07_model_t_tl_fine_tuning_adam

June 10, 2024

1 Model T - Transfer Learning, Fine-tuning, Adaptive Moment Estimation (Adam)

- $128 \times 128 \times 3$ Image size.
- 64 Batch size.
- Load our previously trained model with Transfer Learning, Data Augmentation and Adaptive Moment Estimation (Adam).
- Unfreeze the last 4 convolutional layers of the VGG16 Convolutional Base.
- Fine-Tune the Model:
 - Adaptive Moment Estimation (Adam) optimizer.
 - **0.0001** Initial Learning rate.
 - Sparse Categorical Cross-Entropy loss function.
 - Reduce Learning Rate on Plateau callback with a 0.1 factor and patience of 3.
 - Early Stopping callback with patience of 6 and restore best weights.
 - Model Checkpoint callback to save the best model based on validation loss.
 - 11 279 370 Trainable Parameters.
 - 30 Epochs to fine-tune the model.
- Evaluate the model on the validation set.
- Test the model on the test set.

Imports and Setup

```
[1]: import os
    os.environ['TF_CPP_MIN_LOG_LEVEL'] = '3'
    import tensorflow as tf
    print(f'TensorFlow version: {tf.__version__}')
    tf.get_logger().setLevel('ERROR')
    tf.autograph.set_verbosity(3)
    import matplotlib.pyplot as plt
    import pickle
    import numpy as np
    from tensorflow.keras.utils import image_dataset_from_directory
    from tensorflow import keras
    from tensorflow.keras import callbacks, optimizers
```

```
from sklearn.metrics import confusion_matrix, ConfusionMatrixDisplay_
,accuracy_score, precision_score, recall_score, f1_score, roc_curve, auc
from sklearn.preprocessing import label_binarize
from itertools import cycle
```

TensorFlow version: 2.15.0

Group Datasets

Create Datasets

```
[3]: BATCH_SIZE = 64
     NUM_CLASSES = 10
     train_datasets = [image_dataset_from_directory(directory, image_size=(IMG_SIZE,_
     →IMG_SIZE), batch_size=BATCH_SIZE) for directory in train_dirs]
     train_dataset = train_datasets[0]
     for dataset in train_datasets[1:]:
         train_dataset = train_dataset.concatenate(dataset)
     train_dataset = train_dataset.shuffle(buffer_size=1000).prefetch(buffer_size=tf.

¬data.AUTOTUNE)
     validation_dataset = image_dataset_from_directory(validation_dir,__
      →image_size=(IMG_SIZE, IMG_SIZE), batch_size=BATCH_SIZE).
      →prefetch(buffer_size=tf.data.AUTOTUNE)
     test_dataset = image_dataset_from_directory(test_dir, image_size=(IMG_SIZE,__
      →IMG_SIZE), batch_size=BATCH_SIZE).prefetch(buffer_size=tf.data.AUTOTUNE)
     class_names = train_datasets[0].class_names
     for data_batch, labels_batch in train_dataset.take(1):
         print('data batch shape:', data_batch.shape)
         print('labels batch shape:', labels_batch.shape)
```

Found 10000 files belonging to 10 classes.

```
Found 10000 files belonging to 10 classes. data batch shape: (64, 128, 128, 3) labels batch shape: (64,)
```

- We define the image size of 128 x 128 x 3, batch size of 64 and create an array with the label's names.
- We create the train dataset by concatenating them, we **shuffle** the samples before each epoch and **prefetch** them to memory.
- We do the same for the validation and test dataset except **shuffling** which is **unwanted** for these datasets.

Loading our previously trained Model

[4]: model = keras.models.load_model('../models/06_model_t_tl_data_augm_adam.h5')
model.summary()

Model: "model"

Layer (type)	• •	Param #
input_2 (InputLayer)		
sequential (Sequential)	(None, 128, 128, 3)	0
<pre>tfoperatorsgetitem (SlicingOpLambda)</pre>	(None, 128, 128, 3)	0
<pre>tf.nn.bias_add (TFOpLambda)</pre>	(None, 128, 128, 3)	0
vgg16 (Functional)	(None, None, None, 512)	14714688
flatten (Flatten)	(None, 8192)	0
dropout (Dropout)	(None, 8192)	0
dense (Dense)	(None, 512)	4194816
<pre>dropout_1 (Dropout)</pre>	(None, 512)	0
dense_1 (Dense)	(None, 10)	5130

```
_____
```

Total params: 18914634 (72.15 MB)
Trainable params: 4199946 (16.02 MB)
Non-trainable params: 14714688 (56.13 MB)

```
Previous Model Evaluation
```

```
[5]: val_loss, val_acc = model.evaluate(validation_dataset)
print('val_acc:', val_acc)
```

accuracy: 0.9036

val_acc: 0.9035999774932861

Unfreezing the 4 last layers of the VGG16 convolutional base

```
[6]: convbase = model.get_layer("vgg16")
    convbase.trainable = True
    for layer in convbase.layers[:-4]:
        layer.trainable = False
    for i, layer in enumerate(convbase.layers):
        print(i, layer.name, layer.trainable)
```

```
0 input_1 False
```

- 1 block1_conv1 False
- 2 block1_conv2 False
- 3 block1_pool False
- 4 block2_conv1 False
- 5 block2_conv2 False
- 6 block2_pool False
- 7 block3_conv1 False
- 8 block3_conv2 False
- 9 block3_conv3 False
- 10 block3_pool False
- 11 block4_conv1 False
- 12 block4_conv2 False
- 13 block4_conv3 False
- 14 block4_pool False
- 15 block5_conv1 True
- 16 block5_conv2 True
- 17 block5_conv3 True
- 18 block5_pool True

4

Model Compilation

```
[7]: initial_learning_rate = 0.0001
     optimizer = optimizers.Adam(learning_rate=initial_learning_rate)
     loss_function = keras.losses.SparseCategoricalCrossentropy()
     lr_scheduler = callbacks.ReduceLROnPlateau(monitor='val_loss', factor=0.1,__
      →patience=3, verbose=1)
     early_stopping = callbacks.EarlyStopping(monitor='val_loss', patience=6,__
      →restore_best_weights=True, verbose=1)
     save_best_model = callbacks.ModelCheckpoint(filepath='../models/
      ⇔07_model_t_tl_fine_tuning_adam.h5', save_best_only=True, monitor='val_loss',⊔
      ⇔verbose=1)
     callbacks = [lr_scheduler, early_stopping, save_best_model]
     model.compile(
         loss=loss_function,
         optimizer=optimizer,
         metrics=["accuracy"])
    model.summary()
```

Model: "model"

Layer (type)		
input_2 (InputLayer)		
sequential (Sequential)	(None, 128, 128, 3)	0
<pre>tfoperatorsgetitem (SlicingOpLambda)</pre>	(None, 128, 128, 3)	0
<pre>tf.nn.bias_add (TFOpLambda)</pre>	(None, 128, 128, 3)	0
vgg16 (Functional)	(None, None, None, 512)	14714688
flatten (Flatten)	(None, 8192)	0
dropout (Dropout)	(None, 8192)	0
dense (Dense)	(None, 512)	4194816
<pre>dropout_1 (Dropout)</pre>	(None, 512)	0
dense_1 (Dense)	(None, 10)	5130

Total params: 18914634 (72.15 MB)
Trainable params: 11279370 (43.03 MB)
Non-trainable params: 7635264 (29.13 MB)

Model Training

```
[8]: history = model.fit(
       train_dataset,
       epochs=30,
       validation_data=validation_dataset,
       callbacks=callbacks)
   Epoch 1/30
   628/628 [============= ] - ETA: Os - loss: 0.6073 - accuracy:
   0.8438
   Epoch 1: val loss improved from inf to 0.42839, saving model to
   ../models/07_model_t_tl_fine_tuning_adam.h5
   accuracy: 0.8438 - val_loss: 0.4284 - val_accuracy: 0.9014 - lr: 1.0000e-04
   /usr/local/lib/python3.9/dist-packages/keras/src/engine/training.py:3103:
   UserWarning: You are saving your model as an HDF5 file via `model.save()`. This
   file format is considered legacy. We recommend using instead the native Keras
   format, e.g. `model.save('my_model.keras')`.
     saving_api.save_model(
   Epoch 2/30
   Epoch 2: val_loss improved from 0.42839 to 0.37752, saving model to
   ../models/07_model_t_tl_fine_tuning_adam.h5
   accuracy: 0.8890 - val_loss: 0.3775 - val_accuracy: 0.9130 - lr: 1.0000e-04
   628/628 [============== ] - ETA: Os - loss: 0.4027 - accuracy:
   0.9082
   Epoch 3: val_loss improved from 0.37752 to 0.36604, saving model to
   ../models/07_model_t_tl_fine_tuning_adam.h5
   628/628 [============= ] - 1286s 2s/step - loss: 0.4027 -
   accuracy: 0.9082 - val_loss: 0.3660 - val_accuracy: 0.9193 - lr: 1.0000e-04
   Epoch 4/30
   628/628 [================= ] - ETA: Os - loss: 0.3500 - accuracy:
   Epoch 4: val_loss did not improve from 0.36604
```

628/628 [============] - 1287s 2s/step - loss: 0.3500 -

```
accuracy: 0.9208 - val_loss: 0.3683 - val_accuracy: 0.9257 - lr: 1.0000e-04
Epoch 5/30
628/628 [============= ] - ETA: Os - loss: 0.3143 - accuracy:
Epoch 5: val loss improved from 0.36604 to 0.35742, saving model to
../models/07 model t tl fine tuning adam.h5
628/628 [=========== ] - 1285s 2s/step - loss: 0.3143 -
accuracy: 0.9317 - val_loss: 0.3574 - val_accuracy: 0.9279 - lr: 1.0000e-04
Epoch 6/30
628/628 [============= ] - ETA: Os - loss: 0.2850 - accuracy:
0.9394
Epoch 6: val_loss did not improve from 0.35742
628/628 [============ ] - 1286s 2s/step - loss: 0.2850 -
accuracy: 0.9394 - val_loss: 0.3695 - val_accuracy: 0.9211 - lr: 1.0000e-04
Epoch 7/30
628/628 [============== ] - ETA: Os - loss: 0.2795 - accuracy:
0.9416
Epoch 7: val_loss improved from 0.35742 to 0.35116, saving model to
../models/07_model_t_tl_fine_tuning_adam.h5
accuracy: 0.9416 - val_loss: 0.3512 - val_accuracy: 0.9313 - lr: 1.0000e-04
Epoch 8/30
628/628 [============= ] - ETA: Os - loss: 0.2497 - accuracy:
0.9514
Epoch 8: val_loss improved from 0.35116 to 0.34461, saving model to
../models/07_model_t_tl_fine_tuning_adam.h5
628/628 [============ ] - 1286s 2s/step - loss: 0.2497 -
accuracy: 0.9514 - val_loss: 0.3446 - val_accuracy: 0.9299 - lr: 1.0000e-04
628/628 [============ ] - ETA: Os - loss: 0.2318 - accuracy:
0.9560
Epoch 9: val_loss improved from 0.34461 to 0.31969, saving model to
../models/07_model_t_tl_fine_tuning_adam.h5
628/628 [============ ] - 1285s 2s/step - loss: 0.2318 -
accuracy: 0.9560 - val_loss: 0.3197 - val_accuracy: 0.9349 - lr: 1.0000e-04
Epoch 10/30
628/628 [============= ] - ETA: Os - loss: 0.2103 - accuracy:
0.9614
Epoch 10: val_loss did not improve from 0.31969
628/628 [============ ] - 1285s 2s/step - loss: 0.2103 -
accuracy: 0.9614 - val_loss: 0.3432 - val_accuracy: 0.9319 - lr: 1.0000e-04
Epoch 11/30
628/628 [============= ] - ETA: Os - loss: 0.2073 - accuracy:
0.9622
Epoch 11: val_loss did not improve from 0.31969
628/628 [============ ] - 1285s 2s/step - loss: 0.2073 -
accuracy: 0.9622 - val_loss: 0.3902 - val_accuracy: 0.9257 - lr: 1.0000e-04
Epoch 12/30
```

```
628/628 [=============== ] - ETA: Os - loss: 0.1951 - accuracy:
0.9654
Epoch 12: ReduceLROnPlateau reducing learning rate to 9.999999747378752e-06.
Epoch 12: val loss did not improve from 0.31969
accuracy: 0.9654 - val_loss: 0.3228 - val_accuracy: 0.9385 - lr: 1.0000e-04
Epoch 13/30
628/628 [============= ] - ETA: Os - loss: 0.1393 - accuracy:
0.9811
Epoch 13: val_loss improved from 0.31969 to 0.30733, saving model to
../models/07_model_t_tl_fine_tuning_adam.h5
628/628 [============ ] - 1286s 2s/step - loss: 0.1393 -
accuracy: 0.9811 - val_loss: 0.3073 - val_accuracy: 0.9442 - lr: 1.0000e-05
Epoch 14/30
628/628 [============== ] - ETA: Os - loss: 0.1237 - accuracy:
0.9865
Epoch 14: val_loss did not improve from 0.30733
628/628 [============ ] - 1286s 2s/step - loss: 0.1237 -
accuracy: 0.9865 - val_loss: 0.3267 - val_accuracy: 0.9455 - lr: 1.0000e-05
Epoch 15/30
628/628 [============= ] - ETA: Os - loss: 0.1183 - accuracy:
Epoch 15: val_loss did not improve from 0.30733
628/628 [============= ] - 1285s 2s/step - loss: 0.1183 -
accuracy: 0.9873 - val_loss: 0.3228 - val_accuracy: 0.9473 - lr: 1.0000e-05
Epoch 16/30
628/628 [============= ] - ETA: Os - loss: 0.1136 - accuracy:
Epoch 16: ReduceLROnPlateau reducing learning rate to 9.999999747378752e-07.
Epoch 16: val_loss did not improve from 0.30733
628/628 [============ ] - 1279s 2s/step - loss: 0.1136 -
accuracy: 0.9885 - val_loss: 0.3323 - val_accuracy: 0.9481 - lr: 1.0000e-05
Epoch 17/30
628/628 [============== ] - ETA: Os - loss: 0.1069 - accuracy:
Epoch 17: val_loss did not improve from 0.30733
628/628 [============ ] - 1279s 2s/step - loss: 0.1069 -
accuracy: 0.9907 - val_loss: 0.3314 - val_accuracy: 0.9492 - lr: 1.0000e-06
Epoch 18/30
628/628 [============== ] - ETA: Os - loss: 0.1071 - accuracy:
0.9910
Epoch 18: val_loss did not improve from 0.30733
628/628 [=========== ] - 1281s 2s/step - loss: 0.1071 -
accuracy: 0.9910 - val_loss: 0.3302 - val_accuracy: 0.9486 - lr: 1.0000e-06
Epoch 19/30
628/628 [============= ] - ETA: Os - loss: 0.1062 - accuracy:
```

0.9912

Epoch 19: ReduceLROnPlateau reducing learning rate to 9.99999974752428e-08. Restoring model weights from the end of the best epoch: 13.

Save Model History

```
[9]: with open("../history/07_model_t_tl_fine_tuning_adam.pkl", "wb") as file:
    pickle.dump(history.history, file)
```

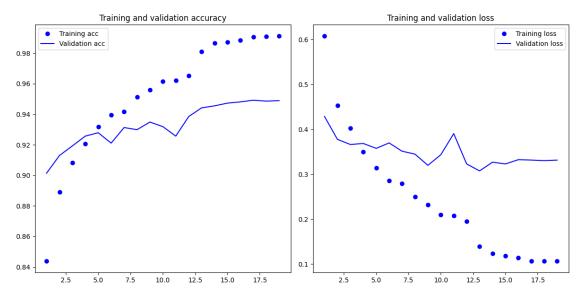
Model Evaluation

```
[10]: val_loss, val_acc = model.evaluate(validation_dataset)
print(f'Classifier Validation Loss: {val_loss:.2f}')
print(f'Classifier Validation Accuracy: {val_acc:.2%}')
```

Model Visualization

```
[11]: acc = history.history['accuracy']
      val acc = history.history['val accuracy']
      loss = history.history['loss']
      val_loss = history.history['val_loss']
      epochs = range(1, len(acc) + 1)
      plt.figure(figsize=(12, 6))
      plt.subplot(1, 2, 1)
      plt.plot(epochs, acc, 'bo', label='Training acc')
      plt.plot(epochs, val_acc, 'b', label='Validation acc')
      plt.title('Training and validation accuracy')
      plt.legend()
      plt.subplot(1, 2, 2)
      plt.plot(epochs, loss, 'bo', label='Training loss')
      plt.plot(epochs, val_loss, 'b', label='Validation loss')
      plt.title('Training and validation loss')
      plt.legend()
```

```
plt.tight_layout()
plt.show()
```



- Analyzing the training and validation, accuracy and loss over the epochs:
 - We see that the model begins overfitting on the **6th** epoch.
 - The validation accuracy stops improving significantly after the **13th** epoch while the training accuracy keeps improving.
 - The validation loss stops improving significantly after the **9th** epoch while the training loss keeps improving.
 - The best model, based on validation loss, is saved on the **13th** epoch.
 - The training stops after the **19th** epoch because of the **Early Stopping** callback.

Model Testing

```
[12]: test_labels = []
  test_predictions = []
  test_probabilities = []

for images, labels in test_dataset:
    test_labels.extend(labels.numpy())
    predictions = model.predict(images)
    test_predictions.extend(np.argmax(predictions, axis=-1))
    test_probabilities.extend(predictions)

test_labels = np.array(test_labels)
  test_predictions = np.array(test_predictions)

test_probabilities = np.array(test_probabilities)
```

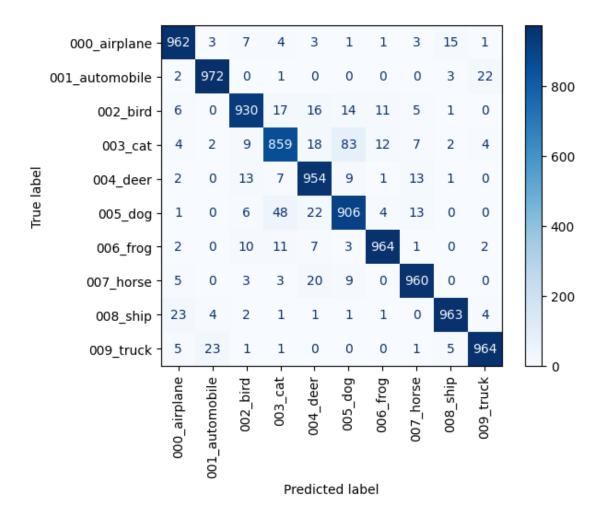
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```

Confusion Matrix

```
[13]: cm = confusion_matrix(test_labels, test_predictions)
    disp = ConfusionMatrixDisplay(confusion_matrix=cm, display_labels=class_names)
    disp.plot(cmap=plt.cm.Blues, xticks_rotation=90)
    plt.show()
```

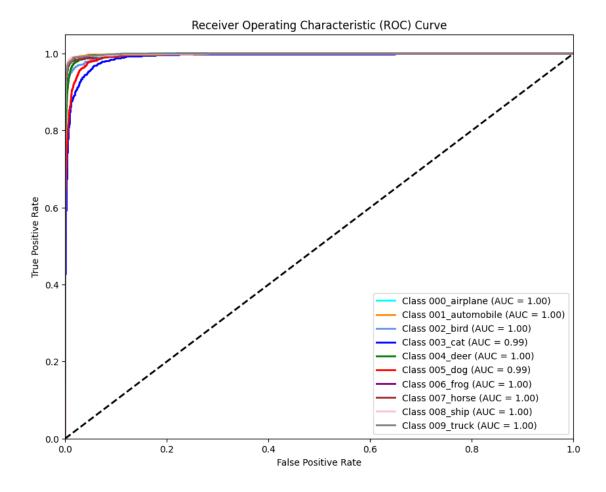


- Looking at the confusion matrix, we see that:
 - The model still has a hard time distinguishing between the categories 003_cat and 005_dog but with less error.
 - The model has a very low performance on the category 003 cat.
 - The model performs better on the vehicle categories than on the animal categories.
 - The model has a below average performance on the categories 002_bird, 003_cat and 005_dog, in which we see a very high false positive rate.
 - The model also has a hard time distinguishing between some other categories but the deviation is not as significant.
 - The model has an above average performance on the categories 000_airplane, 001_automobile, 006_frog, 007_horse 008_ship and 009_truck.
 - Basically, the model has the same error distribution but with higher accuracy.

ROC Curve Analysis

```
[14]: test_labels_bin = label_binarize(test_labels, classes=range(NUM_CLASSES))
      false_positive_rate = dict()
      true_positive_rate = dict()
      roc_auc = dict()
      for i in range(NUM_CLASSES):
          false_positive_rate[i], true_positive_rate[i], _ =__
       →roc_curve(test_labels_bin[:, i], test_probabilities[:, i])
          roc_auc[i] = auc(false_positive_rate[i], true_positive_rate[i])
      plt.figure(figsize=(10, 8))
      colors = cycle(['aqua', 'darkorange', 'cornflowerblue', 'blue', 'green', 'red', _

¬'purple', 'brown', 'pink', 'grey'])
      for i, color in zip(range(NUM_CLASSES), colors):
          plt.plot(false_positive_rate[i], true_positive_rate[i], color=color, lw=2,__
       ⇔label=f'Class {class_names[i]} (AUC = {roc_auc[i]:.2f})')
      plt.plot([0, 1], [0, 1], 'k--', lw=2)
      plt.xlim([0.0, 1.0])
      plt.ylim([0.0, 1.05])
      plt.xlabel('False Positive Rate')
      plt.ylabel('True Positive Rate')
      plt.title('Receiver Operating Characteristic (ROC) Curve')
      plt.legend(loc="lower right")
      plt.show()
```



- Looking at the ROC curve:
 - We see that the model has a good performance on the ROC curve for most categories.
 - The categories 003_cat and 005_dog have the worst AUC (Area Under Curve) performance.
 - The other categories have the same performance but with higher AUC.
 - The overall AUC performance increases as the false positive rate decreases and the true positive rate increases.
 - A perfect AUC of 1.0 would mean that the model classifies all images either true positives or true negatives.

Performance Metrics

- Accuracy is the proportion of correctly predicted instances out of the total instances.
- **Precision** is the ratio of true positive predictions to the total predicted positives. Macro precision calculates this for each class independently and then averages them.

- Weighted precision calculates the precision for each class, then averages them, weighted by the number of true instances for each class.
- **Recall** is the ratio of true positive predictions to the total actual positives. Macro recall calculates this for each class independently and then averages them.
- Weighted recall calculates the recall for each class, then averages them, weighted by the number of true instances for each class.
- The **F1-score** is the harmonic mean of precision and recall. Macro F1-score calculates this for each class independently and then averages them.
- Weighted F1-score calculates the F1-score for each class, then averages them, weighted by the number of true instances for each class.

```
[15]: acc = accuracy_score(y_true = test_labels, y_pred = test_predictions)
      print(f'Accuracy : {np.round(acc*100,2)}%')
      precision = precision_score(y_true = test_labels, y_pred = test_predictions,_
       →average='macro')
      print(f'Precision - Macro: {np.round(precision*100,2)}%')
      recall = recall_score(y_true = test_labels, y_pred = test_predictions,_
       →average='macro')
      print(f'Recall - Macro: {np.round(recall*100,2)}%')
      f1 = f1_score(y_true = test_labels, y_pred = test_predictions, average='macro')
      print(f'F1-score - Macro: {np.round(f1*100,2)}%')
      precision = precision_score(y_true = test_labels, y_pred = test_predictions,_
       ⇔average='weighted')
      print(f'Precision - Weighted: {np.round(precision*100,2)}%')
      recall = recall_score(y_true = test_labels, y_pred = test_predictions,_
       ⇔average='weighted')
      print(f'Recall - Weighted: {np.round(recall*100,2)}%')
      f1 = f1_score(y_true = test_labels, y_pred = test_predictions,_
       ⇔average='weighted')
      print(f'F1-score - Weighted: {np.round(f1*100,2)}%')
```

Accuracy: 94.34%
Precision - Macro: 94.35%
Recall - Macro: 94.34%
F1-score - Macro: 94.33%
Precision - Weighted: 94.35%
Recall - Weighted: 94.34%
F1-score - Weighted: 94.33%

• Since the dataset is balanced, the MACRO** average is a good metric to evaluate the model.**

2 Conclusion

2.0.1 Summary

- In this notebook:
 - We loaded our previously trained model with Transfer Learning, Data Augmentation and Adaptive Moment Estimation (Adam).
 - Unfroze the last 4 convolutional layers of the VGG16 Convolutional Base.
 - We fine-tuned the model:
 - * We used Adaptive Moment Estimation (Adam) optimizer.
 - * Initial learning rate of 0.0001.
 - * 30 Epochs with a batch size of 64, to fine-tune the model.
 - We evaluated the model on the validation dataset:
 - * Overfitting was observed after **5 epochs**, but the best model was saved at the **13th epoch**.
 - * Training was intended for 30 epochs but stopped early due to the **Early Stopping** callback.
 - We tested the fine-tuned model on the test set:
 - * We evaluated the model using a confusion matrix to analyze its performance on each category.
 - * We evaluated the model using ROC curves for a deeper performance analysis.
 - * The model achieved an accuracy of 94.34% on the test set which is a good improvement.

2.0.2 Future Work

• We will now implement an application to classify images using our **best model made from** scratch.