

Algebra III

April 1, 2024

Chapter 0: Review

Definition: Category

A category \mathcal{C} consists of the following data:

1. A class of objects, $\text{Obj}(\mathcal{C})$.
2. For any pair of objects $X, Y \in \text{Obj}(\mathcal{C})$, a set of morphisms $\text{Mor}_{\mathcal{C}}(X, Y)$, $\text{Hom}_{\mathcal{C}}(X, Y)$ or $\mathcal{C}(X, Y)$.
3. For any triple of objects $X, Y, Z \in \text{Obj}(\mathcal{C})$, a map

$$\begin{aligned}\text{Hom}_{\mathcal{C}}(Y, Z) \times \text{Hom}_{\mathcal{C}}(X, Y) &\rightarrow \text{Hom}_{\mathcal{C}}(X, Z) \\ (g, f) &\mapsto g \circ f\end{aligned}$$

called compositions subject to the following axioms:

1. Associativity: $f \circ (g \circ h) = (f \circ g) \circ h$ whenever this makes sense.
2. For every object $X \in \text{Obj}(\mathcal{C})$, there exists a morphism $\text{id}_X \in \text{Hom}_{\mathcal{C}}(X, X)$ such that

$$\text{id}_X \circ f = f \quad \text{and} \quad g \circ \text{id}_X = g, \quad \forall f \in \text{Hom}_{\mathcal{C}}(W, X), g \in \text{Hom}_{\mathcal{C}}(X, W)$$

Example 1

Let E be a set (or a class).

Define \mathcal{C} by taking $\text{Obj}(\mathcal{C}) = E$ and $\text{Hom}_{\mathcal{C}}(X, Y) = \begin{cases} \emptyset & \text{if } x \neq y \\ \{\text{id}_X\} & \text{if } x = y \end{cases}$.

Example 2

Let $\mathcal{C} = \text{Set}$ the category of all sets with set functions acting as morphisms.

Let $\mathcal{C} = \text{Grp}$ the category of all groups with group homomorphisms acting as morphisms.

Abelian Rings: Ab, Rings: Ring, Commutative Rings: CRing, Vector Spaces over F : Vect_F , Topological Spaces: Top, etc.

Example 3

Let G be a group (or more generally a monoid).

Define $\text{Obj}(\mathcal{C}) = \{*\}$, $\text{Hom}_{\mathcal{C}}(*, *) = G$ and

$$\text{Hom}_{\mathcal{C}}(*, *) \times \text{Hom}_{\mathcal{C}}(*, *) \rightarrow \text{Hom}_{\mathcal{C}}(*, *)$$

the group operator.

Example 4

Let (E, \leq) be a preordered set (i.e. reflexive and transitive).
Define \mathcal{C} by $\text{Obj}(\mathcal{C}) = E$,

$$\text{Hom}_{\mathcal{C}}(x, y) = \begin{cases} \emptyset & \text{if } x \not\leq y \\ \{f_{xy}\} & \text{if } x \leq y \end{cases}$$

Notation

If $f \in \text{Hom}_{\mathcal{C}}(X, Y)$ we write $X \xrightarrow{f} Y$ in \mathcal{C} .

Definition: Isomorphism

A morphism $f : X \rightarrow Y$ in \mathcal{C} is an isomorphism if $\exists g : Y \rightarrow X$ such that $g \circ f = \text{id}_X$ and $f \circ g = \text{id}_Y$.

Definition: Endomorphism

A morphism on X with $f : X \rightarrow X$.

Definition: Automorphism

An automorphism on X is just an isomorphism $f : X \xrightarrow{\sim} X$ from X to itself.
Note that $\text{Aut}_{\mathcal{C}}(X) \subseteq \text{End}_{\mathcal{C}}(X) = \text{Hom}_{\mathcal{C}}(X, X)$.

Remark:

The collection of all endomorphisms on X form a monoid.
The collection of all automorphisms on X forms a group called the automorphism group of X .

Example 1

Let $\mathcal{C} = \text{Set}$, $X = \{1, \dots, n\}$. Then $\text{Aut}_{\text{Set}}(\{1, \dots, n\}) = \text{Perm}(X) = S_n$.

Example 2

Let $\mathcal{C} = \text{Vect}_F$, $X = F^n$. Then $\text{Aut}_{\text{Vect}_F}(F^n) = \text{GL}_n(F)$.

Definition: Functors

Let \mathcal{C} and \mathcal{D} be categories.
A functor $F : \mathcal{C} \rightarrow \mathcal{D}$ from \mathcal{C} to \mathcal{D} consists of the following data

1. For each object $X \in \text{Obj}(\mathcal{C})$, a chosen object $F(X) \in \text{Obj}(\mathcal{D})$.
2. For each pair of objects $X, Y \in \text{Obj}(\mathcal{C})$, a function

$$\begin{aligned} \text{Hom}_{\mathcal{C}}(X, Y) &\rightarrow \text{Hom}_{\mathcal{D}}(F(X), F(Y)) \\ f &\mapsto F(f) \end{aligned}$$

such that

1. For any two composable morphisms $X \xrightarrow{f} Y \xrightarrow{g} Z$ in \mathcal{C} , we have $F(g \circ f) = F(g) \circ F(f)$.
2. For each object $X \in \text{Obj}(\mathcal{C})$, $F(\text{id}_X) = \text{id}_{F(X)}$.

Example 1

For $\mathcal{D} := \mathcal{C}$, $\text{Id} : \mathcal{C} \rightarrow \mathcal{C}$, $X \mapsto X$, $f \mapsto f$.

Example 2: Forgetful Functors

$\mathcal{U} : \text{Grp} \rightarrow \text{Set}$ given as $(G, \cdot) \mapsto G$.

$\text{Ring} \rightarrow \text{Ab}$ given as $(R, +, \cdot) \mapsto (R, +)$.

Example 3: Tensors

Let R be a commutative ring, $M \in \text{Mod}_R$.

Then $\otimes_R M : \text{Mod}_R \rightarrow \text{Mod}_R$ and $\text{Hom}_R(M, -) : \text{Mod}_R \rightarrow \text{Mod}_R$.

Definition:

Let X be an object in a category \mathcal{C} and G a group.

An action of G on X is a group homomorphism $G \rightarrow \text{Aut}_{\mathcal{C}}(X)$.

Example 1

Let $\mathcal{C} = \text{Set}$.

A G -set is a set $X \in \text{Set}$ equipped with a group homomorphism

$$G \rightarrow \text{Perm}(X) = \text{Aut}_{\text{Set}}(X)$$

Exercise 1

A G -set is the same thing as a functor $G \rightarrow \text{Set}$, $* \mapsto X$, $\text{Hom}_{\mathcal{C}}(*, *) \rightarrow \text{Hom}_{\text{Set}}(X, X)$ ($G \rightarrow \text{Aut}_{\text{Set}}(X)$).

Definition: Adjunctions

Let \mathcal{C} and \mathcal{D} be categories and $F : \mathcal{C} \rightarrow \mathcal{D}$ and $G : \mathcal{D} \rightarrow \mathcal{C}$ be functors.

We say that F is left adjoint to G (and that G is right adjoint to F , and that we have a pair of adjoint functors) if for each object $X \in \text{Obj}(\mathcal{C})$ and $Y \in \text{Obj}(\mathcal{D})$, we have a bijection

$$\text{Hom}_{\mathcal{D}}(F(X), Y) \xrightarrow{\sim} \text{Hom}_{\mathcal{C}}(X, G(Y))$$

which is “natural in X and Y ”:

For any $f : X \rightarrow X'$ in \mathcal{C} ,

$$\begin{array}{ccc} \text{Hom}_{\mathcal{D}}(F(X'), Y) & \xrightarrow{\sim} & \text{Hom}_{\mathcal{C}}(X', G(Y)) \\ \downarrow - \circ F(f) & & \downarrow - \circ f \\ \text{Hom}_{\mathcal{D}}(F(X), Y) & \xrightarrow{\sim} & \text{Hom}_{\mathcal{C}}(X, G(Y)) \end{array}$$

and for every $g : Y \rightarrow Y'$ in \mathcal{D}

$$\begin{array}{ccc}
\mathrm{Hom}_{\mathcal{D}}(F(X), Y) & \xrightarrow{\sim} & \mathrm{Hom}_{\mathcal{C}}(X, G(Y)) \\
\downarrow -\circ F(f) & & \downarrow -\circ f \\
\mathrm{Hom}_{\mathcal{D}}(F(X), Y') & \xrightarrow{\sim} & \mathrm{Hom}_{\mathcal{C}}(X, G(Y'))
\end{array}$$

We write

$$\begin{array}{ccc}
& \mathcal{C} & \\
F \downarrow & \uparrow & G \\
& \mathcal{D} &
\end{array}$$

Example 1

For $M \in \mathrm{Mod}_R$ we have

$$\begin{array}{ccc}
& \mathrm{Mod}_R & \\
-\otimes_R M \downarrow & \uparrow & \mathrm{Hom}_R(M, -) \\
& \mathrm{Mod}_R &
\end{array}$$

where

$$\begin{aligned}
\mathrm{Hom}_R(M_1 \otimes M_2, N) &\cong \mathrm{Hom}_R(M_1, \mathrm{Hom}_R(M, \mathrm{Hom}_R(M_2, N))) \\
f &\mapsto (x \mapsto (y \mapsto f(x \otimes y)))
\end{aligned}$$

Example 2

Let $R \xrightarrow{\phi} S$ be a ring homomorphism.

We can regard an S -module N as an R -module via

$$r \cdot x := \phi(r)x, \quad \forall r \in R, x \in N$$

This defines a functor $\mathrm{Mod}_S \rightarrow \mathrm{Mod}_R$ called a “restriction of scalars”, which has a left adjoint called “extension of scalars.”

$$\begin{array}{ccc}
& \mathrm{Mod}_R & \\
S \otimes_R - \downarrow & \uparrow & \\
& \mathrm{Mod}_R &
\end{array}$$

Recall

For commutative ring R , $\sim \mathrm{Mod}_R$.

e.g. $R = F$ a field, $\mathrm{Mod}_R \equiv \mathrm{Vect}_F$; $R = \mathbb{Z}$, $\mathrm{Mod}_R \equiv \mathrm{Ab}$.

Definition: R-Algebra

An R -algebra is an Abelian group $(A, +)$ that has both the structure of

1. an R -module and
2. a ring

which are compatible in that

$$r(ab) = (ra)b = a(rb), \quad \forall r \in R, a, b \in A$$

Example 1

The polynomial ring $R[x]$ is an R -algebra.

Example 2

The ring of $n \times n$ matrices $M_n(R)$ is an R -algebra.

Example 3

If $R \xrightarrow{\phi} S$ is a homomorphism of commutative rings, then S is an R -algebra via $r := \phi(r)a$, $\forall r \in R, a \in S$.

Example 4

$\mathbb{R} \hookrightarrow \mathbb{C}$. So \mathbb{C} is an \mathbb{R} -algebra.

$R \hookrightarrow R[x]$.

More generally, $R[x_1, x_2, \dots, x_n]$ is an R -algebra.

Commutative R-Algebras

An R -algebra is commutative if it is commutative as a ring.

$\text{CAlg}_R \subset \text{Alg}_R$.

Question: Why are polynomials important?

An algebraic perspective: they are the “free commutative algebras.”

Recall

For R a commutative ring, we have the notion of a free R -module – one that admits a basis.

Categorically, we have an adjunction.

$$\begin{array}{c} \text{Set} \\ f \downarrow \uparrow \mathcal{U} \\ \text{Mod}_R \end{array}$$

The left adjoint of the forgetful functor sends a set I to the free R -module with basis I .

$$F(I) = R^{(I)} = \bigoplus_{i \in I} R$$

The adjunction says that for any set I and R -module M ,

$$\begin{aligned} \text{Hom}_{\text{Mod}_R}(R^{(I)}, M) &\xrightarrow{\sim} \text{Hom}_{\text{Set}}(I, M) \\ \exists! R\text{-linear map } f: R^{(I)} &\rightarrow M \quad \leftarrow \{x_i\}_{i \in I} \\ e_i &\mapsto x_i \end{aligned}$$

Similarly, the forgetful functor $\mathcal{U} : \text{CAlg}_R \rightarrow \text{Set}$ has a left adjoint

$$\begin{array}{c} \text{Set} \\ f \downarrow \uparrow \mathcal{U} \\ \text{CAlg}_R \end{array}$$

which sends a set I to the “free commutative R -algebra on I .”

Explicitly, $F(I) = R[\{x_i\}_{i \in I}]$ the polynomial algebra with an indeterminate x_i for each $i \in I$.

Example 1

$$I = \{*\} \rightsquigarrow F(\{*\}) = R[x].$$

$$I = \{1, \dots, n\} \rightsquigarrow F(\{1, \dots, n\}) = R[x_1, \dots, x_n].$$

$$I = \mathbb{N} \rightsquigarrow F(\mathbb{N}) = R[x_1, x_2, \dots].$$

Adjunction

For any set I and commutative R -algebra $A \in \mathbf{CAlg}_R$, we have a bijection

$$\begin{aligned} \text{Hom}_{\mathbf{CAlg}_R}(R[\{x_i\}_{i \in I}], A) &\cong \text{Hom}_{\mathbf{Set}}(I, A) \\ \exists! R\text{-algebra homomorphism } R[\{x_i\}_{i \in I}] &\xrightarrow{x_i \mapsto a_i} A \leftarrow \{a_i\}_{i \in I} \end{aligned}$$

Example 1

Let A be a commutative R -algebra.

For any $a \in A$, there exists a unique R -algebra homomorphism $R[x] \rightarrow A$ which sends $X \mapsto a$.

Explicitly, $f(x) \mapsto f(a)$.

Corollary

Let $R \xrightarrow{\phi} S$ be a homomorphism of commutative rings.

For any $a \in S$, there is a unique ring $R[x] \xrightarrow{\bar{\phi}} S$ such that $\bar{\phi}|_R = \phi$ and $\bar{\phi}(X) = a$.

Example 1

Let $R \subseteq S$ be a subring.

For each $a \in S$, there is a unique ring homomorphism $R[x] \xrightarrow{\phi} S$ such that $\phi|_R = \text{id}$ and $\phi'(X) = a$.

We call this the “evaluation at a .”

$$\begin{aligned} R[x] &\xrightarrow{\text{ev}_a} S \\ f &\mapsto f(a) \end{aligned}$$

Definition: Subalgebra

Let A be a commutative R -algebra, and let $S \subset A$ be a subset.

The subalgebra of A generated by S , denoted $R[S]$, is the intersection of all subalgebras of A which contain S .

Explicitly,

$$R[S] = \{a \in A : \exists n \geq 1, s_1, \dots, s_n \in S, f \in R[x_1, \dots, x_n], a = f(s_1, \dots, s_n)\}$$

Example 1

Let $A = R[x]$. Then $A = R[x]$. That is, A is generated by $\{x\}$ as an algebra.

Similarly, $R[x_1, \dots, x_n]$ is generated as an algebra by $\{x_1, \dots, x_n\}$.

Example 2

If $R[x]/I$ with $I \subset R[x]$ an ideal, and $x := \bar{X} \in A$, then $A = R[x]$. That is, A is generated by $x = \bar{X}$ as an algebra.

More generally, if $I \subset R[x_1, \dots, x_n]$ an ideal, then $R[x_1, \dots, x_n]/I$ is generated by $\{\bar{x}_1, \dots, \bar{x}_n\}$.

Proposition

If $A \in \text{CAlg}_R$ is a finitely generated, commutative R -algebra, then $A \cong R[x_1, \dots, x_n]/I$ for some $n \geq 1$ and ideal $I \subset R[x_1, \dots, x_n]$.

April 3, 2024

Definition: Symmetric Polynomials

Let R be a commutative ring.

A polynomial $f \in R[x_1, \dots, x_n]$ is symmetric if $f(x_{\sigma(1)}, \dots, x_{\sigma(n)}) = f(x_1, \dots, x_n)$ for all $\sigma \in S_n$.

In more detail: the symmetric group S_n acts on $R[x_1, \dots, x_n]$ by R -algebra homomorphism.

$\sigma \in S_n \rightsquigarrow R[x_1, \dots, x_n] \rightarrow R[x_1, \dots, x_n]$ given by $x_i \mapsto x_{\sigma(i)}$.

The canonical action of S_n on $\{1, \dots, n\}$ is

$$\begin{aligned} S_n &\rightarrow \text{Set} \xrightarrow{F} \text{CAlg}_R \\ * &\mapsto \{1, \dots, n\} \mapsto R[x_1, \dots, x_n] \end{aligned}$$

Exercise 1

The symmetric polynomials form a subalgebra of $R[x_1, \dots, x_n]$.

Example 1

Consider the polynomial

$$(*) \quad (t - x_1)(t - x_2) \cdots (t - x_n) \in R[x_1, \dots, x_n][t]$$

Write

$$t^n - s_1 t^{n-1} + s_2 t^{n-2} + \cdots + (-1)^n s_n$$

where $s_1, \dots, s_n \in R[x_1, \dots, x_n]$.

Examples

Let $n = 2$.

$$(t - x_1)(t - x_2) = t^2 - \underbrace{(x_1 + x_2)}_{s_1} t + \underbrace{x_1 x_2}_{s_2}$$

Let $n = 3$.

$$(t - x_1)(t - x_2)(t - x_3) = t^3 - \underbrace{(x_1 + x_2 + x_3)}_{s_1} t^2 + \underbrace{(x_1 x_2 + x_2 x_3 + x_1 x_3)}_{s_2} t - \underbrace{x_1 x_2 x_3}_{s_3}$$

Exercise 2

Show that the polynomials $s_1, \dots, s_n \in R[x_1, \dots, x_n]$ are symmetric using the fact that $(*)$ is unchanged by permuting the x_i s.

Definition: Elementary Symmetric Polynomials

The polynomials $s_1, \dots, s_n \in R[x_1, \dots, x_n]$ are the elementary symmetric polynomials in n variables. Explicitly,

$$\begin{aligned} s_1 &= x_1 + x_2 + \dots + x_n \\ s_2 &= \sum_{1 \leq i < j \leq n} x_i x_j \\ &\vdots \\ s_k &= \sum_{1 \leq i_1 < \dots < i_k \leq n} x_{i_1} x_{i_2} \dots x_{i_k} \\ &\vdots \\ s_n &= x_1 x_2 \dots x_n \end{aligned}$$

Theorem: Fundamental Theorem on Symmetric Polynomials

Every symmetric polynomial $f \in R[x_1, \dots, x_n]$ can be expressed in a unique way as a polynomial in the elementary symmetric polynomials.

In particular, $R[s_1, \dots, s_n] \subseteq R[x_1, \dots, x_n]$ is the subalgebra of symmetric polynomials.

Recall: Group of Units

If R is a ring, then $U(R) = R^\times = \{a \in R : a \text{ is invertible}\}$.

This is the multiplicative group of units in R .

Exercise 3

This determines a functor $\text{Ring} \rightarrow \text{Grp}$.

Definition: Field

A field is a nonzero commutative ring F in which every nonzero element is invertible (i.e. $F^\times = F \setminus \{0\}$).

Remarks:

A field has no nontrivial ideals.

A commutative ring R is a field if and only if (0) is a maximal ideal.

If $I \subset R$ is an ideal in a commutative ring then R/I is a field if and only if I is a maximal ideal.

Definition: Domain

A (integral) domain is a nonzero commutative ring R such that $\forall a, b \in R, ab = 0 \implies a = 0 \text{ or } b = 0$.

Remarks:

A commutative ring R is a domain if and only if (0) is a prime ideal.

If $I \subset R$ is an ideal in a commutative ring, then R/I is a domain if and only if I is a prime ideal.

Example 1

Every field is a domain.

In fact, every subring of a field is a domain.

Conversely, domains can be characterized as the subrings of fields.

Definition: Field of Fractions

Let R be a domain.

Its field of fractions, $\text{Frac}(R)$, is the set of all “formal fractions”

$$\text{Frac}(R) = \left\{ \frac{a}{b} : a, b \in R, b \neq 0 \right\}$$

More precisely, $\text{Frac}(R) = (R \times (R \setminus \{0\})) / \sim$ where

$$(a_1, b_1) \sim (a_2, b_2) \iff a_1 b_2 = a_2 b_1$$

and we define $\frac{a}{b} := [(a, b)]$.

It is a field under addition and multiplication of fractions

$$\frac{a_1}{b_1} + \frac{a_2}{b_2} = \frac{a_1 b_2 + a_2 b_1}{b_1 b_2} \quad \text{and} \quad \frac{a_1}{b_1} \frac{a_2}{b_2} = \frac{a_1 a_2}{b_1 b_2}$$

We have an injective ring homomorphism

$$\begin{aligned} R &\hookrightarrow \text{Frac}(R) \\ a &\mapsto \frac{a}{1} \end{aligned}$$

Example 1

$$\mathbb{Q} = \text{Frac}(\mathbb{Z}).$$

Remark:

\mathbb{Z} is a domain.

Its ideals are $n\mathbb{Z}$ for $n = 0, 1, 2, \dots$

Its prime ideals are (0) and $p\mathbb{Z}$ for p prime.

Definition: Root

Let R be a commutative ring and $f \in R[x]$.

A root or zero of f is an element $r \in R$ such that $f(r) = 0$.

$$\begin{aligned} R[x] &\xrightarrow{\text{ev}_a} R \\ f &\longmapsto 0 \end{aligned}$$

The kernel is $(x - a)$.

That is $f(a) = 0$ if and only if $f \in (x - a)$, if and only if $x - a \mid f$, if and only if $f(x) = (x - a)g(x)$ for some $g \in R[x]$.

Proposition:

Let R be a domain. Then

1. $R[x]$ is a domain.
2. $\deg(fg) = \deg(f) + \deg(g)$.
3. $R[x]^\times = R^\times$ (i.e. $f \in R[x]^\times \iff f(x) = b_0$ with $b_0 \in R^\times$).

Example 1

If $R = F$ a field, $F[x]^\times =$ the nonzero constant polynomials.

Remark:

If R a domain and $a \in R$ a root of $f \in R[x]$, then

$$f(x) = (x - a)^m g(x)$$

with $g(a) \neq 0$. The m is uniquely determined and called the multiplicity of the root. Roots of multiplicity 1 are called simple roots.

Remark:

If R is a domain, a polynomial $f \in R[x]$ of degree d has at most d roots. In fact, at most d roots counted with multiplicity.

Definition: Formal Derivative

The formal derivative of a polynomial

$$f(x) = a_d x^d + a_{d-1} x^{d-1} + \cdots + a_2 x^2 + a_1 x + a_0 \in R[x]$$

is the polynomial $Df = f' \in R[x]$ defined by

$$f'(x) = d a_d x^{d-1} + \cdots + 2 a_2 x + a_1$$

Remark: Properties

$$\begin{aligned} (f + g)' &= f' + g' & R[x] \rightarrow R[x] \text{ is } R\text{-linear} \\ (af)' &= a f' & \text{for } a \in R \\ (fg)' &= f g' + f' g & \text{(Leibniz Formula)} \end{aligned}$$

Proposition:

$a \in R$ is a multiple root of $f \in R[x]$ if and only if $f(a) = 0$ and $f'(a) = 0$.

Proof

$$f(x) = (x - a)^m g(x), g(a) \neq 0.$$

Therefore, by Leibniz, $f'(x) = m(x - a)^{m-1}g(x) + (x - a)^m g'(x)$.

Recall:

For a field F , the polynomial ring $F[x]$ is a PID.

\mathbb{Z} is also a PID.

Proposition:

Let R be a PID.

Every nonzero prime ideal is maximal.

Proof

Let $0 \neq p$ be a nonzero prime ideal.

Suppose $p \subseteq I$. Then $p = (p)$ and $I = (a)$ for some $a \in R$ and prime element $p \in R$.

Then $(p) \subseteq (a)$ and $p = ab$ for some $b \in R$. So $p|a$ or $p|b$.

If $p|a$, then $p = I$. If, instead, $b = pc$ for some $c \in R$, then

$$p = acp \implies 1 = ac \implies a \in R^\times \implies (a) = R$$

Example 1

If $f \in F[x]$ is an irreducible polynomial then $F[x]/(f)$ is a field.

For example, $R[x]/(x^2 + 1)$ is a field ($\cong \mathbb{C}$).

Also, $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ is a field.

Example 2

On the other hand,

$$(\mathbb{Z}/n)^\times = \{a \in \mathbb{Z}/n : \gcd(a, n) = 1\}$$

$$|(\mathbb{Z}/n)^\times| = |\{0 \leq k \leq n-1 : \gcd(k, n) = 1\}| = \phi(n)$$

Euler's Totient Function.

Remark

Later in the course, we will prove the Fundamental Theorem of Algebra which states that every nonconstant complex polynomial $f \in \mathbb{C}[x]$ has a root.

This implies that if $f \in \mathbb{C}[x]$ is a monic polynomial with complex coefficients then $f(x) = (x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_n)$ with $\alpha_1, \dots, \alpha_n \in \mathbb{C}$.

Write it as

$$f(x) = x^d + a_1 x^{d-1} + \cdots + a_n$$

with coefficients $a_1, \dots, a_n \in \mathbb{C}$. Then

$$a_1 = -s_1(\alpha_1, \dots, \alpha_n) = -(\alpha_1 + \dots + \alpha_n)$$

$$a_k = (-1)^k s_k(\alpha_1, \dots, \alpha_n)$$

$$a_n = (-1)^n \alpha_1 \cdots \alpha_n$$

Example 1

$$f(x) = x^2 + bx + c = (x - \alpha_1)(x - \alpha_2)$$

where $\alpha_1 = \frac{-b + \sqrt{b^2 - 4ac}}{2}$ and $\alpha_2 = \frac{-b - \sqrt{b^2 - 4ac}}{2}$.
So $\alpha_1 + \alpha_2 = -b$ and $\alpha_1 \alpha_2 = c$.

Bottom Line

The coefficients of a monic polynomial are very simple expressions of the roots of the polynomial.

Motivating Question

Can we go the other around?

Can we find simple expressions of the roots of a polynomial in terms of the coefficients.

April 8, 2024

Chapter 1: Field Theory

Definition: Field Homomorphism

If F and K are fields, a field homomorphism $F \xrightarrow{\phi} K$ is just a ring homomorphism.

Remark

The kernel of a field homomorphism $\phi : F \rightarrow K$ is an ideal of F .

Hence, it is either (0) or F . Since $\phi(1_F) = 1_K \neq 0$, $\ker(\phi) = (0)$.

Thus every field homomorphism is automatically injective and embeds F as a subfield of K .

Notation

If $F \subseteq K$ is a subfield, we say that K is an extension of F or that K/F is a field extension.

Remark

The ring of integers \mathbb{Z} is the initial object in the category of rings.

That is, given any ring R , there is a unique ring homomorphism $\mathbb{Z} \rightarrow R$ given by $n \mapsto n1_R = \begin{cases} \overbrace{1_R + \dots + 1_R}^n & \text{if } n \geq 0 \\ -\underbrace{(1_R + \dots + 1_R)}_n & \text{if } n < 0 \end{cases}$.

The kernel of an ideal of \mathbb{Z} . We have three possibilities

1. $\ker = \mathbb{Z} \implies 1_R = 0 \text{ in } R \implies R = 0.$
2. $\ker = (0) \implies \mathbb{Z} \hookrightarrow R.$
3. $\ker = n\mathbb{Z} \text{ for some } n \geq 2 \implies \mathbb{Z}/n\mathbb{Z} \hookrightarrow R.$

Proposition

Let F be a field and consider the unique ring homomorphism $\mathbb{Z} \xrightarrow{\phi} F$. Then the kernel of ϕ is either (0) or $p\mathbb{Z}$ for some prime number p .

Proof

Note that $\mathbb{Z}/n\mathbb{Z} \hookrightarrow F$, but all subrings of fields are domains and $\mathbb{Z}/n\mathbb{Z}$ is a domain if and only if $n\mathbb{Z}$ is a prime ideal of \mathbb{Z} .

Corollary

Let F be a field. It contains precisely one of the following as a subfield

1. \mathbb{Q} or
2. \mathbb{F}_p for p prime.

Proof

The proposition implies either $\mathbb{Z} \hookrightarrow F$ or $\mathbb{Z}/p\mathbb{Z} \hookrightarrow F$ for p prime.

If $\mathbb{Z} \hookrightarrow F$ then this extends to an embedding $\mathbb{Q} \hookrightarrow F$ by the universal property of the field of fractions.

On the other hand, $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ by definition.

Claim: we can't have more than one such field as a subfield of F .

Observe that if F has a subfield isomorphic to \mathbb{F}_p , then $p \cdot 1 = 0$ in F .

On the other hand, if $\mathbb{Q} \subseteq F$ then $p \cdot 1 \neq 0$ for all p .

Finally, if $p \neq q$ primes and $\mathbb{F}_p \subseteq F$ and $\mathbb{F}_q \subseteq F$, then $p \cdot 1 = 0$ and $q \cdot 1 = 0$ in F .

By Bezout's, this means that $a, b \in \mathbb{Z} : ap + bq = 1$. So

$$1 = 1 \cdot 1 = (ap + bq) \cdot 1 = (ap)1 + (bq)1 = a(p \cdot 1) + b(q \cdot 1) = 0 + 0 = 0$$

which cannot be true.

Definition: Field Characteristic

We define the characteristic of a field F by $\text{char}(F) = \begin{cases} 0 & \text{if } \mathbb{Q} \subseteq F \\ p & \text{if } \mathbb{F}_p \subseteq F \end{cases}$.

Remark

Note that the kernel of $\mathbb{Z} \rightarrow F$ is $\text{char}(F)\mathbb{Z} \subseteq \mathbb{Z}$.

The characteristic of F is the smallest positive integer n such that $n \cdot 1 = 0$ in F or 0 if $n \cdot 1 \neq 0$ in F for all $n \geq 1$.

Remark

If K/F is a field extension, then K and F have the same characteristic.

$n \cdot 1 = 0$ in F if and only if $n \cdot 1 = 0$ in K . Observe that the composition $\mathbb{Z} \rightarrow F \hookrightarrow K$ requires matching kernels.

Aside

In math, one sometimes passes between characteristic zero and characteristic p through the integers.

$$\begin{array}{ccc} \mathbb{Z} & \hookrightarrow & \mathbb{Q} \\ \downarrow & & \\ \mathbb{F}_p & & \end{array}$$

Examples

$\mathbb{Q}, \mathbb{R}, \mathbb{C}$ have characteristic 0.

$\mathbb{F}_p := \mathbb{Z}/p\mathbb{Z}$ has characteristic p .

$\mathbb{C}(t) := \text{Frac}(\mathbb{C}[t])$.

$\mathbb{F}_p(t) := \text{Frac}(\mathbb{F}_p[t])$ is an infinite field of characteristic p .

Remark

If R is a domain, then $R[t]$ is a domain and

$$R(t) := \text{Frac}(R[t]) = \left\{ \frac{f}{g} : f, g \in R[t], g \neq 0 \right\}$$

the field of rational functions.

More generally, $R[t_1, \dots, t_n]$ is a domain and

$$R(t_1, \dots, t_n) := \text{Frac}(R[t_1, \dots, t_n])$$

is the field of rational functions in n variables.

Definition: Degree of a Field Extension

Let K/F be a field extension.

We can regard K as a vector space over F (restriction of scalars $F \hookrightarrow K$).

The degree of the field extension K/F is \dim of the F -vector space K .

Notation

$$[K : F] := \dim_F(K).$$

Example

\mathbb{C}/\mathbb{R} is a degree 2 extension. An \mathbb{R} -basis for \mathbb{C} is $\{1, i\}$.

Remark

K/F has degree 1 if and only if $K = F$.

Terminology

A degree 2 extension K/F is a quadratic extension.

A degree 3 extension K/F is a cubic extension.

Etc.

Definition: Finite Extension

A field extension K/F is said to be a finite extension if $[K : F]$ is finite.

Example

$F(t)/F$, noting $F \subseteq F[t] \subseteq F(t)$, is an infinite extension. Write $[F(t) : F] = \infty$.

Proposition

Let $L/K/F$ be field extensions.

Then $[L : F] = [L : K][K : F]$.

Proof (Sketch)

Idea: if $\{a_i\}_{i \in I}$ is a basis for L/K and $\{b_j\}_{j \in J}$ is a basis for K/F , then $\{a_i b_j\}_{(i,j) \in I \times J}$ is a basis for L over F .

Note that $|I \times J| = |I||J|$.

Definition: Algebraic and Transcendental Elements

Let K/F be a field extension.

An element $a \in K$ is said to be algebraic over F if it is a root of a nonzero polynomial with coefficients in F .

Otherwise, we say that a is transcendental over F .

Example

Consider \mathbb{C}/\mathbb{Q} .

$\sqrt{2} \in \mathbb{C}$ is algebraic over \mathbb{Q} since $t^2 - 2 \in \mathbb{Q}[t]$.

$i \in \mathbb{C}$ is algebraic over \mathbb{Q} since $t^2 + 1 \in \mathbb{Q}[t]$.

$\omega_n = e^{2\pi i/n} \in \mathbb{C}$ is algebraic over \mathbb{Q} since $t^n - 1 \in \mathbb{Q}[t]$.

Remark

Whether or not an element is algebraic or transcendental depends a lot on the ground field F .

e.g. every element $a \in K$ is algebraic over K , since it is a root of $t - a \in K[t]$.

Remark

Often the terms “algebraic number” and “transcendental number” mean a complex number which is algebraic or transcendental over \mathbb{Q} .

Theorem: (Hermite 1873)

e is a transcendental number.

Theorem: (Lindemann 1882)

π is a transcendental number.

Exercise (Cantor)

There are only countably many algebraic numbers.

Remark

Whether $a \in K$ is algebraic or transcendental over F is described by the evaluation homomorphism

$$\begin{aligned} F[t] &\xrightarrow{\text{ev}_a} K \\ f &\longmapsto f(a) \end{aligned}$$

That is, a is transcendental if and only if $\ker(\text{ev}_a) = (0)$.

Then a is algebraic if and only if $\ker(\text{ev}_a) \neq (0)$.

$F[t]$ is PID, so if $a \in K$ is algebraic over F then $\ker(\text{ev}_a)$ is a nonzero principal ideal of $F[t]$.

A generator of this principal ideal is only determined up to association (that is up to multiplication by a nonzero constant polynomial).

We can pin it down by requiring the generator to be monic.

Definition: Minimal Polynomial

The unique monic polynomial f of lowest degree with coefficients in F such that $f(a) = 0$ is called the minimal polynomial of a over F .

Notation

$$m_a(t) \in F[t].$$

Remark

It generates $\ker(\text{ev}_a)$. For any $f \in F[t]$, $f(a) = 0$ if and only if $m_a \mid f$.

Note

$F[t]/(m_a(t))$ is isomorphic to a subring of K .

So $F[t]/(m_a(t))$ is a domain and $m_a \in F[t]$ is an irreducible polynomial.

Exercise

The minimal polynomial of $a \in K$ over F is the unique, monic, irreducible polynomial $f \in F[t]$ such that $f(a) = 0$.

Example

Take $\sqrt{2} \in \mathbb{C}$, the root of $t^2 - 2 \in \mathbb{Q}[t]$. This is the minimal polynomial of $\sqrt{2}$ over \mathbb{Q} since it is irreducible.

$i \in \mathbb{C}$ is a root of $t^2 + 1 \in \mathbb{Q}[t]$ which is also irreducible and hence the minimal polynomial of i over \mathbb{Q} .

$a = \frac{1+i}{2} \in \mathbb{C}$ (\sqrt{i}) is a root of $t^4 + 1 \in \mathbb{Q}[t]$, irreducible and therefore minimal of a over \mathbb{Q} .

Consider $F = \mathbb{Q}[i] = \{\alpha + i\beta : \alpha, \beta \in \mathbb{Q}\}$. Observe that $t^4 + 1 = (t^2 - i)(t^2 + i) \in F[t]$. We can show that the minimal polynomial of a over F is $t^2 - i$.

Definition: Generated Subring

Let K/F be a field extension and let $S \subseteq K$ be a subset.

The subring generated by S over F is defined to be $F[S] :=$ the intersection of all subrings of K which contain F and S . That is, the F -subalgebra generated by S .

Exercise

$$F[S] = \{a \in K : a = f(s_1, \dots, s_n) \text{ for some } n \geq 0, f \in F[x_1, \dots, x_n], s_1, \dots, s_n \in S\}.$$

Notation

$$S = \{a\} \rightsquigarrow F[a].$$

$$S = \{a_1, \dots, a_n\} \rightsquigarrow F[a_1, \dots, a_n].$$

$$\text{Note that } F[a] = \text{im}(F[t] \xrightarrow{\text{ev}_a} K) \text{ and } F[a_1, \dots, a_n] = \text{im}(F[t_1, \dots, t_n] \xrightarrow{\text{ev}_a} K).$$

Definition: Generated Subfield

Let K/F be a field extension and let $S \subseteq K$ be a subset.

Then the subfield generated by S over F is defined to be $F(S) :=$ the intersection of all subfields of K which contain F and S .

Observe that $F[S] \subset F(S)$.

Exercise

$$F(S) = \left\{ a \in K : a = \frac{\alpha}{\beta} \text{ for } \alpha, \beta \in F[S] \right\} = \text{Frac}(F[S]).$$

Notation

$$S = \{a\} \rightsquigarrow F(a).$$

$$S = \{a_1, \dots, a_n\} \rightsquigarrow F(a_1, \dots, a_n).$$

Definition: Finitely Generated Field Extension

A field extension K/F is finitely generated if $K = F(S)$ for some $S \subset K$ finite.

That is, finitely generated as a field over F not as an algebra over F or a vector space over F .

Example

$F(t)/F$ is a finitely generated field extension but is not finitely generated as an F -algebra (exercise) nor as an F -vector space.

Example

In $F(t)/F$, the indeterminant $t \in F(t)$ is transcendental over f .

The evaluation homomorphism $F[t] \hookrightarrow F(t)$.

April 10, 2024

Last time: K/F , $S \subset K$, $F[S] \subset F(S)$.

Example: $S, T \subset K \rightsquigarrow F(S)(T) = F(S \cup T)$.

$$F(a_1, \dots, a_n) = F(a_1, \dots, a_{n-1})(a_n).$$

Remark

Let K/F be a field extension.

If $a \in K$ is transcendental over F , then

$$F[t] \xrightarrow[\sim]{\text{ev}_a} F[a]$$

is an isomorphism. Hence,

$$F(a) \simeq \text{Frac}(F[t]) = F(t)$$

the field of rational functions.

Thus, the field extensions $F(a)$ for $a \in K$ transcendental over F are all isomorphic.

Example

$\mathbb{Q}(\pi) \simeq \mathbb{Q}(e)$ are isomorphic fields.

Bottom Line

Transcendental elements behave like indeterminates t .

The prototypical example is $F(t)/F$.

Proposition

Let K/F be a field extension.

If $a \in K$ is algebraic over F , then $F[a] \simeq F[t]/(m_a(t))$ where $m_a(t) \in F[t]$ is the minimal polynomial of a over F .

Moreover, $F[a]$ is a field. Hence $F[a] = F(a)$.

Also, $[F(a) : F] = \deg(m_a(t))$.

An explicit F -basis of $F(a)$ is $\{1, a, a^2, \dots, a^{d-1}\}$ where $d := \deg(m_a(t))$.

Proof

$$\begin{array}{ccc} F[t] & \xrightarrow{\text{ev}_a} & K \\ \downarrow & & \uparrow \\ F[t]/m_a(t) & \xrightarrow{\sim} & F[a] \end{array}$$

Now $m_a(t)$ is irreducible, so $(m_a(t))$ is a nonzero prime ideal.

$F[t]$ is PID, therefore every nonzero prime ideal is maximal.

Hence $F[t]/(m_a(t))$ is a field.

Also, $\dim_F(F[t]/(f(t))) = \deg(f)$.

A basis $\{\bar{1}, \bar{t}, \bar{t}^2, \dots, \bar{t}^{d-1}\}$.

Suppose $a_0T + a_1\bar{t} + \dots + a_{d-1}\bar{t}^{d-1} = 0 = a_0 + a_1 + \dots + a_{d-1}t^{d-1}$.

That is, $g(t) = a_0 + a_1t + \dots + a_{d-1}t^{d-1} \in (f(t))$.

So f divides g but $\deg(f) = d > d-1$. Then $g = 0$ in the new polynomial.

That is $a_0 = a_1 = \dots = a_{d-1} = 0$.

What is the span? $g(t) = b_0 + b_1t + \dots + b_nt^n = b_0 + b_1t + \dots + b_{d-1}t^{d-1} + t^d(\dots)$.

In $F[t]/(f(t))$, $f(t) = 0$, $f(t) = t^d = a_{d-1}t^{d-1} + \dots + a_0$, $t^d = (a_{d-1}t^{d-1} + \dots + a_0)$ where $g(t)$ is some polynomial of degree less than d .

Finally, note that $F[t]/(m_a(t)) \xrightarrow{\sim} F[a]$ is given by $\overline{f(t)} \mapsto f(a)$.

Then $\bar{1} \mapsto 1, \bar{t} \mapsto a, \dots, \bar{t}^{d-1} \mapsto a^{d-1}$.

Remark

This proposition explains the choice of the term “degree” for $[K : F]$. If $K = F(a)$ is generated by a single, algebraic element $a \in K$, then the $[F(a) : F]$ is the degree of the minimal polynomial of a over F .

Example 1

$\mathbb{Q}(i) = \mathbb{Q}[i] = \{a + bi : a, b \in \mathbb{Q}\}$ (since the minimal polynomial $t^2 + 1$ of i over \mathbb{Q} has degree 2).
 $\mathbb{Q}(\sqrt{2}) = \mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}$.

Example 2

$\xi_p := e^{2\pi i/p} \in \mathbb{C}$ for a prime p is a root of unity for

$$x^p - 1 = (x - 1) \overbrace{(x^{p-1} + x^{p-2} + \dots + x + 1)}^{\Phi_p(x)}$$

Since $\xi_p \neq 1$, ξ_p is a root of $\Phi_p(x)$.

Eisenstein's Criterion applied to $\Phi_p(x + 1)$ that $\Phi_p(x)$ is irreducible over \mathbb{Z} and hence over \mathbb{Q} .

Hence $\Phi_p(x)$ is the minimal polynomial of ξ_p over \mathbb{Q} .

Thus, $\mathbb{Q}(\xi_p) = \mathbb{Q}[\xi_p] = \{a_0 + a_1\xi_p + a_2\xi_p^2 + \dots + a_{p-2}\xi_p^{p-2} : a_i \in \mathbb{Q}\}$.

Example

Let $p = 3$. $\mathbb{Q}(\xi_3) = \{a_0 + a_1\xi_3 : a_0, a_1 \in \mathbb{Q}\}$.

So $\mathbb{Q}(\xi_3) = \mathbb{Q}(\sqrt{3}i) = \mathbb{Q}(\sqrt{-3}) = \{a + b\sqrt{-3} : a, b \in \mathbb{Q}\}$.

Example 3

$\mathbb{R}(i) = \mathbb{R}[i] = \{a + bi : a, b \in \mathbb{R}\} = \mathbb{C}$.

$\mathbb{R}[i] \simeq \mathbb{R}[t]/(t^2 + 1)$.

To Study:

Eisenstein's Criterion

Gauss' Lemma

Remark

Given a field extension K/F , an element $a \in K$ is algebraic over F if and only if $[F(a) : F] < \infty$.

The above proposition gives the \implies direction.

On the other hand, if a is transcendental then $F(a) \simeq F(t)$ and $[F(t) : F] = \infty$.

Proposition

Let K/F be a finite extension of degree n .

Every element of K is algebraic over F and has degree dividing n .

Proof

$$[K : F] = [K : F(a)][F(a) : F]$$

Corollary

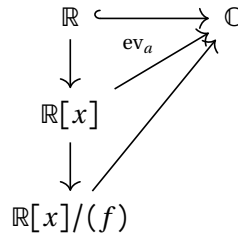
Every irreducible polynomial $f \in \mathbb{R}[x]$ has degree 1 or 2.

Proof

(Assuming the FTA)

Let $f \in \mathbb{R}[x]$ be irreducible. Then $K : \mathbb{R}[x]/(f)$ is a field.

By the Fundamental Theorem of Algebra, f has a root $a \in \mathbb{C}$.



So $\mathbb{R} \hookrightarrow \mathbb{R}[x]/(f) \hookrightarrow \mathbb{C}$, and

$$2 = [\mathbb{C} : \mathbb{R}] = [\mathbb{C} : \mathbb{R}[x]/(f)][\mathbb{R}[x]/(f) : \mathbb{R}]$$

Therefore $[\mathbb{R}[x]/(f) : \mathbb{R}] = \deg(f)$ is either 1 or 2.

Definition: Algebraic Extension

A field extension K/F is said to be an algebraic extension if every element of K is algebraic over F .

Example

We showed above that every finite extension is an algebraic extension.

Theorem:

Let $L/K/F$ be field extensions.

Then L/F is algebraic if and only if L/K is algebraic and K/F is algebraic.

Proof

(\implies) trivial.

(\impliedby) Let $a \in L$. Then $f(a) = 0$ for some nonzero polynomial $f(t) \in K[t]$. Write

$$f(t) = b_0 + b_1 t + \cdots + b_d t^d$$

with $b_0, \dots, b_d \in K$.

Each of these b_i is algebraic over F . Hence $E := F(b_0, b_1, \dots, b_d)$ is a finite extension of F .

$$F(b_0, \dots, b_d) / F(b_0, \dots, b_{d-1}) / \dots / F(b_0) / F$$

Note that $f(t) \in E[t]$ and $f(a) = 0$, so $a \in L$ is algebraic over E .

Observe

$$[E(a) : F] = \underbrace{[E(a) : E]}_{\text{finite}} \underbrace{[E : F]}_{\text{finite}}$$

so $E(a)/F$ is finite, hence an algebraic extension.

Therefore $a \in L$ is algebraic over F .

Corollary

Let K/F be a field extension.

The elements of K which are algebraic over F form a subfield of K .

Proof

Let $a, b \in K$ be algebraic over F .

Then $F(a, b)/F$ factors as $F(a, b)/F(a)/F$.

So $F(a, b)/F$ is a finite, hence algebraic, extension.

Therefore $a + b, a - b, ab, a^{-1}$ (for $a \neq 0$) are algebraic over F .

Example

Apply to \mathbb{C}/\mathbb{Q} to see that the collection of all algebraic numbers forms a subfield of \mathbb{C} .

$\overline{\mathbb{Q}}$ is defined as the field of algebraic numbers.

Recall: Theorem (Cantor), $\overline{\mathbb{Q}}$ is countable.

Exercise: $\overline{\mathbb{Q}}/\mathbb{Q}$ is an infinite extension.

Adjoining Elements

Let F be a field and $f(x) \in F[x]$ be irreducible.

Then $K := F[x]/(f)$ is a field extension of degree $\deg(f)$.

Note: $K = F(a)$ when $a := \bar{x}$.

Note also that a is a root of $f(x)$.

$$f(a) = f(\bar{x}) = \overline{f(x)} = 0$$

in K .

Example 1

We could define $\mathbb{C} := \mathbb{R}[x]/(x^2 + 1)$ and define $i := \bar{x}$.

Example 2

Let F be a field.

Suppose $a \in F$ which does not have a square root in F (i.e. $\nexists \delta \in F$ such that $\delta^2 = a$).

Then $x^2 - a \in F[x]$ is irreducible.

Then $K := F[x]/(x^2 - a)$ is a degree 2 extension.

Setting $\delta := \bar{x} \in K$, $K = F(\delta)$ and $\delta^2 = a \in F$.

In fact, every quadratic extension arises in this way – adjoining a square root.

Example 3

Let F be a field of characteristic $\neq 2$.

Let K/F be a quadratic extension (i.e. $[K : F] = 2$).

Let $\delta \in K$ be an element such that $\delta \notin F$, then $K = F(\delta)$ ($K/F(\delta)/F$).

So the minimal polynomial of δ over F is a quadratic polynomial.

$$m_\delta(t) = t^2 + bt + c \in F[t]$$

Consider $\Delta := b^2 - 4c \in F$.

Claim: Δ does not have a square root in F . Otherwise

$$m_\delta(t) = \left(t - \frac{-b + \sqrt{\Delta}}{2}\right) \left(t - \frac{-b - \sqrt{\Delta}}{2}\right)$$

would not be irreducible.

Note: $2\delta + b = \pm\sqrt{\Delta}$. So

$$K = F(\delta) = F(2\delta + b) = F(\sqrt{\Delta})$$