

### Lab ML for DS SS23

### **Project 2**

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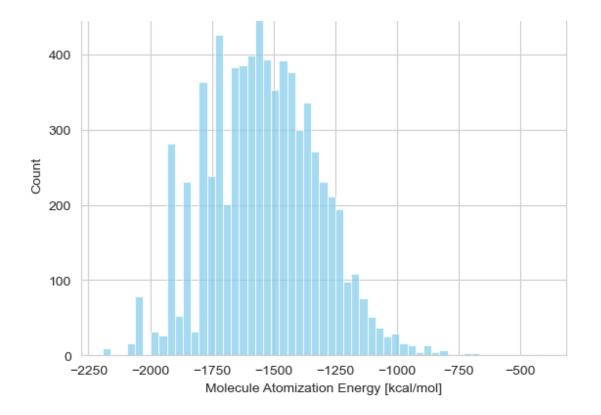
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```
In [86]:
          import numpy as np
          import pandas as pd
          from matplotlib import pyplot as plt
          import seaborn as sns
          from scipy.io import loadmat
          from scipy.spatial.distance import pdist
          from scipy.stats import norm
          from sklearn.model selection import train test split
          from sklearn.linear model import Ridge, Lasso, LinearRegression
          from sklearn.model selection import GridSearchCV
          from sklearn.model_selection import RepeatedKFold
          from sklearn.metrics import r2_score, mean_squared_error, mean_absolute_error
          from sklearn.model selection import ParameterGrid
          from sklearn.utils import shuffle
          from itertools import combinations_with_replacement, combinations
          import pickle
          # Set random seed
          np.random.seed(42)
```

### 1. Importing the QM7 Dataset

```
In [87]:
# The QM7 dataset consists of 7165 organic molecules, each of which is compa
qm7 = loadmat("./qm7.mat")
```

```
print(qm7.keys())
       dict_keys(['__header__', '__version__', '__globals__', 'X', 'R', 'Z', 'T', 'P
       '1)
In [88]:
         # R (7165×23×3) contains for each molecule and atom a triplet representing
         display(qm7['R'].shape)
         display(qm7['R'][0])
       (7165, 23, 3)
       array([[ 1.886438 , -0.00464873, -0.00823921],
              [ 3.9499245 , -0.00459203, 0.00782347],
              [ 1.1976895 , 1.9404842 , 0.00782347],
              [ 1.1849339 , -0.99726516, 1.6593875 ],
              [ 1.2119948 , -0.9589793 , -1.710958 ],
                        , 0.
                                     , 0.
              0.
                                                 1,
                        , 0.
                                     , 0.
              [ 0.
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              [ 0.
                           0.
                                       0.
                                                 ],
              [ 0.
                           0.
                                       0.
                                                 ]], dtype=float32)
In [89]:
         # Z (7165×23) contains for each molecule and atom of the molecule the corre
         # 0 == no atom at this index
         # 1 == hydrogen (H) 1
         # 6 == carbon (C) 4
         # 7 == nitrogen (N) 3
         # 8 == oxygen (0) 2
         # 16 == sulfur (S) 6
         display(qm7['Z'].shape)
         display(qm7['Z'][0])
         atoms = ["H", "C", "N", "O", "S"]
       (7165, 23)
       0., 0., 0., 0., 0.], dtype=float32)
In [90]:
         # T (1 x 7165) contains for each molecule the atomization energy (computed
         display(qm7['T'].shape)
         display(qm7['T'][0, 0])
         g = sns.histplot(qm7['T'][0], color="skyblue")
         g.set xlabel('Molecule Atomization Energy [kcal/mol]')
         plt.title("Distribution of Atomization Energy")
         plt.show()
       (1, 7165)
       -417.96
```



### 1.1 Visualizing Molecules

"Quick and dirty approach"

```
In [91]:
    nr = 6432

mol = qm7["R"][nr]
    sym = qm7["Z"][nr]

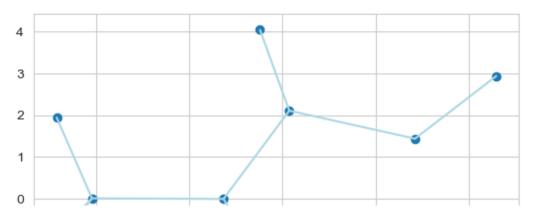
sym = sym[sym > 0]
    mol = mol[: len(sym)]

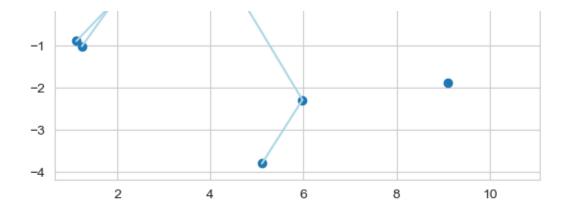
plt.scatter(x=mol[:, 0], y=mol[:, 1])

# generate bonds
thresh = 3

mask = np.argwhere(pdist(mol, metric="euclidean") < thresh)[:, 0]
bonds = np.vstack(np.triu_indices(len(mol), 1)).T[mask]

for i in range(len(bonds)):
    plt.plot(mol[bonds[i]][:, 0], mol[bonds[i]][:, 1], color="lightblue")</pre>
```





Observation: In this 2-D visualisation we chose a fixed threshold of three. This works well enough for many bonds, but not for all of them. Different atoms have different bond lengths: as can be seen in this particular example, one atom is further away from the others. In addition to that, some atoms have multiple bonds between the same atoms (e.g. double, tripple, etc.) which is not expressed in the visualisation.

#### **Atomic Simulation Environment**

To get a more sophisticated 3D-visualization we make use of some other libraries.

```
In [92]:
    from ase import Atoms
    import nglview
    import ase.visualize

    system = Atoms(positions=mol, symbols=sym)
    #ase.visualize.view(system, viewer="x3d")

    view = nglview.show_ase(system)
    view.add_ball_and_stick() # how to specify bonds?
    view

# Other viz approaches
    #https://www.kaggle.com/code/mykolazotko/3d-visualization-of-molecules-with

NGLWidget()
```

### 2. Simple atom-based Data Representation

We first decomposed the molecules into individual elements - atoms - and saved a representation of each molecule as an array with the counts of each atom type.

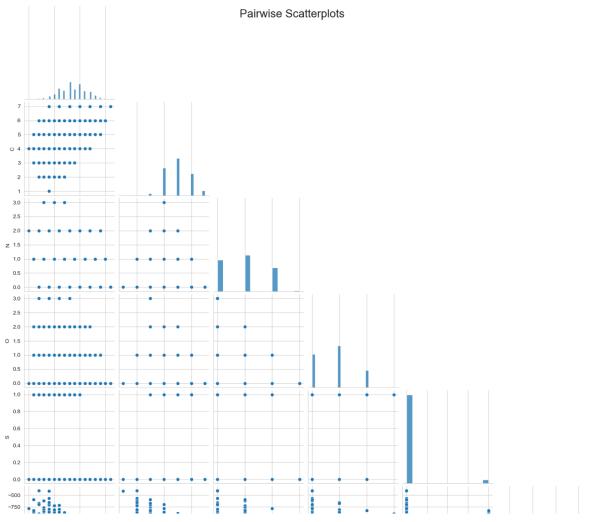
```
In [93]: # one hot encoding of [HCNOS]

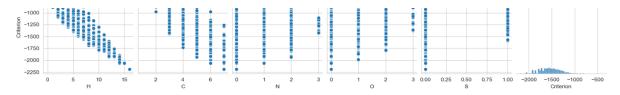
# 1 == hydrogen (H)
# 6 == carbon (C)
# 7 == nitrogen (N)
# 8 == oxygen (O)
# 16 == sulfur (S)

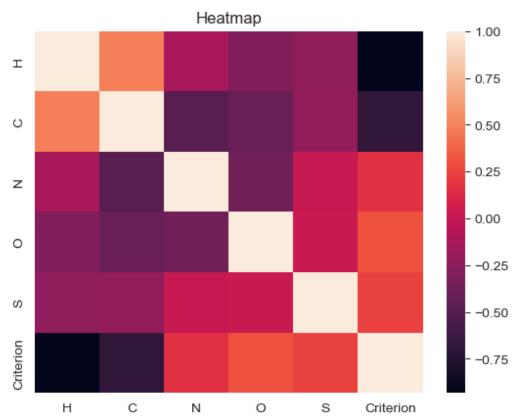
z = qm7["Z"].astype(np.int8)

z[z == 1] = 1
z[z == 6] = 2
z[z == 7] = 3
z[z == 8] = 4
```

```
z[z == 16] = 5
          Z \text{ hot} = np.eye(6)[z]
          Z_hot = Z_hot[:, :, 1:] # drop 0 dimension
          # sum of to create the representation
          x = np.sum(Z_hot, axis=1).astype(int)
          print("Shape:", x.shape)
          print("Resulting representations:")
          print(x)
       Shape: (7165, 5)
       Resulting representations:
       [[4 1 0 0 0]
        [62000]
         [ 4
                0 0
                       0 ]
         [ 9
             6 1 0
                      0]
         [10
             7
                0 0
                      0]
             7 0
         [12
                   0 0]]
In [94]:
          y = np.reshape(qm7["T"][0], (-1, 1))
          df = pd.DataFrame(np.concatenate((x, y), axis=1), columns = ["H", "C", "N", axis=1)]
          sns.pairplot(df, corner=True)
          plt.suptitle("Pairwise Scatterplots", fontsize=20)
          plt.show()
          sns.heatmap(df.corr())
          plt.title("Heatmap")
          plt.show()
```





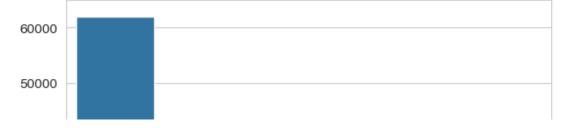


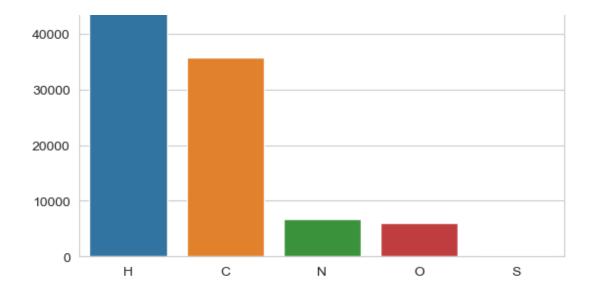
```
In [95]:
          print(df.corr())
                                     С
                                                          0
                          Η
                                               N
                                                                       Criterion
                              0.483864 - 0.125100 - 0.298140 - 0.236983
        Η
                   1.000000
                                                                       -0.931799
        С
                             1.000000 -0.486695 -0.413210 -0.225661
                                                                       -0.688390
        Ν
                  -0.125100 -0.486695 1.000000 -0.373496
                                                             0.017874
                                                                        0.169763
                  -0.298140 -0.413210 -0.373496
        0
                                                  1.000000
                                                             0.025079
                                                                        0.299107
                  -0.236983 -0.225661
                                        0.017874
        S
                                                  0.025079
                                                             1.000000
                                                                        0.243362
        Criterion -0.931799 -0.688390
                                        0.169763
                                                  0.299107
                                                             0.243362
                                                                        1.000000
```

Observation: The pairwise scatterplots and correlation matrix reveal a lack of multicollinearity in the data - the predictor variables are not highly correlated with one another, except for hydrogen and carbon (corr = 0.48). We can also see a strong linear relationship between the atomisation energy and hydrogen (corr = -0.93) as well as carbon (corr = -0.69).

```
In [96]:
    g = sns.barplot(x=atoms, y=np.sum(x, axis=0))
    g.set_title('Cumulative number of types of atoms in the data set')
    plt.show()
```

#### Cumulative number of types of atoms in the data set





Observation: It is worth noting that hydrogen and carbon are the most common atoms in the data set.

### 2.1 ML Model: Ridge Regression

We then split the data into train and test subsets and centered numbers of the atoms in the molecules.

```
In [97]:
          def split_and_center(X, y, test_size, shuffle=True):
              # split train / test
              X train, X test, y train, y test = train test split(X, y, test size=tes-
              # center data and target
              X_train_mean = np.mean(X_train, axis=0)
              y_train_mean = np.mean(y_train)
              print(X_train_mean)
              X_train = X_train - X_train_mean
              X_test = X_test - X_train_mean
              y_train = y_train - y_train_mean
              y_test = y_test - y_train_mean
              #y train = np.sqrt(y train)
              #y test = np.sqrt(y_test)
              print(y_test)
              return X_train, X_test, y_train, y_test
          X_train, X_test, y_train, y_test = split_and_center(x, qm7["T"][0], test_si
        [8.61894317 4.98703888 0.92961117 0.83808574 0.04287139]
                                    231.3977
        [-373.02234]
                        53.44763
                                                ... 172.48767
                                                                  -513.9824
           -1.2923584]
```

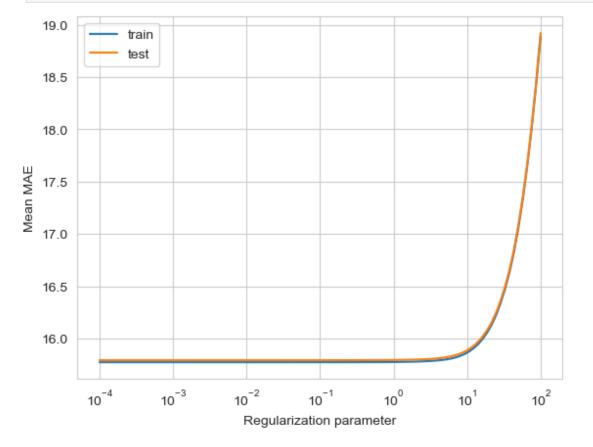
In order to tune the regularisation parameter alpha for the Ridge regression model, we performed a grid search on the training data.

```
In [98]:
# Grid search to tune alpha using 10-fold cross validation
    ridge = Ridge()
```

```
alpha_values = np.logspace(np.log10(1e-4), np.log10(100), num=100)
grid = dict(alpha=alpha_values)
search = GridSearchCV(
    ridge,
    grid,
    scoring=("neg_mean_absolute_error"), # 'r2', 'neg_mean_squared_error'
    cv=10,
    n_jobs=-1,
    refit="neg_mean_absolute_error",
    return_train_score=True,
)

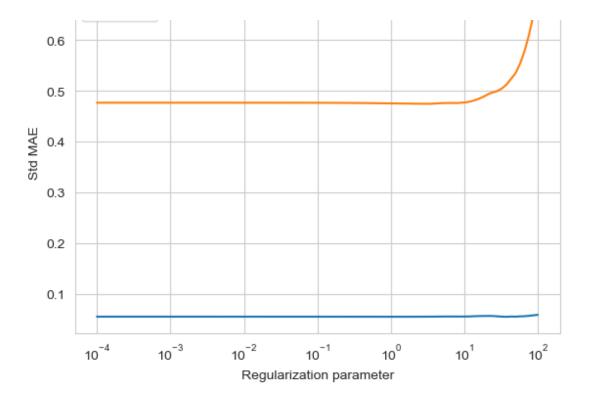
results = search.fit(X_train, y_train)
```

```
In [99]:
    ax = sns.lineplot(x=alpha_values, y = -results.cv_results_['mean_train_score
    ax = sns.lineplot(x=alpha_values, y = -results.cv_results_['mean_test_score
    ax.set_xscale('log')
    ax.set_xlabel('Regularization parameter')
    ax.set_ylabel('Mean MAE')
    plt.legend(loc='upper left')
    plt.show()
```



Observation: Low regualarisation parameter achieves the lowest MAE.

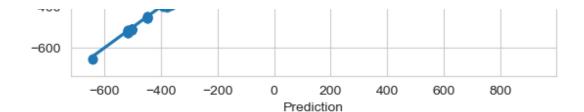
```
In [100...
    ax = sns.lineplot(x=alpha_values, y = results.cv_results_['std_train_score'
    ax = sns.lineplot(x=alpha_values, y = results.cv_results_['std_test_score']
    ax.set_xscale('log')
    ax.set_xlabel('Regularization parameter')
    ax.set_ylabel('Std MAE')
    plt.legend(loc='upper left')
    plt.show()
```



Observation: The standard deviation of the absolute error is very low and stable for low regularisation parameter.

We plotted the regression model using the very low regularisation parameter (alpha= 0.0001) which resulted in our parameter tuning.

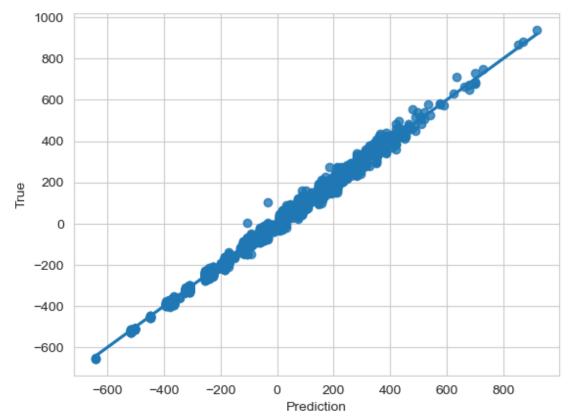
```
In [101...
          y_pred = search.best_estimator_.predict(X_test)
          print("Best parameter:", results.best_params_)
          print(f"R2: {r2_score(y_test, y_pred):.3f}")
          print(f"MAE: {mean_absolute_error(y_test, y_pred):.3f}")
          print(f"MSE: {mean_squared_error(y_test, y_pred):.3f}")
          g = sns.regplot(x=y_pred, y=y_test)
          g.set_xlabel("Prediction")
          g.set_ylabel("True")
          plt.show()
        Best parameter: {'alpha': 0.0001}
        R2: 0.992
        MAE: 15.461
        MSE: 403.582
           1000
            800
            600
            400
            200
              0
           -200
           -400
```



Since the regularisation parameter is so small we compared the results with performing a multiple linear regression without regularisation.

```
In [102...
          lr = LinearRegression().fit(X_train, y_train)
          y pred lr = lr.predict(X test)
          print(f"R2: {r2_score(y_test, y_pred_lr):.3f}")
          print(f"MAE: {mean_absolute_error(y_test, y_pred_lr):.3f}")
          print(f"MSE: {mean_squared_error(y_test, y_pred_lr):.3f}")
          g = sns.regplot(x=y_pred_lr, y=y_test)
          g.set xlabel("Prediction")
          g.set_ylabel("True")
          plt.show()
        R2: 0.992
        MAE: 15.461
```

MSE: 403.582

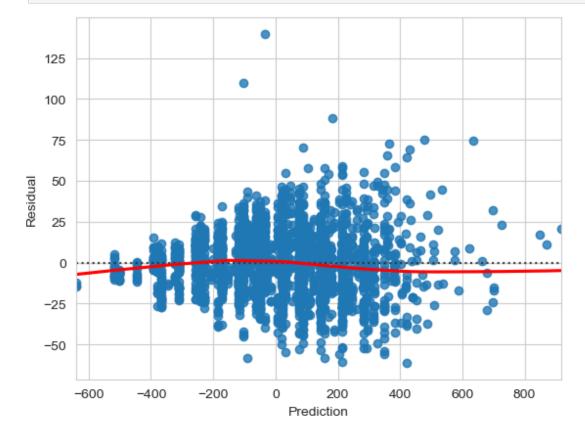


Observations: The explained variance and error scores for these two regression models are identical. This is due to the fact that in the Ridge regression the penalty parameter is effectively 0, basically resulting in unpenalised linear regression model. Taken together with the tendency for the Ridge model to perform increasingly worse with higher regularisation term, we conclude that the Ridge regression introduces a non-beneficial bias. The possible reduction of the variance of the estimator does not seem to recuperate/improve the overall performance. We can attribute this to fackt that we did not observe high multicolinearity in the data. In the absence of multicolinearity, linear regression tends to perform equally well or even better than Ridge regression. Ridge

Regression, on the other hand, can come in hand in the case of a high number of included covariates.

We also observed that the explained variance is very high, even when fitting the regression to a low number of data points. This, too, points to a strong linear relationship between the independent and dependent variables. We observed that the most common atoms in the data, hydrogen and carbon, had a high linear relationship with the criterion. We therefore conclude that the underlying relationship in the data is highly linear.

```
g = sns.residplot(x=y_pred, y=y_test, lowess=True, line_kws=dict(color="r")
g.set_xlabel("Prediction")
g.set_ylabel("Residual")
plt.show()
```



Observation: The LOWESS curve, even if not completely straight, is not showing a strong trend in the residual plot. However, there is more variance in the residuals of the positive predictions. This indicares heteroscedasticity in the data (the STDs of a predicted variable, monitored over different values of independent variables, are non-constant). This might point to biased standard errors, but should not affect the estimation of the regression coefficients, which explain 99.2 % of the variance in atomisation energy given our data set.

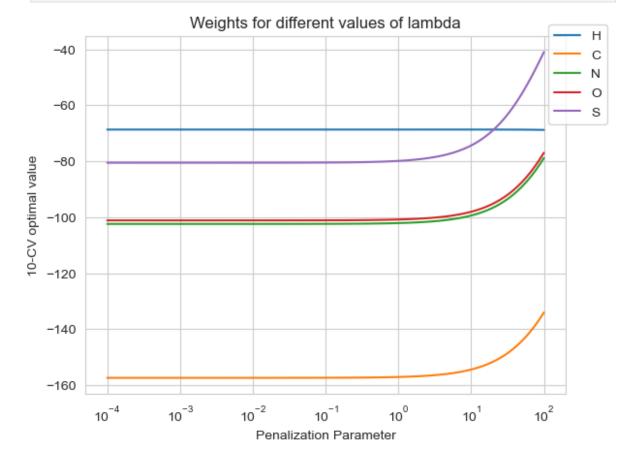
#### Visualization for intuition: Impact of penalization on weights

```
In [104...
    ridge_ = Ridge()

    weights_ = []
    scores_ = []
    for alpha in alpha_values:
        grid_ = dict(alpha = [alpha])
```

```
searcn_ = Gridsearchcv(ridge_, grid_, scoring=( neg_mean_squared_error
results_ = search_.fit(X_train, y_train)
weights_ += [results_.best_estimator_.coef_]
scores_ += [results_.best_score_]
weights_ = np.array(weights_)
```

```
In [105...
    plt.plot(alpha_values, weights_)
    plt.legend(atoms, bbox_to_anchor=(1.1, 1.05))
    plt.xscale("log")
    plt.title("Weights for different values of lambda")
    plt.ylabel("10-CV optimal value")
    plt.xlabel("Penalization Parameter")
    plt.show()
```



Intuitively, we can see how the penalization works. Ridge introduces a shrinkage towards 0 with an increasing value of the penalization paramter. This leads to an introduced bias which possibely can reduce the estimators performance (i.e. MSE) by reducing the variance of the estimator.

# 2.2 Explanations: Simple atom-based Representation

Fitting our best found model, we get the following MAE and weights for the different atom Types:

```
print(f"Best performing regularization parameter: {results.best_params_}")
print(f"MAE on validation set: {mean_absolute_error(y_test, search.best_est.
print("Weights Ri for H, C, N, O, S:", search.best_estimator_.coef_)
```

```
Best performing regularization parameter: {'alpha': 0.0001}
MAE on validation set: 15.461 kcal/mol
Weights Ri for H, C, N, O, S: [ -68.68727583 -157.410442 -102.41624528 -101
.15629173 -80.54594189]
```

In the following, we will compare our gained insights with existing chemical knowledge and literature.

Recap: As stated in the exercise, The atomization energy of a molecule is the energy generated by dissociating all atoms from the molecule, i.e. moving atoms far apart so that the bonds between atoms are broken. Because it consumes energy to break these bonds, the atomization energy is typically a negative quantity.

The atomization energy is a complex measure influenced by a variety of factors such as the incorporated bond strengths & lengths, molecular size, bond types, electronic configurations, intermolecular interactions and the molecular geometry. Related measures are the ionisation energy or electron affinity.

For the sake of simplicity, one can make use of the additivity property of the atomization energy of a molecule to get an estimate, i.e. add up the energy needed to break up the atom pairs within a molecule cf. Usefully, there are several tables of empirically determined values for different pairs of atoms. To double check our estimates, we will make use of the one found in [Neufingerl: Chemie 1 - Allgemeine und anorganische Chemie, Jugend & Volk, Wien 2006; ISBN 978-3-7100-1184-9. S. 47].

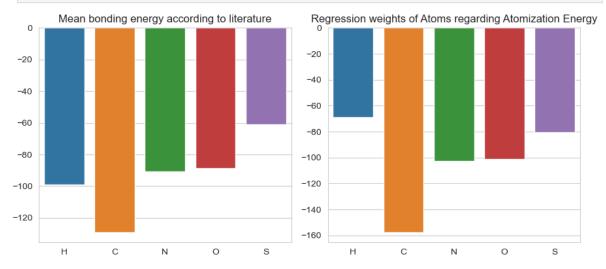
The bounding energy of pairs of atoms depend on the bond length, the polarity of the bond and the type of the bond (simple, double, triple, ...). The more bonds are prevalent within a pair of atoms, the harder it is to separate them. In the table below, if there are multiple values given it indicates the energy needed for different types of bonds. For further calculations, the equally weighted average is taken since we have no further knowledge in the dataset about the bond types given. It is important to note that this approach serves as a simplified orientation.

```
In [107...
          H = [436, 413, 391, 463, 367]
          C = [np.nan, (348 + 614 + 839)/3, (305 + 615 + 891)/3, (358 + 745)/2, (272)
          N = [np \cdot nan, np \cdot nan, (163 + 418 + 945)/3, (201 + 607)/2, 225]
          O = [np.nan, np.nan, np.nan, (146 + 498)/2, 420] # last entry: bond-type =
          S = [np.nan, np.nan, np.nan, np.nan, 255]
          binding energy pairs = np.array([H, C, N, O, S])
          print("Binding Energy table; order: (H,C,N,O,S):\n")
          print(binding energy pairs)
          mean binding energies = np.nanmean(binding energy pairs, axis=1)
          mean_binding_energies *= 0.239 # convert kJ to kcal
       Binding Energy table; order: (H,C,N,O,S):
```

```
463.
[[436.
           413.
                     391.
                                          367.
                                                    ]
       nan 600.33333333 603.66666667 551.5
                                          404.
[
                                                    1
             nan 508.6666667 404.
                                          225.
       nan
[
                                                    ]
                  nan nan 322.
                                          420.
[
        nan
                                                    ]
                                      nan 255.
        nan
                  nan
                             nan
                                                    ]]
```

```
In [108...
          fig, axs = plt.subplots(1,2, figsize=(9,4))
          g = sns.barplot(x=atoms, y=(-1) * mean_binding_energies, ax=axs[0])
          g.set_title("Mean bonding energy according to literature")
```

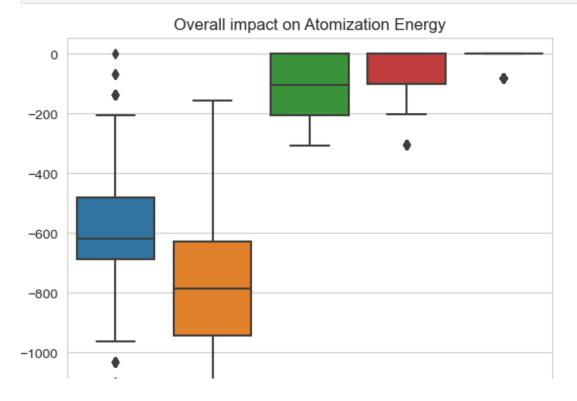
```
f = sns.barplot(x=atoms, y=search.best_estimator_.coef_, ax=axs[1])
f.set_title("Regression weights of Atoms regarding Atomization Energy")
plt.tight_layout()
plt.show()
```



Comparing our results with the above-described literature, we can see that our results nearly perfectly match the findings. This is true for the ordering as well as the magnitudes of the atom influences. The only exception here is the hydrogen atom which's impact is overestimated. Also, the Carbon impact is a little overestimated as well. However, as emphasized we are dealing with simplified approaches and differences may reasonably occur. One possible reason for this might be the pairwise discoverd correlations among H, C and the target variable.

Overall, the results seem surprisingly satisfying!

```
In [109... #fig = plt.figure(figsize=(10,10))
g = sns.boxplot(x * search.best_estimator_.coef_)
g.set_title("Overall impact on Atomization Energy")
g.set_xticklabels(atoms)
plt.show()
```



## 3. Pairs-of-atoms-based Data Representation

To take the mutual distances between atoms into consideration, we decomposed each molecule into its set of pairs of atoms. To do that, we generated a one-hot encoding of distances by binning them into multiple intervals. We inspected tables with lengths of different atom bonds, such as found here: <a href="http://www.pathwaystochemistry.com/wp-content/uploads/BondLengths.jpg">http://www.pathwaystochemistry.com/wp-content/uploads/BondLengths.jpg</a>. Based on this information, we assume that the shortest bond given our atom pairs must be HH with 1.4 a.u. and the longest - SO with 5.0 a.u. We therefore set the range of distances to (1.3, 5.1) in this data representation.

To avoid introducing unnatural discontinuities into the model, we enabled not only a hard indicator function, but also a soft indicator function (Gaussian function with mean at the center of the interval and fixed variance).

```
# create dictionary to translate atom combinations to number between 0 and
d = {c: i for i, c in enumerate(combinations_with_replacement([1, 2, 3, 4, 9])

# add inverse tuples pointing to same number
inv = {}
for c in d:
    inv[c[1], c[0]] = d[c]

# merge dictionaries
d = d | inv
del inv
```

In [111... # generate arrays with the distances and the types of all pairs N COMB = 824783pair dist = np.zeros(N COMB) # all distances pair\_type = np.zeros(N\_COMB).astype(int) # all types mol split = np.zeros(7165 + 1).astype(int) # indices where to split the arro pair idx = 0for i in range(len(z)): sym = z[i]sym = sym[sym != 0]mol = qm7["R"][i][: len(sym)]dist = pdist(mol, metric="euclidean") comb = [\*combinations(sym, r=2)] $n_{combs} = len(comb)$ pair\_dist[pair\_idx : pair\_idx + n\_combs] = dist pair\_type[pair\_idx : pair\_idx + n\_combs] = [d[x] for x in comb] mol split[i] = pair idx pair\_idx += n\_combs

```
In [112...
```

mol split[-1] = N COMB

```
intervals = np.linspace(THETA 1, THETA M, M)
interval_size = (THETA_M - THETA_1) / (M - 1)
interval_centeres = intervals[:-2] + interval_size
# generate phi A(Ei) using specified hard or soft encoding
phi A = np.zeros((len(pair dist), M))
if soft:
    for j, mu in enumerate(interval centeres):
        phi_A[:, j] = norm.pdf(pair_dist, loc=mu, scale=STD)
    indices = np.floor((pair dist - THETA 1) / interval size).astype(in
    phi_A[indices < M] = np.eye(M)[indices[indices < M]]</pre>
# generate phi_B(Ei)
phi_B = np.eye(15)[pair_type].astype(int)
# generate phi(Ei)
phi_AB = phi_A[:, :, None] * phi_B[:, None, :]
phi_AB = phi_AB.reshape(N_COMB, -1)
# aggregate for molecule representation
reps = np.zeros((len(z), M * 15))
for i in range(len(mol_split)):
    reps[i - 1, :] = np.sum(phi_AB[mol_split[i - 1] : mol_split[i]], ax
return reps
```

# 3.1 ML Models: Pairs-of-atoms-based Data Representation

In order to perform a "sanity check" on the model, we again utilised the typical bond lengths. For example, for different number of bonds between two carbon atoms, we expect high coefficient values at around 2.3, 2.5, and 2.9. Here is a table including the bond lengths for the pairs of atoms in our data representation, based on our research:

HH: 1.40, HC: 2.08 HN: 1.85 HO: 1.78 HS: 2.49 CC: 2.27, 2.53, 2.91 CN: 2.17, 2.40, 2.78 CO: 2.14, 2.29, 2.70 CS: 3.42 NN: 2.14, 2.31, 2.65 NO: 2.57 NS: 1.50 OO: 2.29, 2.49 OS: 2.51, 5.01 SS: 3.93

```
In [113...
          def plot_pp(model, M, THETA_1, THETA_M, **kwargs):
              # All pairwise potentials plots
              fig, axes = plt.subplots(5, 3, figsize=(12, 20))
              axes = axes.flatten()
              pair_names = [*combinations_with_replacement(atoms, r=2)]
              intervals = np.linspace(THETA_1, THETA_M, M)
              bond lenths = [[1.40],
                              [2.08],
                              [1.85],
                              [1.78],
                              [2.49],
                              [2.27, 2.53, 2.91],
                              [2.17, 2.40, 2.78],
                              [2.14, 2.29, 2.70],
                              [3.42],
                              [2.14, 2.31, 2.65],
                              [2.57],
```

```
[1.50],
                              [2.29, 2.49],
                              [2.51, 5.01],
                              [3.93]]
              for i, bonds in enumerate(bond lenths):
                  g = sns.lineplot(x=intervals, y=model.coef .reshape(M, 15)[:, i], a
                  for bond in bonds:
                      axes[i].axvline(x = bond, color="green", linestyle='dashed')
                  g.set xlabel("Distance")
                  g.set ylabel("Coefficient")
                  name = str(pair names[i]).replace("'", "")
                  g.set title(f"Pairwise potentials {name}")
              plt.tight_layout()
In [114...
          def fit_and_eval_model(Model, ALPHA, X_train, y_train, X_test, y_test, M,
              model = Model(alpha=ALPHA, max iter= 10000)
              model.fit(X train, y train)
              y_pred = model.predict(X test)
              print(f"R2: {r2_score(y_test, y_pred):.3f}")
              print(f"MAE: {mean_absolute_error(y_test, y_pred):.3f}")
              print(f"MSE: {mean_squared_error(y_test, y_pred):.3f}")
              print(f"#coef = 0: {np.sum(model.coef_ == 0)}")
              print(f"#coef < le-10: {np.sum(model.coef_ < le-10)}")</pre>
              plot_pp(model, M, THETA_1, THETA_M)
```

### **GRID SEARCH TUNING (soft encoding)**

We computed a grid search in order to tune the regularization parameter  $\lambda$ , the size of intervals M and the SD of the Gaussian function and therefore minimise the error on validation data.

It is worth noting that we have observed a shuffling effect in the data. We therefore shuffle the data once and use the same order for every grid search. We can only speculate if the molecules have some kind of ordering and more complex ones are contained in the last 30 % of the data set. Or maybe it's purely a coincidence. It is worth noticing, that we also can not be sure how representative the sample is, given how many complex molecules are possible.

```
In [115...
    param_grid = {
        "M": [10, 40, 70, 100],
        "STD": np.linspace(0.05, 0.15, 10),
        "THETA_1": [1.3], #[2],
        "THETA_M": [5.1] #[4, 8],
    }
    print(len(list(ParameterGrid(param_grid))))

alpha_values = np.logspace(np.log10(1e-4), np.log10(10000), num=100)
    results = []

# use same shuffle for every grid search but shuffle once because it seems shuffled_index = shuffle(range(len(qm7["T"][0])))
```

```
# Do search or load results from grid_search.p
DO SEARCH = False
if DO_SEARCH:
    for p in ParameterGrid(param_grid):
        reps = generate representations(**p, soft=True)
        # Use 80 % of the data for grid search (report real test error addi
        X_train, X_test, y_train, y_test = split_and_center(
            reps[shuffled index],
            qm7["T"][0][shuffled_index],
            test size=0.2,
            shuffle=False,
        )
        ridge = Ridge()
        grid = dict(alpha=alpha_values)
        search = GridSearchCV(
           ridge,
            grid,
            scoring=("neg mean absolute error"),
            cv=5,
            n jobs=-1,
            # refit="neg_mean_absolute_error",
            return_train_score=True,
        search = search.fit(X_train, y_train)
        #print(p, search.best_score_, search.best_params_)
        results += [{"params": p, "search": search}]
    pickle.dump(results, open("grid search.p", "wb"))
else:
   results = pickle.load(open("grid search.p", "rb"))
```

40

```
res_df = pd.DataFrame([r["params"] for r in results])
res_df["model_idx"] = res_df.index
res_df['best_score'] = [r['search'].best_score_ for r in results]
res_df['best_alpha'] = [r["search"].best_params_["alpha"] for r in results]
res_df['n_coef_smaller_1e-4'] = [np.sum(r['search'].best_estimator_.coef_ 
# Print results with best MAE
res_df.sort_values(by='best_score', ascending=False)
```

Out [116...

|    | М   | STD      | THETA_1 | THETA_M | model_idx | best_score | best_alpha | n_coef_s |
|----|-----|----------|---------|---------|-----------|------------|------------|----------|
| 30 | 100 | 0.050000 | 1.3     | 5.1     | 30        | -4.492457  | 0.298365   |          |
| 31 | 100 | 0.061111 | 1.3     | 5.1     | 31        | -4.701077  | 0.359381   |          |
| 20 | 70  | 0.050000 | 1.3     | 5.1     | 20        | -4.748572  | 1.917910   |          |
| 21 | 70  | 0.061111 | 1.3     | 5.1     | 21        | -4.769684  | 1.097499   |          |
| 32 | 100 | 0.072222 | 1.3     | 5.1     | 32        | -4.820479  | 1.097499   |          |
| 22 | 70  | 0.072222 | 1.3     | 5.1     | 22        | -4.827104  | 0.756463   |          |
| 33 | 100 | 0.083333 | 1.3     | 5.1     | 33        | -4.884773  | 0.247708   |          |
| 23 | 70  | 0.083333 | 1.3     | 5.1     | 23        | -4.886615  | 0.170735   |          |
| 34 | 100 | 0.094444 | 1.3     | 5.1     | 34        | -4.948917  | 0.067342   |          |

| 24 | 70  | 0.094444 | 1.3 | 5.1 | 24 | -4.951265  | 0.046416 |  |
|----|-----|----------|-----|-----|----|------------|----------|--|
| 35 | 100 | 0.105556 | 1.3 | 5.1 | 35 | -5.027684  | 0.038535 |  |
| 25 | 70  | 0.105556 | 1.3 | 5.1 | 25 | -5.032327  | 0.026561 |  |
| 36 | 100 | 0.116667 | 1.3 | 5.1 | 36 | -5.110430  | 0.015199 |  |
| 26 | 70  | 0.116667 | 1.3 | 5.1 | 26 | -5.120925  | 0.010476 |  |
| 13 | 40  | 0.083333 | 1.3 | 5.1 | 13 | -5.141338  | 0.097701 |  |
| 14 | 40  | 0.094444 | 1.3 | 5.1 | 14 | -5.147760  | 0.055908 |  |
| 12 | 40  | 0.072222 | 1.3 | 5.1 | 12 | -5.158752  | 0.247708 |  |
| 15 | 40  | 0.105556 | 1.3 | 5.1 | 15 | -5.167356  | 0.018307 |  |
| 11 | 40  | 0.061111 | 1.3 | 5.1 | 11 | -5.170102  | 0.628029 |  |
| 16 | 40  | 0.116667 | 1.3 | 5.1 | 16 | -5.205845  | 0.007221 |  |
| 37 | 100 | 0.127778 | 1.3 | 5.1 | 37 | -5.211725  | 0.010476 |  |
| 27 | 70  | 0.127778 | 1.3 | 5.1 | 27 | -5.217093  | 0.008697 |  |
| 17 | 40  | 0.127778 | 1.3 | 5.1 | 17 | -5.247752  | 0.002848 |  |
| 38 | 100 | 0.138889 | 1.3 | 5.1 | 38 | -5.285479  | 0.007221 |  |
| 28 | 70  | 0.138889 | 1.3 | 5.1 | 28 | -5.286623  | 0.004977 |  |
| 18 | 40  | 0.138889 | 1.3 | 5.1 | 18 | -5.307340  | 0.001353 |  |
| 39 | 100 | 0.150000 | 1.3 | 5.1 | 39 | -5.359563  | 0.002848 |  |
| 29 | 70  | 0.150000 | 1.3 | 5.1 | 29 | -5.361907  | 0.001963 |  |
| 19 | 40  | 0.150000 | 1.3 | 5.1 | 19 | -5.378538  | 0.000534 |  |
| 10 | 40  | 0.050000 | 1.3 | 5.1 | 10 | -5.455930  | 4.037017 |  |
| 9  | 10  | 0.150000 | 1.3 | 5.1 | 9  | -9.988070  | 0.000100 |  |
| 8  | 10  | 0.138889 | 1.3 | 5.1 | 8  | -14.567807 | 0.001353 |  |
| 7  | 10  | 0.127778 | 1.3 | 5.1 | 7  | -20.560388 | 0.000933 |  |
| 6  | 10  | 0.116667 | 1.3 | 5.1 | 6  | -26.291141 | 0.055908 |  |
| 5  | 10  | 0.105556 | 1.3 | 5.1 | 5  | -31.520993 | 0.046416 |  |
| 4  | 10  | 0.094444 | 1.3 | 5.1 | 4  | -36.122634 | 0.018307 |  |
| 3  | 10  | 0.083333 | 1.3 | 5.1 | 3  | -41.223198 | 0.001630 |  |
| 2  | 10  | 0.072222 | 1.3 | 5.1 | 2  | -48.845707 | 0.000175 |  |
| 1  | 10  | 0.061111 | 1.3 | 5.1 | 1  | -56.779745 | 0.031993 |  |
| 0  | 10  | 0.050000 | 1.3 | 5.1 | 0  | -64.174907 | 0.000254 |  |
|    |     |          |     |     |    |            |          |  |

Observation: As can be seen in this table with the best results, the MAE (- best\_score) is very low for 14 combinations of the parameters. The best scores are mostly achieved with a high numer of intervals (M), low SD, and quite low penalty term (alpha\_parameter).

```
# calculate mae on actual test set
# recreate reps and train/test data
reps = generate_representaions(**params, soft=soft)

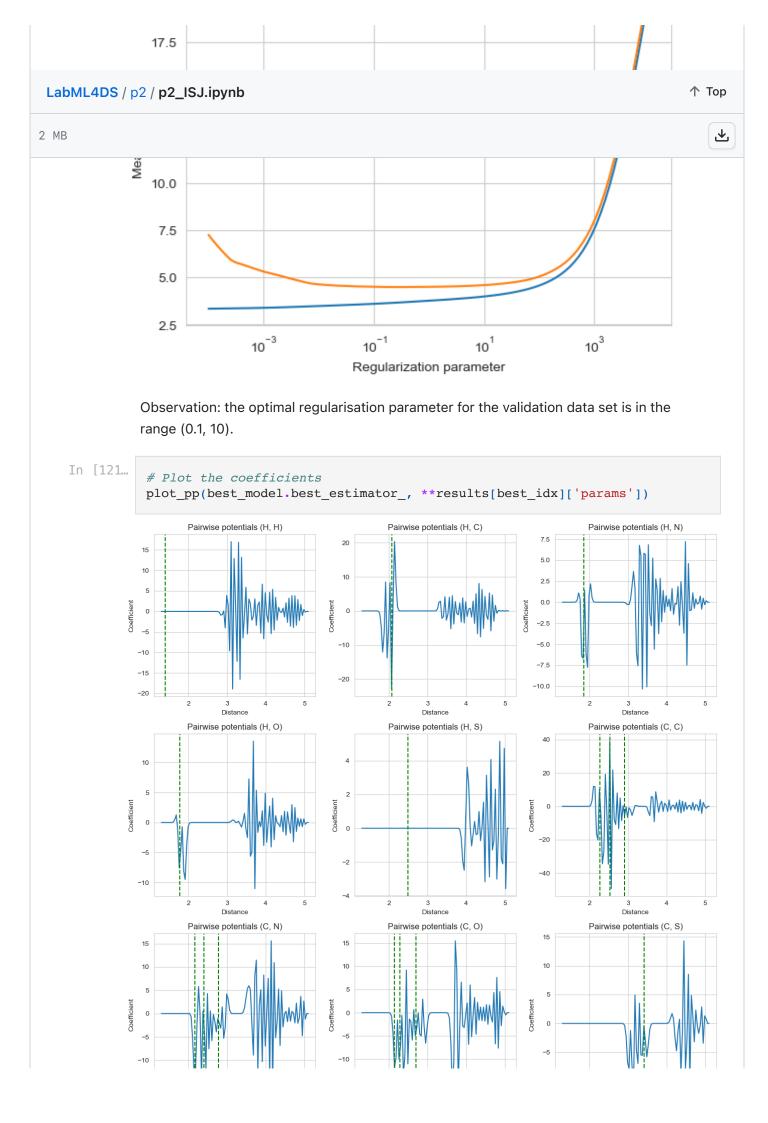
X_train, X_test, y_train, y_test = split_and_center(
    reps[shuffled_index],
    qm7["T"][0][shuffled_index],
    test_size=0.2,
    shuffle=False,
)

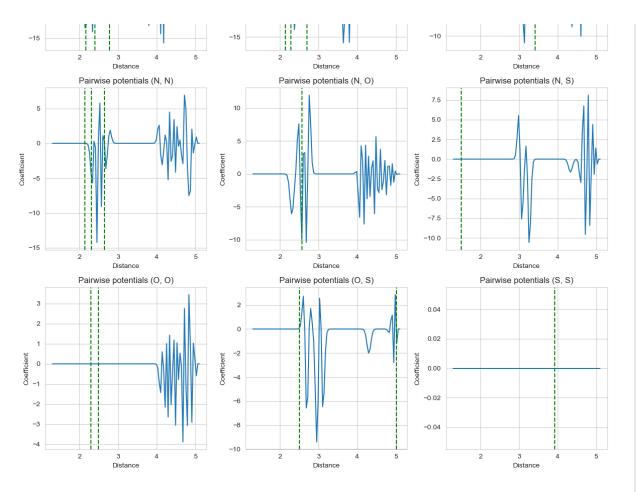
y_pred = model.predict(X_test)
print(f"R2: {r2_score(y_test, y_pred):.3f}")
print(f"MAE: {mean_absolute_error(y_test, y_pred):.3f}")
print(f"MSE: {mean_squared_error(y_test, y_pred):.3f}")
print(f"#coef = 0: {np.sum(lr.coef_ == 0)}")
print(f"#coef < le-10: {np.sum(lr.coef_ < le-10)}")</pre>
```

### The best model in terms of MAE (soft encoding)

We chose the model with the lowest MAE on the validation set and evaluated it on the test data.

```
In [118...
          # overall best model in terms of MAE
          best_idx = np.argmax([r['search'].best_score_ for r in results])
          best_model = results[best_idx]['search']
          print("Best model index:", best_idx)
          print("Best alpha:", best model.best params ['alpha'])
          print("Validation MAE:", -best model.best score )
          print("Params:", results[best_idx]['params'])
        Best model index: 30
        Best alpha: 0.298364724028334
        Validation MAE: 4.4924568052875795
        Params: {'M': 100, 'STD': 0.05, 'THETA 1': 1.3, 'THETA M': 5.1}
In [119...
          # Evaluate the model on the test set
          recreate and score(best model.best estimator , results[best idx]['params'],
        [1.66982264e-241 1.27870782e-036 5.12640786e-029 ... 0.00000000e+000
         0.00000000e+000 0.0000000e+0001
        [ 76.50073 -377.50928
                                  191.13074 ... 17.61084 136.21082
          107.9407961
        R2: 0.996
        MAE: 4.815
        MSE: 198.217
        \#coef = 0: 0
        #coef < 1e-10: 5
In [120...
          # Plot the MAE for different alpha values given the best performing parameter
          ax = sns.lineplot(x=alpha_values, y = -best_model.cv_results_['mean_train_set
          ax = sns.lineplot(x=alpha values, y = -best model.cv results ['mean test sc
          ax.set xscale('log')
          ax.set_xlabel('Regularization parameter')
          ax.set ylabel('Mean MAE')
          plt.legend(loc='upper left')
          plt.show()
                      train
```





Observation: The resulting coefficients' plots suggest that even though our Ridge regression model is highly linear, it is strongly unregularised. This can be observed in the cyclic behaviour of the coefficients' plots.

In addition to that, due to the low SD, the unnatural discontinuities get introduced in the model and the effect of using a Gaussian function is practically invisible.

## For comparison: Ridge regression with the hard encoding (same parameters)

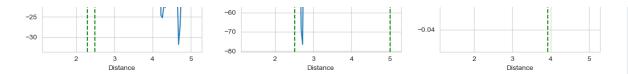
```
def represent_and_split_data(THETA_1, THETA_M, STD, M, soft=True):
    reps = generate_representaions(THETA_1, THETA_M, STD, M, soft=soft)

X_train, X_test, y_train, y_test = split_and_center(
    reps[shuffled_index],
    qm7["T"][0][shuffled_index],
    test_size=0.2,
    shuffle=False,
)
    return X_train, X_test, y_train, y_test
```

```
In [123...
THETA_1 = 1.3
THETA_M = 5.1
M = 100
STD = 0.05
ALPHA = 0.298364724028334

X_train, X_test, y_train, y_test = represent_and_split_data(THETA_1, THETA_1)
fit_and_eval_model(Ridge, ALPHA, X_train, y_train, X_test, y_test, M, THETA_1)
```

76.50073 -377.50928 191.13074 ... 17.61084 136.21082 107.940796] R2: 0.994 MAE: 5.650 MSE: 306.765 #coef = 0:957#coef < 1e-10: 1392 Pairwise potentials (H, C) Pairwise potentials (H, H) Pairwise potentials (H, N) 15 -20 Coefficient 2 -40 Coefficient -40 -60 -60 -80 -80 -100 Distance Distance Distance Pairwise potentials (H, O) Pairwise potentials (H, S) Pairwise potentials (C, C) 50 -20 -40 -60 -20 -150 -100 -200 Pairwise potentials (C, N) Pairwise potentials (C, O) Pairwise potentials (C, S) 50 -20 -50 -50 -60 -75 -100 -100 -80 -150 -125 -100 -150 -200 Distance Distance Distance Pairwise potentials (N, N) Pairwise potentials (N, O) Pairwise potentials (N, S) 10 -10 -10 -20 -20 -30 -30 -40 -40 -40 -50 -50 -50 -60 Distance Distance Distance Pairwise potentials (O, O) Pairwise potentials (O, S) Pairwise potentials (S, S) 0 0.04 0 -10 -5 -20 0.02 -10 -30 Coefficient -15 0.00 -40 -0.02



Observation: the model performs similarly to the soft Ridge regression. That is because the previous model had a low regularisation term and low variance of the Gaussian function, making it effectively similar to the hard Ridge model seen here.

## For comparison: Multiple Linear Regression (soft encoding, same parameters)

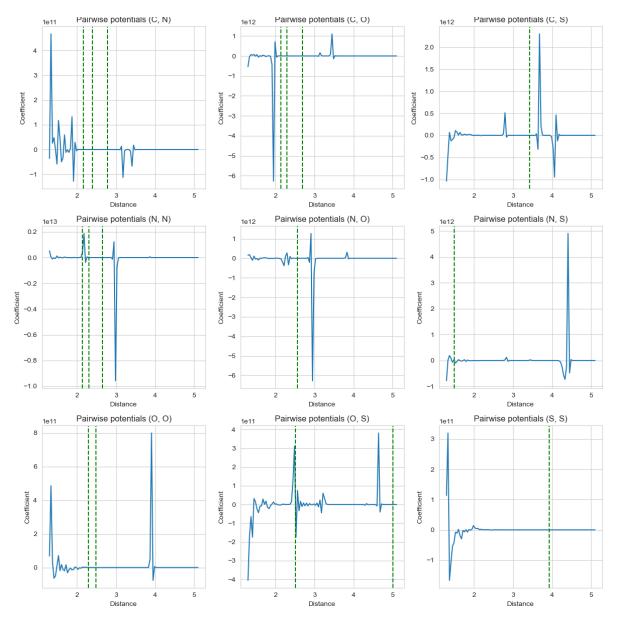
For comparison reasons, we also computed a multiple linear regression which fits the data very poorly indicating that the regularisation in the Ridge regression, even if not very high, very strongly improved the model's predictions.

```
In [124...
           X_train, X_test, y_train, y_test = represent_and_split_data(THETA_1, THETA_1
            lr = LinearRegression()
            lr.fit(X train, y train)
           y_pred_lr = lr.predict(X_test)
           print(f"R2: {r2_score(y_test, y_pred_lr):.3f}")
           print(f"MAE: {mean_absolute_error(y_test, y_pred_lr):.3f}")
           print(f"MSE: {mean_squared_error(y_test, y_pred_lr):.3f}")
           plot_pp(lr, M, THETA_1, THETA_M)
         [1.66982264e-241 1.27870782e-036 5.12640786e-029 ... 0.00000000e+000
          0.0000000e+000 0.0000000e+0001
            76.50073 -377.50928
                                       191.13074
                                                            17.61084
                                                                         136.21082
           107.9407961
         R2: -1148628053923976448.000
         MAE: 6360734709.480
         MSE: 56481969289781917515776.000
                  Pairwise potentials (H, H)
                                                 Pairwise potentials (H, C)
                                                                                Pairwise potentials (H, N)
          Coefficient
                  Pairwise potentials (H, O)
                                                 Pairwise potentials (H, S)
                                                                                Pairwise potentials (C, C)
             1e12
                                            1611
                                                                         3
           25
           20
                                          0
                                          -2
           0.0
```

Distance

Distance

Distance



Observation: even though the selected penalty term in the Ridge regression is low, the Multiple linear regression is not able to capture the relationships in the data, and performs much worse in comparison.

# 3.2 Explanations: Pairs-of-atoms-based Data Representation

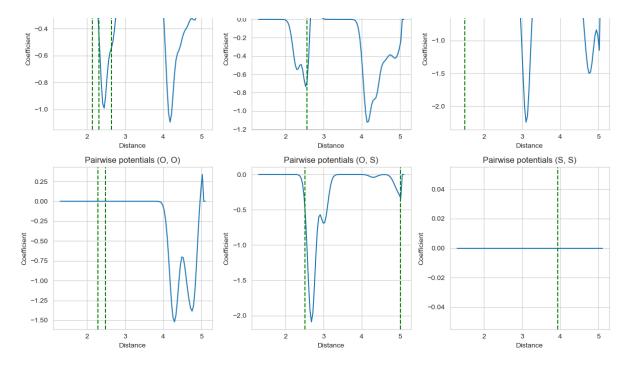
### Manual parameter search

## The best model in terms of explainability and external validity (soft encoding)

In order to achieve a better model, we first of all increased the SD to 0.1. This way the representation of the data is more smooth. In addition to that, we increased the penalty term to 1000, to achieve a higher regularisation. Both these changes should achieve a model with better explainability of the coefficients - due to smoothness and discarded cyclical behaviour. Also, this way the external validity should be increased too, as the training data-specific behaviour is less pronounced.

```
#res df.sort values(by='n coef smaller 1e-4', ascending=False).head(20)
   THETA 1 = 1.3
   THETA M = 5.1
   M = 100
   STD = .1
   ALPHA = 1000
   X_train, X_test, y_train, y_test = represent_and_split_data(THETA_1, THETA_1
   fit_and_eval_model(Ridge, ALPHA, X_train, y_train, X_test, y_test, M, THET
[2.73525675e-63 1.98552161e-10 1.15771224e-07 ... 0.00000000e+00
 0.00000000e+00 0.0000000e+00]
    76.50073 -377.50928
                                    191.13074 ...
                                                           17.61084
                                                                           136.21082
  107.9407961
R2: 0.996
MAE: 9.095
MSE: 207.298
\#coef = 0: 128
#coef < 1e-10: 1352
           Pairwise potentials (H, H)
                                                Pairwise potentials (H, C)
                                                                                    Pairwise potentials (H, N)
   0.4
Coefficient
                                       -2
                                        -3
  -0.4
  -0.6
                 Distance
                                                      Distance
                                                                                          Distance
           Pairwise potentials (H, O)
                                                                                    Pairwise potentials (C, C)
                                                Pairwise potentials (H, S)
    0
                                       0.2
                                       0.0
                                      -0.2
   -3
                                      -0.4
                 Distance
           Pairwise potentials (C, N)
                                               Pairwise potentials (C, O)
                                                                                    Pairwise potentials (C, S)
                                                                           0.0
    0
                                                                           -0.5
                                                                           -1.0
                                        -2
                                                                           -1.5
                                       -3
                                                                          -2.0
                                                                           -2.5
                                        -5
                                                                           -3.0
                 Distance
                                                      Distance
                                                                                          Distance
           Pairwise potentials (N, N)
                                               Pairwise potentials (N, O)
                                                                                    Pairwise potentials (N, S)
   0.0
                                                                           0.0
  -0.2
```

# Approach: look at the models where the # of coefficients higher than 1e-4



Observation: The model achieves a somewhat higher MSE than the best performing model, but both the MSE and the explained variance are improved compared to the linear regression with a simple atom-based representation. In terms of our "sanity check", we can see that some coefficients represent the expected bond lengths very well (e.g. CC), while some of them do not (e.g. HH). Unfortunately, we can not explain the latter. In the consultation we have learned that Ridge regression has an implicit non-local strategy: due to the penalty term it looks at distances that are farther away, but potentially overfits. It might be that some of the variation in the data is specific to our sample and not so representative of the population of the possible molecules.

In [125...

