

# Foundations of Computer Science

## Comp109

---

University of Liverpool

Boris Konev

konev@liverpool.ac.uk

## Part 2. (Naive) Set Theory

Comp109 Foundations of Computer Science

- S. Epp. *Discrete Mathematics with Applications* Chapter 6
- K. H. Rosen. *Discrete Mathematics and Its Applications* Chapter 2

# Contents

- Notation for *sets*.
- Important sets.
- What is a *subset* of a set?
- When are two sets *equal*?
- *Operations* on sets.
- *Algebra* of sets.
- Bit strings.
- *Cardinality* of sets.
- Russell's paradox.

# Notation

A *set* is a collection of objects, called the *elements* of the set. For example:

- $\{7, 5, 3\}$ ;
- $\{\text{Liverpool}, \text{Manchester}, \text{Leeds}\}$ .

We have written down the elements of each set and contained them between the *braces*  $\{ \}$ .

We write  $a \in A$  to denote that the object  $a$  is an element of the set  $A$ :

$$7 \in \{7, 5, 3\}, \quad 4 \notin \{7, 5, 3\}.$$

# Notes

- The order of elements does not matter
- Repeats do not count



# Notation

For a large set, especially an infinite set, we cannot write down all the elements. We use a **predicate**  $P$  instead.

$$A = \{x \in S \mid P(x)\}$$

denotes the set of objects  $x$  from  $S$  for which the predicate  $P(x)$  is true.

**Examples:** Let  $A = \{1, 3, 5, 7, \dots\}$ . Then

$$A = \{x \in \mathbb{Z} \mid x \text{ is odd}\}$$

Very informal notation:

$$A = \{2n-1 \mid n \text{ is a positive integer}\} = \{m \in \mathbb{Z} \mid m = 2n-1 \text{ for some integer } n\}.$$

## More examples

Find simpler descriptions of the following sets by listing their elements:

- $A = \{x \in \mathbb{Z} \mid x^2 + 4x = 12\};$
- $B = \{n^2 \mid n \text{ is an integer}\}.$
- $C = \{x \mid x \text{ a day of the week not containing "u"}\};$



## Important sets (notation)

The **empty** set has no elements. It is written as  $\emptyset$  or as  $\{\}$ .

We have seen some other examples of sets in Part 1.

- $\mathbb{N} = \{0, 1, 2, 3, \dots\}$  (the natural numbers)
- $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$  (the integers)
- $\mathbb{Z}^+ = \{1, 2, 3, \dots\}$  (the positive integers)
- $\mathbb{Q} = \{x/y \mid x \in \mathbb{Z}, y \in \mathbb{Z}, y \neq 0\}$  (the rationals)
- $\mathbb{R}$ : (real numbers)
  - $[a, b] = \{x \in \mathbb{R} \mid a \leq x \leq b\}$  the set of real numbers between  $a$  and  $b$  (inclusive)

## Detour: Sets in python

Sets are the 'most elementary' data structures (though they don't always map well into the underlying hardware).

Some modern programming languages feature sets.

- For example, in Python one writes

```
empty = set()  
m = { 'a' , 'b' , 'c' }  
n = {1, 2}  
print 'a' in m
```

## (Computer) representation of sets

Only finite sets can be represented

- Number of elements not fixed: List (?)      Java&Python do differently
- All elements of  $A$  are drawn from some **ordered sequence**  $S = \langle s_1, \dots, s_n \rangle$ :  
the **characteristic vector** of  $A$  is the sequence  $[b_1, \dots, b_n]$  where

$$b_i = \begin{cases} 1 & \text{if } s_i \in A \\ 0 & \text{if } s_i \notin A \end{cases}$$

Sequences of zeros and ones of length  $n$  are called *bit strings* of length  $n$ . AKA *bit vectors* AKA *bit arrays*

## Example

Let  $S = \langle 1, 2, 3, 4, 5 \rangle$ ,  $A = \{1, 3, 5\}$  and  $B = \{3, 4\}$ .

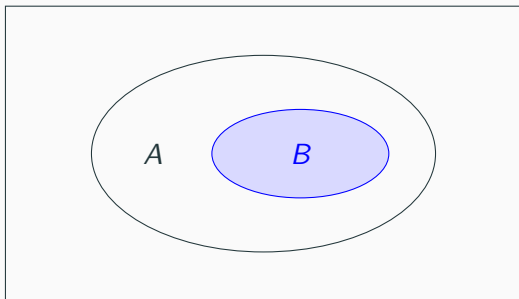
- The characteristic vector of  $A$  is  $[1, 0, 1, 0, 1]$ .
- The characteristic vector of  $B$  is  $[0, 0, 1, 1, 0]$ .
- The set characterised by  $[1, 1, 1, 0, 1]$  is  $\{1, 2, 3, 5\}$ .
- The set characterised by  $[1, 1, 1, 1, 1]$  is  $\{1, 2, 3, 4, 5\}$ .
- The set characterised by  $[0, 0, 0, 0, 0]$  is ...

# Subsets

**Definition** A set  $B$  is called a *subset* of a set  $A$  if every element of  $B$  is an element of  $A$ . This is denoted by  $B \subseteq A$ .

**Examples:**

$$\{3, 4, 5\} \subseteq \{1, 5, 4, 2, 1, 3\}, \{3, 3, 5\} \subseteq \{3, 5\}, \{5, 3\} \subseteq \{3, 5\}.$$



**Figure 1:** Venn diagram of  $B \subseteq A$ .

## Detour: Subsets in Python

```
def isSubset(A, B):  
    for x in A:  
        if x not in B:  
            return False  
    return True
```

Testing the method:

```
print isSubset(n,m)
```

But then there is a built-in operation:

```
print n <= m
```

## Subsets and bit vectors

Let  $S = \langle 1, 2, 3, 4, 5 \rangle$ ,  $A = \{1, 3, 5\}$  and  $B = \{3, 4\}$ .

- Is  $A \subseteq B$ ?

- Is the set  $C$ , represented by  $[1, 0, 0, 0, 1]$ , a subset of the set  $D$ , represented by  $[1, 1, 0, 0, 1]$ ?

**Definition** A set  $A$  is called *equal* to a set  $B$  if  $A \subseteq B$  and  $B \subseteq A$ . This is denoted by  $A = B$ .

**Examples:**

$$\{1\} = \{1, 1, 1\},$$

$$\{1, 2\} = \{2, 1\},$$

$$\{5, 4, 4, 3, 5\} = \{3, 4, 5\}.$$



## Equality and bit vectors

Let  $S = \langle 1, 2, 3, 4, 5 \rangle$ ,  $A = \{1, 3, 5\}$  and  $B = \{3, 4\}$ .

- Is  $A = B$ ?

- Is the set  $C$ , represented by  $[1, 0, 0, 0, 1]$ , equal to the set  $D$ , represented by  $[1, 1, 0, 0, 1]$ ?

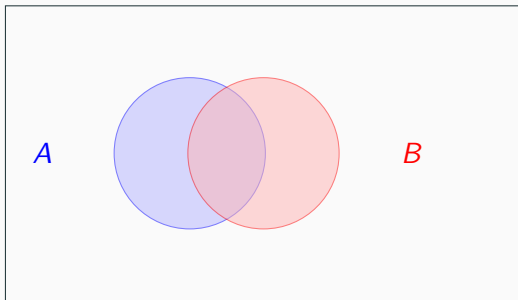
## Set operations

---

# The union of two sets

**Definition** The union of two sets  $A$  and  $B$  is the set

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}.$$



**Figure 2:** Venn diagram of  $A \cup B$ .

## Example

Suppose

$$A = \{4, 7, 8\}$$

and

$$B = \{4, 9, 10\}.$$

Then

$$A \cup B = \{4, 7, 8, 9, 10\}.$$

## Detour: Set union in Python

```
def union(A, B):  
    result = set()  
    for x in A:  
        result.add(x)  
    for x in B:  
        result.add(x)  
    return result
```

Testing the method:

```
print union(m, n)
```

But then there is a built-in operation:

```
print m.union(n)
```

## Union of sets represented by bit vectors

Let  $S = \langle 1, 2, 3, 4, 5 \rangle$ ,  $A = \{1, 3, 5\}$  and  $B = \{3, 4\}$ .

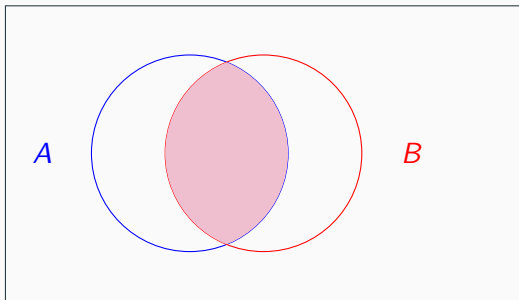
- Compute  $A \cup B$ .

- Compute the union of the set  $C$ , represented by  $[1, 0, 0, 0, 1]$ , and the set  $D$ , represented by  $[1, 1, 0, 0, 1]$ .

# The intersection of two sets

**Definition** The intersection of two sets  $A$  and  $B$  is the set

$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}.$$



**Figure 3:** Venn diagram of  $A \cap B$ .

## Example

Suppose

$$A = \{4, 7, 8\}$$

and

$$B = \{4, 9, 10\}.$$

Then

$$A \cap B = \{4\}$$



## Detour: Set intersection in Python

```
def intersection(A, B):  
    result = set()  
    for x in A:  
        if x in B:  
            result.add(x)  
    return result
```

Testing the method:

```
print intersection(m, n)  
print intersection(n, {1})
```

But then there is a built-in operation:

```
print n.intersection({1})
```

## Intersection of sets represented by bit vectors

Let  $S = \langle 1, 2, 3, 4, 5 \rangle$ ,  $A = \{1, 3, 5\}$  and  $B = \{3, 4\}$ .

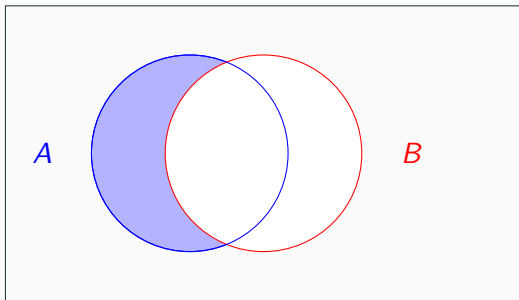
- Compute  $A \cap B$ .

- Compute the intersection of the set  $C$ , represented by  $[1, 0, 0, 0, 1]$ , and the set  $D$ , represented by  $[1, 1, 0, 0, 1]$ .

## The relative complement

**Definition** The relative complement of a set  $B$  relative to a set  $A$  is the set

$$A - B = \{x \mid x \in A \text{ and } x \notin B\}.$$



**Figure 4:** Venn diagram of  $A - B$ .

## Example

Suppose

$$A = \{4, 7, 8\}$$

and

$$B = \{4, 9, 10\}.$$

Then

$$A - B = \{7, 8\}$$

## Detour: Set complement in Python

```
def complement(A, B):  
    result = set()  
    for x in A:  
        if x not in B:  
            result.add(x)  
    return result
```

Testing the method:

```
print complement(m, {'a'})
```

But then there is a built-in operation:

```
print m - {'a'}
```

## Relative complement and bit vectors

Let  $S = \langle 1, 2, 3, 4, 5 \rangle$ ,  $A = \{1, 3, 5\}$  and  $B = \{3, 4\}$ .

- Compute  $A - B$ .

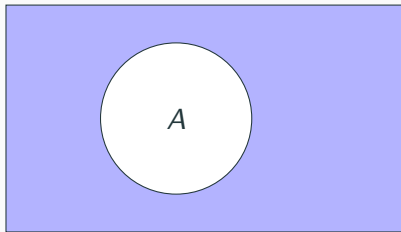
- Compute the relative complement of the set  $C$ , represented by  $[1, 0, 0, 0, 1]$ , related to the set  $D$ , represented by  $[1, 1, 0, 0, 1]$ .

## The complement

When we are dealing with subsets of some large set  $U$ , then we call  $U$  the *universal set* for the problem in question.

**Definition** The complement of a set  $A$  is the set

$$\sim A = \{x \mid x \notin A\} = U - A.$$



**Figure 5:** Venn diagram of  $\sim A$ . (The rectangle is  $U$ )

## Complement and bit vectors

Let  $S = \langle 1, 2, 3, 4, 5 \rangle$ ,  $A = \{1, 3, 5\}$  and  $B = \{3, 4\}$ .

- Compute  $\sim A$ .

- Compute  $\sim B$ .

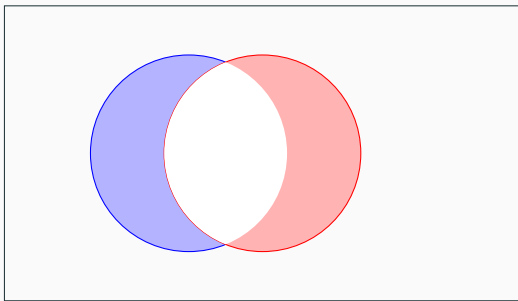
- Compute the complement of the set  $C$ , represented by  $[1, 0, 0, 0, 1]$ .



# The symmetric difference

**Definition** The symmetric difference of two sets  $A$  and  $B$  is the set

$$A \Delta B = \{x \mid (x \in A \text{ and } x \notin B) \text{ or } (x \notin A \text{ and } x \in B)\}.$$



**Figure 6:** Venn diagram of  $A \Delta B$ .

## Example

Suppose

$$A = \{4, 7, 8\}$$

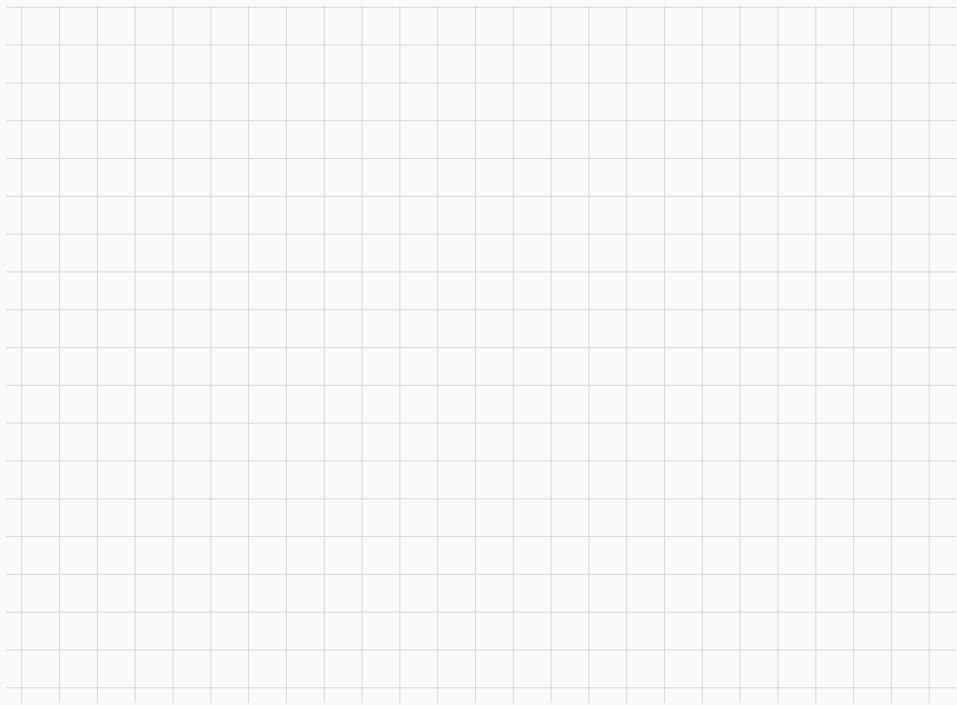
and

$$B = \{4, 9, 10\}.$$

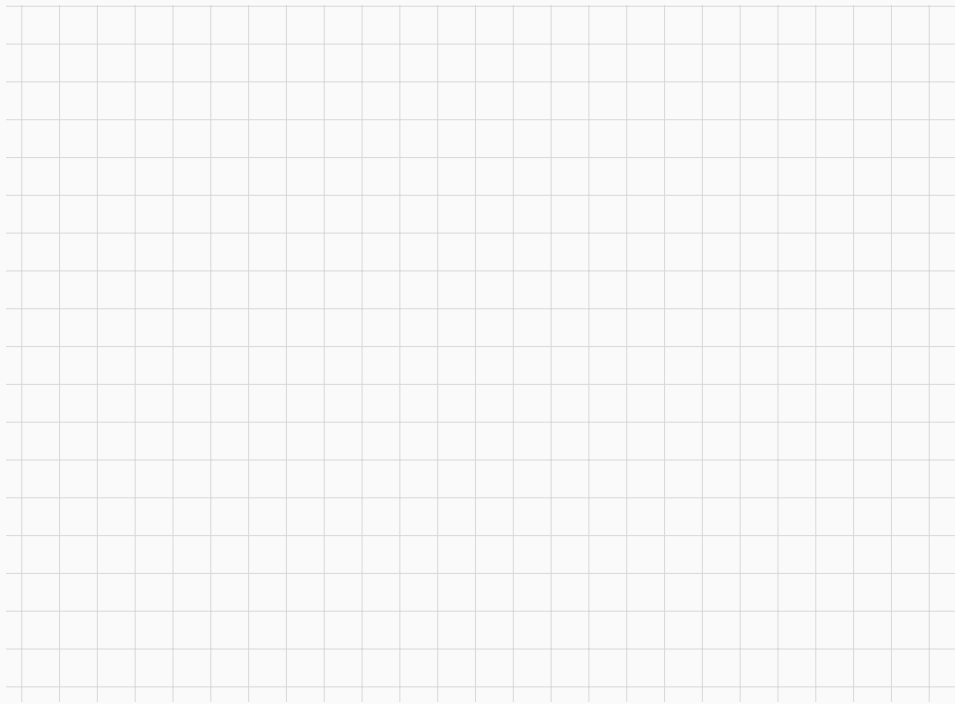
Then

$$A \Delta B = \{7, 8, 9, 10\}$$

**Proving identities:**  $A \Delta B = (A \cup B) - (A \cap B)$



Proof continues



# The algebra of sets

---

# The algebra of sets (1)

Suppose that  $A, B, C, U$  are sets with  $A \subseteq U, B \subseteq U, C \subseteq U$

**Commutative laws** (a)  $A \cup B = B \cup A$  and (b)  $A \cap B = B \cap A$ .

**Associative laws** (a)  $A \cup (B \cup C) = (A \cup B) \cup C$  and  
(b)  $A \cap (B \cap C) = (A \cap B) \cap C$ .

**Distributive laws** (a)  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$  and  
(b)  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ .

**Identity laws** (a)  $A \cup \emptyset = A$  and (b)  $A \cap U = A$

**Complement laws** (a)  $A \cup \sim A = U$  and (b)  $A \cap \sim A = \emptyset$ .

## The algebra of sets (2)

**Double complement law**  $\sim(\sim A) = A.$

**Idempotent laws** (a)  $A \cup A = A$  and (b)  $A \cap A = A.$

**Universal bound laws** (a)  $A \cup U = U$  and (b)  $A \cap \emptyset = \emptyset.$

**De Morgan's law** (a)  $\sim(A \cup B) = \sim A \cap \sim B$  and  
(b)  $\sim(A \cap B) = \sim A \cup \sim B$

**Absorption laws** (a)  $A \cup (A \cap B) = A$  and (b)  $A \cap (A \cup B) = A$

**Complement of  $U$  and  $\emptyset$**  (a)  $\sim U = \emptyset$  and (b)  $\sim \emptyset = U$

**Set difference law**  $A - B = A \cap \sim B$

## Proving the commutative law $A \cup B = B \cup A$

Definition:  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$   $B \cup A = \{x \mid x \in B \text{ or } x \in A\}$ .

These are the same set. To see this, check all possible cases.

**Case 1: Suppose  $x \in A$  and  $x \in B$ .** Since  $x \in A$ , the definitions above show that  $x$  is in both  $A \cup B$  and  $B \cup A$ .

**Case 2: Suppose  $x \in A$  and  $x \notin B$ .** Since  $x \in A$ , the definitions above show that  $x$  is in both  $A \cup B$  and  $B \cup A$ .

**Case 3: Suppose  $x \notin A$  and  $x \in B$ .** Since  $x \in B$ , the definitions above show that  $x$  is in both  $A \cup B$  and  $B \cup A$ .

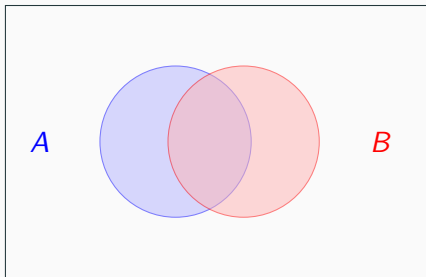
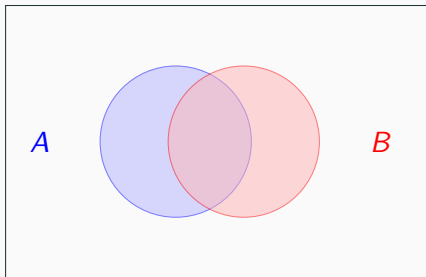
**Case 4: Suppose  $x \notin A$  and  $x \notin B$ .** The definitions above show that  $x$  is not in  $A \cup B$  and  $x$  is not in  $B \cup A$ .

So, for all possible  $x$ , either  $x$  is in both  $A \cup B$  and  $B \cup A$ , or it is in neither. We conclude that the sets  $A \cup B$  and  $B \cup A$  are the same.



## De Morgan's laws

$$\sim (A \cap B) = \sim A \cup \sim B.$$



## A proof of De Morgan's law $\sim (A \cap B) = \sim A \cup \sim B$

**Case 1: Suppose  $x \in A$  and  $x \in B$ .** From the definition of  $\cap$ ,  $x \in A \cap B$ . So from the definition of  $\sim$ ,  $x \notin \sim (A \cap B)$ . From the definition of  $\sim$ ,  $x \notin \sim A$  and also  $x \notin \sim B$ . So from the definition of  $\cup$ ,  $x \notin \sim A \cup \sim B$ .

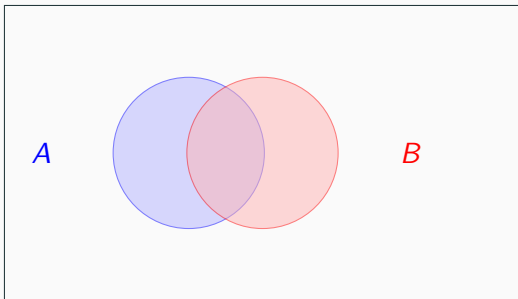
**Case 2: Suppose  $x \in A$  and  $x \notin B$ .** From the definition of  $\cap$ ,  $x \notin A \cap B$ . So from the definition of  $\sim$ ,  $x \in \sim (A \cap B)$ . From the definition of  $\sim$ ,  $x \notin \sim A$  but  $x \in \sim B$ . So from the definition of  $\cup$ ,  $x \in \sim A \cup \sim B$ .

**Case 3: Suppose  $x \notin A$  and  $x \in B$ .** From the definition of  $\cap$ ,  $x \notin A \cap B$ . So from the definition of  $\sim$ ,  $x \in \sim (A \cap B)$ . From the definition of  $\sim$ ,  $x \in \sim A$  but  $x \notin \sim B$ . So from the definition of  $\cup$ ,  $x \in \sim A \cup \sim B$ .

**Case 4: Suppose  $x \notin A$  and  $x \notin B$ .** From the definition of  $\cap$ ,  $x \notin A \cap B$ . So from the definition of  $\sim$ ,  $x \in \sim (A \cap B)$ . From the definition of  $\sim$ ,  $x \in \sim A$  and  $x \in \sim B$ . So from the definition of  $\cup$ ,  $x \in \sim A \cup \sim B$ .

## Using the algebra of sets

Prove that  $(A \cap \sim B) \cup (B \cap \sim A) = (A \cup B) \cap \sim (A \cap B)$ .



## Algebraic proof

$$\begin{aligned}(A \cup B) \cap \sim (A \cap B) &= (A \cup B) \cap (\sim A \cup \sim B) \text{ De Morgan} \\&= ((A \cup B) \cap \sim A) \cup ((A \cup B) \cap \sim B) \text{ distributive} \\&= (\sim A \cap (A \cup B)) \cup (\sim B \cap (A \cup B)) \text{ commutative} \\&= ((\sim A \cap A) \cup (\sim A \cap B)) \cup ((\sim B \cap A) \cup (\sim B \cap B)) \text{ distributive} \\&= ((A \cap \sim A) \cup (B \cap \sim A)) \cup ((A \cap \sim B) \cup (B \cap \sim B)) \text{ commutative} \\&= (\emptyset \cup (B \cap \sim A)) \cup ((A \cap \sim B) \cup \emptyset) \text{ complement} \\&= (A \cap \sim B) \cup (B \cap \sim A) \text{ commutative and identity}\end{aligned}$$

## Cardinality of sets

---

## Cardinality of sets

**Definition** The cardinality of a *finite* set  $A$  is the number of distinct elements in  $A$ , and is denoted by  $|A|$ .

## Example: The cardinality of the set of all subsets

**Definition** The **power set**  $Pow(A)$  of a set  $A$  is the set of all subsets of  $A$ . In other words,

$$Pow(A) = \{C \mid C \subseteq A\}.$$

For all  $n \in \mathbb{Z}^+$  and all sets  $A$ : if  $|A| = n$ , then  $|Pow(A)| = 2^n$ .

## Power set and bit vectors

We use the correspondence between bit vectors and subsets:  $|Pow(A)|$  is the number of bit vectors of length  $n$ .



The number of  $n$ -bit vectors is  $2^n$

We prove the statement by induction.

**Base Case:**



## The number of $n$ -bit vectors is $2^n$

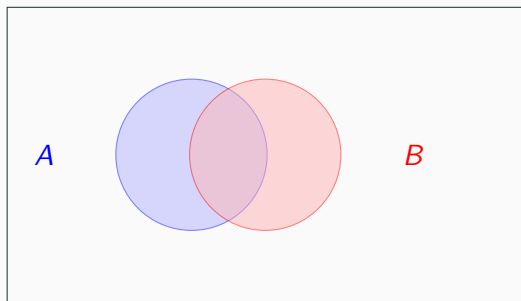
**Inductive Step:** Assume that the property holds for  $n = m$ , so the number of  $m$ -bit vectors is  $2^m$ . Now consider the set  $B$  of all  $(m + 1)$ -bit vectors. We must show that  $|B| = 2^{m+1}$ .



## Computing the cardinality of a union of two sets

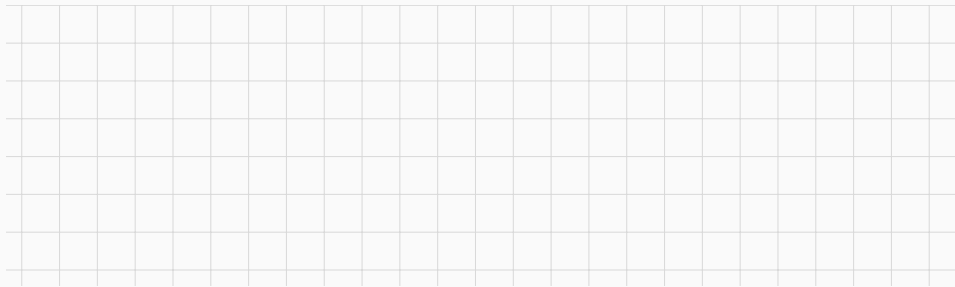
If  $A$  and  $B$  are sets then

$$|A \cup B| = |A| + |B| - |A \cap B|.$$



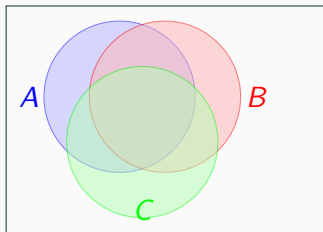
## Example

Suppose there are 100 third-year students. 40 of them take the module “Sequential Algorithms” and 80 of them take the module “Multi-Agent Systems”. 25 of them took both modules. How many students took neither modules?



## Computing the cardinality of a union of three sets

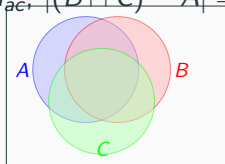
$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$



## Proof (optional)

We need lots of notation.

- $|A - (B \cup C)| = n_a$ ,  $|B - (A \cup C)| = n_b$ ,  $|C - (A \cup B)| = n_c$ ,
- $|(A \cap B) - C| = n_{ab}$ ,  $|(A \cap C) - B| = n_{ac}$ ,  $|(B \cap C) - A| = n_{bc}$ ,
- $|A \cap B \cap C| = n_{abc}$ .



Then

$$\begin{aligned}|A \cup B \cup C| &= n_a + n_b + n_c + n_{ab} + n_{ac} + n_{bc} + n_{abc} \\&= (n_a + n_{ab} + n_{ac} + n_{abc}) + (n_b + n_{ab} + n_{bc} + n_{abc}) \\&\quad + (n_c + n_{ac} + n_{bc} + n_{abc}) - (n_{ab} + n_{abc}) \\&\quad - (n_{ac} + n_{abc}) - (n_{bc} + n_{abc}) + n_{abc}\end{aligned}$$

These are special cases of the **principle of inclusion and exclusion**

# Principle of inclusion and exclusion

Let  $A_1, A_2, \dots, A_n$  be sets.

Then

$$\begin{aligned} |A_1 \cup \dots \cup A_n| &= \sum_{1 \leq k \leq n} |A_k| \\ &\quad - \sum_{1 \leq j < k \leq n} |A_j \cap A_k| \\ &\quad + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| \\ &\quad - \dots \\ &\quad + (-1)^{n-1} |A_1 \cap \dots \cap A_n|. \end{aligned}$$

## Russel's paradox

---



# Why is this set theory “naive”

It suffers from paradoxes.

A leading example:

*A barber is the man who shaves all those, and only those, men who do not shave themselves.*

- Who shaves the barber?

# Russell's Paradox

Russell's paradox shows that the 'object'  $\{x \mid P(x)\}$  is not always meaningful.

Set  $A = \{B \mid B \notin B\}$

Problem: do we have  $A \in A$ ?

Abbreviate, for any set  $C$ , by  $P(C)$  the statement  $C \notin C$ . Then  $A = \{B \mid P(B)\}$ .

- If  $A \in A$ , then (from the definition of  $P$ ), not  $P(A)$ . Therefore  $A \notin A$ .
- If  $A \notin A$ , then (from the definition of  $P$ ),  $P(A)$ . Therefore  $A \in A$ .