# Combine Speech&Text SSL

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## Content

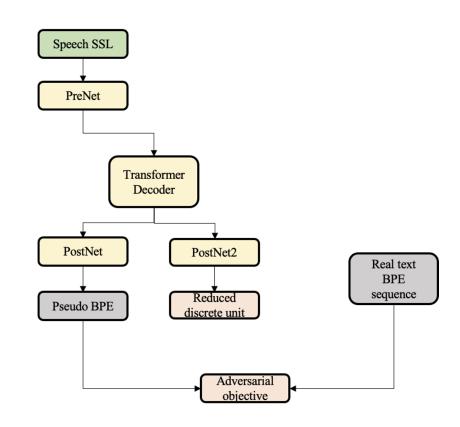
- 1. Recap
- 2. Implementation of wav2vec-u and experimental results
- 3. Implementation of wav2vec-u 2.0 and experimental results
- 4. Our proposed sequence-to-sequence model
  - a. Challenges
  - b. Possible solutions
- 5. Timeline and downstream tasks

# Background Recap

- [Prior] Different modalities has different features (e.g., information, information density, length, context)
- [Target] A better framework to utilize both speech and text pre-trained models for downstream semantic tasks
- [Assumption] Self-supervised features learned from different modalities are likely to be in different feature space
- [Research Question] How we can align the speech self-supervised feature into a similar feature space of text so as to take benefit from text pre-trained models?

# Proposal Recap

- Refine speech self-supervised features with some text flavors in unsupervised manner
  - By train with text BPE sequence
  - By use unsupervised ASR framework to learn from non-parallel data
- Introduce more flexibility by variable compression over time domain
  - Add sequence-to-sequence property in the framework to allow flexible downsampling
- Focus on ASR task first

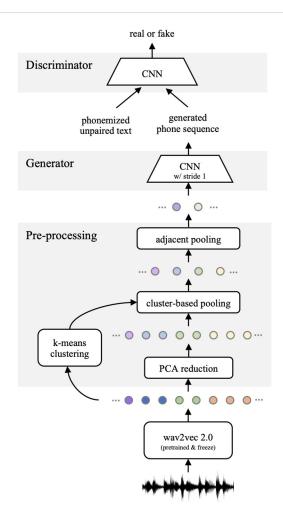


## Research Plan

- 1. Implement & reproduce wav2vec-u/wav2vec-u 2.0
  - a. Baseline of this work
  - b. Today's presentation: share experiences from implementation and experimentation
- 2. Explore combining wav2vec 2.0 and pre-trained mBART
  - a. The proposal
  - b. Today's presentation: share current challenges and proposed solutions, discuss and get feedback
- 3. Integration to S3PRL and additional task in unsupervised ASR
  - a. Pending for workshop sessions

#### Wav2vec-U

- Use wav2vec2 as feature extractor
- Apply preprocessing (i.e., PCA, Kmeans-pooling, adjacent pooling) to downsample features
- Use single layer CNN for the generator



# Wav2vec-u Experiments (with Librispeech-100)

#### Key findings:

Some factors are crucial to good convergence:

- 1. Layer for feature extraction -> 7, 14 are the best, layer combination cannot always converge
- 2. Network simplicity -> For example, adding two layer CNN would harm the results (+10-20 PER or not converge); Layer combination sometimes also hurt results (+10 PER)

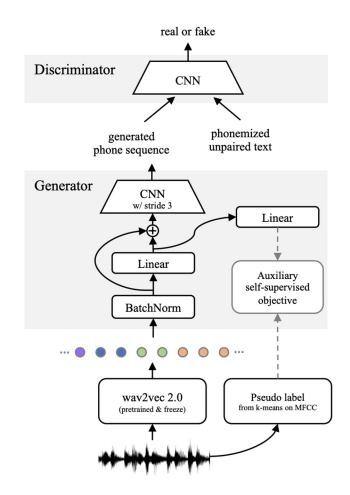
#### Some factors are good to tune

- 1. Preprocessing parameters: cluster number fo Kmean pooling (K=128, K=256, K=64) and adjacent pooling
- 2. Training parameters: learning rates, weights for losses (for gradient penalty, phoneme diversity, and others)

Our best PER results with wav2vec-u after tuning on Librispeech-100 is 24.1%

## Wav2vec-U 2.0

- Use an Batchnorm to replace the preprocessing
- Add K-means cluster objectives to stable the results
- Use CNN with stride to conduct downsampling



# Wav2vec-U 2.0 Experiments (Librispeech100)

#### Key factor for convergence:

- Batchnorm with scaling factor + large batch size
  - Standard scaling factor 1.0 does not suitable for wav2vec2 feature (might different for other ssls?) -> get 20+PER or non-converge
  - Large batch size is necessary to get reasonable performances -> get non-converge results with small batch size like 10
- Network simplicity
  - Similar to wav2vec-u 1.0, cannot hold very large network -> e.g., even additional layer of CNN
  - But can be mitigate / even get improvements by adding auxiliary losses (e.g., K-means clustering as prediction target)
    - If add additional CNN with auxiliary loss to regularize, the results can be maintained or even a bit better
- Layer selection
  - Layer combination not get better results but more un-stability

Results with same method (without auxiliary loss): 23.3 PER

Results with same methods in the paper: 22.6 PER

Best results for now: add additional CNN layer with auxiliary loss 22.3 PER

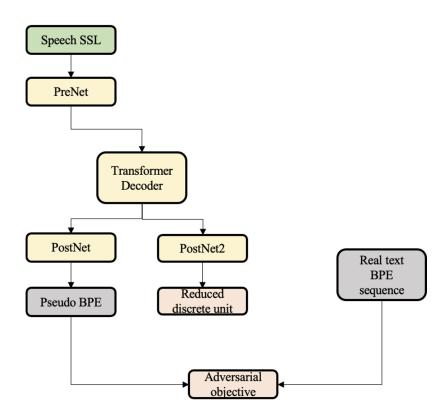
# Next Steps for wav2vec-U

- Continue iterating on wav2vec-U 2.0 (more variations with other networks, e.g., transformer layers)
- S3prl upstream/downstream
- SUPERB
  - A speech SSL model with extra (unsupervised) information from text!
- Any other applications?

# Combining Speech & Text SSL – Current Proposal

Given the previous experiments with wav2vec-u 1.0/2.0, we need to revisit our current proposal with following factors:

- Prediction of textual BPE
- Use a seq2seq model guided with reduced discrete unit



# Combining Speech & Text SSL – Challenges & Solutions?

- Will textual BPE work for the framework?
  - We currently tried a 500-BPE model as prediction target for librispeech-100, and cannot get convergence
  - Various reasons
    - BPE size can be tuned -> experiments with more bpe size
    - Fixed downsample in wav2vec-u 2.0 might not work for BPE -> use our proposed framework might help
  - For current solutions, we will still focus on phoneme-based task for start, leaving it for future investigation

# Combining Speech & Text SSL – Challenges & Solutions?

- Can a more complex model get good convergence than the simple CNN?
  - Findings:
    - Seq2seq models are difficult to train especially in unsupervised setting
    - It may also get trival predictions for adversarial objectives
  - Possible solution:
    - Adding reduced unit sequence
    - Add auxiliary loss over other layers (e.g., prenet with MFCC kmeans)
    - Use a pre-trained seq2seq model
      - Option1: BART with input as features, output as discrete units
      - Option2: Self-training with input as features, output as BPE discrete units
      - Noted the discrete units here can be clusters from other ssl or features (e.g., Hubert, MFCC, etc.)

# Next Steps for Combining Speech & Text SSL

- 1. Further investigation on wav2vec-u 2.0
- 2. Prepare wav2vec-u 2.0 as a SSL model in s3prl for semantic tasks (1.0 version is difficult to deploy with too much preprocessing procedures)
  - a. Speech translation
  - b. Spoken language understanding
  - c. Unsupervised TTS (maybe not in s3prl)
- 3. Refine, iteration on our proposed method for unsupervised ASR
  - a. Study of auxiliary loss for our proposed method: different ssl models as objectives
  - b. Study of pre-trained seq2seq model in unsupervised ASR
  - c. Study of possibility of using BPE in unsupervised ASR
- 4. Experiments on large scale data with Librispeech-960

#### Timeline

By Jun 27: publish our pre-trained wav2vec-u 2.0 feature extractor (on Librispeech360) to <a href="https://github.com/JSALT-2022-SSL/s3prl\_unsupervised\_combine\_ssl">https://github.com/JSALT-2022-SSL/s3prl\_unsupervised\_combine\_ssl</a> (with corresponding training code)

By Jul. 14: Benchmarking the semantic tasks performances with the feature extractor

At Jul. 14-28 depends on the progress: Publish wav2vec-u 2/0 feature extractor (Librispeech960) and our proposed method extractor (Librispeech360)

By Aug. 5: Benchmarking semantic tasks performances with both feature extractors