

# DYNAMICAL SYSTEMS MA3081

## Exercise sheet 10

**Exercise 1** (Normal forms for maps). We consider a diffeomorphism  $\Psi : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  such that for  $(x, y)^T \in \mathbb{R}^2$  in a neighborhood of  $(0, 0)^T$  one has

$$\Psi(x, y) = \begin{pmatrix} x + 2y + xy \\ 3y - x^2 + x^2y \end{pmatrix}.$$

Determine its normal form up to and including second order in a neighborhood of  $(0, 0)^T$ .

**Exercise 2** (Symbolic dynamics). Let  $N \in \mathbb{N} \setminus \{1\}$  and define the space on  $N$  symbols as  $\Sigma_N = \{0, 1, \dots, N-1\}^{\mathbb{N}_0}$ . Together with

$$d(x, y) = \sum_{n \in \mathbb{N}_0} \frac{|x_n - y_n|}{N^n}$$

$(\Sigma_N, d)$  is a metric space.

1. Show that  $d(x, y) \leq N^{-n}$  if  $x_j = y_j$  for all  $j \leq n$ , and that  $d(x, y) \geq N^{-n}$  if  $x_j \neq y_j$  for at least one  $j \leq n$ . In other words,  $x, y \in \Sigma_N$  are close if and only if their first  $n$  values coincide.
2. Show that  $\Sigma_N$  is a Cantor set, i.e. it is compact, perfect and totally disconnected.
3. On  $\Sigma_N$  we define the shift map as

$$\sigma : \Sigma_N \rightarrow \Sigma_N, \quad x_n \mapsto x_{n+1}.$$

Show that the shift map has a dense orbit, i.e., there exists  $s \in \Sigma_N$  such that for all  $s' \in \Sigma_N$  and  $\varepsilon > 0$  there exists  $k$  such that  $d(\sigma^k(s), s') < \varepsilon$ .

**Exercise 3** (Mass on a hoop). Consider a ball of mass  $m$  that slides on a rotating hoop (see Fig. 1). The angular velocity of the hoop is  $\Omega$ , the viscous friction coefficient between the hoop and the ball is  $b$ , and the constant of gravity is  $g$ . The equation of motion for the sliding ball is given by

$$mR^2\ddot{\alpha} + bR^2\dot{\alpha} + mR^2(g/R - \Omega^2 \cos \alpha) \sin \alpha = 0.$$

1. Plot the location of equilibria of the ball as a function of the non-dimensionalized rotation parameter  $\nu = R\Omega^2/g$ .

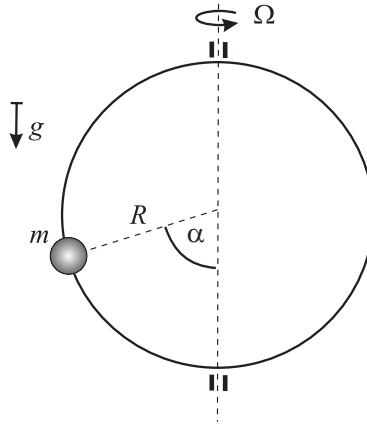


Figure 1: Illustration of the sliding mass on a rotating hoop.

2. Determine the stability type of the different equilibrium branches on the plot. Identify the critical angular velocity at which a bifurcation of equilibria occurs.

In the following, assume that there is no friction, i.e.,  $b = 0$ , and that the parameter values are such that the lower equilibrium position of the ball is stable in linear approximation.

3. Show that in this case, the equilibrium is also nonlinearly stable.
4. Prove that the equilibrium *cannot* be asymptotically stable for the nonlinear system.