

Category Theory Problem Sets and Solutions

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1 Problem Set 1

Assignments

- Problem 1 - Unclaimed
- Problem 2 - Emilio Verdooren
- Problem 3 - Emilio Verdooren
- Problem 4 - Orin Gotchey
- Problem 5 - Unclaimed
- Problem 6 - Alan Bohnert
- Problem 7 - James
- Problem 8 - James
- Problem 9 - Unclaimed
- Problem 10 - Unclaimed
- Problem 11 - Unclaimed

1.1 Problem 4 - Orin Gotchey

1.1.1 Measurable Spaces as a Category

Definition 1.2. *σ -algebras* Let X be a set. Let Ω be any subset of $\mathcal{P}(X)$ satisfying the following conditions:

- $X \in \Omega_X$
- For each $E \in \Omega$, $X \setminus E \in \Omega_X$
- For any index $I : \mathbb{N} \rightarrow \Omega_X$, $(\cup_{n \in \mathbb{N}} I(n)) \in \Omega_X$

Ω is called a σ -algebra on X , the pair (X, Ω_X) a measurable space, the elements of Ω_X the measurable subsets of X .

It follows immediately that $\emptyset \in \Omega_X$, and that Ω_X is closed under countable intersection.

Definition 1.3. *Measurable Maps* The maps $f : X \rightarrow Y$ between measurable spaces which have the following property:

$$\forall E \subset X : (f(E) \in \Sigma \implies E \in \Omega)$$

are called measurable maps or measurable functions.

Let **Meas** be the category specified as follows:

- Objects are the measurable spaces (X, Ω)
- Morphisms are the measurable functions.

Then, given any morphism $f : X \rightarrow Y$,

$$f \circ \text{Id}_X = f$$

$$\text{Id}_Y \circ f = f$$

Associativity follows from the fact that the composition of functions on the underlying sets is associative. Given two composable morphisms, say $f : X \rightarrow Y$ and $g : Y \rightarrow Z$, consider the composition $g \circ f : X \rightarrow Z$, and let $\gamma \in \Omega_Z$. Then:

$$g^{-1}(\gamma) \in \Omega_Y$$

$$f^{-1}(g^{-1}(\gamma)) = (g \circ f)^{-1}(\gamma) \in \Omega_X$$

. Thus, we have that **Meas** is a category.

1.3.1 Enhanced Measurable Spaces

Let (X, Ω_X) be a topological space. Then $\mathcal{P}(X)$ forms a Boolean commutative ring with the operations \cap and Δ as multiplication and addition, respectively, and of which Ω_X is a subring. Define an *enhanced measurable space* as a triple (X, Ω_X, N_X) , where (X, Ω_X) form a measurable space, and N_X is a σ -ideal of Ω_X (recall: a σ -ideal is an ideal which is closed under *countable* addition). A *negligible set* in X is some subset of N_X .

The *measurable maps* $f : (X, \Omega_X, N_X) \rightarrow (Y, \Omega_Y, N_Y)$ are maps of sets: $f : X_f \rightarrow Y$, where $X_f \subset X$, such that f obeys the following conditions (which are verified for the identity maps in the subpoints where $X = Y$):

1. The set $X \setminus X_f$ is negligible
 - $X = X_{\text{Id}_X}$ and $X \setminus X_{\text{Id}_X} = \emptyset \in N_X$, by definition of ideal.
2. For any $m_y \in \Omega_Y$, there exists a set m_x such that $f^{-1}(m_y) \Delta m_x$ is negligible
 - Given m_x , $\text{Id}_X^{-1}(m_x) \Delta m_X = m_x \Delta m_x = \emptyset \in N_X$
3. For any $n_y \in N_Y$, the set $f^{-1}(n_y)$ is negligible.
 - $\text{Id}_X(n_x) = n_x$

We cannot define composition of morphisms strictly as composition of underlying maps, because there is no guarantee, e.g., for two maps between enhanced measurable spaces $f : X \rightarrow Y$, $g : Y \rightarrow Z$, that $\text{Im}f \subset Y_g$. Thus, we restrict the domain of the composition to:

$$X_{g \circ f} := f^{-1}(Y_g)$$

. However, it is clear by inspection that composition of morphisms retains associativity. Then,

$$X \setminus X_{g \circ f} = X \setminus f^{-1}(Y_g) = (f^{-1}(Y \setminus (Y_g)))$$

The negligibility of the above quantity then follows from the definition of f .

Furthermore, given $m_z \in \Omega_Z$, we have that $(g \circ f)^{-1}(m_z) = f^{-1}(g^{-1}(m_z))$. Since g is measurable (*why?*) and since f is presumed to satisfy (2), $g \circ f$ satisfies (2).

(3) is clearly transitive.

Thus, enhanced measurable spaces and measurable maps form a category.

1.3.2 Equality Almost Everywhere

Two parallel morphisms $f, g : (X, \Omega_X, N_X) \rightarrow (Y, \Omega_Y, N_Y)$ are "equal almost everywhere" if the set $\{x \in X_f \cap X_g : f(x) \neq g(x)\}$ is negligible. Let "f and g are equal almost everywhere" be denoted $f \sim g$. Claim: \sim defines an equivalence relation.

- Reflexivity: A function differs from itself on the empty set (\emptyset) , which is negligible (see above)
- Symmetry: Note that the symbols f and g in the definition of equality almost everywhere are symmetric
- Transitivity: If $f \sim g$ and $g \sim h$ for parallel morphisms f, g , and h , then

$$\{x \in X_f \cap X_h : f(x) \neq h(x)\} \subset (\{x \in X_f \cap X_g : f(x) \neq g(x)\} \cup \{x \in X_g \cap X_h : g(x) \neq h(x)\})$$

, and N is closed under countable unions and taking subsets, so the left hand side of the above is negligible.

Furthermore, this equivalence relation is compatible with composition. Assume that there are morphisms $f, f' : X \rightarrow Y$ and $g, g' : Y \rightarrow Z$. such that $f \sim f'$ and $g \sim g'$. We're interested in the set

$$\begin{aligned} \{x \in X_{g \circ f} \cap X_{g' \circ f'} : (g \circ f)(x) \neq (g' \circ f')(x)\} &\subset \{x \in X_f \cap X_{f'} : f(x) \neq f'(x)\} \\ &\cup f^{-1}(\{y \in Y_g \cap Y_{g'} : g(y) \neq g'(y)\}) \end{aligned}$$

This set is the union of two negligible sets.

1.3.3 Hom-sets mod an Equivalence Relation

Suppose that for every pair of objects X, Y in a category C , we are given (e.g. by the above) an equivalence relation $R_{X,Y}$ on $C(X, Y)$ that is compatible with composition (i.e. if $f \sim_R f'$ and $g \sim_R g'$ then $(g \circ f) \sim_R (g' \circ f')$). We identify all morphisms in C between any two objects X and Y which relate through $R_{X,Y}$. Composition of equivalence classes of \sim does not depend on choice of representative: this is exactly compatibility with \circ

Verifying that the proper morphisms are unital and associative are gifted as simple exercises to the reader ;)

1.4 Problem 6 - Alan Bohnert

1.4.1 Question

Fix a category \mathbf{C} . A *bimorphism* in \mathbf{C} is a morphism f that is simultaneously a monomorphism and an epimorphism. Is any isomorphism a bimorphism? Give an example of a category \mathbf{C} and a bimorphism f in \mathbf{C} that is not an isomorphism.

1.4.2 Solution

In any category \mathbf{C} every isomorphism is a bimorphism.

Proof. Let $f : X \rightarrow Y$ be an isomorphism in \mathbf{C} . Then there exists a morphism $g : Y \rightarrow X$ in \mathbf{C} such that

$$gf = \text{Id}_X \text{ and } fg = \text{Id}_Y.$$

To show f is a monomorphism let $h, k : W \rightrightarrows X$ and $fh = fk$. It follows that $gfh = gfk$ for the g given above. Therefore $\text{Id}_X h = \text{Id}_X k$ and so $h = k$ tells us f is a monomorphism.

To show f is an epimorphism let $m, n : Y \rightrightarrows Z$ and $mf = nf$. Composing with the g we know $mfg = nfg$. Consequently $m\text{Id}_Y = n\text{Id}_Y$ and $m = n$ tells us f is an epimorphism. Therefore f is a bimorphism. \square

Let \mathbf{C} be the category **Ring** and let $f : \mathbb{Z} \hookrightarrow \mathbb{Q}$ the inclusion map. We claim f is a bimorphism but not an isomorphism.

Proof. To show f is a monomorphism let $h, k : W \rightrightarrows \mathbb{Z}$ and $f \circ h(w) = f \circ k(w) \forall w \in W$. Since f is injective

$$h(w) = f \circ h(w) = f \circ k(w) = k(w).$$

Therefore $h(w) = k(w)$ and f is a monomorphism.

To show f is an epimorphism let $m, n : \mathbb{Q} \rightrightarrows S$ such that $m \circ f(x) = n \circ f(x) \forall x \in \mathbb{Q}$. Since f is injective, we know $m(z) = n(z) \forall z \in \mathbb{Z}$. Seeking a contradiction, suppose there exists $\frac{a}{b} \in \mathbb{Q}$ such that $m(\frac{a}{b}) \neq n(\frac{a}{b})$. Given m and n are ring homomorphisms we know

$$m(a)m(b^{-1}) = m(\frac{a}{b}) \neq n(\frac{a}{b}) = n(a)n(b^{-1}).$$

Given b is an invertible integer and $m(b) = n(b)$ we can multiply on the right and retain the inequality. Thus,

$$m(a)m(b^{-1})m(b) \neq n(a)n(b^{-1})n(b)$$

and as ring homomorphisms we have

$$m(a) = m(a)m(b^{-1}b) \neq n(a)n(b^{-1}b) = n(a).$$

Therefore f is an epimorphism.

To show f is not an isomorphism we note $\frac{1}{3} \in \mathbb{Q}$ has no preimage in \mathbb{Z} . □

2 Problem Set 2

Assignments

- Problem 1 - Orin Gotchey
- Problem 2 - James
- Problem 3 - Bradley
- Problem 4 - Alan
- Problem 5 - James
- Problem 6 - Emilio

2.1 Problem 1 - Orin Gotchey

Lemma 2.2. *Existence and Uniqueness of Borel σ -Algebras. Let X be a topological space. Then there exists a unique σ -algebra, Ω on X which contains all open subsets of X and which is the smallest among such σ -algebras with respect to inclusion.*

Proof. Let Σ be the collection of all σ -algebras on X which contain all open subsets of X . Σ contains $\mathcal{P}(X)$, and thus is nonempty. Let

$$\Omega := \bigcap_{x \in \Sigma} x$$

Clearly, $X \in \Omega$. Given an index $I : \mathbb{N} \rightarrow \Omega$, such that for every natural n , $I(n) \in \Omega$, we have that $I(n) \in x$, $\forall x \in \Sigma$, whence it follows that $\bigcap_{n \in \mathbb{N}} I(n) \in x$, $\forall x \in \Sigma$. Therefore, $\bigcap_{n \in \mathbb{N}} I(n) \in \Omega$. By a similar argument, for any $E \in \Omega$, $X \setminus E \in \Omega$. Thus, Ω contains all open subsets of X , and is indeed inferior to any other σ -algebra with this property. □

Definition 2.3. A complex $*$ -algebra A is a complex algebra, equipped with a complex-antilinear operation $*$: $A \rightarrow A$ obeying the following:

$$\begin{aligned}(ab)^* &= b^* a^* \\ 1^* &= 1 \\ (a^*)^* &= a\end{aligned}$$

Definition 2.4. A complex-valued morphism $f : X \rightarrow \mathbb{C}$ (on some topological space X) is called "bounded" if it factors through some bounded subset of \mathbb{C} . That is, there exists some subset $C \subseteq \mathbb{C}$ which is contained in some open ball, and some map \bar{f} which makes the following diagram commute:

$$\begin{array}{ccc} X & \xrightarrow{f} & \mathbb{C} \\ \bar{f} \downarrow & \nearrow \iota & \\ C & & \end{array}$$

Lemma 2.5. Given an enhanced measurable set (X, Ω_X, N_X) , the set of all bounded morphisms $\{f : (X, \Omega_X, N_X) \rightarrow (\mathbb{C}, \Omega_{\mathbb{C}}, \{\emptyset\})\}$ is a complex $*$ -algebra.

Proof. The zero morphism 0_X acts as the additive identity. Addition, multiplication, and involution are pointwise. Everything else follows by inspection. \square

Proposition 2.6. Together with complex algebra homomorphisms: $f : A \rightarrow B$ satisfying $f(a^*) = f(a)^*$, and objects: commutative complex $*$ -algebras, $\mathbf{CAlg}_{\mathbb{C}}^*$ is a category.

Proof. Let $\forall a, b, c \in \mathbf{Obj}(\mathbf{CAlg}_{\mathbb{C}}^*)$, $f \in \mathbf{CAlg}_{\mathbb{C}}^*(a, b)$, $g \in \mathbf{CAlg}_{\mathbb{C}}^*(b, c)$ then:

- $\exists \text{id}_a : a \rightarrow a$ given by $\text{id}_a(x) = x$ satisfies $\text{id}_a(x^*) = x^* = \text{id}_a(x)^*$, and which is clearly a \mathbb{C} -algebra homomorphism
- $g \circ f$ satisfies $(g \circ f)(x)^* = g(f(x))^* = g(f(x)^*) = g(f(x^*)) = g \circ f(x^*)$, and is clearly a \mathbb{C} -algebra homomorphism.
- The composition of underlying sets is associative.

\square

Let $L^\infty : \mathbf{PreEMS}^{op} \rightarrow \mathbf{CAlg}_{\mathbb{C}}^*$ send an enhanced measurable space to the complex $*$ -algebra of bounded morphisms: $(X, \Omega_X, N_X) \mapsto (L^\infty(X) : \{\phi : (X, \Omega_X, N_X) \rightarrow (\mathbb{C}, \Omega_{\mathbb{C}}, \{\emptyset\}) \mid \phi \text{ bounded}\})$, and which sends an enhanced measurable morphism $f : (X, \Omega_X, N_X) \rightarrow (Y, \Omega_Y, N_Y)$ to

$$L^\infty(f) : (L^\infty(Y) : \{\phi : (Y, \Omega_Y, N_Y) \rightarrow (\mathbb{C}, \Omega_{\mathbb{C}}, N_{\mathbb{C}})\}) \rightarrow (L^\infty(X) : \{\psi : (X, \Omega_X, N_X) \rightarrow (\mathbb{C}, \Omega_{\mathbb{C}}, N_{\mathbb{C}})\})$$

given by:

$$(L^\infty(f))(\phi) = (\phi \circ f)$$

Proposition 2.7. L^∞ is a contravariant functor

Proof. We need to show the following:

1. $L^\infty(f)$ defines a morphism in $\mathbf{CAlg}_{\mathbb{C}}^*$ i.e. a complex algebra homomorphism which respects involution.
2. L^∞ respects identity
3. L^∞ respects composition

For (1), given an $f : X \rightarrow Y$, $\phi, \psi \in L^\infty(Y)$, and $c \in \mathbb{C}$

$$\begin{aligned}
L^\infty(f) : L^\infty(Y) &\rightarrow L^\infty(X) \\
L^\infty(f)(0_Y) &= 0_X \\
L^\infty(f)(\phi + \psi) &= (\phi + \psi) \circ f = (\phi \circ f) + (\psi \circ f) = L^\infty(f)(\phi) + L^\infty(f)(\psi) \\
L^\infty(f)(\phi \cdot \psi) &= (\phi \cdot \psi) \circ f = (\phi \circ f) \cdot (\psi \circ f) = L^\infty(f)(\phi) \cdot L^\infty(f)(\psi) \\
c \cdot L^\infty(f)(\phi) &= c \cdot (\phi \circ f) = (c \cdot \phi) \circ f = L^\infty(f)(c \cdot \phi) \\
L^\infty(f)(\phi^*) &= (\phi^*) \circ f = (\phi \circ f)^* = L^\infty(f)(\phi)^*
\end{aligned} \tag{1}$$

For (2),

$$L^\infty(\text{id}_X)(\phi) = (\phi \circ \text{id}_X) = \phi \implies L^\infty(\text{id}_X) = \text{id}_{L^\infty(X)} \tag{2}$$

For (3), we give two morphisms $f : X \rightarrow Y, g : Y \rightarrow Z$ in **PreEMS**. Then for any $\phi \in L^\infty(Z)$

$$L^\infty(g \circ f)(\phi) = \phi \circ (g \circ f) = (\phi \circ g) \circ f = L^\infty(f)(\phi \circ g) = L^\infty(f)(L^\infty(g)(\phi)) = (L^\infty(f) \circ L^\infty(g))(\phi) \tag{3}$$

□

Lemma 2.8. *Let \mathcal{C} be a category with an equivalence relation R on its set of morphisms, and let F be some functor from \mathcal{C}/R to another category D . Then precomposing with the functor $\mathcal{C} \xrightarrow{\Pi} \mathcal{C}/R$ gives a bijection between functors $\mathcal{C}/R \xrightarrow{F} D$ and functors $\mathcal{C} \xrightarrow{G} D$ with the property that $\alpha \sim_R \beta \implies G(\alpha) = G(\beta)$*

Proof. If $\alpha \sim_R \beta$, then $\Pi(\alpha) = \Pi(\beta)$, so $(F \circ \Pi)(\alpha) = (F \circ \Pi)(\beta)$. For the other direction, let $G : \mathcal{C} \rightarrow D$ and R be given as above. Then, let $F : \mathcal{C}/R \rightarrow D$ be identical to G on objects, and let the image of an equivalence class of morphisms in \mathcal{C}/R be the image of any of its representatives under G . This is well-defined, because any two morphisms in the same equivalence class of R will have the same image under G . □

Definition 2.9. *Let R be an equivalence relation defined on the **Hom**-sets of **PreEMS**. Define the category **StrictEMS**, whose objects are the same as **PreEMS**, and whose morphisms are equivalence classes of morphisms under R sharing domain and codomain.*

It follows from the foregoing observations that **StrictEMS** is, in fact, a category. Extend the L^∞ to a functor from **StrictEMS** to $\mathbf{CAlg}_{\mathbb{C}}^*$ as follows:

- Send an EMS (X, Ω_X, N_X) to the set of *equivalence classes* of bounded morphisms from X to $(\mathbb{C}, \Omega_{\mathbb{C}}, \{\emptyset\})$ (here $\Omega_{\mathbb{C}}$ denotes the Borel sets of \mathbb{C}).
- Send an equivalence class of morphisms to your grandmother as a gift for her birthday

3 Problem Set 3

1. For which pairs of fields (of the same characteristic) does a categorical product exist?
2. Prove that the category of connected topological spaces does not have a product.
3. Prove: The category of Banach Spaces with *continuous maps* has no infinite coproducts.
4. Prove:
 - The category **TOSet** of totally ordered sets and order-preserving maps does not have coproducts.
 - What about the category. **WOSet** of well-ordered sets?

Assignments

- Problem 1 - Unassigned
- Problem 2 - Unassigned
- Problem 3 - Orin
- Problem 4 - JJ