is multiplicative

$$\phi(b_1b_2) = \phi(b_1) \cdot \phi(b_2)$$

•  $\phi$  is unital if both  $B_1, B_2$  have units and  $\phi(e_{B_1}) = e_{B_2}$ .

## **Definition: Banach Algebra Isomorphism**

A Banach algebra homomorphism which is bijective is called a Banach algebra isomorphism. Then  $\phi^{-1}: B_2 \to B_1$  is an isomorphism as well.

## **Definition: Banach Algebra Isometry**

 $\phi$  is an isometry if  $||\phi(x)|| = ||x||$ .

# October 2, 2024

#### Recall

Given  $M \subseteq \mathcal{L}(X)$  with X a Banach space (and  $\mathcal{L}(X)$  itself a Banach algebra), we may construct  $B = \operatorname{alg}_{\mathcal{L}(X)} M$ .

# 1.7 Proposition

Let B be a unital Banach algebra. Then the map

$$\phi: B \ni x \to L_x \in \mathcal{L}(B)$$

is an isometric isomorphism onto a closed subalgebra of  $\mathcal{L}(B)$  where

$$L_x: B \ni z \mapsto xz \in B$$

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is the left-representation of x.

#### **Proof**

 $L_x$  is in  $\mathcal{L}(B)$  since  $L_x z = xz$ 

- is linear in z and
- $||L_x z|| = ||xz|| \le ||x|| \cdot ||z||$  implies  $||L_x|| \le ||x||$  (i.e.  $L_x$  is a bounded).

The map  $\phi: x \mapsto L_x$  is linear

$$L_{x_1+x_2}z = (x_1+x_2)z = x_1z + x_2z = L_{x_1}z + L_{x_2}z = (L_{x_1}+L_{x_2})z$$

 $\phi$  is multiplicative

$$L_{x_1x_2}z = (x_1x_2)z = x_1(x_2z) = L_{x_1}(L_{x_2}z)$$

From the above, we conclude that  $\phi$  is a homomorphism.

To show that  $\phi$  is an isometry,

$$||L_x|| = \sup_{z \neq 0} \frac{||L_x z||}{||z||} \ge \frac{||L_x e|}{||e||} = \frac{||x||}{1} = ||x||.$$

Then also  $\phi$  is injective and  $\operatorname{im} \phi$  is closed. Since  $\operatorname{im} \phi$  is a Banach algebra, it is therefore a closed subalgebra.

# 1.7 Remark: Right-Regular Representation

Every unital Banach algebra is isometrically isomorphic to a Banach algebra of operators. Right-regular representation:

$$R_x = z \mapsto zx$$

# Chapter 2: Group of Invertible Elements in a Banach Algebra

#### 2.1 Definition: Invertible Element

Let *B* be a unital Banach algebra. An element  $x \in B$  (in *B*) if there exists  $y \in B$  such that xy = yx = e. Note that  $y = x^{-1}$  is uniquely determined.

Write GB for the set of all invertible elements of B.

#### Remark

GB is a (multiplicative group).

- $x, y \in GB \implies xy \in GB \text{ and } (xy)^{-1} = y^{-1}x^{-1}$ ,
- $x \in GB \implies x^{-1} \in GB$  and  $(x^{-1})^{-1} = x$ , and
- $e \in GB$ .

#### 2.2 Lemma

If  $x \in B$  and ||x|| < 1, then  $e - x \in GB$ .

## Proof

Take the Neumann series

$$e + x + x^2 + x^3 + \cdots$$

which converges to some  $s \in B$ 

$$s_n = e + x + \dots + x^n$$

where  $s_n$  are Cauchy:

$$||s_{n+k} - s_n|| = ||x^{n+1} + \dots + x^{n+k}|| \le ||x||^{n+1} + ||x||^{n+2} + \dots = \frac{||x||^{n+1}}{1 - ||x||}.$$

So  $s_n \to S$ ,

$$(e-x)s_n = s_n(e-x)e - x^{n+1}$$
.

Taking  $n \to \infty$ 

$$(e-x)s = s(e-x) = e.$$

# 2.3 Proposition

The group GB is open in B and the map  $\Lambda: GB \ni x \mapsto x^{-1} \in GB$  is continuous (in the norm).

## **Proof**

Take  $x \in GB$  and consider  $y \in B$  with  $||y|| < \frac{1}{||x^{-1}||} = \varepsilon$ . Then  $x + y \in B_{\varepsilon}(x)$  is invertible,

$$x + y = x(e + x^{-1}y),$$

and

$$||x^{-1}y|| \le ||x^{-1}|| \cdot ||x|| < 1.$$

Therefore GB is open, since  $B_{\varepsilon}(X) \subseteq GB$ . The inverse

$$(x+y)^{-1} = (e+x^{-1}y)^{-1}x^{-1} = \sum_{n=0}^{\infty} (-x^{-1}y)^n x^{-1} = x^{-1} + \sum_{n=1}^{\infty} (-x^{-1}y)^n x^{-1}$$

SO

$$||(x+y)^{-1}-x^{-1}|| \le \sum_{n=1}^{\infty} ||x^{-1}||^{n+1} ||y||^n = \frac{||x^{-1}||^2 ||y||}{1-||x^{-1}|| \cdot ||y||}.$$

This converges to zero as  $||y|| \to 0$ .

## 2.4 Examples

# **Example 1**

B = C(K), K compact Hausdroff,  $f : K \to \mathbb{C}$  continuous.  $GB = \{ f \in C(K) : f(t) \neq 0, \ \forall \ t \in K \}.$ 

## **Example 2**

$$B = C^{n \times n}.$$

$$GB = \{ A \in \mathbb{C}^{n \times n} : \det A \neq 0 \}.$$

## 2.5 Definition:

Let  $G_0B$  stand for the connected componet of GB containing e.

#### Remarks

• the  $\varepsilon$ -neighborhoods  $B_{\varepsilon}(x) \subseteq B$  are (path-)connected.

$$B_{\varepsilon}(x) = \{ y \in B : ||x - y|| < \varepsilon \}$$

For  $y_1, y_2 \in B_{\varepsilon}(x)$ , there is a continuous path

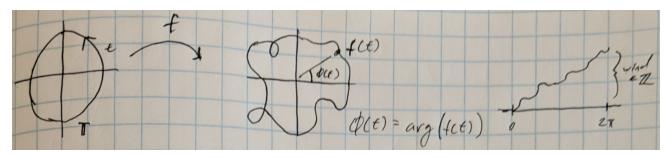
$$\sigma: [0,1] \ni \lambda \mapsto \gamma_1 \lambda + \gamma_2 (1-\lambda) \in B_{\varepsilon}(x)$$

- Because GB is open and  $B_{\varepsilon}(x)$  is path-connected, GB is locally (path-)connected (i.e. every  $x \in GB$  has a (path-)connected open neighborhood in GB).
- In this context, connectedness and path-connectedness are equivalent. Therefore the components of *GB* are the path-components of *GB*.
- *GB* is the union of disjoint (path-)components where each component is both open and closed in *GB*.
- $x, y \in GB$  belong to the same path-component if there exists a continuous path  $\gamma : [0,1] \to GB$  such that  $\gamma(0) = x$  and  $\gamma(1) = y$ . Here,  $x \sim y$  is an equivalence relation.
- $G_0B = \{x \in GB : \exists \text{ a path in } GB \text{ connecting } e \text{ and } x\}.$

# 2.6 Examples

#### Example 1

Take  $B=C(\mathbb{T})$  with  $\mathbb{T}=\{z\in\mathbb{C}:|z|=1\}$  and continuous functions  $f:\mathbb{T}\to\mathbb{C}$ . GB is the non-vanishing continuous functions  $f:\mathbb{T}\to\mathbb{C}$   $(f(t)\neq 0,\ \forall\ t\in\mathbb{T})$ . For  $f\in GB$  one can define a winding number.



We have  $\frac{1}{2\pi} \arg f(e^{ix})$  a continuous function with

wind(t) = 
$$\left[\frac{1}{2\pi} \arg f(e^{ix})\right]_{x=0}^{2\pi} = \phi(2\pi) - \phi(0)$$

and wind(t)  $\in \mathbb{Z}$ .

The map  $GB \ni f \mapsto \text{wind}(t) \in \mathbb{Z}$  is continuous, hence locally constant (i.e. constant on each connected component).

Therefore  $G_0C(\mathbb{T})\subseteq\{f\in GC(\mathbb{T}): \text{wind}(f)=0\}$ . In fact, we will see that we have equality.

That is, f can be contracted (in GB) to the constant function e(t) = 1.

# 2.7 Proposition

 $G_0B$  is a normal subgroup of GB.

### **Proof**

•  $G_0B$  is a group.

For any  $x, y \in G_0B$ , there exist paths  $\gamma_1 : [0,1] \to GB$  and  $\gamma_2 : [0,1] \to GB$  with  $\gamma_1(0) = \gamma_2(0) = e$ ,  $\gamma_1(1) = x$  and  $\gamma_2(1) = y$ 

Define  $\gamma(t) = \gamma_1(t)\gamma_2(t)$  a path in GB such that  $\gamma(0) = e$  and  $\gamma(1) = xy$ . Then  $xy \in G_0B$ .

Following from Lemma 2.2,  $\hat{\gamma} = (\gamma_1(t))^{-1}$  is a continuous path with  $\hat{\gamma_1}(0) = e$ ,  $\hat{\gamma_1}(1) = x^{-1}$  and  $x^{-1} \in GB$ .

•  $G_0B$  is a normal subgroup of GB.

For every  $y \in GB$ ,  $yG_0By^{-1} \subseteq G_0B$  if and only if  $yG_0B = G_0By$ .

Take  $x \in G_0B$  with path  $\gamma$ , then

$$\delta(t) = y\gamma(t)y^{-1}$$
,  $\delta(0) = yey^{-1} = e$ , and  $\delta(1)yxy^{-1} \in G_0B$ .

# 2.8 Definition: Abstract Index Group

The quotient group  $GB/G_0B$  is called the abstract index group of B.

#### Remark

 $GB/G_0B$  is in 1-to-1 correspondence with the set of connected components of GB. Indeed, the (path-)connected components of GB are given by  $yG_0B = G_0By$  (for  $y \in GB$ ).

$$y_1G_0B = y_2G_0B \iff y_2^{-1}y_1G_0B = G_0B \iff y_2^{-1}y_1 \in G_0B \iff [y_2] = [y_1] \text{ in } GB/G_0B.$$

# 2.9 Definition: Exponential Map

For  $x \in B$ , we define the exponential map  $B \ni x \mapsto \exp(x) := \sum_{n=0}^{\infty} \frac{x^n}{n!}$ .

## **2.10 Lemma**

The exponential map  $B \ni x \mapsto \exp(x) \in GB$  is well-defined and continuous.

For xy = yx, we have  $\exp(x + y) = \exp(x) \exp(y)$ .

In particular,  $(\exp(x))^{-1} = \exp(-x)$ .

#### **Proof**

 $\sum_{n=0}^{\infty} \frac{x^n}{n!}$  is absolutely convergent.

$$\sum_{n=0}^{\infty} \frac{||x||^n}{n!} < +\infty.$$

It follows that  $s_n = \sum_{n=0}^k \frac{x^k}{k!}$  is a Cauchy sequence and therefore converges. Continuity left as an exercise. Need to show:

$$\left|\left|\sum \frac{x^n}{n!} - \sum \frac{y^n}{n!}\right|\right| \le |\left|x - y\right|\right| \cdot M_{x,y}$$

The fact that  $\exp(x + y) = \exp(x) \exp(y)$  follows from multiplying terms and the binomial formula.

# October 7, 2024

#### Recall

GB e + x.

 $G_0B$  connected component of GB containing e.

 $GB/G_0B$  is the abstract index group.

 $B = C(\mathbb{T}) \rightsquigarrow f \in GC(\mathbb{T}) \rightsquigarrow \operatorname{ind}(f).$ 

# **Definition: Exponential Map**

$$\exp(x) = \sum_{n=0}^{\infty} \frac{x^n}{n!} \in GB$$

#### Lemma:

For  $y \in B$ , ||y|| < 1, there exists  $x \in B$  such that  $\exp(x) = e + y$ .

#### **Proof**

Define

$$\log(e+y) = y - \frac{y^2}{2} + \frac{y^3}{3} - \dots \in B.$$

This converges absolutely (||y|| < 1), therefore it converges in *B* by completeness.

#### Identities

$$\exp(\log(e+y)) = \sum_{n=0}^{\infty} \frac{\left(\sum_{k} \frac{y^{k}}{k} (-1)^{k-1}\right)^{n}}{n!} = e+y$$

## **Proof**

 $G_0B$  is equal to the set of all finite products of exponentials of elements in B.

$$G_0B = \bigcup_{n=0}^{\infty} \Gamma_n = \bigcup_{n=0}^{\infty} \{ \exp(a_1) \exp(a_2) \cdots \exp_{a_n} \in B \}$$

#### **Proof**

Call  $\Gamma = \bigcup_{n=0}^{\infty} \Gamma^n$ .

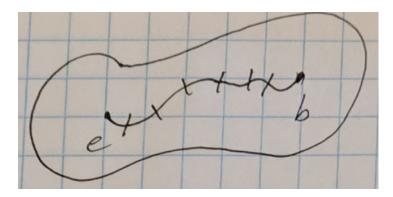
Then observe that each  $\Gamma_n$  is path-connected and contains e.

For  $b = \exp(a_1) \cdots \exp(a_n) \in \Gamma_n$ , define a path

- $\sigma: [0,1] \to \Gamma_n$
- $\sigma(t) = \exp(ta_1) \cdots \exp(ta_n)$  is continuous with  $\sigma(0) = e$  and  $\sigma(1) = b$ .

Therefore,  $\Gamma$  is path-connected and contains e. It follows that  $\Gamma \subseteq G_0B$ .

To prove that  $G_0B \subseteq \Gamma$ , take  $b \in G_0B$  and show that there exists a path in  $GB \gamma : [0,1] \to GB$  continuous with  $\gamma(0) = e$  and  $\gamma(1) = b$ .



We have that  $(\gamma(t))^{-1}$  is continuous and bounded in the norm. Then  $\gamma(t)$  is uniformly continuous.

$$||\gamma^{-1}(t)|| \le M.$$

$$(\exists N): |t-s| \le \frac{1}{N} \Longrightarrow ||\gamma(t) - \gamma(b)|| \le \frac{1}{M} \cdot \frac{1}{2}$$
. Write

$$b = \gamma(1) \cdot \gamma^{-1}(0) = \gamma(1)\gamma^{-1}\left(\frac{N-1}{N}\right)\gamma\left(\frac{N-1}{N}\right)\gamma^{-1}\left(\frac{N-2}{2}\right)\cdots\gamma\left(\frac{1}{N}\right)\gamma^{-1}\left(\frac{1}{N}\right)\gamma(0) = \prod_{k=1}^{N}\gamma^{-1}\left(\frac{k}{N}\right)\gamma\left(\frac{k-1}{N}\right).$$

Therefore, with  $s_k = \gamma^{-1} \left( \frac{k}{N} \right) \gamma \left( \frac{k-1}{N} \right)$ ,  $b = \prod_{k=1}^{N} \exp(\log(s_k))$ .

$$|\left| \left| s_k - e \right| \right| \leq |\left| \gamma^{-1} \left( \frac{k}{N} \right) \right| \left| \cdot \right| \left| \gamma \left( \frac{k-1}{N} \right) - \gamma \left( \frac{k}{N} \right) \right| \right| \leq M \cdot \frac{1}{2M} \leq \frac{1}{2}.$$

#### Corollary

If B is commutative,  $G_0B = \{\exp(a) : a \in B\}.$ 

## Remark

Special case: B = C(K) (K compact Hausdorff space).

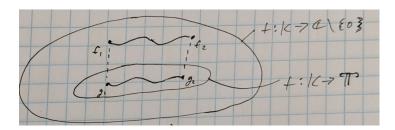
 $G_0B = \{ \exp(a) : a \in C(K) \}.$ 

 $GB/G_0B$  is an equivalence class of functions  $f: K \to \mathbb{C} \setminus \{0\}$  with respect to path-connectedness.

That is,  $f_1 \sim f_2$  if and only if there exists continuous F(t,x):  $[0,1] \times K \to \mathbb{C} \setminus \{0\}$  with  $F(0,x) = f_1(x)$  and  $F(1,x) = f_2(x)$ .

These are the homotopy classes of continuous functions  $f: K \to \mathbb{C} \setminus \{0\}$ .

This corresponds to homotopy classes of continuous functions  $f: K \to \mathbb{T}$  (with  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ ) called the 1st co-homotopy group of  $K \pi^1(K)$ .



 $f: K \to \mathbb{C} \setminus \{0\}$  and  $\frac{f}{|f|}: K \to \mathbb{C} \setminus \{0\}$  are path-connected by  $\sigma(s) = \frac{f}{|f|^s}$ ,  $s \in [0,1]$ .  $f_1 \sim f_2 \text{ in } K \to \mathbb{C} \setminus \{0\} \text{ implies that } \frac{f_1}{||f_1||} \sim \frac{f_2}{||f_2||} \text{ in } K \to \mathbb{T} \text{ by } F(s,x) \text{ and } \frac{F(s,x)}{|F(s,x)|}.$ We conclude that  $\pi^1(K) \cong GC(K)/G_0C(K)$ .

#### **Example**

Let  $B = C(\mathbb{T})$ .

$$G_0B = \{ \exp(a) : a \in C(\mathbb{T}) = \{ f \in GC(\mathbb{T}) : \text{wind}(f) = 0 \}$$

For  $f \in GC(\mathbb{T})$ , wind(f) = 0 implies that  $f = \exp(a)$  has a logarithm.

This implies that  $f \in G_0B$  which itself implies that wind(f) = 0, since wind(f) is continuous on  $GC(\mathbb{T})$  and therefore constant on the component.

Therefore,  $GB/G_0B \cong \mathbb{Z}$  via the winding number.

For connected components of GB, define  $\chi_n(t) = t^n$ , |t| = 1, where wind  $(\chi_n) = n$ .

# Remark: Closed Subalgebras and Invertibility

Let A be a closed subalgebra of B (both being unital,  $e \in A$ ,  $e \in B$ ).

Obviously, if  $a \in A$  is invertible in A (i.e.  $a^{-1} \in A$ ) then a is invertible in B. Then  $GA \subseteq GB \cap A \subseteq GB$ .

## **Example**

Take  $B=C(\mathbb{T})$  and  $A=\{f\in C(\mathbb{T}): f_n=0, \ \forall n<0\}=C_+(\mathbb{T})$  where  $f_n=\frac{1}{2\pi}\int_0^{2\pi}f(e^{ix})e^{-inx}\ dx$  is the nth Fourier

Formally:  $f(t) \cong \sum_{n=-\infty}^{\infty} f_n t^n$  in  $B = C(\mathbb{T})$ , |t| = 1.  $f \in A$ :  $f(t) = \sum_{n=0}^{\infty} f_n t^n$ , |t| = 1 has an analytic extension into the unit disk |t| < 1.

More precisely,  $\phi: A(\overline{\mathbb{D}}) \to C_+(\mathbb{T}) \subseteq C(\mathbb{T})$  by  $f \mapsto f|_{\mathbb{T}}$ .

Where  $A(\overline{\mathbb{D}}) = \{ f \in \overline{D} \to \mathbb{C} \text{ continuous, holomorphic on } \mathbb{D} \} \text{ and } \mathbb{D} = \{ t \in \mathbb{C} : |t| \le 1 \}.$ 

Then, for  $f \in A(\overline{\mathbb{D}})$  with  $n \in \{-1, -2, -3, \ldots\}$ ,

$$f_n = \frac{1}{2\pi} \int_0^{2\pi} f(e^{ix}) e^{-inx} dx = \frac{1}{2\pi i} \int_{\mathbb{T}} \frac{f(z)}{z^{n+1}} dz = \lim_{r \to 1^-} \frac{1}{2\pi i} \int_{\mathbb{T}} \frac{f(rz)}{z^{n+1}} dz = 0$$

In fact, φ is an isometry.

$$||f||_{A(\overline{\mathbb{D}})} = \sup_{|z| \le 1} |f(z)| = \max_{|z|=1} |f(z)| = ||f|_{\mathbb{T}}||_{C(\mathbb{T})}$$

By maximum modulus principle of holomorphic functions, since  $\phi$  is not constant.

•  $\phi$  is linear and multiplicative.

•  $C_+(\mathbb{T})$  is a closed subset of  $C(\mathbb{T})$ .

$$\Lambda_n: C(\mathbb{T})\ni f\mapsto f_n\in\mathbb{C}$$

is a continuous linear functional.

$$C_+(\mathbb{T}) = \bigcap_{n=0} \ker \Lambda_n$$

• Less trivaially,  $\phi$  is surjective and  $C_+(\mathbb{T})$  is an algebra.

## **Example**

 $\chi_1(t)=t$  is invertible in  $C(\mathbb{T})=B$ .  $x_1^{-1}(t)=\frac{1}{t}=x_{-1}(t)\notin C_+(\mathbb{T})$  while  $\chi_1(t)\in C_+(\mathbb{T})$ . Therefore  $GA\subseteq GB\cap A$  may not be equal.

# **Definition: Boundary**

The boundary of a subset *U* of a topological space *X* is  $\partial U = \overline{U} \setminus \operatorname{int}(U)$ .

#### Remark

For  $U \subseteq X$ ,  $X = \operatorname{int}(U) \cup \partial U \cup \operatorname{int}(X \setminus U)$  a union of disjoint sets.

#### Lemma:

- 1. if  $a \in \partial GA$ , then  $a \notin GA$  and there exists a sequence  $a_n \in GA$  such that  $a_n \to a$ .
- 2. if  $a \in \partial a$  and  $a_n \in GA$  such that  $a_n \to a$ , then  $||a_n^{-1}|| \to +\infty$ .

## Proof of 1

 $a \in GA$  would imply  $a \in \operatorname{int}(GA)$  and not a boundary point.

## Proof of 2

Otherwise, there would exist a bounded subsequence  $||a_{n_i}^{-1}|| \le M$ .

$$||a_{n_i}^{-1} - a_{n_i}^{-1}|| \le ||a_{n_i}^{-1}|| \cdot ||a_{n_i} - a_{n_i}|| \cdot ||a_{n_i}^{-1}|| \le M^2 ||a_{n_i} - a_{n_i}||$$

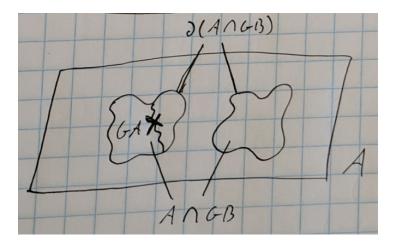
Since  $a_n$  converges,  $\{a_n\}$  is Cauchy which implies  $a_{n_i}^{-1}$  is Cauchy. Then  $a_{n_i}^{-1} \to b \in A$ .  $e = a_{n_i} a_{n_i}^{-1} \to ab$  implies  $a^{-1} = b$  and  $a \in GA$ . However  $a \notin GA$ .

## **Proposition**

Let A be a closed subalgebra of B ( $e \in A$ ,  $e \in B$ ). Then  $\partial GA \subseteq \partial (A \cap GB)$  (both boundaries are considered in A).

# Remark

Both GA and  $A \cap GB$  are open subsets of A.



# Proof

Take  $a \in \partial GA$  and suppose  $a \notin \partial (A \cap GB)$ . Take  $a \in \partial GA$ :  $a_n \in GA$ ,  $a \notin GA$ ,  $a_n \to a$ ,  $||a_n^{-1}|| \to +\infty$ .