

# Classifier-Free Diffusion Guidance

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NeurIPS 2021 Workshop

Jonathan Ho, Tim Salimans

# Contents

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**I. Introduction**

**II. Background**

**III. Guidance**

**IV. Experiments**

# Introduction

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# Introduction

## □ Fidelity vs Diversity



Diversity ↓  
Sample Quality ↑

Trade-off  
↔

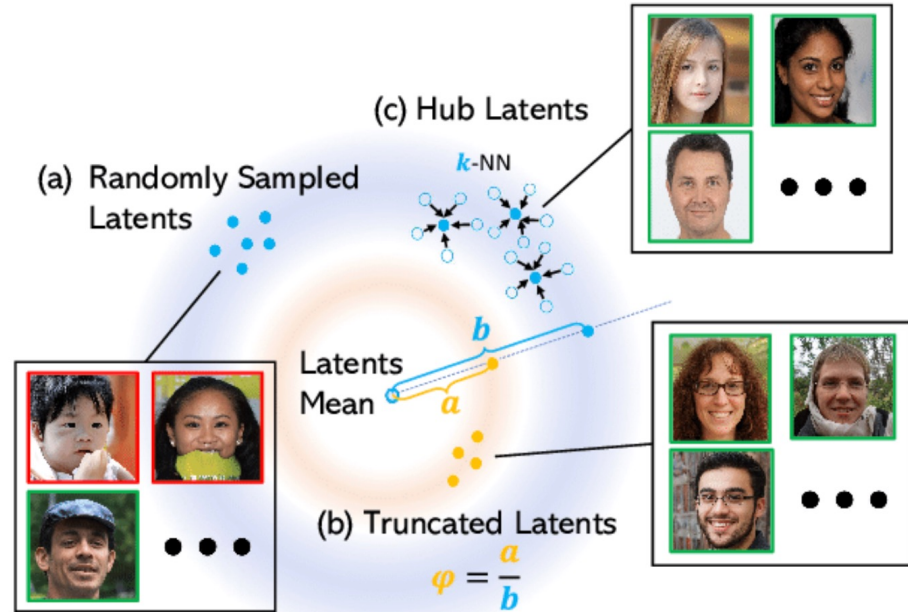


Diversity ↑  
Sample Quality ↓

# Introduction

## ❑ Fidelity vs Diversity

Truncation Trick  
(GAN)



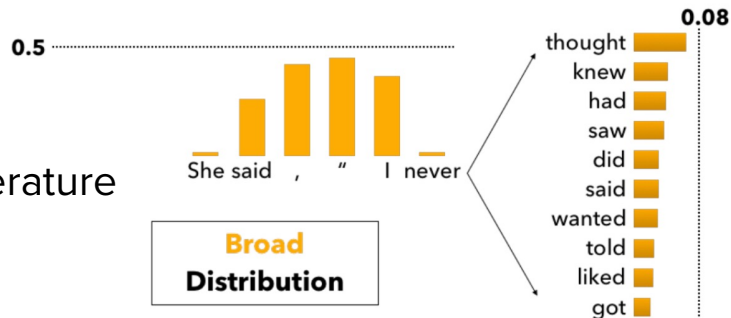
# Introduction

## □ Fidelity vs Diversity

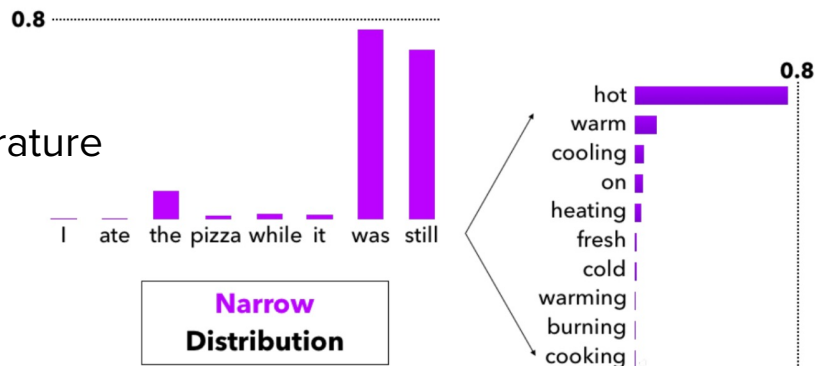
Low Temperature Sampling

$\tau$  : Temperature ParameterX

High Temperature  
 $\tau > 1$



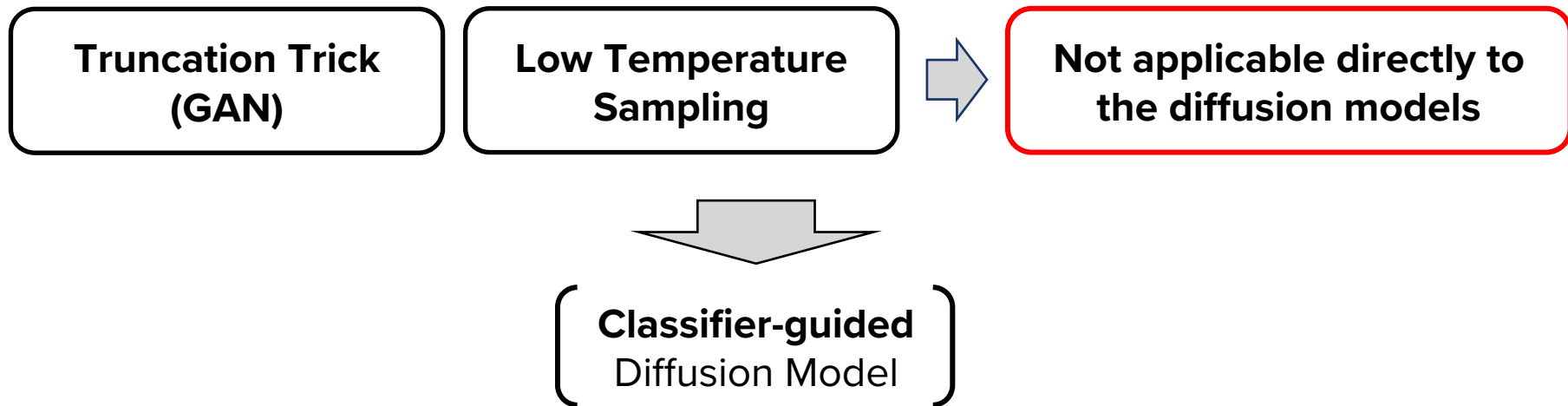
High Temperature  
 $\tau < 1$



# Introduction

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## ❑ Truncation-like effect on Diffusion



# Introduction

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## ❑ Drawbacks of Classifier Guidance

Overhead to train the extra classifier

Must be trained with noisy data at every training procedure

} **Complicate** Diffusion Model Training Pipeline



# Introduction

## ❑ Drawbacks of Classifier Guidance

**Algorithm 1** Classifier guided diffusion sampling, given a diffusion model  $(\mu_\theta(x_t), \Sigma_\theta(x_t))$ , classifier  $p_\phi(y|x_t)$ , and gradient scale  $s$ .

Input: class label  $y$ , gradient scale  $s$

$x_T \leftarrow$  sample from  $\mathcal{N}(0, \mathbf{I})$

**for all**  $t$  from  $T$  to 1 **do**

$\mu, \Sigma \leftarrow \mu_\theta(x_t), \Sigma_\theta(x_t)$

$x_{t-1} \leftarrow$  sample from  $\mathcal{N}(\mu + s\Sigma \nabla_{x_t} \log p_\phi(y|x_t), \Sigma)$

**end for**

**return**  $x_0$

**Algorithm 2** Classifier guided DDIM sampling, given a diffusion model  $\epsilon_\theta(x_t)$ , classifier  $p_\phi(y|x_t)$ , and gradient scale  $s$ .

Input: class label  $y$ , gradient scale  $s$

$x_T \leftarrow$  sample from  $\mathcal{N}(0, \mathbf{I})$

**for all**  $t$  from  $T$  to 1 **do**

$\hat{\epsilon} \leftarrow \epsilon_\theta(x_t) - \sqrt{1 - \bar{\alpha}_t} \nabla_{x_t} \log p_\phi(y|x_t)$

$x_{t-1} \leftarrow \sqrt{\bar{\alpha}_{t-1}} \left( \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \hat{\epsilon}}{\sqrt{\bar{\alpha}_t}} \right) + \sqrt{1 - \bar{\alpha}_{t-1}} \hat{\epsilon}$

**end for**

**return**  $x_0$

Sampling  
→ Mixture of **score estimate**  
& **classifier gradient**

# Introduction

## ❑ Drawbacks of Classifier Guidance

**Algorithm 1** Classifier guided diffusion sampling, given a diffusion model  $(\mu_\theta(x_t), \Sigma_\theta(x_t))$ , classifier  $p_\phi(y|x_t)$ , and gradient scale  $s$ .

Input: class label  $y$ , gradient scale  $s$   
 $x_T \leftarrow$  sample from  $\mathcal{N}(0, \mathbf{I})$   
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     $\mu, \Sigma \leftarrow \mu_\theta(x_t), \Sigma_\theta(x_t)$   
     $x_{t-1} \leftarrow$  sample from  $\mathcal{N}(\mu + s\Sigma \nabla_{x_t} \log p_\phi(y|x_t), \Sigma)$   
**end for**  
**return**  $x_0$

Image classifier might be confused as  
**adversarial attack** on sampling

**Algorithm 2** Classifier guided DDIM sampling, given a diffusion model  $\epsilon_\theta(x_t)$ , classifier  $p_\phi(y|x_t)$ , and gradient scale  $s$ .

Input: class label  $y$ , gradient scale  $s$   
 $x_T \leftarrow$  sample from  $\mathcal{N}(0, \mathbf{I})$   
**for all**  $t$  from  $T$  to 1 **do**  
     $\hat{\epsilon} \leftarrow \epsilon_\theta(x_t) - \sqrt{1 - \bar{\alpha}_t} \nabla_{x_t} \log p_\phi(y|x_t)$   
     $x_{t-1} \leftarrow \sqrt{\bar{\alpha}_{t-1}} \left( \frac{x_t - \sqrt{1 - \bar{\alpha}_t} \hat{\epsilon}}{\sqrt{\bar{\alpha}_t}} \right) + \sqrt{1 - \bar{\alpha}_{t-1}} \hat{\epsilon}$   
**end for**  
**return**  $x_0$

Whether this method is eligible for  
classifier-based metrics is **questionable**



# Introduction

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## ❑ Classifier-Free Guidance (CFG) Diffusion

**Not much overhead for training extra classifier**

**Extreme Simplicity** (Only a change of one line)

**Similar FID/IS tradeoff** to that of classifier guidance diffusion

# Background

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# Background

## □ Notation

### Forward Process

$$q(\mathbf{z}_\lambda | \mathbf{x}) = \mathcal{N}(\alpha_\lambda \mathbf{x}, \sigma_\lambda^2 \mathbf{I}), \text{ where } \alpha_\lambda^2 = 1/(1 + e^{-\lambda}), \sigma_\lambda^2 = 1 - \alpha_\lambda^2$$

$$q(\mathbf{z}_\lambda | \mathbf{z}_{\lambda'}) = \mathcal{N}((\alpha_\lambda / \alpha_{\lambda'}) \mathbf{z}_{\lambda'}, \sigma_{\lambda|\lambda'}^2 \mathbf{I}), \text{ where } \lambda < \lambda', \sigma_{\lambda|\lambda'}^2 = (1 - e^{\lambda - \lambda'}) \sigma_\lambda^2$$

$$\mathbf{z} = \{\mathbf{z}_\lambda \mid \lambda \in [\lambda_{\min}, \lambda_{\max}]\}$$

Noisy Data

$$\lambda_{\min} < \lambda_{\max} \in \mathbb{R}$$

Hyperparameter for  
variance scheduling

# Background

## □ Notation

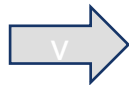
### Forward Process

$$q(\mathbf{z}_\lambda | \mathbf{x}) = \mathcal{N}(\alpha_\lambda \mathbf{x}, \sigma_\lambda^2 \mathbf{I}), \text{ where } \alpha_\lambda^2 = 1/(1 + e^{-\lambda}), \sigma_\lambda^2 = 1 - \alpha_\lambda^2$$

$$q(\mathbf{z}_\lambda | \mathbf{z}_{\lambda'}) = \mathcal{N}((\alpha_\lambda / \alpha_{\lambda'}) \mathbf{z}_{\lambda'}, \sigma_{\lambda|\lambda'}^2 \mathbf{I}), \text{ where } \lambda < \lambda', \sigma_{\lambda|\lambda'}^2 = (1 - e^{\lambda - \lambda'}) \sigma_\lambda^2$$

$$\lambda = \log(\alpha_\lambda^2 / \sigma_\lambda^2)$$

: log SNR of  $\mathbf{z}_\lambda$



**Formularization of forward process feature**

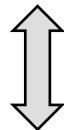
# Background

## □ Notation

### Forward Process

$$\tilde{\boldsymbol{\mu}}_{\lambda'|\lambda}(\mathbf{z}_\lambda, \mathbf{x}) = e^{\lambda-\lambda'}(\alpha_{\lambda'}/\alpha_\lambda)\mathbf{z}_\lambda + (1 - e^{\lambda-\lambda'})\alpha_{\lambda'}\mathbf{x}, \quad \tilde{\sigma}_{\lambda'|\lambda}^2 = (1 - e^{\lambda-\lambda'})\sigma_{\lambda'}^2,$$
$$q(\mathbf{z}_{\lambda'}|\mathbf{z}_\lambda, \mathbf{x}) = \mathcal{N}(\tilde{\boldsymbol{\mu}}_{\lambda'|\lambda}(\mathbf{z}_\lambda, \mathbf{x}), \tilde{\sigma}_{\lambda'|\lambda}^2 \mathbf{I})$$

Final  
Sample



$$p_\theta(\mathbf{z}_{\lambda_{\min}}) = \mathcal{N}(\mathbf{0}, \mathbf{I})$$

$$p_\theta(\mathbf{z}_{\lambda'}|\mathbf{z}_\lambda) = \mathcal{N}(\tilde{\boldsymbol{\mu}}_{\lambda'|\lambda}(\mathbf{z}_\lambda, \mathbf{x}_\theta(\mathbf{z}_\lambda)), (\tilde{\sigma}_{\lambda'|\lambda}^2)^{1-v}(\sigma_{\lambda|\lambda'}^2)^v)$$

### Reverse Process

# Background

## □ Notation

$$p_{\theta}(\mathbf{z}_{\lambda'}|\mathbf{z}_{\lambda}) = \mathcal{N}(\tilde{\boldsymbol{\mu}}_{\lambda'|\lambda}(\mathbf{z}_{\lambda}, \mathbf{x}_{\theta}(\mathbf{z}_{\lambda})), (\tilde{\sigma}_{\lambda'|\lambda}^2)^{1-v}(\sigma_{\lambda|\lambda'}^2)^v)$$

**DDPM**

$$p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \boldsymbol{\Sigma}_{\theta}(\mathbf{x}_t, t)) \text{ for } 1 < t \leq T$$

$$\boldsymbol{\Sigma}_{\theta}(\mathbf{x}_t, t) \begin{cases} \sigma_t^2 = \beta_t \\ \sigma_t^2 = \tilde{\beta}_t = \frac{1-\bar{\alpha}_{t-1}}{1-\bar{\alpha}_t} \beta_t \end{cases}$$

**Similar Results**

(1<sup>st</sup> option is adopted for this experiment)

$$(\tilde{\sigma}_{\lambda'|\lambda}^2)^{1-v}(\sigma_{\lambda|\lambda'}^2)^v : \text{log-space linear interpolation}$$

$v$  : **constant hyperparameter** controlling posterior variance



# Background

## □ Training Objective

$$\mathbb{E}_{\epsilon, \lambda} [\|\epsilon_{\theta}(\mathbf{z}_{\lambda}) - \epsilon\|_2^2] \quad \text{where } \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I}), \mathbf{z}_{\lambda} = \alpha_{\lambda} \mathbf{x} + \sigma_{\lambda} \epsilon, \lambda \sim p(\lambda) \text{ over } [\lambda_{min}, \lambda_{max}]$$



**Denoising score matching** for all  $\lambda$  (noise)

Denoising Score Matching

$$\frac{1}{2} \mathbb{E}_{q_{\sigma}(\tilde{\mathbf{x}}|\mathbf{x})p_{\text{data}}(\mathbf{x})} [\|\mathbf{s}_{\theta}(\tilde{\mathbf{x}}) - \nabla_{\tilde{\mathbf{x}}} \log q_{\sigma}(\tilde{\mathbf{x}} | \mathbf{x})\|_2^2]$$

With this  
resemblance...



$$\epsilon_{\theta}(\mathbf{z}_{\lambda}) \approx -\sigma_{\lambda} \nabla_{\mathbf{z}_{\lambda}} \log p(\mathbf{z}_{\lambda})$$

# Background

## □ Training Objective

$$\mathbb{E}_{\epsilon, \lambda} [\|\epsilon_{\theta}(\mathbf{z}_{\lambda}) - \epsilon\|_2^2] \quad \text{where } \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I}), \mathbf{z}_{\lambda} = \alpha_{\lambda} \mathbf{x} + \sigma_{\lambda} \epsilon, \lambda \sim p(\lambda) \text{ over } [\lambda_{\min}, \lambda_{\max}]$$

$$\lambda = -2 \log \tan(au + b)$$

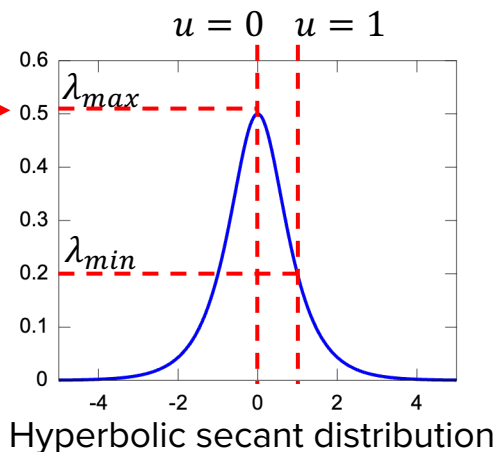
$$a = \arctan(e^{-\lambda_{\min}/2}) - b$$

$$b = \arctan(e^{-\lambda_{\max}/2})$$

$$u \in [0, 1]$$



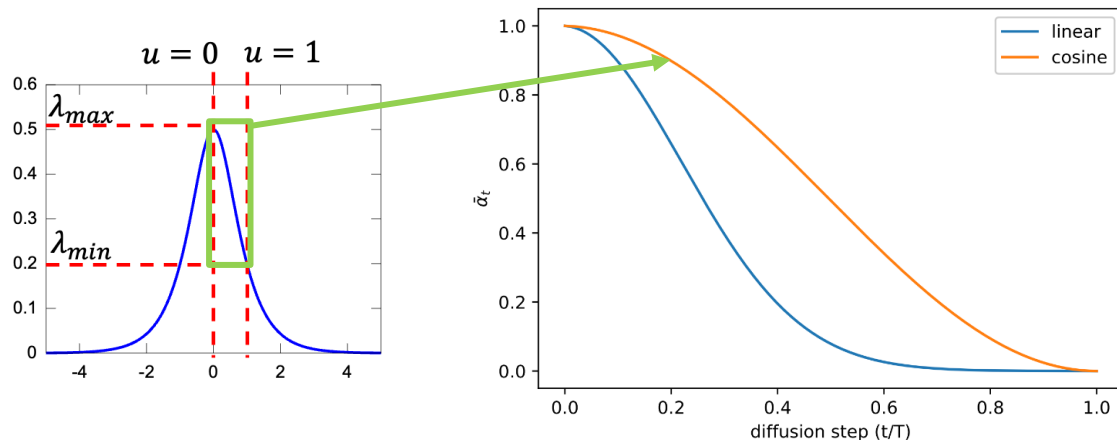
Sample  $\lambda$



# Background

## □ Training Objective

$$\mathbb{E}_{\epsilon, \lambda} [\|\epsilon_{\theta}(\mathbf{z}_{\lambda}) - \epsilon\|_2^2] \quad \text{where } \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I}), \mathbf{z}_{\lambda} = \alpha_{\lambda} \mathbf{x} + \sigma_{\lambda} \epsilon, \lambda \sim p(\lambda) \text{ over } [\lambda_{\min}, \lambda_{\max}]$$



**Weighted** variational  
lower bound

**More sophisticated variance scheduling**  
→ Improve sample quality

# Background

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## □ Sampling Procedure

$$\epsilon_{\theta}(\mathbf{z}_{\lambda}) \approx -\sigma_{\lambda} \nabla_{\mathbf{z}_{\lambda}} \log p(\mathbf{z}_{\lambda})$$

Score

**Learned noise**  $\approx$  Estimation of  $\nabla_{\mathbf{z}_{\lambda}} \log p(\mathbf{z}_{\lambda})$



**Correlation** between **sampling from learned diffusion model**  
and **sampling with Langevin Dynamics**

# Guidance

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# Guidance

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## ❑ Classifier Guidance

**Truncation-like effect** in diffusion models

**Conditional generative modeling**

**Conditions have impacts during training and sampling**

# Guidance

## □ Classifier Guidance

$$\epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) \approx -\sigma_{\lambda} \nabla_{\mathbf{z}_{\lambda}} \log p(\mathbf{z}_{\lambda} | \mathbf{c})$$

Diffusion Score during conditional generative modeling

Parameter determining  
the strength of classifier

$$\tilde{\epsilon}_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) = \epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) - w\sigma_{\lambda} \nabla_{\mathbf{z}_{\lambda}} \log p_{\theta}(\mathbf{c} | \mathbf{z}_{\lambda}) \approx -\sigma_{\lambda} \nabla_{\mathbf{z}_{\lambda}} [\log p(\mathbf{z}_{\lambda} | \mathbf{c}) + w \log p_{\theta}(\mathbf{c} | \mathbf{z}_{\lambda})]$$

classifier

$$\tilde{p}_{\theta}(\mathbf{z}_{\lambda} | \mathbf{c}) \propto p_{\theta}(\mathbf{z}_{\lambda} | \mathbf{c}) p_{\theta}(\mathbf{c} | \mathbf{z}_{\lambda})^w$$

Classifier-guided distribution  
(model)

# Guidance

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## □ Classifier Guidance

$$\tilde{p}_{\theta}(\mathbf{z}_{\lambda}|\mathbf{c}) \propto p_{\theta}(\mathbf{z}_{\lambda}|\mathbf{c})p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda})^w$$



Up-weighting the effect of classifier



Higher likelihood to the correct label



Improve IS/FID trade-off by setting  $w > 0$

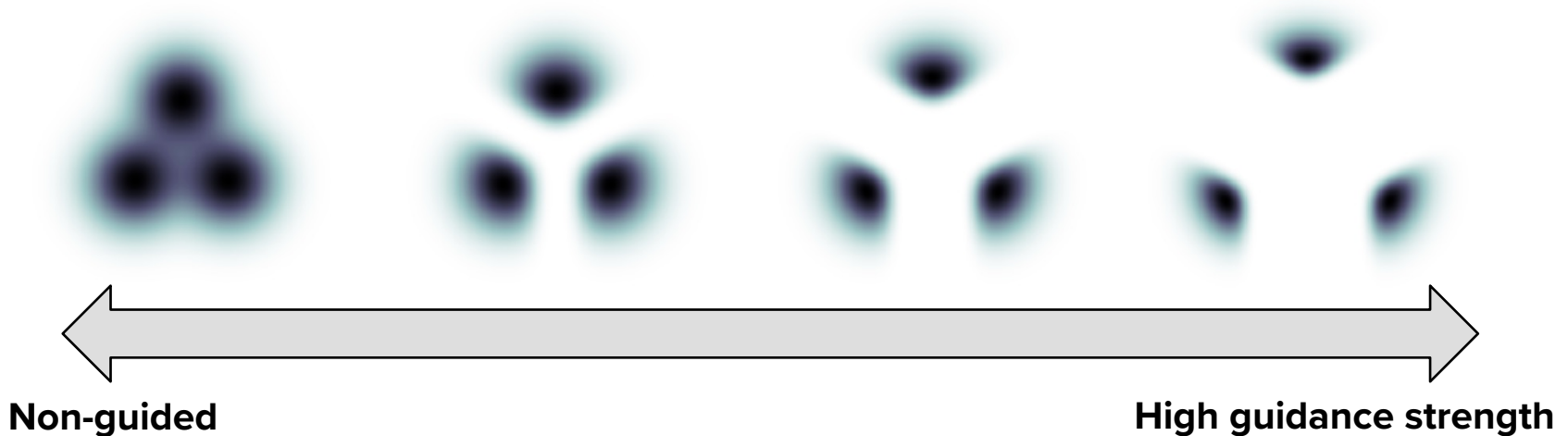


# Guidance

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## □ Simple Classifier Guidance Experiment

Densities of Mixtures of 3 Gaussians



# Guidance

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## □ Classifier Guidance with unconditional model

$$\begin{aligned} p_{\theta}(\mathbf{z}_{\lambda}|\mathbf{c})p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda})^w &\stackrel{\text{Bayes Rule}}{\propto} p_{\theta}(\mathbf{z}_{\lambda})p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda})^{w+1} \\ \epsilon_{\theta}(\mathbf{z}_{\lambda}) - (w+1)\sigma_{\lambda}\nabla_{\mathbf{z}_{\lambda}}\log p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda}) &\approx -\sigma_{\lambda}\nabla_{\mathbf{z}_{\lambda}}[\log p(\mathbf{z}_{\lambda}) + (w+1)\log p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda})] \\ &= -\sigma_{\lambda}\nabla_{\mathbf{z}_{\lambda}}[\log p(\mathbf{z}_{\lambda}|\mathbf{c}) + w\log p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda})] \end{aligned}$$

Same Diffusion score

# Guidance

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## □ Classifier Guidance

Conditional Model

Better Performance

$$\tilde{p}_{\theta}(\mathbf{z}_{\lambda}|\mathbf{c}) \propto p_{\theta}(\mathbf{z}_{\lambda}|\mathbf{c})p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda})^w$$

Unconditional Model

$$p_{\theta}(\mathbf{z}_{\lambda}|\mathbf{c})p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda})^w \propto p_{\theta}(\mathbf{z}_{\lambda})p_{\theta}(\mathbf{c}|\mathbf{z}_{\lambda})^{w+1}$$



Classifier-guided conditional model is used for comparison

# Guidance

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## ❑ Classifier-Free Guidance (CFG)

**Much simpler implementation**

**Classifier-guided effect without extra classifier**

# Guidance

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## □ Training Classifier-Free Guidance (CFG) diffusion model

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**Algorithm 1** Joint training a diffusion model with classifier-free guidance

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**Require:**  $p_{\text{uncond}}$ : probability of unconditional training

- 1: **repeat**
  - 2:    $(\mathbf{x}, \mathbf{c}) \sim p(\mathbf{x}, \mathbf{c})$
  - 3:    $\mathbf{c} \leftarrow \emptyset$  with probability  $p_{\text{uncond}}$
  - 4:    $\lambda \sim p(\lambda)$
  - 5:    $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
  - 6:    $\mathbf{z}_\lambda = \alpha_\lambda \mathbf{x} + \sigma_\lambda \epsilon$
  - 7:   Take gradient step on  $\nabla_\theta \|\epsilon_\theta(\mathbf{z}_\lambda, \mathbf{c}) - \epsilon\|^2$
  - 8: **until** converged
- } Similar training process to that of DDPM
-

# Guidance

## □ Training Classifier-Free Guidance (CFG) diffusion model

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**Algorithm 1** Joint training a diffusion model with classifier-free guidance

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- 5:    $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 6:    $\mathbf{z}_\lambda = \alpha_\lambda \mathbf{x} + \sigma_\lambda \epsilon$
- 7:   Take gradient step on  $\nabla_\theta \|\epsilon_\theta(\mathbf{z}_\lambda, \mathbf{c}) - \epsilon\|^2$
- 8: **until** converged

Unconditional model & Conditional model  
is being trained with 1 neural network



Hyperparameter  $p_{\text{uncond}}$  decides **which model to train** during the current iteration

# Guidance

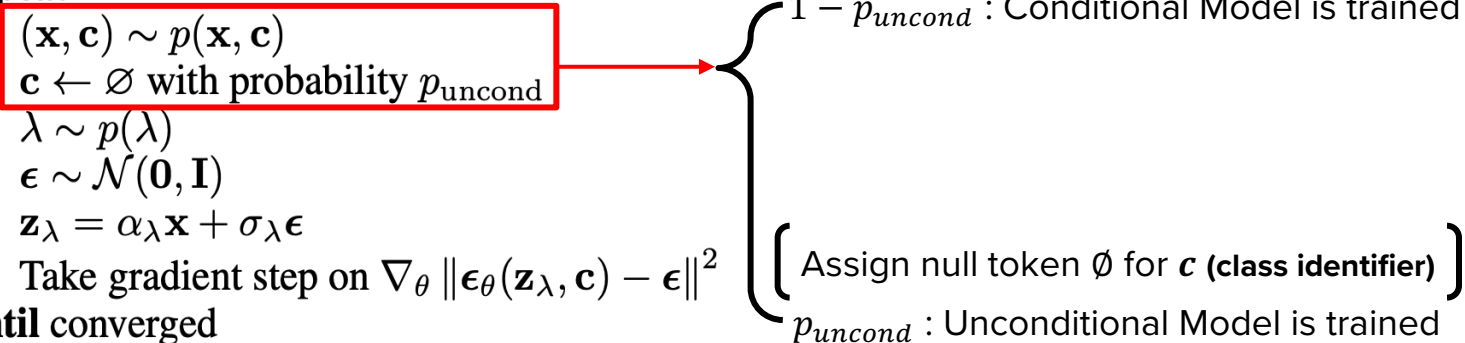
## □ Training Classifier-Free Guidance (CFG) diffusion model

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**Algorithm 1** Joint training a diffusion model with classifier-free guidance

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- 1: **repeat**
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  - 4:    $\lambda \sim p(\lambda)$
  - 5:    $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
  - 6:    $\mathbf{z}_\lambda = \alpha_\lambda \mathbf{x} + \sigma_\lambda \epsilon$
  - 7:   Take gradient step on  $\nabla_\theta \|\epsilon_\theta(\mathbf{z}_\lambda, \mathbf{c}) - \epsilon\|^2$
  - 8: **until** converged
- 
- $1 - p_{\text{uncond}}$  : Conditional Model is trained
- $\left[ \text{Assign null token } \emptyset \text{ for } \mathbf{c} \text{ (class identifier)} \right]$
- $p_{\text{uncond}}$  : Unconditional Model is trained

# Guidance

## □ Training Classifier-Free Guidance (CFG) diffusion model

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**Algorithm 1** Joint training a diffusion model with classifier-free guidance

---

**Require:**  $p_{\text{uncond}}$ : probability of unconditional training

- 1: **repeat**
  - 2:    $(\mathbf{x}, \mathbf{c}) \sim p(\mathbf{x}, \mathbf{c})$
  - 3:    $\mathbf{c} \leftarrow \emptyset$  with probability  $p_{\text{uncond}}$
  - 4:    $\lambda \sim p(\lambda)$
  - 5:    $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
  - 6:    $\mathbf{z}_\lambda = \alpha_\lambda \mathbf{x} + \sigma_\lambda \epsilon$
  - 7:   Take gradient step on  $\nabla_\theta \|\epsilon_\theta(\mathbf{z}_\lambda, \mathbf{c}) - \epsilon\|^2$
  - 8: **until** converged
- 
- The diagram illustrates the branching of the training process based on the probability  $p_{\text{uncond}}$ . A red line from step 3 branches to two paths: one to step 7 labeled  $1 - p_{\text{uncond}} : \epsilon_\theta(\mathbf{z}_\lambda, \mathbf{c})$  and another to a label  $p_{\text{uncond}} : \epsilon_\theta(\mathbf{z}_\lambda)$ .



# Guidance

## □ Sampling from Classifier-Free Guidance (CFG) diffusion model

**Algorithm 2** Conditional sampling with classifier-free guidance

**Require:**  $w$ : guidance strength

**Require:**  $\mathbf{c}$ : conditioning information for conditional sampling  $\Rightarrow$  Conditions utilized during training

**Require:**  $\lambda_1, \dots, \lambda_T$ : increasing log SNR sequence with  $\lambda_1 = \lambda_{\min}, \lambda_T = \lambda_{\max}$

1:  $\mathbf{z}_1 \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$

2: **for**  $t = 1, \dots, T$  **do**

    ▷ Form the classifier-free guided score at log SNR  $\lambda_t$

3:  $\tilde{\epsilon}_t = (1 + w)\epsilon_{\theta}(\mathbf{z}_t, \mathbf{c}) - w\epsilon_{\theta}(\mathbf{z}_t)$  **Main point**

    ▷ Sampling step (could be replaced by another sampler, e.g. DDIM)

4:  $\tilde{\mathbf{x}}_t = (\mathbf{z}_t - \sigma_{\lambda_t} \tilde{\epsilon}_t) / \alpha_{\lambda_t}$

5:  $\mathbf{z}_{t+1} \sim \mathcal{N}(\tilde{\mu}_{\lambda_{t+1}|\lambda_t}(\mathbf{z}_t, \tilde{\mathbf{x}}_t), (\tilde{\sigma}_{\lambda_{t+1}|\lambda_t}^2)^{1-v} (\sigma_{\lambda_t|\lambda_{t+1}}^2)^v)$  if  $t < T$  else  $\mathbf{z}_{t+1} = \tilde{\mathbf{x}}_t$

6: **end for**

7: **return**  $\mathbf{z}_{T+1}$

Sampling Order  $\Rightarrow$

Also resemble  
DDPM sampling  
procedure

# Guidance

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## □ Suggested score from Classifier-Free Guidance

$$\tilde{\epsilon}_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) = (1 + w)\epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) - w\epsilon_{\theta}(\mathbf{z}_{\lambda})$$



$$\left[ \tilde{\epsilon}_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) = w(\epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) - \epsilon_{\theta}(\mathbf{z}_{\lambda})) + \epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) \right]$$

Parameter determines the strength

Implicit classifier guidance

# Guidance

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## □ Classifier-guided Effect

$$\left( \epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) - \epsilon_{\theta}(\mathbf{z}_{\lambda}) \right)$$

**Implicit classifier guidance**

**[ Recap – Classifier-guided Score ]**

$$\tilde{\epsilon}_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) = \underbrace{\epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c})}_{\substack{\text{Score} \\ \text{(Conditional generative model)}}} - w \underbrace{\sigma_{\lambda} \nabla_{\mathbf{z}_{\lambda}} \log p_{\theta}(\mathbf{c} | \mathbf{z}_{\lambda})}_{\substack{\text{Score} \\ \text{(Classifier)}}$$

# Guidance

## □ Classifier-guided Effect

$$(\epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) - \epsilon_{\theta}(\mathbf{z}_{\lambda}))$$

Implicit classifier guidance

$$\left( \begin{array}{c} \text{Just estimation, not actual classifier gradient} \\ \tilde{\epsilon}_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) = w(\epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) - \epsilon_{\theta}(\mathbf{z}_{\lambda})) + \epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) \end{array} \right)$$

Classifier Guidance

$$\tilde{\epsilon}_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) = \epsilon_{\theta}(\mathbf{z}_{\lambda}, \mathbf{c}) - w \sigma_{\lambda} \nabla_{\mathbf{z}_{\lambda}} \log p_{\theta}(\mathbf{c} | \mathbf{z}_{\lambda})$$

Score (Conditional generative model)      Score (Classifier)

# Experiments

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# Experiments

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## ❑ Experiment

### Main Purpose

Demonstrating attaining IS/FID trade-off similar to that of classifier guidance



Same model architecture & hyperparameter settings from classifier guidance

**Suboptimal for classifier-free guidance diffusion model**

# Experiments

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## ❑ Experiment Settings

IS/FID score calculation  
with 50K Samples

$$\lambda_{min} = -20, \lambda_{max} = 20$$

64 X 64  
Conditional  
ImageNet  
Model

Sampler noise interpolation coefficient  $v = \mathbf{0.3}$ , **400K** Training steps

128 X 128  
Conditional  
ImageNet  
Model

Sampler noise interpolation coefficient  $v = \mathbf{0.2}$ , **2.7M** Training steps

# Experiments

## □ Varying Classifier-Free Guidance Strength

| Model   |           | FID (↓)                           | IS (↑)                        |
|---|-----------|-----------------------------------|-------------------------------|
| ADM (Dhariwal & Nichol, 2021)   |           | 2.07                              | -                             |
| CDM (Ho et al., 2021)   |           | <b>1.48</b>                       | 67.95                         |
| Ours  |           | $p_{\text{uncond}} = 0.1/0.2/0.5$ |                               |
| <div style="display: flex; align-items: center;"> <div style="margin-right: 10px;">FID ↑ &amp; IS ↑</div> <div style="text-align: center;"> <div style="width: 20px; height: 100px; background: linear-gradient(to bottom, gray 49%, white 49%, white 51%, gray 51%); border: 1px solid gray; margin: 0 auto;"></div> <div style="margin-top: 5px;">w ↑</div> </div> </div> | $w = 0.0$ | 1.8 / 1.8 / 2.21                  | 53.71 / 52.9 / 47.61          |
|   | $w = 0.1$ | <b>1.55</b> / 1.62 / 1.91         | 66.11 / 64.58 / 56.1          |
|   | $w = 0.2$ | 2.04 / 2.1 / 2.08                 | 78.91 / 76.99 / 65.6          |
|   | $w = 0.3$ | 3.03 / 2.93 / 2.65                | 92.8 / 88.64 / 74.92          |
|   | $w = 0.4$ | 4.3 / 4 / 3.44                    | 106.2 / 101.11 / 84.27        |
|   | $w = 0.5$ | 5.74 / 5.19 / 4.34                | 119.3 / 112.15 / 92.95        |
|   | $w = 0.6$ | 7.19 / 6.48 / 5.27                | 131.1 / 122.13 / 102          |
|   | $w = 0.7$ | 8.62 / 7.73 / 6.23                | 141.8 / 131.6 / 109.8         |
|   | $w = 0.8$ | 10.08 / 8.9 / 7.25                | 151.6 / 140.82 / 116.9        |
|   | $w = 0.9$ | 11.41 / 10.09 / 8.21              | 161 / 150.26 / 124.6          |
|   | $w = 1.0$ | 12.6 / 11.21 / 9.13               | 170.1 / 158.29 / 131.1        |
|   | $w = 2.0$ | 21.03 / 18.79 / 16.16             | 225.5 / 212.98 / 183          |
|   | $w = 3.0$ | 24.83 / 22.36 / 19.75             | 250.4 / 237.65 / 208.9        |
|   | $w = 4.0$ | 26.22 / 23.84 / 21.48             | <b>260.2</b> / 248.97 / 225.1 |

Table 1: ImageNet 64x64 results ( $w = 0.0$  refers to non-guided models).



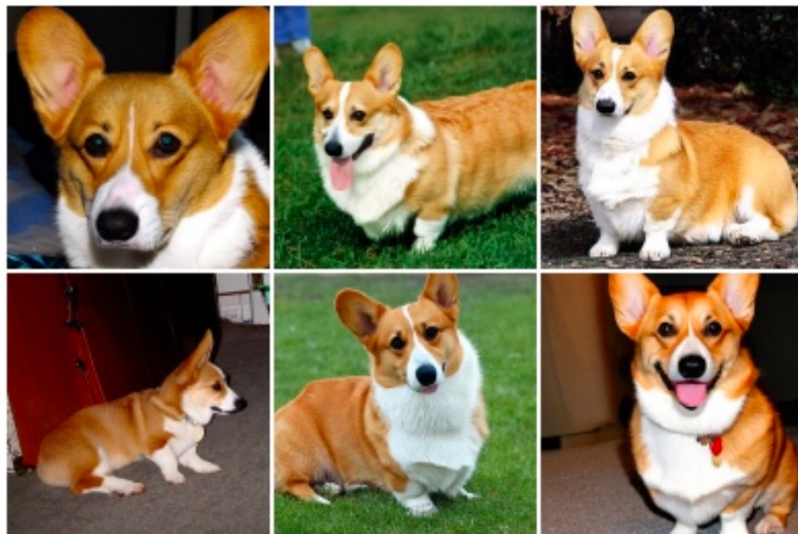
# Experiments

## □ Varying Classifier-Free Guidance Strength

$w = 0$  (Non-guided)



$w = 3.0$

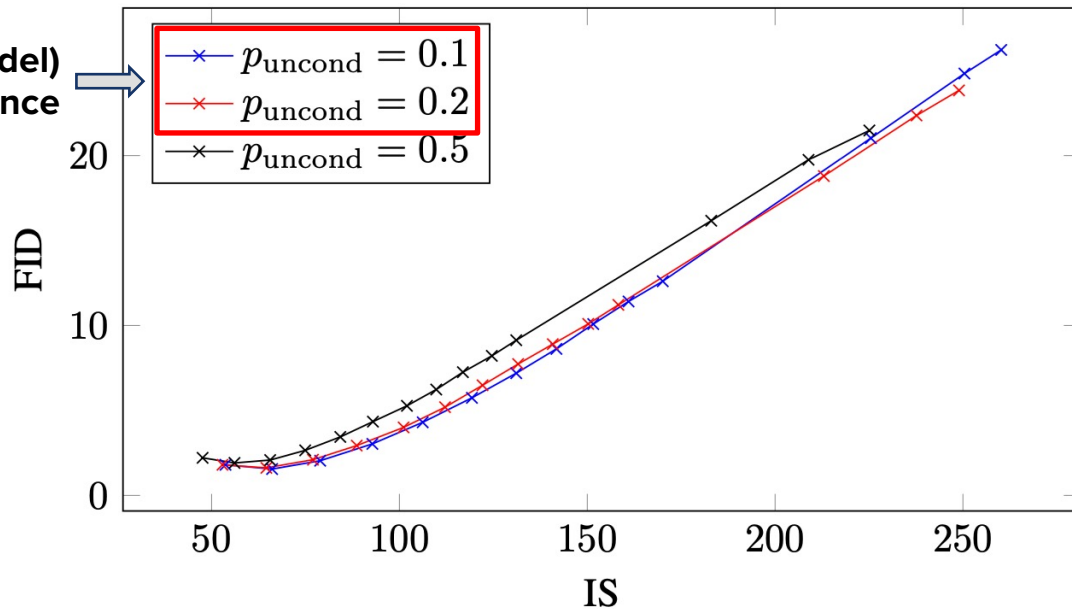


# Experiments

## □ Varying Unconditional Training Probability

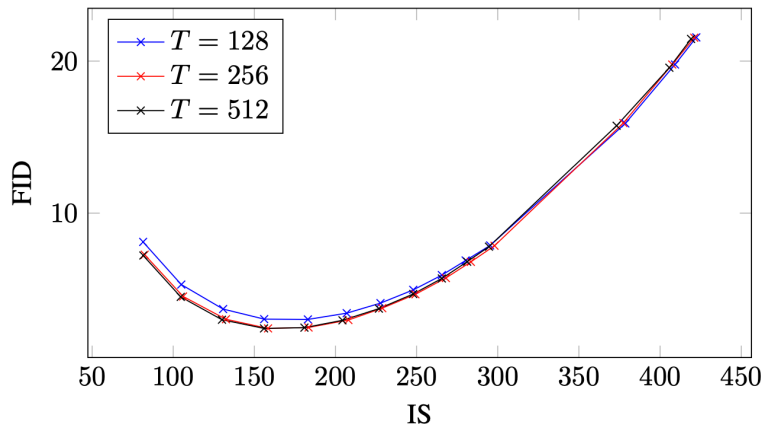
(Small portion for unconditional model)

Best Performance



# Experiments

## □ Varying Sampling Steps



| Model                                    | FID (↓) | IS (↑) |
|--|---------|--------|
| BigGAN-deep, max IS (Brock et al., 2019) | 25      | 253    |
| BigGAN-deep (Brock et al., 2019)         | 5.7     | 124.5  |
| CDM (Ho et al., 2021)                    | 3.52    | 128.8  |
| LOGAN (Wu et al., 2019)                  | 3.36    | 148.2  |
| ADM-G (Dhariwal & Nichol, 2021)          | 2.97    | -      |

| Ours      | $T = 128/256/1024$               |                                 |
|-----------|----------------------------------|---------------------------------|
| $w = 0.0$ | 8.11 / 7.27 / 7.22               | 81.46 / 82.45 / 81.54           |
| $w = 0.1$ | 5.31 / 4.53 / 4.5                | 105.01 / 106.12 / 104.67        |
| $w = 0.2$ | 3.7 / 3.03 / 3                   | 130.79 / 132.54 / 130.09        |
| $w = 0.3$ | 3.04 / <b>2.43</b> / <b>2.43</b> | 156.09 / 158.47 / 156           |
| $w = 0.4$ | 3.02 / 2.49 / 2.48               | 183.01 / 183.41 / 180.88        |
| $w = 0.5$ | 3.43 / 2.98 / 2.96               | 206.94 / 207.98 / 204.31        |
| $w = 0.6$ | 4.09 / 3.76 / 3.73               | 227.72 / 228.83 / 226.76        |
| $w = 0.7$ | 4.96 / 4.67 / 4.69               | 247.92 / 249.25 / 247.89        |
| $w = 0.8$ | 5.93 / 5.74 / 5.71               | 265.54 / 267.99 / 265.52        |
| $w = 0.9$ | 6.89 / 6.8 / 6.81                | 280.19 / 283.41 / 281.14        |
| $w = 1.0$ | 7.88 / 7.86 / 7.8                | 295.29 / 297.98 / 294.56        |
| $w = 2.0$ | 15.9 / 15.93 / 15.75             | 378.56 / 377.37 / 373.18        |
| $w = 3.0$ | 19.77 / 19.77 / 19.56            | 409.16 / 407.44 / 405.68        |
| $w = 4.0$ | 21.55 / 21.53 / 21.45            | <b>422.29</b> / 421.03 / 419.06 |

Table 2: ImageNet 128x128 results ( $w = 0.0$  refers to non-guided models).

# Experiments

## □ Varying Sampling Steps

### Classifier-Guidance Diffusion

$T = 256$

Best balance

between sample quality & sampling speed

CFG Diffusion model should go through **2 times of forward process**

**(Conditional & Unconditional Model Training)**

Leading to Slow Sampling Speed

| Model                                    | FID (↓) | IS (↑) |
|--|---------|--------|
| BigGAN-deep, max IS (Brock et al., 2019) | 25      | 253    |
| BigGAN-deep (Brock et al., 2019)         | 5.7     | 124.5  |
| CDM (Ho et al., 2021)                    | 3.52    | 128.8  |
| LOGAN (Wu et al., 2019)                  | 3.36    | 148.2  |
| ADM-G (Dhariwal & Nichol, 2021)          | 2.97    | -      |

| Ours      | $T = 128/256/1024$    |                          |
|-----------|-----------------------|--------------------------|
| $w = 0.0$ | 8.11 / 7.27 / 7.22    | 81.46 / 82.45 / 81.54    |
| $w = 0.1$ | 5.31 / 4.53 / 4.5     | 105.01 / 106.12 / 104.67 |
| $w = 0.2$ | 3.7 / 3.03 / 3        | 130.79 / 132.54 / 130.09 |
| $w = 0.3$ | 3.04 / 2.43 / 2.43    | 156.09 / 158.47 / 156    |
| $w = 0.4$ | 3.02 / 2.49 / 2.48    | 183.01 / 183.41 / 180.88 |
| $w = 0.5$ | 3.43 / 2.98 / 2.96    | 206.94 / 207.98 / 204.31 |
| $w = 0.6$ | 4.09 / 3.76 / 3.73    | 227.72 / 228.83 / 226.76 |
| $w = 0.7$ | 4.96 / 4.67 / 4.69    | 247.92 / 249.25 / 247.89 |
| $w = 0.8$ | 5.93 / 5.74 / 5.71    | 265.54 / 267.99 / 265.52 |
| $w = 0.9$ | 6.89 / 6.8 / 6.81     | 280.19 / 283.41 / 281.14 |
| $w = 1.0$ | 7.88 / 7.86 / 7.8     | 295.29 / 297.98 / 294.56 |
| $w = 2.0$ | 15.9 / 15.93 / 15.75  | 378.56 / 377.37 / 373.18 |
| $w = 3.0$ | 19.77 / 19.77 / 19.56 | 409.16 / 407.44 / 405.68 |
| $w = 4.0$ | 21.55 / 21.53 / 21.45 | 422.29 / 421.03 / 419.06 |

Table 2: ImageNet 128x128 results ( $w = 0.0$  refers to non-guided models).

# Thank you

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