# 05\_model\_t\_tl\_feat\_ext\_rmsprop

June 10, 2024

# 1 Model T - Transfer Learning, Feature Extraction, RMSProp

- 128 x 128 x 3 Image size.
- **64** Batch size.
- Feature Extraction.
  - VGG16 Convolutional Base.
  - **4 x 4 x 512** Feature Maps.
- Classifier:
  - Root Mean Square Propagation (RMSProp) optimizer.
  - **0.01** Initial Learning rate.
  - Sparse Categorical Cross-Entropy loss function.
  - Reduce Learning Rate on Plateau callback with a 0.1 factor and patience of 3.
  - Early Stopping callback with patience of 6 and restore best weights.
  - Model Checkpoint callback to save the best model based on validation loss.
  - $-4 \times 4 \times 512$  Tensor before the **Flatten** layer.
  - 512 Dense layer with ReLU activation.
  - 10 Dense output layer with **Softmax** activation.
  - **Dropout** layers with **0.5** rate after the Flatten and Dense layers.
  - **L2** regularization with **0.0001** rate on the Dense layers.
  - 4 199 946 Trainable Parameters.
  - **30 Epochs** to train the classifier.
- Build the full model with the VGG16 Convolutional Base and the Classifier.
- Test the model on the Test Dataset.

#### Imports and Setup

```
[1]: import os
    os.environ['TF_CPP_MIN_LOG_LEVEL'] = '3'
    import tensorflow as tf
    print(f'TensorFlow version: {tf.__version__}')
    tf.get_logger().setLevel('ERROR')
    tf.autograph.set_verbosity(3)
    import matplotlib.pyplot as plt
```

TensorFlow version: 2.15.0

**Group Datasets** 

Create Datasets

```
for data_batch, labels_batch in train_dataset.take(1):
   print('data batch shape:', data_batch.shape)
   print('labels batch shape:', labels_batch.shape)
```

```
Found 10000 files belonging to 10 classes. data batch shape: (64, 128, 128, 3) labels batch shape: (64,)
```

- We define the image size of 128 x 128 x 3, batch size of 64 and create an array with the label's names.
- We create the train dataset by concatenating them, we **shuffle** the samples before each epoch and **prefetch** them to memory.
- We do the same for the validation and test dataset except **shuffling** which is **unwanted** for these datasets.

## Loading the VGG16 Model

```
[4]: from tensorflow.keras.applications.vgg16 import VGG16
conv_base = VGG16(weights='imagenet', include_top=False, input_shape=(IMG_SIZE,

LIMG_SIZE, 3))
conv_base.trainable = False
conv_base.summary()
```

Model: "vgg16"

Layer (type)	Output Shape	Param #
input_1 (InputLayer)	[(None, 128, 128, 3)]	0
block1_conv1 (Conv2D)	(None, 128, 128, 64)	1792
block1_conv2 (Conv2D)	(None, 128, 128, 64)	36928
block1_pool (MaxPooling2D)	(None, 64, 64, 64)	0
block2_conv1 (Conv2D)	(None, 64, 64, 128)	73856
block2_conv2 (Conv2D)	(None, 64, 64, 128)	147584

```
block2_pool (MaxPooling2D) (None, 32, 32, 128)
                             (None, 32, 32, 256)
block3_conv1 (Conv2D)
                                                       295168
block3_conv2 (Conv2D)
                             (None, 32, 32, 256)
                                                       590080
block3 conv3 (Conv2D)
                             (None, 32, 32, 256)
                                                       590080
block3 pool (MaxPooling2D)
                             (None, 16, 16, 256)
block4_conv1 (Conv2D)
                             (None, 16, 16, 512)
                                                       1180160
                             (None, 16, 16, 512)
block4_conv2 (Conv2D)
                                                       2359808
                             (None, 16, 16, 512)
block4_conv3 (Conv2D)
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block4_pool (MaxPooling2D)
                             (None, 8, 8, 512)
block5_conv1 (Conv2D)
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                             (None, 8, 8, 512)
block5_conv2 (Conv2D)
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block5_conv3 (Conv2D)
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                                                       2359808
block5 pool (MaxPooling2D)
                             (None, 4, 4, 512)
```

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Total params: 14714688 (56.13 MB) Trainable params: 0 (0.00 Byte)

Non-trainable params: 14714688 (56.13 MB)

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• We load the VGG16 model with the imagenet weights, without the top layer and with the input shape of 128 x 128 pixels and 3 channels.

#### Feature Extraction

```
[5]: def get_features_and_labels(dataset):
    all_features = []
    all_labels = []
    for images, labels in dataset:
        preprocessed_images = keras.applications.vgg16.preprocess_input(images)
        features = conv_base.predict(preprocessed_images)
        all_features.append(features)
        all_labels.append(labels)
    return np.concatenate(all_features), np.concatenate(all_labels)
```

train\_features, train\_labels = get\_features\_and\_labels(train\_dataset)
val\_features, val\_labels = get\_features\_and\_labels(validation\_dataset)

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1/1 [======== ] - Os 351ms/step
```

- We extract the features from the convolutional base of the VGG16 model for the train and validation dataset.
- We don't need to extract the features from the test dataset because we will use the full model to predict it.

## 1.0.1 Saving the features and labels

#### 1.0.2 Loading the features and labels

## Classifier Arquitecture

#### Model: "model"

Layer (type)	Output Shape	Param #
input_2 (InputLayer)	[(None, 4, 4, 512)]	0
flatten (Flatten)	(None, 8192)	0
dropout (Dropout)	(None, 8192)	0
dense (Dense)	(None, 512)	4194816
<pre>dropout_1 (Dropout)</pre>	(None, 512)	0
dense_1 (Dense)	(None, 10)	5130

\_\_\_\_\_\_

Total params: 4199946 (16.02 MB)
Trainable params: 4199946 (16.02 MB)
Non-trainable params: 0 (0.00 Byte)

\_\_\_\_\_\_

# Model Compilation

[9]: initial\_learning\_rate = 0.001
 optimizer = optimizers.RMSprop(learning\_rate=initial\_learning\_rate)
 loss\_function = keras.losses.SparseCategoricalCrossentropy()

# **Model Training**

Epoch 3/30

```
[10]: history = classifier.fit(
       train_features, train_labels,
       epochs=30,
       validation_data=(val_features, val_labels),
       callbacks=callbacks)
    Epoch 1/30
    0.7210
    Epoch 1: val loss improved from inf to 0.69868, saving model to
    ../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
    accuracy: 0.7211 - val_loss: 0.6987 - val_accuracy: 0.8348 - lr: 0.0010
    Epoch 2/30
      7/1250 [...] - ETA: 23s - loss: 1.3775 - accuracy:
    0.7455
    /usr/local/lib/python3.9/dist-packages/keras/src/engine/training.py:3103:
    UserWarning: You are saving your model as an HDF5 file via `model.save()`. This
    file format is considered legacy. We recommend using instead the native Keras
    format, e.g. `model.save('my_model.keras')`.
     saving_api.save_model(
    0.7922
    Epoch 2: val_loss improved from 0.69868 to 0.65767, saving model to
    ../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
    accuracy: 0.7921 - val_loss: 0.6577 - val_accuracy: 0.8536 - lr: 0.0010
```

```
0.8083
Epoch 3: val_loss improved from 0.65767 to 0.64178, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.8083 - val_loss: 0.6418 - val_accuracy: 0.8589 - lr: 0.0010
Epoch 4/30
0.8153
Epoch 4: val_loss did not improve from 0.64178
accuracy: 0.8154 - val_loss: 0.6591 - val_accuracy: 0.8540 - lr: 0.0010
Epoch 5/30
Epoch 5: val_loss did not improve from 0.64178
1250/1250 [============= ] - 23s 19ms/step - loss: 0.9945 -
accuracy: 0.8241 - val_loss: 0.6654 - val_accuracy: 0.8655 - lr: 0.0010
Epoch 6/30
0.8252
Epoch 6: ReduceLROnPlateau reducing learning rate to 0.00010000000474974513.
Epoch 6: val_loss did not improve from 0.64178
accuracy: 0.8253 - val_loss: 0.6751 - val_accuracy: 0.8596 - lr: 0.0010
Epoch 7/30
0.8567
Epoch 7: val_loss improved from 0.64178 to 0.60073, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.8567 - val_loss: 0.6007 - val_accuracy: 0.8780 - lr: 1.0000e-04
Epoch 8/30
0.8693
Epoch 8: val loss improved from 0.60073 to 0.59749, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.8694 - val_loss: 0.5975 - val_accuracy: 0.8820 - lr: 1.0000e-04
Epoch 9/30
Epoch 9: val_loss improved from 0.59749 to 0.58528, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.8773 - val_loss: 0.5853 - val_accuracy: 0.8840 - lr: 1.0000e-04
Epoch 10/30
```

```
0.8843
Epoch 10: val_loss improved from 0.58528 to 0.58060, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.8843 - val_loss: 0.5806 - val_accuracy: 0.8882 - lr: 1.0000e-04
Epoch 11/30
0.8877
Epoch 11: val_loss improved from 0.58060 to 0.57706, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.8877 - val_loss: 0.5771 - val_accuracy: 0.8866 - lr: 1.0000e-04
Epoch 12/30
0.8927
Epoch 12: val_loss improved from 0.57706 to 0.57455, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.8927 - val_loss: 0.5746 - val_accuracy: 0.8886 - lr: 1.0000e-04
Epoch 13/30
0.8910
Epoch 13: val_loss improved from 0.57455 to 0.57241, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.8910 - val_loss: 0.5724 - val_accuracy: 0.8926 - lr: 1.0000e-04
Epoch 14/30
0.8969
Epoch 14: val_loss improved from 0.57241 to 0.56496, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
1250/1250 [============= ] - 23s 19ms/step - loss: 0.5353 -
accuracy: 0.8970 - val_loss: 0.5650 - val_accuracy: 0.8893 - lr: 1.0000e-04
Epoch 15/30
Epoch 15: val_loss improved from 0.56496 to 0.55465, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
1250/1250 [============= ] - 24s 20ms/step - loss: 0.5140 -
accuracy: 0.8961 - val_loss: 0.5546 - val_accuracy: 0.8932 - lr: 1.0000e-04
Epoch 16/30
0.9011
Epoch 16: val_loss did not improve from 0.55465
accuracy: 0.9011 - val_loss: 0.5557 - val_accuracy: 0.8919 - lr: 1.0000e-04
Epoch 17/30
```

```
0.9028
Epoch 17: val_loss improved from 0.55465 to 0.54566, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.9028 - val_loss: 0.5457 - val_accuracy: 0.8945 - lr: 1.0000e-04
Epoch 18/30
0.9072
Epoch 18: val_loss did not improve from 0.54566
accuracy: 0.9071 - val_loss: 0.5500 - val_accuracy: 0.8946 - lr: 1.0000e-04
Epoch 19/30
Epoch 19: val_loss improved from 0.54566 to 0.54361, saving model to
../models/05_model_t_tl_feat_ext_rmsprop_classifier.h5
accuracy: 0.9078 - val_loss: 0.5436 - val_accuracy: 0.8923 - lr: 1.0000e-04
Epoch 20/30
0.9092
Epoch 20: val_loss did not improve from 0.54361
accuracy: 0.9092 - val_loss: 0.5539 - val_accuracy: 0.8930 - lr: 1.0000e-04
Epoch 21/30
0.9116
Epoch 21: val_loss did not improve from 0.54361
accuracy: 0.9116 - val_loss: 0.5487 - val_accuracy: 0.8948 - lr: 1.0000e-04
Epoch 22/30
0.9141
Epoch 22: ReduceLROnPlateau reducing learning rate to 1.0000000474974514e-05.
Epoch 22: val loss did not improve from 0.54361
accuracy: 0.9141 - val_loss: 0.5552 - val_accuracy: 0.8945 - lr: 1.0000e-04
Epoch 23/30
0.9195
Epoch 23: val_loss did not improve from 0.54361
accuracy: 0.9194 - val_loss: 0.5511 - val_accuracy: 0.8964 - lr: 1.0000e-05
0.9208
```

```
Epoch 24: val_loss did not improve from 0.54361

1250/1250 [===================] - 23s 19ms/step - loss: 0.4070 - accuracy: 0.9207 - val_loss: 0.5491 - val_accuracy: 0.8974 - lr: 1.0000e-05

Epoch 25/30

1249/1250 [========================] - ETA: 0s - loss: 0.4017 - accuracy: 0.9206

Epoch 25: ReduceLROnPlateau reducing learning rate to 1.0000000656873453e-06.

Restoring model weights from the end of the best epoch: 19.

Epoch 25: val_loss did not improve from 0.54361

1250/1250 [=======================] - 23s 19ms/step - loss: 0.4018 - accuracy: 0.9205 - val_loss: 0.5497 - val_accuracy: 0.8970 - lr: 1.0000e-05

Epoch 25: early stopping
```

# Save Model History

```
[11]: with open("../history/05_model_t_tl_feat_ext_rmsprop_classifier.pkl", "wb") as⊔

ofile:

pickle.dump(history.history, file)
```

## Classifier Evaluation

```
[12]: val_loss, val_acc = classifier.evaluate(val_features, val_labels)
print(f'Classifier Validation Loss: {val_loss:.2f}')
print(f'Classifier Validation Accuracy: {val_acc:.2%}')
```

# Classifier Training Visualization

```
[13]: acc = history.history['accuracy']
    val_acc = history.history['val_accuracy']
    loss = history.history['loss']
    val_loss = history.history['val_loss']
    epochs = range(1, len(acc) + 1)

plt.figure(figsize=(12, 6))
    plt.subplot(1, 2, 1)
    plt.plot(epochs, acc, 'bo', label='Training acc')
    plt.plot(epochs, val_acc, 'b', label='Validation acc')
    plt.title('Training and validation accuracy')
```

```
plt.legend()

plt.subplot(1, 2, 2)

plt.plot(epochs, loss, 'bo', label='Training loss')

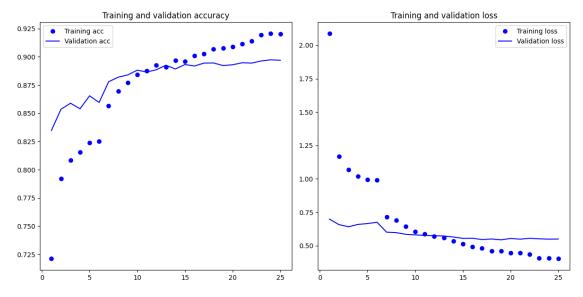
plt.plot(epochs, val_loss, 'b', label='Validation loss')

plt.title('Training and validation loss')

plt.legend()

plt.tight_layout()

plt.show()
```



- Analyzing the training and validation, accuracy and loss over the epochs:
  - We see that the model begins overfitting slightly after the **16th** epoch.
  - The validation accuracy stops improving significantly after the **17th** epoch while the training accuracy keeps improving.
  - The validation loss stops improving significantly after the 17th epoch while the training loss keeps improving.
  - The best model, based on validation loss, is saved on the **19th** epoch.
  - The training stops after the **25th** epoch because of the **Early Stopping** callback.

## Building the Full Model with the VGG16 Convolutional Base and the Classifier

```
[14]: inputs = keras.Input(shape=(IMG_SIZE, IMG_SIZE, 3))
    x = keras.applications.vgg16.preprocess_input(inputs)
    x = conv_base(x)
    outputs = classifier(x)
    model = keras.Model(inputs, outputs)
```

```
model.compile(
    loss=loss_function,
    optimizer=optimizer,
    metrics=["accuracy"])

model.save('../models/05_model_t_tl_feat_ext_rmsprop_model.h5')
model.summary()
```

Model: "model\_1"

Layer (type)	Output Shape	Param #	
input_3 (InputLayer)	[(None, 128, 128, 3)]	0	
<pre>tfoperatorsgetitem ( SlicingOpLambda)</pre>	(None, 128, 128, 3)	0	
<pre>tf.nn.bias_add (TFOpLambda )</pre>	(None, 128, 128, 3)	0	
vgg16 (Functional)	(None, 4, 4, 512)	14714688	
model (Functional)	(None, 10)	4199946	
Total params: 18914634 (72.15 MB) Trainable params: 4199946 (16.02 MB) Non-trainable params: 14714688 (56.13 MB)			

-----

# **Model Testing**

```
[15]: test_labels = []
  test_predictions = []
  test_probabilities = []

for images, labels in test_dataset:
    test_labels.extend(labels.numpy())
    predictions = model.predict(images)
    test_predictions.extend(np.argmax(predictions, axis=-1))
    test_probabilities.extend(predictions)

test_labels = np.array(test_labels)
  test_predictions = np.array(test_predictions)

test_probabilities = np.array(test_probabilities)
```

```
2/2 [=======] - 1s 623ms/step
```

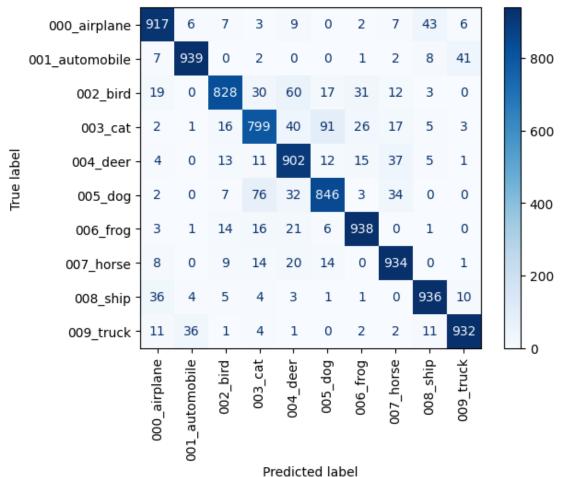
```
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```

```
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2/2 [=======] - 1s 688ms/step
2/2 [=======] - 1s 687ms/step
2/2 [======] - 1s 717ms/step
```

#### Confusion Matrix

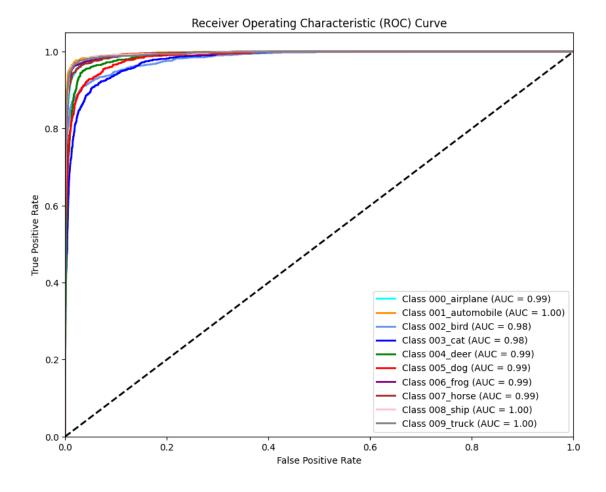
[16]: cm = confusion\_matrix(test\_labels, test\_predictions)
 disp = ConfusionMatrixDisplay(confusion\_matrix=cm, display\_labels=class\_names)
 disp.plot(cmap=plt.cm.Blues, xticks\_rotation=90)
 plt.show()



- Looking at the confusion matrix, we see that:
  - The model has a hard time distinguishing the categories 003 cat and 005 dog.
  - The model has a very low performance on the category 003\_cat.
  - The model performs better on the vehicle categories than on the animal categories.
  - The model has a below average performance on the categories 005\_dog and 002\_bird, in which we see a very high false positive rate.
  - The model also has a hard time distinguishing between some other categories but the deviation is not as significant.
  - The model has an above average performance on the categories 000\_airplane, 001\_automobile, 006\_frog, 008\_ship and 009\_truck.
  - Basically, the model has the same error distribution but with higher accuracy.

### **ROC Curve Analysis**

```
[17]: test_labels_bin = label_binarize(test_labels, classes=range(NUM_CLASSES))
     false positive rate = dict()
     true_positive_rate = dict()
     roc_auc = dict()
     for i in range(NUM CLASSES):
         false_positive_rate[i], true_positive_rate[i], _ =_
       Groc_curve(test_labels_bin[:, i], test_probabilities[:, i])
         roc_auc[i] = auc(false_positive_rate[i], true_positive_rate[i])
     plt.figure(figsize=(10, 8))
     colors = cycle(['aqua', 'darkorange', 'cornflowerblue', 'blue', 'green', 'red', |
       for i, color in zip(range(NUM_CLASSES), colors):
         plt.plot(false_positive_rate[i], true_positive_rate[i], color=color, lw=2,__
       ⇔label=f'Class {class_names[i]} (AUC = {roc_auc[i]:.2f})')
     plt.plot([0, 1], [0, 1], 'k--', lw=2)
     plt.xlim([0.0, 1.0])
     plt.ylim([0.0, 1.05])
     plt.xlabel('False Positive Rate')
     plt.ylabel('True Positive Rate')
     plt.title('Receiver Operating Characteristic (ROC) Curve')
     plt.legend(loc="lower right")
     plt.show()
```



## • Looking at the ROC curve:

- We see that the model has a good performance on the ROC curve for most categories.
- The categories 003\_cat and 002\_bird have the worst AUC (Area Under Curve) performance.
- The other categories have the same performance but with higher AUC.
- The category 001\_automobile, 008\_ship and 009\_truck has the best AUC performance.
- The overall AUC performance increases as the false positive rate decreases and the true positive rate increases.
- A perfect AUC of 1.0 would mean that the model classifies all images either true positives or true negatives.

### Performance Metrics

- Accuracy is the proportion of correctly predicted instances out of the total instances.
- **Precision** is the ratio of true positive predictions to the total predicted positives. Macro precision calculates this for each class independently and then averages them.

- Weighted precision calculates the precision for each class, then averages them, weighted by the number of true instances for each class.
- **Recall** is the ratio of true positive predictions to the total actual positives. Macro recall calculates this for each class independently and then averages them.
- Weighted recall calculates the recall for each class, then averages them, weighted by the number of true instances for each class.
- The **F1-score** is the harmonic mean of precision and recall. Macro F1-score calculates this for each class independently and then averages them.
- Weighted F1-score calculates the F1-score for each class, then averages them, weighted by the number of true instances for each class.

```
[18]: | acc = accuracy_score(y_true = test_labels, y_pred = test_predictions)
      print(f'Accuracy : {np.round(acc*100,2)}%')
      precision = precision_score(y_true = test_labels, y_pred = test_predictions,__
       ⇔average='macro')
      print(f'Precision - Macro: {np.round(precision*100,2)}%')
      recall = recall_score(y_true = test_labels, y_pred = test_predictions,_
       ⇔average='macro')
      print(f'Recall - Macro: {np.round(recall*100,2)}%')
      f1 = f1_score(y_true = test_labels, y_pred = test_predictions, average='macro')
      print(f'F1-score - Macro: {np.round(f1*100,2)}%')
      precision = precision_score(y_true = test_labels, y_pred = test_predictions,_
       ⇔average='weighted')
      print(f'Precision - Weighted: {np.round(precision*100,2)}%')
      recall = recall_score(y_true = test_labels, y_pred = test_predictions,_
       ⇔average='weighted')
      print(f'Recall - Weighted: {np.round(recall*100,2)}%')
      f1 = f1_score(y_true = test_labels, y_pred = test_predictions,_
       ⇔average='weighted')
      print(f'F1-score - Weighted: {np.round(f1*100,2)}%')
```

Accuracy: 89.71%
Precision - Macro: 89.76%
Recall - Macro: 89.71%
F1-score - Macro: 89.69%
Precision - Weighted: 89.76%
Recall - Weighted: 89.71%
F1-score - Weighted: 89.69%

• Since the dataset is balanced, the MACRO\*\* average is a good metric to evaluate the model.\*\*

# 2 Conclusion

## **2.0.1** Summary

- Before this notebook:
  - We resized our images to be the  $128 \times 128 \times 3$ .
  - Our reasoning was up scaling by a factor of 4.
- In this notebook:
  - We extracted feature maps from our train and validation datasets using the convolutional base of the VGG16.
  - We trained a classifier with those extracted features:
    - \* We used the Root Mean Squared Propagation (RMSProp) optimizer with an initial learning rate of 0.001.
    - \* We kept the same 30 epochs with a batch size of 64.
  - We evaluated the classifier on the validation dataset:
    - \* Overfitting was observed after 16 epochs, but the best classifier was saved at the 19th epoch.
    - \* Training was intended for 30 epochs but stopped early due to the **Early Stopping** callback.
  - We then joined the VGG16 Convolutional Base with our Classifier
  - We tested the resulting model on the test set:
    - \* We evaluated the model using a confusion matrix to analyze its performance on each category.
    - \* We evaluated the model using ROC curves for a deeper performance analysis.
    - \* The model achieved an accuracy of 89.71% on the test set, which was a good improvement.

#### 2.0.2 Future Work

- In the next notebook:
  - Implement and train a transfer learning model with the VGG16 convolutional base frozen and a new classifier.
  - Experiment with **custom** data augmentation to improve classifier generalization
  - We will use 50 Epochs with a batch size of 64.
  - Test the model performance.