Clinical trial-specific LLM to auto-generate Protocols and SAPs.



José C. Lacal, CTO

Jan Philip Göpfert, PhD

Abstract



TrialTwin is building a software platform with Natural Language Generation ("NLG") capabilities using Natural Language Processing ("NLP") and other software-driven linguistic processors. Our platform will programmatically extract (and encode) both meaning and context from massive amounts of Open Data into a Domain-specific Large Language Model ("LLM"). Our LLM will then be able to programmatically generate highly-realistic and domain-specific new content.

Build your own trial-specific LLM, here are the pieces.

Agenda



- Opportunities through Al
- Using Open Data to Build a RAG
- RAG Development in your Local Computer
- Trial-specific LLM
- Lessons Learned
- Free Resources: Build your own "Trial LLM"

Opportunities through AI



What is?

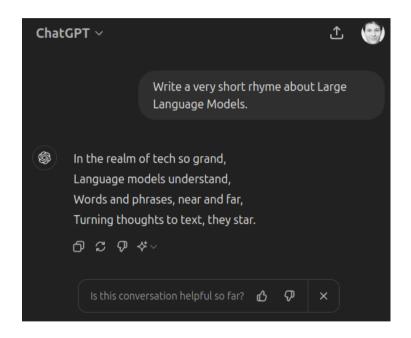
- GenAl (Generative Artificial Intelligence)
- LLM (Large Language Model)
- Foundation Models

Opportunities through AI – Generative AI





by OpenAl



Opportunities through AI – Large Language Models



Training large models on several modalities yields foundational understanding.

Comprehensive capabilities are more important than generative capabilities.

Semantics are more important than **language**.

Opportunities through AI – Large Language Models



Concept of a Large (Language) Model

- contains all prompts and information
- must fit in the "context size" (input window)

Input



Model

- has a certain size: bigger is better
- contains fixed foundational knowledge

Opportunities through AI – Foundation Models



The three domains of large foundation models:

Scientific Background	Model Engineering	Deployment Engineering
Training algorithms	Fine-tuning	
Model architectures	Distillation	APIs
Evaluation procedures	Prompting	Vector stores
Tokenization	Exploration	Streaming data
Latent representations	Evaluation	User interfaces
Self-attention		

8

Opportunities through AI – Important Foundation Models



GTP (OpenAI) cloud-only

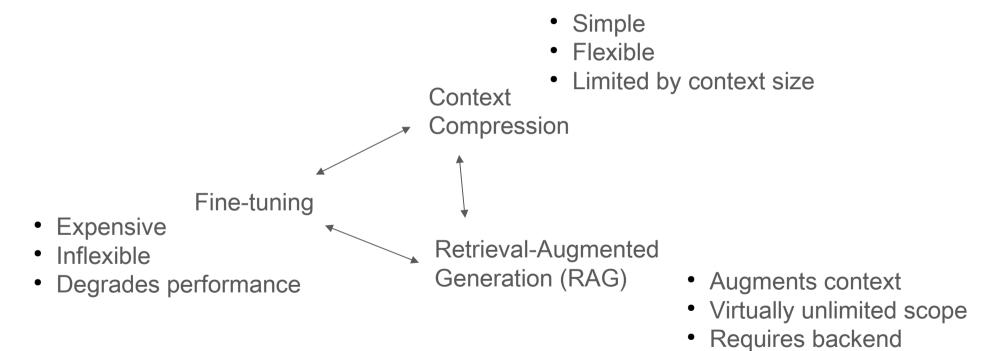
Gemini (Google) cloud-only

LLaMa (Meta) local deployment

Claude (Anthropic) cloud-only

Opportunities through AI – Customizing Foundation Models





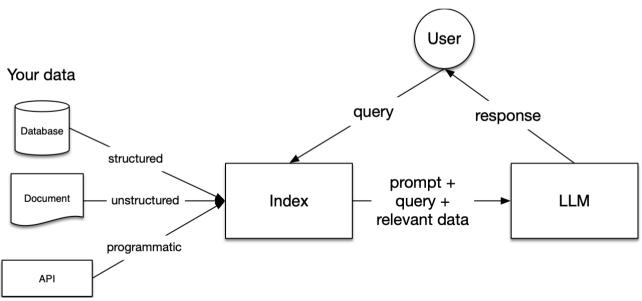
Using Open Data to Build a RAG (Retrieval-Augmented Generation)



• LLMs are a phenomenal piece of technology for knowledge generation and reasoning. They are pre-trained on large amounts of *generic data*.

How to best augment LLMs with both private and domain-specific data?

Build your RAG



Using Open Data to Build a RAG



Literature

Clinical Trials

Regulatory **Approval**

U.S. FOOD & DRUG

Adverse **Events**

Vendor **Payments** Medicare **Payments**

Federal **Payments**



U.S. National Library of Medicine

Clinical Trials.gov





Medical Devices Home > Medical Devices > Products and Medical Procedures > Device Approvals and C

510(k) Clearances 010|k| Clearances

DA U.S. FOOD & DRUG

FDA Adverse Event Reporting System (FAERS): Latest Quarterly Data Files

MAUDE - Manufacturer and User Facility Device

How Open Payments Works



Medicare Provider Utilization and

Medicare Provider Utilization and

Medicare Provider Utilization and

Medicare Provider Utilization and Payment Data: Part D Prescriber

Medicare Provider Utilization and

Medicare Provider Utilization and

Payment Data: Physician and Other Supplier

Payment Data: Inpatient

Payment Data: Outpatient

USASPENDING.gov



Using Open Data allows users to trace a drug's entire lifecycle:

- * Starting with chemical compounds (NLM's PubChem)
- * Through clinical trials (Clinical Trials.gov, WHO's ITPR)
- * Documentation on regulatory pathway (IND, NDA, etc.)
- * Reported adverse events (FDA's FAERS)
- * Manufacturer payments to providers (HHS' OpenPayments)
- * Medicare reimbursement data (CMS' Provider Utilization and Payment Data)

Chemical Compound



RAG Development in your Local Computer



- All required software is free (Open Source)
- MS Windows is not a good platform
- Even old hardware can be both useful and usable
- Save time, money by learning locally
- Get a "feel" for the process (literally)





RAG Development in your Local Computer



- Install Ollama [https://ollama.com/]
- Install Llama-Index libraries [https://docs.llamaindex.ai/en/stable/]
- Download most efficient models [https://ollama.com/library]
- Download from [https://github.com/JLacal/local-Ilm]
 - Working Python code
 - Trial-specific Open Data
- Play

RAG Development in your Local Computer



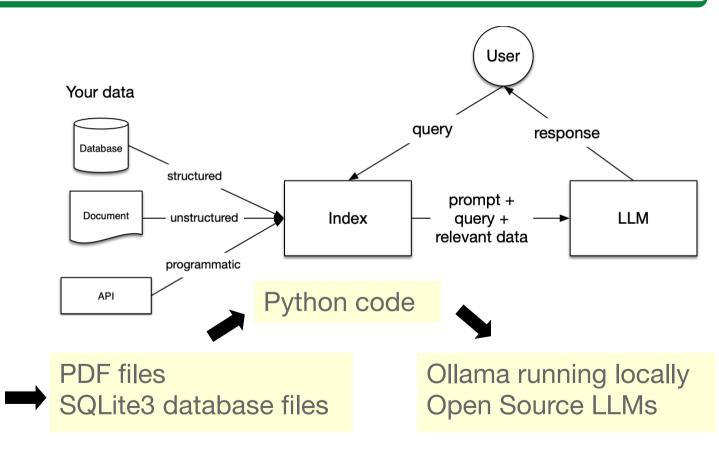


ClinicalTrials.gov



ClinicalTrials.gov: Text of Protocols, SAPs and ICFs from PDFs

Drugs@FDA:
Text application PDFs



Lessons Learned: LLMs Lie



Timeout	codegemma	command-r	command-r-plus	duckdb-nsql	gemma:2b
300 ms	Lie	Timed out	Timed out	Answered	Lie
600 ms	Lie	Timed out	Timed out	Answered	Lie
Timeout	gemma:7b	llama-pro	llama3	llama3-chatqa	llama3-gradient
300 ms	Lie	Good	Great	Empty	Good
600 ms	Lie	Good	Great	Empty	Great
					_
Timeout	llama3:70b	llama3:70b-text	llama3:text	meditron	medllama2
300 ms	Timed out	Timed out	Timed out	Lie	Good
600 ms	Timed out	Timed out	Timed out	Lie	Good
Timeout	phi3:medium	phi3:mini	tinyllama	wizardlm2:7b	wizardlm2:8x22b
300 ms	Timed out	Good	Great	Great	Timed out
600 ms	Good	Good	Great	Great	Timed out

Lessons Learned: LLMs Lie, Confidently



$$=$$
 $=$ $=$ $=$ $=$ Using model [codegemma] $=$ $=$ $=$ $=$

The provided context does not contain any information about the NCT number for fibrosis, so I cannot answer this question from the given context. The provided context does not contain information about NCT 03656068, so I cannot answer this query from the given context. 200.08059120178223 seconds ---

$$=$$
 $=$ $=$ $=$ Using model [medllama2] $=$ $=$ $=$ $=$

Based on the provided context, we cannot directly answer your question as it would violate HIPAA's Privacy Rule by disclosing protected health information (PHI). Therefore, I will not provide any personal information related to patients' health status. It is important to respect individuals' privacy and maintain confidentiality when handling sensitive information like medical records.

Lessons Learned: SMEs need to Evaluate LLMs



The NCT number associated with the study mentioned in the provided Statistical Analysis Plan (SAP) for evaluating the safety and efficacy of NTZ at 500mg twice daily on collagen turnover in plasma in NASH patients with fibrosis Stage 2 or 3 is NCT03656068. This study is investigating various non-invasive markers of fibrosis, including biomarkers and fibrosis scores like ELF test score, PIIINP, Hyaluronic acid, CK18, TIMP-1, YKL-40, Alpha 2 macroglobulin, miRNA, ProC3, ProC6, FGF19, FGF21, NAFLD fibrosis score, and FIB-4, among others.

NCT 03656068 is a clinical trial with the protocol number NTZ-218-1, version 1.1, dated April 9, 2020. The statistical analysis plan outlines the study's overview, objectives, endpoints, design, drug information, sample size determination, and statistical methodology, among other aspects. The study involves various populations for analysis, including the Full Analysis Set and the Safety Analysis Set, and includes assessments of efficacy (such as Fractional Synthesis Rate, Non-invasive Markers of Fibrosis, and Fibrosis Scores) and safety (including Adverse Events, Clinical Laboratory Tests, Vital Signs, Electrocardiograms, and Physical Examinations). The study also details how electronic medical records (EMRs) and a clinical trial management system (CTMS) will be used to manage participant data within the University of Pennsylvania Health System. The purpose of collecting and managing this information is to conduct the research, oversee it, and evaluate whether it was executed correctly.

Free Resources: Build your own "Trial LLM"



Build your own trial-specific LLM, here are the pieces: https://github.com/JLacal/local-llm

 Database files with full text of Protocols, SAP, and ICFs available through ClinicalTrials.gov

Abbott Johnson & Johnson

Abbvie Pfizer

AstraZeneca Roche

Bayer Sanofi

Bristol Myers Squibb

- Pre-computed index file for FDA ApplicationDocs
- Sample Python code to utilize all those data files
- This presentation

Contact us



José C. Lacal, CTO

Data Santander, SL

Hello@TrialTwin.com

{EU} +34 (674) 88 17 52

{US} +1 (561) 777-2577

Jan Philip Göpfert, PhD Independent researcher

JanPhilip@Gopfert.eu