02 model s deepen widen enhance rmsprop

June 10, 2024

1 Model S - Deepened, Widened, Enhanced with RMSprop Optimizer

- **32** x **32** x **3** Image size.
- 64 Batch size.
- Root Mean Squared (RMSprop) optimizer.
- 0.001 Initial Learning rate.
- Sparse Categorical Cross-Entropy loss function.
- Reduce Learning Rate on Plateau callback with a 0.1 factor and patience of 3.
- Early Stopping callback with patience of 6 and restore best weights.
- Model Checkpoint callback to save the best model based on validation loss.
- 3 Convolutional blocks with 2 layers each of 32, 64 and 128 filters, with ReLU activation.
- Batch Normalization after each Convolutional layer.
- 3 MaxPooling layers with 2 x 2 pool size.
- 3 x 3 Convolutional kernel size.
- Padding is valid, in this case 1.
- 4 x 4 x 128 Tensor before the Flatten layer.
- 256 Dense layer with ReLU activation.
- 10 Dense output layer with Softmax activation.
- Dropout layers with **0.5** rate after the Flatten and Dense layers.
- 815 018 Trainable Parameters.
- **30** Epochs.
- Evaluate the model on the **Validation** dataset.
- Test the model on the **Test** dataset.

Imports and Setup

```
[1]: import os
    os.environ['TF_CPP_MIN_LOG_LEVEL'] = '3'
    import tensorflow as tf
    print(f'TensorFlow version: {tf.__version__}')
    tf.get_logger().setLevel('ERROR')
    tf.autograph.set_verbosity(3)
    import matplotlib.pyplot as plt
    import pickle
```

```
import numpy as np
from tensorflow.keras.utils import image_dataset_from_directory
from tensorflow import keras
from tensorflow.keras import callbacks, layers, models, regularizers
from sklearn.metrics import confusion_matrix, ConfusionMatrixDisplay_

-,accuracy_score, precision_score, recall_score, f1_score, roc_curve, auc
from sklearn.preprocessing import label_binarize
from itertools import cycle
```

TensorFlow version: 2.15.0

Group Datasets

Create Datasets

```
[3]: IMG_SIZE = 32
    BATCH_SIZE = 64
    NUM CLASSES = 10
    train_datasets = [image_dataset_from_directory(directory, image_size=(IMG_SIZE,_
     →IMG_SIZE), batch_size=BATCH_SIZE) for directory in train_dirs]
    train_dataset = train_datasets[0]
    for dataset in train_datasets[1:]:
        train_dataset = train_dataset.concatenate(dataset)
    train_dataset = train_dataset.shuffle(buffer_size=1000).prefetch(buffer_size=tf.
     →data.AUTOTUNE)
    validation_dataset = image_dataset_from_directory(validation_dir,_
     →image_size=(IMG_SIZE, IMG_SIZE), batch_size=BATCH_SIZE).
     →prefetch(buffer_size=tf.data.AUTOTUNE)
    test_dataset = image_dataset_from_directory(test_dir, image_size=(IMG_SIZE,__
     class_names = train_datasets[0].class_names
    for data_batch, labels_batch in train_dataset.take(1):
        print('data batch shape:', data_batch.shape)
        print('labels batch shape:', labels_batch.shape)
```

```
Found 10000 files belonging to 10 classes. data batch shape: (64, 32, 32, 3) labels batch shape: (64,)
```

- We define the image size of 32 x 32 x 3, batch size of 64 and create an array with the label's names.
- We create the train dataset by concatenating them, we **shuffle** the samples before each epoch and **prefetch** them to memory.
- We do the same for the validation and test dataset except shuffling which is unwanted for these datasets.

Model Architecture

```
[4]: inputs = keras.Input(shape=(IMG_SIZE, IMG_SIZE, 3))
     x = layers.Rescaling(1./255)(inputs)
     x = layers.Conv2D(filters=32, kernel_size=3, activation="relu", __
      →padding="same")(x)
     x = layers.BatchNormalization()(x)
     x = layers.Conv2D(filters=32, kernel_size=3, activation="relu", __
      →padding="same")(x)
     x = layers.BatchNormalization()(x)
     x = layers.MaxPooling2D(pool_size=2)(x)
     x = layers.Conv2D(filters=64, kernel_size=3, activation="relu", __
      →padding="same")(x)
     x = layers.BatchNormalization()(x)
     x = layers.Conv2D(filters=64, kernel_size=3, activation="relu", __
      →padding="same")(x)
     x = layers.BatchNormalization()(x)
     x = layers.MaxPooling2D(pool_size=2)(x)
     x = layers.Conv2D(filters=128, kernel_size=3, activation="relu", __
      →padding="same")(x)
     x = layers.BatchNormalization()(x)
     x = layers.Conv2D(filters=128, kernel_size=3, activation="relu", __
      ⇔padding="same")(x)
     x = layers.BatchNormalization()(x)
     x = layers.MaxPooling2D(pool_size=2)(x)
     x = layers.Flatten()(x)
     x = layers.Dropout(0.5)(x)
     x = layers.Dense(256, activation="relu")(x)
     x = layers.Dropout(0.5)(x)
```

outputs = layers.Dense(NUM_CLASSES, activation="softmax")(x)
model = models.Model(inputs=inputs, outputs=outputs)
model.summary()

Model: "model"

Layer (type)	Output Shape	Param #
input_1 (InputLayer)	[(None, 32, 32, 3)]	0
rescaling (Rescaling)	(None, 32, 32, 3)	0
conv2d (Conv2D)	(None, 32, 32, 32)	896
<pre>batch_normalization (Batch Normalization)</pre>	(None, 32, 32, 32)	128
conv2d_1 (Conv2D)	(None, 32, 32, 32)	9248
<pre>batch_normalization_1 (Bat chNormalization)</pre>	(None, 32, 32, 32)	128
<pre>max_pooling2d (MaxPooling2 D)</pre>	(None, 16, 16, 32)	0
conv2d_2 (Conv2D)	(None, 16, 16, 64)	18496
<pre>batch_normalization_2 (Bat chNormalization)</pre>	(None, 16, 16, 64)	256
conv2d_3 (Conv2D)	(None, 16, 16, 64)	36928
<pre>batch_normalization_3 (Bat chNormalization)</pre>	(None, 16, 16, 64)	256
<pre>max_pooling2d_1 (MaxPoolin g2D)</pre>	(None, 8, 8, 64)	0
conv2d_4 (Conv2D)	(None, 8, 8, 128)	73856
<pre>batch_normalization_4 (Bat chNormalization)</pre>	(None, 8, 8, 128)	512
conv2d_5 (Conv2D)	(None, 8, 8, 128)	147584
<pre>batch_normalization_5 (Bat chNormalization)</pre>	(None, 8, 8, 128)	512

```
max_pooling2d_2 (MaxPoolin (None, 4, 4, 128)
g2D)
flatten (Flatten)
                       (None, 2048)
                                           0
dropout (Dropout)
                       (None, 2048)
dense (Dense)
                       (None, 256)
                                           524544
                       (None, 256)
dropout_1 (Dropout)
dense_1 (Dense)
                       (None, 10)
                                           2570
______
Total params: 815914 (3.11 MB)
Trainable params: 815018 (3.11 MB)
Non-trainable params: 896 (3.50 KB)
                _____
```

Model Compilation

Model Training

callbacks=callbacks)

```
Epoch 1/30
0.3832
Epoch 1: val_loss improved from inf to 1.36833, saving model to
../models/02_model_s_deepen_widen_enhance_rmsprop.keras
accuracy: 0.3832 - val_loss: 1.3683 - val_accuracy: 0.5059 - lr: 0.0010
Epoch 2/30
628/628 [============= ] - ETA: Os - loss: 1.3130 - accuracy:
0.5365
Epoch 2: val loss improved from 1.36833 to 1.29688, saving model to
../models/02_model_s_deepen_widen_enhance_rmsprop.keras
628/628 [============ ] - 56s 89ms/step - loss: 1.3130 -
accuracy: 0.5365 - val_loss: 1.2969 - val_accuracy: 0.5659 - lr: 0.0010
Epoch 3/30
628/628 [============= ] - ETA: Os - loss: 1.1322 - accuracy:
0.6149
Epoch 3: val_loss improved from 1.29688 to 1.06904, saving model to
../models/02_model_s_deepen_widen_enhance_rmsprop.keras
accuracy: 0.6149 - val_loss: 1.0690 - val_accuracy: 0.6288 - lr: 0.0010
Epoch 4/30
628/628 [============= ] - ETA: Os - loss: 1.0178 - accuracy:
0.6607
Epoch 4: val_loss did not improve from 1.06904
628/628 [============ ] - 56s 89ms/step - loss: 1.0178 -
accuracy: 0.6607 - val_loss: 1.6596 - val_accuracy: 0.5828 - lr: 0.0010
Epoch 5/30
Epoch 5: val_loss improved from 1.06904 to 0.86893, saving model to
../models/02_model_s_deepen_widen_enhance_rmsprop.keras
628/628 [=========== ] - 57s 90ms/step - loss: 0.9234 -
accuracy: 0.6895 - val_loss: 0.8689 - val_accuracy: 0.7061 - lr: 0.0010
Epoch 6/30
0.7151
Epoch 6: val_loss did not improve from 0.86893
628/628 [=========== ] - 57s 90ms/step - loss: 0.8560 -
accuracy: 0.7151 - val_loss: 1.0259 - val_accuracy: 0.6498 - lr: 0.0010
Epoch 7/30
0.7387
Epoch 7: val_loss did not improve from 0.86893
628/628 [============ ] - 57s 90ms/step - loss: 0.7856 -
```

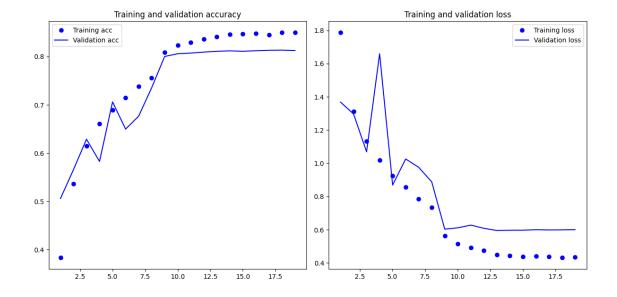
```
accuracy: 0.7387 - val_loss: 0.9757 - val_accuracy: 0.6763 - lr: 0.0010
Epoch 8/30
628/628 [============= ] - ETA: Os - loss: 0.7346 - accuracy:
Epoch 8: ReduceLROnPlateau reducing learning rate to 0.00010000000474974513.
Epoch 8: val loss did not improve from 0.86893
628/628 [========== ] - 57s 90ms/step - loss: 0.7346 -
accuracy: 0.7562 - val_loss: 0.8880 - val_accuracy: 0.7353 - lr: 0.0010
Epoch 9/30
0.8088
Epoch 9: val_loss improved from 0.86893 to 0.60316, saving model to
../models/02_model_s_deepen_widen_enhance_rmsprop.keras
628/628 [============ ] - 57s 90ms/step - loss: 0.5625 -
accuracy: 0.8088 - val_loss: 0.6032 - val_accuracy: 0.8002 - lr: 1.0000e-04
Epoch 10/30
0.8235
Epoch 10: val loss did not improve from 0.60316
628/628 [============= ] - 57s 89ms/step - loss: 0.5149 -
accuracy: 0.8235 - val_loss: 0.6111 - val_accuracy: 0.8058 - lr: 1.0000e-04
Epoch 11/30
0.8288
Epoch 11: val_loss did not improve from 0.60316
628/628 [=========== ] - 57s 91ms/step - loss: 0.4914 -
accuracy: 0.8288 - val_loss: 0.6275 - val_accuracy: 0.8072 - lr: 1.0000e-04
Epoch 12/30
628/628 [============== ] - ETA: Os - loss: 0.4745 - accuracy:
0.8357
Epoch 12: ReduceLROnPlateau reducing learning rate to 1.0000000474974514e-05.
Epoch 12: val_loss did not improve from 0.60316
628/628 [============ ] - 57s 89ms/step - loss: 0.4745 -
accuracy: 0.8357 - val_loss: 0.6083 - val_accuracy: 0.8092 - lr: 1.0000e-04
Epoch 13/30
0.8409
Epoch 13: val_loss improved from 0.60316 to 0.59494, saving model to
../models/02_model_s_deepen_widen_enhance_rmsprop.keras
628/628 [=========== ] - 57s 90ms/step - loss: 0.4492 -
accuracy: 0.8409 - val_loss: 0.5949 - val_accuracy: 0.8109 - lr: 1.0000e-05
Epoch 14/30
628/628 [============= ] - ETA: Os - loss: 0.4424 - accuracy:
Epoch 14: val_loss did not improve from 0.59494
628/628 [============= ] - 56s 88ms/step - loss: 0.4424 -
```

```
accuracy: 0.8459 - val_loss: 0.5965 - val_accuracy: 0.8118 - lr: 1.0000e-05
   Epoch 15/30
   628/628 [============= ] - ETA: Os - loss: 0.4368 - accuracy:
   Epoch 15: val loss did not improve from 0.59494
   accuracy: 0.8472 - val_loss: 0.5969 - val_accuracy: 0.8109 - lr: 1.0000e-05
   Epoch 16/30
   628/628 [============= ] - ETA: Os - loss: 0.4400 - accuracy:
   0.8483
   Epoch 16: ReduceLROnPlateau reducing learning rate to 1.0000000656873453e-06.
   Epoch 16: val_loss did not improve from 0.59494
   accuracy: 0.8483 - val_loss: 0.5996 - val_accuracy: 0.8120 - lr: 1.0000e-05
   Epoch 17/30
   628/628 [============= ] - ETA: Os - loss: 0.4391 - accuracy:
   0.8448
   Epoch 17: val_loss did not improve from 0.59494
   accuracy: 0.8448 - val_loss: 0.5983 - val_accuracy: 0.8130 - lr: 1.0000e-06
   Epoch 18/30
   628/628 [============== ] - ETA: Os - loss: 0.4306 - accuracy:
   Epoch 18: val_loss did not improve from 0.59494
   628/628 [============ ] - 55s 87ms/step - loss: 0.4306 -
   accuracy: 0.8497 - val_loss: 0.5988 - val_accuracy: 0.8133 - lr: 1.0000e-06
   Epoch 19/30
   628/628 [============== ] - ETA: Os - loss: 0.4344 - accuracy:
   0.8494
   Epoch 19: ReduceLROnPlateau reducing learning rate to 1.0000001111620805e-07.
   Restoring model weights from the end of the best epoch: 13.
   Epoch 19: val_loss did not improve from 0.59494
   628/628 [============] - 56s 89ms/step - loss: 0.4344 -
   accuracy: 0.8494 - val_loss: 0.5999 - val_accuracy: 0.8125 - lr: 1.0000e-06
   Epoch 19: early stopping
   Save Model History
[7]: | with open("../history/02_model_s_deepen_widen_enhance_rmsprop.pkl", "wb") as__
       pickle.dump(history.history, file)
```

Model Evaluation

Model Training Visualization

```
[9]: | acc = history.history['accuracy']
     val acc = history.history['val accuracy']
     loss = history.history['loss']
     val_loss = history.history['val_loss']
     epochs = range(1, len(acc) + 1)
     plt.figure(figsize=(12, 6))
     plt.subplot(1, 2, 1)
     plt.plot(epochs, acc, 'bo', label='Training acc')
     plt.plot(epochs, val_acc, 'b', label='Validation acc')
     plt.title('Training and validation accuracy')
     plt.legend()
     plt.subplot(1, 2, 2)
     plt.plot(epochs, loss, 'bo', label='Training loss')
     plt.plot(epochs, val_loss, 'b', label='Validation loss')
     plt.title('Training and validation loss')
     plt.legend()
     plt.tight_layout()
     plt.show()
```



- Analyzing the training and validation, accuracy and loss over the epochs:
 - We see that the model begins overfitting after the **10th** epoch.
 - The validation accuracy stops improving significantly after the **10th** epoch while the training accuracy keeps improving.
 - The validation loss stops improving significantly after the **9th** epoch while the training loss keeps improving.
 - The best model, based on validation loss, is saved on the **16th** epoch.
 - The training stops after the **19th** epoch because of the **Early Stopping** callback.

Model Testing

```
[10]: test_labels = []
      test_predictions = []
      test_probabilities = []
      for images, labels in test_dataset:
          test_labels.extend(labels.numpy())
          predictions = model.predict(images)
          test_predictions.extend(np.argmax(predictions, axis=-1))
          test_probabilities.extend(predictions)
      test_labels = np.array(test_labels)
      test_predictions = np.array(test_predictions)
      test_probabilities = np.array(test_probabilities)
                                =======] - Os 9ms/step
```

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Os 9ms/step

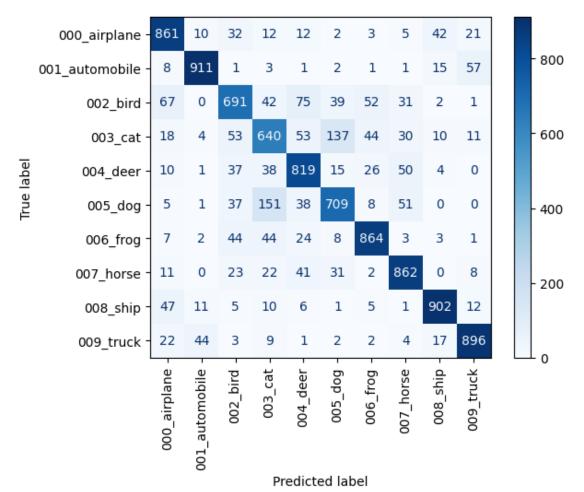
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Confusion Matrix

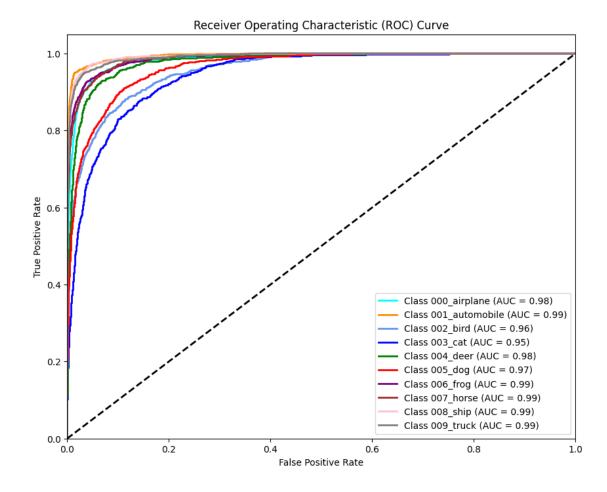
[11]: cm = confusion_matrix(test_labels, test_predictions)
 disp = ConfusionMatrixDisplay(confusion_matrix=cm, display_labels=class_names)
 disp.plot(cmap=plt.cm.Blues, xticks_rotation=90)
 plt.show()



- Looking at the confusion matrix, we see that:
 - The model has a hard time distinguishing the categories 003_cat and 005_dog.
 - The model has a very low performance on the category 003 cat.
 - The model performs better on the vehicle categories than on the animal categories.
 - The model has a below average performance on the categories 005_dog, 002_bird and 003_deer, in which we see a very high false positive rate.
 - The model also has a hard time distinguishing between some other categories but the deviation is not as significant.
 - The model has an above average performance on the categories 000_airplane, 001_automobile, 006_frog, 008_ship and 009_truck.
 - Basically, the model has the same error distribution but with higher accuracy.

ROC Curve Analysis

```
[12]: test_labels_bin = label_binarize(test_labels, classes=range(NUM_CLASSES))
     false_positive_rate = dict()
     true positive rate = dict()
     roc auc = dict()
     for i in range(NUM_CLASSES):
         false positive rate[i], true positive rate[i], =
       Groc_curve(test_labels_bin[:, i], test_probabilities[:, i])
         roc_auc[i] = auc(false_positive_rate[i], true_positive_rate[i])
     plt.figure(figsize=(10, 8))
     colors = cycle(['aqua', 'darkorange', 'cornflowerblue', 'blue', 'green', 'red', _
       for i, color in zip(range(NUM_CLASSES), colors):
         plt.plot(false_positive_rate[i], true_positive_rate[i], color=color, lw=2,__
       ⇔label=f'Class {class_names[i]} (AUC = {roc_auc[i]:.2f})')
     plt.plot([0, 1], [0, 1], 'k--', lw=2)
     plt.xlim([0.0, 1.0])
     plt.ylim([0.0, 1.05])
     plt.xlabel('False Positive Rate')
     plt.ylabel('True Positive Rate')
     plt.title('Receiver Operating Characteristic (ROC) Curve')
     plt.legend(loc="lower right")
     plt.show()
```



• Looking at the ROC curve:

- We see that the model has a decent performance on the ROC curve for most of the categories.
- The categories 003_cat, 002_bird, 005_dog and 004_deer have the worst AUC (Area Under Curve) performance.
- The other categories have the same performance but with higher AUC.
- The category 001_automobile has the best AUC performance.
- The overall AUC performance increases as the false positive rate decreases and the true positive rate increases.
- A perfect AUC of 1.0 would mean that the model classifies all images either true positives or true negatives.

Performance Metrics

- Accuracy is the proportion of correctly predicted instances out of the total instances.
- Precision is the ratio of true positive predictions to the total predicted positives. Macro

precision calculates this for each class independently and then averages them.

- Weighted precision calculates the precision for each class, then averages them, weighted by the number of true instances for each class.
- **Recall** is the ratio of true positive predictions to the total actual positives. Macro recall calculates this for each class independently and then averages them.
- Weighted recall calculates the recall for each class, then averages them, weighted by the number of true instances for each class.
- The **F1-score** is the harmonic mean of precision and recall. Macro F1-score calculates this for each class independently and then averages them.
- Weighted F1-score calculates the F1-score for each class, then averages them, weighted by the number of true instances for each class.

```
[13]: | acc = accuracy_score(y_true = test_labels, y_pred = test_predictions)
      print(f'Accuracy : {np.round(acc*100,2)}%')
      precision = precision_score(y_true = test_labels, y_pred = test_predictions,__
       →average='macro')
      print(f'Precision - Macro: {np.round(precision*100,2)}%')
      recall = recall_score(y_true = test_labels, y_pred = test_predictions,_
       →average='macro')
      print(f'Recall - Macro: {np.round(recall*100,2)}%')
      f1 = f1_score(y_true = test_labels, y_pred = test_predictions, average='macro')
      print(f'F1-score - Macro: {np.round(f1*100,2)}%')
      precision = precision_score(y_true = test_labels, y_pred = test_predictions,__
       ⇔average='weighted')
      print(f'Precision - Weighted: {np.round(precision*100,2)}%')
      recall = recall_score(y_true = test_labels, y_pred = test_predictions,_
       →average='weighted')
      print(f'Recall - Weighted: {np.round(recall*100,2)}%')
      f1 = f1_score(y_true = test_labels, y_pred = test_predictions,_
       ⇔average='weighted')
      print(f'F1-score - Weighted: {np.round(f1*100,2)}%')
```

Accuracy: 81.55%
Precision - Macro: 81.46%
Recall - Macro: 81.55%
F1-score - Macro: 81.47%
Precision - Weighted: 81.46%
Recall - Weighted: 81.55%
F1-score - Weighted: 81.47%

• Since the dataset is balanced, the MACRO** average is a good metric to evaluate the model.**

2 Conclusion

2.0.1 Summary

- In this notebook:
 - We deepened, widened and enhanced the architecture by:
 - * We added 3 more convolutional layers.
 - * We used a 256 unit dense layer.
 - * We added batch normalization after each convolution.
 - * We used a dropout rate of 0.5 after the flatten and dense layers.
 - We used the Root Mean Squared Propagation (RMSProp) optimizer with an initial learning rate of 0.001.
 - We kept the same 30 epochs with a batch size of 64.
 - We evaluated the model on the validation dataset:
 - * Overfitting was observed after 10 epochs, but the best model was saved at the 16th epoch.
 - * Training was intended for 30 epochs but stopped early due to the **Early Stopping** callback.
 - We evaluated the model on the test dataset:
 - * We evaluated the model using a confusion matrix to analyze its performance on each category.
 - * We evaluated the model using ROC curves for a deeper performance analysis.
 - * The model achieved an accuracy of **81.55**% on the test set, which is a noticeable improvement.

2.0.2 Future Work

- In the next notebook:
 - We will implement a few measures to improve the model's performance:
 - * We will use data augmentation techniques.
 - * We will use L2 regularization to both the convolutional and dense layers.
 - We will use the Adaptive Moment Estimation (Adam) optimizer.
 - We will train for 40 epochs this time, with a batch size of 64.