



# 12. Randomized Algorithms

## 12.1 Definition

Behaviour doesn't solely on the input. It also depends on random choices or the value of a number of random bits.

- like random seed
- Useful, but skip the case

## 12.2 Random Permutation

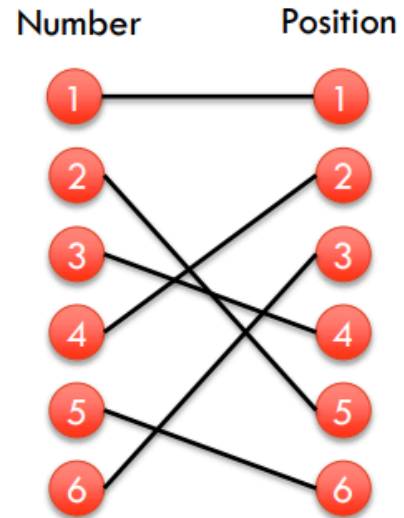
- Input : An integer  $n$
- Output :  $\{1, \dots, n\}$  but random sequence

**Example:**

$n = 6$

$\langle 1, 4, 6, 3, 2, 5 \rangle$

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- Incorrect attempt:

```
def permute(A):  
    n = len(A)  
    for i in range(0,n):  
        j = random number in {0..n-1}  
        switch A[i],A[j]  
    return A
```

Incorrect reason :

if  $A = [1, 2, 3]$

```
A = [1, 2, 3]  
there will be  $3 \times 2 \times 1$  ( $3!$ ) = 6 potential result:  
[1, 2, 3]  
[1, 3, 2]  
[2, 1, 3]  
[2, 3, 1]  
[3, 1, 2]  
[3, 2, 1]
```

But there will be  $3 * 3 * 3$  potential switch part!  
(every loop it has 3 potential switch and there will be 3 loops overall)

- Fisher - Yates

```
def fisher_yate(A):  
    n = len(a)  
    for i in range(0,n):  
        j = random number in (i..n-1)  
        switch A[i], A[j]  
    return A
```

- Let's calculate!  
A = [1,2,3...n]  
n! potential results  
potential switch part :  
i = 0 — n  
i = 1 — n - 1  
i = 2 — n - 2  
....  
i = n — 0  
which is n !

- Example  
[1,2,3,4]  
0 : switch with 2 : [3,2,1,4]  
1: switch with 1 : [3,2,1,4]  
2: switch with 3 : [3,1,2,4]  
3 : switch with 3 : [3,1,2,4]

- Why is that correct?

We can see that in this case, the possible result is  $n!$  and there will be  $n!$  potential switch !

- Noticed that, they are equals to each other, and 1 potential switch can cover every possible results!

So it is  $1 / n!$  probability for all the different permutation.

- We can call each sequence of random choices (several potential switch) as an execution that generates a unique permutation.

## 12.3 Skip lists

Another way implementing Map (not hash)

- simple data structure that's built in a randomized way.
- No need of rebalancing.
- Still has  $O(\log n)$  worst case time.

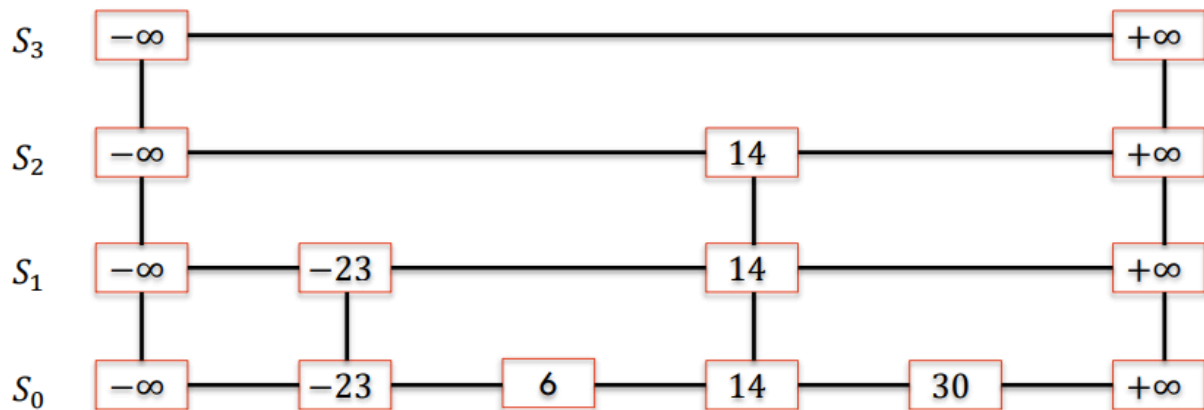
### 12.3.1 ADT

- `get(k)`
- `put(k,value)`
- `remove(k)`
- `size()`, `isEmpty()`
- `entrySet()` : iterable collection of the entries in M
- `keySet()` : iterable collection of the keys in M
- `values()` : .... of values

## 12.3.2 Structure

联想一下类似一站到底的游戏！每个人从level 0 开始抛硬币，赢了就去下一层！

和pivot 有点类似！



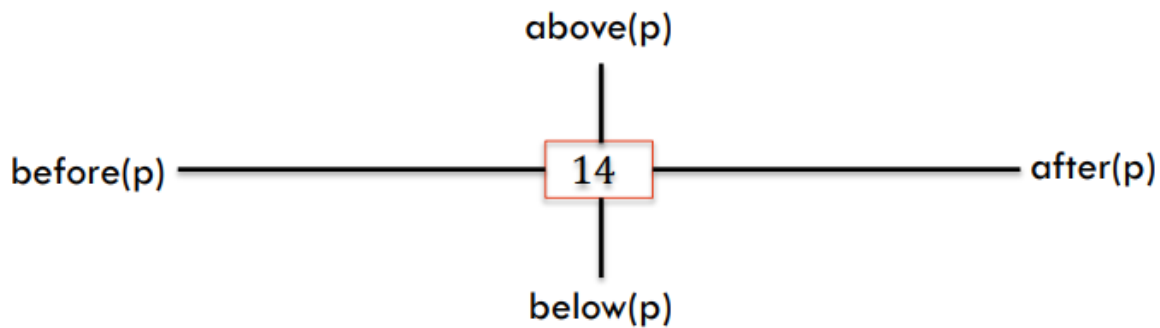
- The start of skip lists :  
only have -无穷 and + 无穷, and several level.

```

Level 2: -∞ -----> +∞
          |           |
Level 1: -∞ -----> +∞
          |           |
Level 0: -∞ -----> +∞
    
```

the node has pointer

- after(p) : on the right, same level
- before(p) on the left, same level
- above(p) on the upper level
- below(p) on the lower level



- Search

```
def search(p,k):
    while below(p) ≠ null do
        p ← below(p)
    while key(after(p)) ≤ k do
        p ← after(p)
    return p
```

go down → go right until we reach the key that **best smaller/equal** than the given value  
continual this process until the bottom level.

in Chinese : 寻找每层最接近target的值(从小的方面来说, 或者说  $\text{target} - p$  最小), 然后继续向下找, 有点贪心的意思。非底层元素必有below, 具体见插入。

```
Level 2:  -∞ -----> 30 -----> +∞
           |           |
Level 1:  -∞ -----> 10 ----> 30 -----> +∞
           |   |   |
Level 0:  -∞ -> 5 -> 10 -> 20 -> 30 -> 40 -> 50 -> +∞
```

We want to search all the element in given key.

- For example, we search 20.

- Starting with level 2.
  - go level 1 , go right until 10, go below.
  - go level 1 , go right until we see 20.
  - below(10) is null , end loop.
- Insertion

```
def insert(p,k):
    p ← search(p,k)
    q ← insertAfterAbove(p,null,k)
    while coin flip is heads do
        while above(p) = null do
            p ← before(p)
            p ← above(p)
        q ← insertAfterAbove(p,q,k)
```

insertAfterAbove(p,q,k), means that insert or create a new node on right of p and top of q, if q = null, then put it on the level 0.

First use search to find the place to insert, insert on the right of the p at the level 0 and then using 抛硬币 to check which level should it max to (that is random! )

- Removal

## Removal

```
def remove(p,k):  
    p ← search(p,k)  
    if key(p) ≠ k then return null  
    repeat  
        remove p  
        p ← above(p)  
    until above(p) = null
```

First find whether have this key ,  
if there is, remove all of them and remove all of the above.

- Top layer

The pointer or entry to the skip lists is the top left node.

- How to choose ?
  - One way :  $\max(10, 3\lceil \log(n) \rceil)$  — by experience.
  - The other way : by coins

Don't need to check it is reach the highest level, if there is not, just add a new level.

Hardly can it be more than  $O(\log n)$

- Analysis

- Expected height :  $O(\log n)$ 
  - Due to coins, the probability of every node appear in heigh  $i$  is  $1/2^i$
  - There are several height, so in level  $i$  , there should be at most  $n / 2^i$  percentage that it has one node.



- Assume that there are level bigger than  $\log n$ , we can use  $\log n$  level (because the level is start from 0, so the  $\log n$  is actually  $\log n + 1$ !).
- The probability of having at least one node of the level is

$$\frac{n}{2^{c \log n}} = \frac{n}{n^c} = \frac{1}{n^{c-1}}$$

- which is nearly 0.

#### ◦ Search

- Two part : horizontal (right) and vertical (down).
- vertical :  $\log n$ .
- horizontal : Because the 1/2 of coins, every level should be / 2 than the down level.
- So, when we go right on the top level , we actually skip 指数级 node on the 0 level !

假设有5层，而第五层正好是数组中间值，如果直接照着中间值往下找，就相当于直接忽略了前半部分！而这只需要 $O(1)$  的时间就能做到！

- We expect  $O(1)$  on every level.
- Total is  $O(\log n)$  time.

#### ◦ Space Analysis

$O(n)$

$$\sum_{i=0}^h \frac{n}{2^i} = n \sum_{i=0}^h \frac{1}{2^i} < 2n$$