# Category Theory Problem Sets and Solutions

## Fall 2022

## September 15, 2022

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#### 1 Problem Set 1

#### Assignments

- Problem 1 Unclaimed
- Problem 2 Emilio Verdooren
- Problem 3 Emilio Verdooren
- Problem 4 Orin Gotchey
- Problem 5 Unclaimed
- Problem 6 Alan Bohnert
- Problem 7 James
- Problem 8 James
- Problem 9 Unclaimed
- Problem 10 Unclaimed
- Problem 11 Unclaimed

#### 1.1 Problem 4 - Orin Gotchey

#### 1.1.1 Measurable Spaces as a Category

**Definition 1.2.**  $\sigma$ -algebras Let X be a set. Let  $\Omega$  be any subset of  $\mathcal{P}(X)$  satisfying the following conditions:

- $X \in \Omega_X$
- For each  $E \in \Omega$ ,  $X \setminus E \in \Omega_X$
- For any index  $I : \mathbb{N} \to \Omega_X$ ,  $(\cup_{n \in \mathbb{N}} I(n)) \in \Omega_X$

 $\Omega$  is called a  $\sigma$ -algebra on X, the pair  $(X, \Omega_X)$  a measurable space, the elements of  $\Omega_X$  the measurable subsets of X.

It follows immediately that  $\emptyset \in \Omega_X$ , and that  $\Omega_X$  is closed under countable intersection.

**Definition 1.3.** Measurable Maps The maps  $f: X \to Y$  between measurable spaces which have the following property:

$$\forall E \subset X : (f(E) \in \Sigma \implies E \in \Omega)$$

are called measurable maps or measurable functions.

Let Meas be the category specified as follows:

- Objects are the measurable spaces  $(X,\Omega)$
- Morphisms are the measurable functions.

Then, given any morphism  $f: X \to Y$ ,

$$f\circ \operatorname{Id}_X=f$$

$$\operatorname{Id}_Y \circ f = f$$

Associativity follows from the fact that the composition of functions on the underlying sets is associative. Given two composable morphisms, say  $f: X \to Y$  and  $g: Y \to Z$ , consider the composition  $g \circ f: X \to Z$ , and let  $\gamma \in \Omega_Z$ . Then:

$$g^{-1}(\gamma) \in \Omega_Y$$
$$f^{-1}(g^{-1}(\gamma)) = (g \circ f)^{-1}(\gamma) \in \Omega_X$$

. Thus, we have that Meas is a category.

#### 1.3.1 Enhanced Measurable Spaces

Let  $(X, \Omega_X)$  be a topological space. Then  $\mathcal{P}(X)$  forms a Boolean commutative ring with the operations  $\cap$  and  $\triangle$  as multiplication and addition, respectively, and of which  $\Omega_X$  is a subring. Define an *enhanced measurable space* as a triple  $(X, \Omega_X, N_X)$ , where  $(X, \Omega_X)$  form a measurable space, and  $N_X$  is a  $\sigma$ -ideal of  $\Omega_X$  (recall: a  $\sigma$ -ideal is an ideal which is closed under *countable* addition). A *negligible set* in X is some subset of  $N_X$ .

The measurable maps  $f:(X,\Omega_X,N_X)\to (Y,\Omega_Y,N_Y)$  are maps of sets:  $f:X_f\to Y$ , where  $X_f\subset X$ , such that f obeys the following conditions (which are verified for the identity maps in the subpoints where X=Y):

- 1. The set  $X \setminus X_f$  is negligible
  - $X = X_{\mathrm{Id}_X}$  and  $X \setminus X_{\mathrm{Id}_X} = \emptyset \in N_X$ , by definition of ideal.
- 2. For any  $m_u \in \Omega_Y$ , there exists a set  $m_x$  such that  $f^{-1}(m_u) \triangle m_x$  is negligible
  - Given  $m_x$ ,  $\operatorname{Id}_X^{-1}(m_x) \triangle m_X = m_x \triangle m_x = \emptyset \in N_X$
- 3. For any  $n_y \in N_Y$ , the set  $f^{-1}(n_y)$  is negligible.
  - $\operatorname{Id}_X(n_x) = n_x$

We cannot define composition of morphisms strictly as composition of underlying maps, because there is no guarantee, e.g., for two maps between enhanced measurable spaces  $f: X \to Y$ ,  $g: Y \to Z$ , that  $Imf \subset Y_g$ . Thus, we restrict the domain of the composition to:

$$X_{g \circ f} := f^{-1}(Y_g)$$

. However, it is clear by inspection that composition of morphisms retains associativity. Then,

$$X \backslash X_{q \circ f} = X \backslash f^{-1}(Y_q) = (f^{-1}(Y \backslash (Y_q)))$$

The negligibility of the above quantity then follows from the definition of f.

Furthermore, given  $m_z \in \Omega_Z$ , we have that  $(g \circ f)^{-1}(m_z) = f^{-1}(g^{-1}(m_z))$ . Since g is measurable (why?) and since f is presumed to satisfy (2),  $g \circ f$  satisfies (2).

(3) is clearly transitive.

Thus, enhanced measurable spaces and measurable maps form a category.

#### 1.3.2 Equality Almost Everywhere

Two parallel morphisms  $f, g: (X, \Omega_X, N_X) \to (Y, \Omega_Y, N_Y)$  are "equal almost everywhere" if the set  $\{x \in X_f \cap X_G : f(x) \neq g(x)\}$  is negligible. Let "f and g are equal almost everywhere" be denoted  $f \sim g$ . Claim:  $\sim$  defines an equivalence relation.

- Reflexivity: A function differs from itself on the empty set  $(\emptyset)$ , which is negligible (see above)
- ullet Symmetry: Note that the symbols f and g in the definition of equality almost everywhere are symmetric
- Transitivity: If  $f \sim g$  and  $g \sim h$  for parallel morphisms f, g, and h, then

$$\{x \in X_f \cap X_h : f(x) \neq h(x)\} \subset (\{x \in X_f \cap X_g : f(x) \neq g(x)\} \cup \{x \in X_g \cap X_h : g(x) \neq h(x)\})$$

, and N is closed under countable unions and taking subsets, so the left hand side of the above is negligible.

Furthermore, this equivalence relation is compatible with composition. Assume that there are morphisms  $f, f': X \to Y$  and  $g, g': Y \to Z$ , such that  $f \sim f'$  and  $g \sim g'$ . We're interested in the set

$$\{x \in X_{g \circ f} \cap X_{g' \circ f'} : (g \circ f)(x) \neq (g' \circ f')(x)\} \subset \{x \in X_f \cap X_{f'} : f(x) \neq f'(x)\}$$
$$\cup f^{-1}(\{y \in Y_g \cap Y_g' : g(y) \neq g'(y)\})$$

This set is the union of two negligible sets.

#### 1.3.3 Hom-sets mod an Equivalence Relation

Suppose that for every pair of objects X, Y in a category C, we are given (e.g. by the above) an equivalence relation  $R_{X,Y}$  on C(X,Y) that is compatible with composition (i.e. if  $f \sim_R f'$  and  $g \sim_R g'$  then  $(g \circ f) \sim_R (g' \circ f')$ . We identify all morphisms in C between any two objects X and Y which relate through  $R_{X,Y}$ . Composition of equivalence classes of  $\sim$  does not depend on choice of representative: this is exactly compatibility with  $\circ$ 

Verifying that the proper morphisms are unital and associative are gifted as simple exercises to the reader;)

#### 1.4 Problem 6 - Alan Bohnert

#### 1.4.1 Question

Fix a category C. A bimorphism in C is a morphism f that is simultaneously a monomorphism and an epimorphism. Is any isomorphism a bimorphism? Give and example of a category C and a bimorphism f in C that is not an isomorphism.

#### 1.4.2 Solution

In any category C every isomorphism is a bimorphism.

*Proof.* Let  $f: X \to Y$  be an isomorphism in **C**. Then there exists a morphism  $g: Y \to X$  in **C** such that

$$gf = Id_X$$
 and  $fg = Id_Y$ .

To show f is a monomorphism let  $h, k : W \rightrightarrows X$  and fh = fk. It follows that gfh = gfk for the g given above. Therefore  $\mathrm{Id}_X h = \mathrm{Id}_X k$  and so h = k tells us f is a monomorphism.

To show f is an epimorphism let  $m, n : Y \rightrightarrows Z$  and mf = nf. Composing with the g we know mfg = nfg. Consequently  $m\mathrm{Id}_Y = n\mathrm{Id}_Y$  and m = n tells us f is an epimorphism. Therefore f is a bimorphism.  $\square$ 

Let C be the category Ring and let  $f: \mathbb{Z} \hookrightarrow \mathbb{Q}$  the inclusion map. We claim f is a bimorphism but not an isomorphism.

*Proof.* To show f is a monomorphism let  $h, k : W \Rightarrow \mathbb{Z}$  and  $f \circ h(w) = f \circ k(w) \ \forall w \in W$ . Since f is injective

$$h(w) = f \circ h(w) = f \circ k(w) = k(w).$$

Therefore h(w) = k(w) and f is a monomorphism.

To show f is an epimorphism let  $m, n : \mathbb{Q} \rightrightarrows S$  such that  $m \circ f(x) = n \circ f(x) \ \forall x \in \mathbb{Q}$ . Since f is injective, we know  $m(z) = n(z) \ \forall z \in \mathbb{Z}$ . Seeking a contraction, suppose there exists  $\frac{a}{b} \in \mathbb{Q}$  such that  $m(\frac{a}{b}) \neq n(\frac{a}{b})$ . Given m and n are ring homomorphisms we know

$$m(a)m(b^{-1}) = m(\frac{a}{b}) \neq n(\frac{a}{b}) = n(a)n(b^{-1}).$$

Given b is an invertible integer and m(b) = n(b) we can multiply on the right and retain the inequality. Thus,

$$m(a)m(b^{-1})m(b) \neq n(a)n(b^{-1})n(b)$$

and as ring homomorphisms we have

$$m(a) = m(a)m(b^{-1}b) \neq n(a)n(b^{-1}b) = n(a).$$

Therefore f is a epimorphism.

To show f is not an isomorphism we note  $\frac{1}{3} \in \mathbb{Q}$  has no preimage in  $\mathbb{Z}$ .

#### 2 Problem Set 2

#### Assignments

- Problem 1 Orin Gotchey
- $\bullet\,$  Problem 2 James
- Problem 3 Bradley
- Problem 4 Alan
- Problem 5 James
- Problem 6 Emilio

#### 2.1 Problem 1 - Orin Gotchey

**Lemma 2.2.** Existence and Uniqueness of Borel  $\sigma$ -Algebras. Let X be a topological space. Then there exists a unique  $\sigma$ -algebra,  $\Omega$  on X which contains all open subsets of X and which is the smallest among such  $\sigma$ -algebras with respect to inclusion.

*Proof.* Let  $\Sigma$  be the collection of all  $\sigma$ -algebras on X which contain all open subsets of X.  $\Sigma$  contains  $\mathcal{P}(X)$ , and thus is nonempty. Let

$$\Omega := \cap_{x \in \Sigma} x$$

Clearly,  $X \in \Omega$ . Given an index  $I : \mathbb{N} \to \Omega$ , such that for every natural n,  $I(n) \in \Omega$ , we have that  $I(n) \in x$ ,  $\forall x \in \Sigma$ , whence it follows that  $\bigcap_{n \in \mathbb{N}} I(n) \in x$ ,  $\forall x \in \Sigma$ . Therefore,  $\bigcap_{n \in \mathbb{N}} I(n) \in \Omega$ . By a similar argument, for any  $E \in \Omega$ ,  $X \setminus E \in \Omega$ . Thus,  $\Omega$  contains all open subsets of X, and is indeed inferior to any other  $\sigma$ -algebra with this property.

**Definition 2.3.** A complex \*-algebra A is a complex algebra, equipped with a complex-antilinear operation  $*: A \to A$  obeying the following:

$$(ab)^* = b^*a^*$$
$$1^* = 1$$
$$(a^*)^* = a$$

**Definition 2.4.** A complex-valued morphism  $f: X \to \mathbb{C}$  (on some topological space X) is called "bounded" if it factors through some bounded subset of  $\mathbb{C}$ . That is, there exists some subset  $C \in \mathbb{C}$  which is contained in some open ball, and some map  $\bar{f}$  which makes the following diagram commute:

$$X \xrightarrow{f} \mathbb{C}$$

$$\bar{f} \downarrow \qquad \qquad \downarrow \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad$$

**Lemma 2.5.** Given an enhance measurable set  $(X, \Omega_X, N_X)$ , the set of all bounded morphisms  $\{f : (X, \Omega_X, N_X) \to (\mathbb{C}, \Omega_C, \{\emptyset\})\}$  is a complex \*-algebra.

*Proof.* The zero morphism  $0_X$  acts as the additive identity. Addition, multiplication, and involution are pointwise. Everything else follows by inspection.

**Proposition 2.6.** Together with complex algebra homomorphisms:  $f: A \to B$  satisfying  $f(a^*) = f(a)^*$ , and objects: commutative complex \*-algebras,  $CAlg_{\mathbb{C}}^*$  is a category.

*Proof.* Let  $\forall a, b, c \in \mathsf{Obj}(\mathsf{CAlg}^*_{\mathbb{C}}), \ f \in \mathsf{CAlg}^*_{\mathbb{C}}(a, b), \ g \in \mathsf{CAlg}^*_{\mathbb{C}}(b, c)$  then:

- $\exists id_a : a \to a$  given by  $id_a(x) = x$  satisfies  $id_a(x^*) = x^* = id_a(x)^*$ , and which is clearly a  $\mathbb{C}$ -algebra homomorphism
- $g \circ f$  satisfies  $(g \circ f)(x)^* = g(f(x))^* = g(f(x)^*) = g(f(x^*)) = g \circ f(x^*)$ , and is clearly a  $\mathbb{C}$ -algebra homomorphism.

• The composition of underlying sets is associative.

Let  $L^{\infty}$ :  $\mathsf{PreEMS}^{op} \to \mathsf{CAlg}^*_{\mathbb{C}}$  send an enhanced measurable space to the complex \*-algebra of bounded morphisms:  $(X, \Omega_X, N_X) \mapsto (L^{\infty}(X) : \{\phi : (X, \Omega_X, N_X) \to (\mathbb{C}, \Omega_{\mathbb{C}}, \{\varnothing\}) | \phi \text{ bounded}\})$ , and which sends an enhanced measurable morphism  $f : (X, \Omega_X, N_X) \to (Y, \Omega_Y, N_Y)$  to

$$\mathsf{L}^\infty(f): (\mathsf{L}^\infty(Y): \{\phi: (Y, \Omega_Y, N_Y) \to (\mathbb{C}, \Omega_\mathbb{C}, N_\mathbb{C})\}) \to (\mathsf{L}^\infty(X): \{\psi: (X, \Omega_X, N_X) \to (\mathbb{C}, \Omega_\mathbb{C}, N_\mathbb{C})\})$$

given by:

$$(L^{\infty}(f))(\phi) = (\phi \circ f)$$

**Proposition 2.7.**  $L^{\infty}$  is a contravariant functor

*Proof.* We need to show the following:

- 1.  $L^{\infty}(f)$  defines a morphism in  $\mathsf{CAlg}^*_{\mathbb{C}}$  i.e. a complex algebra homomorphism which respects involution.
- 2.  $L^{\infty}$  respects identity
- 3.  $L^{\infty}$  respects composition

For (1), given an  $f: X \to Y$ ,  $\phi$ ,  $\psi \in L^{\infty}(Y)$ , and  $c \in \mathbb{C}$ 

$$L^{\infty}(f): L^{\infty}(Y) \to L^{\infty}(X)$$

$$L^{\infty}(f)(0_{Y}) = 0_{X}$$

$$L^{\infty}(f)(\phi + \psi) = (\phi + \psi) \circ (f) = (\phi \circ f) + (\psi \circ f) = L^{\infty}(f)(\phi) + L^{\infty}(f)(\psi)$$

$$L^{\infty}(f)(\phi \cdot \psi) = (\phi \cdot \psi) \circ f = (\phi \circ f) \cdot (\psi \circ f) = L^{\infty}(f)(\phi) \cdot L^{\infty}(f)(\psi)$$

$$c \cdot L^{\infty}(f)(\phi) = c \cdot (\phi \circ f) = (c \cdot \phi) \circ f = L^{\infty}(f)(c \cdot \phi)$$

$$L^{\infty}(f)(\phi^{*}) = (\phi^{*}) \circ f = (\phi \circ f)^{*} = L^{\infty}(f)(\phi)^{*}$$

$$(1)$$

For (2),

$$L^{\infty}(\mathrm{id}_X)(\phi) = (\phi \circ \mathrm{id}_X) = \phi \implies L^{\infty}(\mathrm{id}_X) = \mathrm{id}_{L^{\infty}(X)}$$
 (2)

For (3), we give two morphisms  $f: X \to Y$ ,  $g: Y \to Z$  in PreEMS. Then for any  $\phi \in L^{\infty}(Z)$ 

$$\mathcal{L}^{\infty}(g \circ f)(\phi) = \phi \circ (g \circ f) = (\phi \circ g) \circ f = \mathcal{L}^{\infty}(f)(\phi \circ g) = \mathcal{L}^{\infty}(f)(\mathcal{L}^{\infty}(g)(\phi)) = (\mathcal{L}^{\infty}(f) \circ \mathcal{L}^{\infty}(g))(\phi)$$
 (3)

**Lemma 2.8.** Let C be a category with an equivalence relation R on its set of morphisms, and let F be some functor from C/R to another category D. Then precomposing with the functor  $C \xrightarrow{\Pi} C/R$  gives a bijection between functors  $C/R \xrightarrow{F} D$  and functors  $C \xrightarrow{G} D$  with the property that  $\alpha \sim_R \beta \Rightarrow G(\alpha) = G(\beta)$ 

*Proof.* If  $\alpha \sim_R \beta$ , then  $\Pi(\alpha) = \Pi(\beta)$ , so  $(F \circ \Pi)(\alpha) = (F \circ \Pi)(\beta)$ . For the other direction, let  $G : \mathsf{C} \to \mathsf{D}$  and R be given as above. Then, let  $F : \mathsf{C}/R \to D$  be identical to G on objects, and let the image of an equivalence class of morthpisms in  $\mathsf{C}/R$  be the image of any of its representatives under G. This is well-defined, because any two morphisms in the same equivalence class of R will have the same image under G.

**Definition 2.9.** Let R be an equivalence relation defined on the Hom-sets of PreEMS. Define the category StrictEMS, whose objects are the same as PreEMS, and whose morphisms are equivalence classes of morphisms under R sharing domain and codomain.

It follows from the foregoing observations that StrictEMS is, in fact, a category. Extend the  $L^{\infty}$  to a functor from StrictEMS to  $\mathsf{CAlg}_{\mathbb{C}}^*$  as follows:

- Send an EMS  $(X, \Omega_X, N_X)$  to the set of *equivalence classes* of bounded morphisms from X to  $(\mathbb{C}, \Omega_{\mathbb{C}}, \{\emptyset\})$  (here  $\Omega_{\mathbb{C}}$  denotes the Borel sets of  $\mathbb{C}$ ).
- Send an equivalence class of morphisms to your grandmother as a gift for her birthday

#### 3 Problem Set 3

- 1. For which pairs of fields (of the same characteristic) does a categorical product exist?
- 2. Prove that the category of connected topological spaces does not have a product.
- 3. Prove: The category of Banach Spaces with continuous maps has no infinite coproducts.
- 4. Prove:
  - The category TOSet of totally ordered sets and order-preserving maps does not have coproducts.
  - What about the category. WOSet of well-ordered sets?
- 5. Prove: the category TopGrp of topological groups and continuous homomorphisms has coproducts.
- 6. Prove: the category of Lie groups (finite dimensional) does not have coproducts.
- 7. Investigate products and coproducts in the category PG of Hilbert spaces and contractive maps
- 8. Express limits in analysis (i.e. in a given metric space) as categorical limits

### Assignments

- Problem 1 Unassigned
- Problem 2 Unassigned
- $\bullet$  Problem 3 Unassigned
- $\bullet$  Problem 4 JJ
- Problem 5 Orin
- $\bullet\,$  Problem 6 Unassigned
- Problem 7 Unassigned
- Problem 8 Unassigned