Nuclear Many-body Physicsa Computational Approach -Second quantization

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1.1 Second quantization

We introduce the time-independent operators a_{α}^{\dagger} and a_{α} which create and annihilate, respectively, a particle in the single-particle state φ_{α} . We define the fermion creation operator a_{α}^{\dagger}

$$a_{\alpha}^{\dagger}|0\rangle \equiv |\alpha\rangle,$$
 (1.1)

and

$$a_{\alpha}^{\dagger} | \alpha_1 \dots \alpha_n \rangle_{AS} \equiv | \alpha \alpha_1 \dots \alpha_n \rangle_{AS}$$
 (1.2)

In Eq. (1.1) the operator a_{α}^{\dagger} acts on the vacuum state $|0\rangle$, which does not contain any particles. Alternatively, we could define a closed-shell nucleus or atom as our new vacuum, but then we need to introduce the particle-hole formalism, see the discussion to come.

In Eq. (1.2) a_{α}^{\dagger} acts on an anti-symmetric *n*-particle state and creates an anti-symmetric (n+1)-particle state, where the one-body state φ_{α} is occupied, under the condition that $\alpha \neq \alpha_1, \alpha_2, \ldots, \alpha_n$. It follows that we can express an anti-symmetric state as the product of the creation operators acting on the vacuum state.

$$|\alpha_1 \dots \alpha_n\rangle_{AS} = a_{\alpha_1}^{\dagger} a_{\alpha_2}^{\dagger} \dots a_{\alpha_n}^{\dagger} |0\rangle$$
 (1.3)

It is easy to derive the commutation and anticommutation rules for the fermionic creation operators a_{α}^{\dagger} . Using the anti-symmetry of the states (1.3)

$$|\alpha_1 \dots \alpha_i \dots \alpha_k \dots \alpha_n\rangle_{AS} = -|\alpha_1 \dots \alpha_k \dots \alpha_i \dots \alpha_n\rangle_{AS}$$
 (1.4)

we obtain

$$a_{\alpha_i}^{\dagger} a_{\alpha_k}^{\dagger} = -a_{\alpha_k}^{\dagger} a_{\alpha_i}^{\dagger} \tag{1.5}$$

Using the Pauli principle

$$|\alpha_1 \dots \alpha_i \dots \alpha_i \dots \alpha_n\rangle_{AS} = 0 \tag{1.6}$$

it follows that

$$a_{\alpha_i}^{\dagger} a_{\alpha_i}^{\dagger} = 0. \tag{1.7}$$

If we combine Eqs. (1.5) and (1.7), we obtain the well-known anticommutation rule

$$a_{\alpha}^{\dagger} a_{\beta}^{\dagger} + a_{\beta}^{\dagger} a_{\alpha}^{\dagger} \equiv \{ a_{\alpha}^{\dagger}, a_{\beta}^{\dagger} \} = 0 \tag{1.8}$$

The hermitian conjugate of a_{α}^{\dagger} is

$$a_{\alpha} = (a_{\alpha}^{\dagger})^{\dagger} \tag{1.9}$$

If we take the hermitian conjugate of Eq. (1.8), we arrive at

$$\{a_{\alpha}, a_{\beta}\} = 0 \tag{1.10}$$

What is the physical interpretation of the operator a_{α} and what is the effect of a_{α} on a given state $|\alpha_1 \alpha_2 \dots \alpha_n\rangle_{AS}$? Consider the following matrix element

$$\langle \alpha_1 \alpha_2 \dots \alpha_n | a_{\alpha} | \alpha_1' \alpha_2' \dots \alpha_m' \rangle$$
 (1.11)

where both sides are anti-symmetric. We distinguish between two cases. The first (1) is when $\alpha \in \{\alpha_i\}$. Using the Pauli principle of Eq. (1.6) it follows

$$\langle \alpha_1 \alpha_2 \dots \alpha_n | a_\alpha = 0 \tag{1.12}$$

The second (2) case is when $\alpha \notin \{\alpha_i\}$. It follows that an hermitian conjugation

$$\langle \alpha_1 \alpha_2 \dots \alpha_n | a_{\alpha} = \langle \alpha \alpha_1 \alpha_2 \dots \alpha_n | \tag{1.13}$$

Eq. (1.13) holds for case (1) since the lefthand side is zero due to the Pauli principle. We write Eq. (1.11) as

$$\langle \alpha_1 \alpha_2 \dots \alpha_n | a_{\alpha} | \alpha_1' \alpha_2' \dots \alpha_m' \rangle = \langle \alpha_1 \alpha_2 \dots \alpha_n | \alpha \alpha_1' \alpha_2' \dots \alpha_m' \rangle \qquad (1.14)$$

Here we must have m = n + 1 if Eq. (1.14) has to be trivially different from zero.

For the last case, the minus and plus signs apply when the sequence $\alpha, \alpha_1, \alpha_2, \ldots, \alpha_n$ and $\alpha'_1, \alpha'_2, \ldots, \alpha'_{n+1}$ are related to each other via even and odd permutations. If we assume that $\alpha \notin \{\alpha_i\}$ we obtain

$$\langle \alpha_1 \alpha_2 \dots \alpha_n | a_\alpha | \alpha_1' \alpha_2' \dots \alpha_{n+1}' \rangle = 0$$
 (1.15)

when $\alpha \in {\{\alpha'_i\}}$. If $\alpha \notin {\{\alpha'_i\}}$, we obtain

$$a_{\alpha} \left[\alpha_1' \alpha_2' \dots \alpha_{n+1}' \right]_{\neq \alpha} = 0 \tag{1.16}$$

and in particular

$$a_{\alpha}|0\rangle = 0 \tag{1.17}$$

If $\{\alpha\alpha_i\} = \{\alpha_i'\}$, performing the right permutations, the sequence $\alpha, \alpha_1, \alpha_2, \ldots, \alpha_n$ is identical with the sequence $\alpha_1', \alpha_2', \ldots, \alpha_{n+1}'$. This results in

$$\langle \alpha_1 \alpha_2 \dots \alpha_n | a_\alpha | \alpha \alpha_1 \alpha_2 \dots \alpha_n \rangle = 1$$
 (1.18)

and thus

$$a_{\alpha}|\alpha\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle = |\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle$$
 (1.19)

The action of the operator a_{α} from the left on a state vector is to to remove one particle in the state α . If the state vector does not contain the single-particle state α , the outcome of the operation is zero. The operator a_{α} is normally called for a destruction or annihilation operator. The next step is to establish the commutator algebra of a_{α}^{\dagger} and a_{β} . The action of the anti-commutator $\{a_{\alpha}^{\dagger}, a_{\alpha}\}$ on a given *n*-particle state is

$$a_{\alpha}^{\dagger} a_{\alpha} \underbrace{|\alpha_{1} \alpha_{2} \dots \alpha_{n}\rangle}_{\neq \alpha} = 0$$

$$a_{\alpha} a_{\alpha}^{\dagger} \underbrace{|\alpha_{1} \alpha_{2} \dots \alpha_{n}\rangle}_{\neq \alpha} = a_{\alpha} \underbrace{|\alpha \alpha_{1} \alpha_{2} \dots \alpha_{n}\rangle}_{\neq \alpha} = \underbrace{|\alpha_{1} \alpha_{2} \dots \alpha_{n}\rangle}_{\neq \alpha}$$

$$(1.20)$$

if the single-particle state α is not contained in the state. If it is present we arrive at

$$a_{\alpha}^{\dagger} a_{\alpha} | \alpha_{1} \alpha_{2} \dots \alpha_{k} \alpha \alpha_{k+1} \dots \alpha_{n-1} \rangle = a_{\alpha}^{\dagger} a_{\alpha} (-1)^{k} | \alpha \alpha_{1} \alpha_{2} \dots \alpha_{n-1} \rangle$$

$$= (-1)^{k} | \alpha \alpha_{1} \alpha_{2} \dots \alpha_{n-1} \rangle = | \alpha_{1} \alpha_{2} \dots \alpha_{k} \alpha \alpha_{k+1} \dots \alpha_{n-1} \rangle$$

$$a_{\alpha} a_{\alpha}^{\dagger} | \alpha_{1} \alpha_{2} \dots \alpha_{k} \alpha \alpha_{k+1} \dots \alpha_{n-1} \rangle = 0$$

$$(1.21)$$

From Eqs. (1.20) and (1.21) we arrive at

$$\{a_{\alpha}^{\dagger}, a_{\alpha}\} = a_{\alpha}^{\dagger} a_{\alpha} + a_{\alpha} a_{\alpha}^{\dagger} = 1 \tag{1.22}$$

The action of $\{a_{\alpha}^{\dagger}, a_{\beta}\}$, with $\alpha \neq \beta$ on a given state yields three possibilities. The first case is a state vector which contains both α and β , then either α or β and finally none of them.

The first case results in

$$a_{\alpha}^{\dagger} a_{\beta} |\alpha \beta \alpha_{1} \alpha_{2} \dots \alpha_{n-2}\rangle = 0$$

$$a_{\beta} a_{\alpha}^{\dagger} |\alpha \beta \alpha_{1} \alpha_{2} \dots \alpha_{n-2}\rangle = 0$$
(1.23)

while the second case gives

$$a_{\alpha}^{\dagger} a_{\beta} | \beta \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n-1}}_{\neq \alpha} \rangle = | \alpha \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n-1}}_{\neq \alpha} \rangle$$

$$a_{\beta} a_{\alpha}^{\dagger} | \beta \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n-1}}_{\neq \alpha} \rangle = a_{\beta} | \alpha \beta \underbrace{\beta \alpha_{1} \alpha_{2} \dots \alpha_{n-1}}_{\neq \alpha} \rangle$$

$$= -| \alpha \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n-1}}_{\neq \alpha} \rangle$$

$$(1.24)$$

Finally if the state vector does not contain α and β

$$a_{\alpha}^{\dagger} a_{\beta} | \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n}}_{\neq \alpha, \beta} \rangle = 0$$

$$a_{\beta} a_{\alpha}^{\dagger} | \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n}}_{\neq \alpha, \beta} \rangle = a_{\beta} | \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n}}_{\neq \alpha, \beta} \rangle = 0$$

$$(1.25)$$

For all three cases we have

$$\{a_{\alpha}^{\dagger}, a_{\beta}\} = a_{\alpha}^{\dagger} a_{\beta} + a_{\beta} a_{\alpha}^{\dagger} = 0, \quad \alpha \neq \beta$$
 (1.26)

We can summarize our findings in Eqs. (1.22) and (1.26) as

$$\{a_{\alpha}^{\dagger}, a_{\beta}\} = \delta_{\alpha\beta} \tag{1.27}$$

with $\delta_{\alpha\beta}$ is the Kroenecker δ -symbol.

The properties of the creation and annihilation operators can be summarized as (for fermions)

$$a_{\alpha}^{\dagger}|0\rangle \equiv |\alpha\rangle,$$

and

$$a_{\alpha}^{\dagger} | \alpha_1 \dots \alpha_n \rangle_{AS} \equiv | \alpha \alpha_1 \dots \alpha_n \rangle_{AS}.$$

from which follows

$$|\alpha_1 \dots \alpha_n\rangle_{AS} = a_{\alpha_1}^{\dagger} a_{\alpha_2}^{\dagger} \dots a_{\alpha_n}^{\dagger} |0\rangle.$$

The hermitian conjugate has the following properties

$$a_{\alpha} = (a_{\alpha}^{\dagger})^{\dagger}$$
.

Finally we found

$$a_{\alpha} \underbrace{|\alpha'_1 \alpha'_2 \dots \alpha'_{n+1}|}_{\neq \alpha} \ge 0$$
, in particular $a_{\alpha} |0\rangle = 0$,

and

$$a_{\alpha}|\alpha\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle = |\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle,$$

and the corresponding commutator algebra

$$\{a_{\alpha}^{\dagger}, a_{\beta}^{\dagger}\} = \{a_{\alpha}, a_{\beta}\} = 0 \quad \{a_{\alpha}^{\dagger}, a_{\beta}\} = \delta_{\alpha\beta}.$$

1.2 One-body operators in second quantization

A very useful operator is the so-called number-operator. Most physics cases we will study in this text conserve the total number of particles. The number operator is therefore a useful quantity which allows us to test that our many-body formalism conserves the number of particles. In for example (d,p) or (p,d) reactions it is important to be able to describe quantum mechanical states where particles get added or removed. A creation operator a_{α}^{\dagger} adds one particle to the single-particle state α of a give many-body state vector, while an annihilation operator a_{α} removes a particle from a single-particle state α .

Let us consider an operator proportional with $a_{\alpha}^{\dagger}a_{\beta}$ and $\alpha = \beta$. It acts on an *n*-particle state resulting in

$$a_{\alpha}^{\dagger} a_{\alpha} | \alpha_{1} \alpha_{2} \dots \alpha_{n} \rangle = \begin{cases} 0 & \alpha \notin \{\alpha_{i}\} \\ |\alpha_{1} \alpha_{2} \dots \alpha_{n} \rangle & \alpha \in \{\alpha_{i}\} \end{cases}$$
 (1.28)

Summing over all possible one-particle states we arrive at

$$\left(\sum_{\alpha} a_{\alpha}^{\dagger} a_{\alpha}\right) |\alpha_1 \alpha_2 \dots \alpha_n\rangle = n |\alpha_1 \alpha_2 \dots \alpha_n\rangle \tag{1.29}$$

The operator

$$\hat{N} = \sum_{\alpha} a_{\alpha}^{\dagger} a_{\alpha} \tag{1.30}$$

is called the number operator since it counts the number of particles in a give state vector when it acts on the different single-particle states. It acts on one single-particle state at the time and falls therefore under category one-body operators. Next we look at another important one-body operator, namely \hat{H}_0 and study its operator form in the occupation number representation.

We want to obtain an expression for a one-body operator which conserves the number of particles. Here we study the one-body operator for the kinetic energy plus an eventual external one-body potential. The action of this operator on a particular n-body state with its pertinent expectation value has already been studied in coordinate space. In coordinate space the operator reads

$$\hat{H}_0 = \sum_i \hat{h}_0(x_i) \tag{1.31}$$

and the anti-symmetric n-particle Slater determinant is defined as

$$\Phi(x_1, x_2, \dots, x_n, \alpha_1, \alpha_2, \dots, \alpha_n) = \frac{1}{\sqrt{n!}} \sum_{n} (-1)^p \hat{P} \psi_{\alpha_1}(x_1) \psi_{\alpha_2}(x_2) \dots \psi_{\alpha_n}(x_n).$$

Defining

$$\hat{h}_0(x_i)\psi_{\alpha_i}(x_i) = \sum_{\alpha_k'} \psi_{\alpha_k'}(x_i) \langle \alpha_k' | \hat{h}_0 | \alpha_k \rangle$$
 (1.32)

we can easily evaluate the action of \hat{H}_0 on each product of one-particle functions in Slater determinant. From Eq. (1.32) we obtain the following result without permuting any particle pair

$$\left(\sum_{i} \hat{h}_{0}(x_{i})\right) \psi_{\alpha_{1}}(x_{1}) \psi_{\alpha_{2}}(x_{2}) \dots \psi_{\alpha_{n}}(x_{n})$$

$$= \sum_{\alpha'_{1}} \langle \alpha'_{1} | \hat{h}_{0} | \alpha_{1} \rangle \psi_{\alpha'_{1}}(x_{1}) \psi_{\alpha_{2}}(x_{2}) \dots \psi_{\alpha_{n}}(x_{n})$$

$$+ \sum_{\alpha'_{2}} \langle \alpha'_{2} | \hat{h}_{0} | \alpha_{2} \rangle \psi_{\alpha_{1}}(x_{1}) \psi_{\alpha'_{2}}(x_{2}) \dots \psi_{\alpha_{n}}(x_{n})$$

$$+ \dots$$

$$+ \sum_{\alpha'} \langle \alpha'_{n} | \hat{h}_{0} | \alpha_{n} \rangle \psi_{\alpha_{1}}(x_{1}) \psi_{\alpha_{2}}(x_{2}) \dots \psi_{\alpha'_{n}}(x_{n})$$

$$(1.33)$$

If we interchange particles 1 and 2 we obtain

$$\left(\sum_{i} \hat{h}_{0}(x_{i})\right) \psi_{\alpha_{1}}(x_{2}) \psi_{\alpha_{1}}(x_{2}) \dots \psi_{\alpha_{n}}(x_{n})$$

$$= \sum_{\alpha'_{2}} \langle \alpha'_{2} | \hat{h}_{0} | \alpha_{2} \rangle \psi_{\alpha_{1}}(x_{2}) \psi_{\alpha'_{2}}(x_{1}) \dots \psi_{\alpha_{n}}(x_{n})$$

$$+ \sum_{\alpha'_{1}} \langle \alpha'_{1} | \hat{h}_{0} | \alpha_{1} \rangle \psi_{\alpha'_{1}}(x_{2}) \psi_{\alpha_{2}}(x_{1}) \dots \psi_{\alpha_{n}}(x_{n})$$

$$+ \dots$$

$$+ \sum_{\alpha'_{n}} \langle \alpha'_{n} | \hat{h}_{0} | \alpha_{n} \rangle \psi_{\alpha_{1}}(x_{2}) \psi_{\alpha_{1}}(x_{2}) \dots \psi_{\alpha'_{n}}(x_{n})$$

$$(1.34)$$

We can continue by computing all possible permutations. We rewrite also our Slater determinant in its second quantized form and skip the dependence on the quantum numbers x_i . Summing up all contributions and taking care of all phases $(-1)^p$ we arrive at

$$\hat{H}_{0}|\alpha_{1},\alpha_{2},\ldots,\alpha_{n}\rangle = \sum_{\alpha'_{1}} \langle \alpha'_{1}|\hat{h}_{0}|\alpha_{1}\rangle|\alpha'_{1}\alpha_{2}\ldots\alpha_{n}\rangle
+ \sum_{\alpha'_{2}} \langle \alpha'_{2}|\hat{h}_{0}|\alpha_{2}\rangle|\alpha_{1}\alpha'_{2}\ldots\alpha_{n}\rangle
+ \ldots
+ \sum_{\alpha'_{n}} \langle \alpha'_{n}|\hat{h}_{0}|\alpha_{n}\rangle|\alpha_{1}\alpha_{2}\ldots\alpha'_{n}\rangle$$
(1.35)

In Eq. (1.35) we have expressed the action of the one-body operator of Eq. (1.31) on the n-body state in its second quantized form. This

equation can be further manipulated if we use the properties of the creation and annihilation operator on each primed quantum number, that is

$$|\alpha_1 \alpha_2 \dots \alpha'_k \dots \alpha_n\rangle = a^{\dagger}_{\alpha'_k} a_{\alpha_k} |\alpha_1 \alpha_2 \dots \alpha_k \dots \alpha_n\rangle$$
 (1.36)

Inserting this in the right-hand side of Eq. (1.35) results in

$$\hat{H}_{0}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle = \sum_{\alpha'_{1}} \langle \alpha'_{1}|\hat{h}_{0}|\alpha_{1}\rangle a^{\dagger}_{\alpha'_{1}}a_{\alpha_{1}}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle
+ \sum_{\alpha'_{2}} \langle \alpha'_{2}|\hat{h}_{0}|\alpha_{2}\rangle a^{\dagger}_{\alpha'_{2}}a_{\alpha_{2}}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle
+ \dots
+ \sum_{\alpha'_{n}} \langle \alpha'_{n}|\hat{h}_{0}|\alpha_{n}\rangle a^{\dagger}_{\alpha'_{n}}a_{\alpha_{n}}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle
= \sum_{\alpha,\beta} \langle \alpha|\hat{h}_{0}|\beta\rangle a^{\dagger}_{\alpha}a_{\beta}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle$$
(1.37)

In the number occupation representation or second quantization we get the following expression for a one-body operator which conserves the number of particles

$$\hat{H}_0 = \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle a_{\alpha}^{\dagger} a_{\beta} \tag{1.38}$$

Obviously, \hat{H}_0 can be replaced by any other one-body operator which preserved the number of particles. The structure of the operator is therefore not limited to say the kinetic or single-particle energy only.

The operator \hat{H}_0 takes a particle from the single-particle state β to the single-particle state α with a probability for the transition given by the expectation value $\langle \alpha | \hat{h}_0 | \beta \rangle$.

It is instructive to verify Eq. (1.38) by computing the expectation value of \hat{H}_0 between two single-particle states

$$\langle \alpha_1 | \hat{h}_0 | \alpha_2 \rangle = \sum_{\alpha \beta} \langle \alpha | \hat{h}_0 | \beta \rangle \langle 0 | a_{\alpha_1} a_{\alpha}^{\dagger} a_{\beta} a_{\alpha_2}^{\dagger} | 0 \rangle$$
 (1.39)

Using the commutation relations for the creation and annihilation operators we have

$$a_{\alpha_1} a_{\alpha}^{\dagger} a_{\beta} a_{\alpha_2}^{\dagger} = (\delta_{\alpha \alpha_1} - a_{\alpha}^{\dagger} a_{\alpha_1}) (\delta_{\beta \alpha_2} - a_{\alpha_2}^{\dagger} a_{\beta}), \tag{1.40}$$

which results in

$$\langle 0|a_{\alpha_1}a_{\alpha}^{\dagger}a_{\beta}a_{\alpha_2}^{\dagger}|0\rangle = \delta_{\alpha\alpha_1}\delta_{\beta\alpha_2} \tag{1.41}$$

and

$$\langle \alpha_1 | \hat{h}_0 | \alpha_2 \rangle = \sum_{\alpha \beta} \langle \alpha | \hat{h}_0 | \beta \rangle \delta_{\alpha \alpha_1} \delta_{\beta \alpha_2} = \langle \alpha_1 | \hat{h}_0 | \alpha_2 \rangle \tag{1.42}$$

1.3 Two-body operators in second quantization

Let us now derive the expression for our two-body interaction part, which also conserves the number of particles. We can proceed in exactly the same way as for the one-body operator. In the coordinate representation our two-body interaction part takes the following expression

$$\hat{H}_I = \sum_{i < j} V(x_i, x_j) \tag{1.43}$$

where the summation runs over distinct pairs. The term V can be an interaction model for the nucleon-nucleon interaction or the interaction between two electrons. It can also include additional two-body interaction terms.

The action of this operator on a product of two single-particle functions is defined as

$$V(x_i, x_j)\psi_{\alpha_k}(x_i)\psi_{\alpha_l}(x_j) = \sum_{\alpha'_l, \alpha'_l} \psi'_{\alpha_k}(x_i)\psi'_{\alpha_l}(x_j)\langle \alpha'_k \alpha'_l | \hat{v} | \alpha_k \alpha_l \rangle \qquad (1.44)$$

We can now let \hat{H}_I act on all terms in the linear combination for $|\alpha_1\alpha_2...\alpha_n\rangle$. Without any permutations we have

$$\left(\sum_{i < j} V(x_i, x_j)\right) \psi_{\alpha_1}(x_1) \psi_{\alpha_2}(x_2) \dots \psi_{\alpha_n}(x_n)$$

$$= \sum_{\alpha'_1 \alpha'_2} \langle \alpha'_1 \alpha'_2 | \hat{v} | \alpha_1 \alpha_2 \rangle \psi'_{\alpha_1}(x_1) \psi'_{\alpha_2}(x_2) \dots \psi_{\alpha_n}(x_n)$$

$$+ \dots$$

$$+ \sum_{\alpha'_1 \alpha'_n} \langle \alpha'_1 \alpha'_n | \hat{v} | \alpha_1 \alpha_n \rangle \psi'_{\alpha_1}(x_1) \psi_{\alpha_2}(x_2) \dots \psi'_{\alpha_n}(x_n)$$

$$+ \dots$$

$$+ \sum_{\alpha'_2 \alpha'_n} \langle \alpha'_2 \alpha'_n | \hat{v} | \alpha_2 \alpha_n \rangle \psi_{\alpha_1}(x_1) \psi'_{\alpha_2}(x_2) \dots \psi'_{\alpha_n}(x_n)$$

$$+ \dots$$

$$+ \dots$$

$$(1.45)$$

where on the rhs we have a term for each distinct pairs. For the other terms on the rhs we obtain similar expressions and summing over all terms we obtain

$$H_{I}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle = \sum_{\alpha'_{1},\alpha'_{2}} \langle \alpha'_{1}\alpha'_{2}|\hat{v}|\alpha_{1}\alpha_{2}\rangle |\alpha'_{1}\alpha'_{2}\dots\alpha_{n}\rangle$$

$$+ \dots$$

$$+ \sum_{\alpha'_{1},\alpha'_{n}} \langle \alpha'_{1}\alpha'_{n}|\hat{v}|\alpha_{1}\alpha_{n}\rangle |\alpha'_{1}\alpha_{2}\dots\alpha'_{n}\rangle$$

$$+ \dots$$

$$+ \sum_{\alpha'_{2},\alpha'_{n}} \langle \alpha'_{2}\alpha'_{n}|\hat{v}|\alpha_{2}\alpha_{n}\rangle |\alpha_{1}\alpha'_{2}\dots\alpha'_{n}\rangle$$

$$+ \dots$$

$$+ \dots$$

$$(1.46)$$

We introduce second quantization via the relation

$$a_{\alpha'_{k}}^{\dagger} a_{\alpha'_{l}}^{\dagger} a_{\alpha_{l}} a_{\alpha_{k}} | \alpha_{1} \alpha_{2} \dots \alpha_{k} \dots \alpha_{l} \dots \alpha_{n} \rangle$$

$$= (-1)^{k-1} (-1)^{l-2} a_{\alpha'_{k}}^{\dagger} a_{\alpha'_{l}}^{\dagger} a_{\alpha_{l}} a_{\alpha_{k}} | \alpha_{k} \alpha_{l} \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n}}_{\neq \alpha_{k}, \alpha_{l}} \rangle$$

$$= (-1)^{k-1} (-1)^{l-2} | \alpha'_{k} \alpha'_{l} \underbrace{\alpha_{1} \alpha_{2} \dots \alpha_{n}}_{\neq \alpha'_{k}, \alpha'_{l}} \rangle$$

$$= | \alpha_{1} \alpha_{2} \dots \alpha'_{k} \dots \alpha'_{l} \dots \alpha_{n} \rangle \qquad (1.47)$$

Inserting this in (1.46) gives

$$H_{I}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle = \sum_{\alpha'_{1},\alpha'_{2}} \langle \alpha'_{1}\alpha'_{2}|\hat{v}|\alpha_{1}\alpha_{2}\rangle a^{\dagger}_{\alpha'_{1}}a^{\dagger}_{\alpha'_{2}}a_{\alpha_{2}}a_{\alpha_{1}}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle$$

$$+ \dots$$

$$= \sum_{\alpha'_{1},\alpha'_{n}} \langle \alpha'_{1}\alpha'_{n}|\hat{v}|\alpha_{1}\alpha_{n}\rangle a^{\dagger}_{\alpha'_{1}}a^{\dagger}_{\alpha'_{n}}a_{\alpha_{n}}a_{\alpha_{1}}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle$$

$$+ \dots$$

$$= \sum_{\alpha'_{2},\alpha'_{n}} \langle \alpha'_{2}\alpha'_{n}|\hat{v}|\alpha_{2}\alpha_{n}\rangle a^{\dagger}_{\alpha'_{2}}a^{\dagger}_{\alpha'_{n}}a_{\alpha_{n}}a_{\alpha_{2}}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle$$

$$+ \dots$$

$$= \sum_{\alpha,\beta,\gamma,\delta} \langle \alpha\beta|\hat{v}|\gamma\delta\rangle a^{\dagger}_{\alpha}a^{\dagger}_{\beta}a_{\delta}a_{\gamma}|\alpha_{1}\alpha_{2}\dots\alpha_{n}\rangle \qquad (1.48)$$

Here we let \sum' indicate that the sums running over α and β run over all single-particle states, while the summations γ and δ run over all pairs of single-particle states. We wish to remove this restriction and since

$$\langle \alpha \beta | \hat{v} | \gamma \delta \rangle = \langle \beta \alpha | \hat{v} | \delta \gamma \rangle \tag{1.49}$$

we get

$$\sum_{\alpha\beta} \langle \alpha\beta | \hat{v} | \gamma\delta \rangle a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma} = \sum_{\alpha\beta} \langle \beta\alpha | \hat{v} | \delta\gamma \rangle a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma}$$
 (1.50)

$$= \sum_{\alpha\beta} \langle \beta\alpha | \hat{v} | \delta\gamma \rangle a_{\beta}^{\dagger} a_{\alpha}^{\dagger} a_{\gamma} a_{\delta} \qquad (1.51)$$

where we have used the anti-commutation rules. Changing the summation indices α and β in (1.51) we obtain

$$\sum_{\alpha\beta} \langle \alpha\beta | \hat{v} | \gamma\delta \rangle a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma} = \sum_{\alpha\beta} \langle \alpha\beta | \hat{v} | \delta\gamma \rangle a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta}$$
 (1.52)

From this it follows that the restriction on the summation over γ and δ can be removed if we multiply with a factor $\frac{1}{2}$, resulting in

$$\hat{H}_{I} = \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | \hat{v} | \gamma\delta \rangle a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma}$$
 (1.53)

where we sum freely over all single-particle states α , β , γ og δ .

With this expression we can now verify that the second quantization form of \hat{H}_I in Eq. (1.53) results in the same matrix between two antisymmetrized two-particle states as its corresponding coordinate space representation. We have

$$\langle \alpha_1 \alpha_2 | \hat{H}_I | \beta_1 \beta_2 \rangle = \frac{1}{2} \sum_{\alpha \beta \gamma \delta} \langle \alpha \beta | \hat{v} | \gamma \delta \rangle \langle 0 | a_{\alpha_2} a_{\alpha_1} a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma} a_{\beta_1}^{\dagger} a_{\beta_2}^{\dagger} | 0 \rangle. \quad (1.54)$$

Using the commutation relations we get

$$a_{\alpha_{2}}a_{\alpha_{1}}a_{\alpha}^{\dagger}a_{\beta}^{\dagger}a_{\delta}a_{\gamma}a_{\beta_{1}}^{\dagger}a_{\beta_{2}}^{\dagger}$$

$$= a_{\alpha_{2}}a_{\alpha_{1}}a_{\alpha}^{\dagger}a_{\beta}^{\dagger}(a_{\delta}\delta_{\gamma\beta_{1}}a_{\beta_{2}}^{\dagger} - a_{\delta}a_{\beta_{1}}^{\dagger}a_{\gamma}a_{\beta_{2}}^{\dagger})$$

$$= a_{\alpha_{2}}a_{\alpha_{1}}a_{\alpha}^{\dagger}a_{\beta}^{\dagger}(\delta_{\gamma\beta_{1}}\delta_{\delta\beta_{2}} - \delta_{\gamma\beta_{1}}a_{\beta_{2}}^{\dagger}a_{\delta} - a_{\delta}a_{\beta_{1}}^{\dagger}\delta_{\gamma\beta_{2}} + a_{\delta}a_{\beta_{1}}^{\dagger}a_{\beta_{2}}^{\dagger}a_{\gamma})$$

$$= a_{\alpha_{2}}a_{\alpha_{1}}a_{\alpha}^{\dagger}a_{\beta}^{\dagger}(\delta_{\gamma\beta_{1}}\delta_{\delta\beta_{2}} - \delta_{\gamma\beta_{1}}a_{\beta_{2}}^{\dagger}a_{\delta}$$

$$-\delta_{\delta\beta_{1}}\delta_{\gamma\beta_{2}} + \delta_{\gamma\beta_{2}}a_{\beta_{1}}^{\dagger}a_{\delta} + a_{\delta}a_{\beta_{1}}^{\dagger}a_{\beta_{2}}^{\dagger}a_{\gamma})$$

$$(1.55)$$

The vacuum expectation value of this product of operators becomes

$$\langle 0|a_{\alpha_{2}}a_{\alpha_{1}}a_{\alpha}^{\dagger}a_{\beta}^{\dagger}a_{\delta}a_{\gamma}a_{\beta_{1}}^{\dagger}a_{\beta_{2}}^{\dagger}|0\rangle$$

$$= (\delta_{\gamma\beta_{1}}\delta_{\delta\beta_{2}} - \delta_{\delta\beta_{1}}\delta_{\gamma\beta_{2}})\langle 0|a_{\alpha_{2}}a_{\alpha_{1}}a_{\alpha}^{\dagger}a_{\beta}^{\dagger}|0\rangle$$

$$= (\delta_{\gamma\beta_{1}}\delta_{\delta\beta_{2}} - \delta_{\delta\beta_{1}}\delta_{\gamma\beta_{2}})(\delta_{\alpha\alpha_{1}}\delta_{\beta\alpha_{2}} - \delta_{\beta\alpha_{1}}\delta_{\alpha\alpha_{2}})$$

$$(1.56)$$

Insertion of Eq. (1.56) in Eq. (1.54) results in

1.4 Particle-hole formalism

$$\langle \alpha_{1}\alpha_{2}|\hat{H}_{I}|\beta_{1}\beta_{2}\rangle = \frac{1}{2} \left[\langle \alpha_{1}\alpha_{2}|\hat{v}|\beta_{1}\beta_{2}\rangle - \langle \alpha_{1}\alpha_{2}|\hat{v}|\beta_{2}\beta_{1}\rangle - \langle \alpha_{2}\alpha_{1}|\hat{v}|\beta_{1}\beta_{2}\rangle + \langle \alpha_{2}\alpha_{1}|\hat{v}|\beta_{2}\beta_{1}\rangle \right]$$

$$= \langle \alpha_{1}\alpha_{2}|\hat{v}|\beta_{1}\beta_{2}\rangle - \langle \alpha_{1}\alpha_{2}|\hat{v}|\beta_{2}\beta_{1}\rangle$$

$$= \langle \alpha_{1}\alpha_{2}|\hat{v}|\beta_{1}\beta_{2}\rangle_{AS}. \tag{1.57}$$

The two-body operator can also be expressed in terms of the antisymmetrized matrix elements we discussed previously as

$$\hat{H}_{I} = \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | \hat{v} | \gamma\delta \rangle a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma}$$

$$= \frac{1}{4} \sum_{\alpha\beta\gamma\delta} \left[\langle \alpha\beta | \hat{v} | \gamma\delta \rangle - \langle \alpha\beta | \hat{v} | \delta\gamma \rangle \right] a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma}$$

$$= \frac{1}{4} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | \hat{v} | \gamma\delta \rangle_{AS} a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma}$$

$$(1.58)$$

The factors in front of the operator, either $\frac{1}{4}$ or $\frac{1}{2}$ tells whether we use anti-symmetrized matrix elements or not.

We can now express the Hamiltonian operator for a many-fermion system in the occupation basis representation as

$$H = \sum_{\alpha,\beta} \langle \alpha | \hat{t} + \hat{u}_{\text{ext}} | \beta \rangle a_{\alpha}^{\dagger} a_{\beta} + \frac{1}{4} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | \hat{v} | \gamma\delta \rangle a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\delta} a_{\gamma}.$$
 (1.59)

This is the form we will use in the rest of these lectures, assuming that we work with anti-symmetrized two-body matrix elements.

1.4 Particle-hole formalism

Second quantization is a useful and elegant formalism for constructing many-body states and quantum mechanical operators. One can express and translate many physical processes into simple pictures such as Feynman diagrams. Expectation values of many-body states are also easily calculated. However, although the equations are seemingly easy to set up, from a practical point of view, that is the solution of Schroedinger's equation, there is no particular gain. The many-body equation is equally hard to solve, irrespective of representation. The cliche that there is no free lunch brings us down to earth again. Note however that a transformation to a particular basis, for cases where the interaction obeys specific symmetries, can ease the solution of Schroedinger's equation.

But there is at least one important case where second quantization comes to our rescue. It is namely easy to introduce another reference state than the pure vacuum $|0\rangle$, where all single-particle states are active. With many particles present it is often useful to introduce another

reference state than the vacuum state $|0\rangle$. We will label this state $|c\rangle$ (c for core) and as we will see it can reduce considerably the complexity and thereby the dimensionality of the many-body problem. It allows us to sum up to infinite order specific many-body correlations. The particle-hole representation is one of these handy representations.

In the original particle representation these states are products of the creation operators $a_{\alpha_i}^{\dagger}$ acting on the true vacuum $|0\rangle$. Following Eq. (1.3) we have

$$|\alpha_1 \alpha_2 \dots \alpha_{n-1} \alpha_n\rangle = a_{\alpha_1}^{\dagger} a_{\alpha_2}^{\dagger} \dots a_{\alpha_{n-1}}^{\dagger} a_{\alpha_n}^{\dagger} |0\rangle$$
 (1.60)

$$|\alpha_1 \alpha_2 \dots \alpha_{n-1} \alpha_n \alpha_{n+1}\rangle = a_{\alpha_1}^{\dagger} a_{\alpha_2}^{\dagger} \dots a_{\alpha_{n-1}}^{\dagger} a_{\alpha_n}^{\dagger} a_{\alpha_{n+1}}^{\dagger} |0\rangle \qquad (1.61)$$

$$|\alpha_1 \alpha_2 \dots \alpha_{n-1}\rangle = a_{\alpha_1}^{\dagger} a_{\alpha_2}^{\dagger} \dots a_{\alpha_{n-1}}^{\dagger} |0\rangle$$
 (1.62)

If we use Eq. (1.60) as our new reference state, we can simplify considerably the representation of this state

$$|c\rangle \equiv |\alpha_1 \alpha_2 \dots \alpha_{n-1} \alpha_n\rangle = a_{\alpha_1}^{\dagger} a_{\alpha_2}^{\dagger} \dots a_{\alpha_{n-1}}^{\dagger} a_{\alpha_n}^{\dagger} |0\rangle$$
 (1.63)

The new reference states for the n + 1 and n - 1 states can then be written as

$$|\alpha_1 \alpha_2 \dots \alpha_{n-1} \alpha_n \alpha_{n+1}\rangle = (-1)^n a_{\alpha_{n+1}}^{\dagger} |c\rangle \equiv (-1)^n |\alpha_{n+1}\rangle_c \quad (1.64)$$

$$|\alpha_1 \alpha_2 \dots \alpha_{n-1}\rangle = (-1)^{n-1} a_{\alpha_n} |c\rangle \equiv (-1)^{n-1} |\alpha_{n-1}\rangle_c$$
 (1.65)

The first state has one additional particle with respect to the new vacuum state $|c\rangle$ and is normally referred to as a one-particle state or one particle added to the many-body reference state. The second state has one particle less than the reference vacuum state $|c\rangle$ and is referred to as a one-hole state. When dealing with a new reference state it is often convenient to introduce new creation and annihilation operators since we have from Eq. (1.65)

$$a_{\alpha}|c\rangle \neq 0$$
 (1.66)

since α is contained in $|c\rangle$, while for the true vacuum we have $a_{\alpha}|0\rangle = 0$ for all α .

The new reference state leads to the definition of new creation and annihilation operators which satisfy the following relations

$$b_{\alpha}|c\rangle = 0 \tag{1.67}$$

$$\{b_{\alpha}^{\dagger}, b_{\beta}^{\dagger}\} = \{b_{\alpha}, b_{\beta}\} = 0$$

$$\{b_{\alpha}^{\dagger}, b_{\beta}\} = \delta$$

$$\{b_{\alpha}^{\dagger}, b_{\beta}\} = \delta_{\alpha\beta} \tag{1.68}$$

We assume also that the new reference state is properly normalized

$$\langle c|c\rangle = 1\tag{1.69}$$

1.4 Particle-hole formalism

The physical interpretation of these new operators is that of so-called quasiparticle states. This means that a state defined by the addition of one extra particle to a reference state $|c\rangle$ may not necesserally be interpreted as one particle coupled to a core. We define now new creation operators that act on a state α creating a new quasiparticle state

$$b_{\alpha}^{\dagger}|c\rangle = \begin{cases} a_{\alpha}^{\dagger}|c\rangle = |\alpha\rangle, & \alpha > F \\ a_{\alpha}|c\rangle = |\alpha^{-1}\rangle, & \alpha \le F \end{cases}$$
 (1.70)

where F is the Fermi level representing the last occupied single-particle orbit of the new reference state $|c\rangle$.

The annihilation is the hermitian conjugate of the creation operator

$$b_{\alpha} = (b_{\alpha}^{\dagger})^{\dagger},$$

resulting in

$$b_{\alpha}^{\dagger} = \begin{cases} a_{\alpha}^{\dagger} \alpha > F \\ a_{\alpha} \alpha \leq F \end{cases} \qquad b_{\alpha} = \begin{cases} a_{\alpha} \alpha > F \\ a_{\alpha}^{\dagger} \alpha \leq F \end{cases}$$
 (1.71)

With the new creation and annihilation operator we can now construct many-body quasiparticle states, with one-particle-one-hole states, twoparticle-two-hole states etc in the same fashion as we previously constructed many-particle states. We can write a general particle-hole state as

$$|\beta_1 \beta_2 \dots \beta_{n_p} \gamma_1^{-1} \gamma_2^{-1} \dots \gamma_{n_h}^{-1}\rangle \equiv \underbrace{b_{\beta_1}^{\dagger} b_{\beta_2}^{\dagger} \dots b_{\beta_{n_p}}^{\dagger}}_{>F} \underbrace{b_{\gamma_1}^{\dagger} b_{\gamma_2}^{\dagger} \dots b_{\gamma_{n_h}}^{\dagger}}_{\leq F} |c\rangle \quad (1.72)$$

We can now rewrite our one-body and two-body operators in terms of the new creation and annihilation operators. The number operator becomes

$$\hat{N} = \sum_{\alpha} a_{\alpha}^{\dagger} a_{\alpha} = \sum_{\alpha > F} b_{\alpha}^{\dagger} b_{\alpha} + n_{c} - \sum_{\alpha \le F} b_{\alpha}^{\dagger} b_{\alpha}$$
 (1.73)

where n_c is the number of particle in the new vacuum state $|c\rangle$. The action of \hat{N} on a many-body state results in

$$N|\beta_1\beta_2\dots\beta_{n_p}\gamma_1^{-1}\gamma_2^{-1}\dots\gamma_{n_h}^{-1}\rangle = (n_p + n_c - n_h)|\beta_1\beta_2\dots\beta_{n_p}\gamma_1^{-1}\gamma_2^{-1}\dots\gamma_{n_h}^{-1}\rangle$$
(1.74)

Here $n = n_p + n_c - n_h$ is the total number of particles in the quasi-particle state of Eq. (1.72). Note that \hat{N} counts the total number of particles present

$$N_{qp} = \sum_{\alpha} b_{\alpha}^{\dagger} b_{\alpha}, \qquad (1.75)$$

gives us the number of quasi-particles as can be seen by computing

$$N_{qp} = |\beta_1 \beta_2 \dots \beta_{n_p} \gamma_1^{-1} \gamma_2^{-1} \dots \gamma_{n_h}^{-1}\rangle = (n_p + n_h) |\beta_1 \beta_2 \dots \beta_{n_p} \gamma_1^{-1} \gamma_2^{-1} \dots \gamma_{n_h}^{-1}\rangle$$
(1.76)

where $n_{qp} = n_p + n_h$ is the total number of quasi-particles.

We express the one-body operator \hat{H}_0 in terms of the quasi-particle creation and annihilation operators, resulting in

$$\hat{H}_{0} = \sum_{\alpha\beta>F} \langle \alpha | \hat{h}_{0} | \beta \rangle b_{\alpha}^{\dagger} b_{\beta} + \sum_{\alpha>F} \left[\langle \alpha | \hat{h}_{0} | \beta \rangle b_{\alpha}^{\dagger} b_{\beta}^{\dagger} + \langle \beta | \hat{h}_{0} | \alpha \rangle b_{\beta} b_{\alpha} \right]$$

$$+ \sum_{\alpha\leq F} \langle \alpha | \hat{h}_{0} | \alpha \rangle - \sum_{\alpha\beta\leq F} \langle \beta | \hat{h}_{0} | \alpha \rangle b_{\alpha}^{\dagger} b_{\beta}$$

$$(1.77)$$

The first term gives contribution only for particle states, while the last one contributes only for hole states. The second term can create or destroy a set of quasi-particles and the third term is the contribution from the vacuum state $|c\rangle$.

Before we continue with the expressions for the two-body operator, we introduce a nomenclature we will use for the rest of this text. It is inspired by the notation used in quantum chemistry. We reserve the labels i,j,k,\ldots for hole states and a,b,c,\ldots for states above F, viz. particle states. This means also that we will skip the constraint $\leq F$ or > F in the summation symbols. Our operator \hat{H}_0 reads now

$$\hat{H}_{0} = \sum_{ab} \langle a|\hat{h}|b\rangle b_{a}^{\dagger} b_{b} + \sum_{ai} \left[\langle a|\hat{h}|i\rangle b_{a}^{\dagger} b_{i}^{\dagger} + \langle i|\hat{h}|a\rangle b_{i} b_{a} \right]$$

$$+ \sum_{i} \langle i|\hat{h}|i\rangle - \sum_{ij} \langle j|\hat{h}|i\rangle b_{i}^{\dagger} b_{j}$$

$$(1.78)$$

The two-particle operator in the particle-hole formalism is more complicated since we have to translate four indices $\alpha\beta\gamma\delta$ to the possible combinations of particle and hole states. When performing the commutator algebra we can regroup the operator in five different terms

$$\hat{H}_I = \hat{H}_I^{(a)} + \hat{H}_I^{(b)} + \hat{H}_I^{(c)} + \hat{H}_I^{(d)} + \hat{H}_I^{(e)}$$
(1.79)

Using anti-symmetrized matrix elements, the term $\hat{H}_{I}^{(a)}$ is

$$\hat{H}_{I}^{(a)} = \frac{1}{4} \sum_{abcd} \langle ab|\hat{V}|cd\rangle b_a^{\dagger} b_b^{\dagger} b_d b_c \tag{1.80}$$

The next term $\hat{H}_{I}^{(b)}$ reads

$$\hat{H}_{I}^{(b)} = \frac{1}{4} \sum_{abci} \left(\langle ab|\hat{V}|ci\rangle b_a^{\dagger} b_b^{\dagger} b_i^{\dagger} b_c + \langle ai|\hat{V}|cb\rangle b_a^{\dagger} b_i b_b b_c \right)$$
(1.81)

This term conserves the number of quasiparticles but creates or removes a three-particle-one-hole state. For $\hat{H}_I^{(c)}$ we have

$$\hat{H}_{I}^{(c)} = \frac{1}{4} \sum_{abij} \left(\langle ab|\hat{V}|ij\rangle b_{a}^{\dagger} b_{b}^{\dagger} b_{j}^{\dagger} b_{i}^{\dagger} + \langle ij|\hat{V}|ab\rangle b_{a} b_{b} b_{j} b_{i} \right) +$$

$$\frac{1}{2} \sum_{abij} \langle ai|\hat{V}|bj\rangle b_{a}^{\dagger} b_{j}^{\dagger} b_{b} b_{i} + \frac{1}{2} \sum_{abi} \langle ai|\hat{V}|bi\rangle b_{a}^{\dagger} b_{b}.$$

$$(1.82)$$

The first line stands for the creation of a two-particle-two-hole state, while the second line represents the creation to two one-particle-one-hole pairs while the last term represents a contribution to the particle single-particle energy from the hole states, that is an interaction between the particle states and the hole states within the new vacuum state. The fourth term reads

$$\hat{H}_{I}^{(d)} = \frac{1}{4} \sum_{aijk} \left(\langle ai|\hat{V}|jk\rangle b_{a}^{\dagger} b_{k}^{\dagger} b_{j}^{\dagger} b_{i} + \langle ji|\hat{V}|ak\rangle b_{k}^{\dagger} b_{j} b_{i} b_{a} \right) + \frac{1}{4} \sum_{aij} \left(\langle ai|\hat{V}|ji\rangle b_{a}^{\dagger} b_{j}^{\dagger} + \langle ji|\hat{V}|ai\rangle - \langle ji|\hat{V}|ia\rangle b_{j} b_{a} \right). \quad (1.83)$$

The terms in the first line stand for the creation of a particle-hole state interacting with hole states, we will label this as a two-hole-one-particle contribution. The remaining terms are a particle-hole state interacting with the holes in the vacuum state. Finally we have

$$\hat{H}_{I}^{(e)} = \frac{1}{4} \sum_{ijkl} \langle kl | \hat{V} | ij \rangle b_{i}^{\dagger} b_{j}^{\dagger} b_{l} b_{k} + \frac{1}{2} \sum_{ijk} \langle ij | \hat{V} | kj \rangle b_{k}^{\dagger} b_{i} + \frac{1}{2} \sum_{ij} \langle ij | \hat{V} | ij \rangle$$

$$\tag{1.84}$$

The first terms represents the interaction between two holes while the second stands for the interaction between a hole and the remaining holes in the vacuum state. It represents a contribution to single-hole energy to first order. The last term collects all contributions to the energy of the ground state of a closed-shell system arising from hole-hole correlations.

1.5 Summarizing and defining a normal-ordered Hamiltonian

$$\Phi_{AS}(\alpha_1, \dots, \alpha_A; x_1, \dots x_A) = \frac{1}{\sqrt{A}} \sum_{\hat{P}} (-1)^P \hat{P} \prod_{i=1}^A \psi_{\alpha_i}(x_i),$$

which is equivalent with $|\alpha_1 \dots \alpha_A\rangle = a_{\alpha_1}^{\dagger} \dots a_{\alpha_A}^{\dagger}|0\rangle$. We have also

$$a_p^{\dagger}|0\rangle = |p\rangle, \quad a_p|q\rangle = \delta_{pq}|0\rangle$$

$$\delta_{pq} = \left\{ a_p, a_q^{\dagger} \right\},\,$$

and

$$0 = \left\{ a_p^{\dagger}, a_q \right\} = \left\{ a_p, a_q \right\} = \left\{ a_p^{\dagger}, a_q^{\dagger} \right\}$$
$$|\Phi_0\rangle = |\alpha_1 \dots \alpha_A\rangle, \quad \alpha_1, \dots, \alpha_A \le \alpha_F$$

$$\left\{a_p^{\dagger}, a_q\right\} = \delta_{pq}, p, q \le \alpha_F$$
$$\left\{a_p, a_q^{\dagger}\right\} = \delta_{pq}, p, q > \alpha_F$$

with $i, j, \ldots \leq \alpha_F$, $a, b, \ldots > \alpha_F$, $p, q, \ldots -$ any

$$a_i |\Phi_0\rangle = |\Phi_i\rangle, \quad a_a^{\dagger} |\Phi_0\rangle = |\Phi^a\rangle$$

and

$$a_i^{\dagger} | \Phi_0 \rangle = 0 \quad a_a | \Phi_0 \rangle = 0$$

The one-body operator is defined as

$$\hat{F} = \sum_{pq} \langle p | \hat{f} | q \rangle a_p^{\dagger} a_q$$

while the two-body operator is defined as

$$\hat{V} = \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle_{AS} a_p^{\dagger} a_q^{\dagger} a_s a_r$$

where we have defined the anti-symmetric matrix elements

$$\langle pq|\hat{v}|rs\rangle_{AS} = \langle pq|\hat{v}|rs\rangle - \langle pq|\hat{v}|sr\rangle.$$

We can also define a three-body operator

$$\hat{V}_3 = \frac{1}{36} \sum_{pqrstu} \langle pqr | \hat{v}_3 | stu \rangle_{AS} a_p^{\dagger} a_q^{\dagger} a_r^{\dagger} a_u a_t a_s$$

with the anti-symmetrized matrix element

$$\langle pqr|\hat{v}_{3}|stu\rangle_{AS} = \langle pqr|\hat{v}_{3}|stu\rangle + \langle pqr|\hat{v}_{3}|tus\rangle + \langle pqr|\hat{v}_{3}|ust\rangle - \langle pqr|\hat{v}_{3}|sut\rangle - \langle pqr|\hat{v}_{3}|tsu\rangle - \langle pqr|\hat{v}_{3}|uts\rangle.$$
(1.85)

1.6 Bit representation of operators in second quantization

In the build-up of a shell-model or FCI code that is meant to tackle large dimensionalities is the action of the Hamiltonian \hat{H} on a Slater

determinant represented in second quantization as

$$|\alpha_1 \dots \alpha_n\rangle = a_{\alpha_1}^{\dagger} a_{\alpha_2}^{\dagger} \dots a_{\alpha_n}^{\dagger} |0\rangle.$$

The time consuming part stems from the action of the Hamiltonian on the above determinant,

$$\left(\sum_{\alpha\beta}\langle\alpha|t+u|\beta\rangle a_{\alpha}^{\dagger}a_{\beta}+\frac{1}{4}\sum_{\alpha\beta\gamma\delta}\langle\alpha\beta|\hat{v}|\gamma\delta\rangle a_{\alpha}^{\dagger}a_{\beta}^{\dagger}a_{\delta}a_{\gamma}\right)a_{\alpha_{1}}^{\dagger}a_{\alpha_{2}}^{\dagger}\dots a_{\alpha_{n}}^{\dagger}|0\rangle.$$

A practically useful way to implement this action is to encode a Slater determinant as a bit pattern.

Assume that we have at our disposal n different single-particle orbits $\alpha_0, \alpha_2, \ldots, \alpha_{n-1}$ and that we can distribute among these orbits $N \leq n$ particles.

A Slater determinant can then be coded as an integer of n bits. As an example, if we have n=16 single-particle states $\alpha_0, \alpha_1, \ldots, \alpha_{15}$ and N=4 fermions occupying the states α_3 , α_6 , α_{10} and α_{13} we could write this Slater determinant as

$$\Phi_{\Lambda} = a_{\alpha_3}^{\dagger} a_{\alpha_6}^{\dagger} a_{\alpha_{10}}^{\dagger} a_{\alpha_{13}}^{\dagger} |0\rangle.$$

The unoccupied single-particle states have bit value 0 while the occupied ones are represented by bit state 1. In the binary notation we would write this 16 bits long integer as

which translates into the decimal number

$$2^3 + 2^6 + 2^{10} + 2^{13} = 9288.$$

We can thus encode a Slater determinant as a bit pattern.

With N particles that can be distributed over n single-particle states, the total number of Slater determinats (and defining thereby the dimensionality of the system) is

$$\dim(\mathcal{H}) = \binom{n}{N}.$$

The total number of bit patterns is 2^n .

We assume again that we have at our disposal n different single-particle orbits $\alpha_0, \alpha_2, \ldots, \alpha_{n-1}$ and that we can distribute among these orbits $N \leq n$ particles. The ordering among these states is important as it defines the order of the creation operators. We will write the determinant

$$\Phi_{\Lambda} = a_{\alpha_3}^{\dagger} a_{\alpha_6}^{\dagger} a_{\alpha_{10}}^{\dagger} a_{\alpha_{13}}^{\dagger} |0\rangle,$$

in a more compact way as

$$\Phi_{3.6.10.13} = |000100100100100100\rangle.$$

The action of a creation operator is thus

$$a^{\dagger}_{\alpha_4} \varPhi_{3,6,10,13} = a^{\dagger}_{\alpha_4} |000100100100100100\rangle = a^{\dagger}_{\alpha_4} a^{\dagger}_{\alpha_3} a^{\dagger}_{\alpha_6} a^{\dagger}_{\alpha_{10}} a^{\dagger}_{\alpha_{13}} |0\rangle,$$

which becomes

$$-a_{\alpha_3}^{\dagger}a_{\alpha_4}^{\dagger}a_{\alpha_6}^{\dagger}a_{\alpha_{10}}^{\dagger}a_{\alpha_{13}}^{\dagger}|0\rangle = -|0001101000100100\rangle.$$

Similarly

$$a_{\alpha_6}^\dagger \varPhi_{3,6,10,13} = a_{\alpha_6}^\dagger |0001001001001001 \rangle = a_{\alpha_6}^\dagger a_{\alpha_3}^\dagger a_{\alpha_6}^\dagger a_{\alpha_{10}}^\dagger a_{\alpha_{13}}^\dagger |0\rangle,$$

which becomes

$$-a_{\alpha_4}^{\dagger}(a_{\alpha_6}^{\dagger})^2 a_{\alpha_{10}}^{\dagger} a_{\alpha_{13}}^{\dagger} |0\rangle = 0!$$

This gives a simple recipe:

- If one of the bits b_j is 1 and we act with a creation operator on this bit, we return a null vector
- If $b_j = 0$, we set it to 1 and return a sign factor $(-1)^l$, where l is the number of bits set before bit j.

Consider the action of $a_{\alpha_2}^{\dagger}$ on various slater determinants:

$$\begin{array}{ll} a_{\alpha_2}^\dagger \varPhi_{00111} = a_{\alpha_2}^\dagger |00111\rangle &= 0 \times |00111\rangle \\ a_{\alpha_2}^\dagger \varPhi_{01011} = a_{\alpha_2}^\dagger |01011\rangle = (-1) \times |01111\rangle \\ a_{\alpha_2}^\dagger \varPhi_{01101} = a_{\alpha_2}^\dagger |01101\rangle &= 0 \times |01101\rangle \\ a_{\alpha_2}^\dagger \varPhi_{01110} = a_{\alpha_2}^\dagger |01110\rangle &= 0 \times |01110\rangle \\ a_{\alpha_2}^\dagger \varPhi_{10011} = a_{\alpha_2}^\dagger |10011\rangle = (-1) \times |10111\rangle \\ a_{\alpha_2}^\dagger \varPhi_{10101} = a_{\alpha_2}^\dagger |10101\rangle &= 0 \times |10101\rangle \\ a_{\alpha_2}^\dagger \varPhi_{10101} = a_{\alpha_2}^\dagger |10110\rangle &= 0 \times |10110\rangle \\ a_{\alpha_2}^\dagger \varPhi_{11001} = a_{\alpha_2}^\dagger |11001\rangle = (+1) \times |11101\rangle \\ a_{\alpha_2}^\dagger \varPhi_{11010} = a_{\alpha_2}^\dagger |11010\rangle = (+1) \times |11110\rangle \end{array}$$

What is the simplest way to obtain the phase when we act with one annihilation(creation) operator on the given Slater determinant representation?

We have an SD representation

$$\Phi_{\Lambda} = a_{\alpha_0}^{\dagger} a_{\alpha_3}^{\dagger} a_{\alpha_6}^{\dagger} a_{\alpha_{10}}^{\dagger} a_{\alpha_{13}}^{\dagger} |0\rangle,$$

in a more compact way as

$$\Phi_{0,3,6,10,13} = |1001001000100100\rangle.$$

The action of

$$a^{\dagger}_{\alpha_4}a_{\alpha_0}\Phi_{0,3,6,10,13} = a^{\dagger}_{\alpha_4}|000100100100100100\rangle = a^{\dagger}_{\alpha_4}a^{\dagger}_{\alpha_3}a^{\dagger}_{\alpha_6}a^{\dagger}_{\alpha_{10}}a^{\dagger}_{\alpha_{13}}|0\rangle,$$

which becomes

$$-a_{\alpha_3}^{\dagger}a_{\alpha_4}^{\dagger}a_{\alpha_6}^{\dagger}a_{\alpha_{10}}^{\dagger}a_{\alpha_{13}}^{\dagger}|0\rangle = -|0001101000100100\rangle.$$

The action

$$a_{\alpha_0}\Phi_{0,3,6,10,13} = |0001001000100100\rangle,$$

can be obtained by subtracting the logical sum (AND operation) of $\Phi_{0,3,6,10,13}$ and a word which represents only α_0 , that is

$$|100000000000000000\rangle$$
,

from $\Phi_{0,3,6,10,13} = |100100100100100\rangle$. This operation gives $|000100100100100100\rangle$. Similarly, we can form $a_{\alpha_4}^{\dagger} a_{\alpha_0} \Phi_{0,3,6,10,13}$, say, by adding $|0000100000000000\rangle$ to $a_{\alpha_0} \Phi_{0,3,6,10,13}$, first checking that their logical sum is zero in order to make sure that orbital α_4 is not already occupied.

It is trickier however to get the phase $(-1)^l$. One possibility is as follows

• Let S_1 be a word that represents the 1-bit to be removed and all others set to zero.

• Define S_2 as the similar word that represents the bit to be added, that is in our case

 $S_2 = |0000100000000000\rangle$.

• Compute then $S = S_1 - S_2$, which here becomes

$$S = |011100000000000000\rangle$$

• Perform then the logical AND operation of S with the word containing

$$\Phi_{0.3.6.10.13} = |1001001000100100\rangle,$$

which results in $|00010000000000000\rangle$. Counting the number of 1-bits gives the phase. Here you need however an algorithm for bitcounting. Several efficient ones available.

1.7 Wick's theorem

Wick's theorem is based on two fundamental concepts, namely normal ordering and contraction. The normal-ordered form of $\hat{A}\hat{B}\dots\hat{X}\hat{Y}$, where the individual terms are either a creation or annihilation operator, is defined as¹

$$\left\{\hat{A}\hat{B}\dots\hat{X}\hat{Y}\right\} \equiv (-1)^p$$
 [creation operators] · [annihilation operators] . (1.86)

The p subscript denotes the number of permutations that is needed to transform the original string into the normal-ordered form. A contraction between two arbitrary operators \hat{A} and \hat{B} is defined as

$$\widehat{\hat{A}\hat{B}} \equiv \langle 0|\hat{A}\hat{B}|0\rangle \ . \tag{1.87}$$

It is also possible to contract operators inside a normal ordered products. We define the original relative position between two operators in a normal ordered product as p, the so-called *permutation number*. This is the number of permutations needed to bring one of the two operators next to the other one. A contraction between two operators with $p \neq 0$ inside a normal ordered is defined as

$$\left\{ \hat{A}\hat{B} \dots \hat{X}\hat{Y} \right\} = (-1)^p \left\{ \hat{A}\hat{X} \dots \hat{W}\hat{Y} \right\} . \tag{1.88}$$

In the general case with m contractions, the procedure is similar, and the prefactor changes to

$$(-1)^{p_1+p_2+\ldots+p_m} . (1.89)$$

Wick's theorem states that every string of creation and annihilation operators can be written as a sum of normal ordered products with all possible ways of contractions

$$\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z} = \left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}$$

$$+ \sum_{(1)} \left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}$$

$$+ \sum_{(2)} \left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}$$

$$+ \dots$$

$$+ \sum_{[N/2]} \left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\} .$$

$$(1.90)$$

¹ Alternative notations can be used like $N(\hat{A}\hat{B}\dots\hat{X}\hat{Y})$ or $:\hat{A}\hat{B}\dots\hat{X}\hat{Y}:$

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The $\sum_{(m)}$ means the sum over all terms with m contractions, while [N/2] means the largest integer that not do not exceeds N/2 where N is the number of creation and annihilation operators. When N is even,

$$\left[\frac{N}{2}\right] = \frac{N}{2} \tag{1.91}$$

and the last sum is over fully contracted terms. When N is odd,

$$\left[\frac{N}{2}\right] \neq \frac{N}{2} \tag{1.92}$$

and none of the terms are fully contracted. An important extension of Wick's theorem allow us to define contractions between normal-ordered strings of operators. This is the so-called generalized Wick's theorem,

$$\left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\right\}\left\{\hat{R}\hat{X}\hat{Y}\hat{Z}\right\} = \left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}
+ \sum_{(1)} \left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}
+ \sum_{(2)} \left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}
+ \dots$$
(1.93)

Turning back to the many-body problem, the vacuum expectation value of products of creation and annihilation operators can be written, according to Wick's theorem, as a sum over normal ordered products with all possible numbers and combinations of contractions,

$$\langle 0|\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}|0\rangle = \langle 0|\left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}|0\rangle$$

$$+ \sum_{(1)}\langle 0|\left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}|0\rangle$$

$$+ \sum_{(2)}\langle 0|\left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}|0\rangle$$

$$+ \dots$$

$$+ \sum_{[N/2]}\langle 0|\left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}|0\rangle .$$

All vacuum expectation values of normal ordered products without fully contracted terms are zero. Hence, the only contributions to the expectation value are those terms that are **fully contracted**,

$$\langle 0|\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}|0\rangle = \sum_{(all)}\langle 0|\left\{\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}\right\}|0\rangle$$
$$= \sum_{(all)}\hat{A}\hat{B}\hat{C}\hat{D}\dots\hat{R}\hat{X}\hat{Y}\hat{Z}. \tag{1.94}$$

To obtain fully contracted terms, Eq.(1.91) must hold. When the number of creation and annihilation operators is odd, the vacuum expectation value can be set immediately to zero. When the number is even, the expectation value is simply the sum of terms with all possible combinations of fully contracted terms. Observing that the only contractions that give nonzero contributions are

$$a_{\alpha}a_{\beta}^{\dagger} = \delta_{\alpha\beta}$$
 (1.95)

the terms that contribute are reduced even more.

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Exercise 1: Relation between basis functions

This exercise serves to convince you about the relation between two different single-particle bases.

Consider a Slater determinant built up of single-particle orbitals ψ_{λ} , with $\lambda = 1, 2, ..., A$. The unitary transformation

$$\psi_a = \sum_{\lambda} C_{a\lambda} \phi_{\lambda},$$

brings us into the new basis. The new basis has quantum numbers $a=1,2,\ldots,A$. Show that the new basis is orthonormal. Show that the new Slater determinant constructed from the new single-particle wave functions can be written as the determinant based on the previous basis and the determinant of the matrix C. Show that the old and the new Slater determinants are equal up to a complex constant with absolute value unity. (Hint, C is a unitary matrix).

Exercise 2: Matrix elements for Slater determinants

The aim of this exercise is to set up specific matrix elements that will turn useful when we start our discussions of the nuclear shell model. In particular you will notice, depending on the character of the operator, that many matrix elements will actually be zero.

Consider three N-particle Slater determinants $|\Phi_0, |\Phi_i^a\rangle$ and $|\Phi_{ij}^{ab}\rangle$, where the notation means that Slater determinant $|\Phi_i^a\rangle$ differs from $|\Phi_0\rangle$ by one single-particle state, that is a single-particle state ψ_i is replaced by a single-particle state ψ_a . It is often interpreted as a so-called one-particle-one-hole excitation. Similarly, the Slater determinant $|\Phi_{ij}^{ab}\rangle$ differs by two single-particle states from $|\Phi_0\rangle$ and is normally thought of as a two-particle-two-hole excitation. We assume also that $|\Phi_0\rangle$ represents our new vacuum reference state and the labels $ijk\ldots$ represent single-particle states below the Fermi level and $abc\ldots$ represent states above the Fermi level, so-called particle states. We define thereafter a general one-body normal-ordered (with respect to the new vacuum state) operator as

$$\hat{F}_N = \sum_{pq} \langle p|f|\beta\rangle \left\{ a_p^{\dagger} a_q \right\},\,$$

with

$$\langle p|f|q\rangle = \int \psi_p^*(x)f(x)\psi_q(x)dx,$$

and a general normal-ordered two-body operator

$$\hat{G}_N = \frac{1}{4} \sum_{pqrs} \langle pq|g|rs \rangle_{AS} \left\{ a_p^{\dagger} a_q^{\dagger} a_s a_r \right\},\,$$

with for example the direct matrix element given as

$$\langle pq|g|rs \rangle = \int \int \psi_p^*(x_1)\psi_q^*(x_2)g(x_1,x_2)\psi_r(x_1)\psi_s(x_2)dx_1dx_2$$

with g being invariant under the interchange of the coordinates of two particles. The single-particle states ψ_i are not necessarily eigenstates of \hat{f} . The curly brackets mean that the operators are normal-ordered with respect to the new vacuum reference state.

How would you write the above Slater determinants in a second quantization formalism, utilizing the fact that we have defined a new reference state?

Use thereafter Wick's theorem to find the expectation values of

 $\langle \Phi_0 | \hat{F}_N | \Phi_0 \rangle$,

and

 $\langle \Phi_0 \hat{G}_N | \Phi_0 \rangle$.

Find thereafter

 $\langle \Phi_0 | \hat{F}_N | \Phi_i^a \rangle$,

and

 $\langle \Phi_0 | \hat{G}_N | \Phi_i^a \rangle$,

Finally, find

 $\langle \Phi_0 | \hat{F}_N | \Phi_{ij}^{ab} \rangle$,

and

$$\langle \Phi_0 | \hat{G}_N | \Phi_{ii}^{ab} \rangle$$
.

What happens with the two-body operator if we have a transition probability of the type

$$\langle \Phi_0 | \hat{G}_N | \Phi_{ijk}^{abc} \rangle$$
,

where the Slater determinant to the right of the operator differs by more than two single-particle states?

Exercise 3: Normal-ordered one-body operator

Show that the onebody part of the Hamiltonian

$$\hat{H}_0 = \sum_{pq} \langle p | \hat{h}_0 | q \rangle a_p^{\dagger} a_q,$$

can be written, using standard annihilation and creation operators, in normal-ordered form as

$$\hat{H}_0 = \sum_{pq} \langle p | \hat{h}_0 | q \rangle \left\{ a_p^{\dagger} a_q \right\} + \sum_i \langle i | \hat{h}_0 | i \rangle.$$

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Explain the meaning of the various symbols. Which reference vacuum has been used?

Exercise 4: Normal-ordered two-body operator

Show that the twobody part of the Hamiltonian

$$\hat{H}_I = \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle a_p^{\dagger} a_q^{\dagger} a_s a_r,$$

can be written, using standard annihilation and creation operators, in normal-ordered form as

$$\hat{H}_{I} = \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle \left\{ a_{p}^{\dagger} a_{q}^{\dagger} a_{s} a_{r} \right\} + \sum_{pqi} \langle pi | \hat{v} | qi \rangle \left\{ a_{p}^{\dagger} a_{q} \right\} + \frac{1}{2} \sum_{ij} \langle ij | \hat{v} | ij \rangle.$$

Explain again the meaning of the various symbols.

This exercise is optional: Derive the normal-ordered form of the threebody part of the Hamiltonian.

$$\hat{H}_3 = \frac{1}{36} \sum_{\substack{pqr \\ rtu}} \langle pqr | \hat{v}_3 | stu \rangle a_p^{\dagger} a_q^{\dagger} a_r^{\dagger} a_u a_t a_s,$$

and specify the contributions to the twobody, onebody and the scalar part.

Exercise 5: Program to set up Slater determinants

Write a program which sets up all possible Slater determinants given N=4 eletrons which can occupy the atomic single-particle states defined by the 1s, 2s2p and 3s3p3d shells. How many single-particle states n are there in total? Include the spin degrees of freedom as well. We include here a python program which may aid in this direction, that can be downloaded (slater.py). It uses bit manipulation functions from http://wiki.python.org/moin/BitManipulation.

Exercise 6: Using sympy to compute matrix elements

SymPy is a Python package for general purpose symbolic algebra. There is a physics module with several interesting submodules. Among these, the submodule called *secondquant*, contains several functionalities that allow us to test our algebraic manipulations using Wick's theorem and operators for second quantization.

```
from sympy import *
```

Hamiltonian=f*pr + Rational(1)/Rational(4)*v*pqsr
print "Hamiltonian defined as:", latex(Hamiltonian)

```
from sympy.physics.secondquant import *
i, j = symbols('i,j', below_fermi=True)
a, b = symbols('a,b', above_fermi=True)
p, q = symbols('p,q')
print simplify(wicks(Fd(i)*F(a)*Fd(p)*F(q)*Fd(b)*F(j), keep_only_fully_contracted=True))
```

The code defines single-particle states above and below the Fermi level, in addition to the general symbols pq which can refer to any type of state below or above the Fermi level. Wick's theorem is implemented between the creation and annihilation operators Fd and F, respectively. Using the simplify option, one can lump together several Kronecker- δ functions.

We can expand the above Python code by defining one-body and two-body operators using the following SymPy code

```
# This code sets up a two-body Hamiltonian for fermions
from sympy import symbols, latex, WildFunction, collect, Rational
from sympy.physics.secondquant import F, Fd, wicks, AntiSymmetricTensor, substitute_dumm:

# setup hamiltonian
p,q,r,s = symbols('p q r s',dummy=True)
f = AntiSymmetricTensor('f',(p,),(q,))
pr = NO((Fd(p)*F(q)))
v = AntiSymmetricTensor('v',(p,q),(r,s))
pqsr = NO(Fd(p)*Fd(q)*F(s)*F(r))
```

Here we have used the AntiSymmetricTensor functionality, together with normal-ordering defined by the NO function. Using the latex option, this program produces the following output

$$f_q^p \left\{ a_p^{\dagger} a_q \right\} - \frac{1}{4} v_{sr}^{qp} \left\{ a_p^{\dagger} a_q^{\dagger} a_r a_s \right\}$$

We can continue along these lines and define a normal-ordered Hamiltonian with respect to a given reference state. In our first step we just define the Hamiltonian

```
from sympy import symbols, latex, WildFunction, collect, Rational, simplify
from sympy.physics.secondquant import F, Fd, wicks, AntiSymmetricTensor, substitute_dumm:
# setup hamiltonian
p,q,r,s = symbols('p q r s',dummy=True)
f = AntiSymmetricTensor('f',(p,),(q,))
pr = Fd(p)*F(q)
v = AntiSymmetricTensor('v',(p,q),(r,s))
pqsr = Fd(p)*Fd(q)*F(s)*F(r)
#define the Hamiltonian
Hamiltonian = f*pr + Rational(1)/Rational(4)*v*pqsr
#define indices for states above and below the Fermi level
index_rule = {
     'below': 'kl',
     'above': 'cd'
     'general': 'pqrs'
Hnormal = substitute_dummies(Hamiltonian,new_indices=True, pretty_indices=index_rule)
print "Hamiltonian defined as:", latex(Hnormal)
```

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which results in

$$f_p^q a_q^{\dagger} a_p + \frac{1}{4} v_{qp}^{sr} a_s^{\dagger} a_r^{\dagger} a_p a_q$$

In our next step we define the reference energy E_0 and redefine the Hamiltonian by subtracting the reference energy and collecting the coefficients for all normal-ordered products (given by the NO function).

```
from sympy import symbols, latex, WildFunction, collect, Rational, simplify
 from sympy.physics.secondquant import F, Fd, wicks, AntiSymmetricTensor, substitute_dummies, NO, evaluate_deltas
 # setup hamiltonian
 p,q,r,s = symbols('p q r s',dummy=True)
 f = AntiSymmetricTensor('f',(p,),(q,))
 pr = Fd(p)*F(q)
 v = AntiSymmetricTensor('v',(p,q),(r,s))
 pqsr = Fd(p)*Fd(q)*F(s)*F(r)
 #define the Hamiltonian
 Hamiltonian=f*pr + Rational(1)/Rational(4)*v*pqsr
 #define indices for states above and below the Fermi level
 index_rule = {
      'below': 'kl',
      'above': 'cd',
      'general': 'pqrs'
 Hnormal = substitute_dummies(Hamiltonian,new_indices=True, pretty_indices=index_rule)
 E0 = wicks(Hnormal, keep_only_fully_contracted=True)
 Hnormal = Hnormal-E0
 w = WildFunction('w')
 Hnormal = collect(Hnormal, NO(w))
 Hnormal = evaluate_deltas(Hnormal)
 print latex(Hnormal)
which gives us
```

 $-f_{i}^{i}+f_{p}^{q}a_{q}^{\dagger}a_{p}-\frac{1}{4}v_{ii}^{ii}-\frac{1}{4}v_{ii}^{ii}+\frac{1}{4}v_{qp}^{sr}a_{r}^{\dagger}a_{s}^{\dagger}a_{q}a_{p},$

again as expected, with the reference energy to be subtracted.