

# Kapitel III

## Rings and modules

### § 11 Multilinear Algebra

In this section,  $R$  will always be a commutative, unitary ring.

**Reminder 11.1** (i) An  $R$ -module is an abelian group  $(M, +)$  together with a scalar multiplication

$$\cdot : R \times M \longrightarrow M$$

with the usual properties of a vector space, i.e. for any  $m, n \in M, r, s \in R$  we have

$$(1) \quad r \cdot (s \cdot m) = (rs) \cdot m$$

$$(2) \quad (r + s) \cdot m = r \cdot m + s \cdot m$$

$$(3) \quad r \cdot (m + n) = r \cdot m + r \cdot n$$

$$(4) \quad 1_R \cdot m = m$$

(ii) A map  $\phi : M \longrightarrow M'$  of  $R$ -modules  $M, M'$  is called  $R$ -linear or  $R$ -module homomorphism, if

$$\phi(r \cdot m + s \cdot n) = r \cdot \phi(m) + s \cdot \phi(n) \quad \text{for all } r, s \in R, m, n \in M.$$

(iii) A subset  $S \subseteq M$  of an  $R$ -module is called an  $R$ -submodule of  $M$ , if  $S$  is an  $R$ -module.

(iv)  $R$  itself is an  $R$ -module, the submodules are the ideals of  $R$ .

(v) If  $\phi : M \longrightarrow M'$  is  $R$ -linear, then

$$\ker(\phi) = \{m \in M \mid \phi(m) = 0\},$$

$$\operatorname{im}(\phi) = \{m' \in M' \mid \phi(m) = m' \text{ for some } m \in M\}$$

are  $R$ -submodules.

(vi) If  $M \subseteq M'$  is a submodule, then the factor group  $M/M'$  is an  $R$ -module via

$$a \cdot \overline{m} = \overline{a \cdot m}.$$

(vii) For an  $R$ -linear map  $\phi : M \longrightarrow M''$ , we have

$$\text{im}(\phi) \cong M / \ker(\phi).$$

(viii) An  $R$ -module  $M$  is called *free*, if there exists a subset  $X \subseteq M$ , such that every  $m \in M$  has a unique representation

$$m = \sum_{x \in X} a_x \cdot x, \quad a_x \in R, \quad a_x \neq 0 \text{ only for finitely many } x \in X.$$

In this case,  $X$  is called the rank of  $M$ .

(ix) Not every  $R$ -module is free: Indeed let  $0 \subsetneq I \subsetneq R$  be a proper ideal. Then  $R/I$  is not free: Let  $X \subseteq R$ , such that  $\overline{X} \subseteq R/I$  generates the  $R$ -module  $R/I$ . Let  $x \in X$  and  $a \in I \setminus \{0\}$ . Then we have

$$x \cdot \overline{x} = \overline{a \cdot x} = \overline{0} = \overline{0 \cdot x} = 0 \cdot \overline{x},$$

hence we have found two different representations of 0. Thus  $R/I$  is not free.

(x) For any  $n \in \mathbb{N}$ ,  $n\mathbb{Z}$  is a free module

(xi) If  $I \leq R$  is not a principal ideal, then  $I$  is not a free  $R$ -module., since for  $x, y \in I$  with  $y \notin (x)$  we have  $xy - yx = 0$ . Again we have a nontrivial representation of 0 and  $I$  is not free.

**Definition + proposition 11.2** Let  $R$  be a ring,  $M, M'$   $R$ -modules.

(i) The set of  $R$ -module homomorphisms

$$\text{Hom}_R(M, M') = \{\phi : M \longrightarrow M' \mid \phi \text{ is } R\text{-linear} \}$$

is again an  $R$ -module.

(ii)  $M^* = \text{Hom}_R(M, R)$  is called the *dual module* of  $M$ .

Let now

$$0 \longrightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \longrightarrow 0$$

be a short exact sequence of  $R$ -modules  $M, M', M''$ , i.e.  $\alpha$  is injective and  $\beta$  is surjective.

(iii) Then we have a short exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}_R(N, M') & \xrightarrow{\alpha^*} & \text{Hom}_R(N, M) & \xrightarrow{\beta^*} & \text{Hom}_R(N, M'') \\ & & \phi & \mapsto & \alpha \circ \phi, & \psi & \mapsto & \beta \circ \psi \end{array}$$

(iv) We have a short exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}_R(M'', N) & \xrightarrow{\beta^*} & \text{Hom}_R(M, N) & \xrightarrow{\alpha^*} & \text{Hom}_R(M', N) \\ & & \phi & \mapsto & \phi \circ \beta, & \psi & \mapsto & \psi \circ \alpha \end{array}$$

- (v)  $N$  is called a *projective* module, if  $\beta_*$  is surjective for all short exact sequences as in (iii).  
 (vi)  $N$  is called an *injective* module, if  $\alpha^*$  is surjective for all short exact sequences as in (iv).

*proof.* (i) This is clear: For all  $\phi, \phi_1, \phi_2 \in \text{Hom}_R(M, M')$  and  $a \in R$  we have

$$(\phi_1 + \phi_2)(x) = \phi_1(x) + \phi_2(x), \quad (a \cdot \phi)(x) = a \cdot \phi(x)$$

(iii)  $\alpha_*$  is  $R$ -linear: For any  $\phi_1, \phi_2 \in \text{Hom}_R(N, M')$  and  $x \in N$  we have

$$\alpha_*(\phi_1 + \phi_2)(x) = (\alpha \circ (\phi_1 + \phi_2))(x) = \alpha(\phi_1(x) + \phi_2(x)) = \alpha(\phi_1(x)) + \alpha(\phi_2(x))$$

and thus

$$\alpha_*(\phi_1 + \phi_2)(x) = \alpha_*(\phi_1)(x) + \alpha_*(\phi_2)(x) = (\alpha_*(\phi_1) + \alpha_*(\phi_2))(x).$$

Moreover,  $\alpha_*$  is injective: Since  $\alpha$  is injective we have  $\alpha_*(\phi)(x) = \alpha(\phi(x)) = 0$  if and only if  $\phi(x) = 0$  for all  $x \in N$ , thus  $\phi = 0$ . Now we still have to show  $\ker(\beta_*) = \text{im}(\alpha_*)$ .

' $\supseteq$ ' For  $\phi \in \text{Hom}_R(N, M')$  we have  $\beta_*(\alpha \circ \phi) = \beta \circ \alpha \circ \phi = 0 \circ \phi = 0$ , i.e.  $\alpha \circ \phi = \alpha_*(\phi) \in \ker(\beta_*)$ .

' $\subseteq$ ' Let  $\phi : N \longrightarrow M$ ,  $\phi \in \ker(\beta_*)$ , i.e.  $\beta \circ \phi = 0$ . We have to show, that there exists  $\phi' \in \text{Hom}_R(N, M')$  such that  $\phi = \alpha_*(\phi') = \alpha \circ \phi'$ . Let  $x \in N$ . Then  $\phi(x) \in \ker(\beta) = \text{im}(\alpha)$ . Then there exists  $z \in M'$  such that  $\phi(x) = \alpha(z)$  and  $z$  is unique, since  $\alpha$  is injective. Define  $\phi'(x) := z$ . Then we have  $\alpha \circ \phi' = \phi$ . It remains to show that  $\phi'$  is  $R$ -linear. We have  $\phi'(x_1 + x_2) = z$  and with  $\alpha(z) = \phi(x_1 + x_2) = \phi(x_1) + \phi(x_2)$  we again have  $\alpha(z) = \phi(z_1) + \phi(z_2)$  for some suitable, but unique  $z_1, z_2 \in M'$ . Since we have

$$\alpha(z) = \phi(x_1 + x_2) = \phi(x_1) + \phi(x_2) = \alpha(z_1) + \alpha(z_2) = \alpha(z_1 + z_2)$$

and  $\alpha$  is injective, we have  $z = z_1 + z_2$ , thus

$$\phi'(x_1 + x_2) = z = z_1 + z_2 = \phi'(x_1) + \phi'(x_2).$$

Moreover for  $a \in R$  we have  $\phi'(ax) = w$  with  $\alpha(w) = \phi(ax) = a \cdot \phi(x) = a \cdot \alpha(z)$ . Thus

$$\alpha(\phi'(ax)) = \alpha(w) = \phi(ax) = a \cdot \phi(x) = a \cdot \alpha(z) = a \cdot \alpha(\phi'(x)) \xrightarrow{\alpha \text{ inj.}} \phi'(ax) = a \cdot \phi'(x),$$

which proves the claim.  $\square$

**Remark 11.3** (i) An  $R$ -module  $N$  is projective if and only if for every surjective  $R$ -linear map  $\beta : M \longrightarrow M''$  and every  $R$ -linear map  $\phi : N \longrightarrow M''$  there is an  $R$ -linear map

$\tilde{\phi} : N \longrightarrow M$ , such that the diagram below commutes, i.e.  $\phi = \beta \circ \tilde{\phi}$ .

$$\begin{array}{ccc} & & M \\ & \nearrow \tilde{\phi} & \downarrow \beta \\ N & \xrightarrow{\phi} & M'' \end{array}$$

(ii) Free modules are projective.

**Definition 11.4** Let  $M, M_1, M_2$  be  $R$ -modules. A map

$$\Phi : M_1 \times M_2 \longrightarrow M$$

is called *bilinear*, if the maps

$$\Phi_{x_0} : M_2 \longrightarrow M, \quad y \mapsto \Phi(x_0, y), \quad \Phi_{y_0} : M_1 \longrightarrow M, \quad x \mapsto \Phi(x, y_0)$$

are linear for all  $x_0 \in M_1$  and  $y_0 \in M_2$ .

**Definition 11.5** Let  $M_1, M_2$  be  $R$ -modules. A *tensor product* of  $M_1$  and  $M_2$  is an  $R$ -module  $T$  together with a bilinear map

$$\tau : M_1 \times M_2 \longrightarrow T,$$

such that for every bilinear map  $\Phi : M_1 \times M_2 \longrightarrow M$  for any  $R$ -module  $M$  there is a unique linear map  $\phi : T \longrightarrow M$ , such that the following diagram becomes commutative.

$$\begin{array}{ccc} M_1 \times M_2 & \xrightarrow{\tau} & T \\ & \searrow \Phi & \swarrow \phi \\ & & M \end{array}$$

**Remark 11.6** Let  $(T, \tau)$  and  $(T', \tau')$  be tensor products of  $R$ -modules  $M_1$  and  $M_2$ . Then there exists a unique isomorphism  $h : T \longrightarrow T'$ , such that

$$\tau' = h \circ \tau.$$

*proof.* Consider

$$\begin{array}{ccc} M_1 \times M_2 & \xrightarrow{\tau} & T \\ & \searrow \tau' & \nearrow g \\ & & T' \end{array} \quad \begin{array}{c} \nearrow h \\ \searrow \end{array}$$

Existence and uniqueness of the linear maps  $g$  and  $h$  come from Definition 11.5. It remains to show, that  $h \circ g = \text{id}_{T'}$  and  $g \circ h = \text{id}_T$ .

In order to do this, consider the following diagramm.

$$\begin{array}{ccc} M_1 \times M_2 & \xrightarrow{\tau} & T \\ & \searrow \tau' & \swarrow g \circ h \stackrel{!}{=} \text{id}_T \\ & T & \end{array}$$

We have  $(g \circ h)\tau = g \circ (h \circ \tau) = g \circ \tau' = \tau$ . By the uniqueness we get  $\text{id}_T = g \circ h$ . Analogously we get  $\text{id}_{T'} = h \circ g$  which finishes the proof.  $\square$

**Corollary 11.7** *The tensor product  $(T, \tau)$  of  $R$ -modules  $M_1, M_2$  is unique up to isomorphism. The standard notation is*

$$T = M_1 \otimes_R M_2, \quad \tau(x, y) = x \otimes y$$

**Example 11.8** Let  $M_1, M_2$  be free  $R$ -modules with bases  $\{e_i\}_{i \in I}, \{f_j\}_{j \in J}$ . Let  $T$  be the free  $R$ -module with basis  $\{g_{ij}\}_{(i,j) \in I \times J}$  and

$$\tau : M_1 \times M_2 \longrightarrow T, \quad (e_i, f_j) \mapsto g_{ij} \quad \text{for all } (i, j) \in I \times J,$$

i.e. for elements in  $M_1, M_2$  we have

$$\tau \left( \sum_{i \in I} a_i e_i, \sum_{j \in J} b_j f_j \right) = \sum_{(i,j) \in I \times J} a_i b_j g_{ij}$$

Then  $(T, \tau)$  is the tensor product of  $M_1, M_2$ , since: Let  $\Phi : M_1 \times M_2 \longrightarrow M$  be bilinear. Define

$$\phi : T \longrightarrow M, \quad g_{ij} \mapsto \Phi(e_i, f_j).$$

Obviously  $\phi$  is linear and satisfies  $\Phi = \phi \circ \tau$ . Now consider a special case and let  $|I| = n, |J| = m$ . Identify  $M_1$  via  $(e_1, \dots, e_n)$  with  $R^n$  and  $M_2$  via  $(f_1, \dots, f_m)$  with  $R^m$ . Then  $T$  is identified with  $R^{n \times m}$  via

$$g_{ij} = E_{ij} = \begin{pmatrix} 0 & \dots & 0 & \dots & 0 \\ \vdots & & 1 & & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{pmatrix}$$

where the only nonzero entry is in the  $i$ -th row and  $j$ -th column. Then  $\tau : R^n \times R^m \longrightarrow R^{n \times m}$  is given by

$$\begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix} \otimes \begin{pmatrix} b_1 \\ \vdots \\ b_m \end{pmatrix} = \begin{pmatrix} a_1 b_1 & \dots & a_1 b_m \\ \vdots & & \vdots \\ a_n b_1 & \dots & a_n b_m \end{pmatrix} = \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix} \cdot \begin{pmatrix} b_1 & \dots & b_m \end{pmatrix},$$

where the last multiplication is the usual multiplication of matrices.

**Theorem 11.9** For any two  $R$ -modules  $M_1, M_2$  there exists a tensor product  $(T, \tau) = (M_1 \otimes_R M_2, \otimes)$ .

*proof.* Let  $F$  be the free  $R$ -module with basis  $M_1 \times M_2$  and  $Q$  be the submodule generated by all the elements

$$(x + x', y) - (x, y) - (x', y), \quad (x, y + y') - (x, y) - (x, y'), \quad (ax, y) - a(x, y), \quad (x, ay) - a(x, y)$$

for  $a \in R, x, x' \in M_1, y, y' \in M_2$ . Define

$$T := F/Q, \quad \tau : M_1 \times M_2 \longrightarrow T, \quad (x, y) \mapsto \overline{(x, y)}.$$

Then by the construction of  $Q$ ,  $\tau$  is bilinear. Let now be  $M$  a further  $R$ -module and  $\Phi : M_1 \times M_2 \longrightarrow M$  a bilinear map. Define

$$\tilde{\phi} : F \longrightarrow M, \quad (x, y) \mapsto \Phi(x, y).$$

Clearly  $\tilde{\phi}$  is linear. Moreover we have  $Q \subseteq \ker(\tilde{\phi})$ , since  $\Phi$  is bilinear. By the isomorphism theorem,  $\tilde{\phi}$  factors to a linear map  $\phi : T \longrightarrow M$  satisfying  $\phi(\overline{(x, y)}) = \Phi(x, y)$ . The uniqueness of  $\phi$  follows by the fact that  $T$  is generated by the  $\overline{(x, y)}$  for  $x \in M_1, y \in M_2$ .  $\square$

**Example 11.10** We want to find out what is

$$\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z}.$$

Let  $\Phi : \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z} \longrightarrow A$  bilinear for some  $\mathbb{Z}$ -module  $A$ . Then we see

$$\Phi(\bar{1}, \bar{1}) = \Phi(\bar{3}, \bar{1}) = \Phi(3 \cdot (\bar{1}, \bar{1})) = 3 \cdot \Phi(\bar{1}, \bar{1}) = \Phi(\bar{1}, \bar{3}) = \Phi(\bar{1}, \bar{0}) = 0 \cdot \Phi(\bar{1}, \bar{1}) = 0$$

Hence  $\Phi = 0$ , since  $(\bar{1}, \bar{1})$  generates  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}$ . Thus  $\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z} = 0$ .

**Proposition 11.11** For  $R$ -modules  $M, M_1, M_2, M_3$  we have the following properties.

- (i)  $M \otimes_R R \cong M$ .
- (ii)  $M_1 \otimes_R M_2 \cong M_2 \otimes_R M_1$ .
- (iii)  $(M_1 \otimes_R M_2) \otimes_R M_3 \cong M_1 \otimes_R (M_2 \otimes_R M_3)$ .

*proof.* (i) Let  $\tau : M \times R \longrightarrow M, (x, a) \mapsto a \cdot x$ . Then  $\tau$  is bilinear. We now can verify the universal property of the tensor product. Let  $N$  be an arbitrary  $R$ -module and  $\Phi : M \times R \longrightarrow N$  be bilinear a bilinear map. Define

$$\phi : M \longrightarrow N, \quad x \mapsto \Phi(x, 1)$$

Then  $\phi$  is  $R$ -linear: For  $x, y \in M, \alpha \in R$  we have

$$\phi(\alpha \cdot x) = \Phi(\alpha \cdot x, 1) = \alpha \cdot \Phi(x, 1) = \alpha \cdot \phi(x),$$

$$\phi(x + y) = \Phi(x + y, 1) = \Phi(x, 1) + \Phi(y, 1) = \phi(x) + \phi(y)$$

and thus

$$\phi(\tau(x, a)) = \phi(a \cdot x) = a \cdot \Phi(x, 1) = \Phi(x, a)$$

(ii) The isomorphism

$$M_1 \times M_2 \xrightarrow{\cong} M_2 \times M_1, \quad (x, y) \mapsto (y, x)$$

induces an isomorphism  $M_1 \otimes_R M_2 \cong M_2 \otimes_R M_1$ .

(iii) For fixed  $z \in M_3$  define

$$\Phi_z : M_1 \times M_2 \longrightarrow M_1 \otimes_R (M_2 \otimes_R M_3), \quad (x, y) \mapsto x \otimes (y \otimes z) = \tau_{1(23)}(\tau_{23}(x, y)).$$

Then  $\Phi_z$  is bilinear and induces a linear map

$$\phi_z : M_1 \otimes_R M_2 \longrightarrow M_1 \otimes_R (M_2 \otimes_R M_3).$$

Define

$$\Psi : (M_1 \otimes_R M_2) \times M_3 \longrightarrow M_1 \otimes_R (M_2 \otimes_R M_3), \quad (x \otimes y, z) \mapsto \phi_z(x \otimes y).$$

$\Psi$  is bilinear and induces a linear map

$$\psi : (M_1 \otimes_R M_2) \otimes_R M_3 \longrightarrow M_1 \otimes_R (M_2 \otimes_R M_3)$$

Doing this again the other way round we find a linear map

$$\tilde{\psi} : M_1 \otimes_R (M_2 \otimes_R M_3) \longrightarrow (M_1 \otimes_R M_2) \otimes_R M_3$$

By the uniqueness we obtain as in Remark 11.6 that  $\psi \circ \tilde{\psi} = \tilde{\psi} \circ \psi = \text{id}$ , hence the claim follows.  $\square$

**Definition + remark 11.12** Let  $M, M_1, \dots, M_n$  be  $R$ -modules.

(i) A map

$$\Phi : M_1 \times \dots \times M_n = \prod_{i=1}^n M_i \longrightarrow M$$

is called *multilinear*, if for any  $1 \leq i \leq n$  and all choices of  $x_j \in M_j$  for  $j \neq i$  the map

$$\Phi_i : M_i \longrightarrow M, \quad x \mapsto \Phi(x_1, \dots, x_{i-1}, x, x_{i+1}, \dots, x_n)$$

is linear.

(ii) The map

$$\tau_{M_1, \dots, M_n} : \prod_{i=1}^n M_i \longrightarrow \bigotimes_{i=1}^n M_i, \quad (x_1, \dots, x_n) \mapsto x_1 \otimes \dots \otimes x_n$$

is multilinear.

(iii) For every multilinear map

$$\Phi : \prod_{i=1}^n M_i \longrightarrow M$$

there exists a unique linear map

$$\phi : \bigotimes_{i=1}^n M_i \longrightarrow M$$

such that  $\Phi = \phi \circ \tau_{M_1, \dots, M_n}$ .

**Definition 11.13** Let  $M, N$  be  $R$ -modules,  $\Phi : M^n = \prod_{i=1}^n M \longrightarrow N$  a multilinear map.

(i)  $\Phi$  is called *symmetric*, if for any  $\sigma \in S_n$  we have

$$\Phi(x_1, \dots, x_n) = \Phi(x_{\sigma(1)}, \dots, x_{\sigma(n)}).$$

(ii)  $\Phi$  is called *alternating*, if

$$x_i = x_j \text{ for some } i \neq j \implies \Phi(x_1, \dots, x_n) = 0.$$

If  $\text{char}(R) \neq 2$ , this is equivalent to

$$\Phi(x_1, \dots, x_i, \dots, x_j, \dots, x_n) = -\Phi(x_1, \dots, x_j, \dots, x_i, \dots, x_n).$$

**Proposition 11.14** Let  $M$  be an  $R$ -module,  $n \geq 1$ .

(i) There exists an  $R$ -module  $S^n(M)$ , called the  $n$ -th symmetric power of  $M$  and a symmetric multilinear map

$$\sigma_M^n : M^n \longrightarrow S^n(M)$$

such that for all symmetric, multilinear maps  $\Phi : M^n \longrightarrow N$  for any  $R$ -module  $N$  there exists a unique linear map  $\phi : S^n(M) \longrightarrow N$  satisfying  $\Phi = \phi \circ \sigma_M^n$ .

(ii) There exists an  $R$ -module  $\Lambda^n(M)$ , called the  $n$ -th exterior power of  $M$  and an alternating multilinear map

$$\lambda_M^n : M^n \longrightarrow \Lambda^n(M)$$

such that for all alternating, multilinear maps  $\Phi : \Lambda^n(M) \longrightarrow N$  for any  $R$ -module  $N$  there exists a unique linear map  $\phi : \Lambda^n(M) \longrightarrow N$  satisfying  $\Phi = \phi \circ \lambda_M^n$ .



*proof.* (i) Let  $T^n(M) = M \otimes_R \dots \otimes_R M$ .

Let now  $J_n(M)$  be the submodule of  $T^n(M)$  generated by all elements

$$(x_1 \otimes \dots \otimes x_n) - (x_{\sigma(1)} \otimes \dots \otimes x_{\sigma(n)}), \quad x_i \in M, \sigma \in S_n$$

Define

$$S^n(M) := T^n(M) / J_n(M), \quad \sigma_M^n := \text{proj} \circ \tau_{M, \dots, M}$$

Then  $\sigma_M^n$  is multilinear and symmetric by construction. Given a multilinear and symmetric map  $\Phi : M^n \rightarrow N$ , define  $\phi$  as follows: Let  $\tilde{\phi} : T^n(M) \rightarrow N$  be the linear map induced by  $\Phi$  and observe that  $J_n(M) \subseteq \ker(\tilde{\phi})$ . Hence  $\tilde{\phi}$  factors to a linear map

$$\phi : S^n(M) = T^n(M) / J_n(M) \rightarrow N$$

satisfying  $\phi \circ \sigma_M^n = \Phi$ .

(ii) Similarly let  $I_n(M)$  be the submodule of  $T^n(M)$  generated by all the elements

$$x_1 \otimes \dots \otimes x_n, \quad x_i \in M \text{ with } x_i = x_j \text{ for some } i \neq j$$

Analogously we define

$$\Lambda^n(M) := T^n(M) / I_n(M), \quad \lambda_M^n := \text{proj} \circ \tau_{M, \dots, M}$$

and obtain the required properties. □

**Proposition 11.15** *Let  $M$  be a free  $R$ -module of rank  $r$  and  $\{e_1, \dots, e_r\}$  a basis of  $M$ . Then  $\Lambda^n(M)$  is a free  $R$ -module with basis*

$$\text{proj}(e_{i_1} \otimes \dots \otimes e_{i_n}) =: e_{i_1} \wedge \dots \wedge e_{i_n}, \quad 1 \leq i_1 < \dots < i_n \leq r$$

*In particular,  $\Lambda^n(M) = 0$  for  $n > r$  and  $\text{rank}(\Lambda^r(M)) = 1$ .*

*proof.* By definition we have  $e_{i_1} \wedge \dots \wedge e_{i_n} = 0$  if  $i_k = i_j$  for some  $k \neq j$ , hence we have  $\Lambda^n(M) = 0$  for  $n > r$ , as at least one of the  $e_k$  must appear twice.

*generating:* Clearly the  $e_{i_1} \wedge \dots \wedge e_{i_n}, i_k \in \{1, \dots, r\}$  generate  $\Lambda^n(M)$ . We have to show that we can leave out some of them. Obviously  $e_{i_{\sigma(1)}} \wedge \dots \wedge e_{i_{\sigma(n)}}$  is a multiple by  $\pm 1$  of  $e_{i_1} \wedge \dots \wedge e_{i_n}$ .

Thus the  $e_{i_1} \wedge \dots \wedge e_{i_n}$  with  $1 \leq i_1 < i_2 < \dots < i_n \leq r$  generate  $\Lambda^n(M)$ .

*linear independence:* Assume

$$\sum_{1 \leq i_1 < \dots < i_n \leq r} a_{i_1, \dots, i_n} e_{i_1} \wedge \dots \wedge e_{i_n} = 0. \quad (*)$$

For fixed  $j := (j_1, \dots, j_n), 1 \leq j_1 < \dots < j_n \leq r$  choose  $\sigma_j \in S_r$ , such that  $\sigma_j(k) = j_k$  for

$1 \leq k \leq n$ . Then we obtain

$$e_{i_1} \wedge \dots \wedge e_{i_n} \wedge e_{\sigma_j(n+1)} \wedge \dots \wedge e_{\sigma_j(r)} = \begin{cases} \pm e_1 \wedge \dots \wedge e_r, & \text{if } i_k = j_k \text{ for all } k \\ 0 & \text{otherwise} \end{cases}$$

By (\*) we get

$$0 = \left( \sum_{1 \leq i_1 < \dots < i_n \leq r} a_{i_1, \dots, i_n} e_{i_1} \wedge \dots \wedge e_{i_n} \right) \wedge e_{\sigma_j(n+1)} \wedge \dots \wedge e_{\sigma_j(r)} = a_j e_{j_1} \wedge \dots \wedge e_{j_r}$$

and thus  $a_j = 0$ . □

**Example 11.16** Let  $M = R^n$ . Then  $\Lambda^k(M)$  is the free  $R$ -module with basis

$$e_{i_1} \wedge \dots \wedge e_{i_k}, \quad 1 \leq i_1 < \dots < i_k \leq n$$

and we have  $e_1 \wedge e_2 = -e_2 \wedge e_1$ . What is  $\Lambda^n(R^n) = \Lambda^n(M)$ ? And what is  $\lambda_k^M$ ? First we obtain  $\Lambda^n(R^n) = (e_1 \wedge \dots \wedge e_n)R \cong R$ . Then

$$M^n = (R^n)^n = R^{n \times n}, \quad (a_1, \dots, a_n) = A \in R^{n \times n}, \quad a_i = \begin{pmatrix} a_{1i} \\ \vdots \\ a_{ni} \end{pmatrix} = \sum_{j=1}^n a_{ji} e_j \in R^n = M.$$

For  $\lambda_n^M$  we get

$$\begin{aligned} \lambda_n^M &= \lambda_n^{R^n} = \lambda_n(A) = \lambda_n \left( \sum_{j=1}^n a_{j1} e_j, \dots, \sum_{j=1}^n a_{jn} e_j \right) \\ &= \sum_{j=1}^n a_{j1} e_j \wedge \dots \wedge \sum_{j=1}^n a_{jn} e_j \\ &= \sum_{j=1}^n a_{j1} \left( e_1 \wedge \sum_{j=1}^n a_{j2} e_j \wedge \dots \wedge \sum_{j=1}^n a_{jn} e_j \right) \\ &= \sum_{j=1}^n a_{j1} \cdots \sum_{j=1}^n a_{jn} (e_1 \wedge \dots \wedge e_n) \\ &= \sum_{\sigma \in S_n} a_{\sigma(1)1} \cdots a_{\sigma(n)n} \cdot e_1 \wedge \dots \wedge e_n \cdot \text{sgn}(\sigma) \\ &= \det(A) \cdot e_1 \wedge \dots \wedge e_n, \end{aligned}$$

which is well-known to us.

**Definition 11.17** Let  $M$  be a  $R$ -module. Then we define

$$T(M) := \bigoplus_{n=0}^{\infty} T^n(M), \quad T^0(M) := R, \quad T(M) := M$$

$$S(M) := \bigoplus_{n=0}^{\infty} S^n(M). \quad S^0(M) := R, \quad S(M) := M$$

$$\Lambda(M) := \bigoplus_{n=0}^{\infty} \Lambda^n(M), \quad \Lambda^0(M) := R, \quad \Lambda(M) := M$$

On  $T(M)$  define a multiplication

$$\begin{aligned} \cdot : T^n(M) \times T^m(M) &\longrightarrow T^{n+m}(M), \\ (x_1 \otimes \dots \otimes x_n) \cdot (y_1 \otimes \dots \otimes y_m) &\mapsto x_1 \otimes \dots \otimes x_n \otimes y_1 \otimes \dots \otimes y_m \end{aligned}$$

Similarly do it for  $S(M)$  and  $\Lambda(M)$ . Then we have  $R$ -algebra-structures and feel free to define

- (i) the *tensor algebra*  $T(M)$ ,
- (ii) the *symmetric algebra*  $S(M)$
- (iii) the *exterior algebra*  $\Lambda(M)$ .

**Definition 11.18** Let  $R$  be an arbitrary ring.

- (i) An  $R$ -algebra is a ring  $R'$  together with a ring homomorphism  $\alpha : R \longrightarrow R'$ . In particular  $R'$  is an  $R$ -module. If  $\alpha$  is injective,  $R'/R$  is called a *ring extension*.
- (ii) A homomorphism of  $R$ -algebras  $R', R''$  is an  $R$ -linear map  $\phi : R' \longrightarrow R''$ , which is a ring homomorphism.

**Example 11.19** (i)  $R[X_1, \dots, X_N]$  is an  $R$ -algebra for every  $n \in \mathbb{N}$ .

- (ii) If  $R'$  is an  $R$ -algebra and  $I \trianglelefteq R'$  an ideal, then  $R'/I$  is an  $R$ -algebra.

**Remark 11.20** Let  $R'$  be an  $R$ -algebra,  $F$  a free  $R$ -module. Then  $F' := F \otimes_R R'$  is a free  $R'$ -module.

*proof.* Let  $\{e_i\}_{i \in I}$  be basis of  $F$ . Let us show, that  $\{e_i \otimes 1\}_{i \in I}$  is basis of  $F'$  as an  $R'$ -module, where  $F'$  is an  $R'$  module by

$$b \cdot (x \otimes a) := x \otimes b \cdot a, \quad a, b \in R, \quad x \in F$$

Check the universal property of the free  $R'$ -module with basis  $\{e_i \otimes 1\}_{i \in I}$  for  $F \otimes_R R'$ . Let  $M'$  be an  $R'$ -module and  $f : \{e_i \otimes 1\}_{i \in I} \longrightarrow M'$  be a map. We have to show: There exists an  $R'$ -linear map  $\phi : F' \longrightarrow M'$  with  $\phi(e_i \otimes 1) = f(e_i \otimes 1)$ . Note that the  $\{e_i \otimes 1\}$  generate  $F'$  as an  $R'$ -module, since  $e_i \otimes a = a \cdot (e_i \otimes 1)$  for  $a \in R'$ . Let  $\tilde{\phi} : F \longrightarrow M'$  be the unique  $R$ -linear map satisfying  $\tilde{\phi}(e_i) = f(e_i \otimes 1)$ . Then define

$$\phi : F \otimes_R R' \longrightarrow M', \quad x \otimes a \mapsto a \cdot \tilde{\phi}(x).$$

Then  $\phi$  is  $R'$ -linear and we have

$$\phi(e_i \otimes 1) = 1 \cdot \tilde{\phi}(e_i) = \tilde{\phi}(e_i) = f(e_i \otimes 1),$$

which gives us the desired structure of an  $R'$ -module.  $\square$

**Proposition 11.21** *Let  $R$  be a ring,  $R', R''$  two  $R$ -algebras.*

(i)  $R' \otimes_R R''$  is an  $R$ -algebra with multiplication

$$(a_1 \otimes b_1) \cdot (a_2 \otimes b_2) := (a_1 a_2) \otimes (b_1 b_2)$$

(ii) There are  $R$ -algebra homomorphisms

$$\sigma' : R' \longrightarrow R' \otimes_R R'', \quad a \mapsto a \otimes 1$$

$$\sigma'' : R'' \longrightarrow R' \otimes_R R'', \quad b \mapsto 1 \otimes b$$

(iii) For any  $R$ -algebra  $A$  and  $R$ -algebra homomorphisms  $\phi' : R' \longrightarrow A, \phi'' : R'' \longrightarrow A$ , there is a unique  $R$ -algebra homomorphism

$$\phi : R' \otimes_R R'' \longrightarrow A$$

satisfying  $\phi' = \phi \circ \sigma'$  and  $\phi'' = \phi \circ \sigma''$ , i.e. making the following diagram commutative

$$\begin{array}{ccc} & & R' \otimes_R R'' \\ & \nearrow \sigma' & \uparrow \sigma'' \\ R' & & R'' \\ & \searrow \phi' & \searrow \phi'' \\ & & A \end{array} \quad \begin{array}{c} | \\ | \\ | \\ | \\ | \\ \downarrow \phi \end{array}$$

*proof.* Defining

$$\tilde{\phi} : R' \times R'' \longrightarrow A, \quad (x, y) \mapsto \phi'(x) \cdot \phi''(y)$$

gives us  $\phi$ , which satisfies the required properties.  $\square$

## § 12 Hilbert's basis theorem

**Definition 12.1** Let  $R$  be a ring,  $M$  and  $R$ -module.

(i)  $M$  is called *noetherian*, if any ascending chain of submodules  $M_0 \subset M_1 \subset \dots$  becomes stationary.

- (ii)  $R$  is called *noetherian*, if  $R$  is noetherian as an  $R$ -module, i.e. if every ascending chain of ideals becomes stationary.

**Example 12.2** (i) Let  $k$  be a field. A  $k$ -vector space is noetherian if and only if  $\dim(V) < \infty$ .

(ii)  $\mathbb{Z}$  is noetherian.

(iii) Principle ideal domains are noetherian.

**Proposition 12.3** *Let*

$$0 \longrightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \longrightarrow 0$$

*be a short exact sequence. Then  $M$  is noetherian if and only if  $M'$  and  $M''$  are noetherian.*

*proof.* '⇒' Let  $M$  be noetherian. Let first  $M'_0 \subset M'_1 \subset \dots$  be an ascending chain of submodules in  $M'$ . Then  $\alpha(M'_0) \subset \alpha(M'_1) \subset \dots$  is an ascending chain in  $M$ . Since  $M$  is noetherian, there exists some  $n \in \mathbb{N}$ , such that  $\alpha(M'_i) = \alpha(M'_n)$  for all  $i \geq n$ . Since  $\alpha$  is injective, we have  $M'_i = M'_n$  for  $i \geq n$ , hence  $M'$  is noetherian. Let now  $M''_0 \subset M''_1 \subset \dots$  be an ascending chain of submodules in  $M''$ . Then  $\beta^{-1}(M''_0) \subset \beta^{-1}(M''_1) \subset \dots$  is an ascending chain in  $M$ , hence becomes stationary. Since  $\beta$  is surjective,  $\beta(\beta^{-1}(M''_i)) = M''_i$  and thus  $M''_0 \subset M''_1 \subseteq \dots$  becomes stationary.

'⇐' Let  $M_0 \subset M_1 \subset \dots$  be an ascending chain in  $M$ . Let  $M'_i := \alpha^{-1}(M_i) \cong M_i \cap M'$  and  $M''_i := \beta(M_i)$ . By assumption, there exists  $n \in \mathbb{N}$ , such that  $M'_i = M'_n$  and  $M''_i = M''_n$  for all  $i \geq n$ . Then for  $i \geq n$  we have

$$\begin{array}{ccccccc} 0 & \longrightarrow & M'_n & \xrightarrow{\alpha} & M_n & \xrightarrow{\beta} & M''_n \longrightarrow 0 & \text{exact} \\ & & \parallel & & \downarrow \gamma & & \parallel & \\ 0 & \longrightarrow & M'_i & \xrightarrow{\alpha} & M_i & \xrightarrow{\beta} & M''_i \longrightarrow 0 & \text{exact} \end{array}$$

Where  $\gamma$  is injective as an embedding. It remains to show that  $\gamma$  is surjective. Let  $z \in M_i$ . Since  $\beta$  is surjective, there exists  $x \in M_n$ , such that  $\beta(x) = \beta(z)$ . Then  $\beta(\gamma(x) - z) = 0 \Rightarrow \gamma(x) - z = \alpha(y)$  for some  $y \in M'_i = M'_n$ . Let  $\tilde{x} := x - \alpha(y)$ . Then

$$\gamma(\tilde{x}) = \gamma(x) - \gamma(\alpha(y)) = \gamma(x) - \gamma(x) + z = z$$

hence  $\gamma$  is surjective, thus bijective and we have  $M_i = M_n$  for  $i \geq n$ . □

**Corollary 12.4** *Let  $R$  be a noetherian ring.*

(i) *Any free  $R$ -module  $F$  of finite rank  $n$  is noetherian.*

(ii) *Any finitely generated  $R$ -module  $M$  is noetherian.*

*proof.* (i) Prove this by induction on  $n$ .

$n = 1$  Clear.

$n > 1$  Let  $e_1, \dots, e_n$  be a basis of  $F$  and let  $F'$  be the submodule generated by  $e_1, \dots, e_{n-1}$ . Then  $F'$  is free of rank  $n - 1$ , thus noetherian by induction hypothesis. Moreover  $F/F'$  is free with generator  $e_n$ . Thus we have a short exact sequence

$$0 \longrightarrow F' \longrightarrow F \longrightarrow F/F' \longrightarrow 0$$

with  $F', F/F'$  noetherian, hence by 12.2,  $F$  is noetherian.

(ii) If  $M$  is generated by  $x_1, \dots, x_n$ , there is a surjective,  $R$ -linear map  $\phi: F \longrightarrow M$ , sending the  $e_i$  to  $x_i$ , where  $F$  is the free  $R$ -module with basis  $e_1, \dots, e_n$ . Again by 12.2,  $M$  is noetherian which finishes the proof.  $\square$

**Proposition 12.5** *For an  $R$ -module  $M$  the following statements are equivalent:*

- (i)  $M$  is noetherian.
- (ii) Any nonempty family of submodules of  $M$  has a maximal element with respect to ' $\subseteq$ '.
- (iii) Every submodule of  $M$  is finitely generated.

*proof.* '(i) $\Rightarrow$ (ii)' Let  $\mathcal{M} \neq \emptyset$  be a set of submodules of  $M$ . Let  $M_0 \in \mathcal{M}$ . If  $M_0$  is not maximal, there is  $M_1 \in \mathcal{M}$  with  $M_0 \subsetneq M_1$ . If  $M_1$  is not maximal, there is  $M_2 \in \mathcal{M}$  with  $M_1 \subsetneq M_2$ . Since  $M$  is noetherian, we come to a maximal submodule  $M_n$  after finitely many steps.

'(ii) $\Rightarrow$ (iii)' Let  $N \subseteq M$  be a submodule. Let  $\mathcal{M}$  be the set of finitely generated submodules of  $N$ . Since  $(0) \in \mathcal{M}$ , we have  $\mathcal{M} \neq \emptyset$  and thus there exists a maximal element  $N_0 \in \mathcal{M}$ . If  $N_0 \neq N$ , let  $x \in N \setminus N_0$  and  $N' := N_0 + (x)$  be the submodule generated by  $N_0$  and  $x$ . Then clearly  $N' \in \mathcal{M}$ , which is a contradiction to the maximality of  $N_0$ . Hence  $N_0 = N$  and  $N$  is finitely generated.

'(iii) $\Rightarrow$ (i)' Let  $M_0 \subseteq M_1 \subseteq \dots$  be an ascending chain of submodules in  $M$ . Let  $N := \bigcup_{n \in \mathbb{N}_0} M_n$ . By assumption,  $N$  is finitely generated, say by  $x_1, \dots, x_n$ . Then there exists  $i_0 \in \mathbb{N}$ , such that  $x_k \in M_{i_0}$  for all  $1 \leq k \leq n$ . Thus we have  $M_i = M_{i_0}$  for  $i \geq i_0$ , i.e. the chain becomes stationary and  $M$  is noetherian.  $\square$

**Corollary 12.6**  *$R$  is noetherian if and only if every ideal  $I \trianglelefteq R$  can be generated by finitely many elements. In particular, every principal ideal domain is noetherian.*

*proof.* Follows from Proposition 12.4.  $\square$

**Theorem 12.7 (Hilbert's basis theorem)** *If  $R$  is noetherian,  $R[X]$  is also noetherian.*

*proof.* Let  $J \trianglelefteq R[X]$  be an ideal. Assume that  $J$  is not finitely generated. Let  $f_1$  be an element of  $J \setminus \{0\}$  of minimal degree. Then  $(f_1) \neq J$ . Inductively let  $J_i := (f_1, \dots, f_i)$  and pick  $f_{i+1} \in J \setminus J_i$  of minimal degree. Let  $a_i$  be the leading coefficient of  $f_i$ , i.e. we have

$$f_i = a_i X^{\deg(f_i)} + \sum_{j=1}^{\deg(f_i)-1} b_j X^j$$

The ideal  $I \trianglelefteq R$  generated by the  $a_i$  for  $i \in \mathbb{N}$ , is finitely generated by assumption. Then we find  $n \in \mathbb{N}$  such that  $a_{n+1} \in (a_1, \dots, a_n)$ , i.e. we have

$$a_{n+1} = \sum_{i=1}^n \lambda_i a_i$$

for suitable  $\lambda_i \in R$ . Let  $d_i := \deg(f_i)$ . Note, that  $d_{i+1} \geq d_i$  for all  $1 \leq i \leq n$ . Let now

$$\rho := \sum_{i=1}^n \lambda_i f_i X^{d_{n+1}-d_i}.$$

Then the leading coefficient of  $\rho$  is

$$a_{d_{n+1}} = \sum_{i=1}^n \lambda_i a_i$$

Hence  $\deg(\rho - f_{n+1}) < d_{n+1}$ ,  $\rho - f_{n+1} \notin J_n$ , since  $\rho \in J_n$ , so  $f_{n+1}$  would be in  $J_n$ . This contradicts the choice of  $f_{n+1}$ . Hence our assumption was false and  $J$  is finitely generated and by Corollary 12.5  $R[X]$  is noetherian.

**Corollary 12.8** *Let  $R$  be noetherian. Then*

- (i)  $R[X_1, \dots, X_n]$  is noetherian for any  $n \in \mathbb{N}$ .
- (ii) Any finitely generated  $R$ -algebra is noetherian.

## § 13 Integral ring extensions

**Definition 13.1** Let  $R$  be ring,  $S$  an  $R$ -algebra.

- (i) If  $R \subseteq S$ ,  $S/R$  is called a *ring extension*.
- (ii) If  $R \subseteq S$ ,  $b \in S$  is called *integral over  $R$* , if there exists a monic polynomial  $f \in R[X] \setminus \{0\}$  such that  $f(b) = 0$ .
- (iii)  $S/R$  is called an *integral ring extension*, if every  $b \in S$  is integral over  $R$ .

**Example 13.2** (i) If  $R = k$  is a field, then *integral* is equivalent to *algebraic*.

- (ii)  $\sqrt{2}$  is integral over  $\mathbb{Z}$ , since  $f = X^2 - 2$  is monic with  $f(\sqrt{2}) = 0$ .
- (iii)  $\frac{1}{2}$  is not integral over  $\mathbb{Z}$ .

Assume  $\frac{1}{2}$  is integral over  $\mathbb{Z}$ . Then there exists some monic  $f \in R[X]$ , such that  $f(\frac{1}{2}) = 0$ , i.e. we have

$$\left(\frac{1}{2}\right)^n + g\left(\frac{1}{2}\right) = 0 \quad (*)$$

for some  $g \in \mathbb{Z}[X]$ . Then  $2^{n-1} \cdot g\left(\frac{1}{2}\right) \in \mathbb{Z}$ . Multiplying  $(*)$  by  $2^{n-1}$  gives us

$$2^{n-1} \cdot \left( \left(\frac{1}{2}\right)^n + g\left(\frac{1}{2}\right) \right) = 0$$

and hence

$$\frac{1}{2} = -2^{n-1} \cdot g\left(\frac{1}{2}\right) \in \mathbb{Z}.$$

Thus  $\frac{1}{2}$  is not integral over  $\mathbb{Z}$ . More generally, we easily see that any  $q \in \mathbb{Q} \setminus \mathbb{Z}$  is not integral over  $\mathbb{Z}$ .

**Lemma 13.3** *Let  $S/R$  be a ring extension,  $b \in S$ . If  $R[b]$  is contained in a subring  $S' \subseteq S$  which is finitely generated as an  $R$ -module, then  $b$  is integral over  $R$ .*

*proof.* Let  $s_1, \dots, s_n$  be generators of  $S'$ . Since  $b \cdot s_i \in S$  (we have  $b \in R[b] \subseteq S$ ), we find  $a_{ik} \in R$ , such that

$$b \cdot s_i = \sum_{k=1}^n a_{ik} s_k \iff 0 = \sum_{k=1}^n (a_{ik} - \delta_{ik}) s_k. \quad (*)$$

**Claim (a)** Let  $A$  be the coefficient matrix of  $(*)$ . Then  $\det(A) = 0$

Since the determinant is a monic polynomial in  $b$  of degree  $n$  with coefficients in  $R$ ,  $b$  is integral over  $R$ . It remains to show the claim.

(a) Let  $A^\#$  be the adjoint matrix

$$A_{ji}^\# = \det(A_{ij} \cdot (-1)^{i+j})$$

where  $A_{ij}$  is obtained from  $A$  by deleting the  $i$ -th row and  $j$ -th column. Recall

$$A^\# A = \det(A) \cdot E_n.$$

By  $(*)$  we have

$$A \cdot \begin{pmatrix} s_1 \\ \vdots \\ s_n \end{pmatrix} = 0,$$

hence we have

$$A^\# \cdot A \cdot \begin{pmatrix} s_1 \\ \vdots \\ s_n \end{pmatrix} = 0 \implies \det(A) \cdot s_i = 0 \quad \text{for all } 1 \leq i \leq n.$$

Since  $S'$  is a subring of  $S$ , we have  $1 \in S'$ , hence there exist  $\lambda_1, \dots, \lambda_n \in R$  with

$$1 = \sum_{i=1}^n \lambda_i s_i.$$

Finally

$$\det(A) = \det(A) \cdot 1 = \det(A) \cdot \sum_{i=1}^n \lambda_i s_i = \sum_{i=1}^n \det(A) \cdot \lambda_i \cdot s_i = 0$$



**Proposition 13.4** Let  $S/R$  be a ring extension. Define

$$\overline{R} := \{b \in S \mid b \text{ is integral over } R\} \supseteq R$$

Then  $\overline{R}$  is a subring of  $S$ , called the *integral closure* of  $R$  in  $S$ .

*proof.* Let  $b_1, b_2 \in \overline{R}$ . We have to show, that  $b_1 \pm b_2 \in \overline{R}$ ,  $b_1 b_2 \in \overline{R}$ . Let  $R[b_1]$  be the smallest subring of  $S$  containing  $R$  and  $b_1$ . Then  $R$  is finitely generated as an  $R$ -module by  $1, b_1, b_1^2, \dots, b_1^{n-1}$ , where  $n$  denotes the degree of the 'minimal polynomial' of  $f$ . Thus  $R[b_1, b_2] = (R[b_1])[b_2]$  is also finitely generated as an  $R[b_1]$ -module. This implies, that  $R[b_1, b_2]$  is also finitely generated as an  $R$ -module and by Lemma 13.2,  $R[b_1, b_2]/R$  is an integral ring extension. In particular,  $b_1 \pm b_2$  and  $b_1 b_2$  are integral over  $R$ .  $\square$

**Definition 13.5** Let  $S/R$  be a ring extension,  $\overline{R}$  the integral closure of  $R$  in  $S$ .

- (i)  $R$  is called *integrally closed* in  $S$ , if  $\overline{R} = R$ .
- (ii) Let  $R$  be an integral domain. The integral closure of  $R$  in  $\text{Quot}(R)$  is called the *normalization* of  $R$ .  $R$  is called *normal*, if it agrees with its normalization.

**Proposition 13.6** Any factorial domain is normal.

*proof.* Let  $R$  be a domain and  $x = \frac{a}{b} \in \text{Quot}(R)$ ,  $a, b \in R, b \neq 0$  relatively prime. Suppose,  $x$  is integral over  $R$ , i.e. there exist  $\alpha_0, \dots, \alpha_{n-1} \in R$ , such that

$$x^n + \alpha_{n-1}x^{n-1} + \dots + \alpha_1x + \alpha_0 = 0$$

Multiplying by  $b^n$  gives us

$$a^n + \alpha_{n-1}a^{n-1}b + \dots + \alpha_1ab^{n-1} + \alpha_0b^n = 0$$

and hence

$$a^n = b \cdot \underbrace{(-\alpha_{n-1}a^{n-1} - \dots - \alpha_1ab^{n-2} - \alpha_0b^{n-1})}_{\in R} \iff b \mid a^n$$

Since  $a$  and  $b$  are coprime, we have  $b \in R^\times$ . Thus  $x = \frac{a}{b} = ab^{-1} \in R$  and  $R$  is normal.  $\square$

**Definition 13.7** Let  $R$  be a ring.

- (i) For a prime ideal  $\mathfrak{p} \trianglelefteq R$  we define

$$ht(\mathfrak{p}) := \sup\{n \in \mathbb{N}_0 \mid \text{there exist prime ideals } \mathfrak{p}_0, \mathfrak{p}_1, \dots, \mathfrak{p}_n, \text{ with } \mathfrak{p}_n = \mathfrak{p} \text{ and } \mathfrak{p}_0 \subsetneq \dots \subsetneq \mathfrak{p}_n\}$$

to be the *height* of  $\mathfrak{p}$ .

- (ii) The *Krull-dimension* of  $R$  is

$$\dim(R) := \dim_{\text{Krull}}(R) = \sup\{ht(\mathfrak{p}) \mid \mathfrak{p} \trianglelefteq R \text{ prime}\}$$

**Example 13.8** (i) Since  $(0) \subsetneq (X_1) \subsetneq (X_1, X_2) \subsetneq \dots \subsetneq (X_1, \dots, X_n)$ , we have  $\dim(k[X_1, \dots, X_n]) \geq n$ .

(ii)  $\dim(k) = 0$  for any field  $k$ , since  $(0)$  is the only prime ideal.

(iii)  $\dim(\mathbb{Z}) = 1$ , since  $(0) \subsetneq (p)$  is a maximal chain of prime ideals for  $p \in \mathbb{P}$ .

(iv)  $\dim(R) = 1$  for any principle ideal domain which is not a field:

Assume  $p, q$  are prime element with  $(p) \subseteq (q)$ . Then  $p = q \cdot a$  for some  $a \in R$ . Since  $p$  is irreducible, we have  $a \in R^\times$  and hence  $(p) = (q)$ .

(v)  $\dim(k[X]) = 1$  for any field  $k$ :

**Theorem 13.9 (Going up theorem)** Let  $S/R$  be an integral ring extension and

$$\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \dots \subsetneq \mathfrak{p}_n$$

a chain of prime ideals in  $R$ . Then there exists a chain of prime ideals

$$\mathfrak{P}_0 \subsetneq \mathfrak{P}_1 \subsetneq \dots \subsetneq \mathfrak{P}_n$$

in  $S$ , such that  $\mathfrak{p}_i = \mathfrak{P}_i \cap R$ .

*proof.* Do this by induction on  $n$ .

**n=0** Let  $\mathfrak{p} \triangleleft R$  be a prime ideal. We have to find a prime ideal  $\mathfrak{P} \triangleleft S$  with  $\mathfrak{P} \cap R = \mathfrak{p}$ . Let

$$\mathcal{P} := \{I \triangleleft S \text{ ideal} \mid I \cap R = \mathfrak{p}\}$$

**Claim (a)**  $\mathfrak{p}S \in \mathcal{P}$ .

Then  $\mathcal{P}$  is nonempty. Zorn's lemma provides us then a maximal element  $\mathfrak{m} \in \mathcal{P}$ .

**Claim (b)**  $\mathfrak{m} \triangleleft S$  is a prime ideal.

This proves the claim. It remains to show the Claims.

**(b)** Suppose  $b_1, b_2 \in S$  with  $b_1 b_2 \in \mathfrak{m}$ . Assume  $b_1, b_2 \in S \setminus \mathfrak{m}$ .

Then  $\mathfrak{m} + (b_i) \notin \mathcal{P}$ , hence  $(\mathfrak{m} + (b_i)) \supsetneq \mathfrak{p}$  for  $i \in \{1, 2\}$ .  $\implies$  Thus there exists  $p_i \in \mathfrak{m}, s_i \in S$  such that  $r_i := p_i + b_i s_i \in R \setminus \mathfrak{p}$ . Then we have

$$r_1 r_2 = (p_1 + b_1 s_1)(p_2 + b_2 s_2) = \underbrace{p_1 p_2 + p_1 b_2 s_2 + b_1 s_1 p_2}_{\in \mathfrak{m}} + \underbrace{b_1 b_2}_{\in \mathfrak{m} \text{ by ass.}} s_1 s_2 \in \mathfrak{m}$$

Clearly  $r_1 r_2 \in R$ , hence  $r_1 r_2 \in \mathfrak{m} \cap R = \mathfrak{p}$ , which is a contradiction, since  $\mathfrak{p}$  is prime.

**(a)** We have to show  $\mathfrak{p}S \cap R = \mathfrak{p}$ . We prove both inclusions.

' $\supseteq$ ' This is clear by definition.

' $\subseteq$ ' Let now

$$b = \sum_{i=0}^n p_i t_i, \quad p_i \in \mathfrak{p}, \quad t_i \in S$$

Since the  $t_i$  are integral over  $R$ ,  $R[t_1, \dots, t_n] =: S'$  is finitely generated. Let

$s_1, \dots, s_m$  be generators of  $S'$  as an  $R$ -module. Since  $b \in \mathfrak{p}S'$ , we have

$$bs_i = \sum_{k=0}^m a_{ki}s_k$$

for suitable  $a_{ik} \in \mathfrak{p}$ . Then as in lemma 13.3 we have  $\det(a_{ik} - \delta_{ik}b) = 0$  and thus  $b$  is a zero of monic polynomial with coefficients in  $\mathfrak{p}$ , i.e.  $b$  satisfies an equation

$$b^n + a_{n-1}b^{n-1} + \dots + a_1b + a_0 = 0 \quad \text{with } a_i \in \mathfrak{p},$$

Write

$$b^n = - \sum_{i=0}^{n-1} a_i b^i \in \mathfrak{p},$$

since  $b^i \in \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime, we must have  $b \in \mathfrak{p}$  and hence the required inclusion.

**n>1** By induction hypothesis we have a chain

$$\mathfrak{P}_0 \subsetneq \mathfrak{P}_1 \subsetneq \dots \subsetneq \mathfrak{P}_{n-1}$$

satisfying  $\mathfrak{P}_i \cap R = \mathfrak{p}_i$ . Moreover we find  $\mathfrak{P}_n \triangleleft S$  such that  $\mathfrak{P}_n \cap R = \mathfrak{p}_n$ . It remains to show  $\mathfrak{P}_{n-1} \subsetneq \mathfrak{P}_n$ . For  $x \in \mathfrak{P}_{n-1}$  we have  $x \in R \cap \mathfrak{p}_{n-1}$ , i.e.  $x \in \mathfrak{p}_{n-1} \subset \mathfrak{p}_n$ . Thus  $x \in \mathfrak{p}_n \cap R = \mathfrak{P}_n$ . Assume now  $\mathfrak{P}_{n-1} = \mathfrak{P}_n$ . Let  $x \in \mathfrak{p}_n$ . Then

$$x \in \mathfrak{p}_n \in \mathfrak{p}_n \cap R = \mathfrak{P}_n = \mathfrak{P}_{n-1} = \mathfrak{p}_{n-1} \cap R, \implies x \in \mathfrak{p}_{n-1}$$

and thus  $\mathfrak{p}_n \subseteq \mathfrak{p}_{n-1}$ , hence  $\mathfrak{p}_n = \mathfrak{p}_{n-1}$ , a contradiction.  $\square$

**Theorem 13.10** *Let  $S/R$  be an integral ring extension. Then  $\dim(R) = \dim(S)$ .*

*proof.* '≤' Follows from Proposition 13.7

'≥' Let  $\mathfrak{P}_0 \subsetneq \mathfrak{P}_1 \subsetneq \dots \subsetneq \mathfrak{P}_n$  be chain of prime ideals in  $S$  and define  $\mathfrak{p}_i := \mathfrak{P}_i \cap R$ .

Then  $\mathfrak{p}_i$  is prime and we have  $\mathfrak{p}_i \subseteq \mathfrak{p}_{i+1}$ . It remains to show, that  $\mathfrak{p}_i \neq \mathfrak{p}_{i+1}$ .

Define  $S' := S/\mathfrak{P}_i$  and  $R' := R/\mathfrak{p}_i$ . Then  $S'/R'$  is integral (!).

We have to show that  $\overline{\mathfrak{P}}_{i+1} \cap R = \overline{\mathfrak{p}}_{i+1} :=$  image of  $\mathfrak{p}_{i+1}$  in  $S'$  is not (0).

Let  $b \in \mathfrak{P}_{i+1} \setminus \{0\}$ . Since  $b$  is integral over  $R'$ , there exist  $a_0, \dots, a_{n-1} \in R$ , such that

$$b^n + a_{n-1}b^{n-1} + \dots + a_1b + a_0 = 0$$

Let further  $n$  be minimal with this property. Write

$$a_0 = -b \cdot \underbrace{(a_1 + a_2b + \dots + a_{n-1}b^{n-2} + b^{n-1})}_{=:c} \in \overline{\mathfrak{P}}_{i+1} \cap R = \overline{\mathfrak{p}}_{i+1}$$

But  $c \neq 0$  by the choice of  $n$  and  $b \neq 0$ . Since  $R' = R/\mathfrak{p}$  is an integral domain, we have  $\bar{0} \neq a_0 \in \bar{\mathfrak{p}}_{i+1}$  and thus  $\bar{\mathfrak{p}}_{i+1} \neq (0)$ , which proves the claim.  $\square$

**Theorem 13.11 (Noether normalization)** *Let  $k$  be a field. Then every finitely generated  $k$ -algebra is an integral extension of a polynomial ring over  $k[X]$ .*

*proof.* Let  $a_1, \dots, a_n$  be generators of  $A$  as a  $k$ -algebra. Prove the theorem by induction.

**n=1** If  $a_1$  is transcendental over  $k$ , then  $A \cong k[X]$ . Otherwise  $A \cong k[X]/(f)$ , where  $f$  denotes the minimal polynomial of  $a_1$  over  $k$ . Thus  $A$  is integral over  $k$ .

**n>1** If  $a_1, \dots, a_n$  are algebraically independent,  $A \cong k[X_1, \dots, X_n]$ . Otherwise there exists some polynomial

$F \in k[X_1, \dots, X_n] \setminus \{0\}$  such that  $F(a_1, \dots, a_n) = 0$ .

**case 1** Assume we have

$$F = X_n^m + \sum_{i=1}^{m-1} g_i X_n^i$$

with  $g_i \in k[X_1, \dots, X_n]$ . Then  $F(a_1, \dots, a_n) = 0$ , hence  $a_n$  is integral over  $A' := k[a_1, \dots, a_{n-1}]$ . By induction hypothesis,  $A'$  is integral over some polynomial ring, so is  $A$ .

**case 2** For the general case write

$$F = \sum_{i=0}^m F_i,$$

where  $F_i$  is homogenous of degree  $i$ , i.e. the sum of the exponents of any monomial in  $f_i$  is equal to  $i$ . Then replace  $a_i$  by  $b_i := a_i - \lambda a_n$  (\*) with suitable  $\lambda_i \in k$ ,  $1 \leq i \leq n-1$ . Then  $A \cong k[b_1, \dots, b_{n-1}, a_n]$ . For any monomial  $a_1^{d_1} \cdots a_n^{d_n}$  we find

$$a_1^{d_1} \cdots a_n^{d_n} = (b_1 + \lambda_1 a_n)^{d_1} \cdots (b_{n-1} + \lambda_{n-1} a_n)^{d_{n-1}} \cdot a_n^{d_n} = \left( \prod_{i=1}^{n-1} \lambda_i^{d_i} \right) \cdot a_n^{\sum_{i=1}^n d_i} + \mathcal{O}(a_n)$$

where  $\mathcal{O}(a_n)$  denotes terms of lower degree in  $a_n$ . Then for  $d := \sum_{i=1}^n d_i$  we obtain

$$F_d(a_1, \dots, a_n) = a_n^d \cdot F_d(\lambda_1, \dots, \lambda_{n-1}, 1) + \mathcal{O}(a_n)$$

and thus

$$F(a_1, \dots, a_n) = a_n^m F_m(\lambda_1, \dots, \lambda_{n-1}, 1) + \mathcal{O}(a_n)$$

Choose now  $\lambda_1, \dots, \lambda_{n-1} \in k$ , such that  $F_m(\lambda_1, \dots, \lambda_{n-1}, 1) \neq 0$ . If  $k$  is infinite, this is always possible. In the finite case, go back to (\*) and use  $b_i := a_i + a_n^{\mu_i}$  instead and repeat the procedure. Then by the first case and induction hypothesis the claim follows.  $\square$

## § 14 Dedekind domains

**Definition 14.1** A noetherian integral domain  $R$  of dimension 1 is called a *Dedekind domain*, if every nonzero ideal  $I \triangleleft R$  has a unique representation as a product of prime ideals

$$I = \mathfrak{p}_1 \cdots \mathfrak{p}_r$$

**Definition + remark 14.2** Let  $R$  be a noetherian integral domain,  $k := \text{Quot}(R)$  and  $(0) \neq I \subseteq k$  an  $R$ -module.

- (i)  $I$  is called a *fractional ideal*, if there exists  $a \in R \setminus \{0\}$ , such that  $a \cdot I \subseteq R$ .
- (ii)  $I$  is a fractional ideal if and only if  $I$  is finitely generated as an  $R$ -module.
- (iii) For a fractional ideal  $I$  let

$$I^{-1} := \{x \in k \mid x \cdot I \subseteq R\}$$

Then  $I^{-1}$  is a fractional ideal.

- (iv)  $I$  is called *invertible*, if  $I \cdot I^{-1} = R$ , where  $I \cdot I^{-1}$  denotes the  $R$ -module generated by all products  $x \cdot y$  with  $x \in I, y \in I^{-1}$ .

*proof.* (ii) ' $\Rightarrow$ ' If  $a \cdot I \subseteq R$ , then  $a \cdot I$  is an ideal in  $R$ . since  $R$  is noetherian,  $a \cdot I$  is finitely generated, say by  $x_1, \dots, x_n$ . Then  $I$  is generated by  $\frac{x_1}{a}, \dots, \frac{x_n}{a}$ .

' $\Leftarrow$ ' Let  $y_1, \dots, y_m$  be generators of  $I$ . Write  $y_i = \frac{r_i}{a_i}$  with  $r_i, a_i \in R \setminus \{0\}$ . Define

$$a := \prod_{i=1}^n a_i$$

Then for any generator we have  $a \cdot y_i = r \cdot a_1 \cdots a_{i-1} \cdot a_{i+1} \cdots a_m \in R$ , hence  $a \cdot I \subseteq R$ .

**Example 14.3** Every principle ideal  $I \neq (0)$  is invertible:

Let  $I = (a) \trianglelefteq R$ . Then  $I^{-1} = \frac{1}{a}R$ , since we have

$$I \cdot I^{-1} = (a) \cdot \frac{1}{a}R = aR \cdot \frac{1}{a}R = R$$

**Proposition 14.4** Let  $R$  be a Dedekind domain. Then every nonzero ideal  $I \trianglelefteq R$  is invertible.

*proof.* Let  $(0) \neq I \triangleleft R$  be a proper ideal. Then by assumption we can write

$$I = \mathfrak{p}_1 \cdots \mathfrak{p}_r$$

with prime ideal  $\mathfrak{p}_i \triangleleft R$ .

If each  $\mathfrak{p}_i$  is invertible, then we have

$$I \cdot \mathfrak{p}_r^{-1} \cdots \mathfrak{p}_1^{-1} = R,$$

hence  $I$  is invertible. Thus we may assume that  $I = \mathfrak{p}$  is prime. Let  $a \in \mathfrak{p} \setminus \{0\}$  and write

$$(a) = \mathfrak{p}_1 \cdots \mathfrak{p}_m$$

with prime ideals  $\mathfrak{p}_i \triangleleft R$ . Then  $(a) \subseteq \mathfrak{p}$ , i.e.  $\mathfrak{p}_i \subseteq \mathfrak{p}$  for some  $1 \leq i \leq m$ , say  $i = 1$ . Since the ideals were proper and  $\dim(R) = 1$ , we have  $\mathfrak{p}_1 = \mathfrak{p}$  and  $\mathfrak{p}^{-1} = \mathfrak{p}_1^{-1} = \frac{1}{a} \cdot \mathfrak{p}_2 \cdots \mathfrak{p}_m$ , since  $\mathfrak{p}_1 \mathfrak{p}_1^{-1} = \frac{1}{a}(a) = (1) = R$ .  $\square$

**Corollary 14.5** *The fractional ideals in a Dedekind domain  $R$  form a group.*

*proof.* Let  $(0) \neq I \subseteq k = \text{Quot}(R)$  be a fractional ideal. Choose  $a \in R$  such that  $a \cdot I \subseteq R$ . By Proposition 14.3,  $a \cdot I$  is invertible, i.e. there exists a fractional ideal  $I'$ , such that

$$(a \cdot I) \cdot I' = R \implies I \cdot (a \cdot I') = R$$

where  $R$  is neutral element of the group.  $\square$

**Proposition 14.6** *Every Dedekind domain  $R$  is normal.*

*proof.* Let  $x \in k := \text{Quot}(R)$  be integral over  $R$ , i.e. we can write

$$x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0, \quad a_i \in R$$

By the proof of Proposition 13.3,  $R[x]$  is a finitely generated  $R$ -module, hence  $R[x]$  is a fractional ideal by Remark 14.2. Further by Corollary 14.4  $R[x]$  is invertible, i.e. we can find  $I \trianglelefteq k$ , such that  $I \cdot R[x] = R$ .

On the other hand  $R[x]$  is a ring, i.e.  $R[x] \cdot R[x] = R[x]$ . Multiplying the equation by  $I$  gives us  $x \in R$ . In particular we have

$$R = I \cdot R[x] = I \cdot (R[x] \cdot R[x]) = (I \cdot R[x]) \cdot R[x] = R \cdot R[x] = R[x],$$

which implies the claim.  $\square$

**Proposition 14.7** *Let  $R$  be noetherian integral domain of dimension 1. Then  $R$  is a Dedekind domain if and only if  $R$  is normal.*

*proof.* '⇒' This is Proposition 14.5

'⇐' We claim

**claim (a)** For every prime ideal  $(0) \neq \mathfrak{p} \triangleleft R$  the localization  $R_{\mathfrak{p}}$  is a discrete valuation ring.

**claim (b)** Every nonzero ideal in  $R$  is invertible.

Then let  $(0) \neq I \neq R$  be an ideal in  $R$ . Then  $I \subseteq \mathfrak{m}_0$  for a maximal ideal  $\mathfrak{m}_0 \triangleleft R$ . By claim (b),  $\mathfrak{m}_0$  is invertible. Define  $I_1 := \mathfrak{m}_0^{-1} \cdot I$ . Then  $I_1 \subseteq \mathfrak{m}_0^{-1} \cdot \mathfrak{m}_0 = R$  is an ideal. If  $I_1 = R$ , then

$I = \mathfrak{m}_0$ . Otherwise let  $\mathfrak{m}_1$  be a maximal ideal containing  $I_1$  and define  $I_2 := \mathfrak{m}_1^{-1} \cdot I_1 \triangleleft R$ . If  $I_1 = I$ , then  $\mathfrak{m}_0^{-1} \cdot I = I \xrightarrow{\text{invert.}} \mathfrak{m}_0^{-1} = R$ , which is a contradiction.

By this way we obtain a chain of ideals

$$I \subsetneq I_1 \subsetneq I_2 \subsetneq \dots \subsetneq I_n$$

Since  $R$  is noetherian, there exists  $n \in \mathbb{N}$ ; such that  $I_n = R$ . Then

$$R = I_n = \mathfrak{m}_{n-1}^{-1} \cdot I_{n-1} = \mathfrak{m}_{n-1}^{-1} \cdot \mathfrak{m}_{n-1}^{-1} \cdot I_{n-2} = \mathfrak{m}_{n-1}^{-1} \cdot \dots \cdot \mathfrak{m}_0^{-1} \cdot I$$

Thus

$$I = \mathfrak{m}_0 \cdot \mathfrak{m}_1 \cdot \dots \cdot \mathfrak{m}_{n-2} \cdot \mathfrak{m}_{n-1}$$

with maximal, thus prime ideals  $\mathfrak{m}_i$ . Hence  $R$  is a Dedekind domain.

It remains to show the claims.

(b) Let  $(0) \neq I \triangleleft R$  be an ideal. We have to show  $I \cdot I^{-1} = R$  for  $I^{-1} = \{x \in k \mid x \cdot I \subseteq R\}$ .

' $\subseteq$ ' Clear.

' $\supseteq$ ' Assume  $I \cdot I^{-1} \neq R$ . Then there exists a maximal ideal  $\mathfrak{m} \triangleleft R$  such that  $I \cdot I^{-1} \subseteq \mathfrak{m}$ .

By claim (a),  $R_{\mathfrak{m}}$  is a principal ideal domain, thus  $I \cdot R_{\mathfrak{m}}$  is generated by one element, say  $\frac{a}{s}$  for some  $a \in I, s \in R \setminus \mathfrak{m}$ . Let now  $b_1, \dots, b_n$  be generators of  $I$  as an ideal in  $R$ .

Then

$$\frac{b_i}{1} = \frac{a}{s} \cdot \frac{r_i}{s_i}, \quad r_i \in R, s_i \in R \setminus \mathfrak{m}, \quad \text{for } 1 \leq i \leq n$$

Define  $t := s \cdot s_1 \cdot \dots \cdot s_n \in R \setminus \mathfrak{m}$ .

We have  $\frac{t}{a} \in I^{-1}$ , since

$$\frac{t}{a} \cdot b_i = \frac{t}{a} \cdot \frac{a}{s} \cdot \frac{r_i}{s_i} = r_i \cdot s_1 \cdot \dots \cdot s_{i-1} \cdot s_{i+1} \cdot \dots \cdot s_n \in R$$

for  $1 \leq i \leq n$ . But then

$$t = \frac{t}{a} \cdot a \in I^{-1} \cdot I \subseteq \mathfrak{m} \quad \nmid$$

(a) We will only give a proof sketch. The strategy is as follows:

(i) It suffices to show, that  $\mathfrak{m} := \mathfrak{p}R_{\mathfrak{p}}$  is a principal ideal.

(ii) Show that  $\mathfrak{m}^n \neq \mathfrak{m}$ .

(iii) Show that  $\mathfrak{m}$  is invertible.

Then pick  $t \in \mathfrak{m}^2 \setminus \mathfrak{m}$  and obtain  $t \cdot \mathfrak{m}^{-1} = R_{\mathfrak{p}}$ . This is true, since otherwise, as  $\mathfrak{m}$  is the only maximal ideal in  $R_{\mathfrak{p}}$ , we would have  $t \cdot \mathfrak{m}^{-1} \subseteq \mathfrak{m}$  and thus  $t \in \mathfrak{m}^2$ , which implies  $\mathfrak{m} = \mathfrak{m}^2$ .

Then we have

$$(t) = t \cdot R = t \cdot (\mathfrak{m} \cdot \mathfrak{m}^{-1}) = R_{\mathfrak{p}} \cdot \mathfrak{m} = \mathfrak{m},$$

which will give us the claim. □

**Theorem 14.8** *Let  $R$  be a Dedekind domain,  $L/k$  a finite separable field extension of  $k := \text{Quot}(R)$  and  $S$  the integral closure of  $R$  in  $L$ . Then  $S$  is a Dedekind domain.*

*proof.* We will show all the required properties of a Dedekind domain.

*integral domain.* This is clear.

*dimension 1.* We know that  $S/R$  is integral and Proposition 13.7 gives us  $\dim(S) = 1$ .

*normal.* If  $x \in L$  is integral over  $S$ ,  $x$  is integral over  $R$ , thus  $x \in S$ .

*noetherian.* This is the only hard work in the proof. Let  $N := [L : k]$ . Since  $L/k$  is separable, there exists  $\alpha \in L$  such that  $L = k(\alpha)$ . Moreover we have  $|\text{Hom}_k(L, \bar{k})| = n$ , say  $\text{Hom}_k(L, \bar{k}) = \{\text{id} = \sigma_1, \dots, \sigma_n\}$ .

**claim (a)**  $\alpha$  can be chosen in  $S$ .

Then let

$$D := \begin{pmatrix} 1 & \alpha & \dots & \alpha^{n-1} \\ 1 & \sigma_2(\alpha) & \dots & \sigma_2(\alpha^{n-1}) \\ \vdots & \vdots & & \vdots \\ 1 & \sigma_n(\alpha) & \dots & \sigma_n(\alpha^{n-1}) \end{pmatrix} = (\sigma_i(\alpha^j))_{(i,j) \in \{1, \dots, n\} \times \{0, \dots, n-1\}}$$

and  $d := (\det(D))^2$ .  $d := d_{L/k}(\alpha)$  is called the *discriminant of  $L/k$  with respect to  $\alpha$* .

**claim (b)** We have

(i)  $d \neq 0$

(ii)  $S$  is contained in the  $R$ -module generated by  $\frac{1}{d}, \frac{\alpha}{d}, \dots, \frac{\alpha^{n-1}}{d}$ .

Then  $S$  is submodule of a finitely generated  $R$ -module, and since  $R$  is noetherian,  $S$  is noetherian as an  $R$ -module, thus also as an  $S$ -module. This proves *noetherian*. Now prove the claims.

**(a)** Let  $\tilde{\alpha} \in L$  be a primitive element, i.e.  $L = k(\tilde{\alpha})$ . Let

$$f = X^n - \sum_{i=0}^{n-1} c_i X^i$$

be the minimal polynomial of  $\tilde{\alpha}$  over  $k$ . Write  $c_i = \frac{a_i}{b_i}$  for suitable  $a_i, b_i \in R, b_i \neq 0$ . Now define

$$b := \prod_{i=0}^{n-1} b_i, \quad \alpha := b \cdot \tilde{\alpha}.$$

Since we have

$$\alpha^n = b^n \tilde{\alpha}^n = b^n \cdot \sum_{i=0}^{n-1} c_i \tilde{\alpha}^i = \sum_{i=0}^{n-1} c_i \cdot \frac{\alpha^i}{b^i} b^n$$

we obtain

$$\alpha^n = b^n \cdot \tilde{\alpha}^n = \sum_{i=0}^{n-1} c_i' \alpha^i, \quad c_i' = c_i \cdot b^{n-i} \in R.$$

Thus  $\alpha$  is integral over  $R$ , i.e.  $\alpha \in S$ . We easily see  $k(\alpha) = k(\tilde{\alpha})$ , hence the claim is proved.



(b) (i) We have

$$d = (\det(D))^2 = \prod_{1 \leq i < j \leq n} (\sigma_i(\alpha) - \sigma_j(\alpha))^2 \neq 0,$$

since otherwise we would have  $\sigma_i(\alpha) = \sigma_j(\alpha)$ , i.e.e  $\sigma_i = \sigma_j$ , which is not possible.

(ii) Let  $\beta \in S$ . Write

$$\beta = \sum_{i=0}^{n-1} c_{i+1} \alpha^i, \quad c_i \in k.$$

We have to show:  $c_i \in \frac{1}{d}R$  for all  $1 \leq i \leq n$ . Therefore we need

**claim (c)** There is a matrix  $A \in R^{n \times n}$  and  $b \in R^n$ , such that

$$A \cdot \begin{pmatrix} c_1 \\ \vdots \\ c_n \end{pmatrix} = b \quad \text{and} \quad \det(A) = d.$$

Then by Cramer's rule and Claim (c) we have

$$c_i = \frac{\det(A_i)}{\det(A)} = \frac{\det(A_i)}{d} \in \frac{1}{d}R \in R$$

where  $A_i$  is obtained by replacing the  $i$ -th column of  $A$  by  $b$ . This proves claim (b).

(c) Recall that

$$tr_{L/k} : L \longrightarrow k, \quad \beta \mapsto \sum_{i=1}^n \sigma_i(\beta)$$

is a  $k$ -linear map. For  $\beta$  as above we find for  $1 \leq i \leq n$

$$(*) \quad tr_{L/k}(\underbrace{\alpha^{i-1} \beta}_{\in S}) = \sum_{j=1}^n tr_{L/k}(\alpha^{i-1} \alpha^{j-1} c_j) = \sum_{j=1}^n tr_{L/k}(\alpha^{i-1} \alpha^{j-1}) c_j \in k \cap S = R$$

where the last equality holds since  $R$  is normal and by Proposition 14.5. Let now

$$A = (a_{ij})_{(i,j) \in \{1, \dots, n\} \times \{1, \dots, n\}}, \quad a_{ij} = tr_{L/k}(\alpha^{i-1}, \alpha^{j-1})$$

and

$$b = \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix}, \quad b_i = tr_{L/k}(\alpha^{i-1} \beta).$$

Then by (\*) we have

$$A \cdot \begin{pmatrix} c_1 \\ \vdots \\ c_n \end{pmatrix} = b,$$

i.e. the first part of the claim. Moreover we have  $D^T D = (\tilde{a}_{ij})$ , where

$$\tilde{a}_{ij} = \sum_{k=1}^n \sigma_k(\alpha^{i-1}) \sigma_k(\alpha^{j-1}) = \sum_{k=1}^n \sigma_k(\alpha^{i-1} \alpha^{j-1}) = \text{tr}_{L/k}(\alpha^{i-1} \alpha^{j-1}) = a_{ij}.$$

Hence  $D^T D = A$  and by  $\det(D) = \det(D^T)$  we have

$$\det(D)^2 = \det(D \cdot D) = \det(D \cdot D^T) = \det(A) = d.$$

We have now shown that  $S$  is an integral domain, of dimension 1, noetherian and normal. By Proposition 14.6 the theorem is proved.  $\square$