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SCHOOL OF ENGINEERING

Architectures

**A. Maier, V. Christlein, K. Breininger, Z. Yang, L. Rist, M. Nau, S. Jaganathan, C. Liu, N. Maul, L. Folle,
K. Packhäuser, M. Zinnen**

Pattern Recognition Lab, Friedrich-Alexander-Universität Erlangen-Nürnberg

April 24, 2023



Outline

Early Architectures

Deeper Models

Learning Architectures

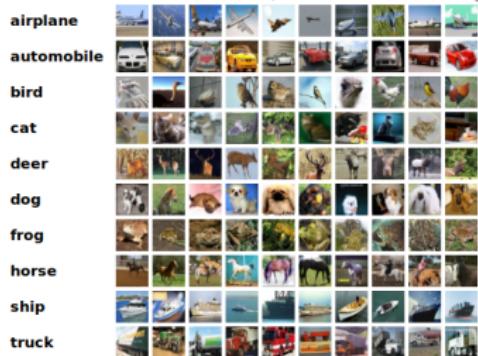
Datasets for Object Detection / Image Classification

ImageNet Dataset [11]

- 1000 classes
- > 14 Mio. images
- Subsets used for ImageNet Large Scale Visual Recognition Challenges (ILSVRC)
- Natural images of varying size

CIFAR 10 / 100

- 10 / 100 classes
- 50 k training / 10 k testing
- 32×32 images





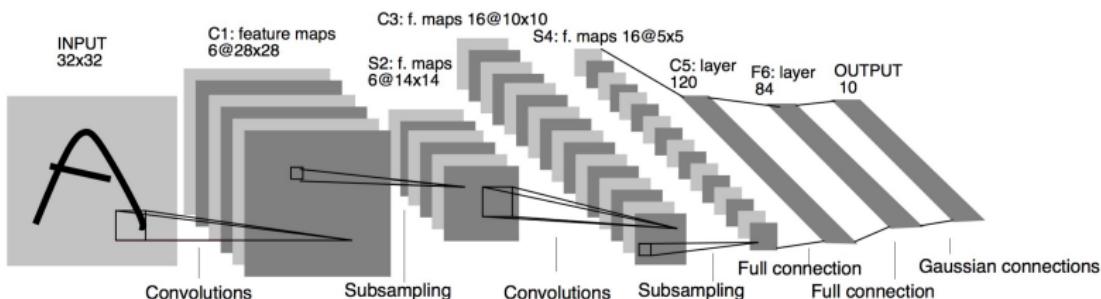
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Early Architectures



LeNet-5 (1998) [9]



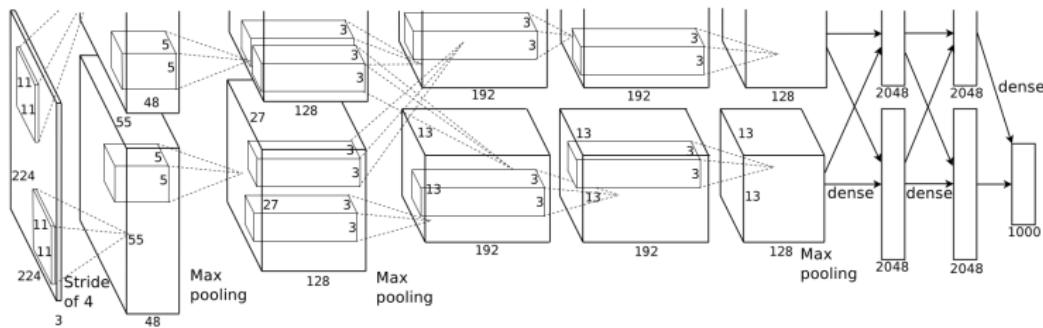
Key features

- Convolution for spatial features
- Subsampling using average pooling
- Non-linearity: tanh
- Sparse connectivity between S2 and C3 (efficiency, robustness)
- MLP as final classifier
- Sequence: Convolution, pooling, non-linearity
- Foundation for many other architectures

(• Technique still used in recent architectures)

Source: [9]

AlexNet (2012) [7]



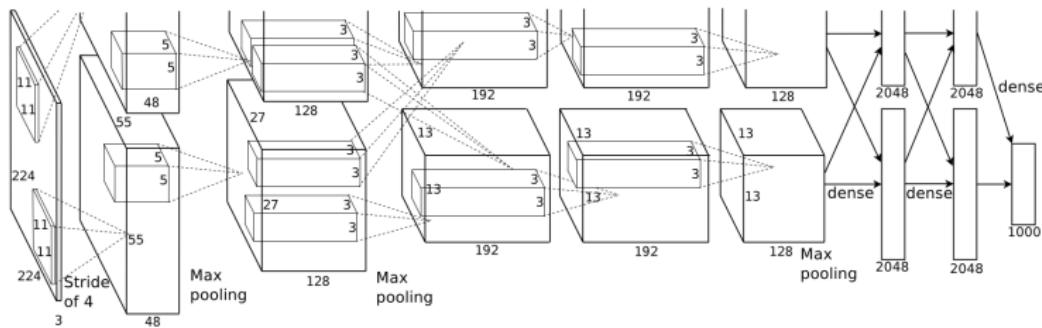
Winner of the ImageNet 2012 challenge ⇒ Breakthrough of CNNs

Key features I

- 8 Layers
- Use of GPU(s) to reduce training time
- Overlapping max pooling (stride: 2, size: 3)
- Non-linearity: ReLU

Source: [7]

AlexNet (2012) [7]



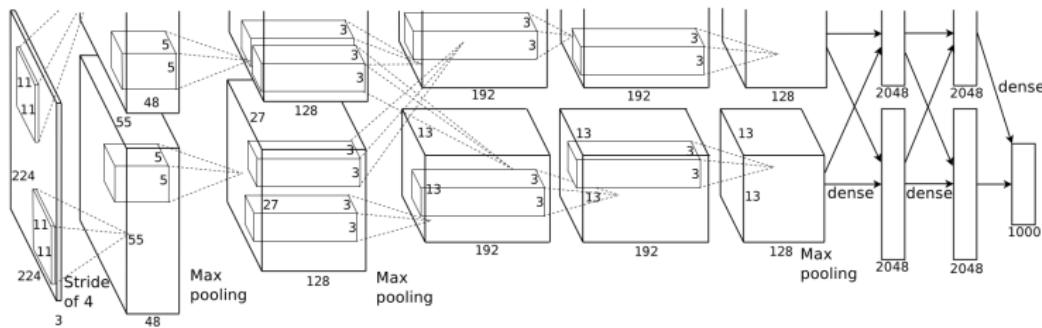
Winner of the ImageNet 2012 challenge \Rightarrow Breakthrough of CNNs

Key features II

- Combat overfitting:
 - Dropout w. $p = 0.5$ in the first two FC layers
 - Data augmentation (random transformations, random intensity variation)

Source: [7]

AlexNet (2012) [7]



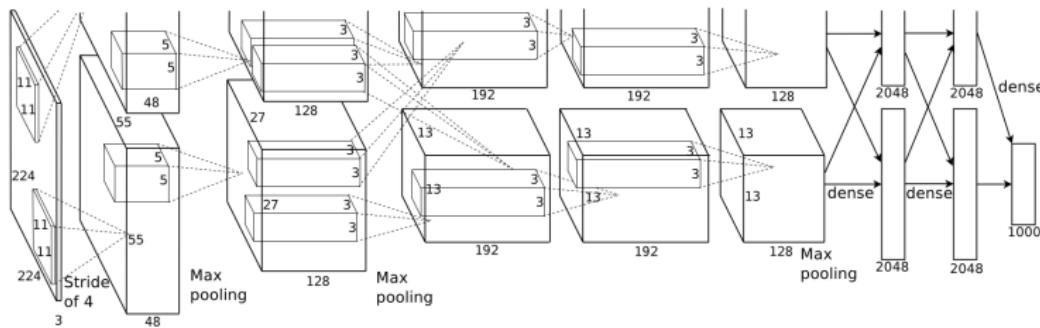
Winner of the ImageNet 2012 challenge ⇒ Breakthrough of CNNs

Key features III

- Learning: mini-batch SGD w. momentum (0.9) + (L_2) weight decay ($5 \cdot 10^{-5}$)
- Weight initialization: $\mathcal{N}(0, 0.01)$

Source: [7]

AlexNet (2012) [7]



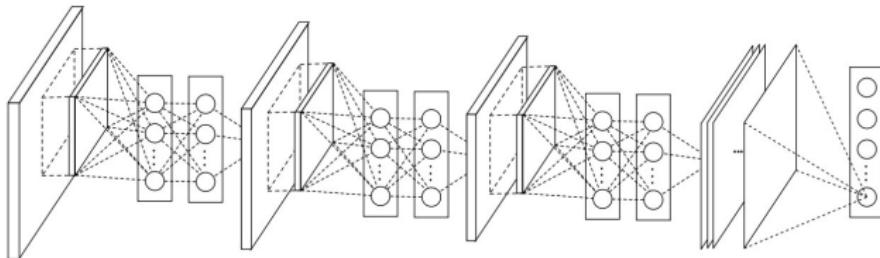
Winner of the ImageNet 2012 challenge \Rightarrow Breakthrough of CNNs

Key features III

- Learning: mini-batch SGD w. momentum (0.9) + (L_2) weight decay ($5 \cdot 10^{-5}$)
- Weight initialization: $\mathcal{N}(0, 0.01)$
- Historical note: Small GPUs \rightarrow network split across two GPUs

Source: [7]

Network In Network [10]

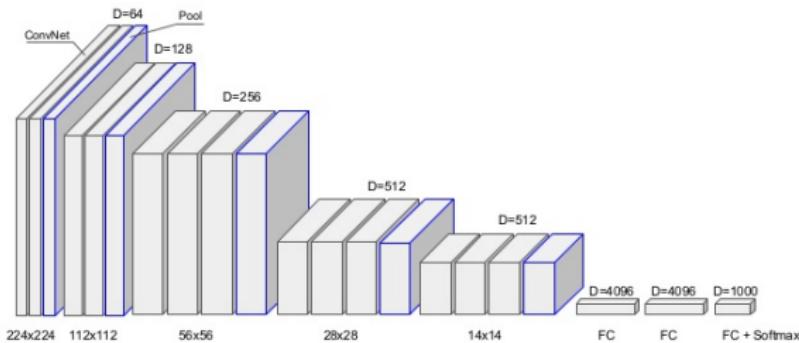


Key features

- 1×1 filters
 - Conventional conv layers only learn linear functions of input
 - Connect conv layers by FC layers that can learn non-linear functions
 - Equivalent to FC layer: conv layer with 1×1 filters
 - Very few parameters, shared across all activations
- Global (spatial) average pooling as last layer
 - Less prone to overfitting than final FC layers

Source: [10]

VGG Network (Visual Geometry Group – University of Oxford) [12]

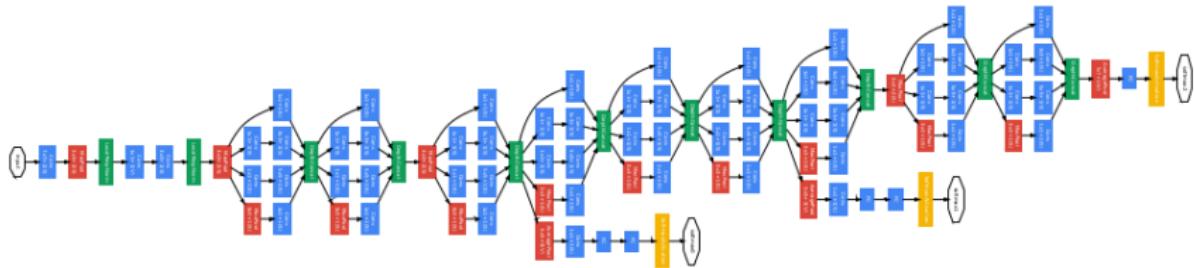


Key features

- Small kernel sizes in each convolution (3×3)
 - Combination of multiple smaller kernels emulate larger receptive fields
- 16 / 19 layers, max pooling between some layers (stride: 2, size: 2)
- Learning procedure similar to AlexNet
- hard to train (in practice: pre-training with shallower networks)

Source: <https://www.slideshare.net/nolbertonschool/deep-learning-class-2-by-louis-monier>

GoogleNet (Inception-v1) [14]

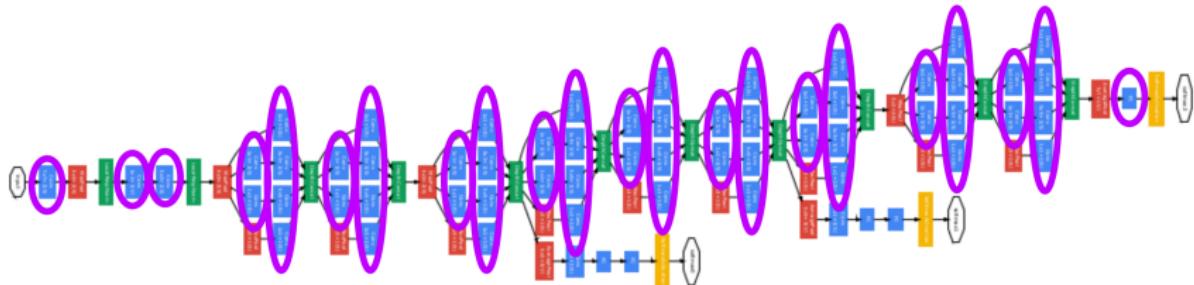


Goal

- Network design with embedded hardware in mind
- maximum 1.5 billion MAD (multiply-add) operations at inference time

Source: [14]

GoogleNet (Inception-v1) [14]

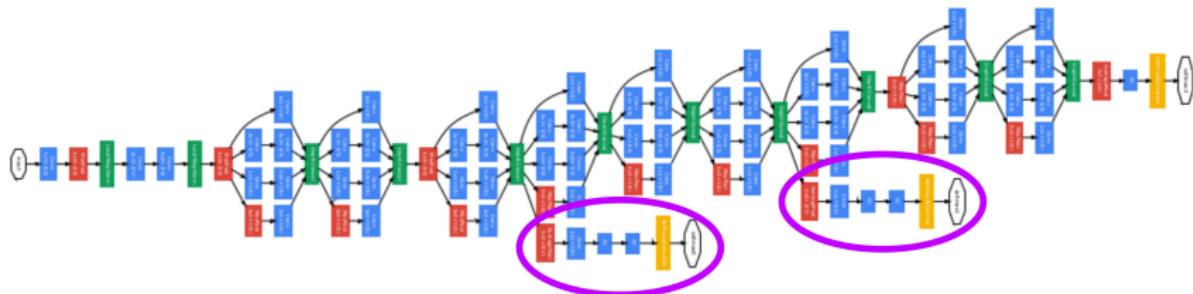


Key features

- 22 layers + global average pooling as final layer

Source: [14]

GoogleNet (Inception-v1) [14]

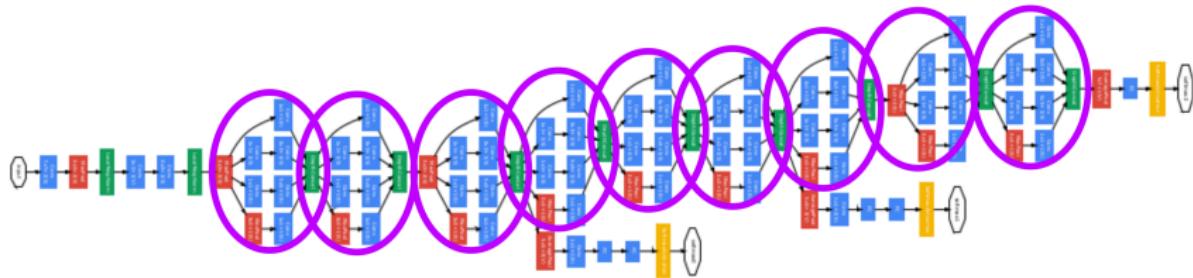


Key features

- 22 layers + global average pooling as final layer
- Auxiliary classifiers (only at training): error weighted by 0.3 added to global error → additional regularization
- No fully connected layers (except for linear layer and auxiliary networks)

Source: [14]

GoogleNet (Inception-v1) [14]

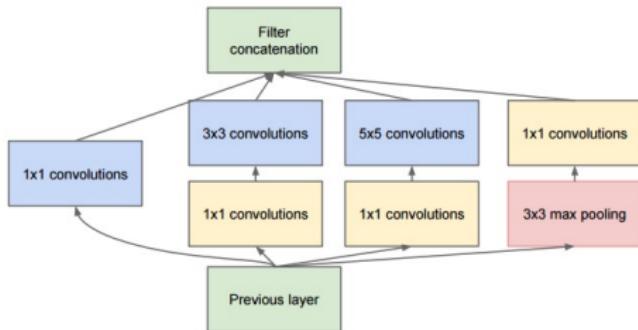


Key features

- 22 layers + global average pooling as final layer
- Auxiliary classifiers (only at training): error weighted by 0.3 added to global error → additional regularization
- No fully connected layers (except for linear layer and auxiliary networks)
- Inception modules

Source: [14]

GoogleNet (Inception-v1) [14]



Inception Module

- Derived from NiN concept
- Parallel filter combinations (split-transform-merge strategy)
 - Network decides filter size by itself
- 1×1 filters serve as “bottleneck layer”
- Representational power of large and dense layers but with much lower computational complexity

Source: [14]

Bottleneck Layer

Features are correlated, redundancy can be removed by 1×1 filters

Example:

- Before: 256 input feature maps, 256 output feature maps, 3×3 convolution
→ $256 \times 3 \times 3 \times 256 \approx 600\text{k MAD}$
- Instead: Reduce number of feature maps that have to be convolved, e.g. 64

$$256 \times 1 \times 1 \times 64 \qquad \qquad \approx 16,000$$

$$64 \times 3 \times 3 \times 64 \qquad \qquad \approx 36,000$$

$$64 \times 1 \times 1 \times 256 \qquad \qquad \approx 16,000$$

→ $\approx 70\text{k MAD}$

**NEXT TIME
ON DEEP LEARNING**



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Architectures - Part 2

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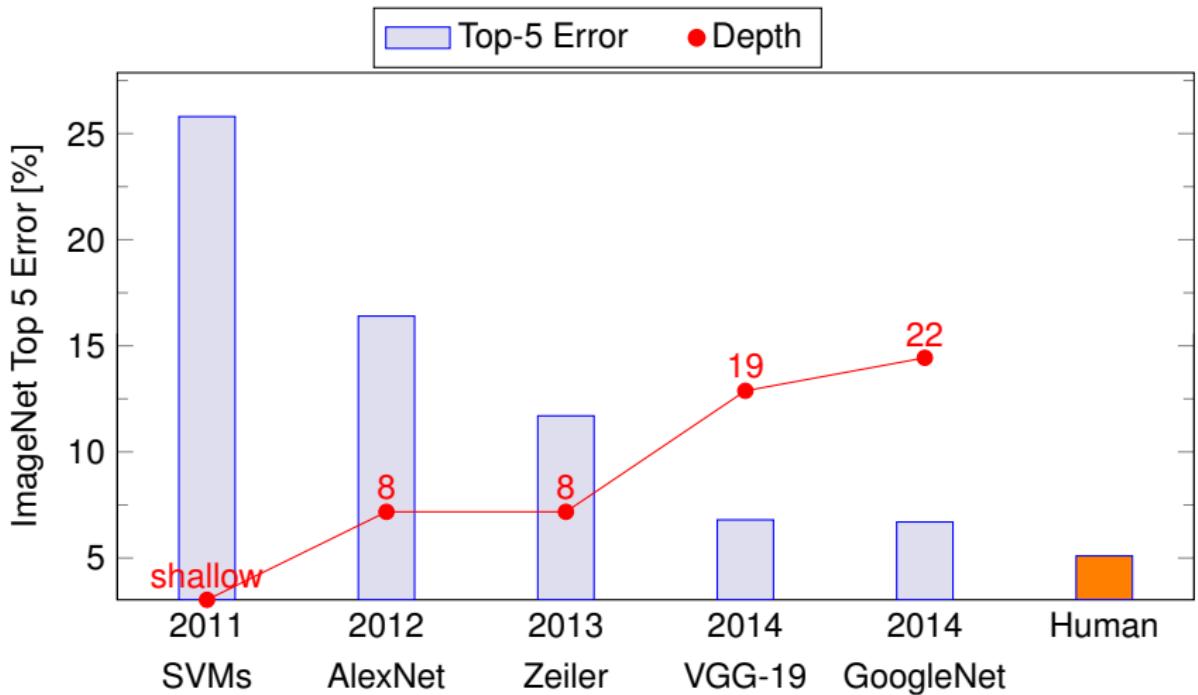
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Deeper Models

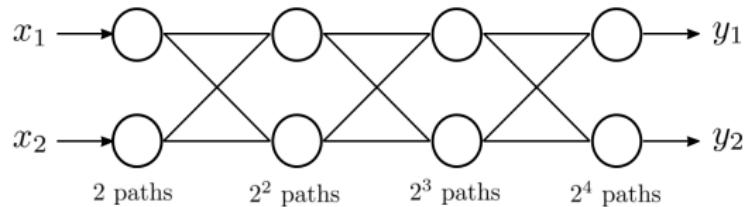


Evolution of Depth


Source: image-net.org, Russakovsky et al. 2015

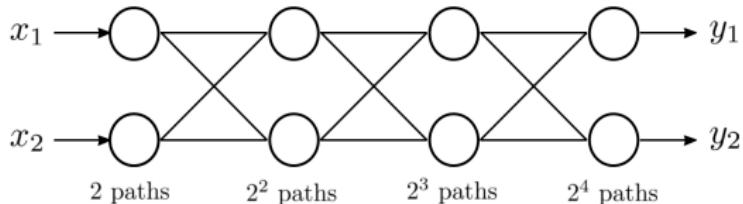
Advantages of Deeper Networks

- Exponential feature reuse

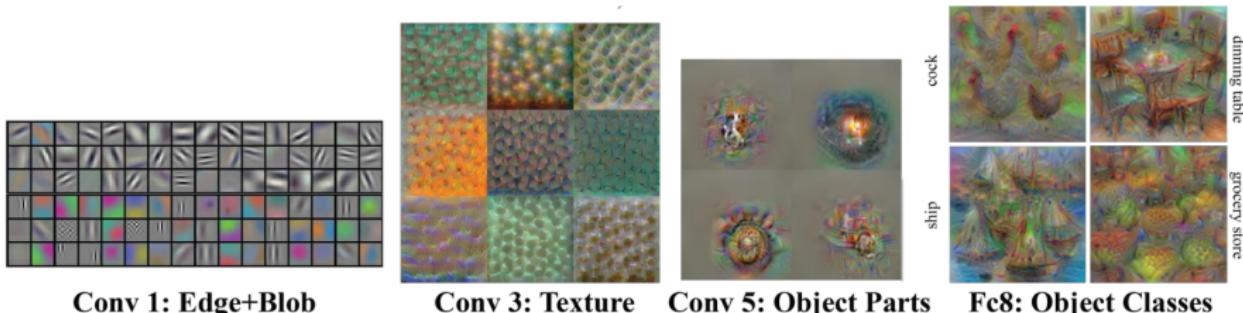


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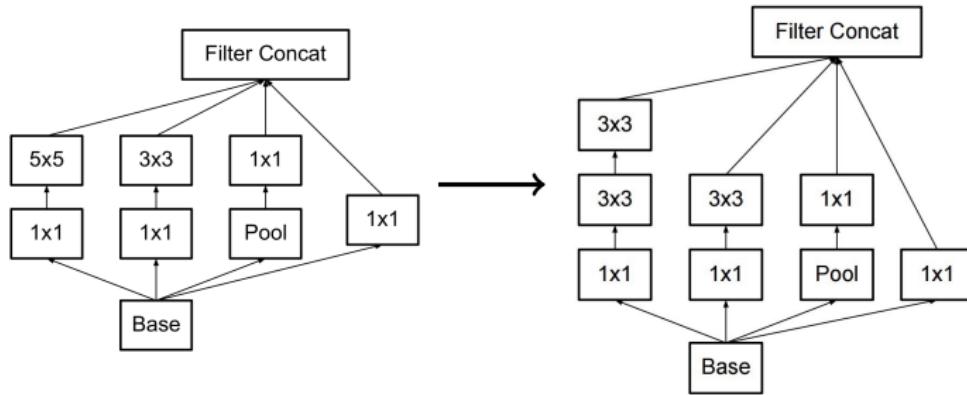


- Increasingly abstract features



Source: http://vision03.csail.mit.edu/cnn_art/index.html

Inception-v2 [15]

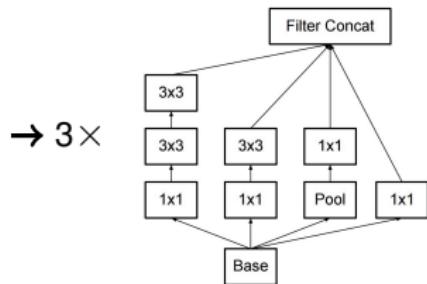


Key features

- Change basic inception layer: Replace 7×7 and 5×5 filters by multiple 3×3 convolutions.

Source: [15]

Inception-v2 [15]

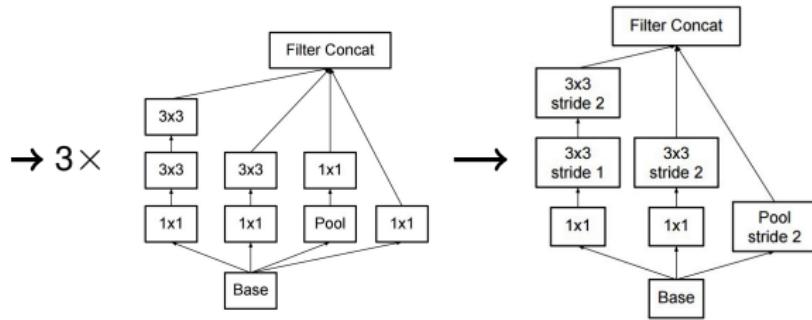


Key features II

- 42 layers – start with several 3×3 convolutions and 3 modified inception modules

Source: [15]

Inception-v2 [15]

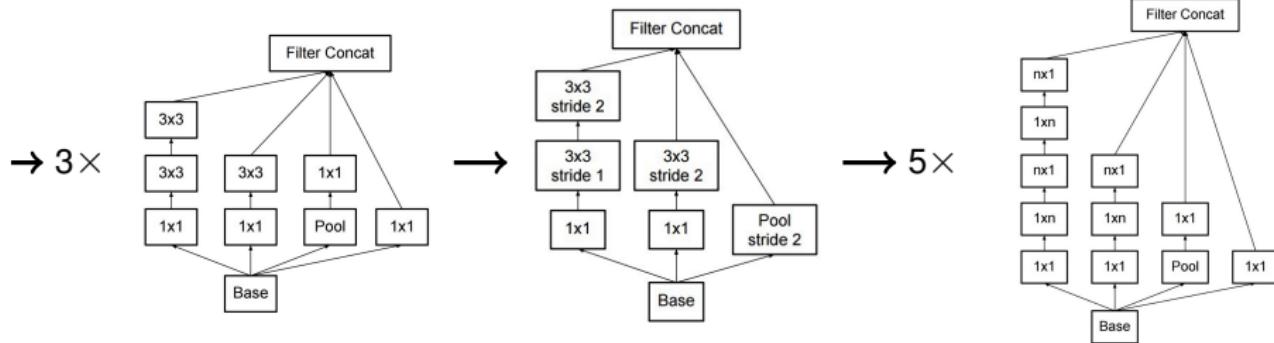


Key features II

- 42 layers – start with several 3×3 convolutions and 3 modified inception modules
- Efficient grid size reduction

Source: [15]

Inception-v2 [15]



Key features II

- 42 layers – start with several 3×3 convolutions and 3 modified inception modules
- Efficient grid size reduction
- 5 modules of flattened convolutions ($n = 7$)
- Efficient grid size reduction + average pooling + softmax

Source: [15]

Inception-v3 [15]

Inception-v2 +

- RMSProp
- Batch-normalization also in the FC layers of auxiliary classifiers
- Label-smoothing regularization

Label-smoothing regularization

Standard label distribution:

$$q(k|x) = \delta_{k,y}$$

x : training sample, $k \in \{1, \dots, K\}$: specific label, y : ground truth label

- For Softmax to predict exactly 0 / 1, $|\text{activations}| \mapsto \infty$
- Continue to learn larger and larger weights, making more extreme predictions
- Use weight decay and/or label-smoothing

Label-smoothing regularization

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- For Softmax to predict exactly 0 / 1, $|\text{activations}| \mapsto \infty$
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Label-smoothing [8]

Exchange label distribution with

$$q'(k|x) = (1 - \epsilon)\delta_{k,y} + \epsilon u(k)$$

where authors chose: $u(k) = 1/K$, $\epsilon = 0.1$

- + Prevent hard probabilities without discouraging correct classification.

**NEXT TIME
ON DEEP LEARNING**

**Problems with going deeper
... why not just stack more layers?**



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Architectures - Part 3

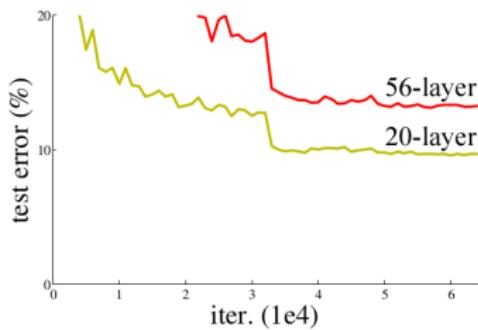
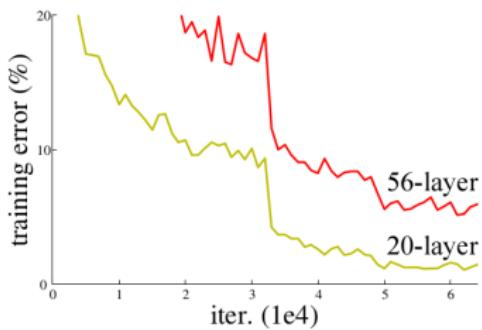
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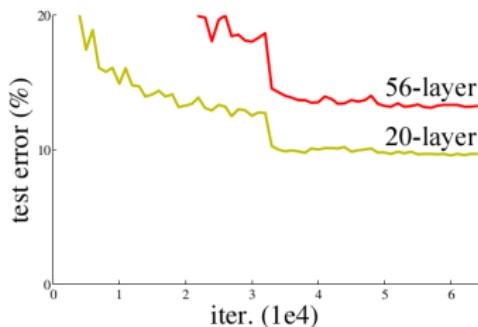
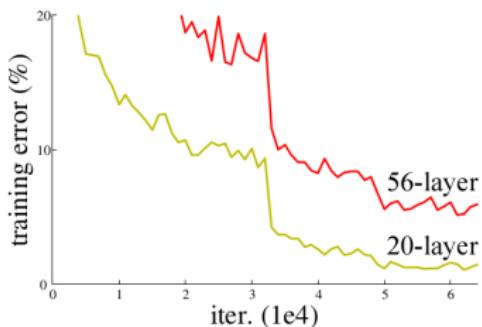


Degradation of Training Error



Deeper models tend to have higher **training & test error** than shallower models
 → Not just caused by overfitting!

Degradation of Training Error

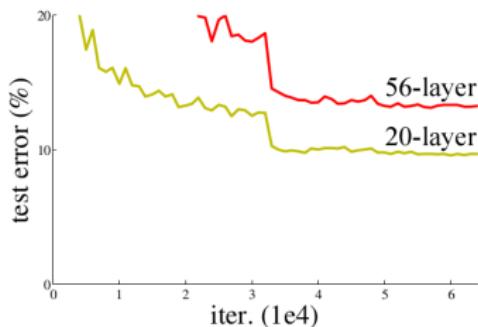
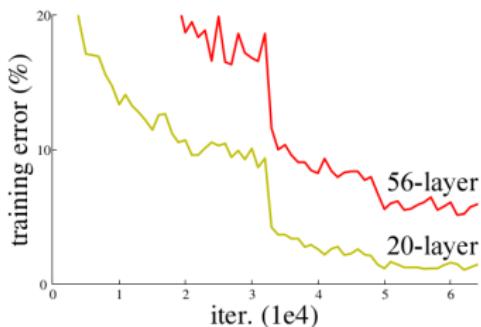


Deeper models tend to have higher **training & test error** than shallower models
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Possible Reasons

- Vanishing gradient problem
 - ReLU (or successors)
 - Proper initialization

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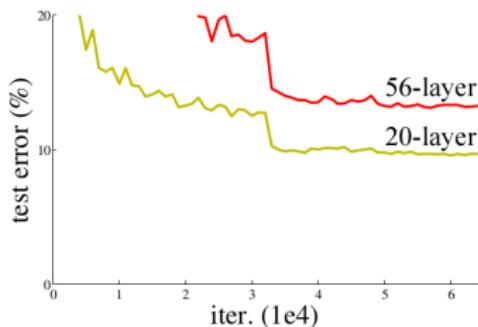
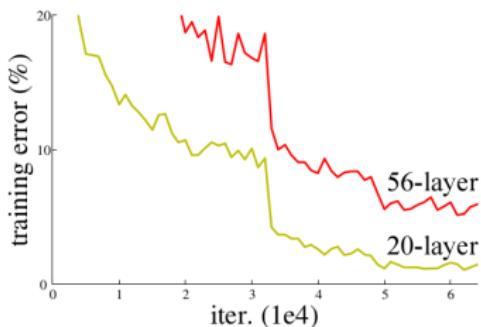


Deeper models tend to have higher **training & test error** than shallower models
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Possible Reasons

- Vanishing gradient problem
 - ReLU (or successors)
 - Proper initialization
- Internal co-variate shift
 - Batch normalization
 - ELU / SELU

Degradation of Training Error



Deeper models tend to have higher **training & test error** than shallower models
 → Not just caused by overfitting!

Possible Reasons

- Vanishing gradient problem
 - ReLU (or successors)
 - Proper initialization
- Degradation problem: poor propagation of activations and gradients
- Internal co-variate shift
 - Batch normalization
 - ELU / SELU

Source: [2]

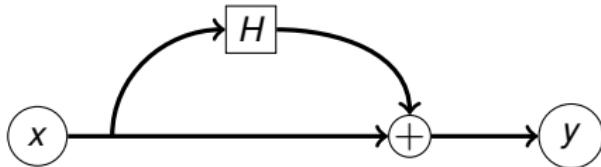
(One) Solution: Residual Units

Residual Units [2], [3]

Idea: Simplify “identity solution”

- Non-residual nets: learn mapping $F(x)$
- Instead: learn residual mapping:

$$H(x) = F(x) - x \Leftrightarrow F(x) = H(x) + x$$



Residual Block [2], [3]

- General form of the l -th residual unit over K layers:

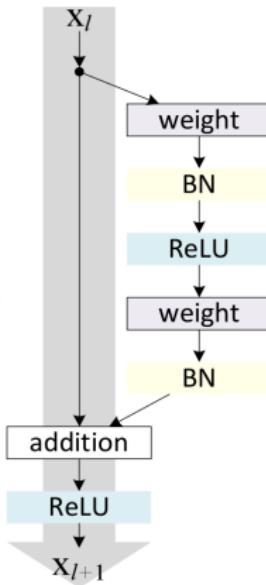
$$\mathbf{x}_{l+1} = h(g(\mathbf{x}_l) + H_{l+1}(\mathbf{x}_l, \{\mathbf{W}_{l+1,K}\}))$$

$\mathbf{x}_l, \mathbf{x}_{l+1}$: input, output activations

$$\{\mathbf{W}_{l+1,K}\} = \{\mathbf{W}_{l+1,k} \mid 1 \leq k \leq K\}$$

g, h : activation functions

- BN: Batch normalization
- Typically $K = 2$ or $K = 3$
- Original: g : identity, h : ReLU



Source: [3]

Residual Block [2], [3]

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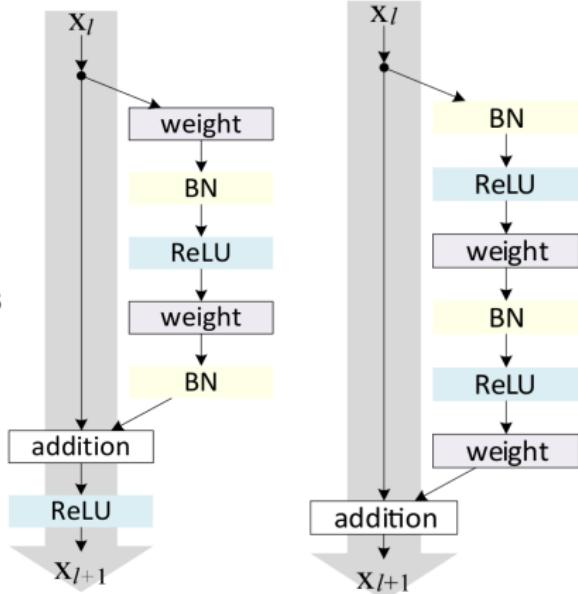
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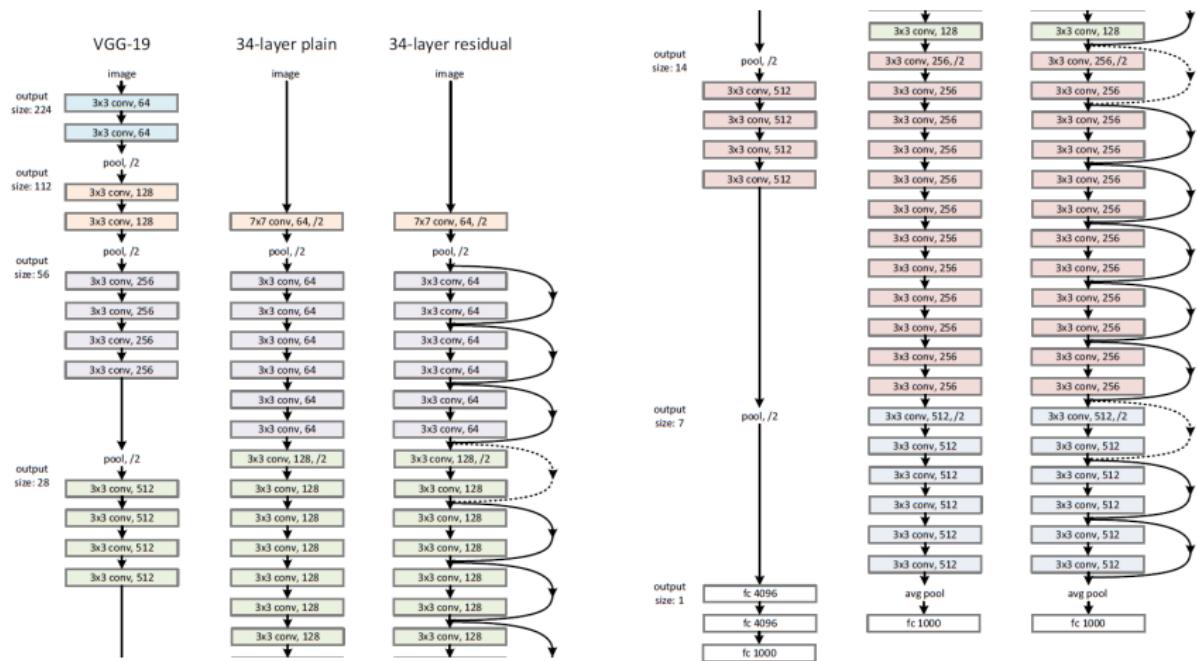
g, h : activation functions

- BN: Batch normalization
- Typically $K = 2$ or $K = 3$
- Original: g : identity, h : ReLU
- Better: g and h : identity \Rightarrow pre-activation



Source: [3]

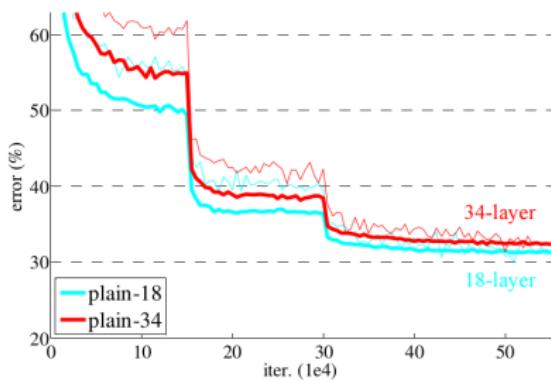
Residual Networks [2], [3]



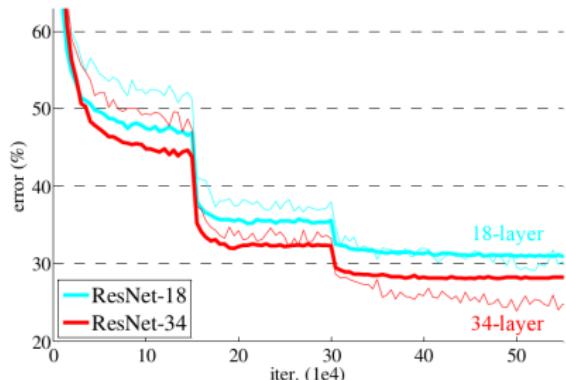
VGG: 19.6 billion FLOPs, Plain/ResNet: 3.6 billion FLOPs

Source: [2]

Residual Networks [2], [3]



Plain networks

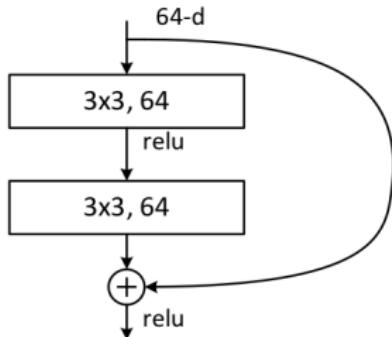


Residual networks

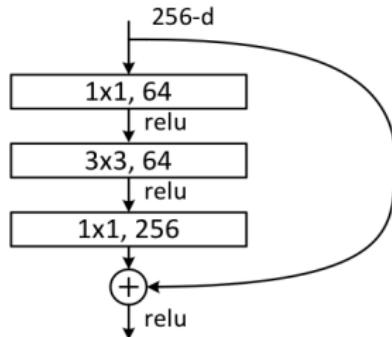
- Training / validation error of deeper nets is now lower!

Source: [2]

Bottleneck Residual Block



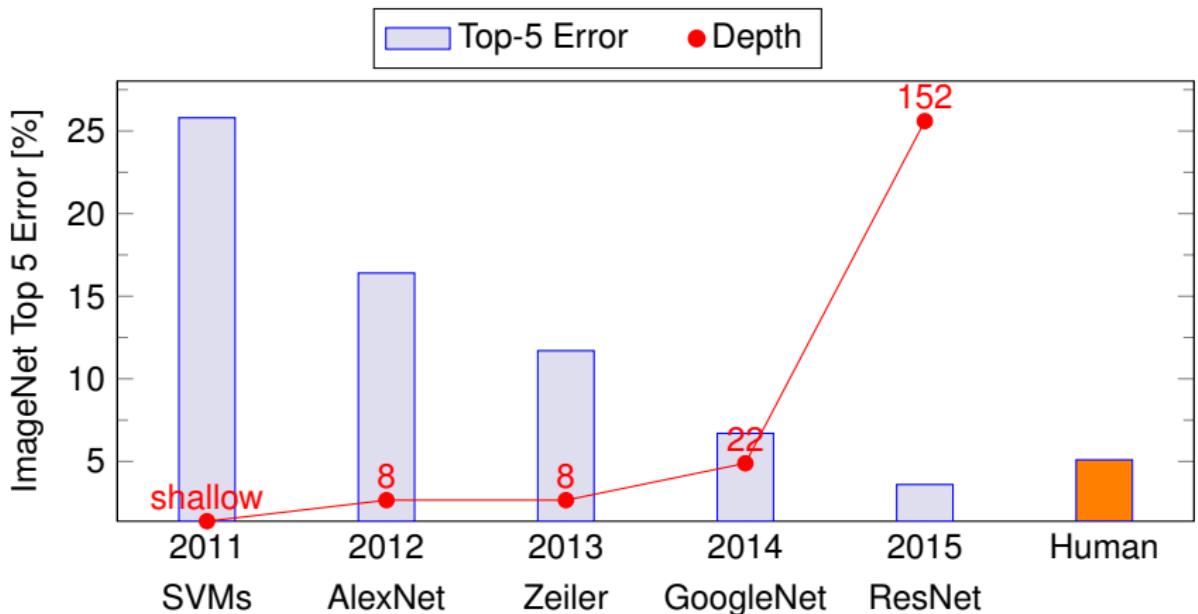
Example standard building block



Example bottleneck building block

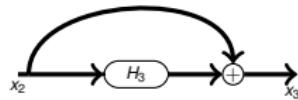
- Standard building block:
For networks up to 34 layers or small input image sizes
- Bottleneck building block:
For deeper networks and larger input image sizes

Evolution of Depth



Source: image-net.org, Russakovsky et al. 2015

The Ensemble View [17]

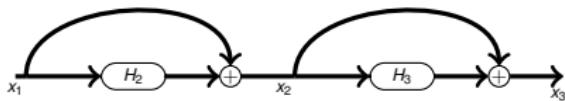


$$\mathbf{x}_{l+1} = \mathbf{x}_l + H_{l+1}(\mathbf{x}_l)$$

Consider 3 layer ResNet:

$$\mathbf{x}_3 = \mathbf{x}_2 + H_3(\mathbf{x}_2)$$

The Ensemble View [17]

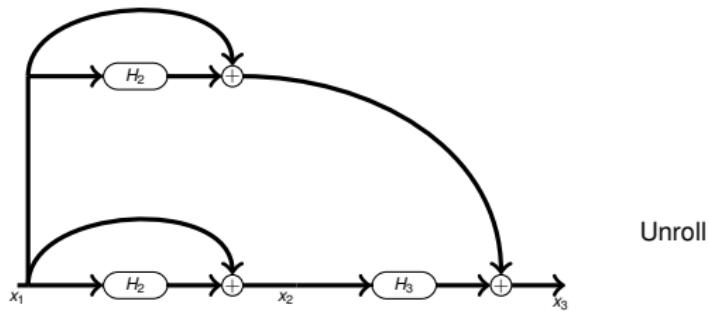


$$\mathbf{x}_{l+1} = \mathbf{x}_l + H_{l+1}(\mathbf{x}_l)$$

Consider 3 layer ResNet:

$$\begin{aligned}\mathbf{x}_3 &= \mathbf{x}_2 + H_3(\mathbf{x}_2) \\ &= [\mathbf{x}_1 + H_2(\mathbf{x}_1)] + H_3(\mathbf{x}_1 + H_2(\mathbf{x}_1))\end{aligned}$$

The Ensemble View [17]

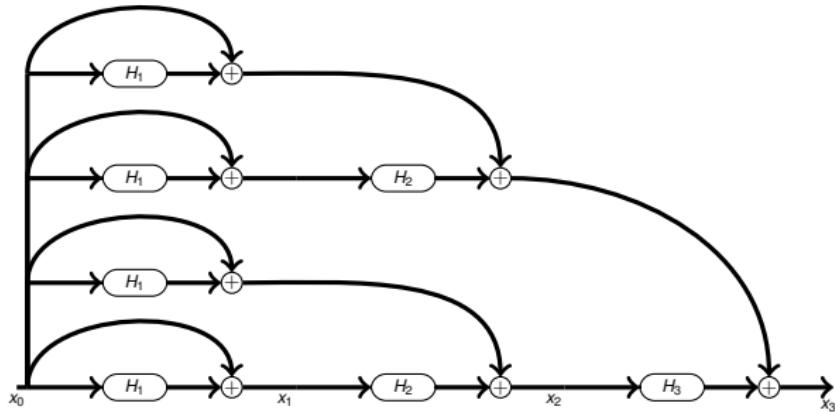


$$\mathbf{x}_{I+1} = \mathbf{x}_I + H_{I+1}(\mathbf{x}_I)$$

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The Ensemble View [17]

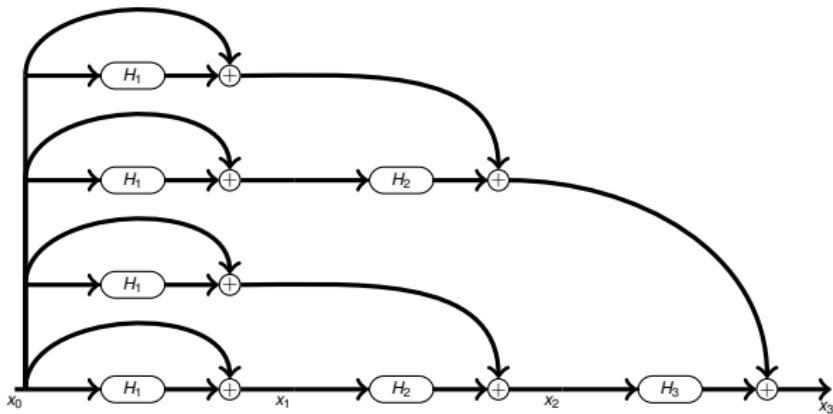


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The Ensemble View [17]



ResNets behave like ensemble of shallow networks
 → Implicitly average exponentially many networks

Classical Feed-forward Network vs. Residual Networks

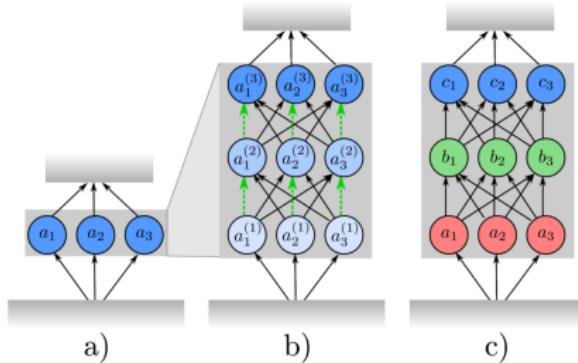
Classical feed-forward network:

- At layer level: one single path
- At neuron level: many different paths of **same** length
(= exponential in #layers)

Residual networks:

- At layer level: 2^n paths
- At neuron layer: many different paths of **varying** length going through different subsets of layers.

The Representation View [1]

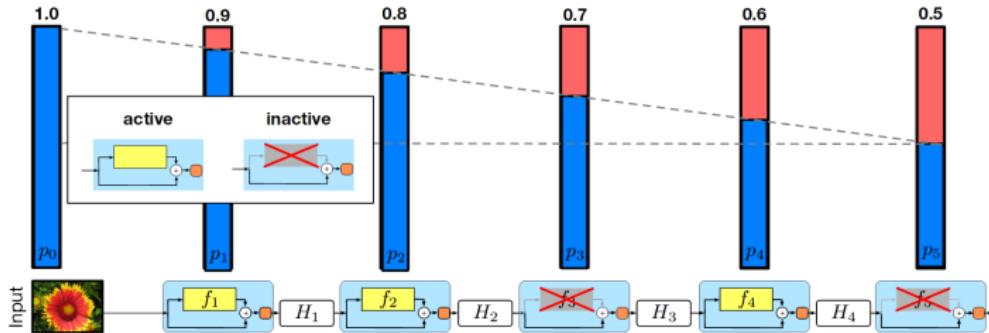


- (a) Single layer: direct computation
- (b) Residual Network: unrolled iterative estimation
- (c) Classic network: produces new representation at each layer

- Residual blocks do not compute entirely new representations
- They instead iteratively refine their input representations
- Residual networks allow removal of connections without significant drop in performance
- This can even be exploited: stochastic depth

Source: [1]

Deep Networks with Stochastic Depth [5]



- Stochastic depth: layer-wise dropout, i.e., drop random layers and bypass with identity
- Ensemble of exponentially many small networks
- Networks are short during training → decreased training time
- 1200 layers trainable (CIFAR-10 Error: 4.91%)

Source: [5]

NEXT TIME
ON DEEP LEARNING

The rise of residual connections



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Architectures - Part 4

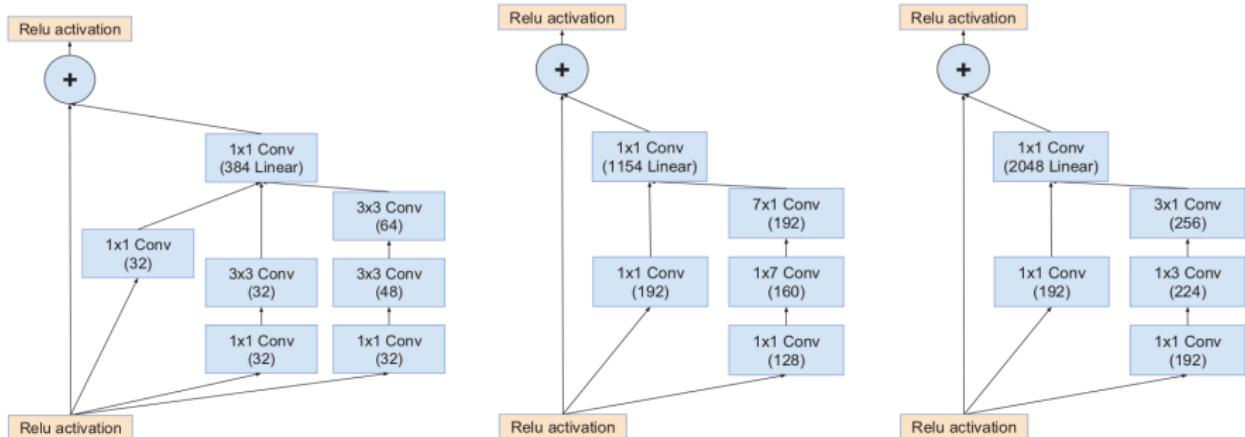
**A. Maier, V. Christlein, K. Breininger, Z. Yang, L. Rist, M. Nau, S. Jaganathan, C. Liu, N. Maul, L. Folle,
K. Packhäuser, M. Zinnen**

Pattern Recognition Lab, Friedrich-Alexander-Universität Erlangen-Nürnberg

April 24, 2023



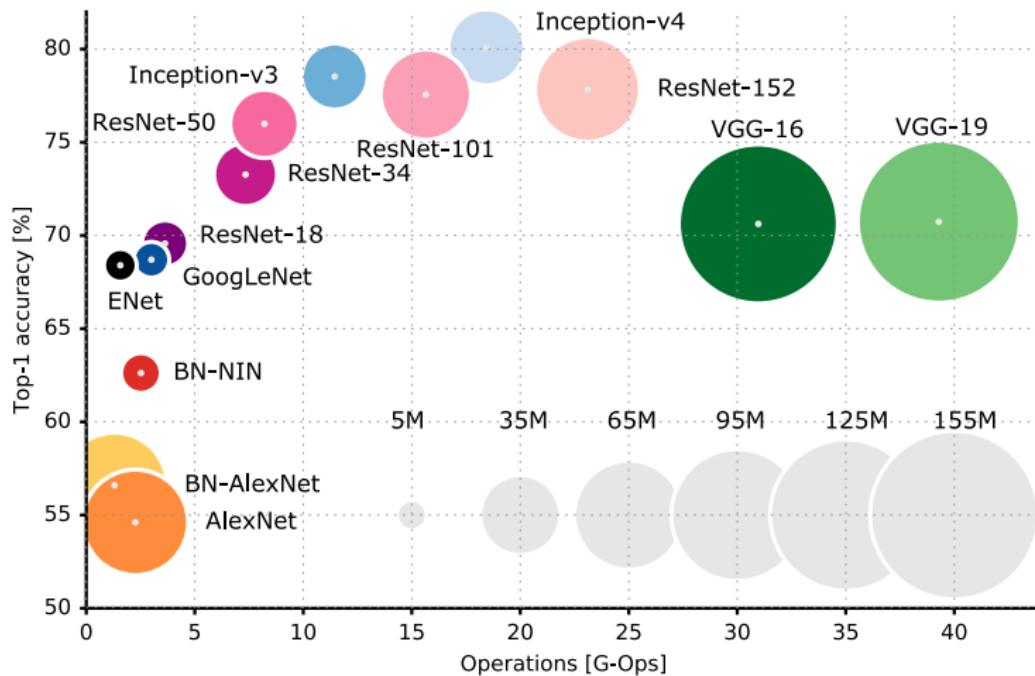
Inception-ResNet [16]



- Combination of inception architecture and residual connections
- Faster convergence and better performance than without residual connections

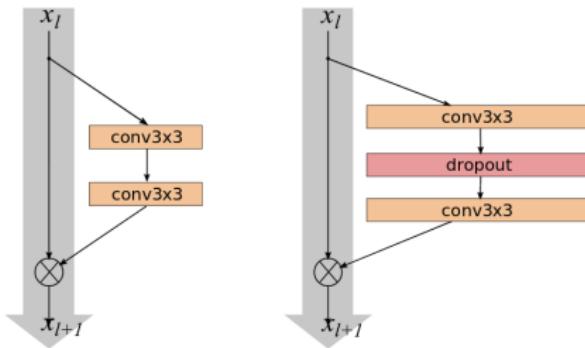
Source: [16]

Top1 vs. Operations



Source: <https://towardsdatascience.com/neural-network-architectures-156e5bad51ba> (visited 2017/12/01), s. also Canziani et al., 2016

Wide Residual Networks [20]

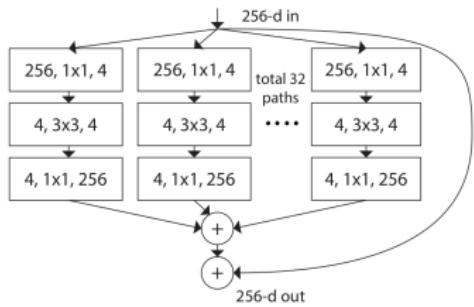


Key features

- Decrease depth, increase width of ResNet blocks
- Use dropout in residual block
- 16 layer deep network w. similar #params outperforms 1000 layer deep network
- Power not from depth but from residual connections

Source: [20]

ResNeXt [18]

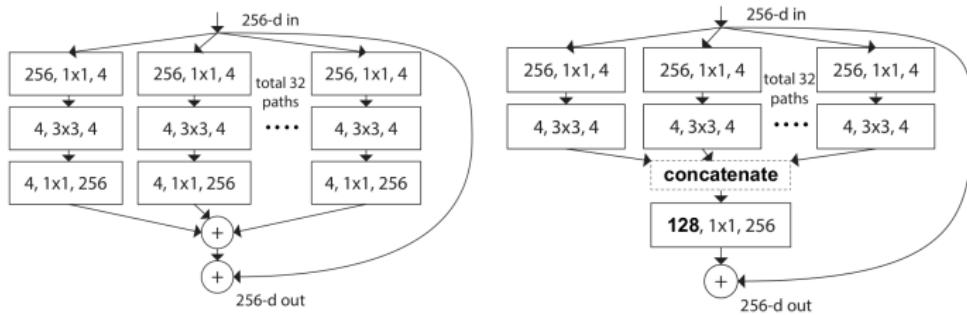


Key features

- Aggregated residual transformations (inception layer w. same trafo)

Source: [18]

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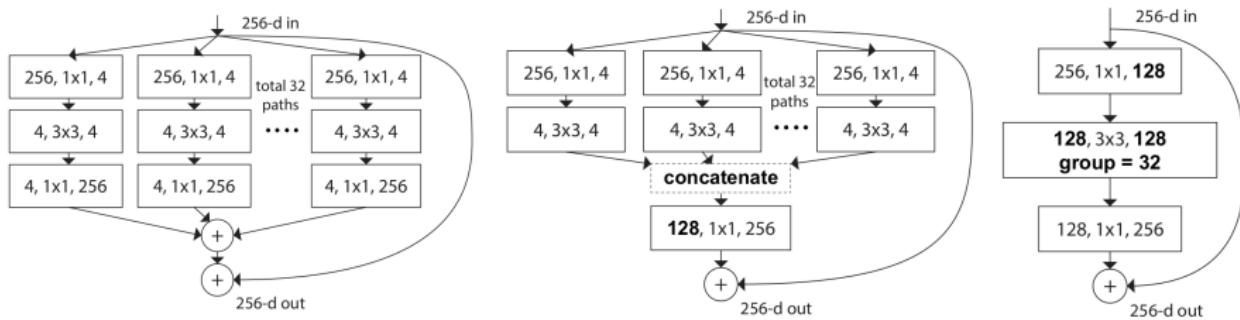


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- Equivalent: early concatenation

Source: [18]

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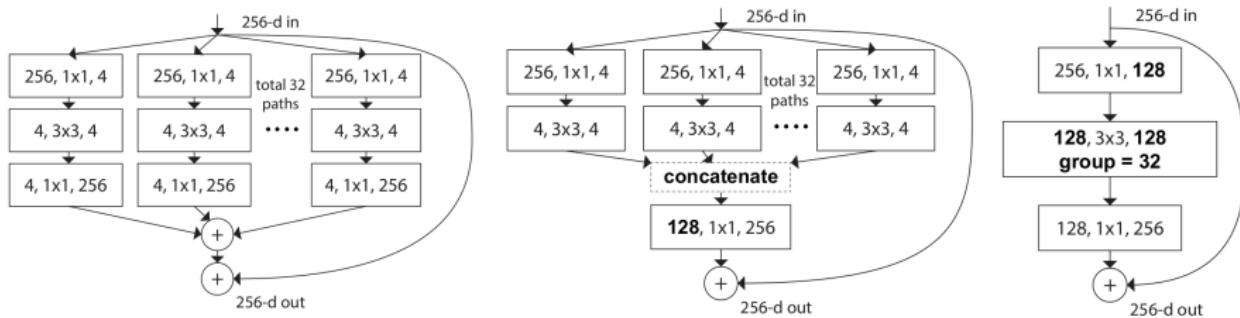


Key features

- Aggregated residual transformations (inception layer w. same trafo)
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- Equivalent: grouped convolution (input/output chans are divided into groups, convolutions separately performed within each group)

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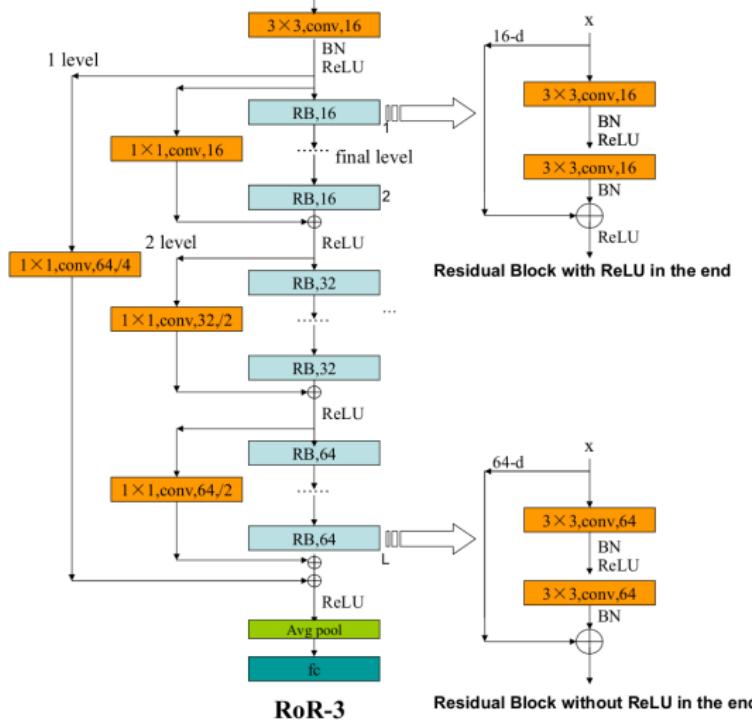


Key features

- Aggregated residual transformations (inception layer w. same trafo)
 - Equivalent: early concatenation
 - Equivalent: grouped convolution (input/output chans are divided into groups, convolutions separately performed within each group)
 - Similar FLOPS and #params than ResNet bottleneck block
- But:** wider, sparsely connected module!

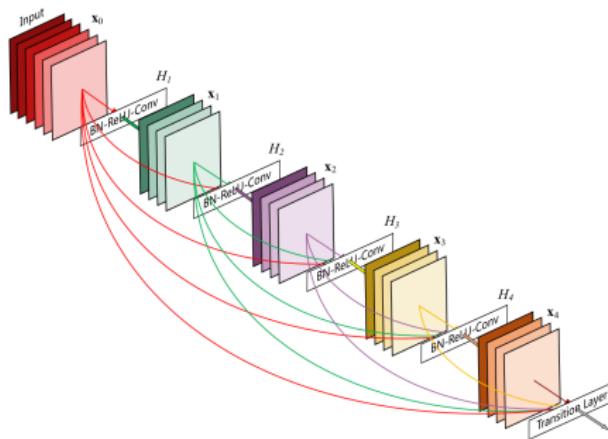
Source: [18]

ResNet-of-ResNets [21]



Source: [21]

DenseNets: Densely Connected Convolutional Networks [6]



- Layer input: feature-maps of all preceding layers
- Feature propagation, feature reuse
- Alleviates the vanishing-gradient problem
- Up to 264 Layers – needs actually $\approx 1/3$ less params for same performance than ResNet due to transition layers using 1×1 convolutions

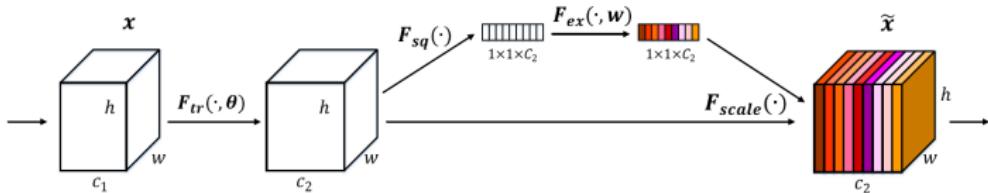
Source: [6]

Squeeze-and-Excitation Networks (SENet) [4]

- ImageNet Challenge winner (classification) 2017: 2.3 % top-5 error
- **Motivation:** Explicitly model channel interdependencies : channels have different relevance depending on content
- Example: “Dog features” not important when differentiating cars

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- ImageNet Challenge winner (classification) 2017: 2.3 % top-5 error
- **Motivation:** Explicitly model channel interdependencies : channels have different relevance depending on content
- Example: “Dog features” not important when differentiating cars
- **Idea:** Add trainable module that allows **rescaling of channels** depending on input

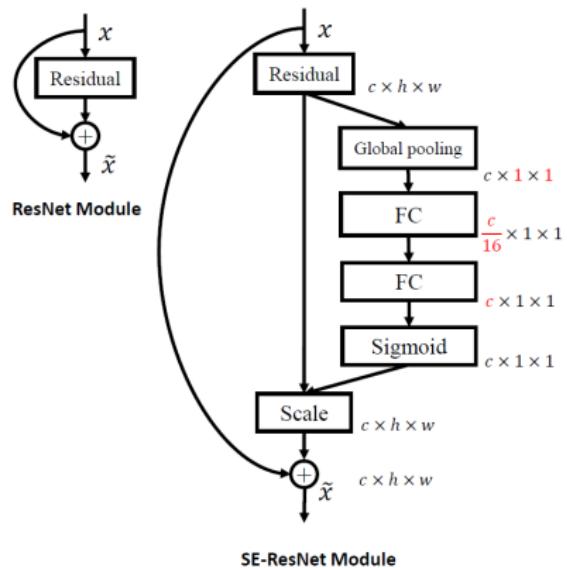


SENet module with scaled channels

Source: [4]

Squeeze-and-Excitation Networks (SENet) [4] (cont.)

- **Squeeze:** Compress each channel into one value (global avg. pooling)
→ Vector of size c , $c = \# \text{ channels}$

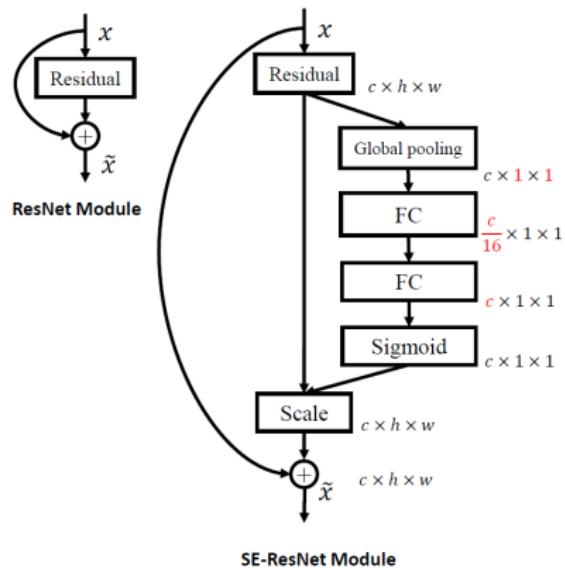


SEN extension for ResNet module

Source: [4]

Squeeze-and-Excitation Networks (SENet) [4] (cont.)

- **Squeeze:** Compress each channel into one value (global avg. pooling)
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- **Excitation:** FC layers & sigmoid to achieve scaling vector → compare to gating in LSTMs (next week)

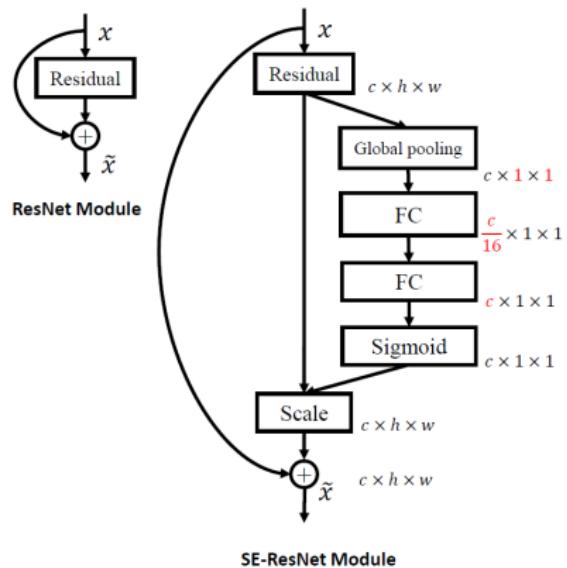


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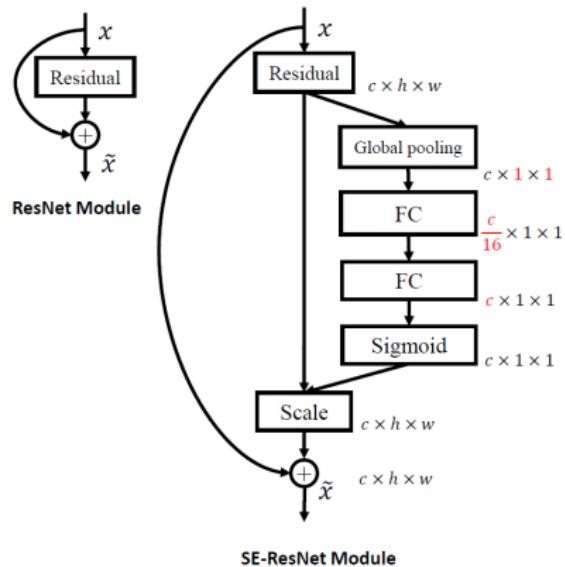


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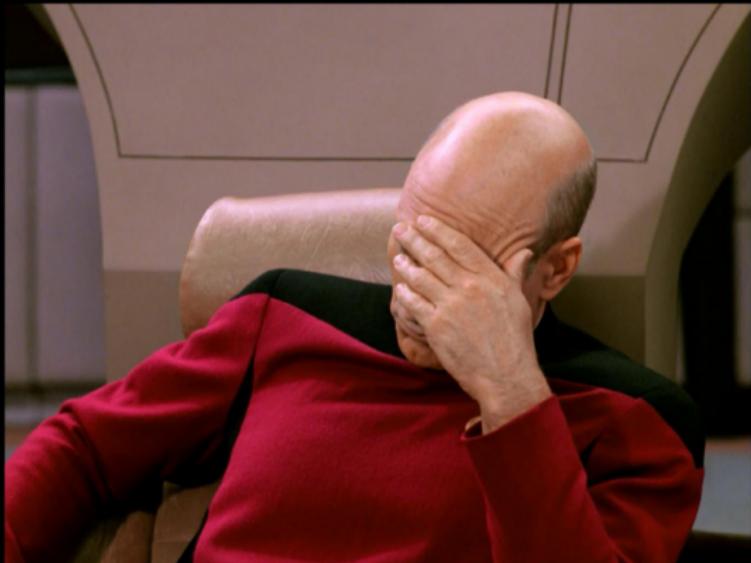
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→ Vector of size c , $c = \# \text{ channels}$
- **Excitation:** FC layers & sigmoid to achieve scaling vector → compare to gating in LSTMs (next week)
- **Scale:** Scale input feature maps
- Can be combined with most architectures, e.g., Inception, ResNet, ResNeXt, ...



SENet extension for ResNet module

Source: [4]



NOT ANOTHER ARCHITECTURE

**NEXT TIME
ON DEEP LEARNING**



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Architectures - Part 5

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Learning Architectures



Learning Architectures

Goal: Self-developing network structures

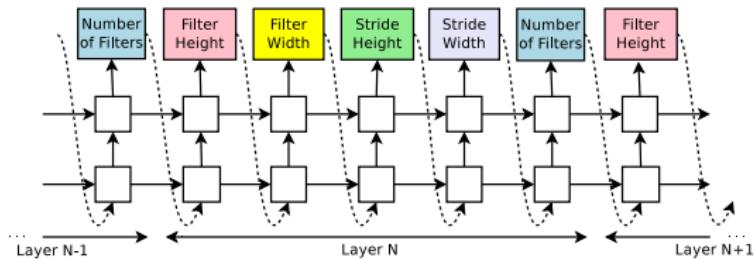
Optimized with respect to

- Accuracy
- FLOPs

Possible option: Grid-search typically too time-consuming

Learning Architectures

With reinforcement learning [22]



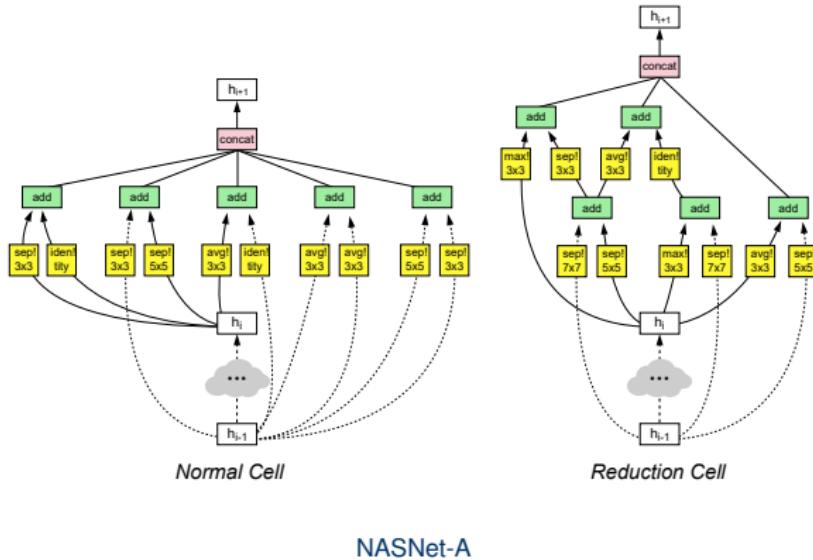
- Recurrent neural network (RNN) to generate model descriptions of networks
- Train RNN with reinforcement learning to maximize expected accuracy

Other options

- Reinforcement learning for small building blocks transferred to large CNNs
- Genetic algorithms
- Energy-based
- ...

Source: [22]

What do the learned architectures look like?



- Performance for ImageNet on par with SENets, with lower computational costs
- Optimization of networks of different size, e.g., for mobile platforms

Source: [22]

ImageNet Challenge - Where are we?

- ImageNet results for classification typically <5 % in most submissions
- Substantial and significant improvements more and more difficult to show
- Last “official” challenge (with CVPR workshop) in 2017, now on Kaggle

ImageNet Challenge - Where are we?

- ImageNet results for classification typically <5 % in most submissions
- Substantial and significant improvements more and more difficult to show
- Last “official” challenge (with CVPR workshop) in 2017, now on Kaggle
- New data sets are generated/needed, e.g., 3D scenes and human-level understanding
- **Examples:** MS COCO (<http://cocodataset.org>), Visual Genome Dataset (<https://visualgenome.org/>)
- Additional research directions: Speed and size of networks on mobile platforms

Conclusion

Summary

- 1×1 filters to reduce parameters and add regularization
- Inception layers
- Residual connections
- New architectures can be learned

Rise of deeper models (from 5 layers to more than 1000)

However

- Often a smaller net is sufficient
- Dependent on amount of training data
- Deep vs. wide layers

NEXT TIME
ON DEEP LEARNING

Coming Up

- Recurrent neural networks
- (Truncated) Backpropagation through time
- Long short-term memory
- Gated recurrent unit

Comprehensive Questions

- What are the advantages of deeper models in comparison to shallow networks?
- Why can we say that residual networks learn an ensemble of shallow networks?
- How does a bottleneck layer work?
- What is the standard inception module and how can it be improved?

Further Reading

- Current state of the art networks:
 - Dual Path Networks
<http://papers.nips.cc/paper/7033-dual-path-networks>,
 - Squeeze-and-Excitation Networks <https://arxiv.org/abs/1709.01507>
- Some interesting state-of-the-art works can be found here:
<https://medium.com/@karpathy/iclr-2017-vs-arxiv-sanity-d1488ac5c131>
(visited: 03-12-2017)
- MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications <https://arxiv.org/abs/1704.04861>
- Deep networks without residual connections:
 - <https://arxiv.org/abs/1706.00388>,
 - <https://arxiv.org/abs/1703.01827>



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