### Chapter 6: Recursion



### Learning Outcomes

At the end of this lecture, you should be able to

- Describe the concept of recursion
- Solve a problem using recursion
- Trace a recursive method call
- Analyze the efficiency of a recursive solution as compared to other alternative solutions

### Sum(n): Iterative Solution

 To sum from 1 until n, the following is one of the solution:

```
private static int sumIteration(int n){
  int total = 0;

for(int i=1; i<=n; i++)
    total += i;

return total;
}</pre>
```

### Sum(n): Recursive Solution

 To sum from 1 until n, the following solution can also be used.

```
private static int sumRecursion(int n){
  if(n == 0)
    return 0;
  else
    return n + sumRecursion(n-1);
}
```

### What Is Recursion?

- It is a problem-solving process that breaks a problem into identical but smaller problems
- Eventually you reach a smallest problem where there is a direct solution
- Using that solution enables you to solve the previous problems
- Eventually the original problem is solved

### What Is Recursion? (cont'd)

- Recursion is an alternative to iteration
- It is a very powerful way to solve certain problems for which the solution would otherwise be very complicated

### Terminology

**Recursion** The process of solving a problem by

reducing it to smaller versions of itself.

**Recursive** A definition in which something is definition defined in terms of a smaller version of itself.

case

**Stopping** The case for which the solution is obtained directly.

case

**Recursive** The case in a recursive algorithm in which the problem is specified as a smaller version of the original problem

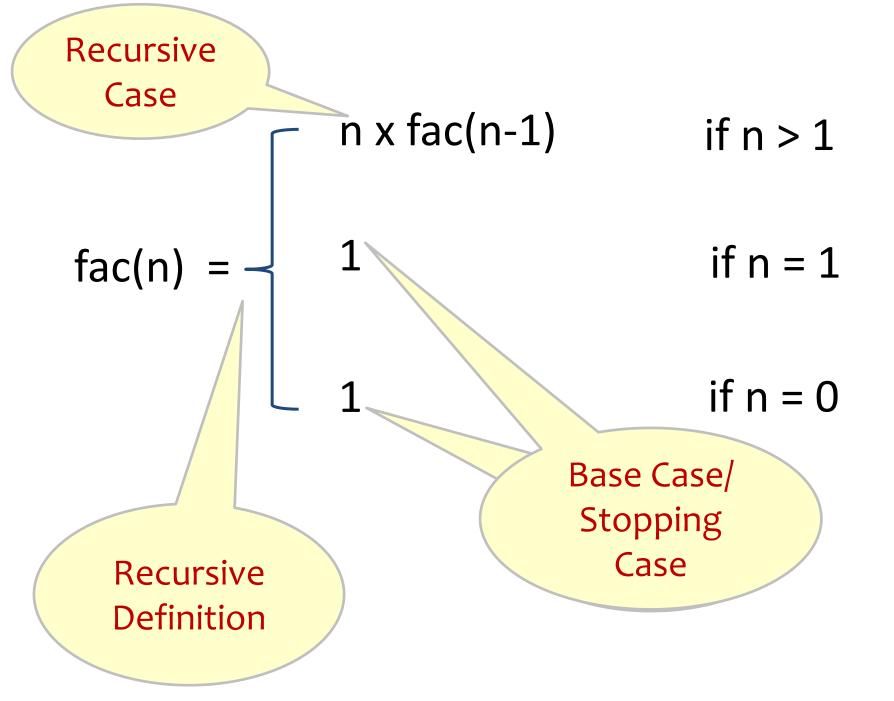
### Terminology (cont'd)

# Recursive algorithm

An algorithm that finds the solution to a given problem by reducing the problem to smaller versions of itself

# Recursive method

A method that calls itself. The body of the recursive method contains a statement that causes the same method to execute before completing the current call. Recursive algorithms are implemented using recursive methods.



### Example: Factorial

- factorial(4) = 4!
- Iterative solution:

$$4! = 4 \times 3 \times 2 \times 1 = 24$$

- i.e., in general:
  - -0! = 1
  - $-n! = n \times (n-1) \times (n-2) \times ... \times 2 \times 1 \text{ for } n > 0$

### Factorial: Recursive Definition

Recursive solution:

```
4! = 4 \times 3! = 4! = 4 \times 6 = 24

3! = 3 \times 2! = 3! = 3 \times 2 = 6

2! = 2 \times 1! = 2! = 2 \times 1 = 2

1! = 1 \times 0! = 1! = 1 \times 1 = 1

0! = 1
```

### **Principles of Recursion**

- Every recursive definition must have one or more stopping cases.
- 2. The recursive case must eventually be reduced to a stopping case
- 3. The stopping case stops the recursion



### Facts about recursion

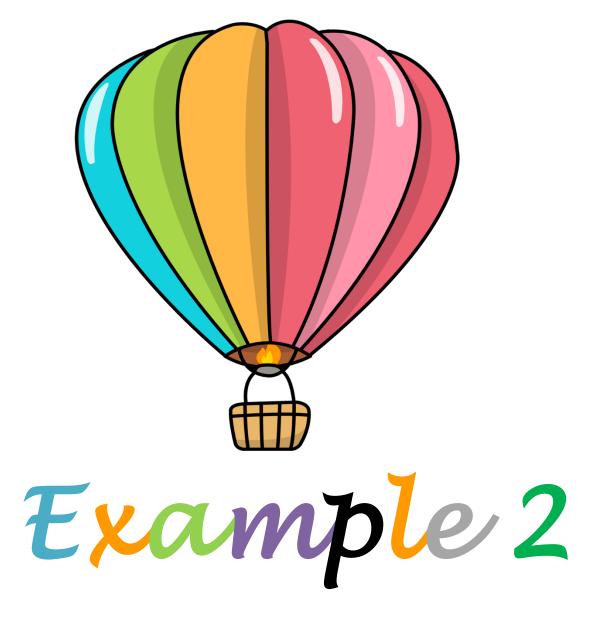
 In recursion, the result of the solution from a later method call becomes a part of the solution from an earlier method call.



### Implementation of method factorial()

Note: all sample code in this chapter is found in

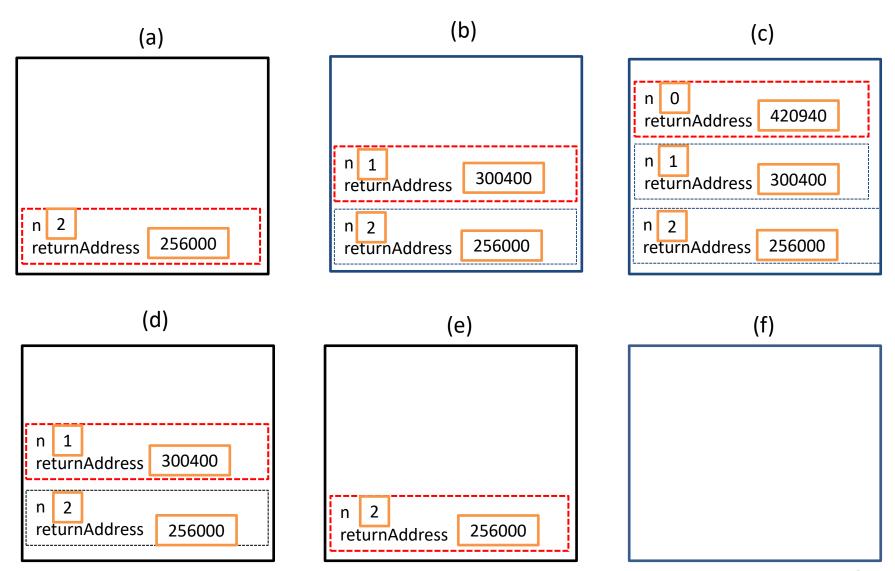
• The Chapter6\samplecode\ folder



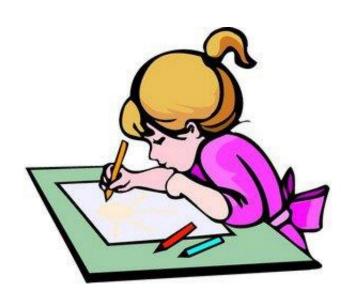
### Recall: Program Stack

- The program stack is a LIFO structure in the computer memory.
- For each method call, a stack frame (activation record) is allocated and pushed on the program stack.
  - The stack frame stores the argument values, local variables, and return address (to the calling environment).
- The active frame (i.e. for the current method call) is the stack frame at the very top of the stack.

### Recursive Calls in Program Stack



## Drawing a Box Trace

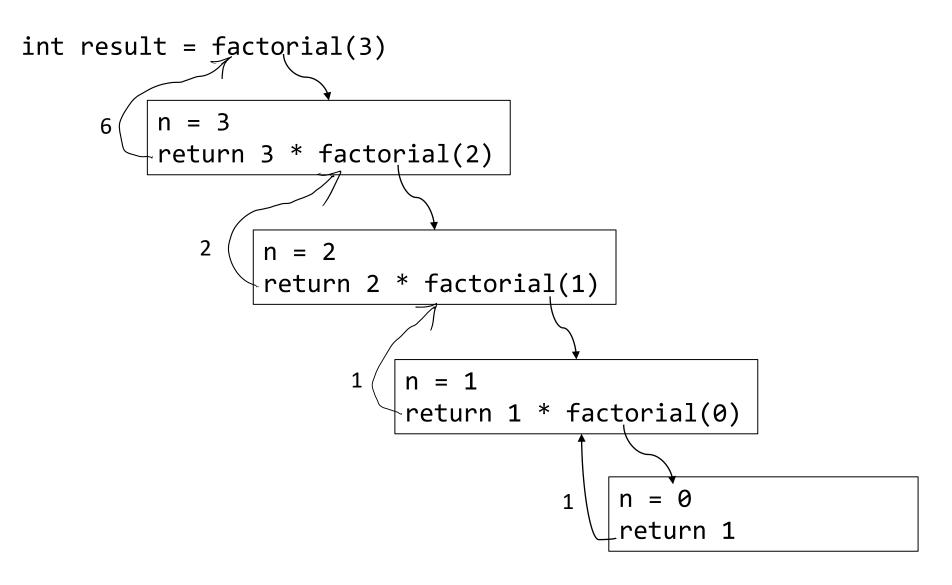


### Recursion Trace (1)

- We can illustrate the execution of a recursive method by doing a recursion trace or box trace.
- Each box corresponds to a recursive call. In each box, indicate:
  - The values of <u>arguments</u> for the current method invocation
  - The **statements** that were executed
- Each new recursive method call is indicated by a down arrow (↓) to the newly called method.
- When the method returns, an upward arrow (1) is drawn and the return value is indicated.

# Recall: The Factorial Recursive Method

### Factorial: Box Trace



Factorial: Box Trace



### Recursion Trace (2)

- Logically, you can think of a recursive method as having unlimited copies of itself.
- Every recursive call has its own code and its own set of parameters and local variables.
- After completing a particular recursive call, the control goes back to the calling environment, which is the *previous call*.
  - The current (recursive) call must execute completely before the control goes back to the previous call.
  - The execution in the previous call begins from the point immediately following the recursive call.

### Exercise 6.1

Write a recursive method to compute the following series:

```
sumSeries(n)= 1 + 1/2 + 1/3 + \cdots + 1/n,
```

where n is a positive integer value.

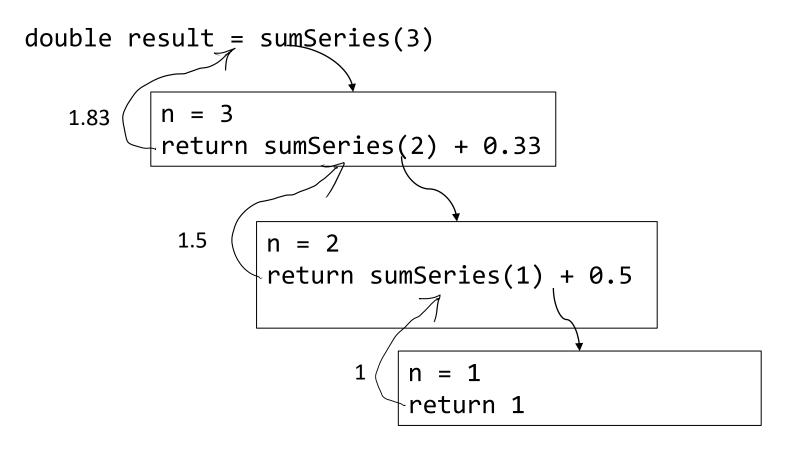
For example: if n is 2, the method performs the computation 1 + 1/2 and it returns 1.5.

```
public double sumSeries(int n) {
   if(n <= 0)
      return 0.0;
   else if (n == 1)
      return 1;
   else
      return sumSeries(n - 1) + 1.0 / n;
}</pre>
```

### Exercise 6.2

Perform a **box trace** for the method call sumSeries(3).

Remember: for each method call, indicate the argument value, statement(s) executed, and the return value for each box.



### When Designing Recursive Solution

- Method definition must provide parameter
  - Leads to different cases
  - Typically includes an if or a switch statement
- One or more of these cases should provide a non recursive solution: the stopping (base) case
- One or more cases includes recursive invocation: takes a step towards the stopping case

### Implementing Recursive Methods

#### General structures:

```
(a) // Stopping case and recursive case have different actions
If the stopping case is reached
Solve the problem directly
Else
Recursively solve smaller version of the problem
```

- (b) // Stopping case and recursive case have common action Perform common step If recursive case Recursively solve smaller version of the problem
- (c) // Stopping case has no actions to be performed

  If recursive case

  Recursively solve smaller version

### countDown - Implementation 1

```
public void countDown(int n) {
   if (n == 1)
      System.out.println(n);
   else {
      System.out.println(n);
      countDown(n - 1);
   }
}
```

- Observations:
  - The stopping case is considered first.
  - Redundant println statement occurs in both cases.
- Sample code: CountDown.java

### countDown - Implementation 2

```
public void countDown(int n) {
   if (n >= 1) {
      System.out.println(n);
      countDown(n - 1);
   }
}
```

- Sample code: CountDown2.java
- Observations:
  - Redundant println statement has been removed.
  - The recursive case is checked.
    - When n is 1, this method will invoke the recursive call countDown(0).
       This is the stopping case and no action is performed.

### countDown - Implementation 3

```
public void countDown(int n) {
    System.out.println(n);
    if(n > 1)
      countDown(n - 1);
}
```

- Sample code: CountDown3.java
- Observations: when the method is invoked,
  - $\circ$  The current **n** value is first displayed.
  - The recursive case is checked
    - Note: this version uses n > 1 instead of n > 1
    - → Will have 1 less recursive call compared to the previous implementation (CountDown2.java):

### Box trace for method countDown(3)

```
public static void countDown(int n) {
  System.out.println(n);
  if(n > 1)
    countDown(n - 1);
countDown(3)
n = 3
display 3
countDown(2)
                     n = 2
                     display 2
                                         n = 1
                     countDown(1)
                                         display 1
```

### Steps for designing recursive method

- Step 1 List all the stopping (base) cases
- Step 2 List the recursive cases
  - Ensure that each recursive case takes a step towards one of the stopping case(s)
- Step 3 Arrange the cases in the correct sequence

### Exercise 6.3



The mathematical function C(n, k) computes the number of possible combinations for selecting k objects out of n and is defined as:

$$C(n,k) = \begin{cases} 1 & \text{if } k = 0 \\ 1 & \text{if } k = n \\ 0 & \text{if } k > n \\ C(n-1, k-1) + C(n-1, k) & \text{if } 0 < k < n \end{cases}$$

Write a <u>recursive method</u> which computes C(n, k).

```
public int c(int n, int k) {
   if (k == 0 | | k == n)
      return 1;
   else if (k > n)
      return 0;
   else
      return c(n - 1, k - 1) + c(n - 1, k);
```

### Recursively Processing an Array

- When processing array recursively, divide it into two pieces
  - a) First element one piece, rest of array another
  - b) Last element one piece, rest of array another
  - c) Divide array into two halves
- A recursive method part of an implementation of an ADT is often private
  - Its necessary parameters make it unsuitable as an ADT operation

# Implementing a <u>private</u> Recursive Method

```
public int myPublicRecursiveMethod(int n){
        myPrivateRecursiveMethod(...);
private int myPrivateRecursiveMethod(int param1, int param2, int param3){
        if(...){
            return 1;
        }else{
            return 1 + myPrivateRecursiveMethod(...);
```

#### Note:

If a recursive method requires **more than 1 parameter**, then implement the recursive method as a **private method** and add another **public method** which accepts only 1 parameter.

# Recursively Processing an Array

• Sample code: RecursiveDisplayArray.java

Note recursive **private** methods:

#### (a) displayArray1()

displays the first array element and then recursively displays the rest.

#### (b) displayArray2()

• displays the last array element after recursively displaying the all the preceding elements.

#### (c) displayArray3()

• Divides the array into 2 halves and then recursively displays the left subarray and the right subarray elements

# displayArray1()

```
// Recursively displays array by starting with array[first]
private static void displayArray1(Object[] array, int first, int last) {
   System.out.print(array[first] + " ");
   if (first < last) {
      displayArray1(array, first + 1, last);
   }
}</pre>
```

```
public static void displayArray(Object[] array) {

System.out.println("\nInvoking displayArray1()...");

displayArray1(array, 0, array.length - 1);

System.out.println("\n\nInvoking displayArray2()...");

displayArray2(array, 0, array.length - 1);

System.out.println("\n\nInvoking displayArray3()...");

displayArray3(array, 0, array.length - 1);

}
```

```
// Recursively displays array by starting with array[first]
private static void displayArray1(Object[] array, int first, int last) {
   System.out.print(array[first] + " ");
   if (first < last) {
      displayArray1(array, first + 1, last);
   }
}</pre>
```

# displayArray2()

```
// Recursively displays array by "starting" with array[last]
private static void displayArray2(Object[] array, int first, int last) {
  if (first <= last) {
    displayArray2(array, first, last - 1);
    System.out.print(array[last] + " ");
  }
}</pre>
```

# displayArray3()

```
// Recursively displays array by dividing the array in half
private static void displayArray3(Object[] array, int first, int last) {
  if (first == last) {
    System.out.print(array[first] + " ");
  } else {
    int mid = (first + last) / 2;
    displayArray3(array, first, mid);
    displayArray3(array, mid + 1, last);
  }
}
```

# Recursively Processing an Array

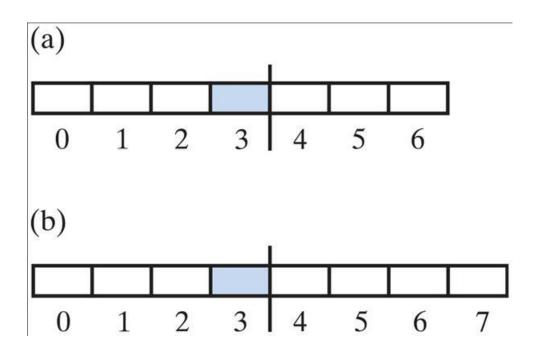


Fig. 6.4: Two arrays with middle elements within left halves

### Recursively Processing a Linked Chain

- Sample code: SimpleList.java
- Consider the private toString method
  - Processes a chain of linked nodes recursively
    - Use a reference to the chain's first node as the method's parameter
    - Then process the first node
    - Followed by the rest of the chain (note recursive call)

# SimpleList Class's recursive toString() method

```
@Override
public String toString() {
 return toString(firstNode);
}
private String toString(Node currentNode) {
 if (currentNode == null)
  return "";
 else
  return currentNode.data + "\n" + toString(currentNode.next);
```

### Time Efficiency of Recursive Methods

For the countDown method

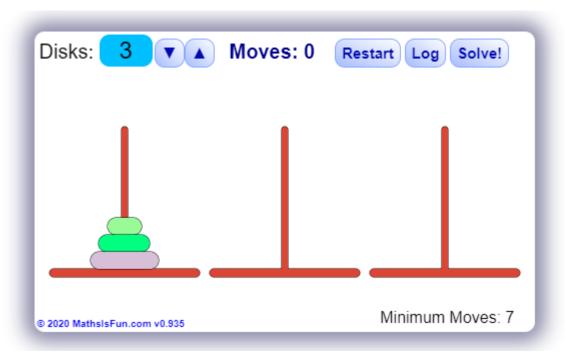
```
public static void countDown(int n) {
   System.out.println(n);
   if(n > 1)
      countDown(n - 1);
}
```

The efficiency is O(n)

### Recursion for Towers Of Hanoi

## **Towers of Hanoi Game/Tool**

- Try it yourself with this tool:
  - https://www.mathsisfun.com/games/towerofhan oi.html



# A Simple Solution to a Difficult Problem: Towers of Hanoi

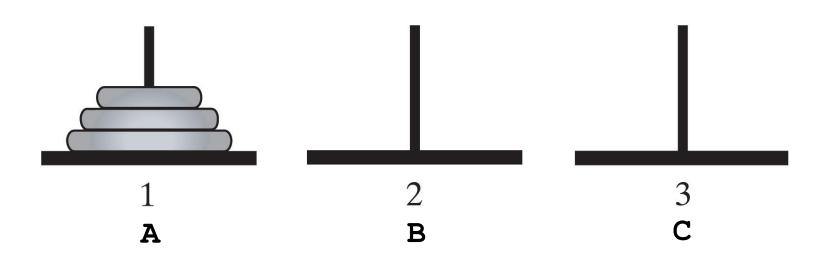


Fig. 6.5: The initial configuration of the **Towers of Hanoi** for three disks

# A Simple Solution to a Difficult Problem: Towers of Hanoi

#### Rules for the Towers of Hanoi game

- Move one disk at a time. Each disk you move must be a topmost disk.
- 2. No disk may rest on top of a disk smaller than itself.
- 3. You can store disks on the second pole temporarily, as long as you observe the previous two rules.

# A Simple Solution to a Difficult Problem: **Towers of Hanoi**

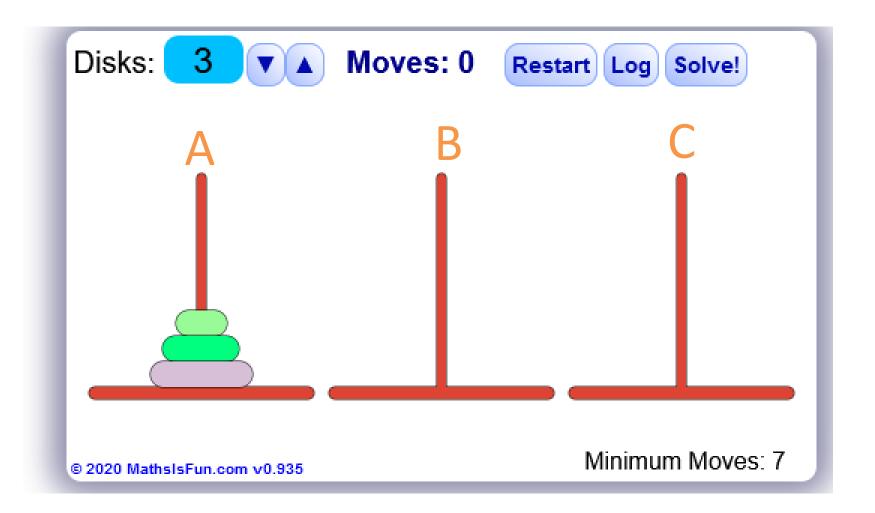
- Problem 1: Move a disk from peg A to peg C
  - Solution: Directly move 1 disk from peg A to peg C.
- Problem 2: Move 2 disks from pegs A to C
  - Solution: Move the smaller disk from peg A to peg B (temporarily), then move larger disk to peg C and finally move smaller disk from peg B to peg C
- Problem 3: Move 3 disks from pegs A to C
  - Try to solve problem 3.

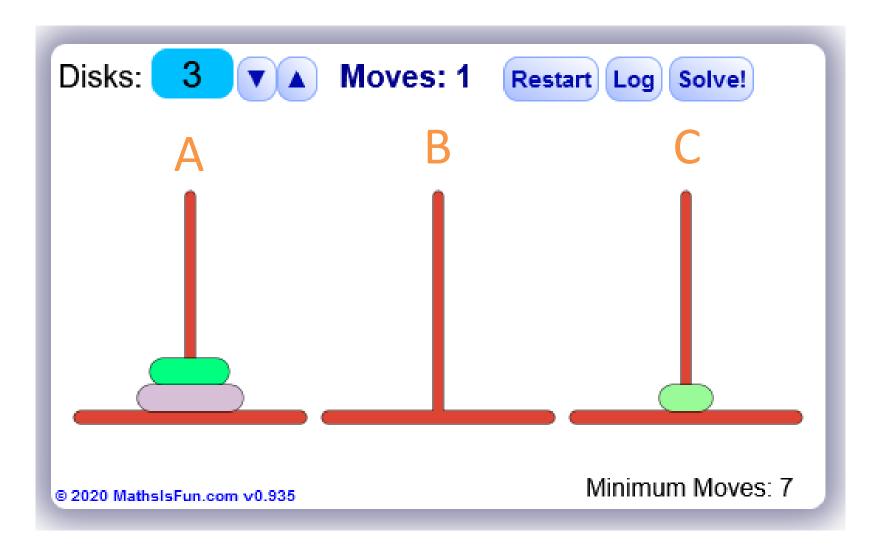
### Tower of Hanoi in Action

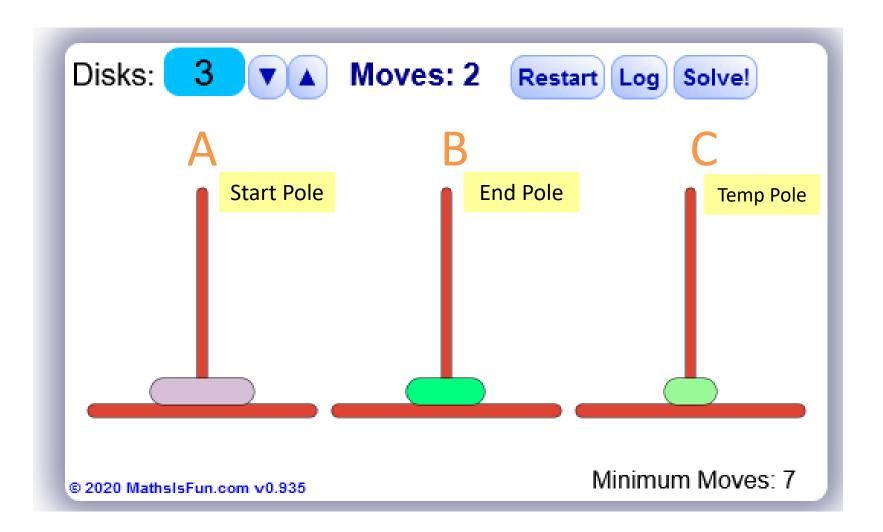


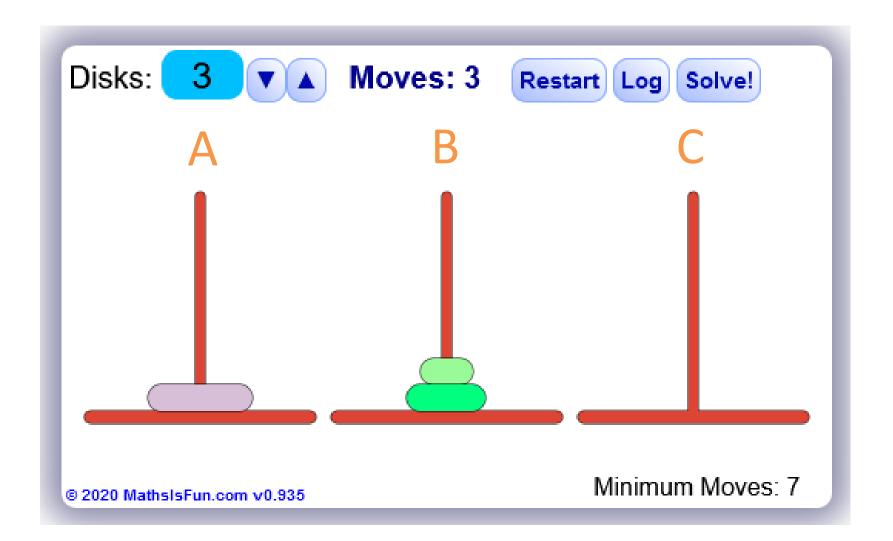
#### Source:

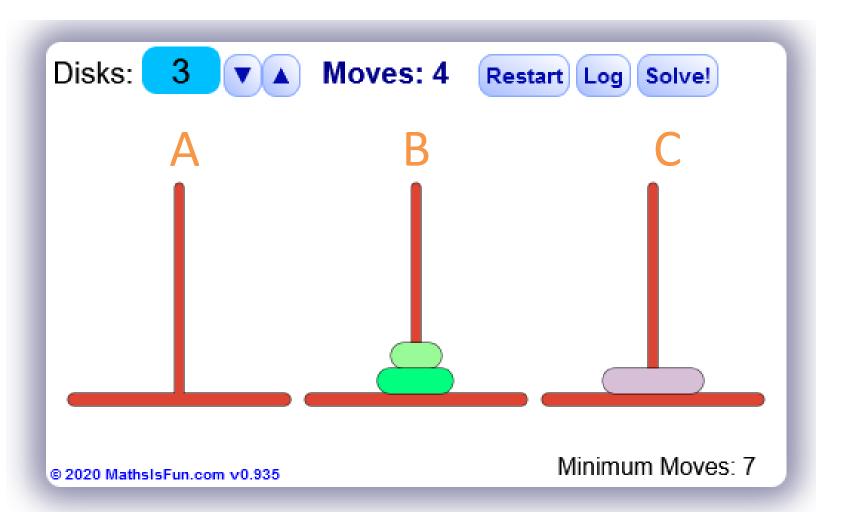
https://www.hackerearth.com/blog/developers/tower-hanoi-recursion-game-algorithm-explained/#:~:text=Tower%20of%20Hanoi%20consists%20of,top%20of%20the%20smaller%20disk.

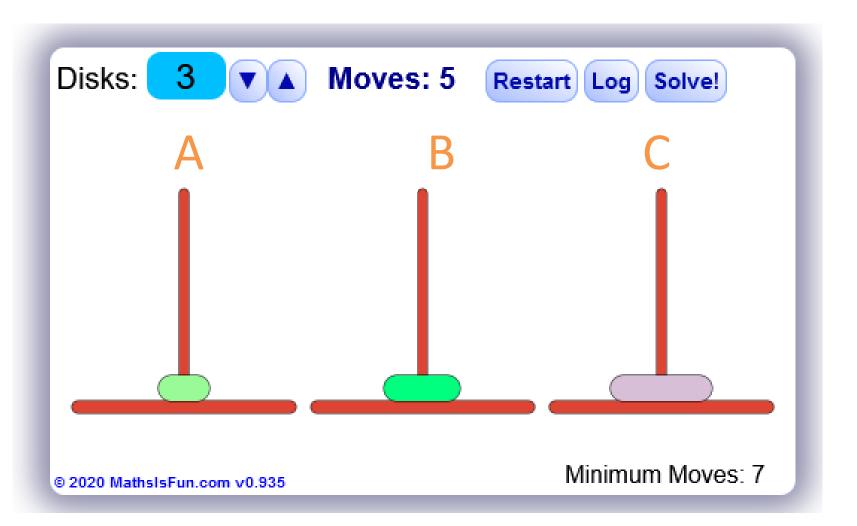


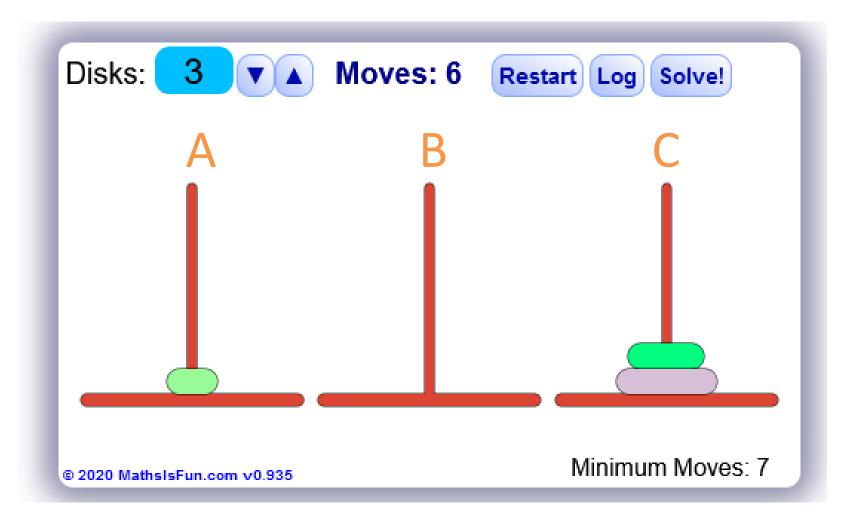


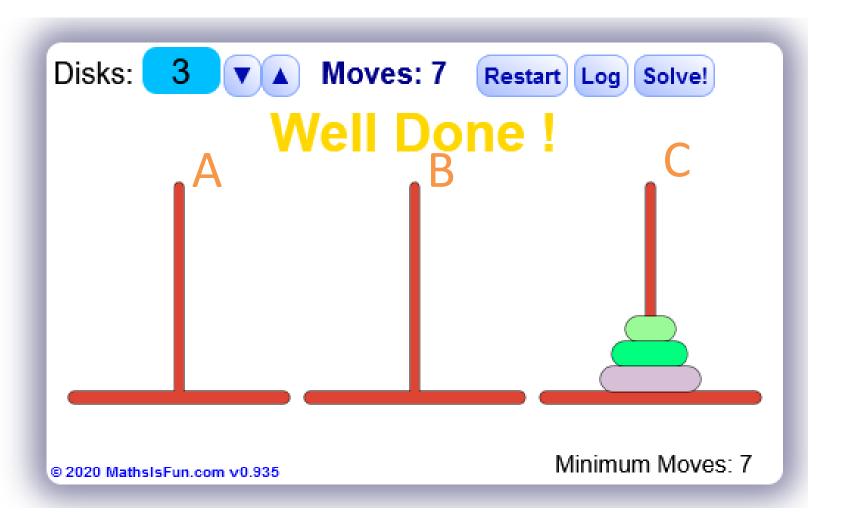








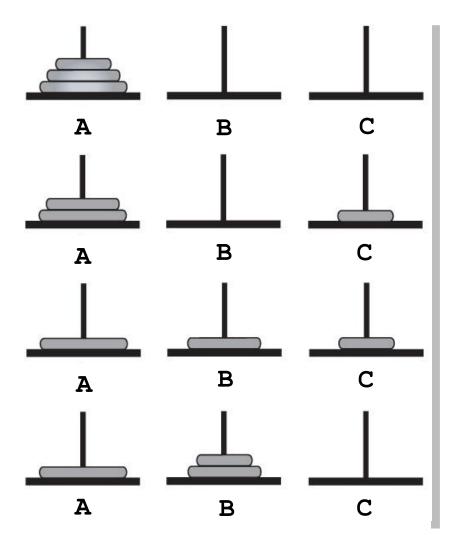




# A Simple Solution to a Difficult Problem: Towers of Hanoi

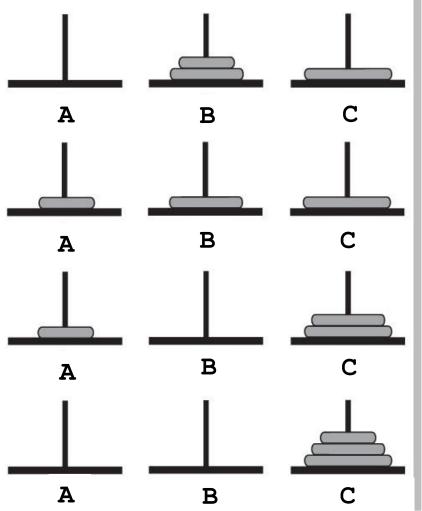
Fig. 6.6: The sequence of moves for solving the Towers of Hanoi problem with three disks.

(Continued  $\rightarrow$ )



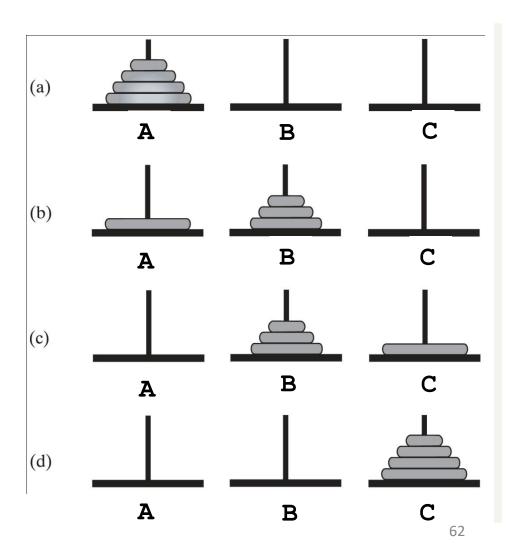
# A Simple Solution to a Difficult Problem: **Towers of Hanoi**

Fig. 6.6: (cont'd) The sequence of moves for solving the Towers of Hanoi problem with 3 disks



# A Simple Solution to a Difficult Problem: Towers of Hanoi

Fig. 6.7: The smaller problems in a recursive solution for four disks



# A Simple Solution to a Difficult Problem: Towers of Hanoi

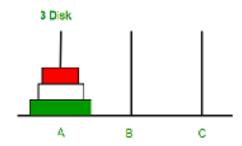
#### Algorithm:

Listing 6.8: The algorithm to solve **Towers of Hanoi** 

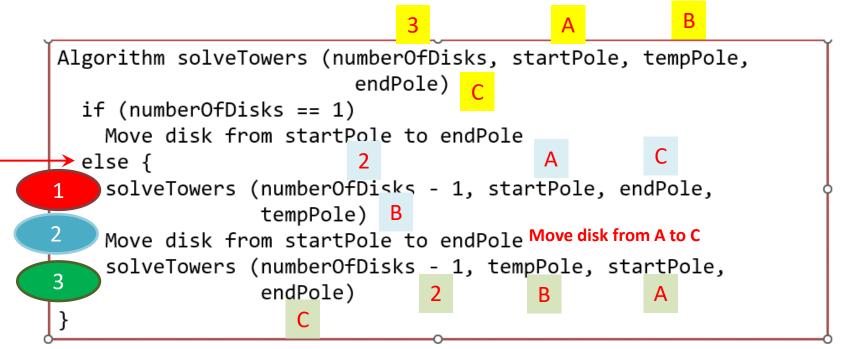
# Tower of Hanoi Algorithm Explained with a 3-Disk Example

The idea is to use the helper node to reach the destination using recursion. Below is the pattern for this problem:

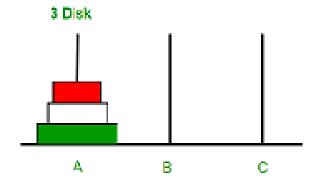
- Shift 'N-1' disks from 'A' to 'B', using C.
- Shift last disk from 'A' to 'C'.
- Shift 'N-1' disks from 'B' to 'C', using A.



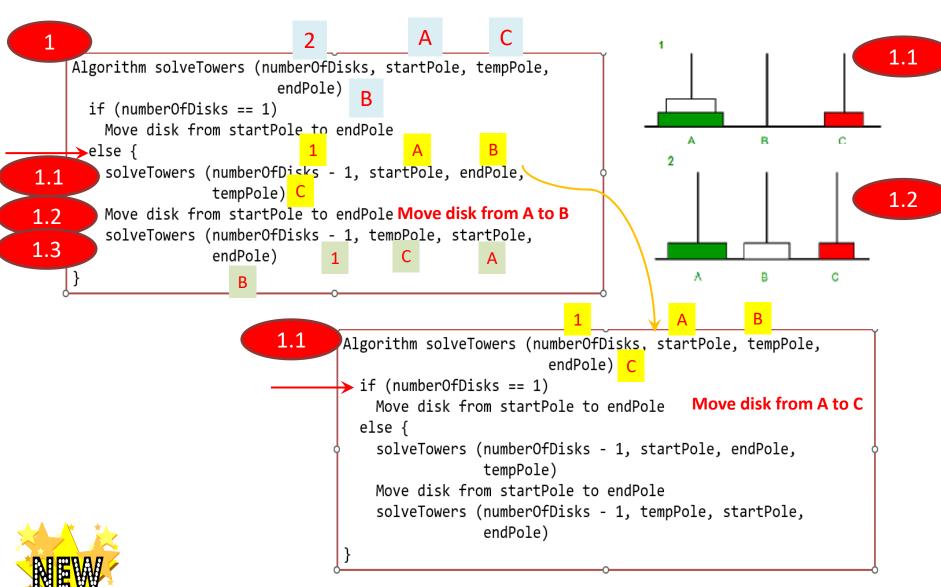
Source: <a href="https://www.geeksforgeeks.org/c-program-for-tower-of-hanoi/">https://www.geeksforgeeks.org/c-program-for-tower-of-hanoi/</a>



#### Original

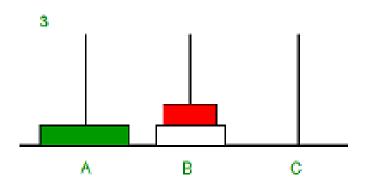








```
C
1.3
     Algorithm solveTowers (numberOfDisks, startPole, tempPole,
                              endPole)
     if (numberOfDisks == 1)
         Move disk from startPole to endPole Move disk from C to B
       else {
         solveTowers (numberOfDisks - 1, startPole, endPole,
                      tempPole)
         Move disk from startPole to endPole
         solveTowers (numberOfDisks - 1, tempPole, startPole,
                      endPole)
```

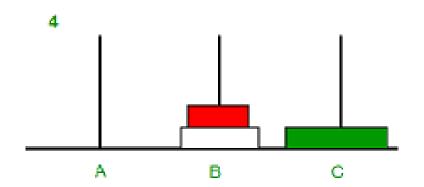






# Shift last disk from 'A' to 'C'.

Move disk from A to C







```
Algorithm solveTowers (numberOfDisks, startPole, tempPole, endPole)

if (numberOfDisks == 1)

Move disk from startPole to endPole
else {

3.1 solveTowers (numberOfDisks - 1, startPole, endPole, tempPole) A

Move disk from startPole to endPole

Move disk from B to C

3.2 Move disk from startPole to endPole
solveTowers (numberOfDisks - 1, tempPole, startPole, endPole)

Provided House A

A

A

A

A

B

A

A

A

B

A

A

B

C
```





```
Algorithm solveTowers (numberOfDisks, startPole, tempPole, endPole)

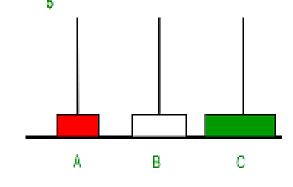
if (numberOfDisks == 1)

Move disk from startPole to endPole Move disk from B to A else {

solveTowers (numberOfDisks - 1, startPole, endPole, tempPole)

Move disk from startPole to endPole solveTowers (numberOfDisks - 1, tempPole, startPole, endPole)

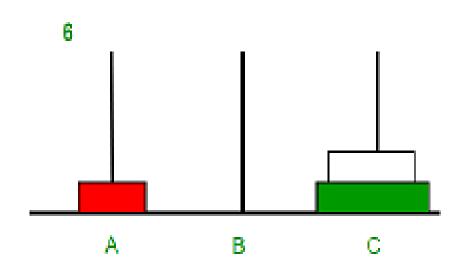
}
```







Move disk from B to C







```
Algorithm solveTowers (numberOfDisks, startPole, tempPole, endPole)

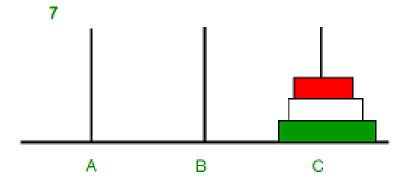
if (numberOfDisks == 1)

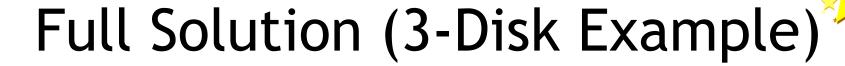
Move disk from startPole to endPole
else {
    solveTowers (numberOfDisks - 1, startPole, endPole, tempPole)

Move disk from startPole to endPole
    solveTowers (numberOfDisks - 1, tempPole, startPole, endPole)
}

Move disk from startPole to endPole
solveTowers (numberOfDisks - 1, tempPole, startPole, endPole)
}
```







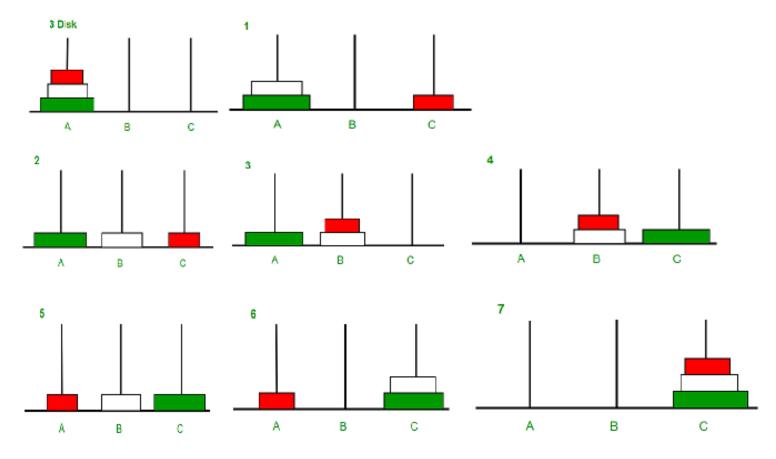


Image illustration for 3 disks

Image Source: <a href="https://www.geeksforgeeks.org/c-program-for-tower-of-hanoi/">https://www.geeksforgeeks.org/c-program-for-tower-of-hanoi/</a>

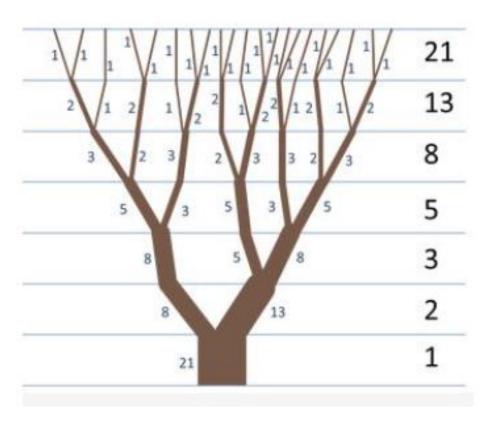
# Advantages of Recursion

- For some problems, a recursive implementation can be significantly simpler and easier to understand than an iterative implementation.
- A recursive approach to algorithm allows us to take advantage of the repetitive structure present in many problems (e.g., folders have subfolders, etc). By making our algorithm description exploit this repetitive structure in a recursive way, we can often avoid complex case analyses and nested loops.

## Fibonacci in Nature

https://stemettes.org/zine/specials/fibonacci-

in-nature/



## A **Poor Solution** to a Simple Problem

- Fibonacci numbers
  - First two numbers of sequence are 1 and 1
  - Successive numbers are the sum of the previous two
  - 1, 1, 2, 3, 5, 8, 13, ...
- This has a natural looking recursive solution
  - Turns out to be a poor (inefficient) solution

## A Poor Solution to a Simple Problem

The recursive algorithm

```
Algorithm Fibonacci(n)
  if (n <= 1)
    return 1
  else
    return Fibonacci(n-1) + Fibonacci(n-2)</pre>
```

#### Analysis of the recursive Fibonacci solution (1)

Let  $f_n$  denote the number of calls performed in the execution of Fibonacci(n). Then, we have the following values for the  $f_n$ 's:

$$f_0 = 1$$
  
 $f_1 = 1$   
 $f_2 = f_1 + f_0 + 1 = 1 + 1 + 1 = 3$   
 $f_3 = f_2 + f_1 + 1 = 3 + 1 + 1 = 5$   
 $f_4 = f_3 + f_2 + 1 = 5 + 3 + 1 = 9$   
 $f_5 = f_4 + f_3 + 1 = 9 + 5 + 1 = 15$   
 $f_6 = f_5 + f_4 + 1 = 15 + 9 + 1 = 25$   
 $f_7 = f_6 + f_5 + 1 = 25 + 15 + 1 = 41$ 

## Analysis of the recursive Fibonacci solution (2)

- If we follow the pattern forward, we see that the number of calls more than doubles for each two consecutive indices. i.e.,  $f_4$  is more than twice  $f_2$ ,  $f_5$  is more than twice  $f_3$ ,  $f_6$  is more than twice  $f_4$ , and so on.
- This means  $f_n > 2^{n/2}$ , which means that Fibonacci(n) makes a number of calls that are exponential in n.
- The algorithm is  $O(2^n)$ , which is very inefficient.

## A Poor Solution to a Simple Problem

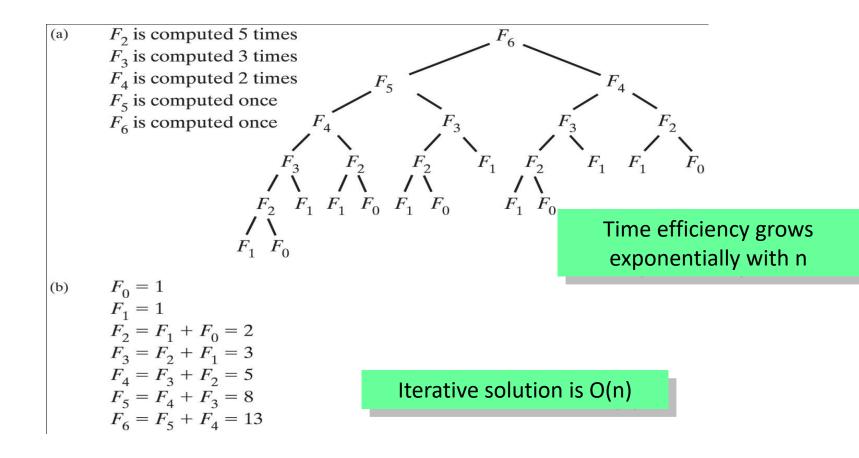


Fig. 6.9: The computation of the Fibonacci number  $F_6$  (a) recursively; (b) iteratively

### Tail Recursion

 Occurs when the last action performed by a recursive method is a recursive call

- This performs a method is usually straightforward repetition that can be done more efficiently with iteration
- Conversion to iterative

## Tail Recursion (cont'd)

- The tail recursion simply repeats the method's logic with changes to the statements:
  - → Perform the same repetition by using iteration.
    - Replace the if statement with a while statement.
    - Replace the recursive call with a statement to update the parameter
    - Sample code: CountDown4. java

```
public static void countDown(int n) {
    while (n >= 1) {
        System.out.println(n);
        n--;
     }
} // end countDown
```

#### **Recursive solution**

```
public static void countDown(int n) {
   if (n >= 1) {
      System.out.println(n);
      countDown(n - 1); ←
   }
} // end countDown
```

#### **Iterative solution**

```
public static void countDown(int n) {
    while (n >= 1) {
        System.out.println(n);
        n--;
    }
} // end countDown
```

## Mutual Recursion

- Another name for indirect recursion
- Happens when Method A calls Method B
  - Which calls Method B
  - Which calls Method A
  - etc.
- Difficult to understand and trace
  - Happens naturally in certain situations

# Mutual Recursion (cont'd)

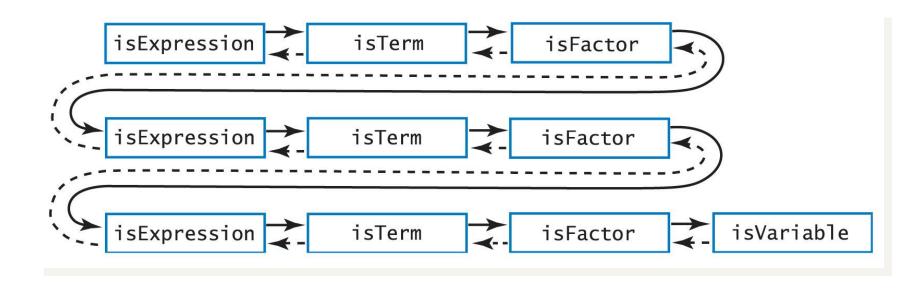


Fig. 6.10: An example of mutual recursion.

#### **Iteration or Recursion?**

- There are usually 2 ways to solve a problem iteration and recursion.
- There's no simple answer to which way is better.
- Factors to consider:
  - The nature of the problem
  - Efficiency

## Discussion on Recursive Solutions (1)

- When a method is called, memory space for its formal parameters and local variables is allocated.
   When the method terminates, the memory space is then deallocated.
- This means that every recursive call requires the system to allocate memory space for its formal parameters and local variables, and then deallocate the memory space when the method exits.
- Thus, there is overhead associated with executing a (recursive) method both in terms of memory space and computer time. Therefore, a recursive method executes more slowly than its iterative counterpart.

## Discussion on Recursive Solutions (2)

- Today's computers, however, are fast and have inexpensive memory. Therefore, the execution of a recursive method is not noticeable.
- Keeping the power of today's computer in mind, the choice between 2 alternatives recursion or iteration — depends on the nature of the problem.
- Of course, for problems such as mission control systems, efficiency is absolutely critical and therefore, the efficiency factor would dictate the solution method.

## Discussion on Recursive Solutions (3)

- As general rule, if you think that an iterative solution is more obvious and easier to understand than a recursive solution, use the iterative solution, which would be more efficient.
- On the other hand, problems exist for which the recursive solution is more obvious or easier to construct. Keeping the power of recursion in mind, if the definition of a problem is inherently recursive, then you should consider a recursive solution.

# PYQ June 2023 Q3a

#### **Question 3**

a) Recursion is a problem-solving process that breaks a problem into identical but smaller problems. Figure 4 shows the incomplete **search** method using recursion. Write the complete **search** method.

```
private boolean search(Node currentNode, T
desiredItem) {
  boolean found;
  if (currentNode == null) {
    ...
  }
  return found;
}

public boolean contains(T anEntry) {
  return search(firstNode, anEntry);
}
```

Figure 4: Incomplete search method using recursion

(10 marks)

## **Answer**

```
private boolean search(Node currentNode, T desiredItem)
{
 boolean found;
 if(currentNode == null)
          found = false;
 else if (desiredItem.equals(currentNode.data))
          found = true;
 else
          found = search(currentNode.next, desiredItem);
 return found;
public boolean contains(T anEntry){
 return search(firstNode, anEntry);
```

# PYQ June 2023 Q3b

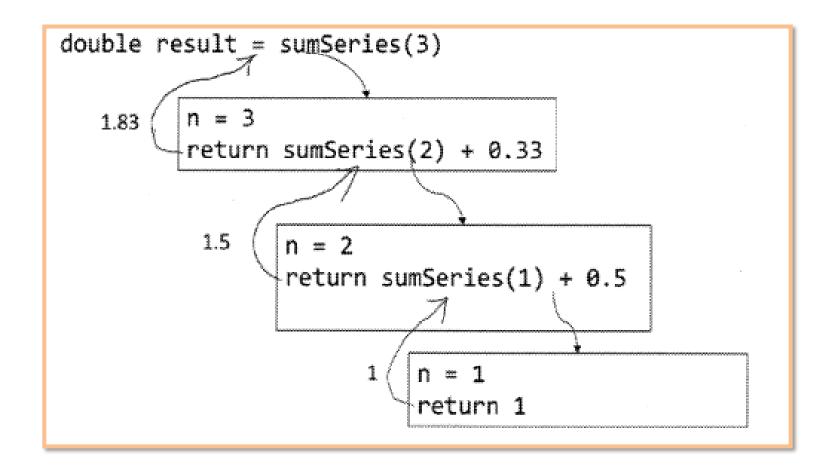
b) Perform a box trace for the **sumSeries** method in Figure 5 and clearly indicate the argument value and the statement(s) executed for each box if the n value is **3**.

```
public double sumSeries(int n) {
   if(n <= 0)
      return 0.0;
   else if (n == 1)
      return 1;
   else
      return sumSeries(n - 1) + 1.0 / n;
}</pre>
```

Figure 5: sumSeries method

(10 marks)

## Answer



## PYQ Jan 2023 Q3a

#### Question 3

a) Recursion is a problem-solving process that breaks a problem into identical but smaller problems. Recursion is an alternative to iteration. Based on Figure 3, convert the following Iterative Fibonacci function to a *Recursive method*.

```
public int fiboIterative(int n) {
  int currentTerm = 0;
  int termMinus1 = 0;
  int termMinus2 = 1;
  for (int i = 1; i <= n; i++) {
    currentTerm = termMinus1 + termMinus2;
    termMinus2 = termMinus1;
    termMinus1 = currentTerm;
  }
  return currentTerm;
}</pre>
```

Figure 3: Iterative Fibonacci function

(8 marks)

## Answer

```
public int fiboRecursive(int n) {
  if (n == 0) {
    return 0;
  } else if (n == 1) {
    return 1;
  } else {
    return fiboRecursive(n - 1) + fiboRecursive(n - 2);
  }
}
```

## PYQ Jan 2023 Q3b

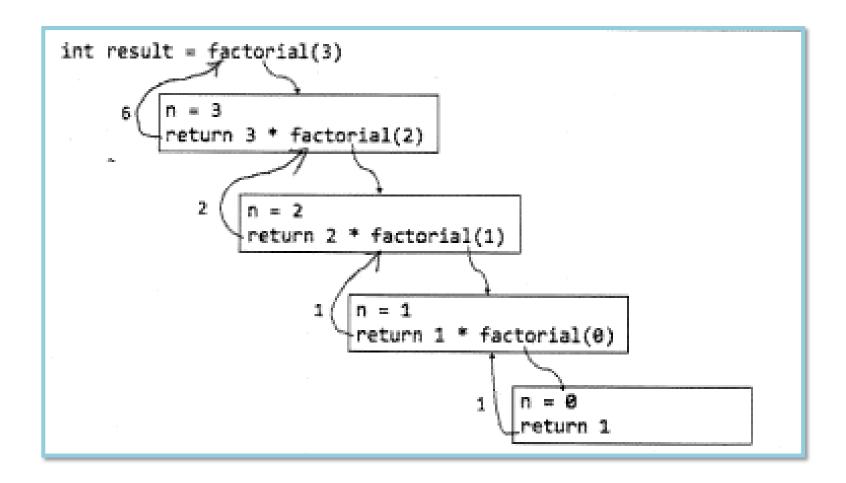
b) Perform a box trace for factorial (3!) using Figure 4 and clearly indicate the argument value and the statement(s) executed for each box.

```
public static int factorial(int n) {
  if (n == 0) {
    return 1;
  } else {
    return n * factorial(n - 1);
  }
}
```

Figure 4: Factorial function

(8 marks)

## Answer



### References

- Carrano, F. M., 2019, Data Structures and Abstractions with Java, 5th edn, Pearson
- Liang, Y.D., 2018. Introduction to Java Programming and Data Structures.11th ed.United Kingdom:Pearson

## **Learning Outcomes**

#### You should now be able to

- Describe the concept of recursion
- Solve a problem using recursion
- Trace a recursive method call
- Analyze the efficiency of a recursive solution as compared to other alternative solutions