







Projeto de Trabalho Final

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January, 2025

• We live in an era of information overflow, with data created every moment too large to digest in time.

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¹L. Yang *et al.*, "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

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- Recommender systems are essential components of online platforms, navigating users through vast amounts of data to discover relevant items.
- While maximizing accuracy has been a central concern in traditional recommender system research, studies have increasingly emphasized the importance of recommendation diversity¹²

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- Graph-based methods offer significant advantages, including the ability to represent users' historical interactions and diverse relationship types, leveraging the information encoded in their edges.

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- Graph-based methods offer significant advantages, including the ability to represent users' historical interactions and diverse relationship types, leveraging the information encoded in their edges.
- The Diversified GNN-based Recommender System (DGRec)³ is a GNN model that seeks to reduce the problem of poorly diverse recommendations.

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Proposal

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- Specifically introducing a gated attention mechanism and additional transformations within the graph processing modules.

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- Layer attention: Applies attention weights to the outputs of each GNN layer, mitigating over-smoothing and leveraging high-order connections.
- Loss reweighting: Adjusts the learning process to emphasize items from long-tail categories, balancing accuracy and diversity.

Methodology

Problem Statement

For diversified recommendation task, we have a set of users $\mathcal{U}=u_1,u_2,...,u_{|\mathcal{U}|}$, a set of items $I=i_1,i_2,...,i_{|I|}$, and a mapping function $C(\cdot)$ that maps each item to its category.

The observed user-item interactions can be represented as an interaction matrix $\mathbf{R} \in \mathbb{R}^{|\mathcal{U}| \times |I|}$, where $R_{ui} = 1$ if user u has interacted with item i, or $R_{ui} = 0$ otherwise.

For a graph-based recommender model, the historical interactions are represented by a user-item bipartite graph G = (U, I, E), where U and I are the disjoint sets of user and item nodes, and there is an edge $e_{ui} \in \mathcal{E}$ between u and i if $R_{ui} = 1$.

Embedding Layer is a look-up table that maps the user/item ID to a dense vector:

$$\mathbf{E}^{(0)} = \left(\mathbf{e}_1^0,\,\mathbf{e}_2^0,\,...,\,\mathbf{e}_{|U|+|I|}^0\right)$$

where $e^{(0)} \in \mathbb{R}^d$ is the *d*-dimensional dense vector for user/item.

The convolution operation used in this work is Light Graph Convolution $(LGC)^4$.

⁴X. He *et al.*, "Lightgcn: Simplifying and powering graph convolution network for recommendation," in *Proceedings of the 43rd International ACM SIGIR conference on research and development in Information Retrieval*, 2020, pp. 639–648.

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Each LGC layer generates an embedding vector for every user or item node.

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$$\mathbf{e}_{\mathcal{T}}^{\ell+1} = \sum_{i \in \mathcal{N}_{\mathcal{T}}} \frac{1}{\sqrt{|\mathcal{N}_{I}|} \sqrt{|\mathcal{N}_{U}|}} \mathbf{e}_{\mathcal{T}}^{\ell}$$
 (1)

where $\mathbf{e}_{\mathcal{T}}^{\ell}$ are the embedding at layer ℓ for nodes of type \mathcal{T} . \mathcal{N}_{l} and \mathcal{N}_{U} represent the neighborhoods of item and user nodes.

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Each LGC layer produces an embedding vector for each user $(e_u^0, e_u^1, ..., e_u^\ell)$ and item node $(e_i^0, e_i^1, ..., e_i^\ell)$.

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An attention mechanism is then applied to these embeddings to obtain the final user and item representations.

$$e_u = \text{Attention} \left(e_u^0, e_u^1, ..., e_u^L \right)$$

 $e_i = \text{Attention} \left(e_i^0, e_i^1, ..., e_i^L \right)$

DGRec - Submodular Neighbor Selection

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The submodular neighbor selection module aims to select a subset of neighbors that maximizes diversity and information content for aggregation.

The objective is to find a subset $S_u \subseteq \mathcal{N}_u$ that maximizes the sum of the maximum similarities between each item $i \in \mathcal{N}_u \setminus S_u$ and the items in S_u

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Each sample (u, i) is reweighted based on the category number of items. This is achieved by incorporating a weight $w_{C(i)}$ into the loss function.

DGRec - Proposed Changes

- 1. Additional Transformations
- 2. Gated Attention

 Building upon the insights from⁵ and⁶, we employ a Graph Processing Module (GP Module) designed to enhance the diversity of node representations.

⁵K. Han et al., "Vision gnn: An image is worth graph of nodes," in NeurIPS, 2022.

⁶J. P. O. Batisteli *et al.*, "Multi-scale image graph representation: A novel gnn approach for image classification through scale importance estimation," in *2023 IEEE International Symposium on Multimedia (ISM)*, 2023, pp. 62–68.

- Building upon the insights from⁵ and⁶, we employ a Graph Processing Module (GP Module) designed to enhance the diversity of node representations.
- This module incorporates linear and normalization layers before and after each graph convolution.

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$$\mathbf{e}_{\mathcal{T}}^{\ell+1} = BN(W_2(BN(\sum_{i \in \mathcal{N}_{\mathcal{T}}} \frac{1}{\sqrt{|\mathcal{N}_I|}\sqrt{|\mathcal{N}_{U}|}} BN(W_1\mathbf{e}_{\mathcal{T}}^{\ell}))))$$
(2)

where W_1 and W_2 are linear transformations, and BN stands for Batch Normalization.

Gated Attention

Aiming to aggregate information while maintaining feature diversity and ensuring robust representations for less frequent items, we adopted gated attention mechanics instead of the original Layer Attention.

This mechanism introduces learnable gates that modulate the flow of information, allowing the model to focus on relevant features and enhance the representation.

Experiments

Dataset

TaoBao⁷: contains user behavior on the TaoBao platform, encompassing various types of interactions such as clicking, purchasing, adding items to carts, and favoriting items.

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Graph Construction

• The dataset is represented as a bipartite graph with user and item nodes.

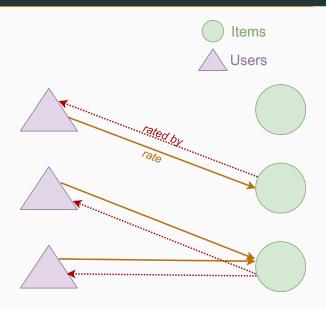
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- The connections are represented by edges of type "rated" (user to item) and "rated by" (item to user).

Graph Construction



Metrics

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- Accuracy: Recall and Hit Ratio (HR).
- Diversity: Coverage.

Quantitative analysis

 Table 19 presents a comparative analysis of the original DGRec model [1] and our two proposed variants. DGRec_T and DGRec_A.

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Method	Recall@100	Recall@300	HR@100	HR@300	Coverage@100	Coverage@300
DGRec ⁸	0.0455	0.0916	0.2946	0.4714	39.5123	90.2758
$DGRec_{\mathcal{T}}$	0.0204	0.0401	0.1569	0.2727	52.3410	126.1431
$DGRec_{A}$	0.0037	0.0116	0,0398	0.1075	54.3406	114.1998

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- Evaluation on the TaoBao dataset demonstrated that the proposed architectural changes effectively enhanced recommendation diversity.
- This increase in diversity, however, was accompanied by a decrease in accuracy metrics.
- Future work will focus on exploring architectural modifications to achieve a better balance between the diversity and accuracy of recommendations, as well as utilizing multigraph representations to explicitly model various types of user-item relationships.

The end

Thank you!