



Programa de Pós-graduação em  
**INFORMÁTICA**



**PUC Minas**



**CAPES**



# Improving Recommendation Diversity with Architectural Modifications to DGRec

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# Introduction

- We live in an era of information overflow, with data created every moment too large to digest in time.
- Recommender systems are essential components of online platforms, navigating users through vast amounts of data to discover relevant items.
- While maximizing accuracy has been a central concern in traditional recommender system research, studies have increasingly emphasized the importance of recommendation diversity<sup>12</sup>

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- Graph-based methods offer significant advantages, including the ability to represent users' historical interactions and diverse relationship types, leveraging the information encoded in their edges.

# Diversified GNN-based Recommender System

To tackle the issue of limited recommendation diversity in current methods, the Diversified GNN-based Recommender System, Yang *et al.* [1] propose the **D**iversified **G**NN-based **R**ecommender System (DGRec), which incorporates three key modules:

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- **Submodular neighbor selection:** Identifies a diverse subset of neighbors for aggregation, enhancing representation diversity.
- **Layer attention:** Applies attention weights to the outputs of each GNN layer, mitigating over-smoothing and leveraging high-order connections.
- **Loss reweighting:** Adjusts the learning process to emphasize items from long-tail categories, balancing accuracy and diversity.

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- Specifically introducing a gated attention mechanism and additional transformations within the graph processing modules.

# Methodology

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# Problem Statement

For diversified recommendation task, we have a set of users  $U = u_1, u_2, \dots, u_{|U|}$ , a set of items  $I = i_1, i_2, \dots, i_{|I|}$ , and a mapping function  $C(\cdot)$  that maps each item to its category.

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The observed user-item interactions can be represented as an interaction matrix  $\mathbf{R} \in \mathbb{R}^{|U| \times |I|}$ , where  $R_{ui} = 1$  if user  $u$  has interacted with item  $i$ , or  $R_{ui} = 0$  otherwise.

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For a graph-based recommender model, the historical interactions are represented by a user-item bipartite graph  $G = (U, I, E)$ , where  $U$  and  $I$  are the disjoint sets of user and item nodes, and there is an edge  $e_{ui} \in \mathcal{E}$  between  $u$  and  $i$  if  $R_{ui} = 1$ .



**Embedding Layer** is a look-up table that maps the user/item ID to a dense vector:

$$\mathbf{E}^{(0)} = \left( \mathbf{e}_1^0, \mathbf{e}_2^0, \dots, \mathbf{e}_{|U|+|I|}^0 \right)$$

where  $\mathbf{e}^{(0)} \in \mathbb{R}^d$  is the  $d$ -dimensional dense vector for user/item.

The convolution operation used in this work is Light Graph Convolution (LGC)<sup>3</sup>.

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Each LGC layer generates an embedding vector for every user or item node.

$$\mathbf{e}_{\mathcal{T}}^{\ell+1} = \sum_{i \in \mathcal{N}_{\mathcal{T}}} \frac{1}{\sqrt{|\mathcal{N}_I|} \sqrt{|\mathcal{N}_U|}} \mathbf{e}_{\mathcal{T}}^{\ell} \quad (1)$$

where  $\mathbf{e}_{\mathcal{T}}^{\ell}$  are the embedding at layer  $\ell$  for nodes of type  $\mathcal{T}$ .  $\mathcal{N}_I$  and  $\mathcal{N}_U$  represent the neighborhoods of item and user nodes.

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Each LGC layer produces an embedding vector for each user  $(e_u^0, e_u^1, \dots, e_u^\ell)$  and item node  $(e_i^0, e_i^1, \dots, e_i^\ell)$ .

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An attention mechanism is then applied to these embeddings to obtain the final user and item representations.

$$e_u = \text{Attention} (e_u^0, e_u^1, \dots, e_u^L)$$

$$e_i = \text{Attention} (e_i^0, e_i^1, \dots, e_i^L)$$

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The objective is to find a subset  $\mathcal{S}_u \subseteq \mathcal{N}_u$  that maximizes the sum of the maximum similarities between each item  $i \in \mathcal{N}_u \setminus \mathcal{S}_u$  and the items in  $\mathcal{S}_u$

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Each sample  $(u, i)$  is reweighted based on the category number of items. This is achieved by incorporating a weight  $w_{C(i)}$  into the loss function.

1. Additional Transformations
2. Gated Attention

- Building upon the insights from<sup>4</sup> and<sup>5</sup>, we employ a Graph Processing Module (GP Module) designed to enhance the diversity of node representations.

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<sup>4</sup>K. Han *et al.*, “**Vision gnn: An image is worth graph of nodes,**” in *NeurIPS*, 2022.

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- This module incorporates linear and normalization layers before and after each graph convolution.

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$$\mathbf{e}_{\mathcal{T}}^{\ell+1} = \text{BN}(W_2(\text{BN}(\sum_{i \in \mathcal{N}_{\mathcal{T}}} \frac{1}{\sqrt{|\mathcal{N}_I|}\sqrt{|\mathcal{N}_U|}} \text{BN}(W_1 \mathbf{e}_{\mathcal{T}}^{\ell})))) \quad (2)$$

where  $W_1$  and  $W_2$  are linear transformations, and BN stands for Batch Normalization.



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This mechanism introduces learnable gates that modulate the flow of information, allowing the model to focus on relevant features and enhance the representation.

# Experiments

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**TaoBao**<sup>6</sup>: contains user behavior on the TaoBao platform, encompassing various types of interactions such as clicking, purchasing, adding items to carts, and favoriting items.

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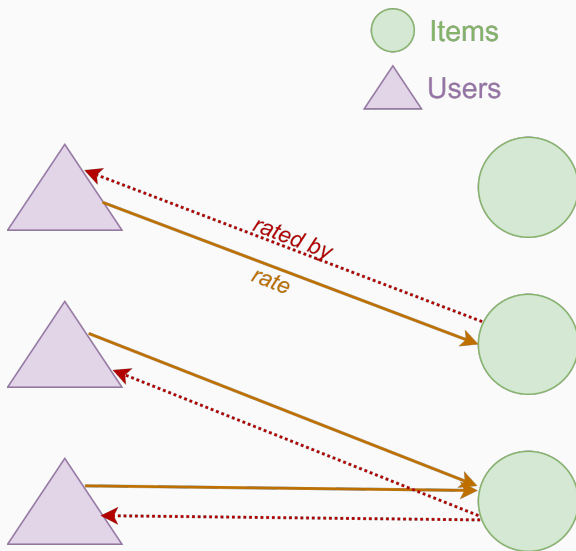
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- The connections are represented by edges of type "*rated*" (user to item) and "*rated by*" (item to user).

# Graph Construction





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- Accuracy: Recall and Hit Ratio (HR).
- Diversity: Coverage.

- Table 1 presents a comparative analysis of the original DGR<sub>ec</sub> model [1] and our two proposed variants, DGR<sub>ec</sub><sub>T</sub> and DGR<sub>ec</sub><sub>A</sub>.

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Method	Recall@100	Recall@300	HR@100	HR@300	Coverage@100	Coverage@300
DGR <sub>ec</sub> <sup>7</sup>	<b>0.0455</b>	<b>0.0916</b>	<b>0.2946</b>	<b>0.4714</b>	39.5123	90.2758
DGR <sub>ec<sub>T</sub></sub>	0.0204	0.0401	0.1569	0.2727	52.3410	<b>126.1431</b>
DGR <sub>ec<sub>A</sub></sub>	0.0037	0.0116	0.0398	0.1075	<b>54.3406</b>	114.1998

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- This increase in diversity, however, was accompanied by a decrease in accuracy metrics.



# Conclusion

- This work introduces architectural modifications to the DGRec model [1] with the goal of enhancing the diversity of recommended items.
- Evaluation on the TaoBao dataset demonstrated that the proposed architectural changes effectively enhanced recommendation diversity.
- This increase in diversity, however, was accompanied by a decrease in accuracy metrics.
- Future work will focus on exploring architectural modifications to achieve a better balance between the diversity and accuracy of recommendations, as well as utilizing multigraph representations to explicitly model various types of user-item relationships.

Thank you!