

# InfoGAN: Interpretable Representation Learning by Information Maximizing Generative Adversarial Nets

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## Ilya Sutskever

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[Machine Learning](#) [Neural Networks](#)

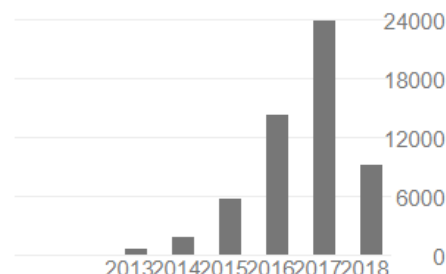
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<a href="#">Dropout: A simple way to prevent neural networks from overfitting</a> N Srivastava, G Hinton, A Krizhevsky, I Sutskever, R Salakhutdinov The Journal of Machine Learning Research 15 (1), 1929-1958	6225	2014
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Co-founder and Research Director of [OpenAI](#).

I spent three wonderful years as a Research Scientist at the Google Brain Team.

Before that, I was a co-founder of [DNNresearch](#).

And before that, I was a postdoc in Stanford with [Andrew Ng](#)'s group.

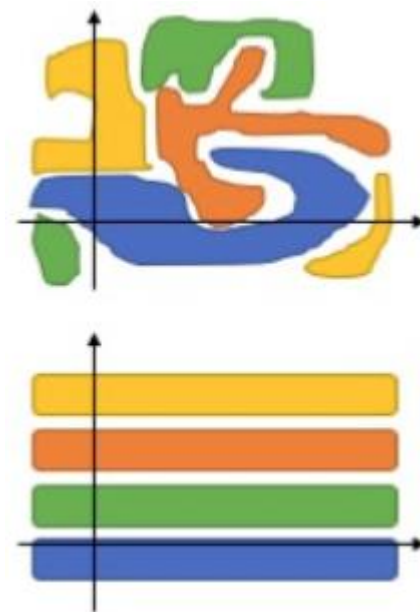
And in the beginning, I was a student in the Machine Learning group of Toronto, working with [Geoffrey Hinton](#).

My email address is [ilyasu@openai.com](mailto:ilyasu@openai.com).

How can we achieve  
**unsupervised** learning of **disentangled** representation?

In general, learned representation is entangled,  
i.e., encoded in a data space in a complicated manner

When a representation is disentangled, it would be  
**more interpretable and easier to apply to tasks**



# Related works

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- Unsupervised learning of representation  
(no mechanism to force disentanglement)
  - ✓ Stacked (often denoising) auto-encoder, RBM
  - ✓ Many others, including semi-supervised approach
- Supervised learning of disentangled representation
  - ✓ Bilinear models, multi-view perceptron
  - ✓ VAEs, adversarial auto-encoders
- Weakly supervised learning of disentangled representation
  - ✓ disBM, DC-IGN
- Unsupervised learning of disentangled representation
  - ✓ hossRBM, applicable only to discrete latent factors

This work:

Unsupervised learning of disentangled representation applicable to both continuous and discrete latent factors

# Generative Adversarial Nets(GANs)

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Generative model trained by competition between two neural networks:

✓ **Generator**  $x = G(z), z \sim p_z(Z)$   
 $p_z(Z)$ : an arbitrary noise distribution

✓ **Discriminator**  $D(x) \in [0,1]$ :  
Probability that  $x$  is sampled from the real data  $p_{data}(X)$   
Rather than generated by the generator  $G(Z)$

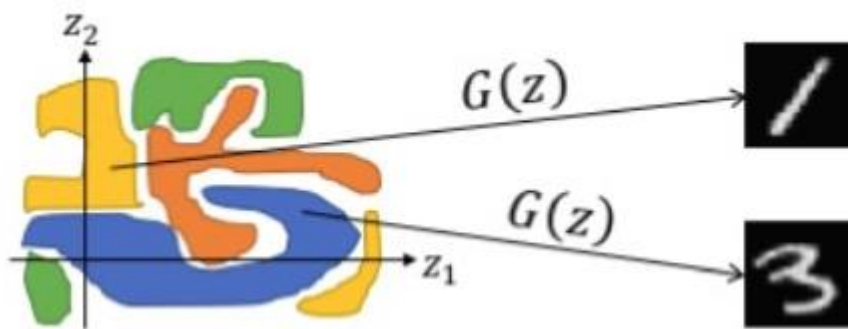
Optimization problem to solve:

$$\min_G \max_D V(D, G) = \mathbb{E}_{x \sim P_{\text{data}}} [\log D(x)] + \mathbb{E}_{z \sim \text{noise}} [\log (1 - D(G(z)))]$$

# Problems with GANs

## From the perspective of representation learning

- ✓ No restrictions on how  $G(z)$  uses  $z$ 
  - $z$  can be used in a highly entangled way
  - Each dimension of  $z$  does not represent any salient feature of the training data



# Proposed Resolution: InfoGAN -Maximizing Mutual Information

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## Observation in conventional GANs:

a generated data  $x$  does not have much information  
on the noise  $z$  from which  $x$  is generated  
because of heavily entangled use of  $z$

## Proposed resolution = InfoGAN:

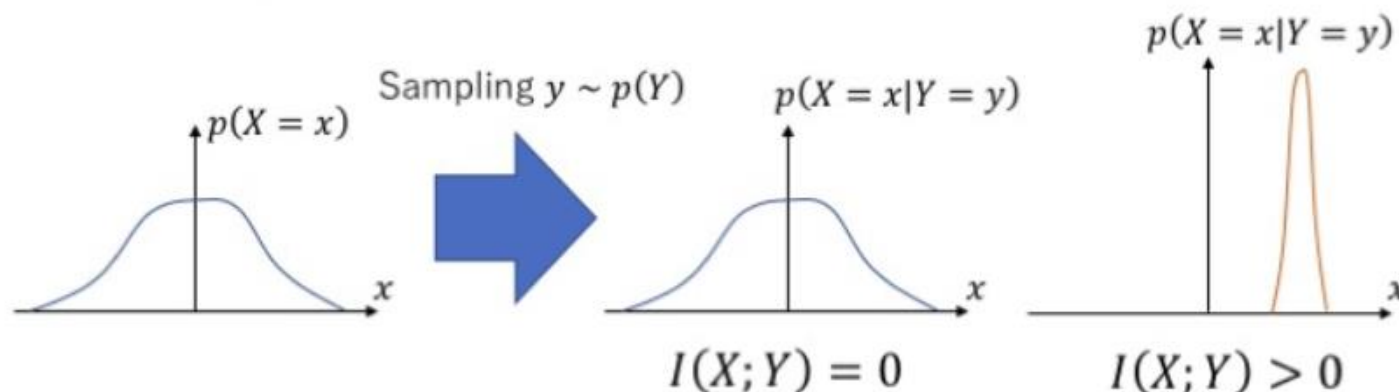
the generator  $G(z, c)$  trained so that  
it maximize the mutual information  $I(C|X)$  between  
the latent code  $C$  and the generated data  $X$

$$\min_G \max_D \{V_{\text{GAN}}(G, D) - \lambda I(C|X = G(Z, C))\}$$

# Mutual Information

$$I(X; Y) = H(X) - H(X|Y), \text{ where}$$

- $H(X) = E_{x \sim p(X)} [-\ln p(X = x)]:$   
Entropy of the prior distribution
- $H(X|Y) = E_{y \sim p(Y), x \sim p(X|Y=y)} [-\ln p(X = x|Y = y)]:$   
Entropy of the posterior distribution





# Avoiding increase of calculation costs

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## Major difficulty:

Evaluation of  $I(C|X)$  based on  
evaluation and sampling from the posterior  $p(C|X)$

## Two strategies:

- ✓ Variational maximization of mutual information
  - ✓ Use an approximate function  $Q(C|X) = p(C = c|X = x)$
- ✓ Sharing the neural net  
between  $Q(C|X)$  and the discriminator  $D(x)$

# Variational Maximization of MI

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## Lower bounding mutual information

$$\begin{aligned} I(c; G(z, c)) &= H(c) - H(c|G(z, c)) \\ &= \mathbb{E}_{x \sim G(z, c)} [\mathbb{E}_{c' \sim P(c|x)} [\log P(c'|x)]] + H(c) \\ &= \mathbb{E}_{x \sim G(z, c)} [\underbrace{D_{\text{KL}}(P(\cdot|x) \parallel Q(\cdot|x))}_{\geq 0} + \mathbb{E}_{c' \sim P(c|x)} [\log Q(c'|x)]] + H(c) \\ &\geq \mathbb{E}_{x \sim G(z, c)} [\mathbb{E}_{c' \sim P(c|x)} [\log Q(c'|x)]] + H(c) \end{aligned}$$

# Variational Maximization of MI

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With  $Q(c, x)$  approximating  $p(C = c|X = x)$ , we obtain an variational Estimate of the mutual information:

$$\begin{aligned} L_I(G, Q) &= E_{c \sim P(c), x \sim G(z, c)} [\log Q(c|x)] + H(c) \\ &= E_{x \sim G(z, c)} [\mathbb{E}_{c' \sim P(c|x)} [\log Q(c'|x)]] + H(c) \\ &\leq I(c; G(z, c)) \end{aligned}$$

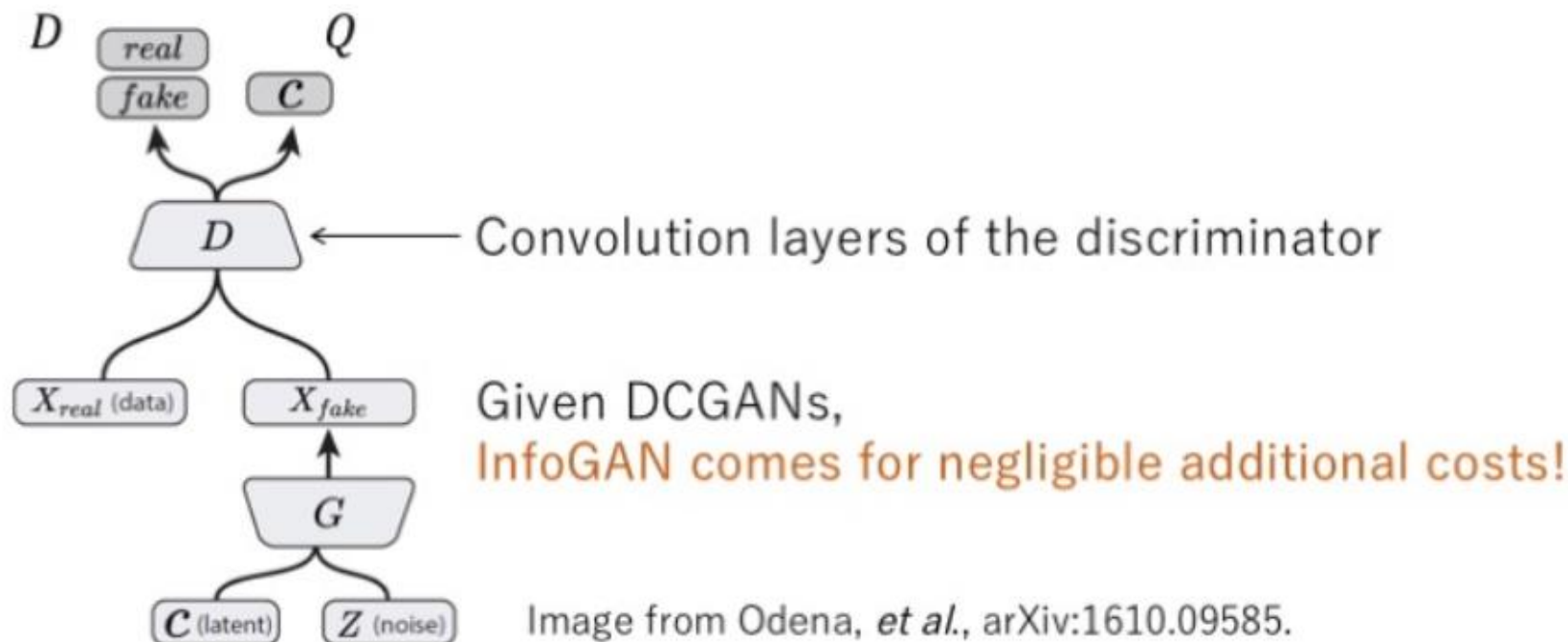
Optimization problem to solve in InfoGAN:

$$\min_{G, Q} \max_D V_{\text{InfoGAN}}(D, G, Q) = V(D, G) - \lambda L_I(G, Q)$$

# Sharing layers between D and Q

- ✓ Model  $Q(c, x)$  using neural network
- ✓ Reduce the calculation costs by

Sharing all the convolution layers with  $D$



# Experiment – MI Maximization

- InfoGAN on MNIST dataset
- Latent code  $c \sim \text{Cat}(K = 10, p = 0.1)$   
=10-class categorical code

The lower bound  $L_I(G, Q)$  is quickly  
Maximized to  $H(c) = 2.3$

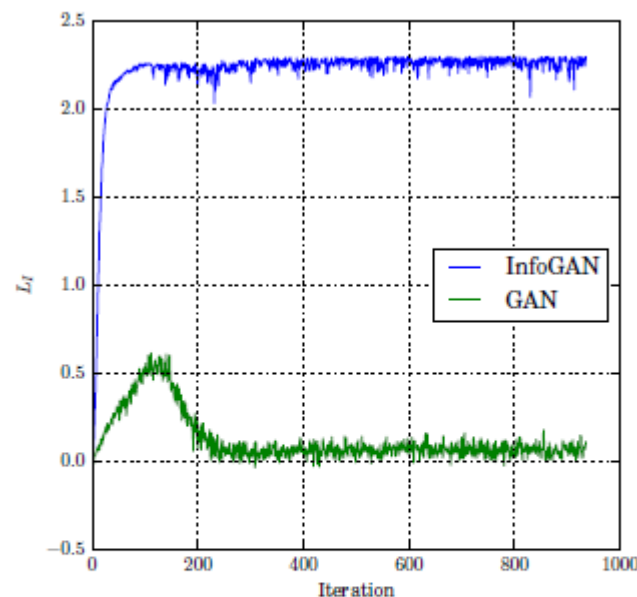


Figure 1: Lower bound  $L_I$  over training iterations

# Experiment – Disentangled Representation

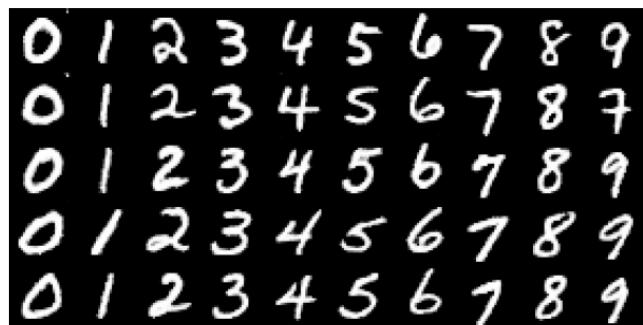
➤ InfoGAN on MNIST dataset

➤ Latent codes

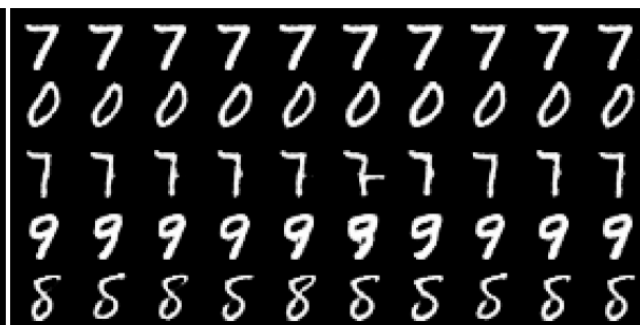
➤  $c_1$ : 10-class categorical code  $c_1 \sim \text{Cat}(K = 10, p = 0.1)$

➤  $c_2, c_3$ : continuous code,

$c_2, c_3 \sim \text{Unif}(-2, 2)$



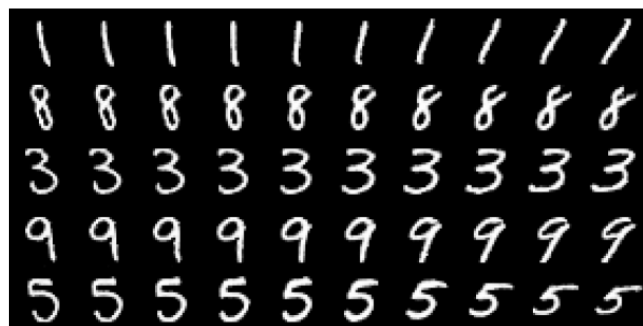
(a) Varying  $c_1$  on InfoGAN (Digit type)



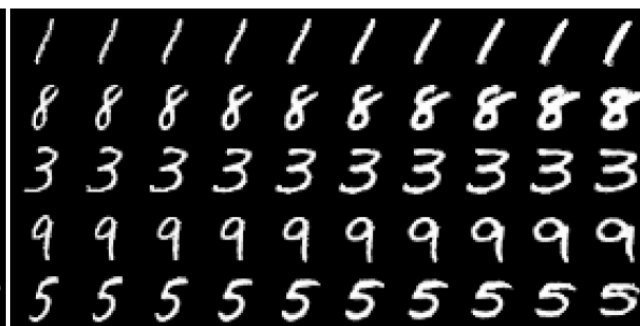
(b) Varying  $c_1$  on regular GAN (No clear meaning)

✓  $c_1$  can be used as a classifier with 5% error rate.

✓  $c_2$  and  $c_3$  captured the rotation and width respectively



(c) Varying  $c_2$  from  $-2$  to  $2$  on InfoGAN (Rotation)



(d) Varying  $c_3$  from  $-2$  to  $2$  on InfoGAN (Width)

# Experiment – Disentangled Representation

Dataset: P. Paysan, et al., AVSS, 2009, pp. 296-301.



(a) Azimuth (pose)

(b) Elevation



(c) Lighting

(d) Wide or Narrow



# Experiment – Disentangled Representation

Dataset: M. Aubry, et al., CVPR, 2014, pp. 3762-3769.



InfoGAN learned salient features without supervision



# Experiment – Disentangled Representation

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Dataset: Street View House Number



(a) Continuous variation: Lighting

(b) Discrete variation: Plate Context

# Experiment – Disentangled Representation

Dataset: CelebA



(a) Azimuth (pose)

(b) Presence or absence of glasses



(c) Hair style

(d) Emotion

## ➤ Goal

- ✓ Unsupervised learning of disentangled representations

## ➤ Approach

- ✓ GANs + Maximizing Mutual Information  
between generated images and input codes

## ➤ Benefit

- ✓ Interpretable representation obtained  
without supervision and substantial additional costs

# Future Prospect

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- Mutual information maximization can be applied to other methods, e.g. VAE
- Learning hierarchical latent representation
- Improving semi-supervised learning
- High-dimensional data discovery