







## Improving Recommendation Diversity with Architectural Modifications to DGRec

João Pedro Oliveira Batisteli

January, 2025

• We live in an era of information overflow, with data created every moment too large to digest in time.

<sup>2</sup>Y. Zheng *et al.*, **"Dgcn: Diversified recommendation with graph convolutional networks,"** in *Proceedings of the Web Conference 2021*, 2021, pp. 401–412.

<sup>&</sup>lt;sup>1</sup>L. Yang *et al.*, "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

- We live in an era of information overflow, with data created every moment too large to digest in time.
- Recommender systems are essential components of online platforms, navigating users through vast amounts of data to discover relevant items.

<sup>2</sup>Y. Zheng *et al.*, **"Dgcn: Diversified recommendation with graph convolutional networks,"** in *Proceedings of the Web Conference 2021*, 2021, pp. 401–412.

<sup>&</sup>lt;sup>1</sup>L. Yang *et al.*, "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

- We live in an era of information overflow, with data created every moment too large to digest in time.
- Recommender systems are essential components of online platforms, navigating users through vast amounts of data to discover relevant items.
- While maximizing accuracy has been a central concern in traditional recommender system research, studies have increasingly emphasized the importance of recommendation diversity<sup>12</sup>

<sup>2</sup>Y. Zheng *et al.*, "Dgcn: Diversified recommendation with graph convolutional networks," in *Proceedings of the Web Conference 2021*, 2021, pp. 401–412.

<sup>&</sup>lt;sup>1</sup>L. Yang *et al.*, "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

 Graph Neural Networks are widely used across various fields due to their ability to process complex problems represented as graphs effectively.

<sup>&</sup>lt;sup>3</sup>L. Yang *et al.*, "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

- Graph Neural Networks are widely used across various fields due to their ability to process complex problems represented as graphs effectively.
- Graph-based methods offer significant advantages, including the ability to represent users' historical interactions and diverse relationship types, leveraging the information encoded in their edges.

<sup>&</sup>lt;sup>3</sup>L. Yang *et al.*, "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

- Graph Neural Networks are widely used across various fields due to their ability to process complex problems represented as graphs effectively.
- Graph-based methods offer significant advantages, including the ability to represent users' historical interactions and diverse relationship types, leveraging the information encoded in their edges.
- The Diversified GNN-based Recommender System (DGRec)<sup>3</sup> is a GNN model that seeks to reduce the problem of poorly diverse recommendations.

<sup>&</sup>lt;sup>3</sup>L. Yang et al., "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

## **Proposal**

 In this work, we propose novel modifications to the DGRec architecture to further promote recommendation diversity.

## **Proposal**

- In this work, we propose novel modifications to the DGRec architecture to further promote recommendation diversity.
- Specifically introducing a gated attention mechanism and additional transformations within the graph processing modules.

To cope with these problems, Yang *et al.* [1] propose the **D**iversified **G**NN-based **Rec**ommender System (DGRec), which incorporates three key modules:

To cope with these problems, Yang et al. [1] propose the **D**iversified **G**NN-based **Rec**ommender System (DGRec), which incorporates three key modules:

 Submodular neighbor selection: Identifies a diverse subset of neighbors for aggregation, enhancing representation diversity.

To cope with these problems, Yang et al. [1] propose the **D**iversified **G**NN-based **Rec**ommender System (DGRec), which incorporates three key modules:

- Submodular neighbor selection: Identifies a diverse subset of neighbors for aggregation, enhancing representation diversity.
- Layer attention: Applies attention weights to the outputs of each GNN layer, mitigating over-smoothing and leveraging high-order connections.

To cope with these problems, Yang et al. [1] propose the **D**iversified **G**NN-based **Rec**ommender System (DGRec), which incorporates three key modules:

- Submodular neighbor selection: Identifies a diverse subset of neighbors for aggregation, enhancing representation diversity.
- Layer attention: Applies attention weights to the outputs of each GNN layer, mitigating over-smoothing and leveraging high-order connections.
- Loss reweighting: Adjusts the learning process to emphasize items from long-tail categories, balancing accuracy and diversity.

# Methodology

#### **Problem Statement**

For diversified recommendation task, we have a set of users  $\mathcal{U}=u_1,u_2,...,u_{|\mathcal{U}|}$ , a set of items  $I=i_1,i_2,...,i_{|I|}$ , and a mapping function  $C(\cdot)$  that maps each item to its category.

The observed user-item interactions can be represented as an interaction matrix  $\mathbf{R} \in \mathbb{R}^{|\mathcal{U}| \times |I|}$ , where  $R_{ui} = 1$  if user u has interacted with item i, or  $R_{ui} = 0$  otherwise.

For a graph-based recommender model, the historical interactions are represented by a user-item bipartite graph G = (U, I, E), where U and I are the disjoint sets of user and item nodes, and there is an edge  $e_{ui} \in \mathcal{E}$  between u and i if  $R_{ui} = 1$ .

**Embedding Layer** is a look-up table that maps the user/item ID to a dense vector:

$$\mathbf{E}^{(0)} = \left(\mathbf{e}_1^0,\,\mathbf{e}_2^0,\,...,\,\mathbf{e}_{|U|+|I|}^0\right)$$

where  $e^{(0)} \in \mathbb{R}^d$  is the *d*-dimensional dense vector for user/item.

The convolution operation used in this work is Light Graph Convolution  $(LGC)^4$ .

<sup>&</sup>lt;sup>4</sup>X. He *et al.*, "Lightgcn: Simplifying and powering graph convolution network for recommendation," in *Proceedings of the 43rd International ACM SIGIR conference on research and development in Information Retrieval*, 2020, pp. 639–648.

The convolution operation used in this work is Light Graph Convolution  $(LGC)^4$ .

Each LGC layer generates an embedding vector for every user or item node.

<sup>&</sup>lt;sup>4</sup>X. He *et al.*, **"Lightgcn: Simplifying and powering graph convolution network for recommendation,"** in *Proceedings of the 43rd International ACM SIGIR conference on research and development in Information Retrieval*, 2020, pp. 639–648.

The convolution operation used in this work is Light Graph Convolution  $(LGC)^4$ .

Each LGC layer generates an embedding vector for every user or item node.

$$\mathbf{e}_{\mathcal{T}}^{\ell+1} = \sum_{i \in \mathcal{N}_{\mathcal{T}}} \frac{1}{\sqrt{|\mathcal{N}_{I}|} \sqrt{|\mathcal{N}_{U}|}} \mathbf{e}_{\mathcal{T}}^{\ell}$$
 (1)

where  $\mathbf{e}_{\mathcal{T}}^{\ell}$  are the embedding at layer  $\ell$  for nodes of type  $\mathcal{T}$ .  $\mathcal{N}_{l}$  and  $\mathcal{N}_{U}$  represent the neighborhoods of item and user nodes.

<sup>&</sup>lt;sup>4</sup>X. He *et al.*, "Lightgcn: Simplifying and powering graph convolution network for recommendation," in *Proceedings of the 43rd International ACM SIGIR conference on research and development in Information Retrieval*, 2020, pp. 639–648.

Each LGC layer produces an embedding vector for each user  $(e_u^0, e_u^1, ..., e_u^\ell)$  and item node  $(e_i^0, e_i^1, ..., e_i^\ell)$ .

Each LGC layer produces an embedding vector for each user  $(e_u^0, e_u^1, ..., e_u^\ell)$  and item node  $(e_i^0, e_i^1, ..., e_i^\ell)$ .

An attention mechanism is then applied to these embeddings to obtain the final user and item representations.

$$e_u = \text{Attention} \left( e_u^0, e_u^1, ..., e_u^L \right)$$
  
 $e_i = \text{Attention} \left( e_i^0, e_i^1, ..., e_i^L \right)$ 

## **DGRec - Submodular Neighbor Selection**

In GNN-based recommender systems, user/item embedding is obtained by aggregating information from all neighbors.

## **DGRec - Submodular Neighbor Selection**

In GNN-based recommender systems, user/item embedding is obtained by aggregating information from all neighbors.

The submodular neighbor selection module aims to select a subset of neighbors that maximizes diversity and information content for aggregation.

## **DGRec - Submodular Neighbor Selection**

In GNN-based recommender systems, user/item embedding is obtained by aggregating information from all neighbors.

The submodular neighbor selection module aims to select a subset of neighbors that maximizes diversity and information content for aggregation.

The objective is to find a subset  $S_u \subseteq \mathcal{N}_u$  that maximizes the sum of the maximum similarities between each item  $i \in \mathcal{N}_u \setminus S_u$  and the items in  $S_u$ 

## **DGRec** - Loss Reweighting

Borrowing the idea of class-balanced loss, the DGRec reweighted the sample loss during training based on its category.

## **DGRec** - Loss Reweighting

Borrowing the idea of class-balanced loss, the DGRec reweighted the sample loss during training based on its category.

Each sample (u, i) is reweighted based on the category number of items. This is achieved by incorporating a weight  $w_{C(i)}$  into the loss function.

## **DGRec** - Proposed Changes

- 1. Additional Transformations
- 2. Gated Attention

 Building upon the insights from<sup>5</sup> and<sup>6</sup>, we employ a Graph Processing Module (GP Module) designed to enhance the diversity of node representations.

<sup>&</sup>lt;sup>5</sup>K. Han et al., "Vision gnn: An image is worth graph of nodes," in NeurIPS, 2022.

<sup>&</sup>lt;sup>6</sup>J. P. O. Batisteli *et al.*, "Multi-scale image graph representation: A novel gnn approach for image classification through scale importance estimation," in *2023 IEEE International Symposium on Multimedia (ISM)*, 2023, pp. 62–68.

- Building upon the insights from<sup>5</sup> and<sup>6</sup>, we employ a Graph Processing Module (GP Module) designed to enhance the diversity of node representations.
- This module incorporates linear and normalization layers before and after each graph convolution.

<sup>&</sup>lt;sup>5</sup>K. Han *et al.*, **"Vision gnn: An image is worth graph of nodes,"** in *NeurIPS*, 2022.

<sup>&</sup>lt;sup>6</sup>J. P. O. Batisteli *et al.*, "Multi-scale image graph representation: A novel gnn approach for image classification through scale importance estimation," in *2023 IEEE International Symposium on Multimedia (ISM)*, 2023, pp. 62–68.

The original convolution Equation is modified to:

The original convolution Equation is modified to:

$$\mathbf{e}_{\mathcal{T}}^{\ell+1} = BN(W_2(BN(\sum_{i \in \mathcal{N}_{\mathcal{T}}} \frac{1}{\sqrt{|\mathcal{N}_I|}\sqrt{|\mathcal{N}_{U}|}} BN(W_1\mathbf{e}_{\mathcal{T}}^{\ell}))))$$
(2)

where  $W_1$  and  $W_2$  are linear transformations, and BN stands for Batch Normalization.

#### **Gated Attention**

Aiming to aggregate information while maintaining feature diversity and ensuring robust representations for less frequent items, we adopted gated attention mechanics instead of the original Layer Attention.

This mechanism introduces learnable gates that modulate the flow of information, allowing the model to focus on relevant features and enhance the representation.

## Experiments

#### **Dataset**

**TaoBao**<sup>7</sup>: contains user behavior on the TaoBao platform, encompassing various types of interactions such as clicking, purchasing, adding items to carts, and favoriting items.

<sup>&</sup>lt;sup>7</sup>Y. Zheng *et al.*, "Dgcn: Diversified recommendation with graph convolutional networks," in *Proceedings of the Web Conference 2021*, 2021, pp. 401–412.

#### **Graph Construction**

• The dataset is represented as a bipartite graph with user and item nodes.

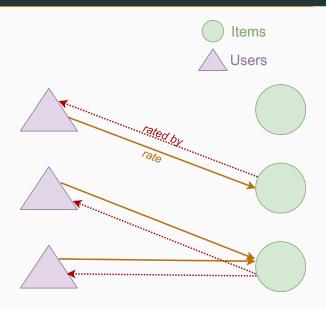
#### **Graph Construction**

- The dataset is represented as a bipartite graph with user and item nodes.
- All observed interactions are treated as positive samples, reflecting the direct connection between users and the items.

## **Graph Construction**

- The dataset is represented as a bipartite graph with user and item nodes.
- All observed interactions are treated as positive samples, reflecting the direct connection between users and the items.
- The connections are represented by edges of type "rated" (user to item) and "rated by" (item to user).

# **Graph Construction**



#### **Metrics**

Two metrics are used to evaluate accuracy, while a separate metric is employed to assess diversity.

#### **Metrics**

Two metrics are used to evaluate accuracy, while a separate metric is employed to assess diversity.

• Accuracy: Recall and Hit Ratio (HR).

## **Metrics**

Two metrics are used to evaluate accuracy, while a separate metric is employed to assess diversity.

- Accuracy: Recall and Hit Ratio (HR).
- Diversity: Coverage.

# Quantitative analysis

 Table 19 presents a comparative analysis of the original DGRec model [1] and our two proposed variants. DGRec<sub>T</sub> and DGRec<sub>A</sub>.

<sup>&</sup>lt;sup>8</sup>L. Yang *et al.*, "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

# Quantitative analysis

 Table 19 presents a comparative analysis of the original DGRec model [1] and our two proposed variants. DGRec<sub>T</sub> and DGRec<sub>A</sub>.

Method	Recall@100	Recall@300	HR@100	HR@300	Coverage@100	Coverage@300
DGRec <sup>8</sup>	0.0455	0.0916	0.2946	0.4714	39.5123	90.2758
$DGRec_{\mathcal{T}}$	0.0204	0.0401	0.1569	0.2727	52.3410	126.1431
$DGRec_{A}$	0.0037	0.0116	0,0398	0.1075	54.3406	114.1998

<sup>&</sup>lt;sup>8</sup>L. Yang *et al.*, "Dgrec: Graph neural network for recommendation with diversified embedding generation," in *Proceedings of the sixteenth ACM international conference on web search and data mining*, 2023, pp. 661–669.

 This work introduces architectural modifications to the DGRec model [1] with the goal of enhancing the diversity of recommended items.

- This work introduces architectural modifications to the DGRec model [1] with the goal of enhancing the diversity of recommended items.
- Evaluation on the TaoBao dataset demonstrated that the proposed architectural changes effectively enhanced recommendation diversity.

- This work introduces architectural modifications to the DGRec model [1] with the goal of enhancing the diversity of recommended items.
- Evaluation on the TaoBao dataset demonstrated that the proposed architectural changes effectively enhanced recommendation diversity.
- This increase in diversity, however, was accompanied by a decrease in accuracy metrics.

- This work introduces architectural modifications to the DGRec model [1] with the goal of enhancing the diversity of recommended items.
- Evaluation on the TaoBao dataset demonstrated that the proposed architectural changes effectively enhanced recommendation diversity.
- This increase in diversity, however, was accompanied by a decrease in accuracy metrics.
- Future work will focus on exploring architectural modifications to achieve a better balance between the diversity and accuracy of recommendations, as well as utilizing multigraph representations to explicitly model various types of user-item relationships.

## The end

# Thank you!