

Extreme Arctic sea ice lows investigated with a rare event algorithm

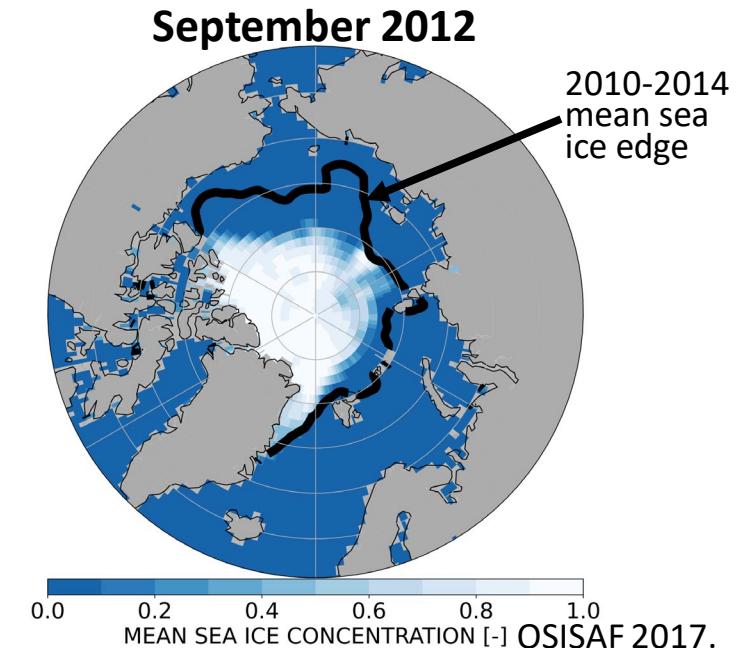
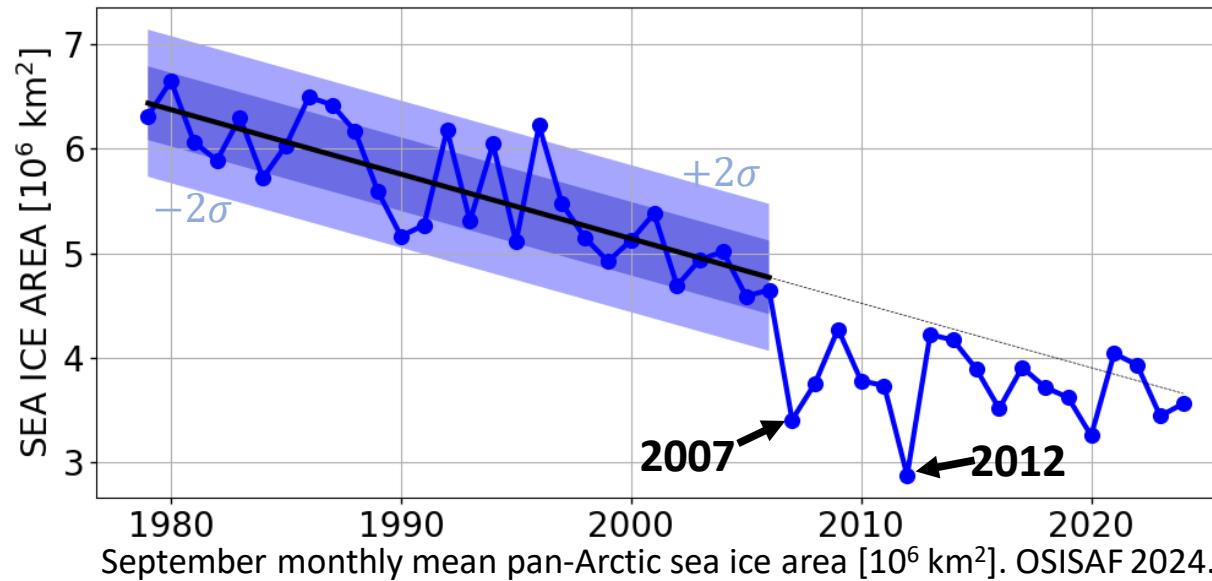
Jerome Sauer

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with Francesco Ragone, François Massonnet, Jonathan Demaeyer, Giuseppe Zappa

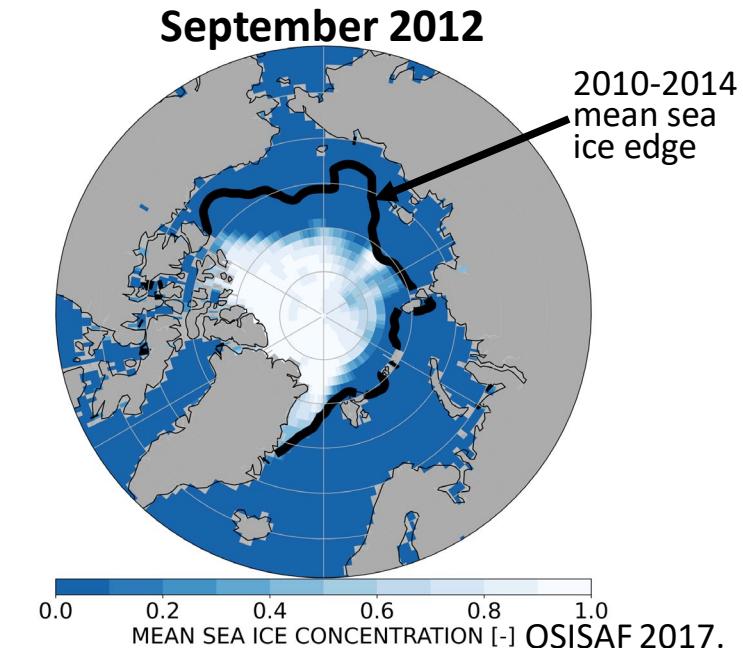
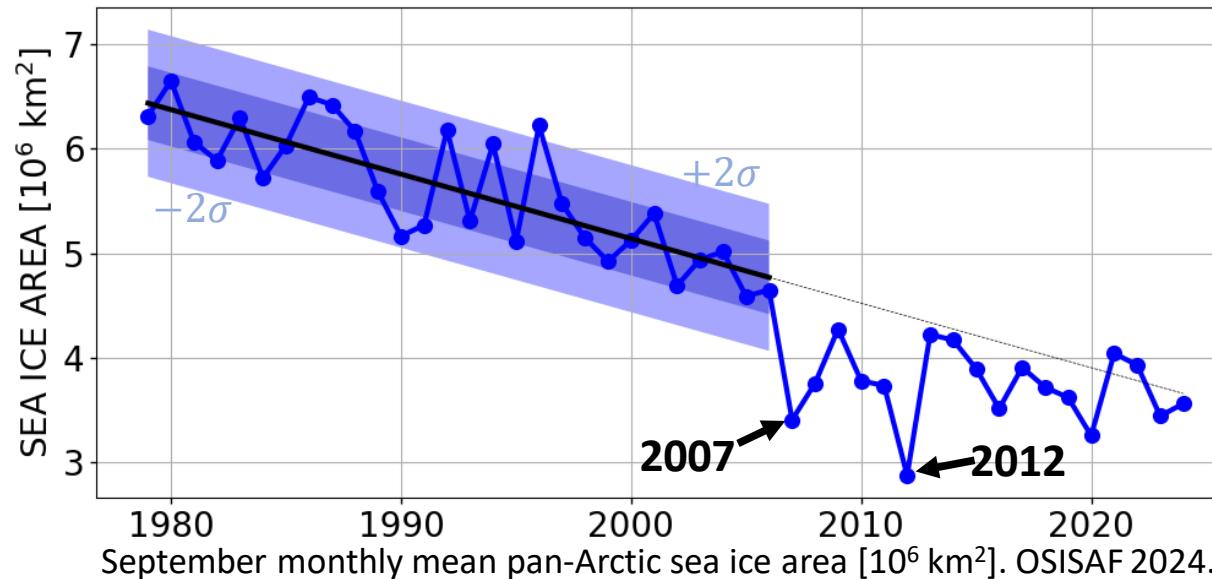


Extreme reductions of the summer pan-Arctic sea ice area



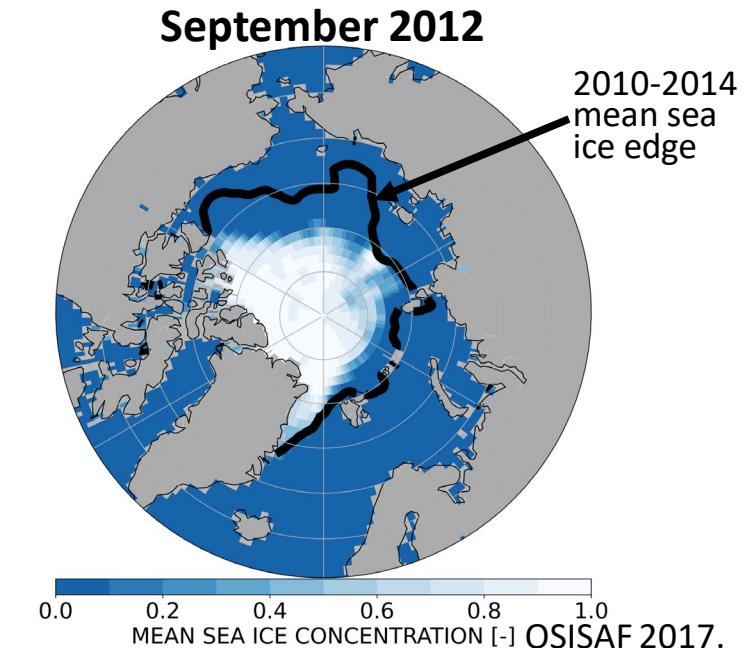
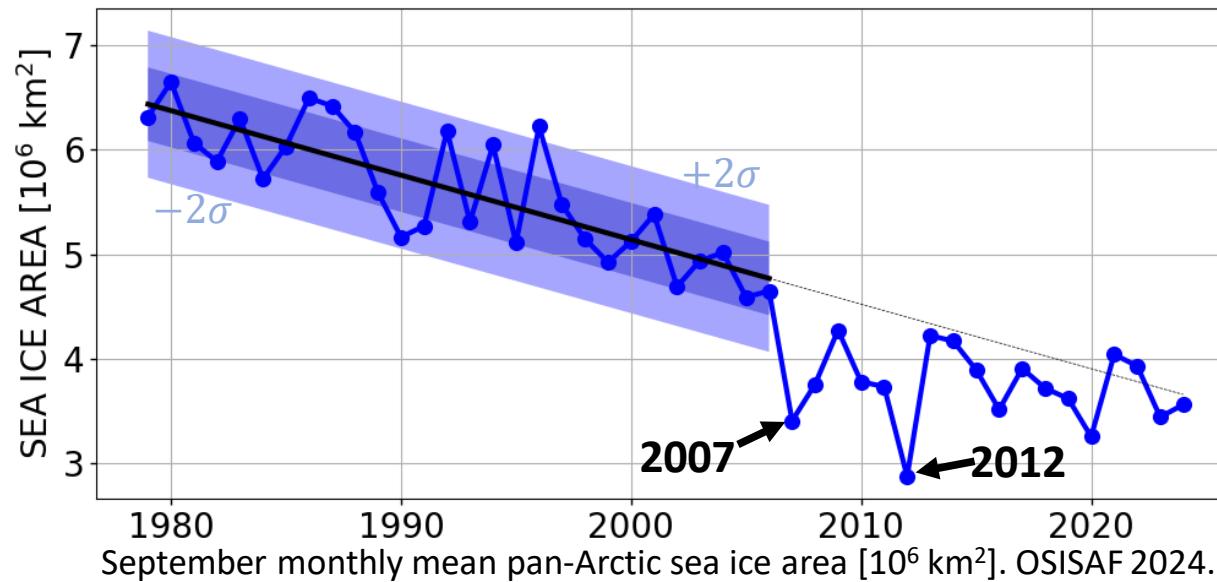
- What are the **typical drivers of extreme sea ice lows** considering a large amount of extreme events?
- What is the **probability of a 2012-like event** for a given initial/climate state? -> extreme event attribution

Extreme reductions of the summer pan-Arctic sea ice area



- What are the **typical drivers of extreme sea ice lows** considering a large amount of extreme events?
- What is the **probability of a 2012-like event** for a given initial/climate state? -> extreme event attribution
- Problem: **quantitative statistical** and **dynamical studies** of **climate extremes** hindered by **lack of data**
-> lack of observations, poor sampling with numerical models due to large computational cost

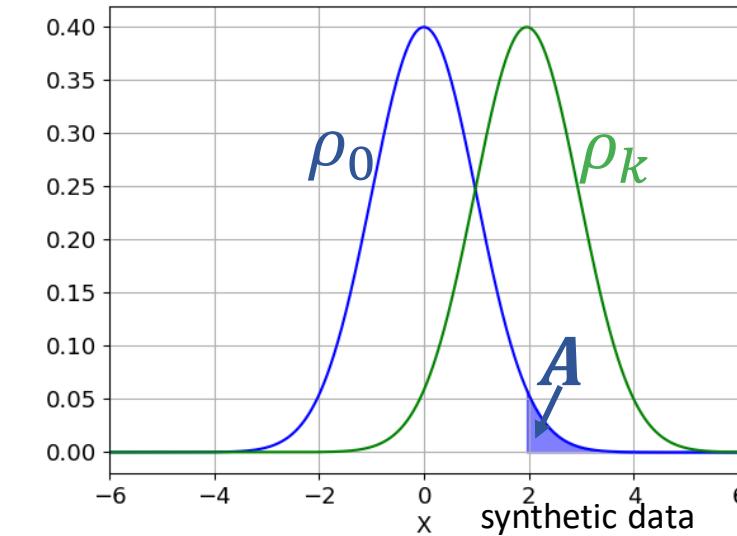
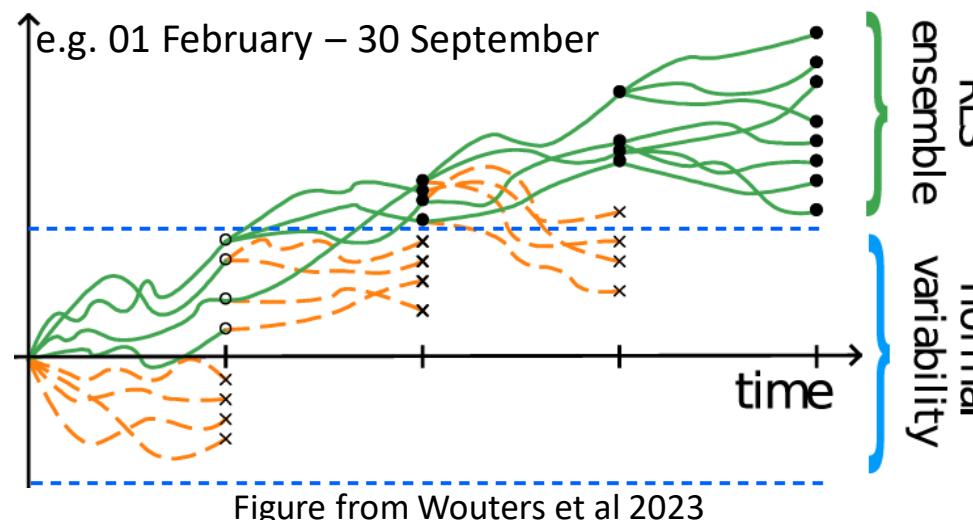
Extreme reductions of the summer pan-Arctic sea ice area



- Problem: quantitative statistical and dynamical studies of climate extremes hindered by lack of data
 - > From statistical physics: improve the sampling efficiency of extreme events with rare event algorithms
 - > Genealogical selection algorithm adapted from Del Moral and Garnier (2005); Giardina et al. (2011) (Ragone et al. 2018; Ragone and Bouchet 2019; 2021): Efficient to study time-persistent extremes

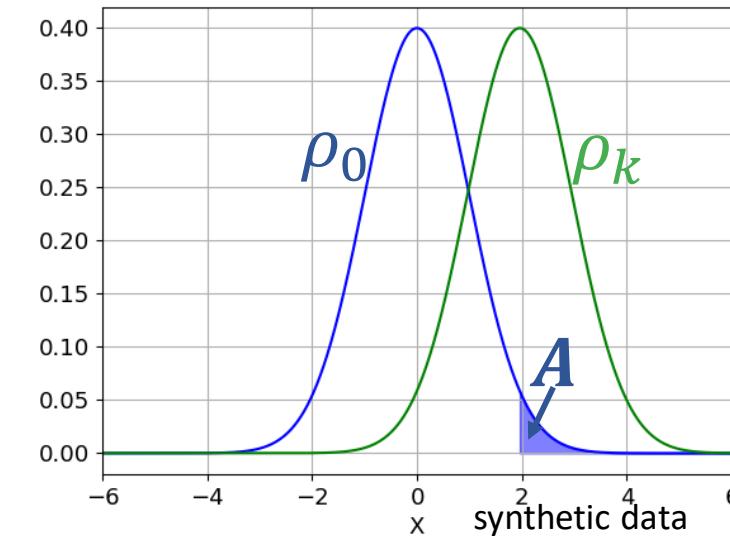
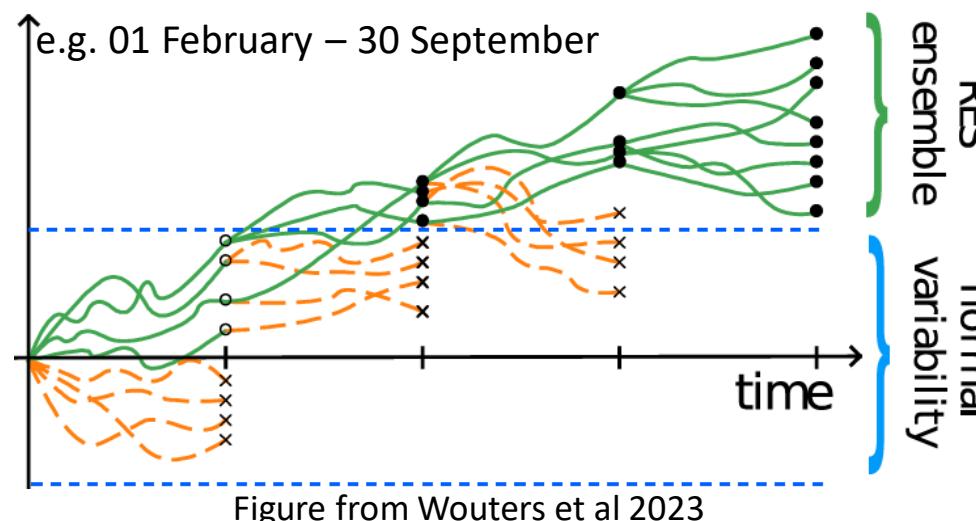
Importance sampling and rare event algorithm

- Importance sampling of trajectories in ensemble simulation with numerical model
-> make trajectories leading to large anomalies of an observable common



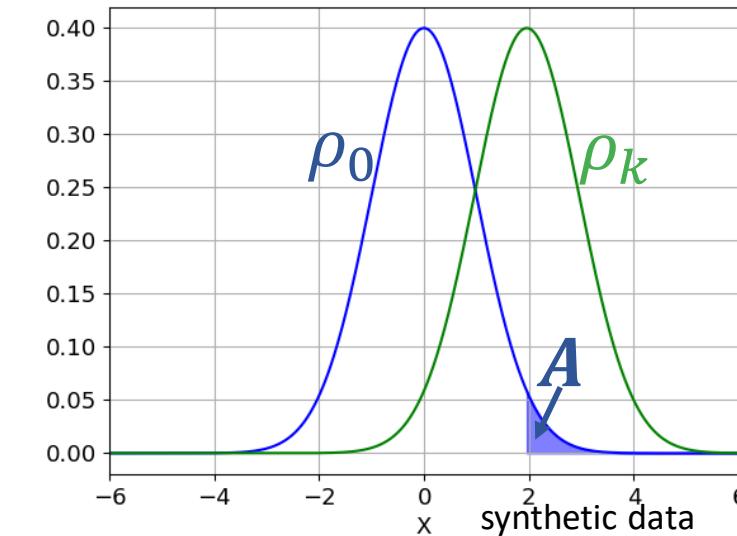
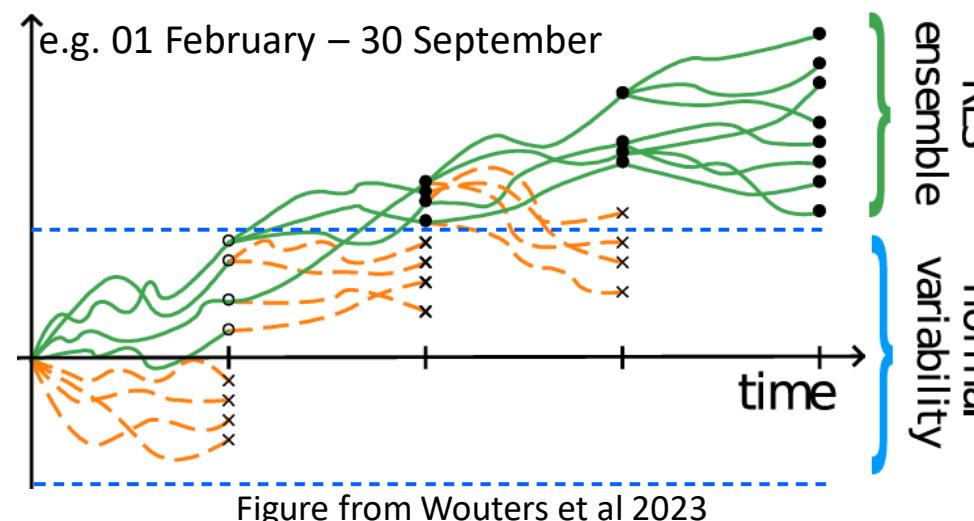
Importance sampling and rare event algorithm

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 - > make trajectories leading to large anomalies of an observable common
 - > better statistics on extremes (e.g. composites, probabilities, return times) + generation of ultra-rare events



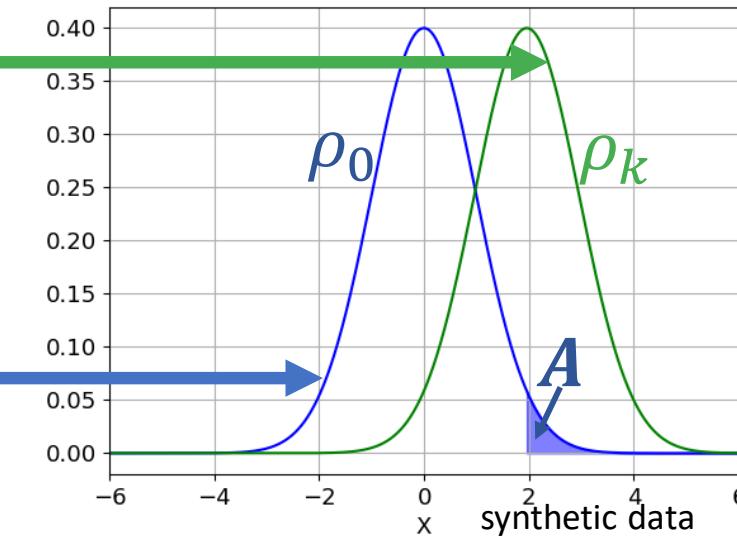
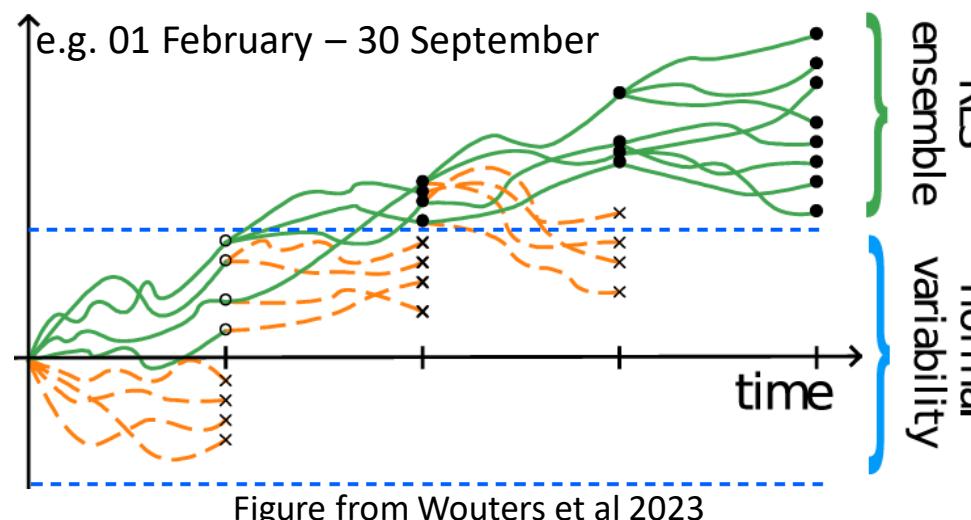
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- Reconstruction of effective ensemble based on surviving trajectories

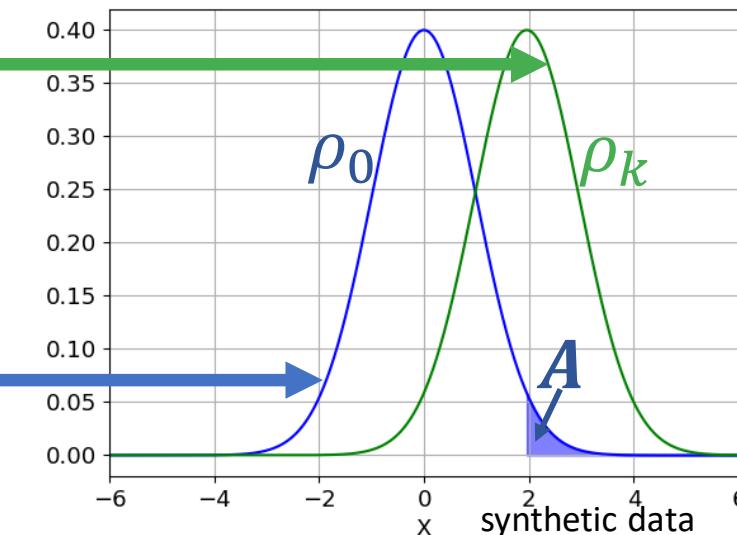
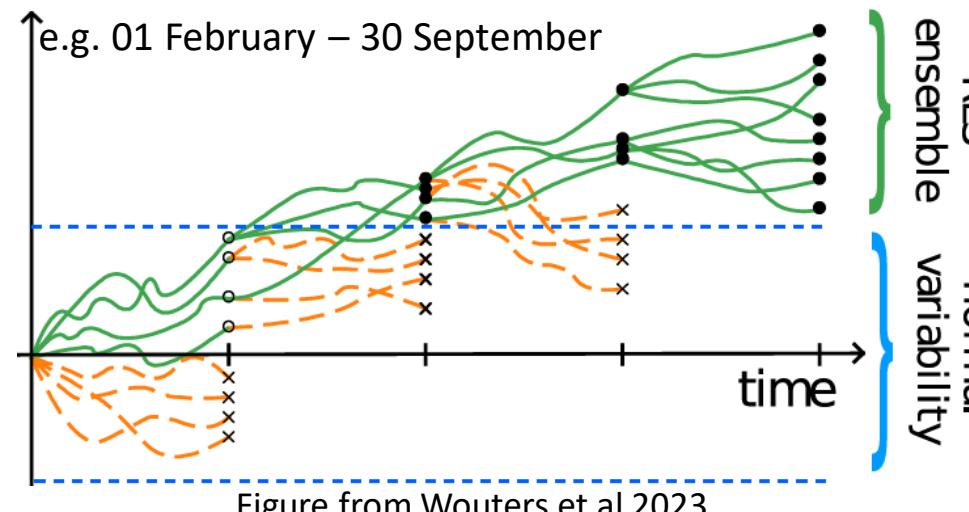


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- Importance sampling formula: relates probabilities of trajectories between biased and unbiased statistics

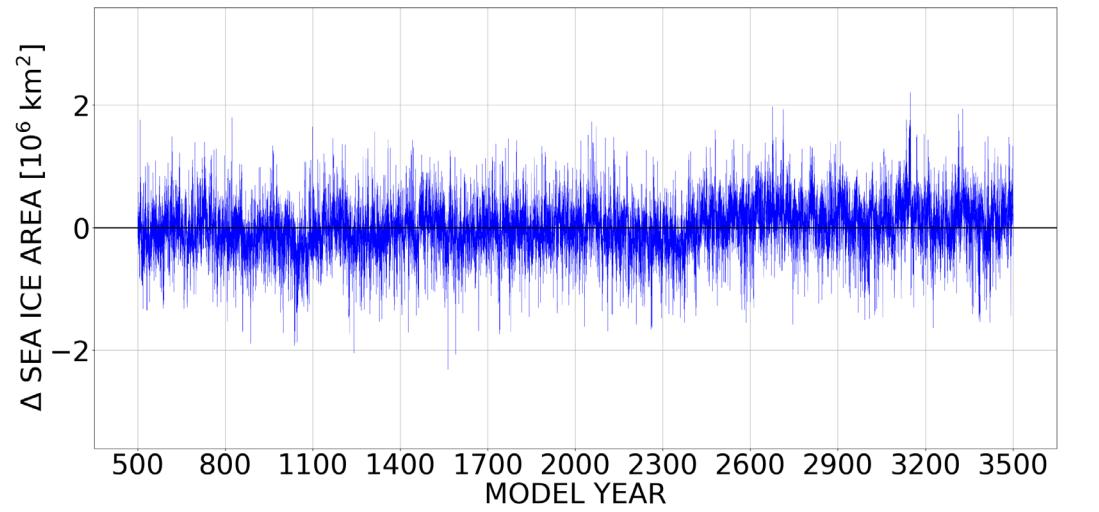
$$P_k(\{X_n(t)\}_{0 \leq t \leq T_a}) = \frac{e^{k \int_0^{T_a} A(\{X_n(t)\}) dt}}{R} P_0(\{X_n(t)\}_{0 \leq t \leq T_a})$$

P_k, P_0 : Probability density in biased and unbiased statistics
 k, R : Controlling parameter and normalization term
 t, T_a : Time and simulation length
 $A, \{X_n(t)\}$: Observable and model trajectories

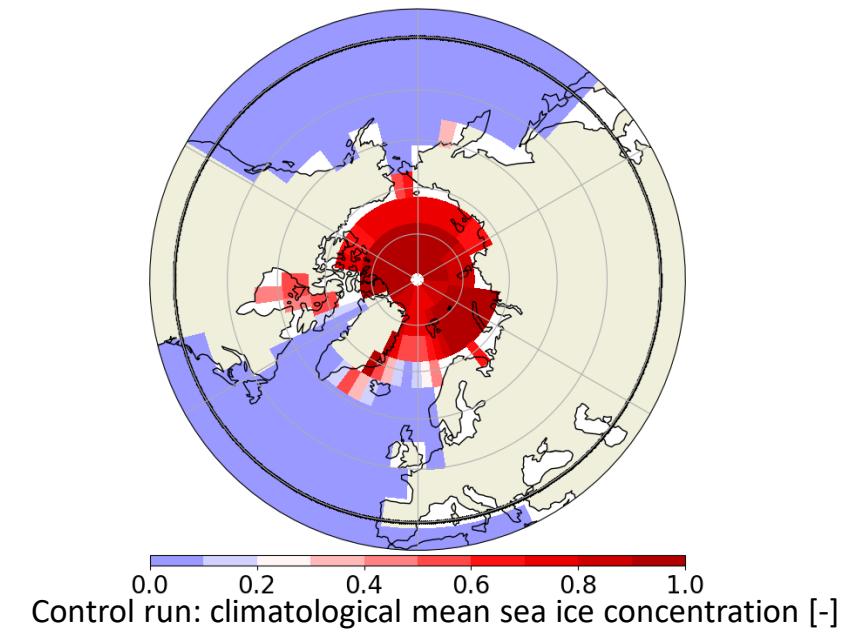


Experiments with coupled climate model PlaSim

3000-year control run: independent initial conditions for five 600-member ensemble simulations with the algorithm



Control run: anomalies of monthly mean pan-Arctic sea ice area $[10^6 \text{ km}^2]$



Control run: climatological mean sea ice concentration [-]

Experiments with coupled climate model PlaSim

PlaSim: Intermediate complexity general circulation model

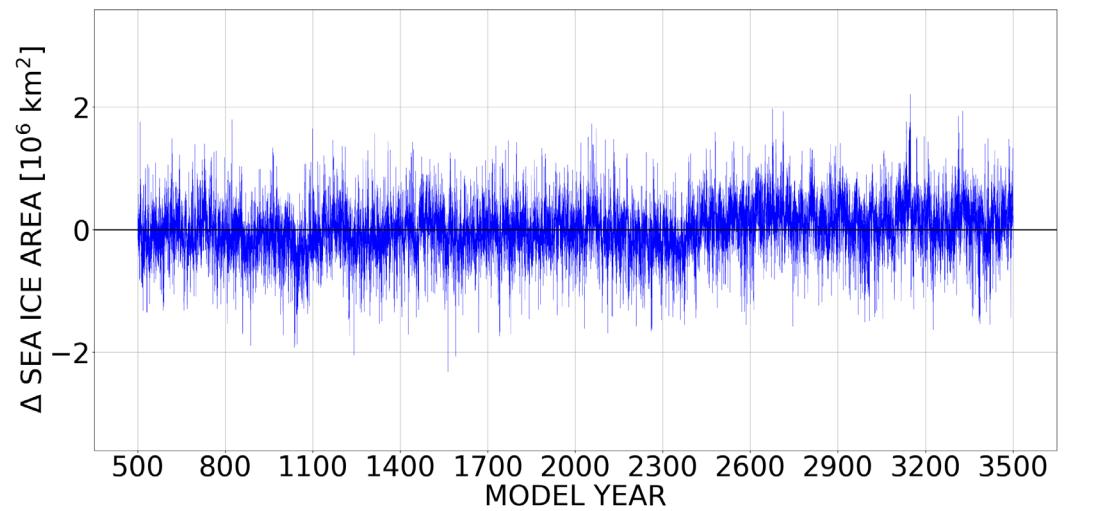
Coupled version: Large-Scale Geostrophic ocean and a zero-layer thermodynamic sea ice model

Resolution: T21 horizontal (32x64), 10 vertical layers

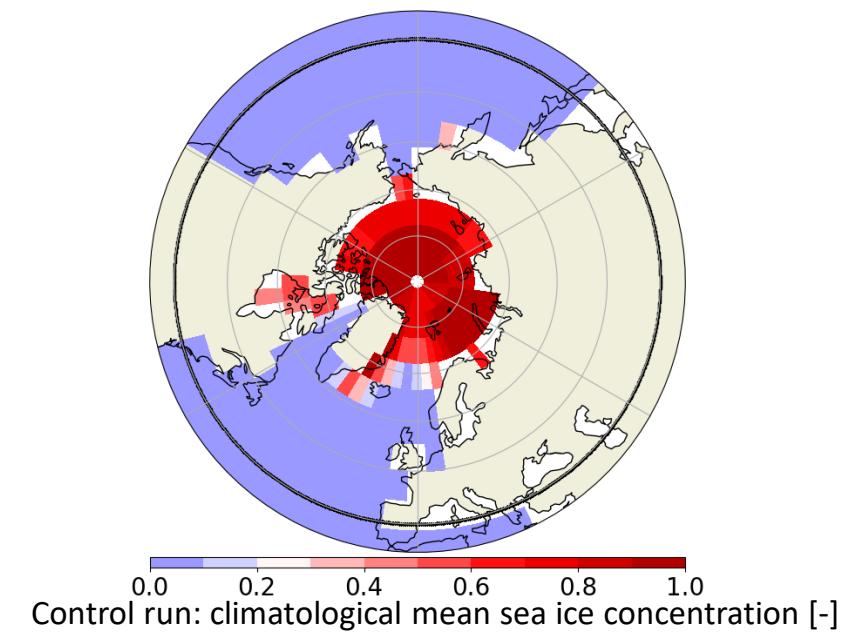
Forcing: constant pre-industrial greenhouse gas conditions

Observable: pan-Arctic sea ice area

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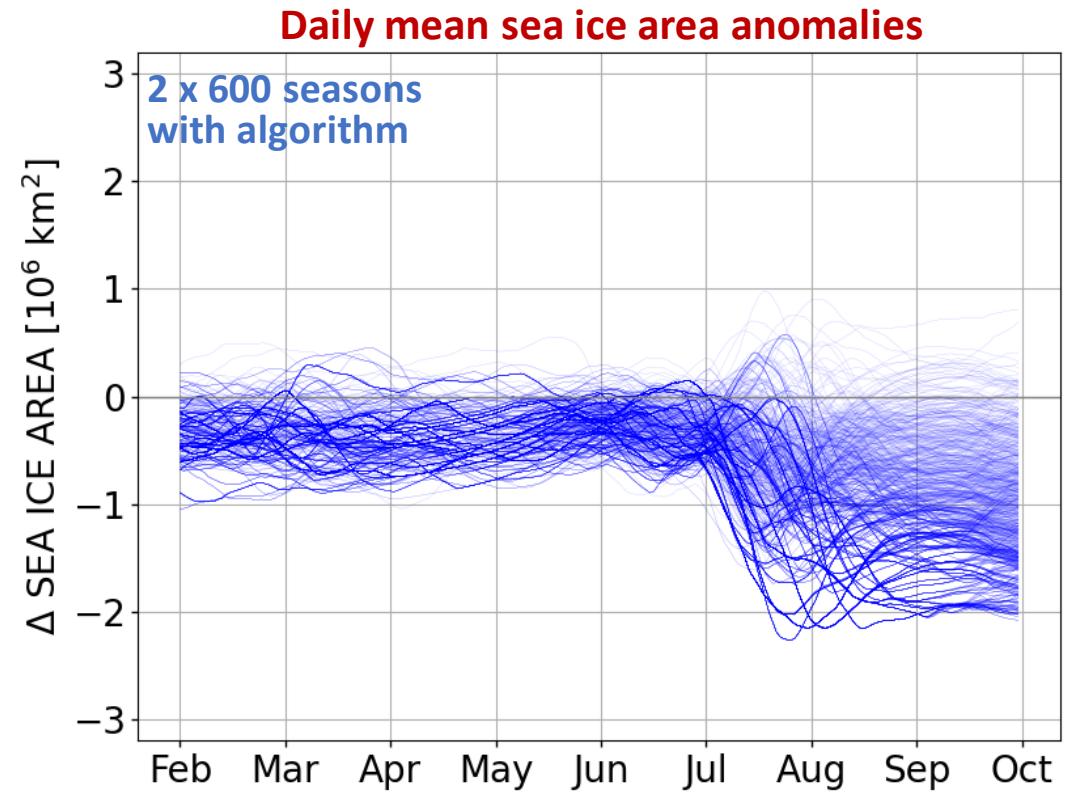


Control run: anomalies of monthly mean pan-Arctic sea ice area [10^6 km^2]



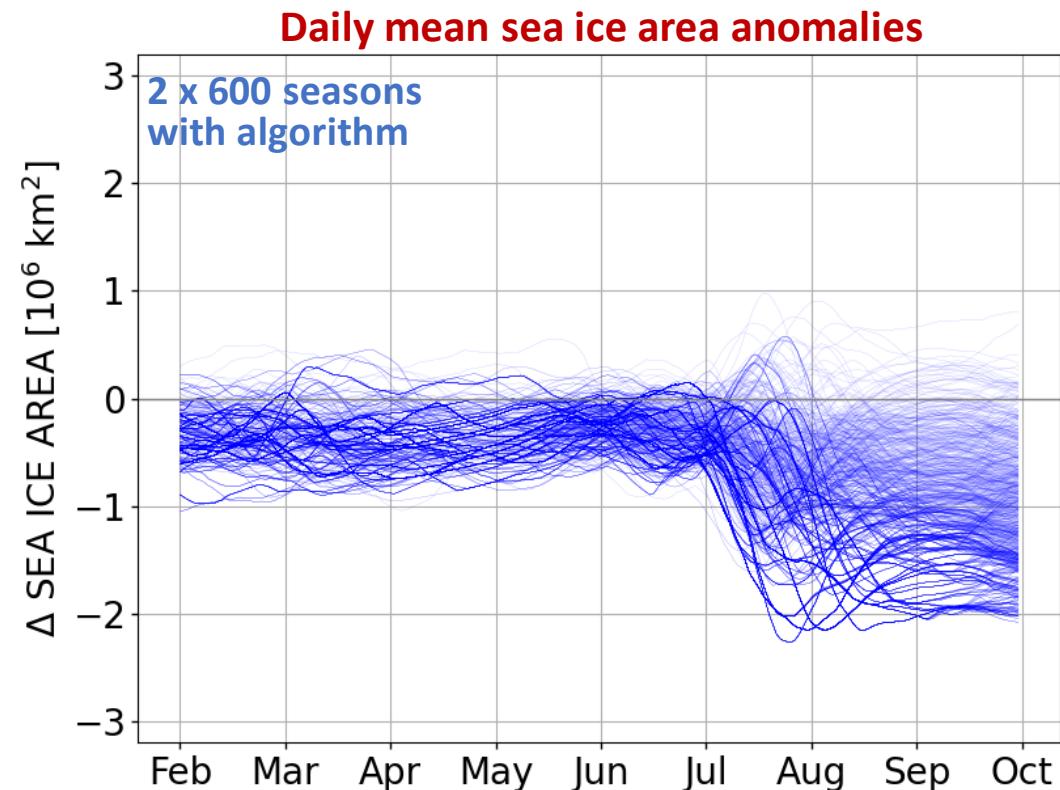
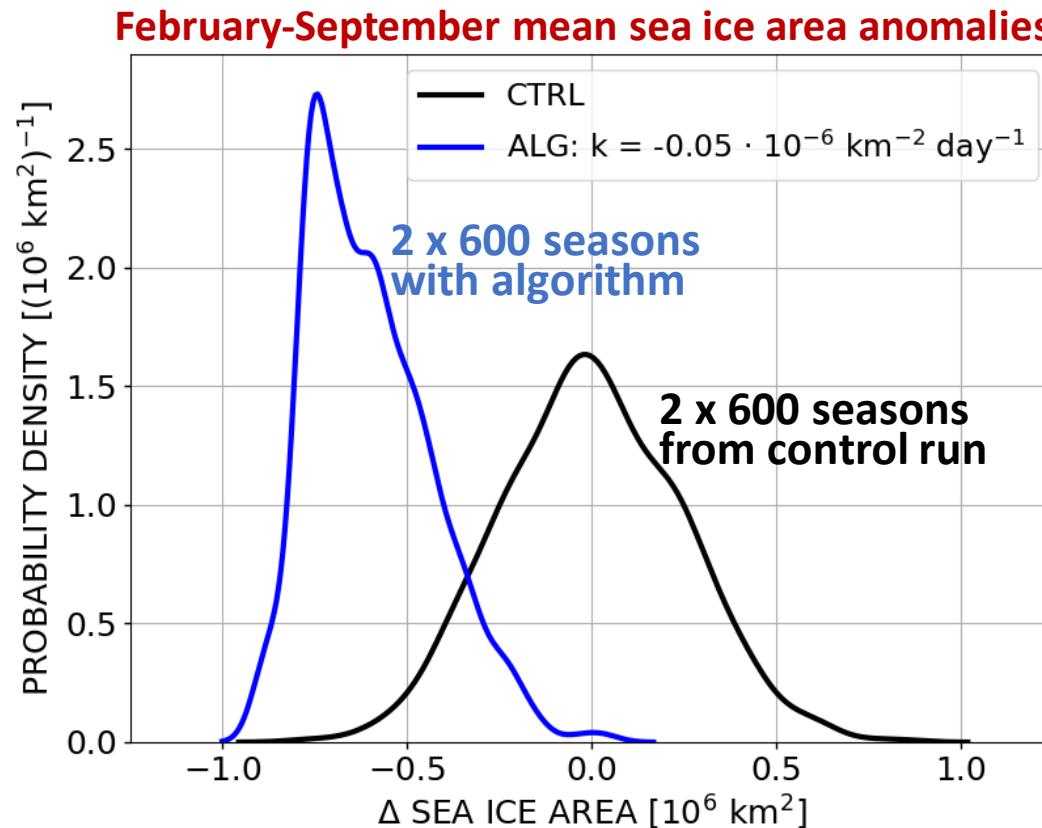
Control run: climatological mean sea ice concentration [-]

Seasons with extremely low pan-Arctic sea ice area in PlaSim



- **Independent initial conditions** sampled from long control run (stationary pre-industrial climate)

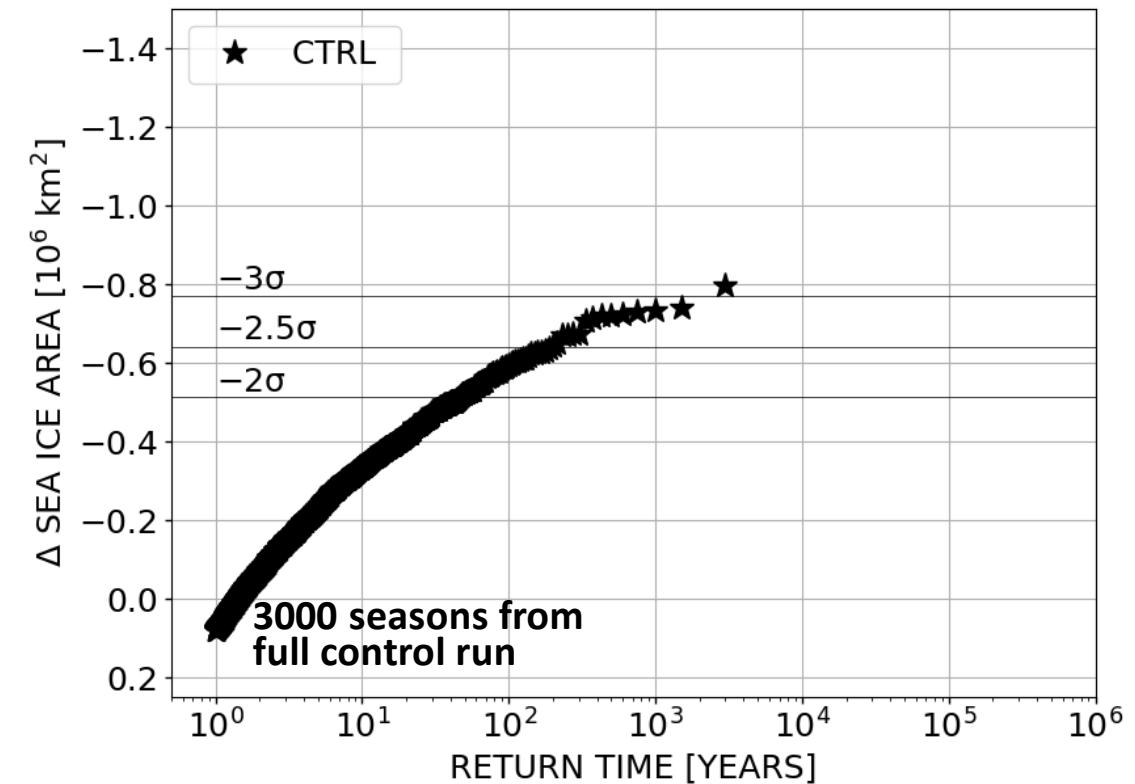
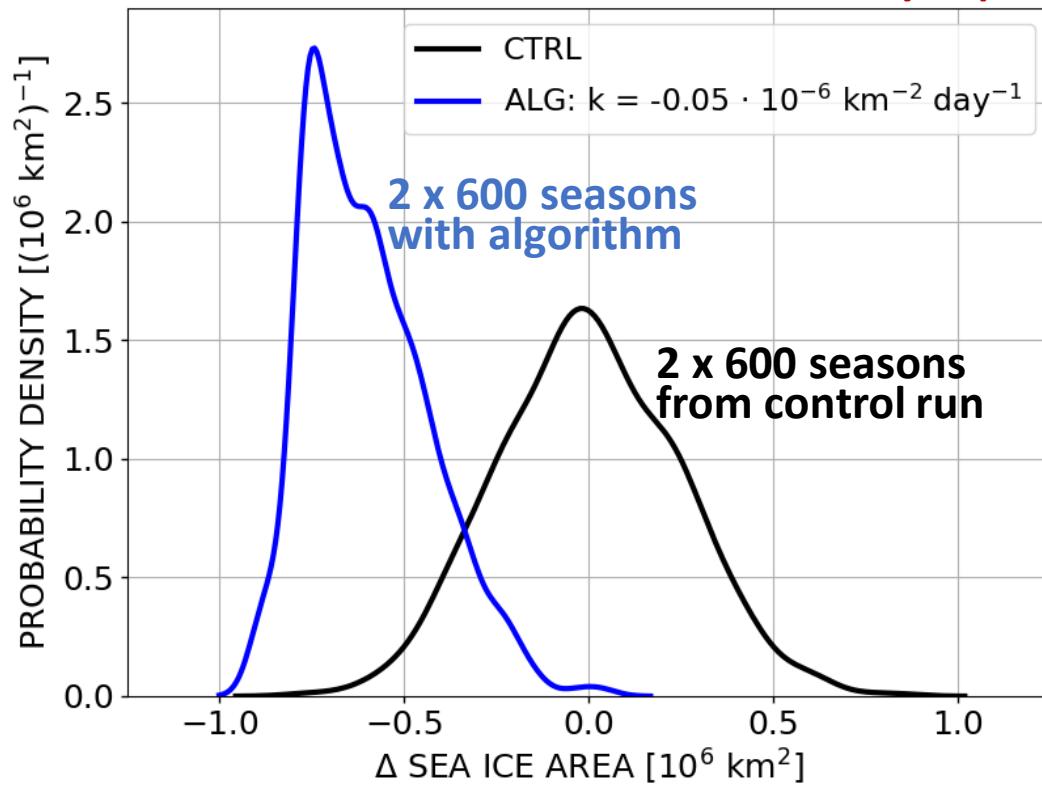
Seasons with extremely low pan-Arctic sea ice area in PlaSim



- Independent initial conditions sampled from long control run (stationary pre-industrial climate)
- Importance sampling of extremely negative February-September mean pan-Arctic sea ice area anomalies

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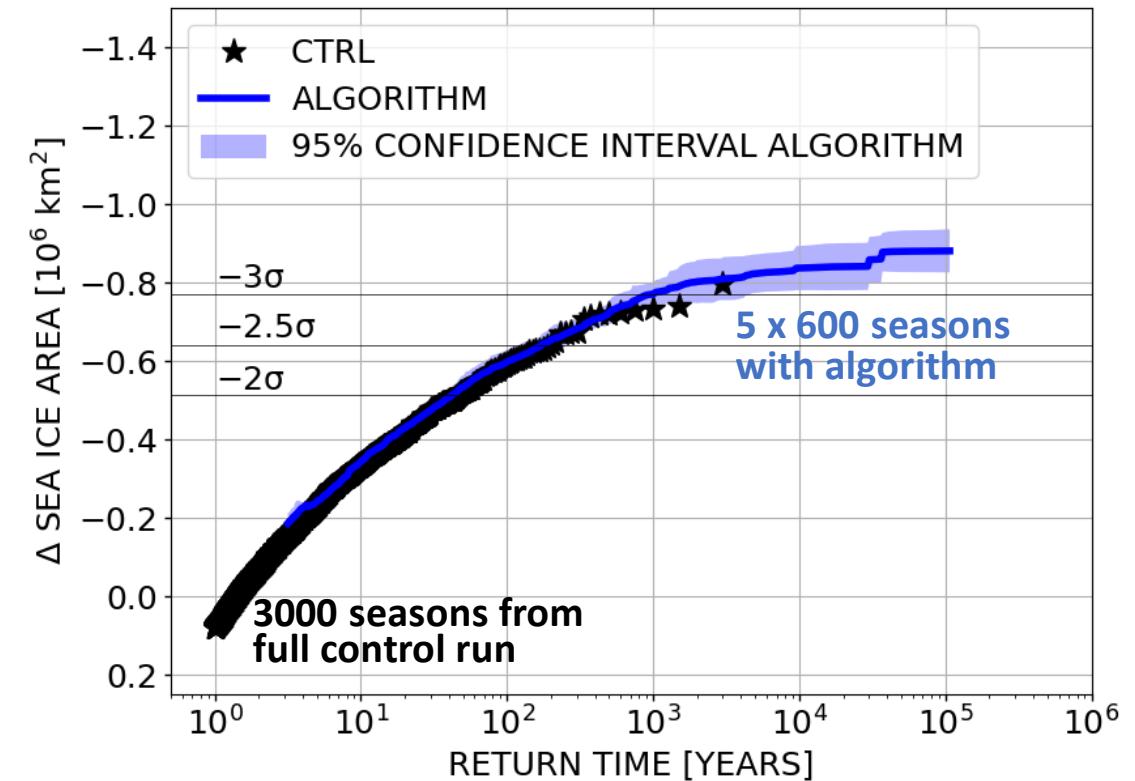
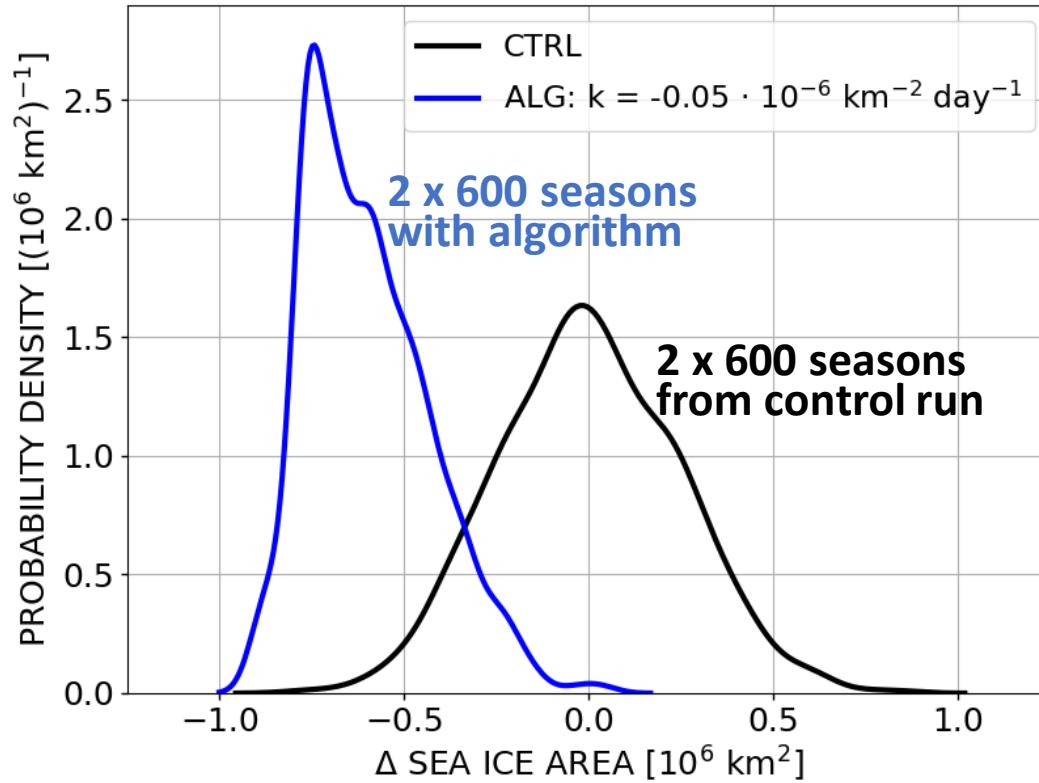
February-September mean sea ice area anomalies



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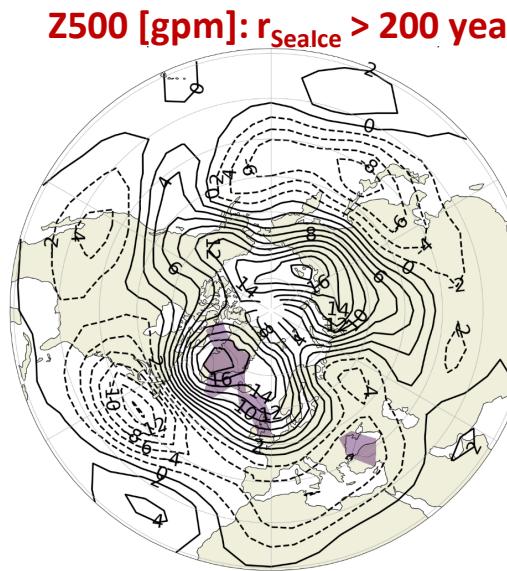
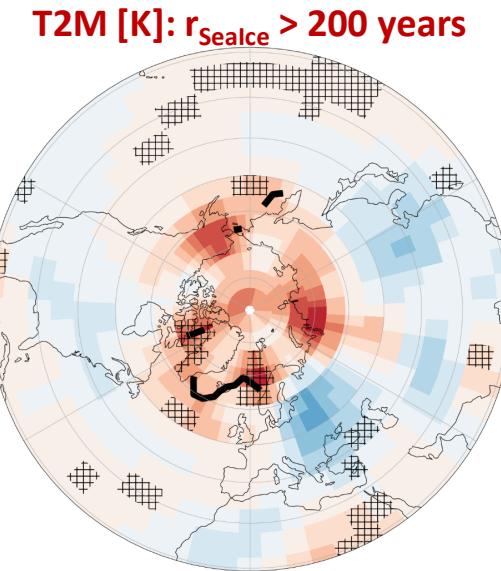
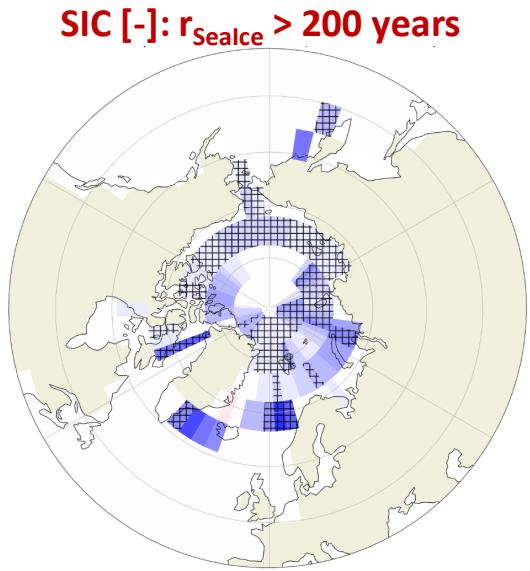
February-September mean sea ice area anomalies



- Independent initial conditions sampled from long control run (stationary pre-industrial climate)
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- The algorithm allows to compute return times up to 10^5 years with computational cost of order 10^3 years

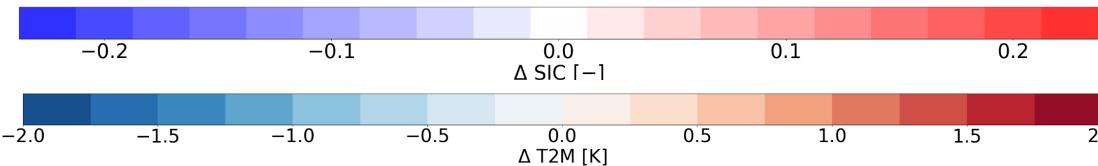
Seasons with extremely low pan-Arctic sea ice area in PlaSim

CONTROL



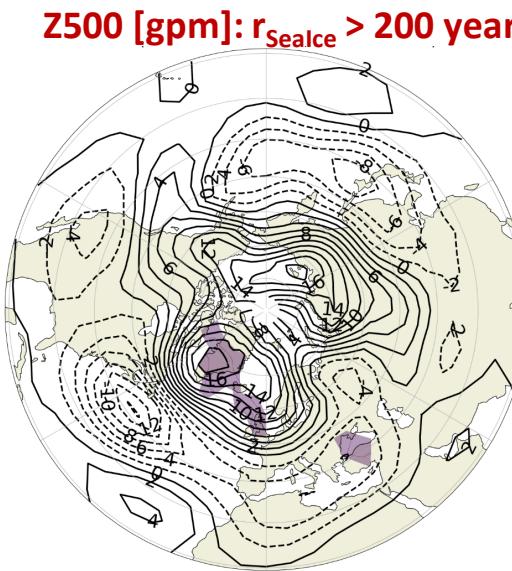
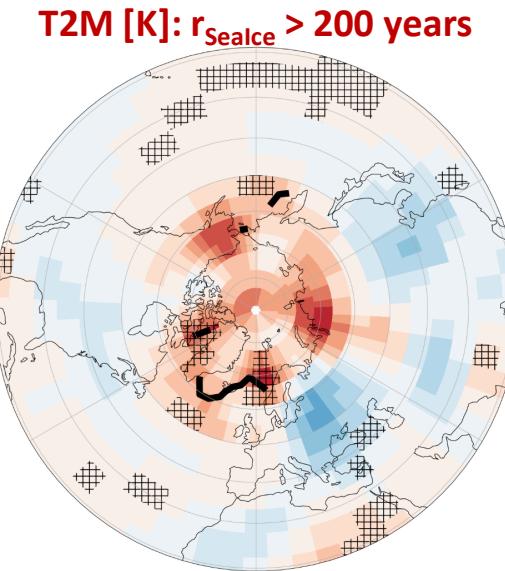
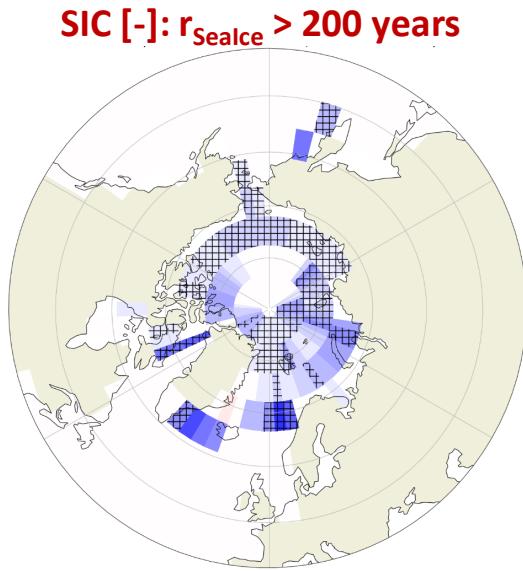
Hatching and shading:
Significance at the 5% level

ALGORITHM



Seasons with extremely low pan-Arctic sea ice area in PlaSim

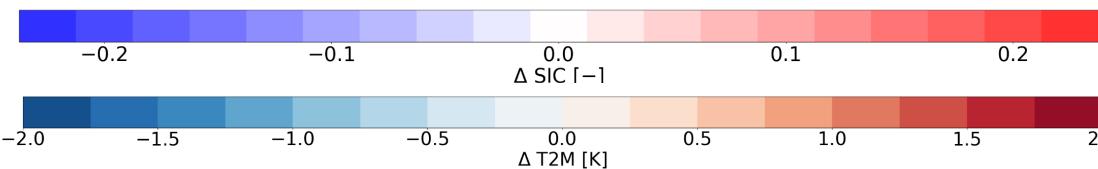
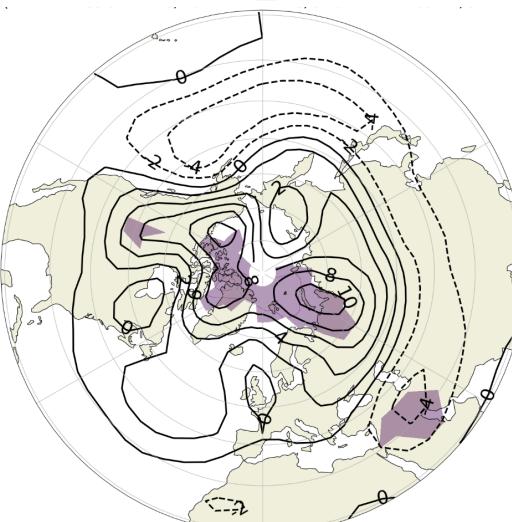
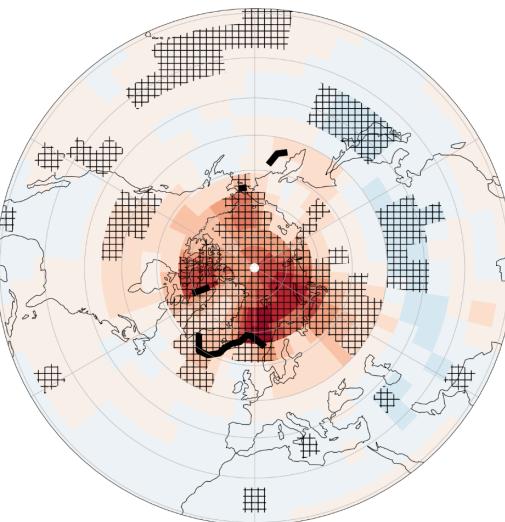
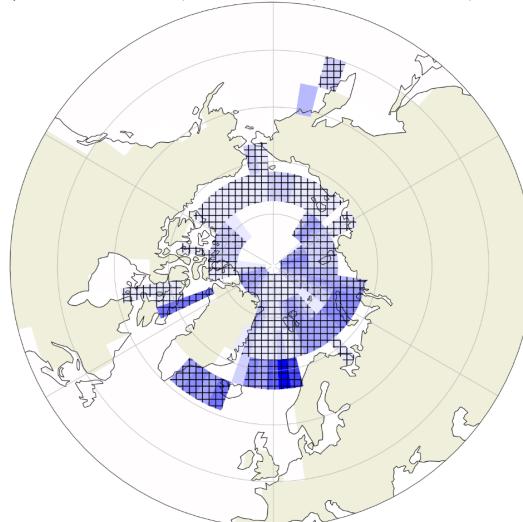
CONTROL



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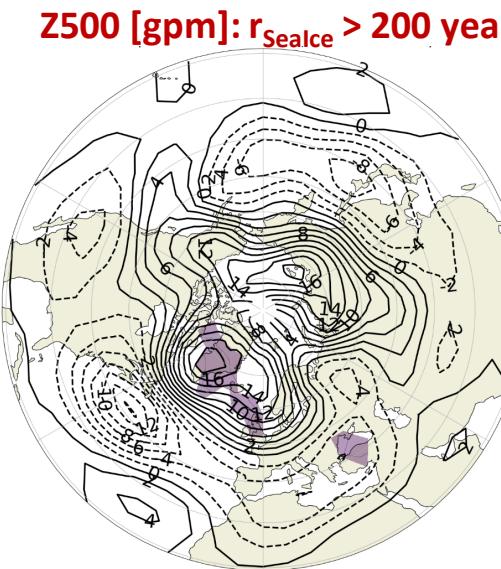
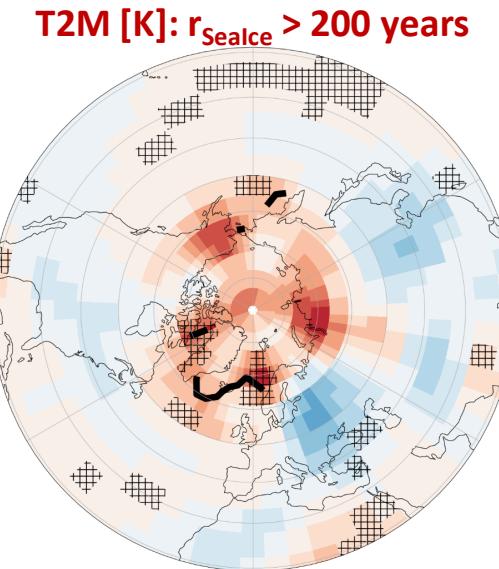
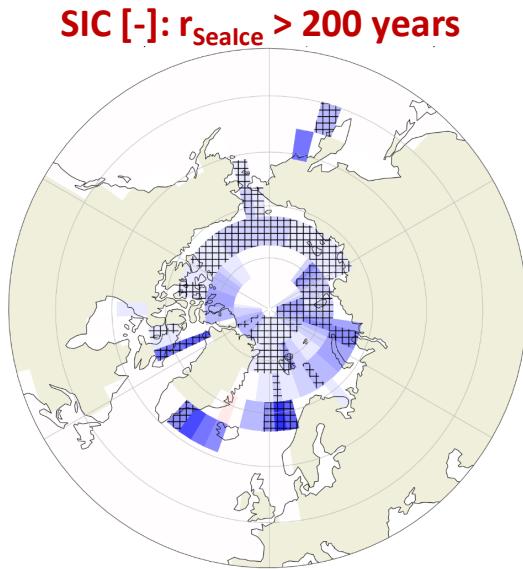
- Improved composite statistics with the algorithm compared to control run

ALGORITHM



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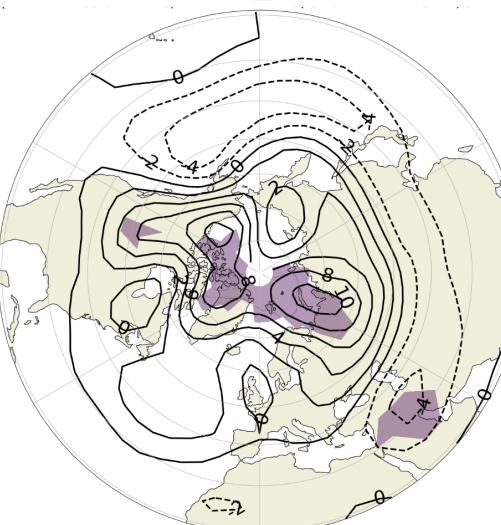
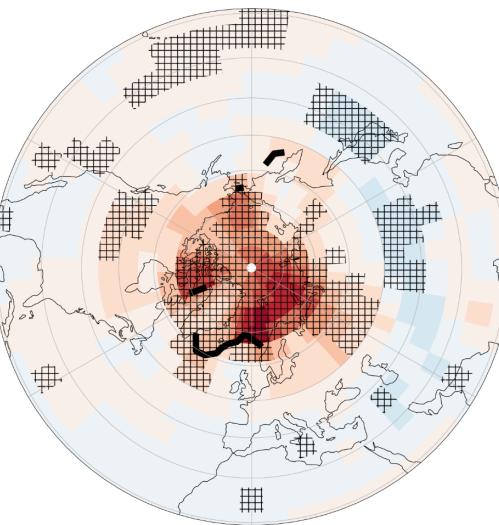
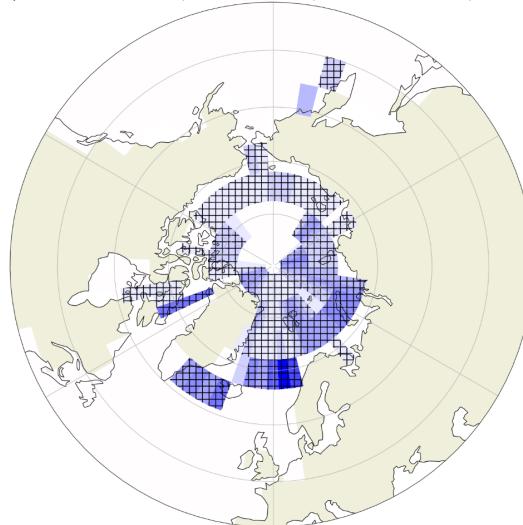
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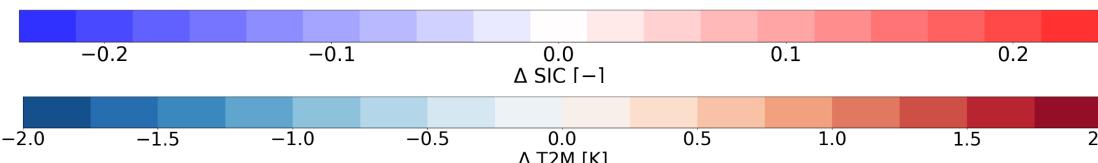
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ALGORITHM



- Drivers of warm Arctic and low sea ice in PlaSim-T21-LSG:
 - sea ice-ocean preconditioning
 - warm and moist/cloudy spring atmosphere
 - early summer “heat wave”



Sauer, J., Demaeeyer, J., Zappa, G., Massonnet, F., & Ragone, F. (2024). Extremes of summer Arctic sea ice reduction investigated with a rare event algorithm. *Climate Dynamics*. <https://doi.org/10.1007/s00382-024-07160-y>

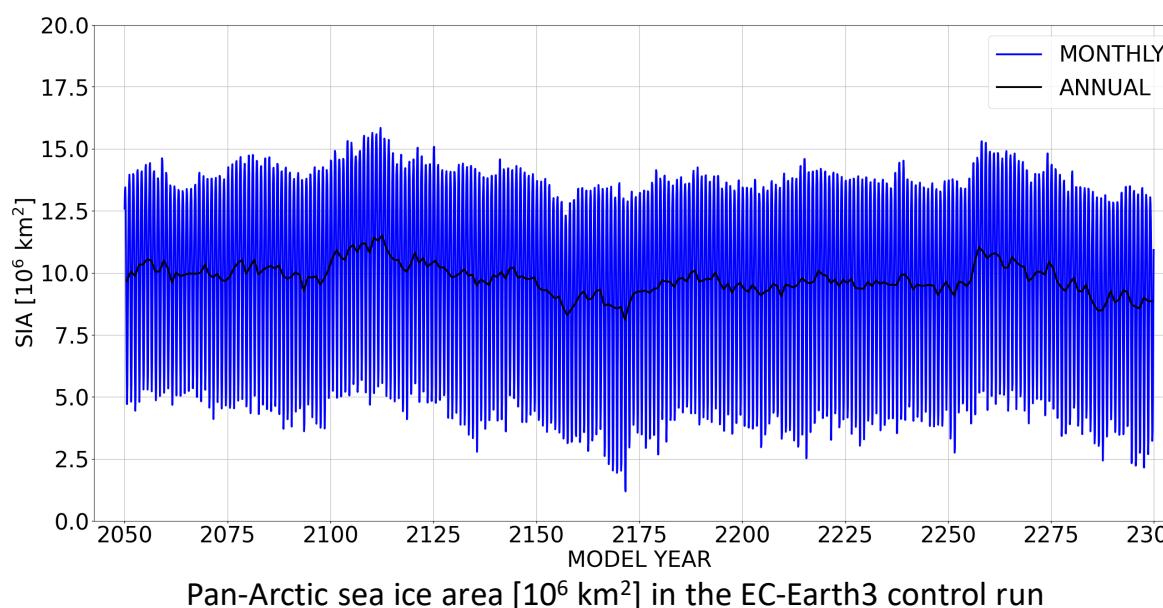
Experiments with the EC-Earth3 climate model

EC-Earth3: European community Earth System Model (Döscher et al. 2022; Coupled Model Intercomparison Project Phase 6)

Components and resolutions: IFS-36r4: T255L91, NEMO3.6-LIM3: ORCA1L75

Compared to PlaSim-T21-LSG: higher resolution, much more complex physics and inclusion of sea ice dynamics

Forcing: year-2000 greenhouse gas conditions and solar forcing



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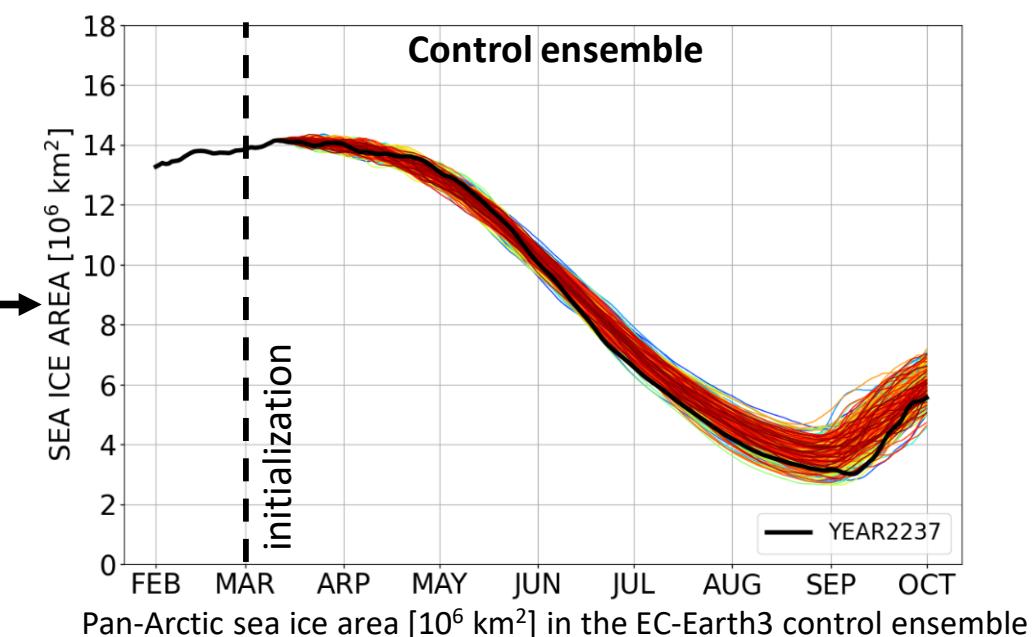
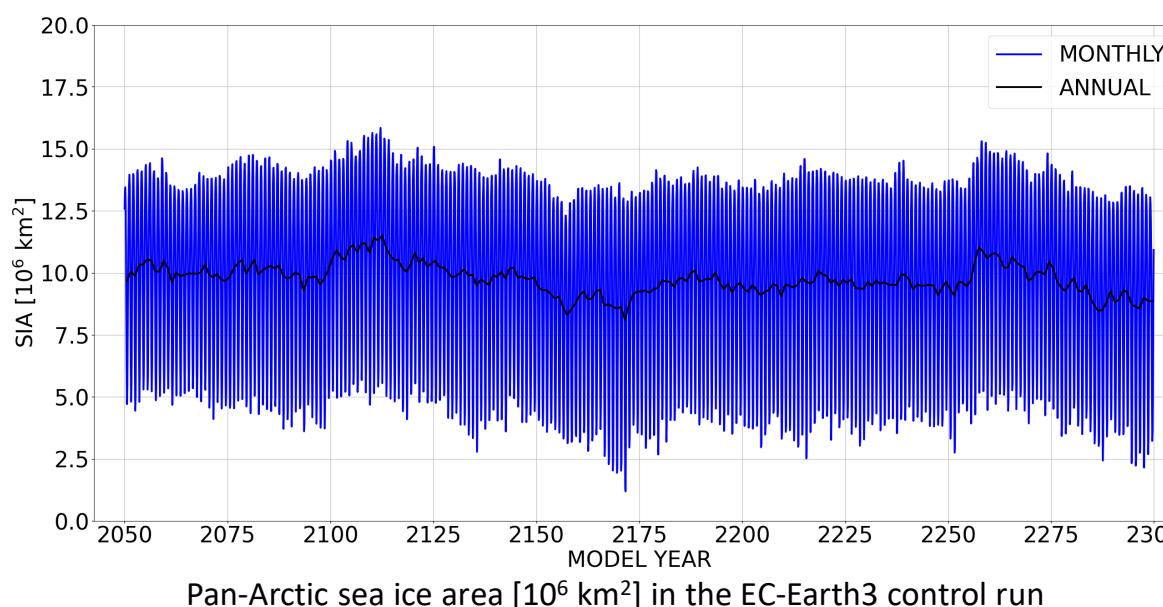
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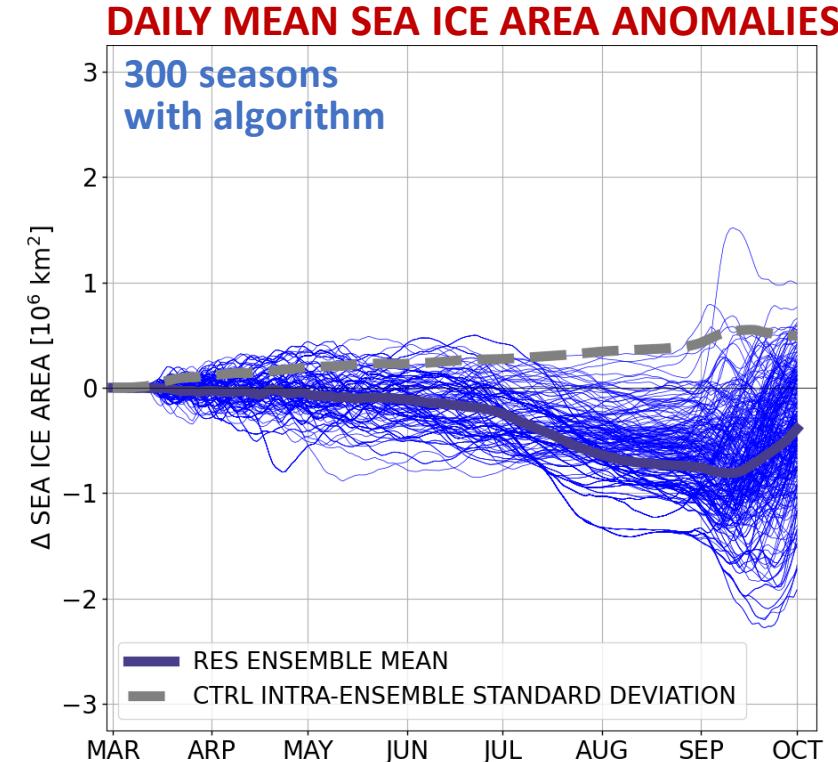
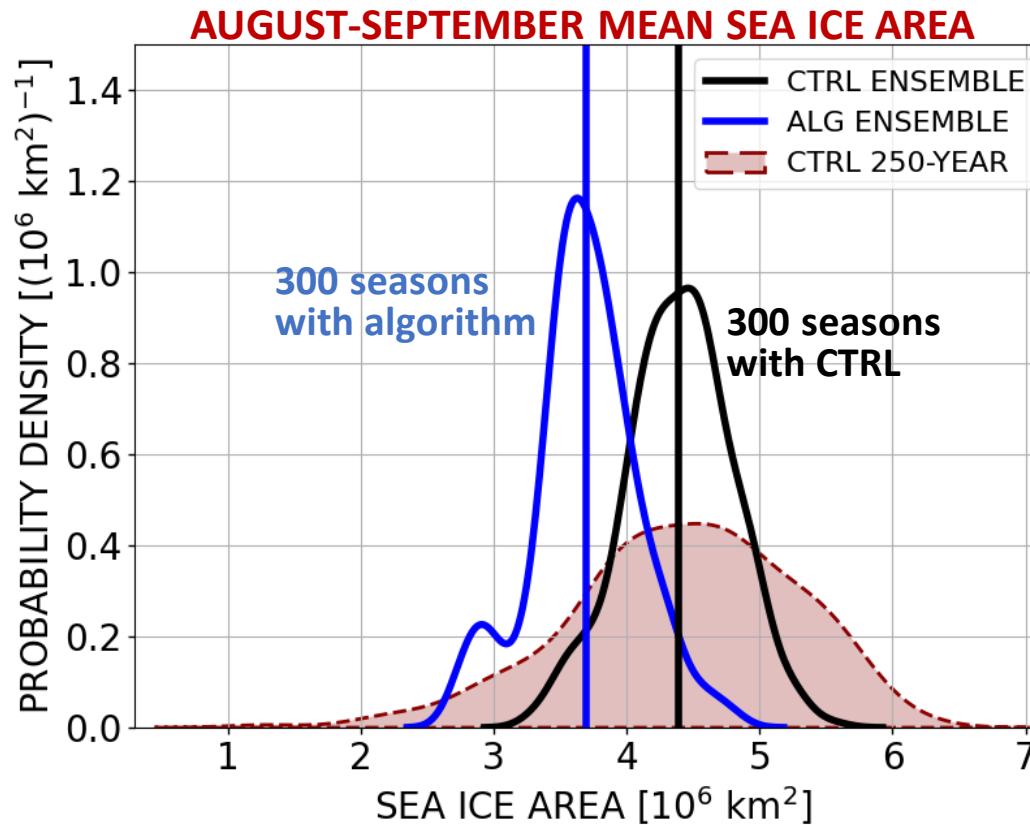
Forcing: year-2000 greenhouse gas conditions and solar forcing

Observables: pan-Arctic sea ice area and pan-Arctic sea ice volume

Seasonal climate prediction set-up: N=300 member ensembles initialized from one single initial condition

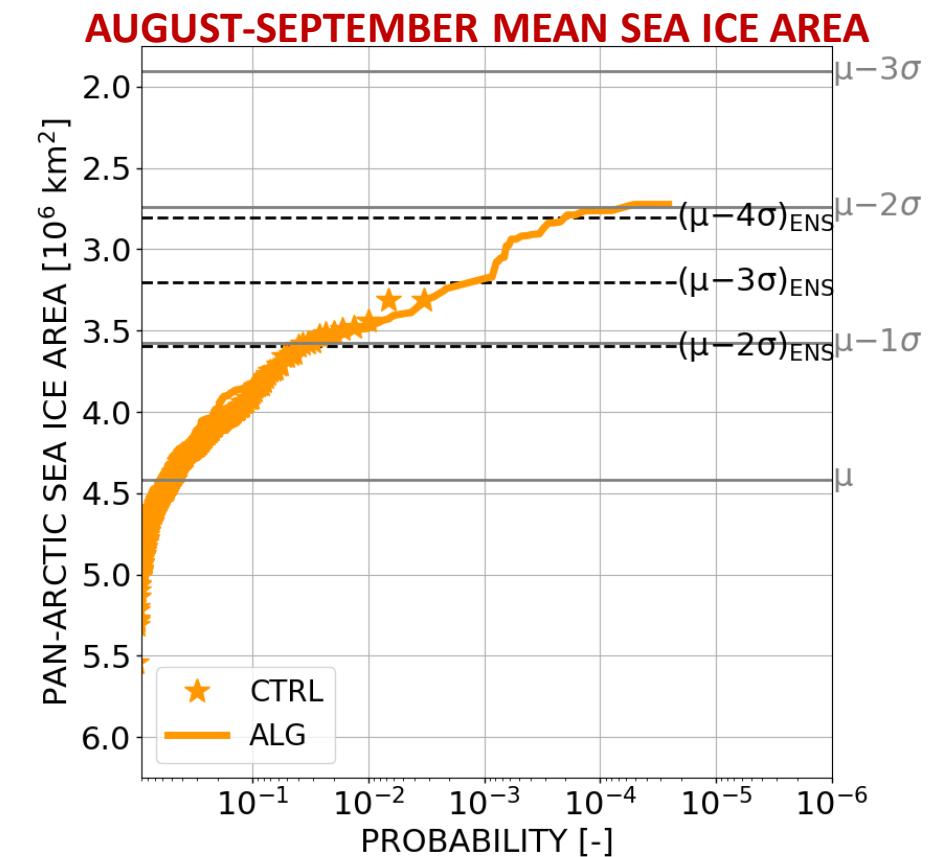
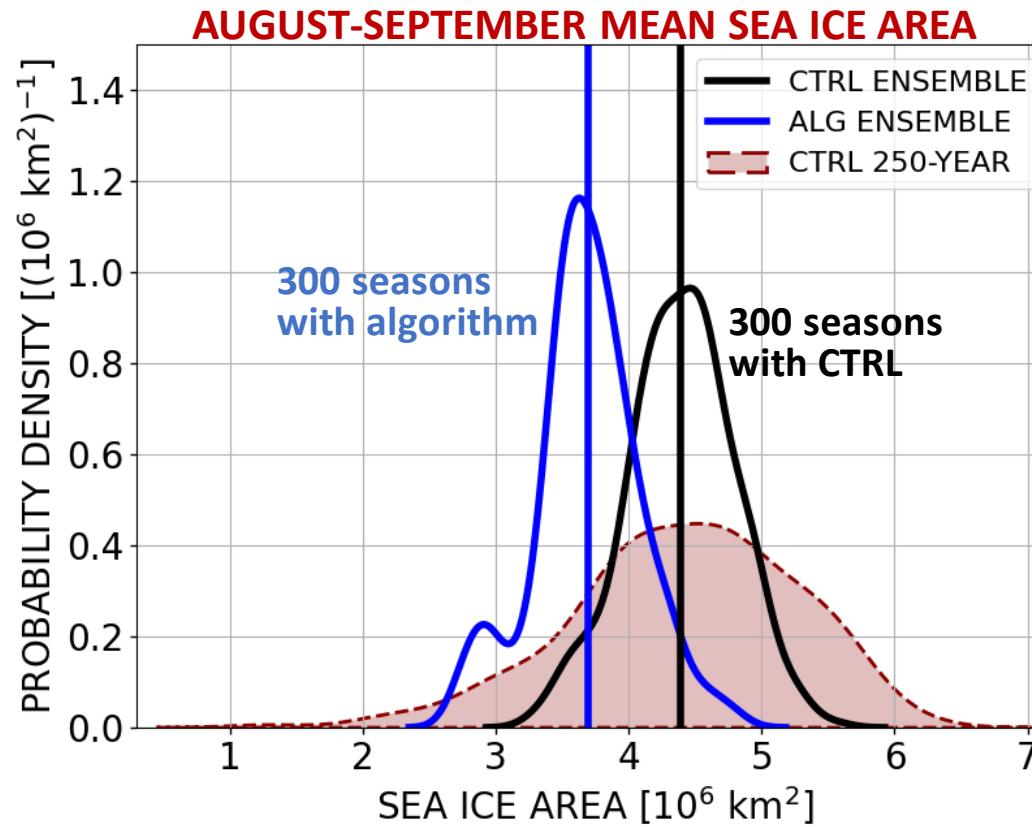


Extreme sea ice minima in the EC-Earth3 climate model



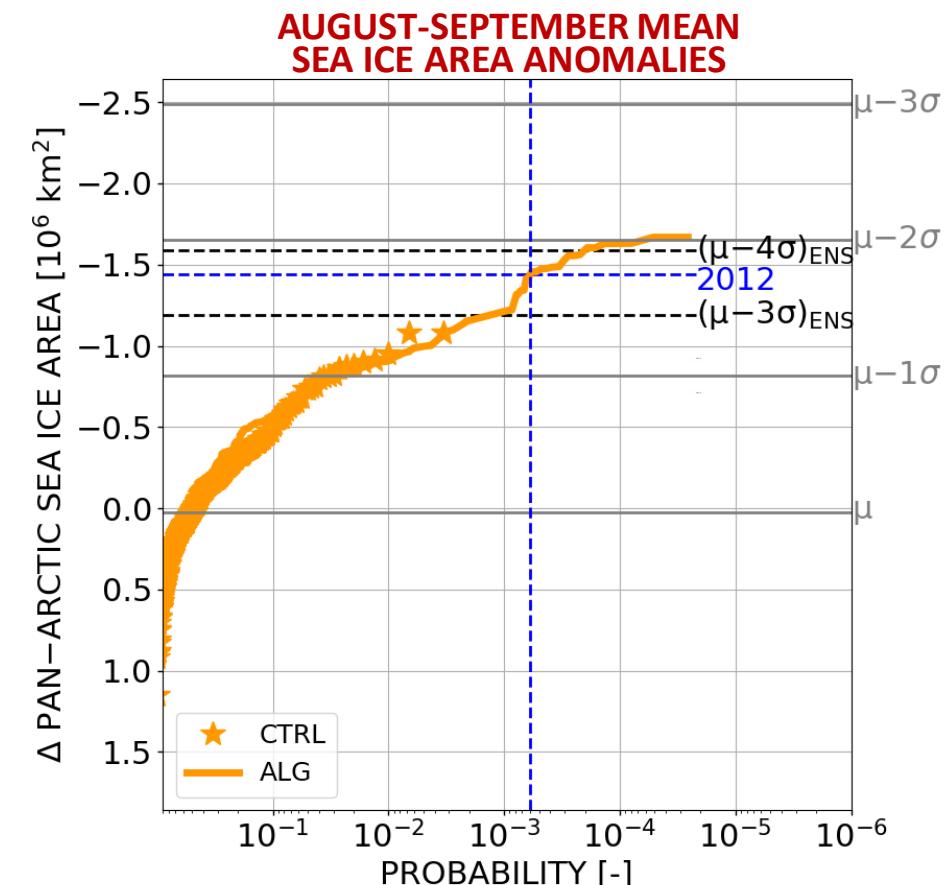
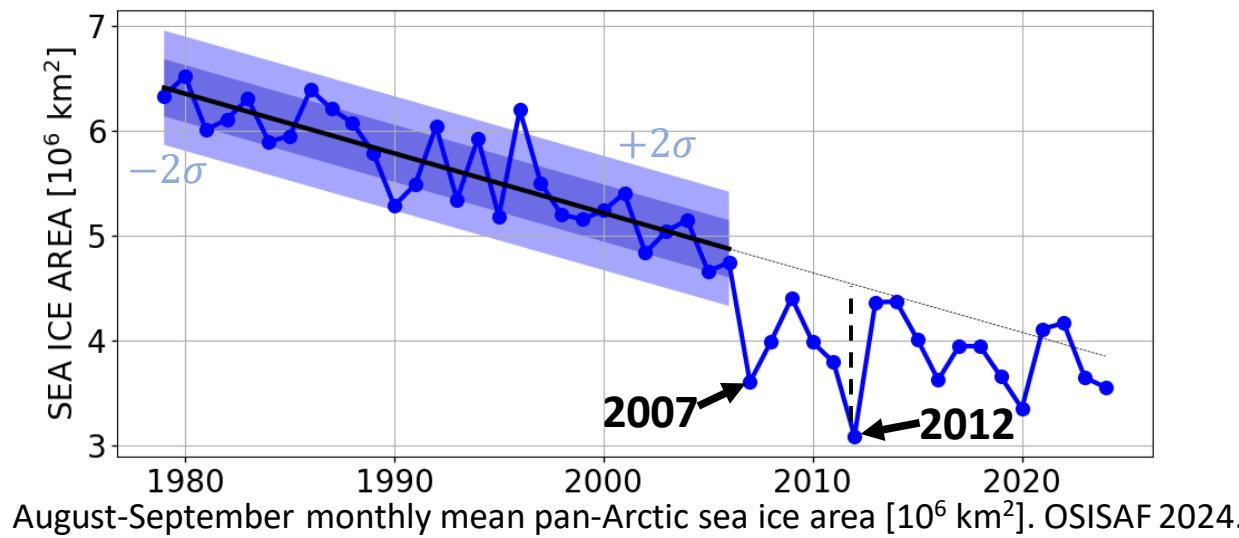
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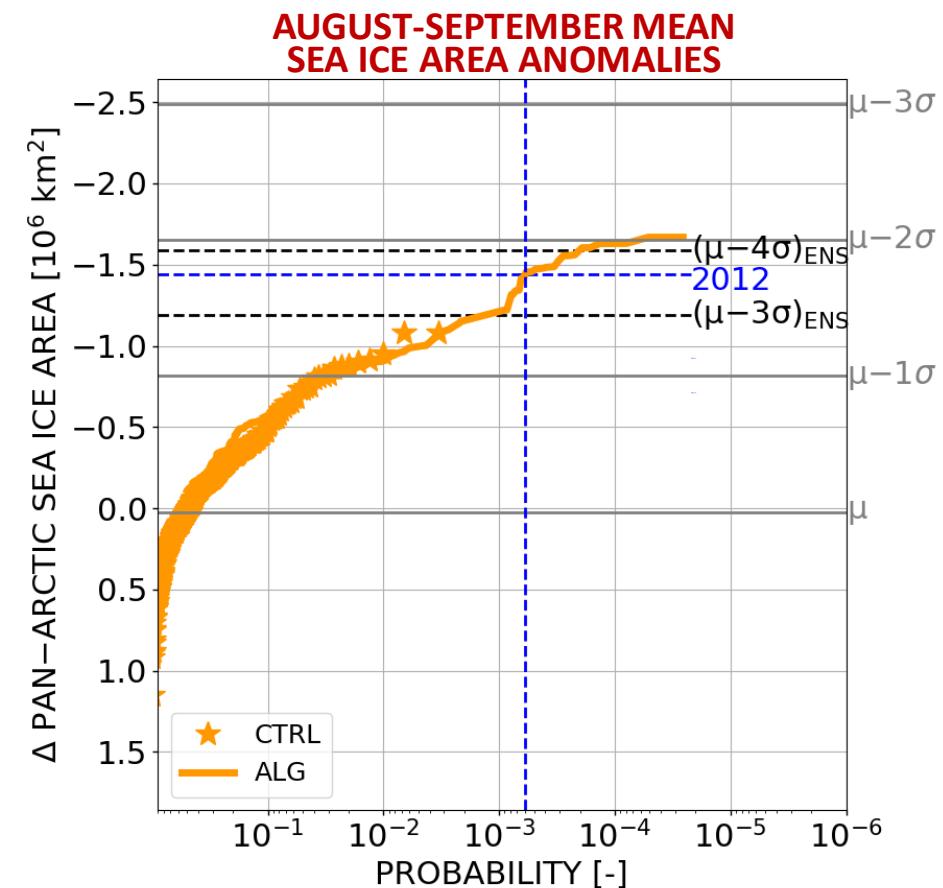
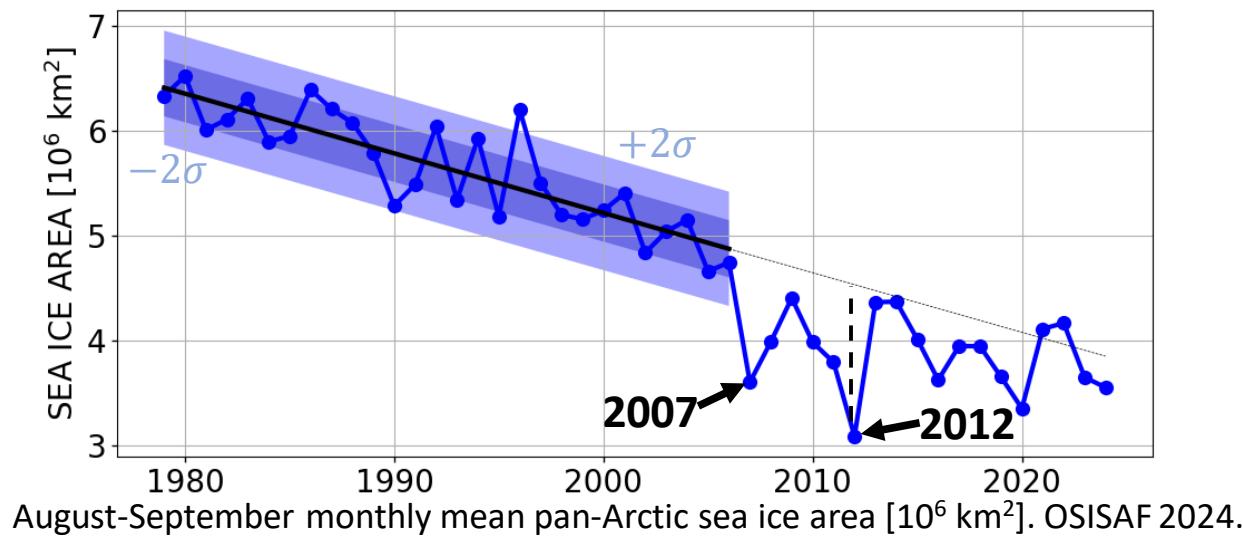
- Importance sampling of trajectories leading to low states of late summer sea ice area
- Simulation of sea ice lows with probabilities of less than 10^{-4} for a computational cost of 10^2

Attempt to quantify the probability of a 2012 sea ice low



- Ensemble contains larger fluctuations than the deviation of the observed 2012 sea ice area from the trend line
- By construction, extremes in the ensemble are purely driven by “fast drivers”, i.e. (sub)seasonal variability

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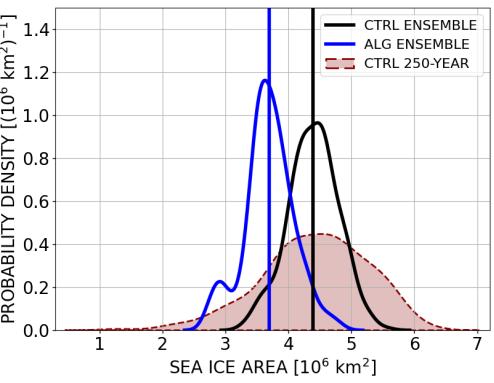
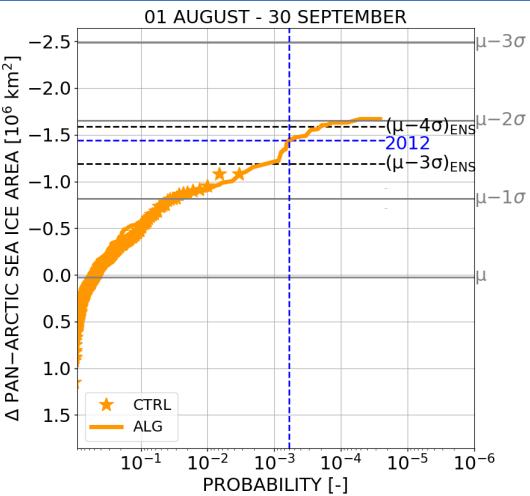


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$$P(2012)_{\text{EC-Earth3}} \approx O(10^{-3} - 10^{-4})$$

Summary and ongoing work

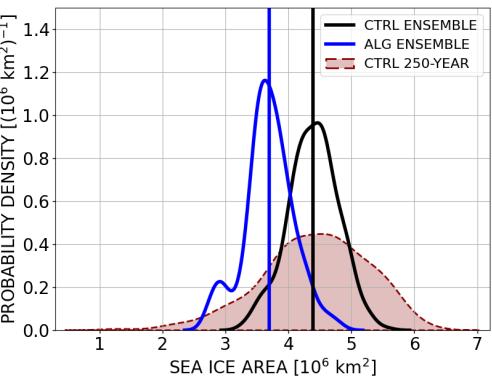
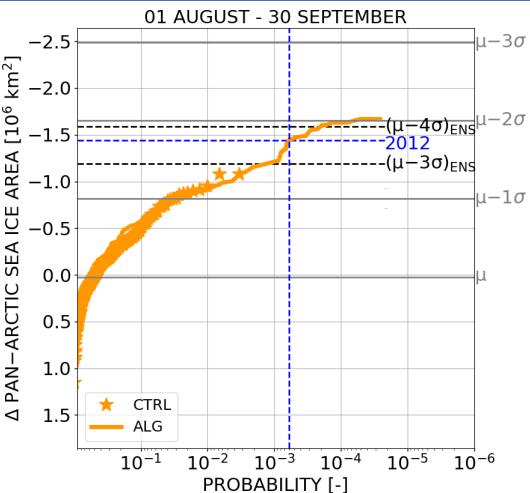
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- “independent initial condition” vs. “seasonal climate prediction” set-up



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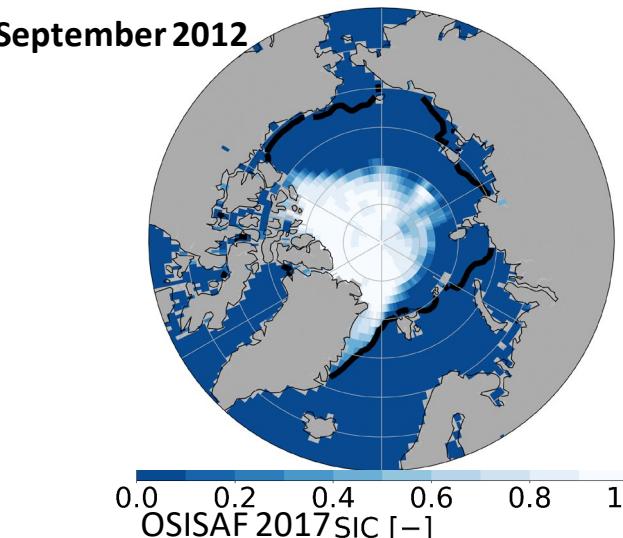
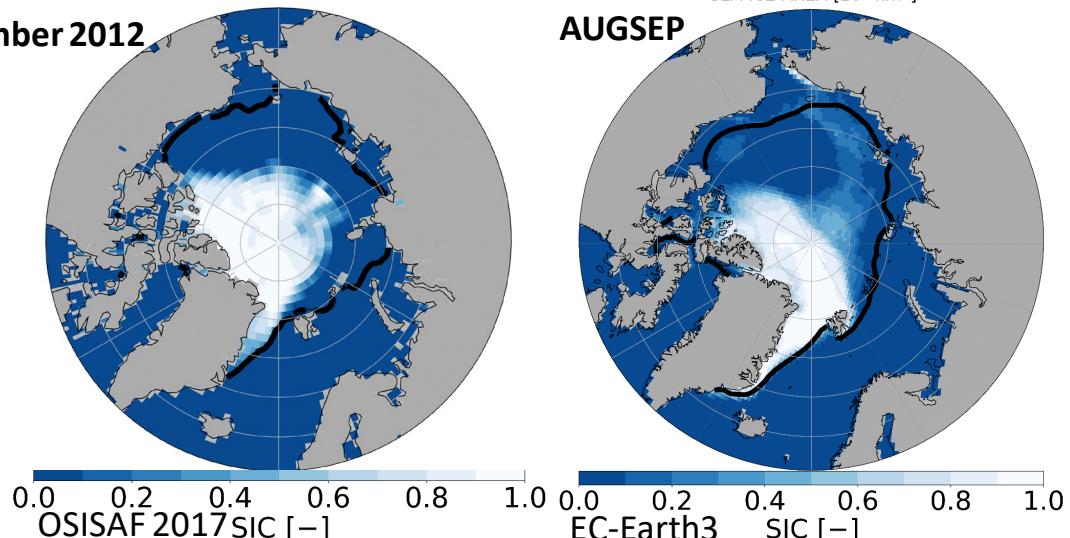
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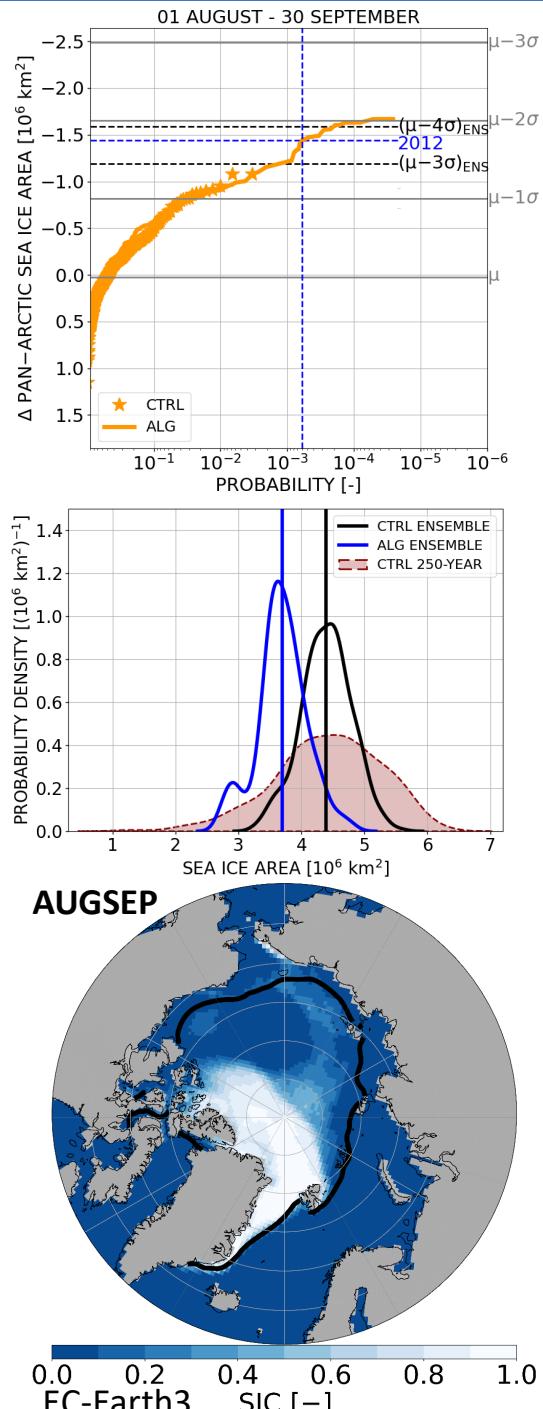
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- What is driving extreme sea ice lows in EC-Earth3?:
 - 1) sea ice area and sea ice volume budget analysis (e.g. Holland and Kwok 2012)
 - 2) surface energy budget analysis



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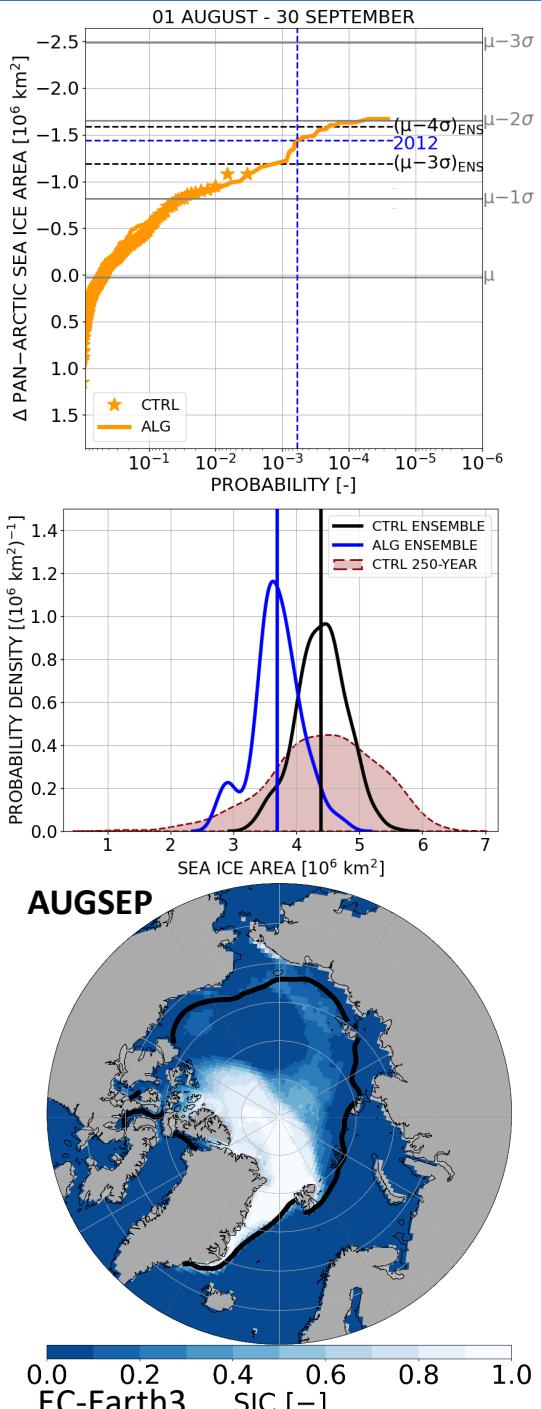
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- What is driving extreme sea ice lows in EC-Earth3?:
 - 1) sea ice area and sea ice volume budget analysis (e.g. Holland and Kwok 2012)
 - 2) surface energy budget analysis
- Sensitivity experiment to quantify the relative contributions of “slow drivers” (sea ice-ocean preconditioning) vs. “fast drivers” (weather/subseasonal variability)
-> modify the initial sea ice state of the first experiment by the difference between 2012 and 1979-2011 (“anomaly initialization”; Tian et al. 2022)



Summary and ongoing work

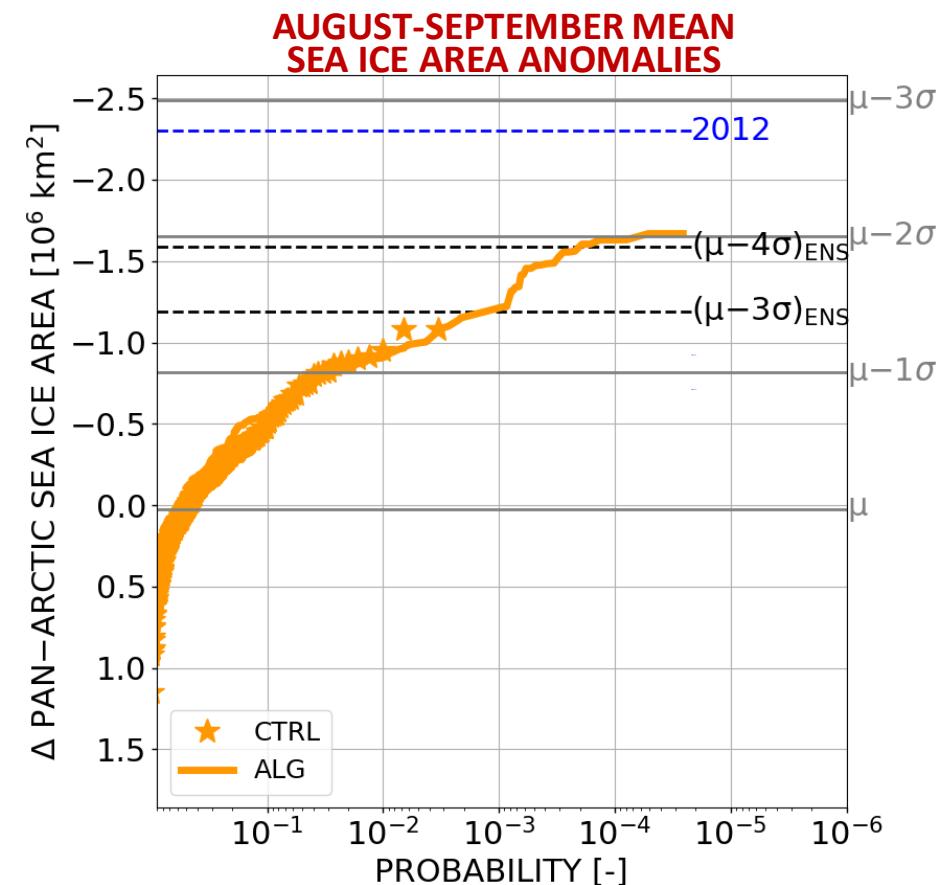
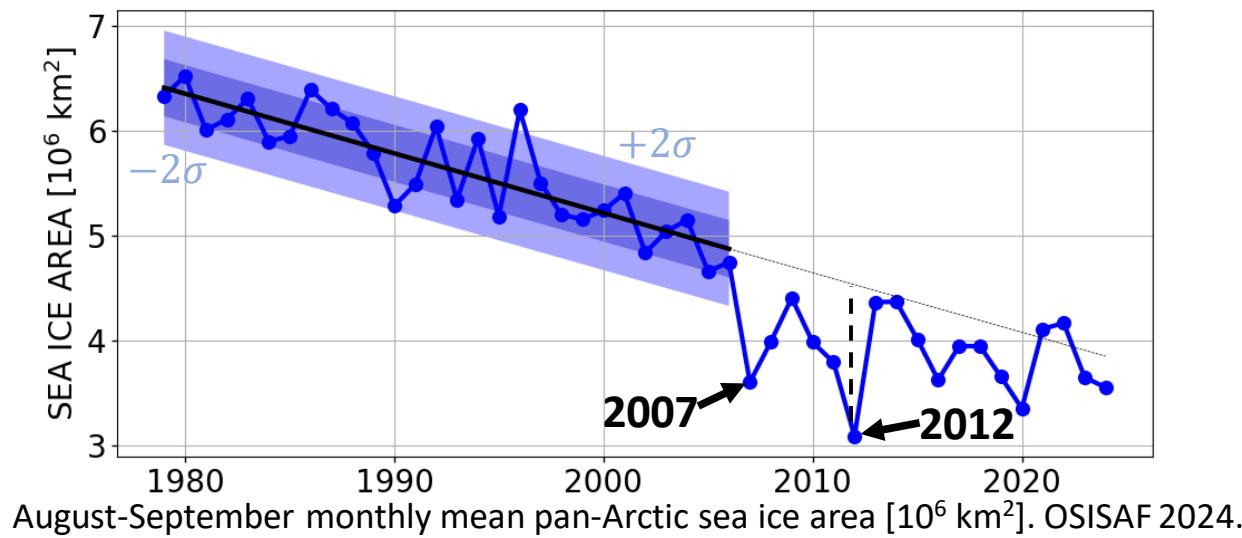
- Applications of a rare event algorithm to PlaSim and EC-Earth3: improved sampling efficiency of extremely negative pan-Arctic sea ice area anomalies
- “independent initial condition” vs. “seasonal climate prediction” set-up
- Allows for a quantitative statistical analysis of extremes of sea ice reduction
- potential to quantify the probabilities of extreme fluctuations such as in 2012
$$P(2012)_{EC-Earth3} \approx O(10^{-3} - 10^{-4})$$
- What is driving extreme sea ice lows in EC-Earth3?:
 - 1) sea ice area and sea ice volume budget analysis (e.g. Holland and Kwok 2012)
 - 2) surface energy budget analysis
- Sensitivity experiment to quantify the relative contributions of “slow drivers” (sea ice-ocean preconditioning) vs. “fast drivers” (weather/subseasonal variability)
-> modify the initial sea ice state of the first experiment by the difference between 2012 and 1979-2011 (“anomaly initialization”; Tian et al. 2022)

Thank you for your attention



Appendix

Attempt to quantify the probability of a 2012 sea ice low



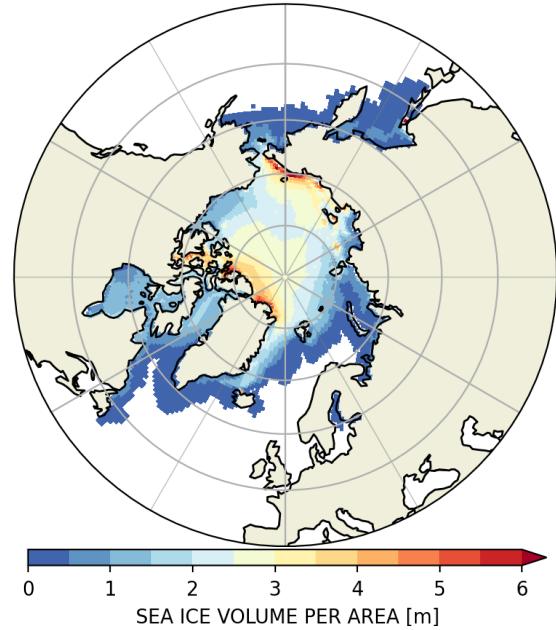
- Intra-seasonal dynamics does not produce late summer sea ice area anomalies larger in magnitude than the deviation of the observed 2012 sea ice area from the 1979-2011 average

Validation of the model state

- Standard deviation of August-September mean sea ice area in EC-Earth3 CTRL ENSEMBLE: $0.40 \cdot 10^6 \text{ km}^2$
- Standard deviation of August-September mean sea ice area of residuals relative to the extrapolated trend line fitted to 1979-2006 in OSISAF: $0.41 \cdot 10^6 \text{ km}^2$
- Standard deviation of August-September mean sea ice area – February-March mean sea ice area of residuals relative to the extrapolated trend line fitted to 1979-2006 in OSISAF: $0.45 \cdot 10^6 \text{ km}^2$
- Standard deviation of August-September mean sea ice area – March mean sea ice area in EC-Earth3 CTRL ENSEMBLE: $0.40 \cdot 10^6 \text{ km}^2$

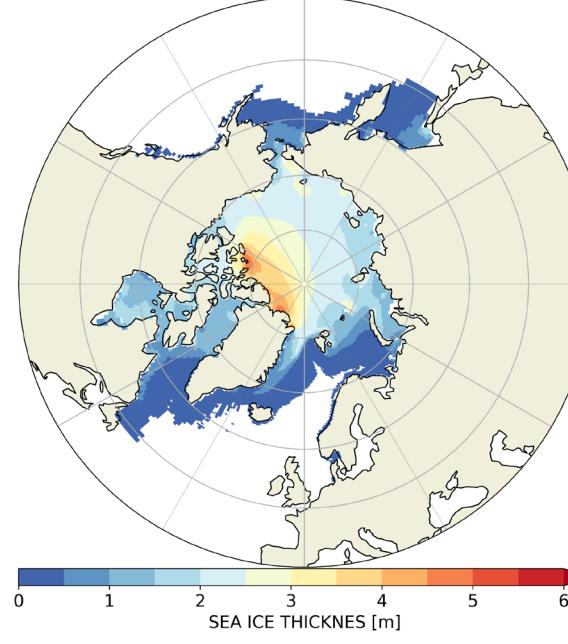
Validation of the model state

01 MARCH 2237 (NEUTRAL STATE)
SIV = $26.11 \cdot 10^3 \text{ km}^3$



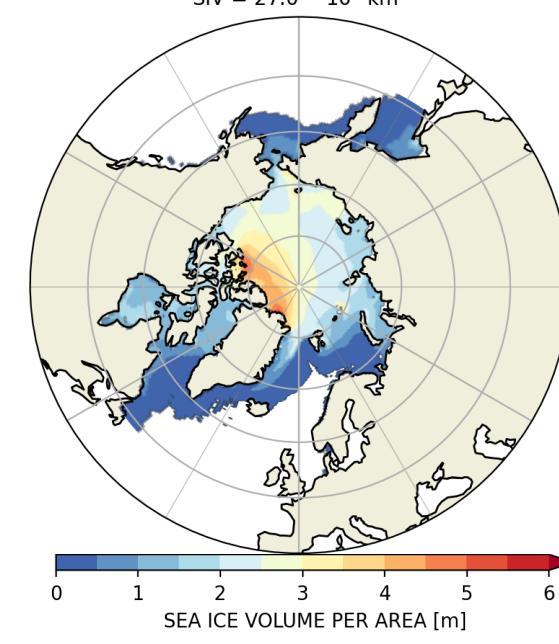
EC-Earth3

PIOMAS FEBMAR 1979-2011 MEAN SEA ICE THICKNESS
SIV = $25.62 \cdot 10^3 \text{ km}^3$



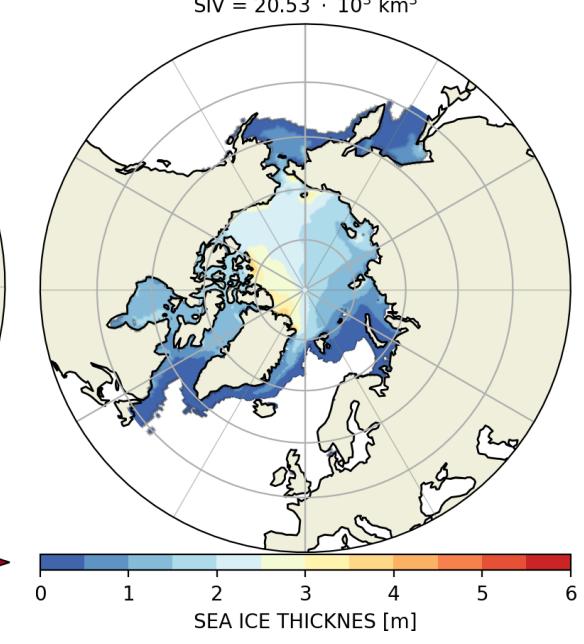
PIOMAS 2024

PIOMAS FEBMAR 1979-2006 MEAN SEA ICE THICKNESS
SIV = $27.0 \cdot 10^3 \text{ km}^3$



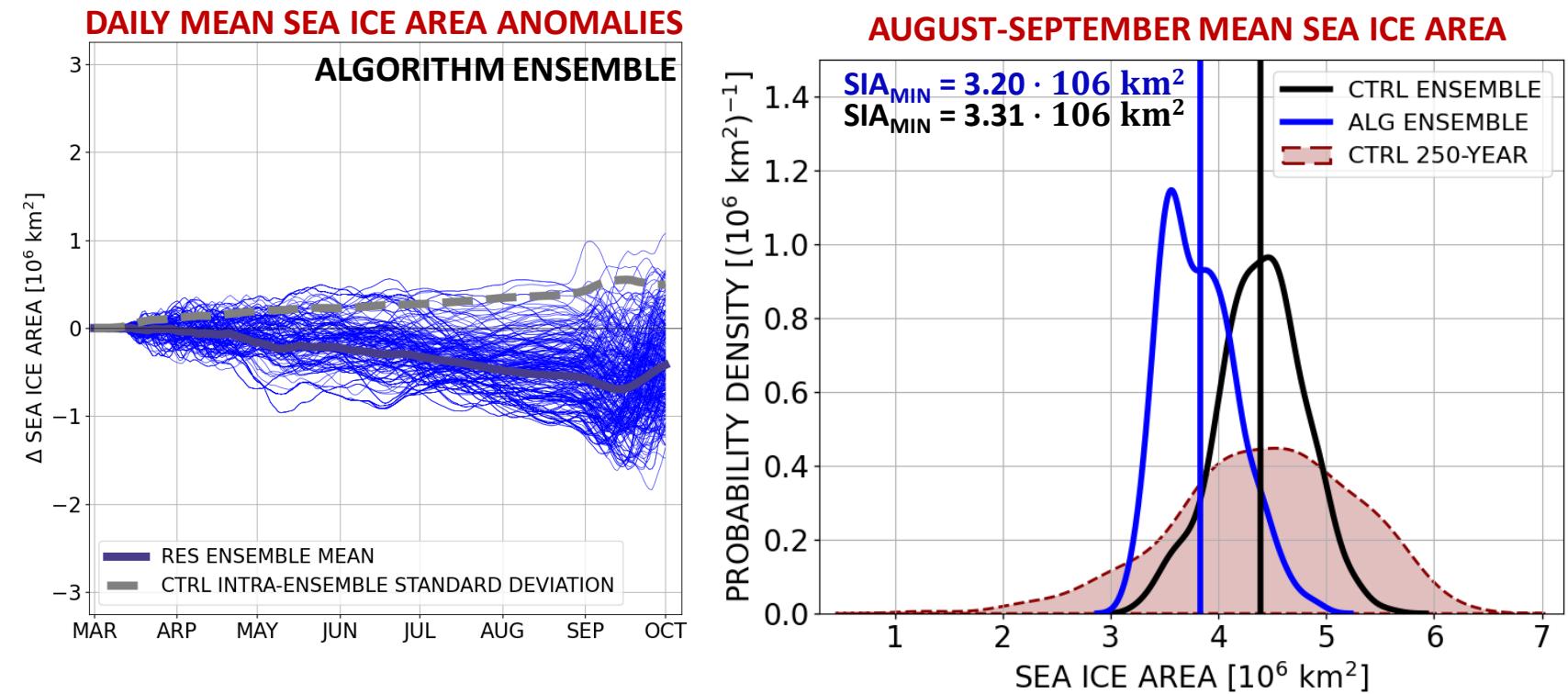
PIOMAS 2024

PIOMAS FEBMAR 2012 MEAN SEA ICE THICKNESS
SIV = $20.53 \cdot 10^3 \text{ km}^3$

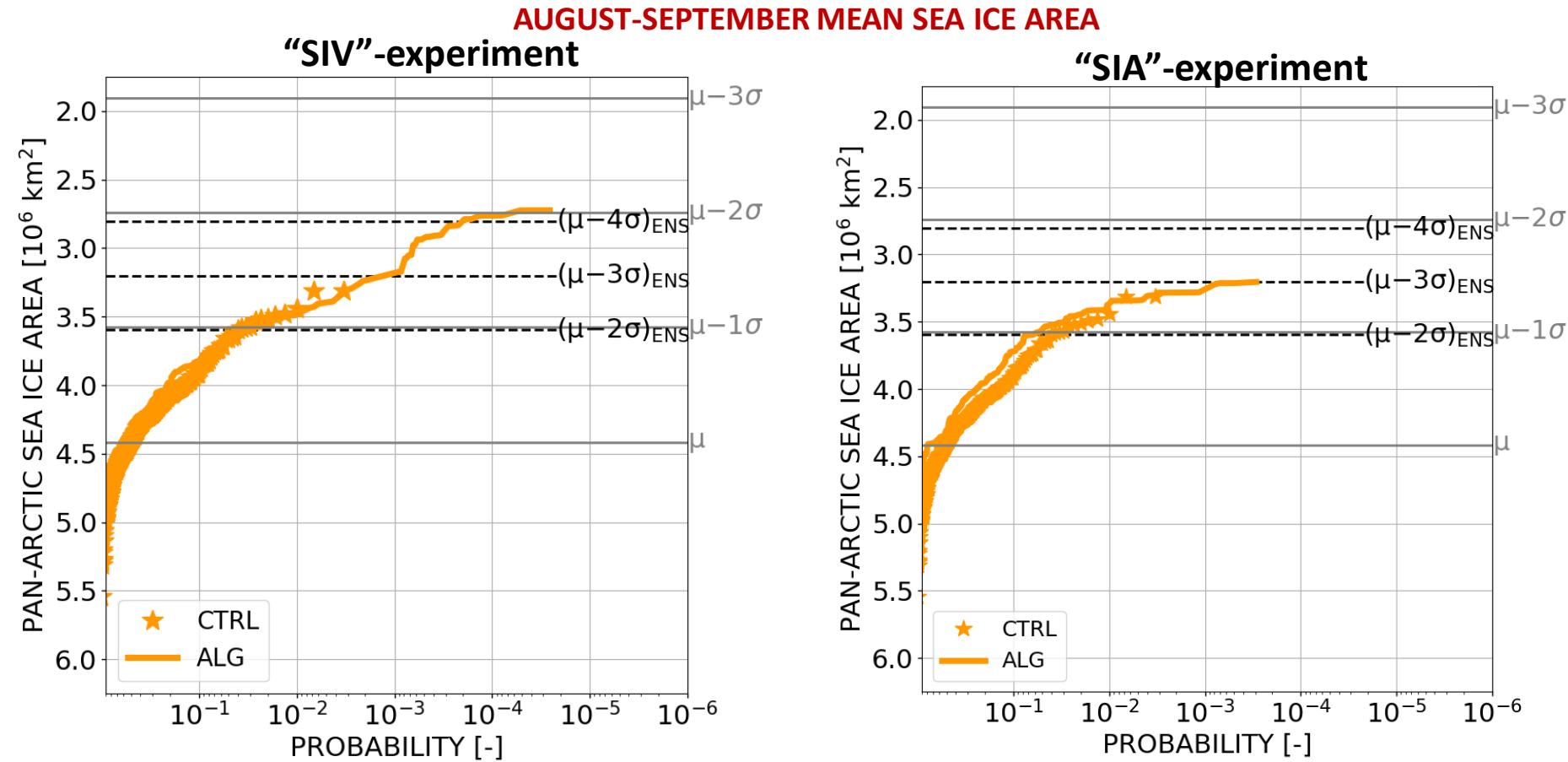


PIOMAS 2024

Extreme sea ice minima in the EC-Earth3 climate model



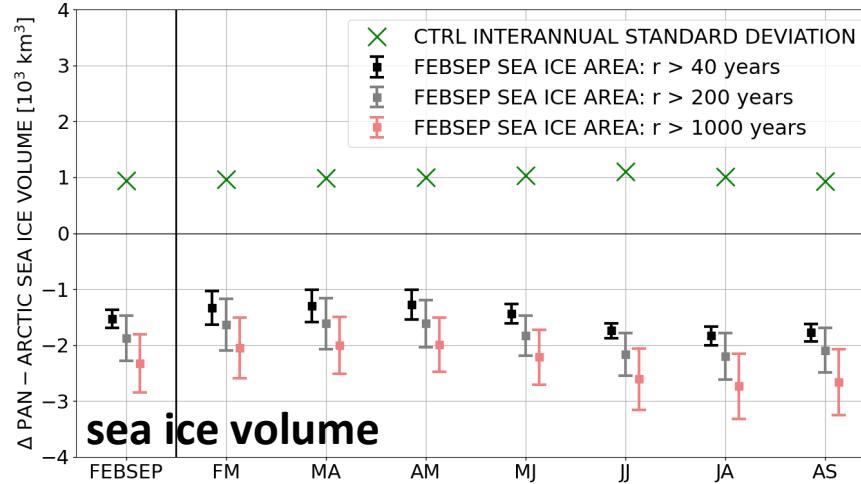
Extreme sea ice minima in the EC-Earth3 climate model



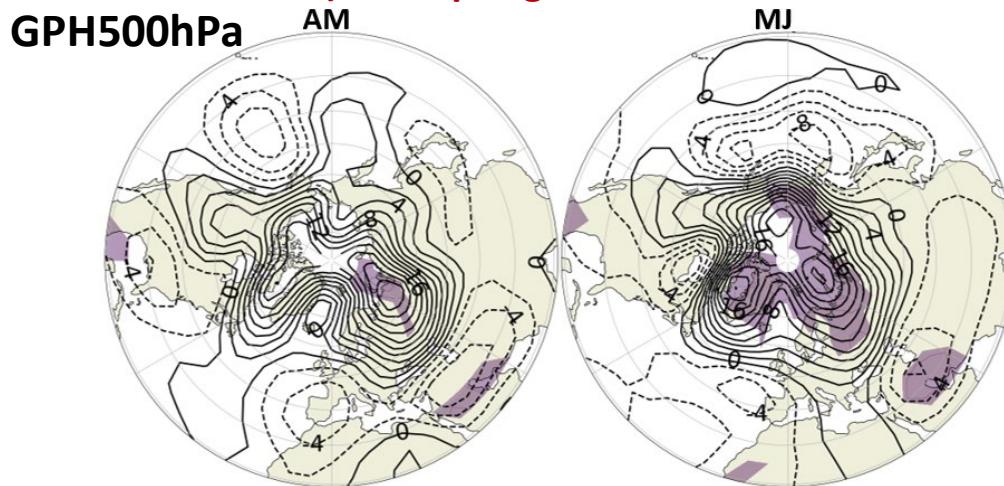
Seasons with extremely low pan-Arctic sea ice area PlaSim-T21-LSG

Drivers of February-September mean pan-Arctic sea ice area anomalies with return times of more than 200 years

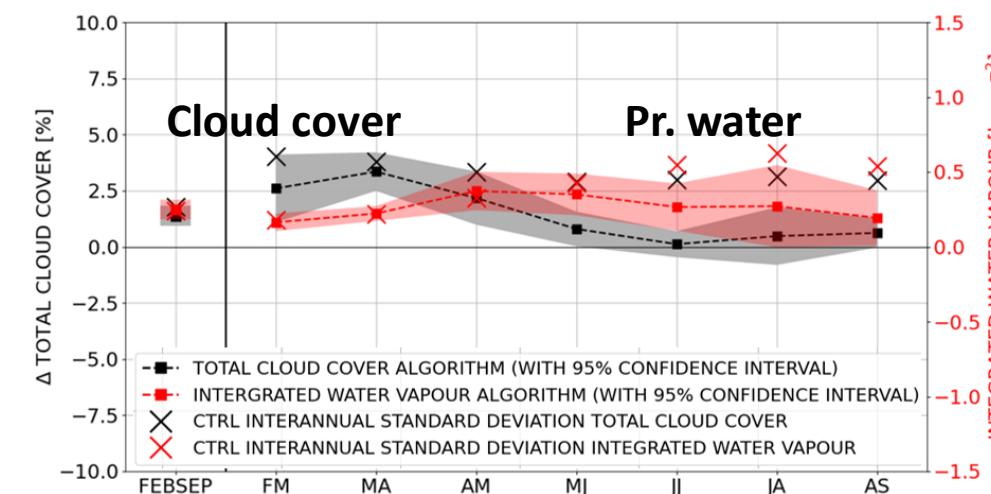
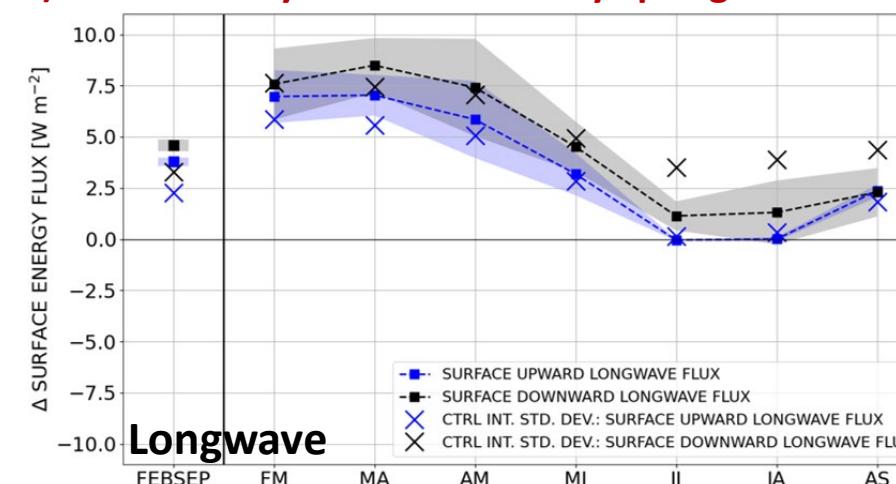
1) Sea ice-ocean preconditioning



3) Late spring "heat wave"



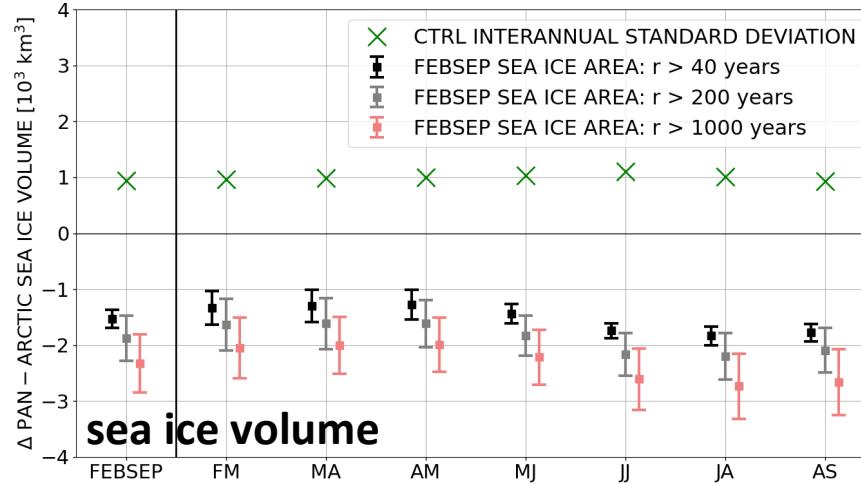
2) Anomalously moist and cloudy spring Arctic atmosphere



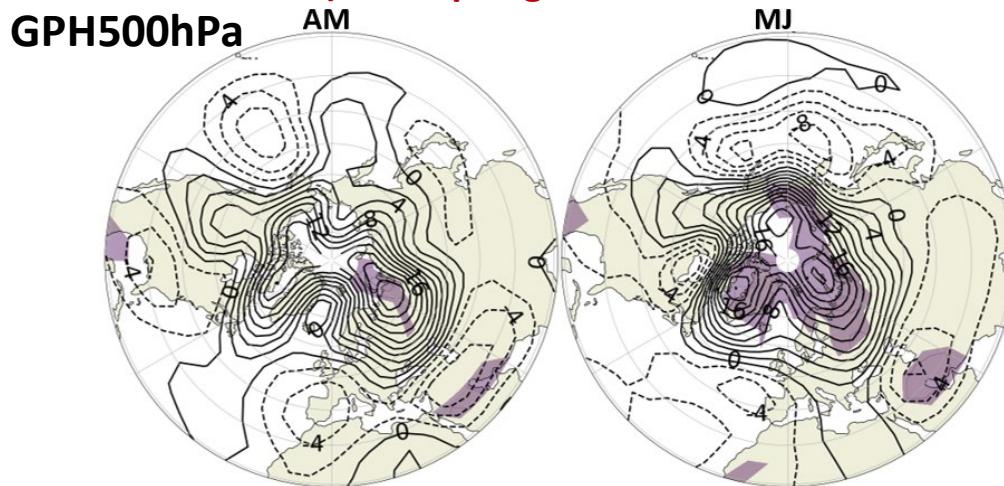
Seasons with extremely low pan-Arctic sea ice area PlaSim-T21-LSG

Drivers of February-September mean pan-Arctic sea ice area anomalies with return times of more than 200 years

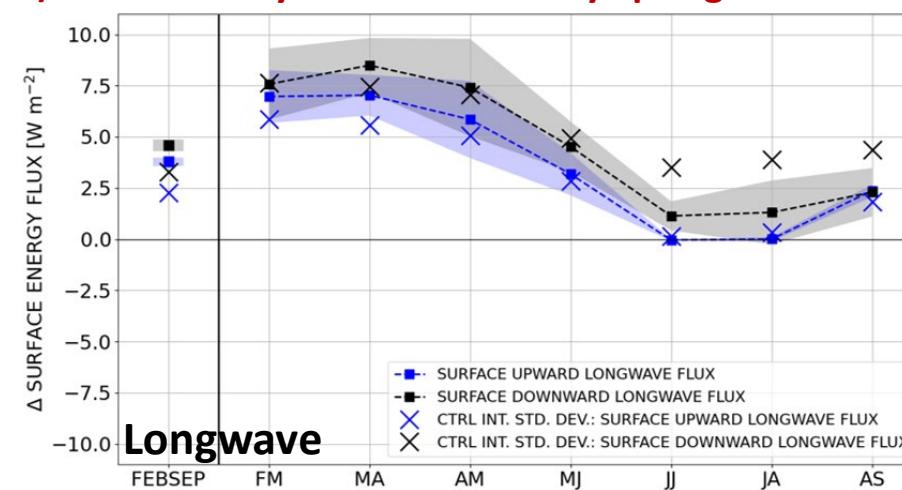
1) Sea ice-ocean preconditioning



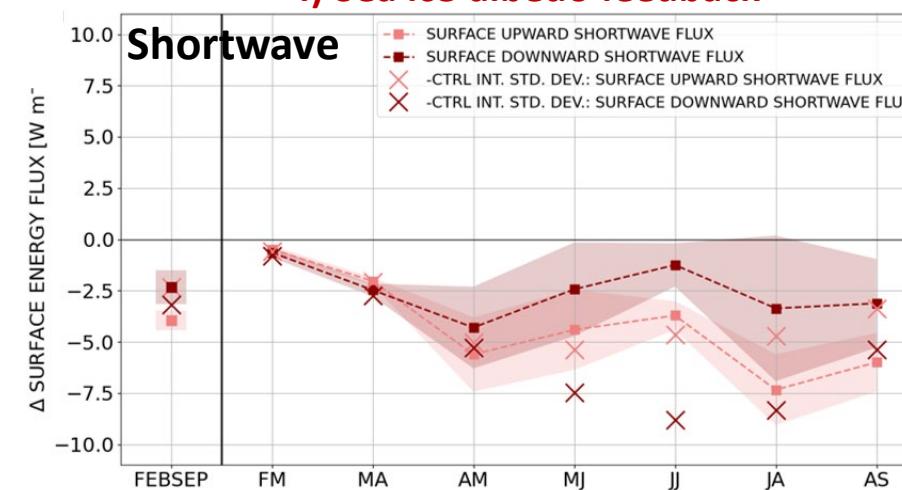
3) Late spring "heat wave"



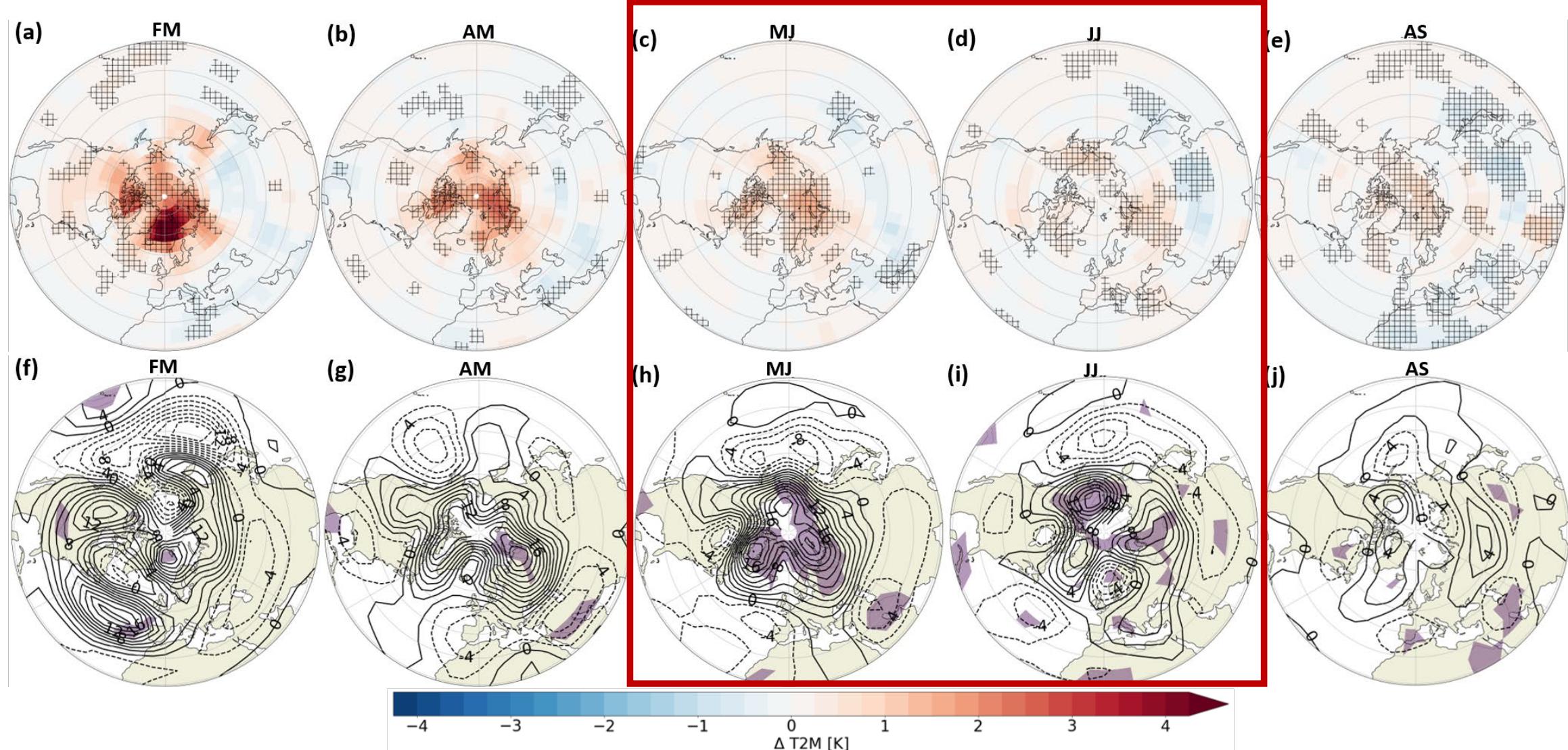
2) Anomalously moist and cloudy spring Arctic atmosphere



4) Sea ice-albedo feedback



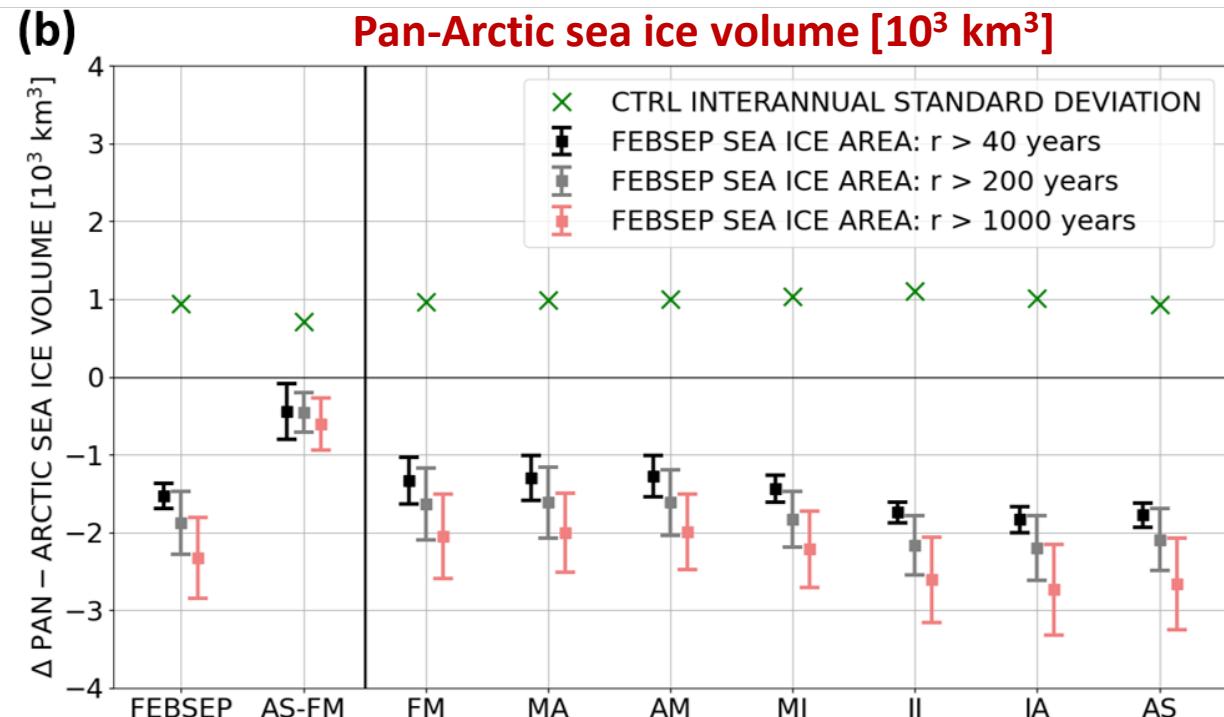
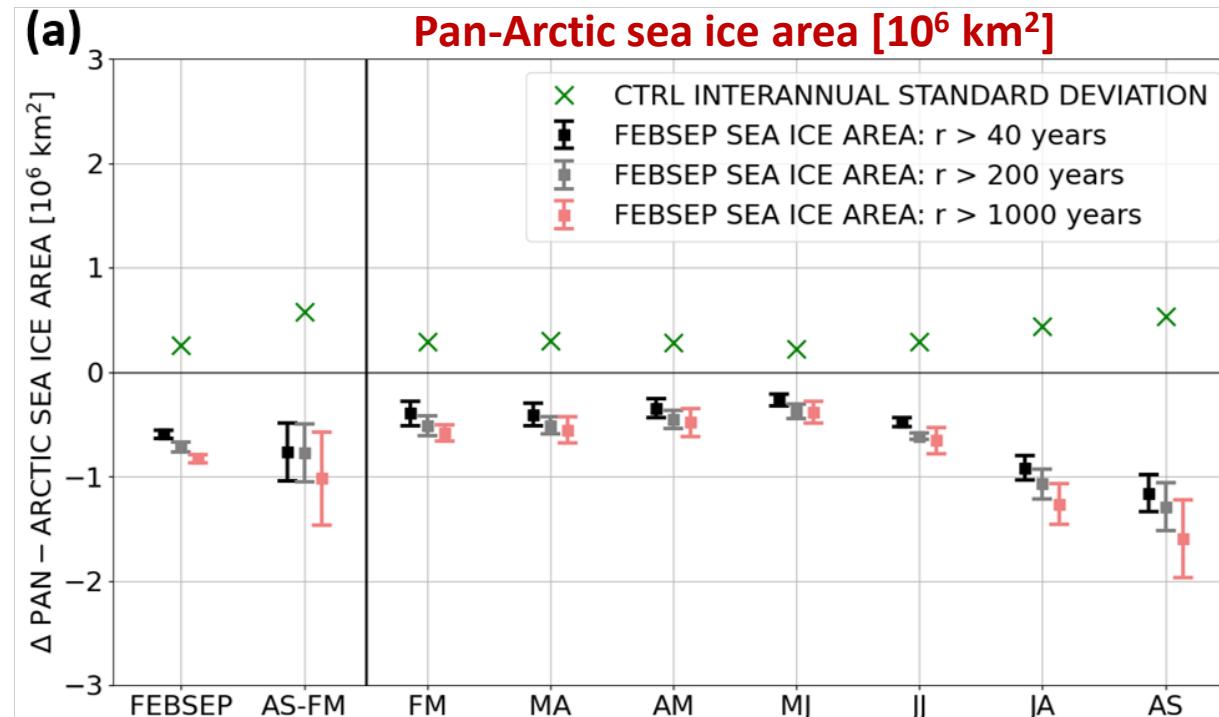
Seasons with extremely low pan-Arctic sea ice area PlaSim-T21-LSG



Sauer, J., Demaeyer, J., Zappa, G., Massonet, F., & Ragone, F. (2024). Extremes of summer Arctic sea ice reduction investigated with a rare event algorithm. Climate Dynamics. <https://doi.org/10.1007/s00382-024-07160-y>

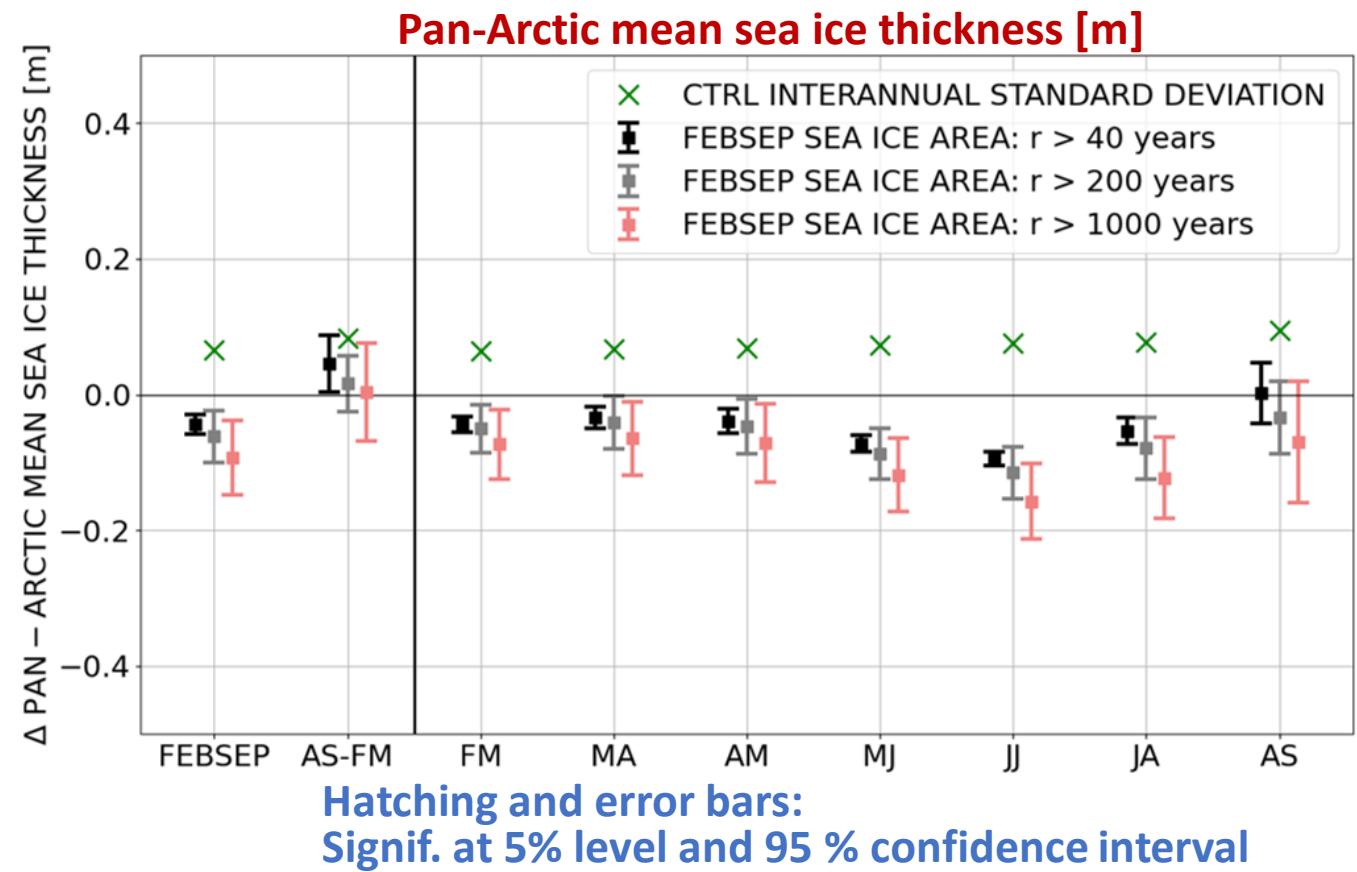
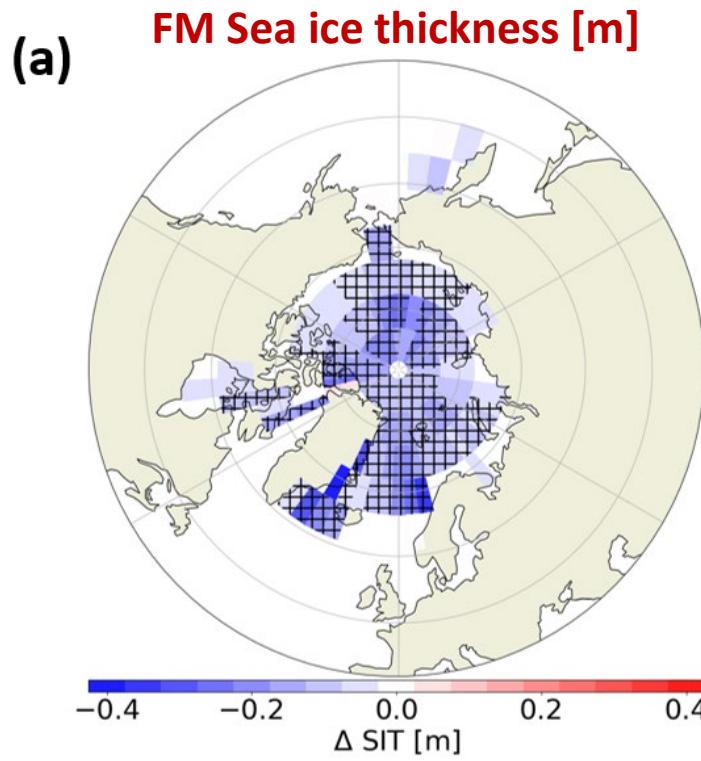
Seasonal: February-September
Hatching and shading:
Significance at the 5% level

Results: Application of the rare event algorithm to PlaSim-T21-LSG

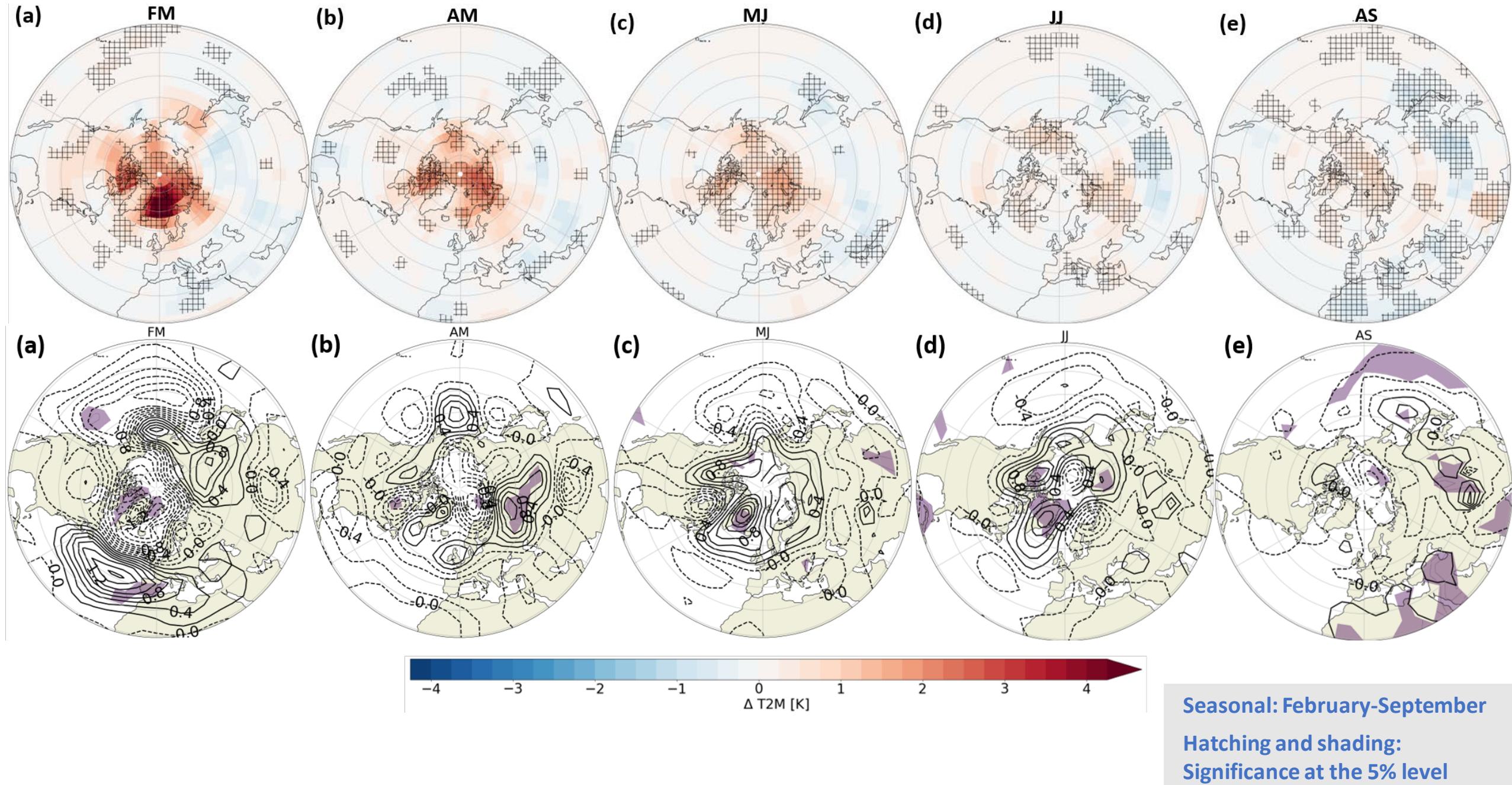


Error bars: 95 % confidence interval

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I

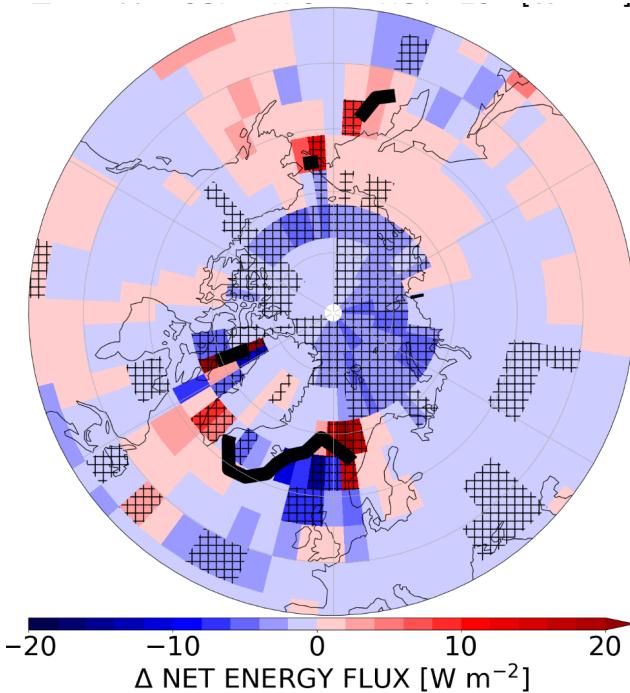


Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I



Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I

February-September mean
net energy fluxes



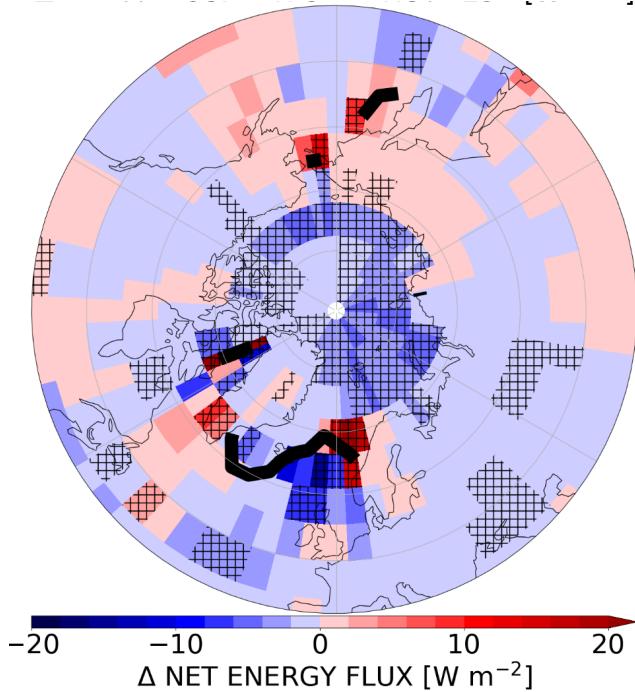
- Enhanced seasonal mean **net surface energy flux** from the atmosphere to sea ice-ocean

Seasonal: February-September

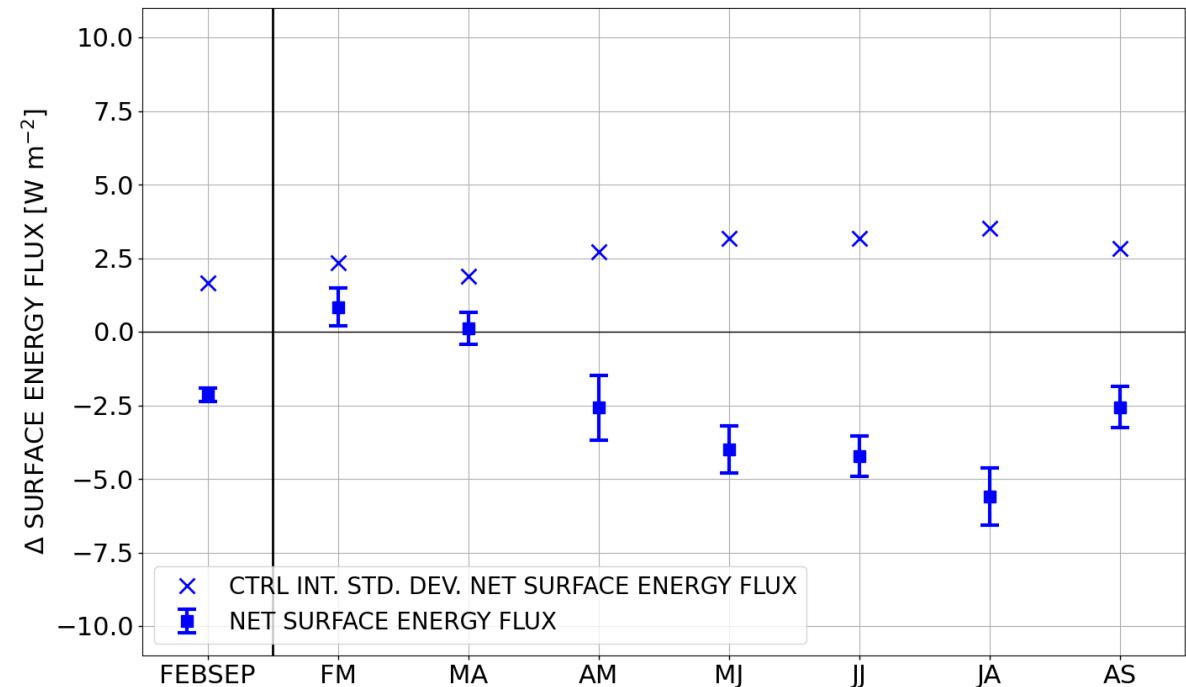
Hatching/Error bars: Significance at the
5% level/95% confidence interval

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I

February-September mean net energy fluxes



Seasonal evolution of net energy fluxes



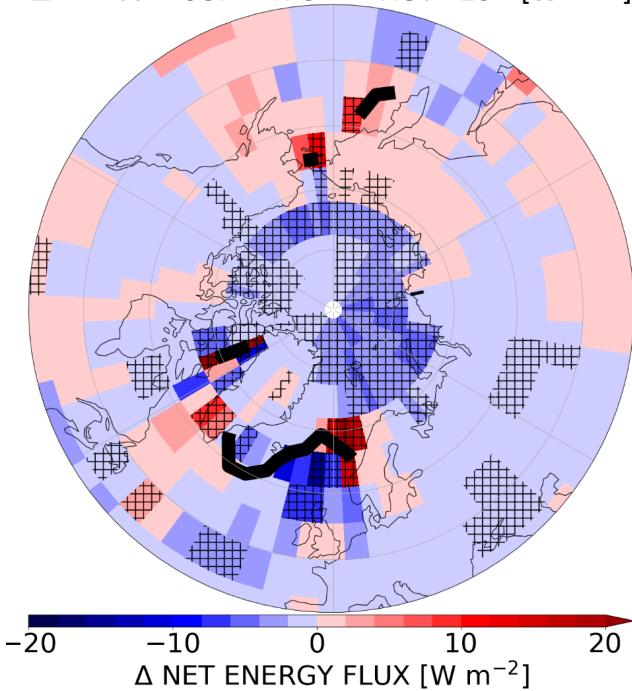
- Enhanced seasonal mean **net surface energy flux** from the atmosphere to sea ice-ocean
- Enhanced upward heat fluxes in winter and strongly enhanced downward fluxes from spring onwards

Seasonal: February-September

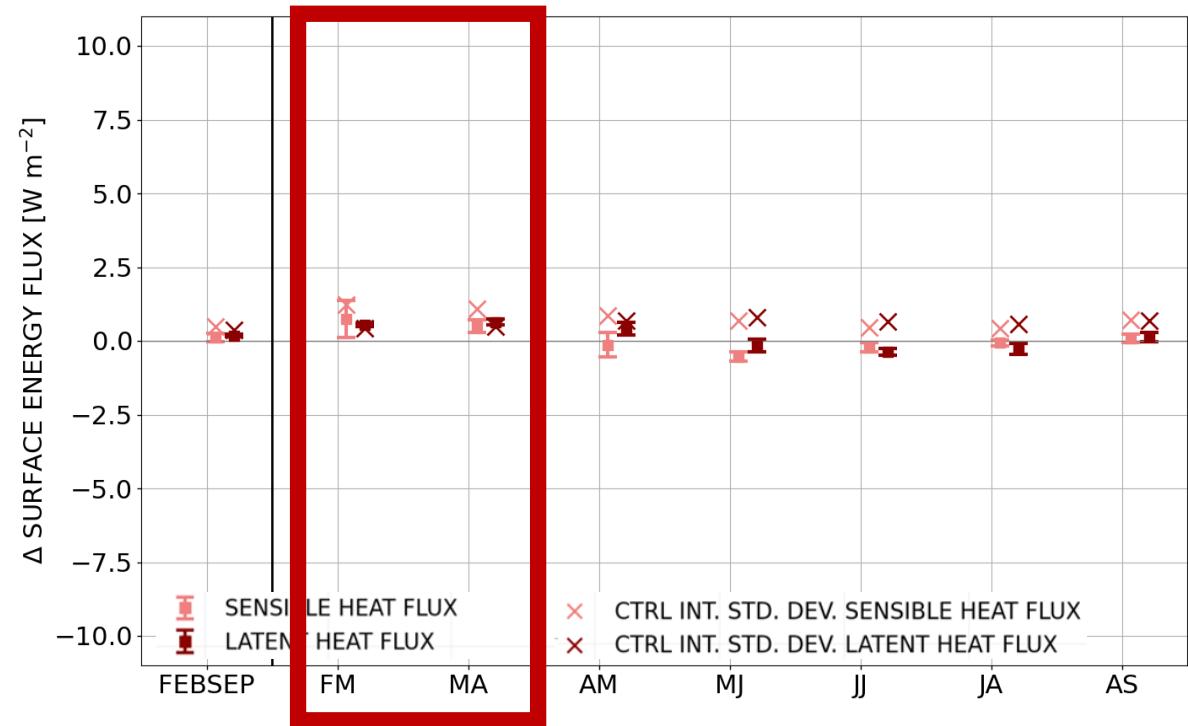
Hatching/Error bars: Significance at the 5% level/95% confidence interval

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I

February-September mean net energy fluxes



Seasonal evolution of sensible and latent heat fluxes



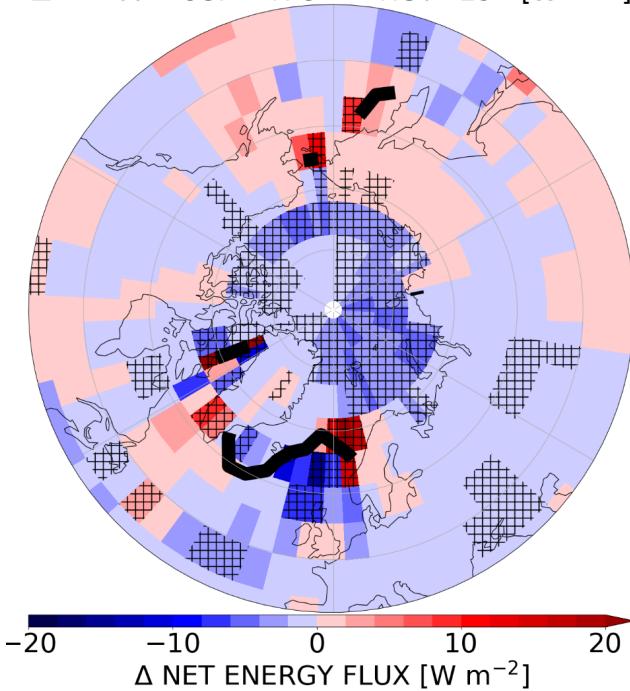
- Enhanced seasonal mean **net surface energy flux** from the atmosphere to sea ice-ocean
- Enhanced upward sensible and latent heat flux in late winter**

Seasonal: February-September

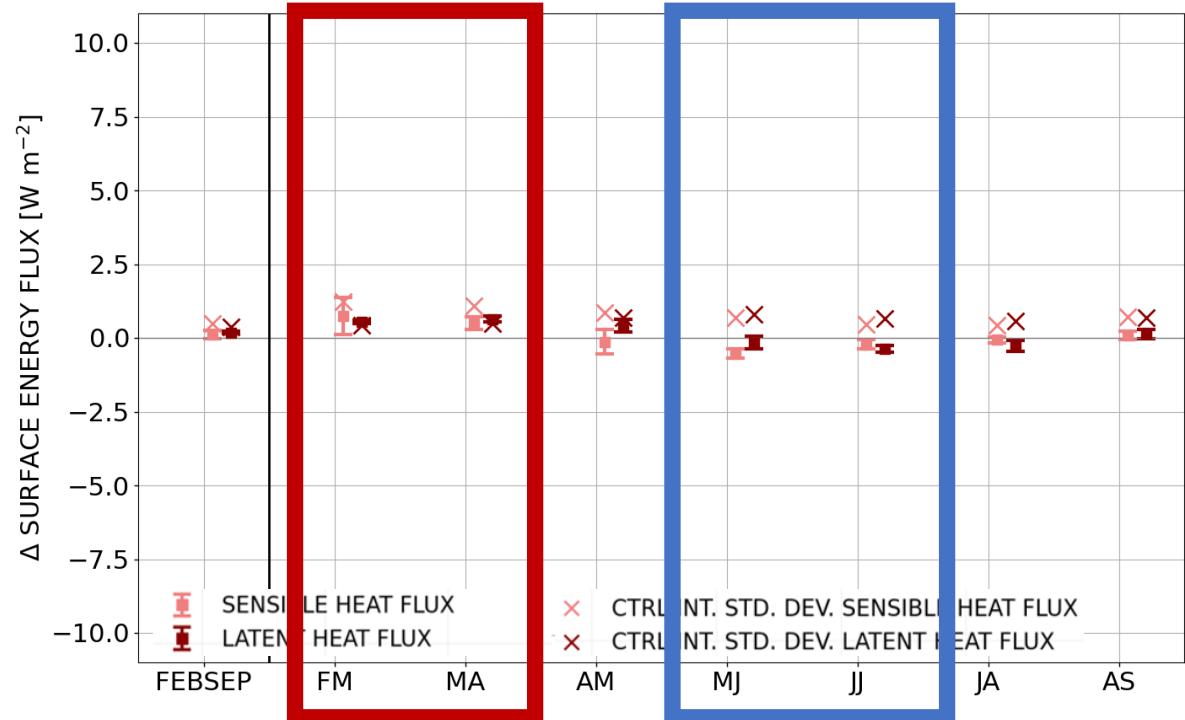
Hatching/Error bars: Significance at the 5% level/95% confidence interval

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I

February-September mean net energy fluxes



Seasonal evolution of sensible and latent heat fluxes



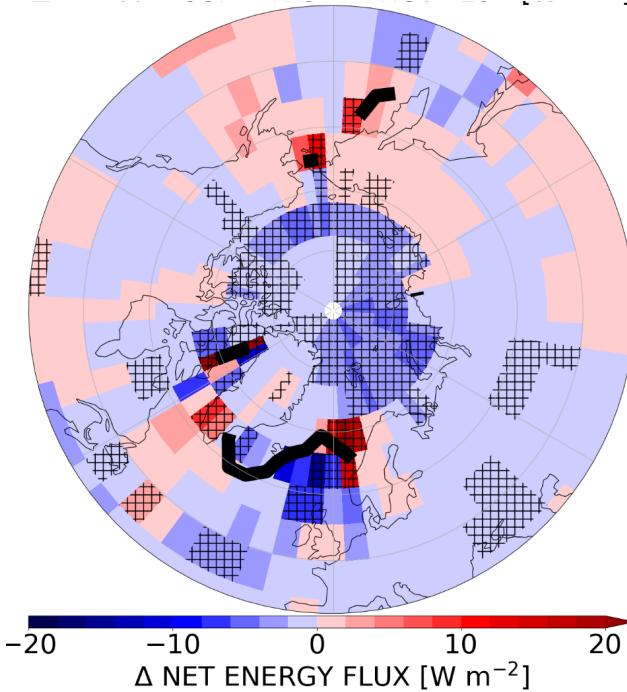
- Enhanced seasonal mean **net surface energy flux** from the atmosphere to sea ice-ocean
- Enhanced upward sensible and latent heat flux in late winter**
- Enhanced downward sensible heat fluxes** in May-June consistent with heat wave-like Z500 pattern

Seasonal: February-September

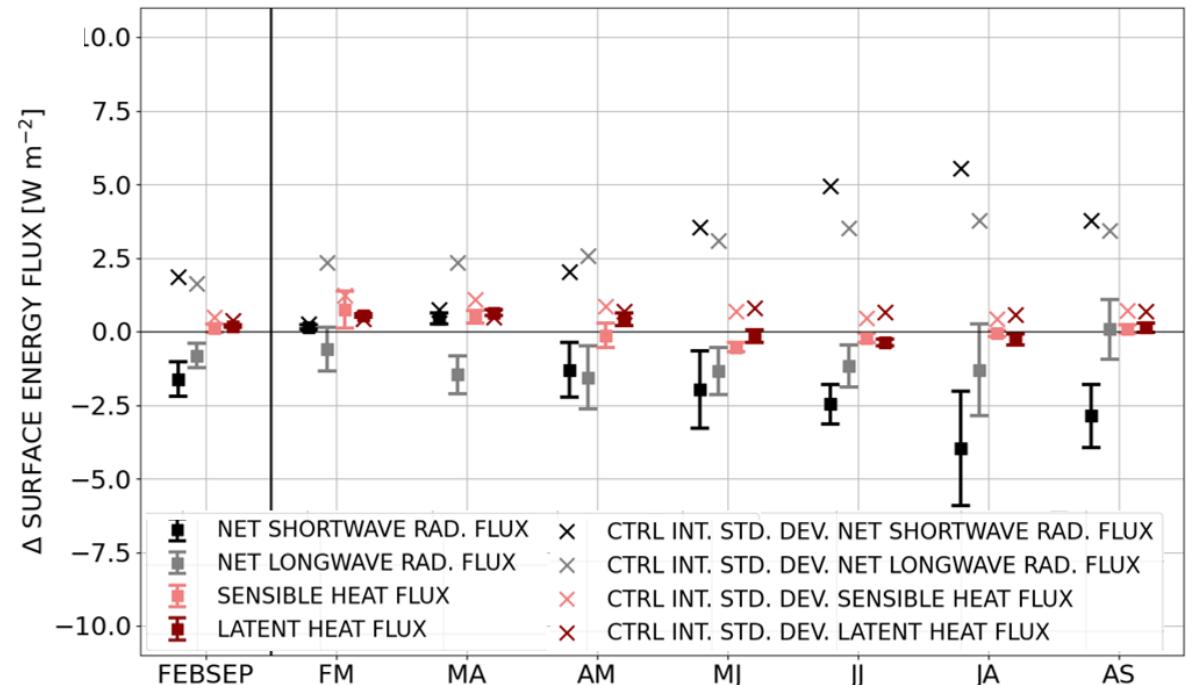
Hatching/Error bars: Significance at the 5% level/95% confidence interval

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I

February-September mean net energy fluxes



Seasonal evolution of all flux components

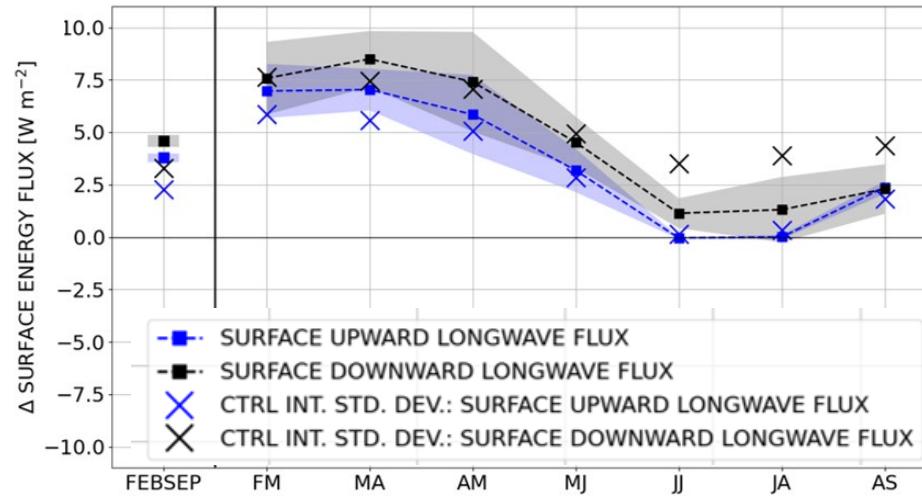


- Enhanced seasonal mean **net surface energy flux** from the atmosphere to sea ice-ocean
- Enhanced upward sensible and latent heat flux in late winter**
- Enhanced downward sensible heat fluxes** in May-June consistent with heat wave-like Z500 pattern
- Radiative fluxes** dominate net surface flux anomalies
-> **Longwave dominant in spring – shortwave dominant in summer**

Seasonal: February-September

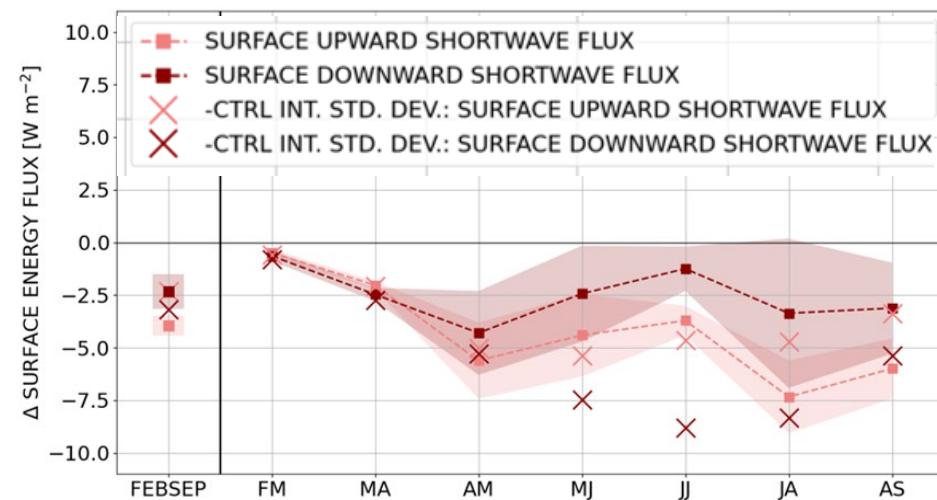
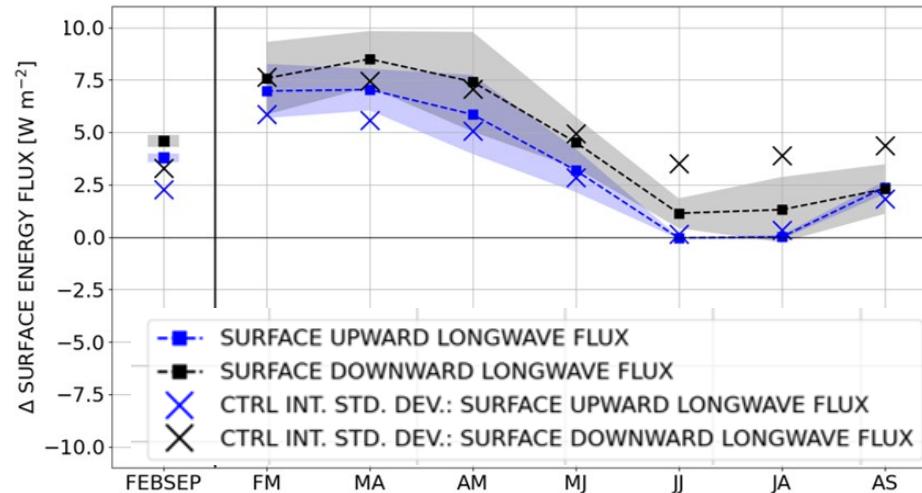
Hatching/Error bars: Significance at the 5% level/95% confidence interval

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I



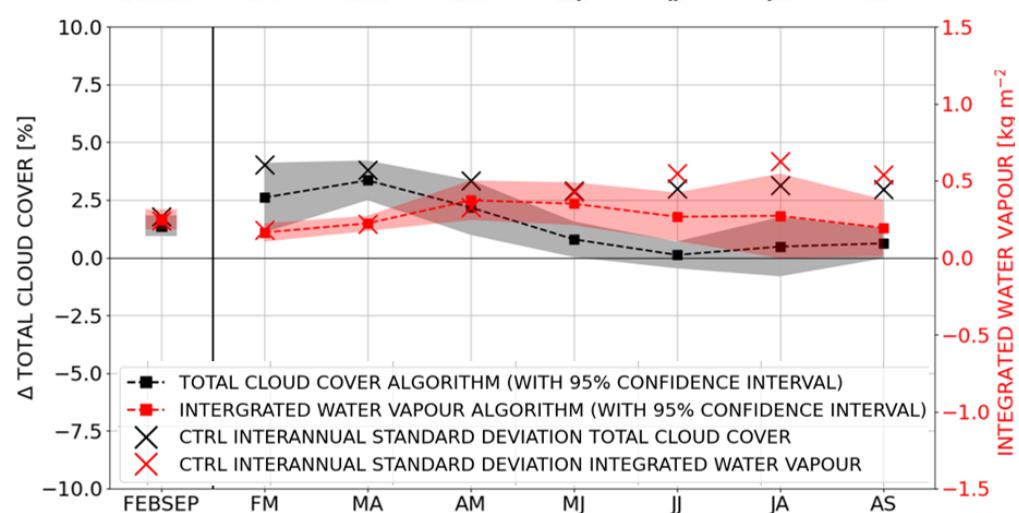
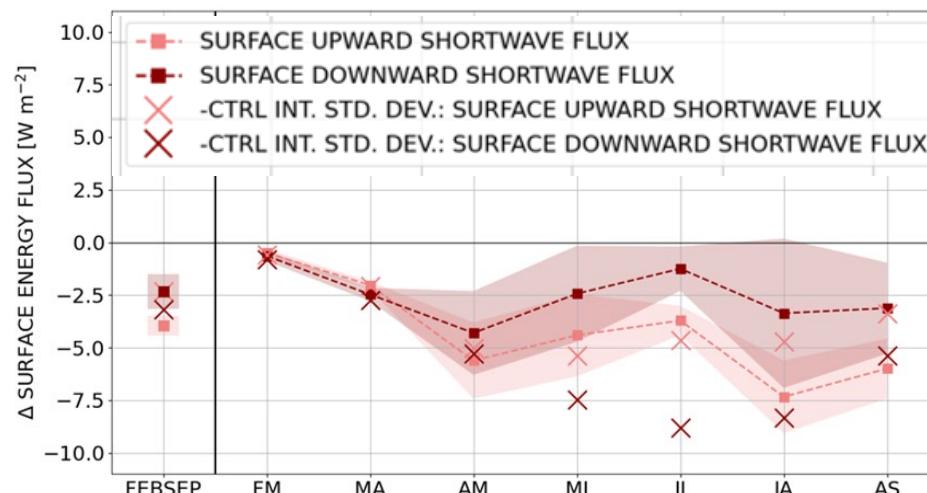
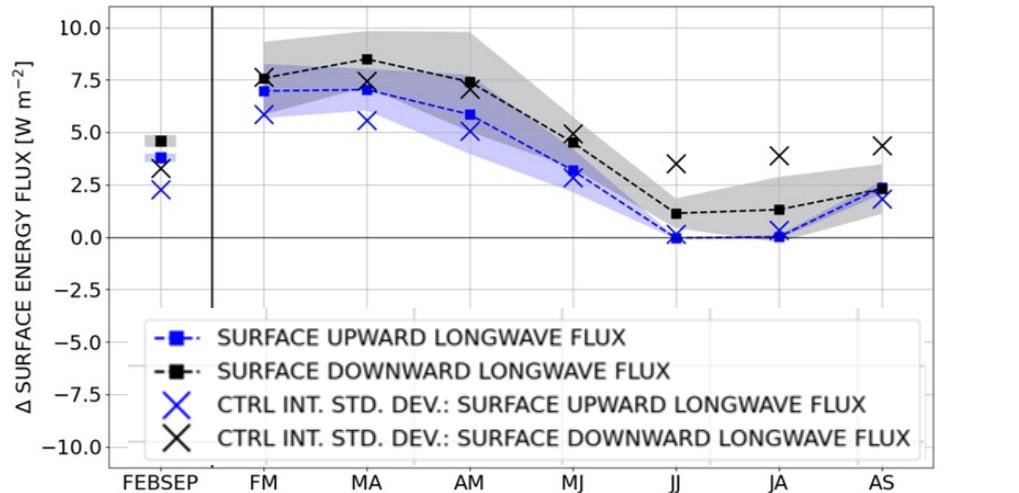
Seasonal: February-September
Shading: 95% confidence interval

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I



Seasonal: February-September
Shading: 95% confidence interval

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I

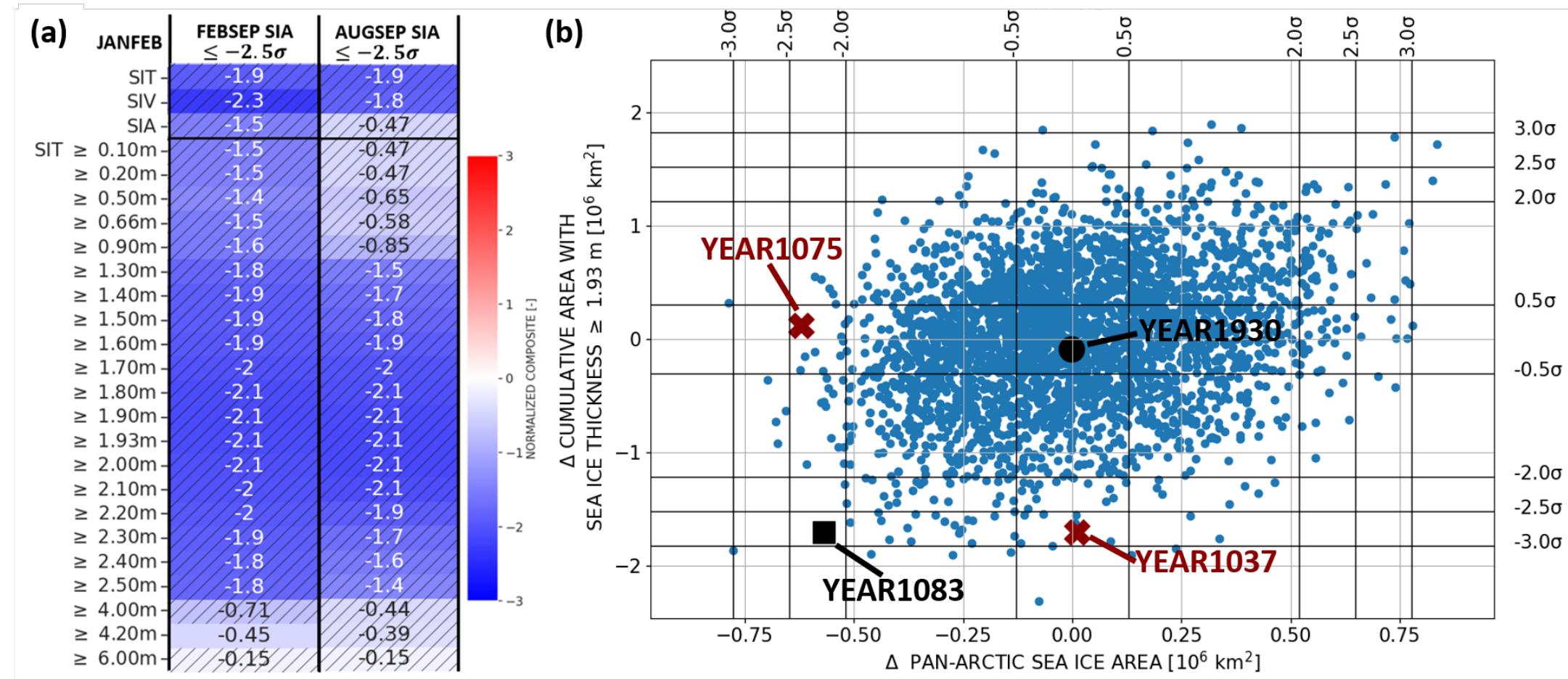


Seasonal: February-September
Shading: 95% confidence interval

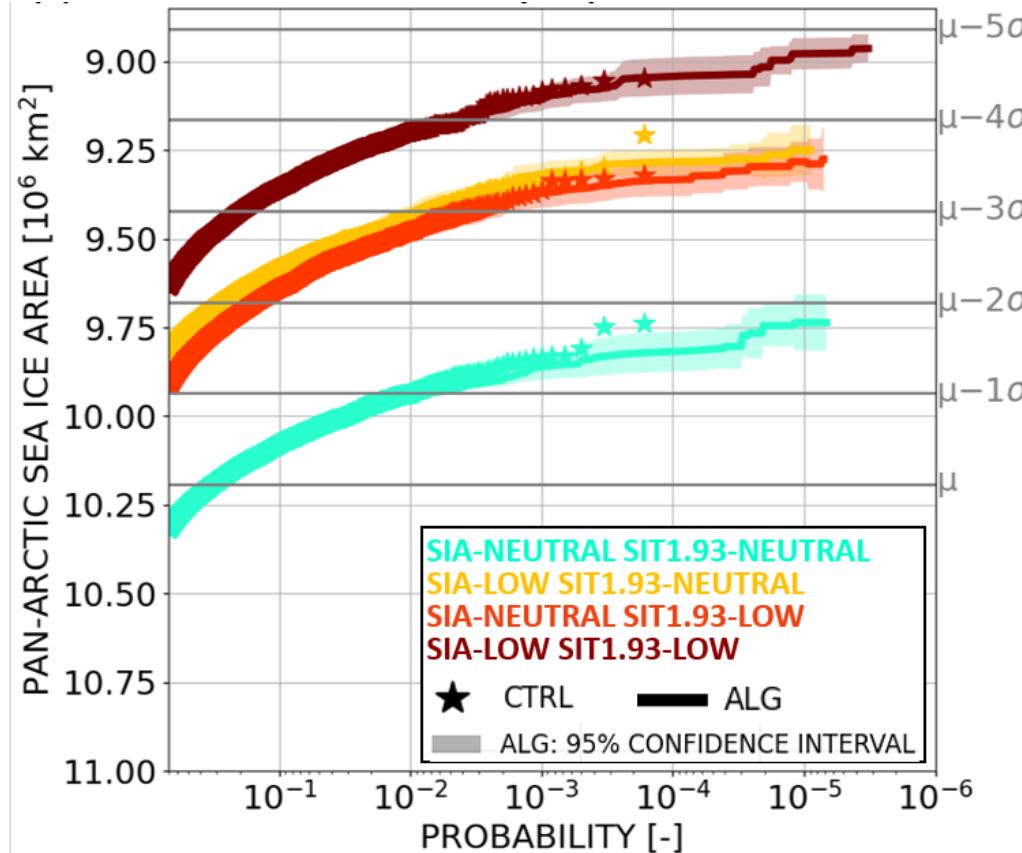
Importance of preconditioning vs. subseasonal-seasonal processes

January-February mean Arctic sea ice properties in PlaSim-T21-LSG 3000-year control run

Pan-Arctic sea ice area (x-axis) vs. cumulative area with sea ice thickness equal or larger than 1.93 metres (y-axis)



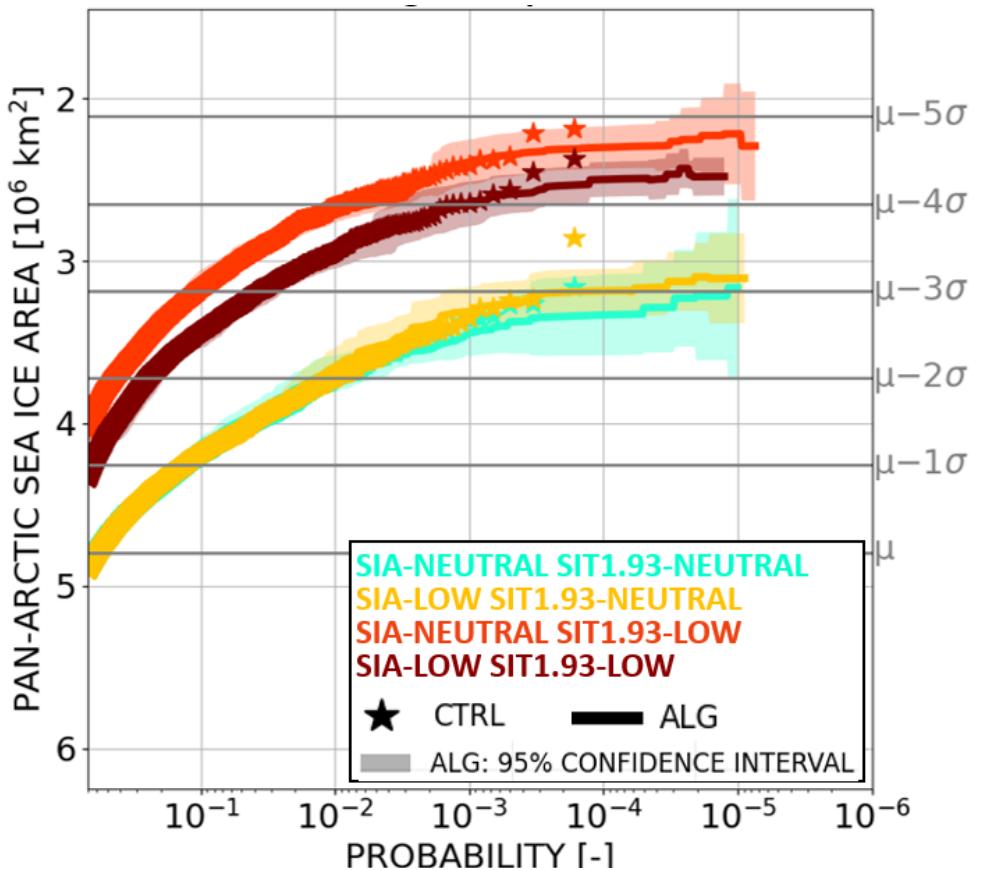
Importance of preconditioning vs. subseasonal-seasonal processes



February-September mean-Arctic sea ice area in PlaSim-T21-LSG

Initial condition	$(\text{SIA}_{0.01} - \mu) [\text{n}\sigma_{\text{CTRLRUN}}]$	$(\mu_{\text{ENS}} - \mu) [\text{n}\sigma_{\text{CTRLRUN}}]$
SIA— SIT1.93—	-3.80	-2.49
SIA= SIT1.93—	-2.76	-1.39
SIA— SIT1.93=	-2.81	-1.71
SIA= SIT1.93=	-0.98	+0.22

Importance of preconditioning vs. subseasonal-seasonal processes



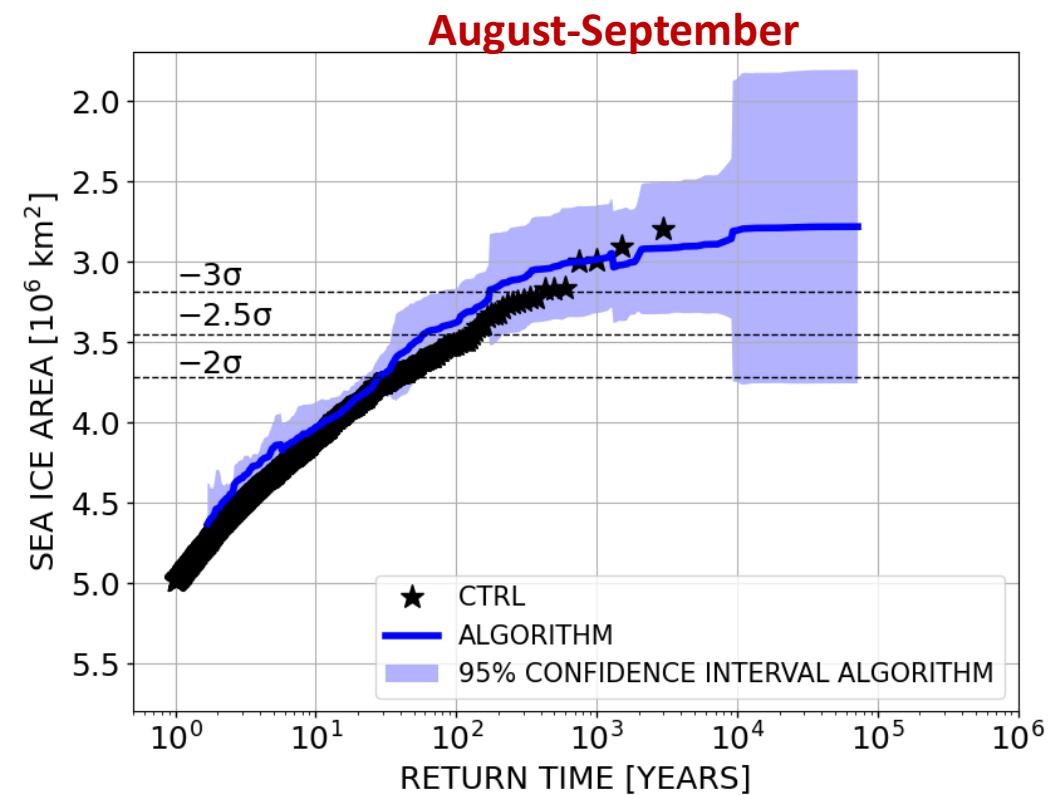
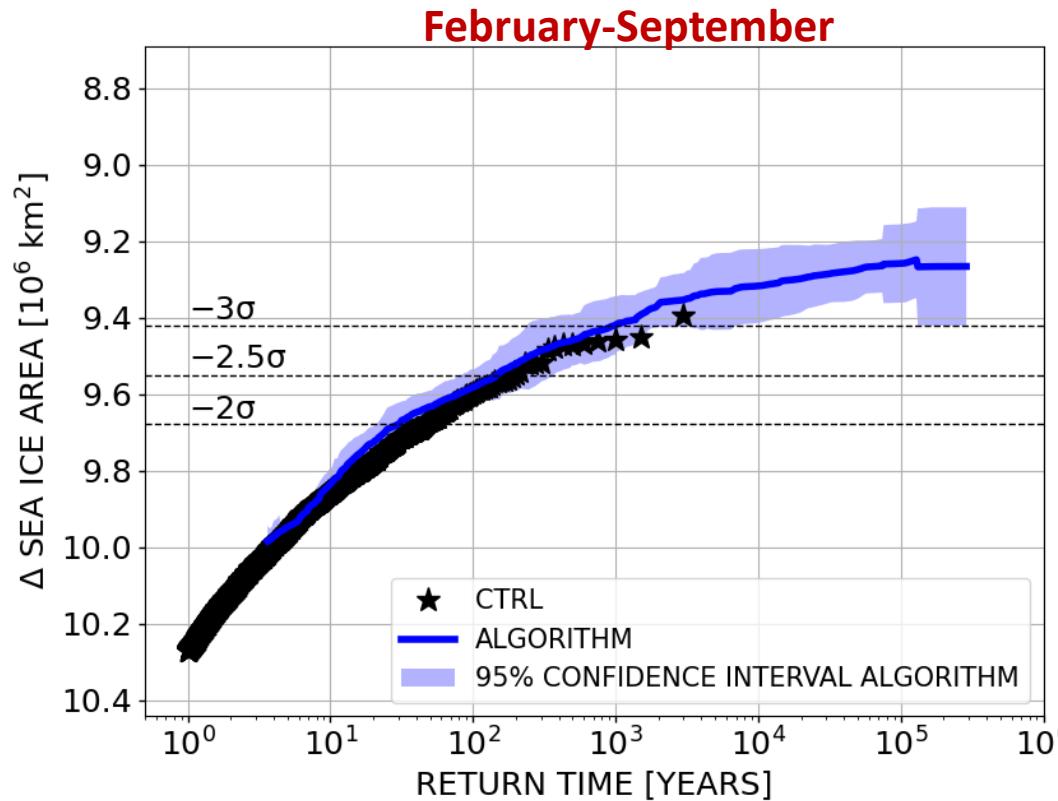
August-September mean-Arctic sea ice area in PlaSim-T21-LSG

$$P(2012)_{PLASIM} \in [0.3 \cdot 10^{-4}, 7.5 \cdot 10^{-4}]$$

Initial condition	$(\text{SIA}_{0.01} - \mu) [\text{n}\sigma_{\text{CTRLRUN}}]$	$(\mu_{\text{ENS}} - \mu) [\text{n}\sigma_{\text{CTRLRUN}}]$
SIA- SIT1.93-	-3.32	-1.36
SIA= SIT1.93-	-3.91	-1.89
SIA- SIT1.93=	-1.82	-0.19
SIA= SIT1.93=	-2.14	-0.19

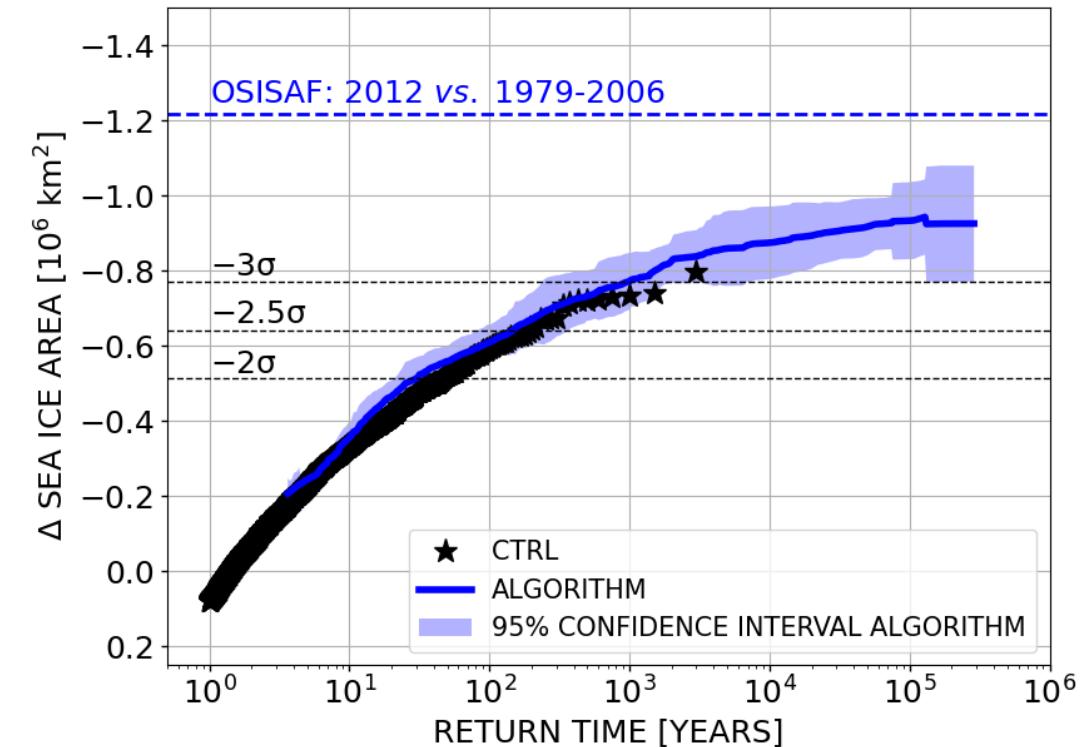
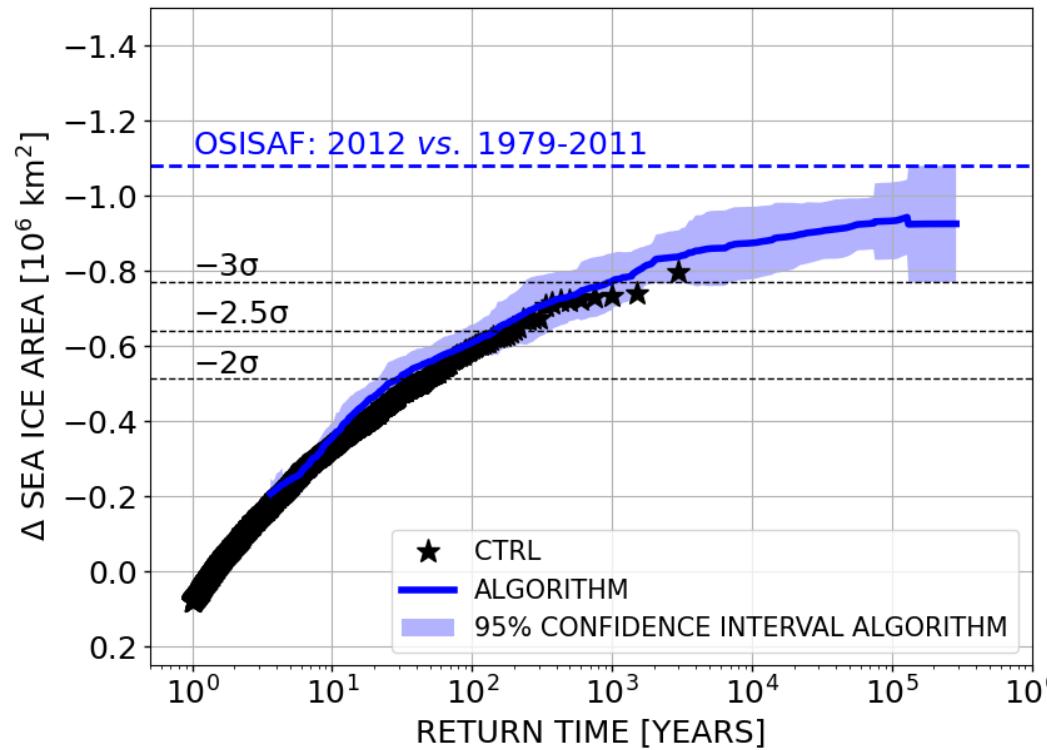
Statistics of the pan-Arctic sea ice area in PlaSim-T21-LSG

Pan-Arctic sea ice area in PlaSim-T21-LSG



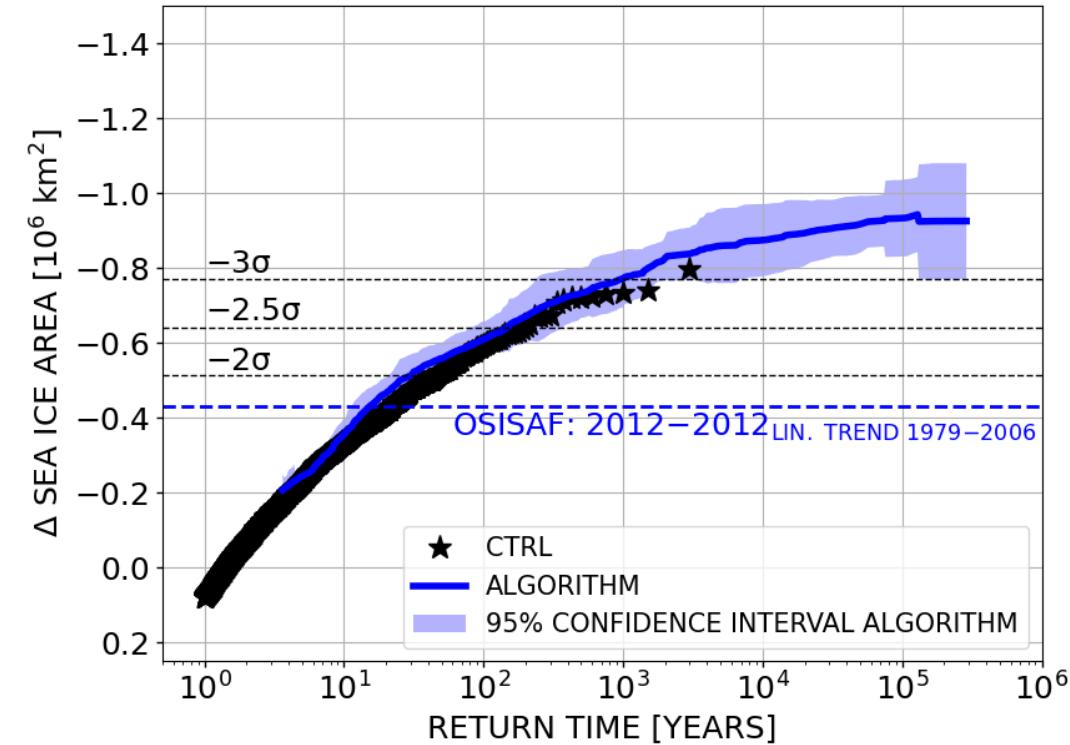
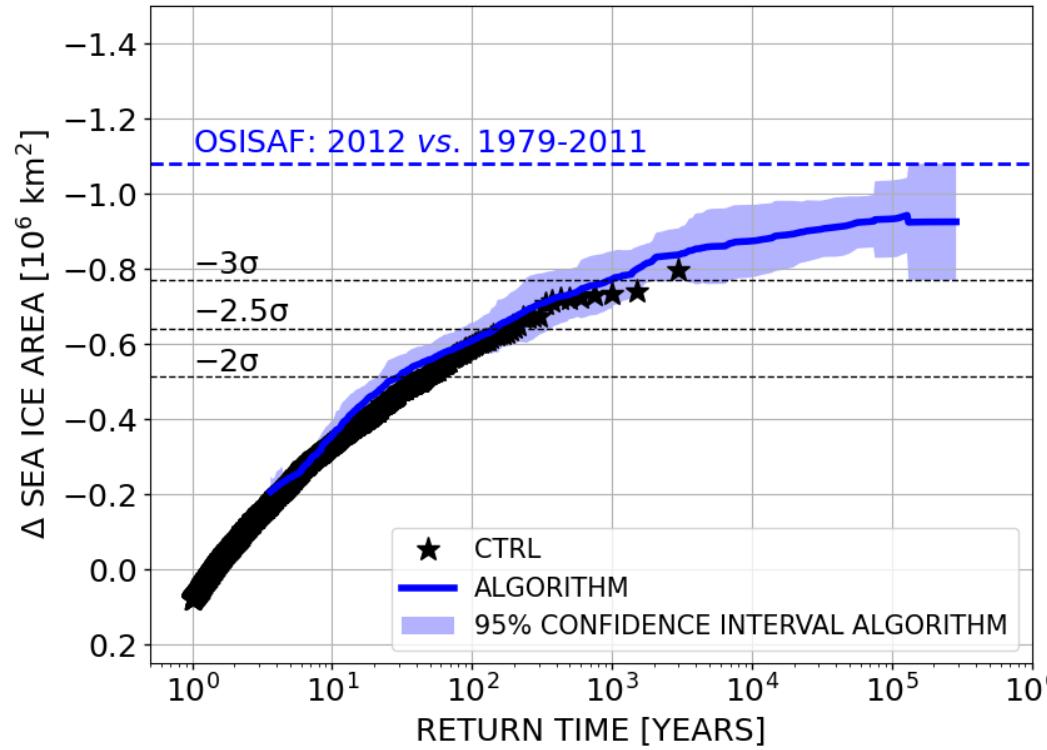
Probabilities of 2012-like Arctic sea ice area anomalies

PlaSim-T21-LSG: February-September mean sea ice area anomalies



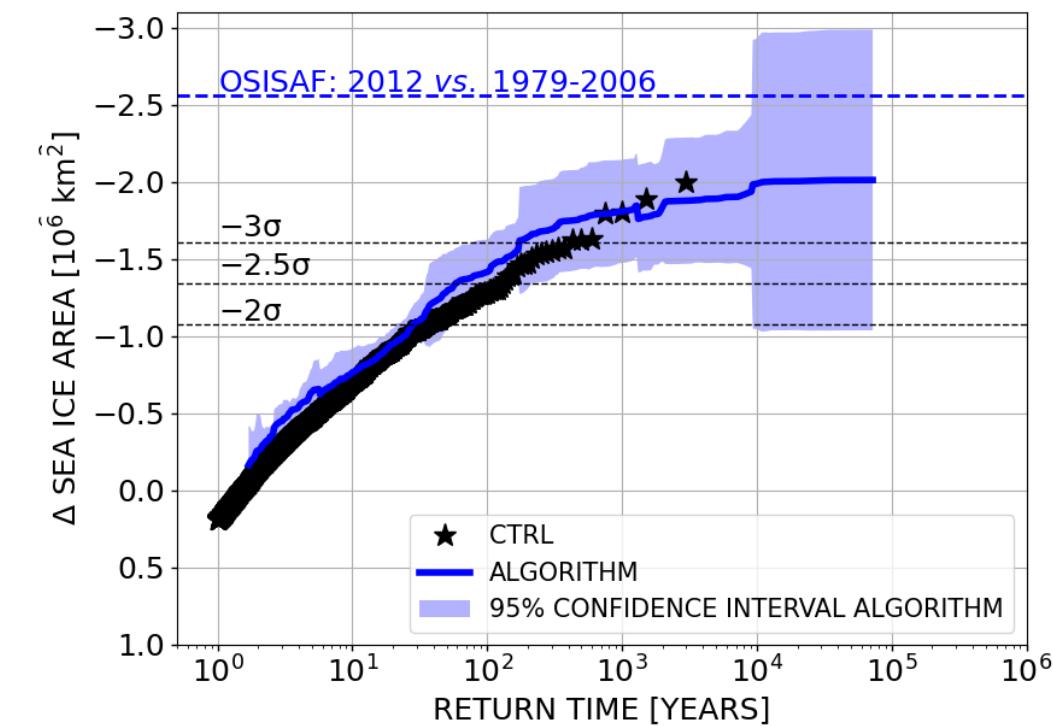
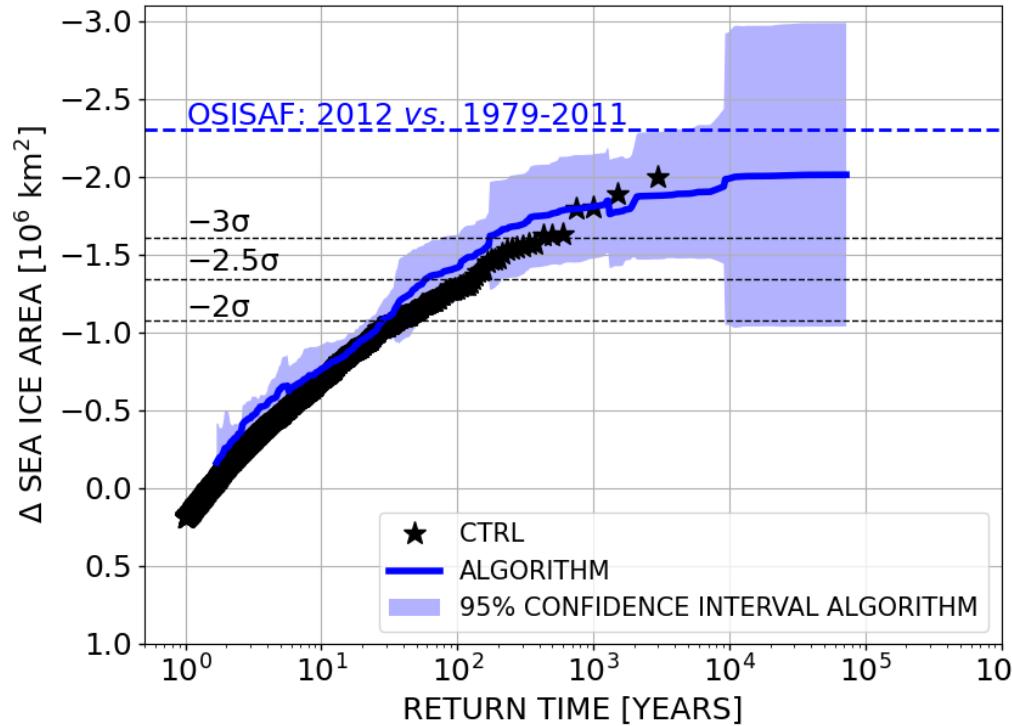
Probabilities of 2012-like Arctic sea ice area anomalies

PlaSim-T21-LSG: February-September mean sea ice area anomalies



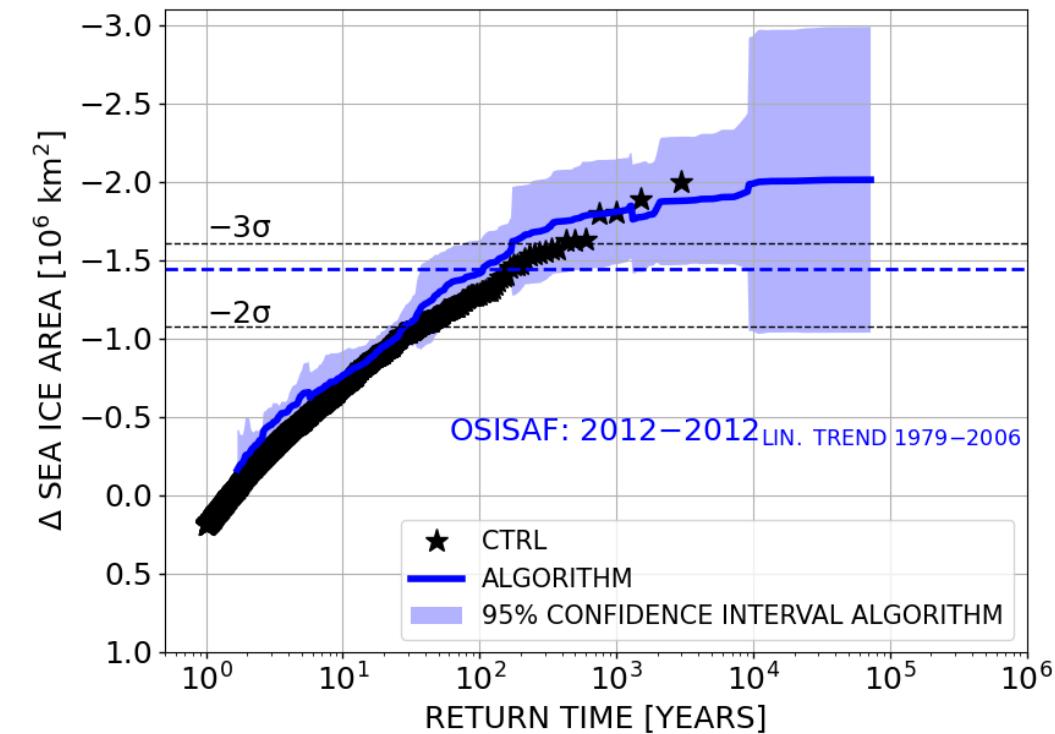
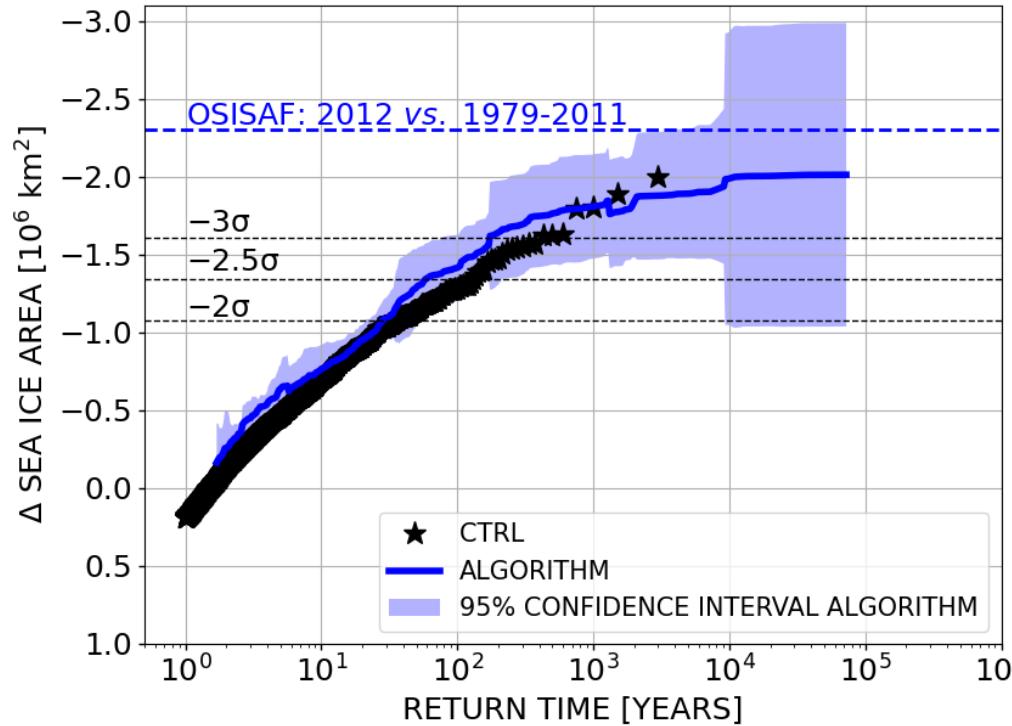
Probabilities of 2012-like Arctic sea ice area anomalies

PlaSim-T21-LSG: August-September mean sea ice area anomalies



Probabilities of 2012-like Arctic sea ice area anomalies

PlaSim-T21-LSG: August-September mean sea ice area anomalies



Appendix

Methodology: Rare event algorithm

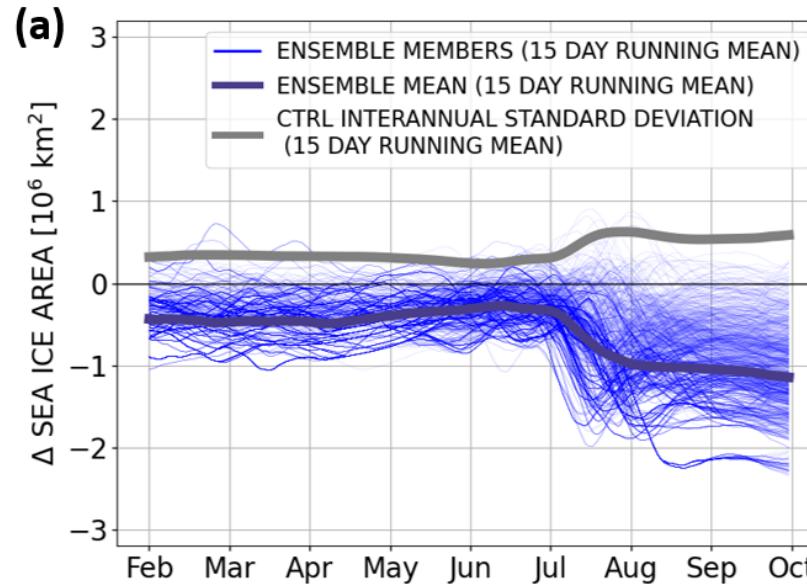
Consider N trajectories $\{X_n(t)\}$ ($n = 1, 2, \dots, N$), an observable $A(\{X_n(t)\})$, a total simulation time T_a and a resampling time τ_r

At regular times $t_i = i\tau_r$ ($i = 1, \dots, \frac{T_a}{\tau_r}$), trajectories are killed or generate a number of replicates depending on the weights

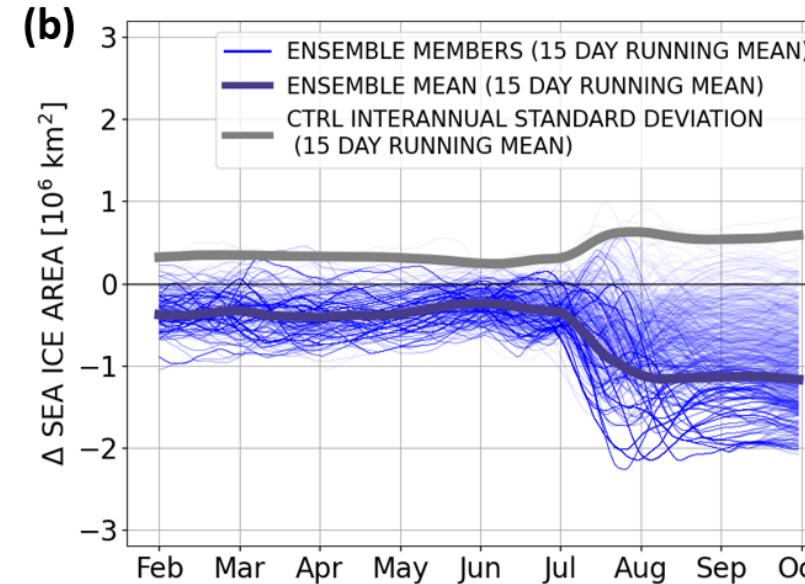
$$w_{n,i} = \frac{e^{k \int_{t_{i-1}}^{t_i} A(\{X_n(t)\}) dt}}{R_i}, \quad R_i = \frac{1}{N} \sum_{n=1}^N e^{k \int_{t_{i-1}}^{t_i} A(\{X_n(t)\}) dt}, \text{ with } k \text{ biasing parameter}$$

Results: Application of the rare event algorithm to PlaSim-T21-LSG – Phase I

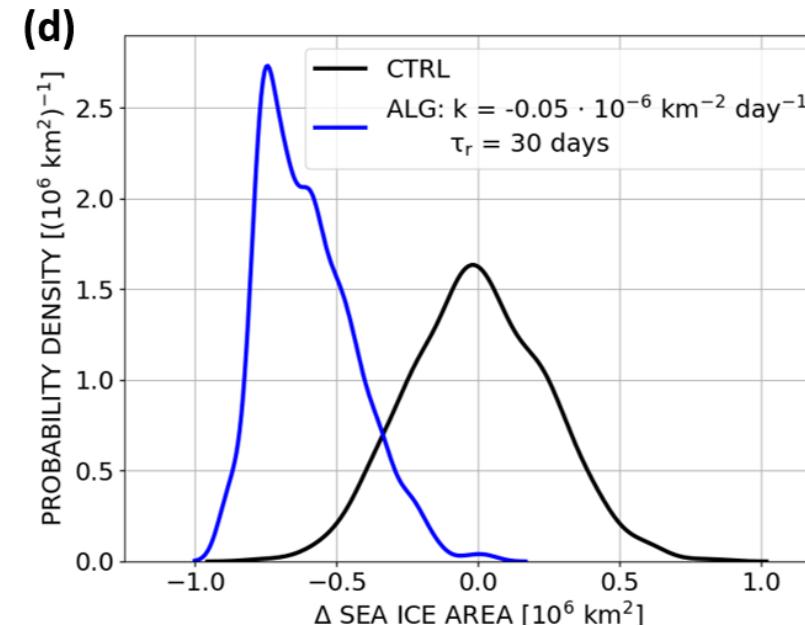
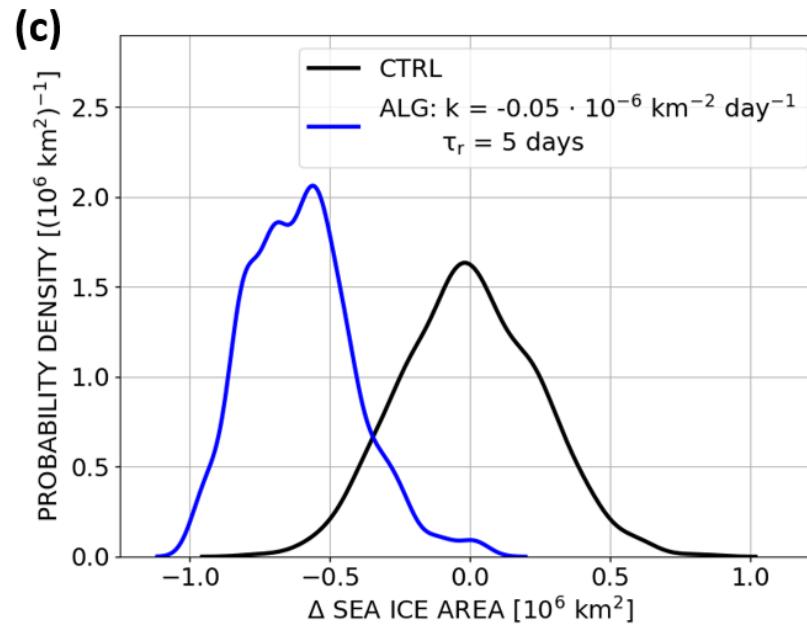
$\tau_r = 5$ days



$\tau_r = 30$ days



Pan-Arctic sea ice area [10^6 km^2]

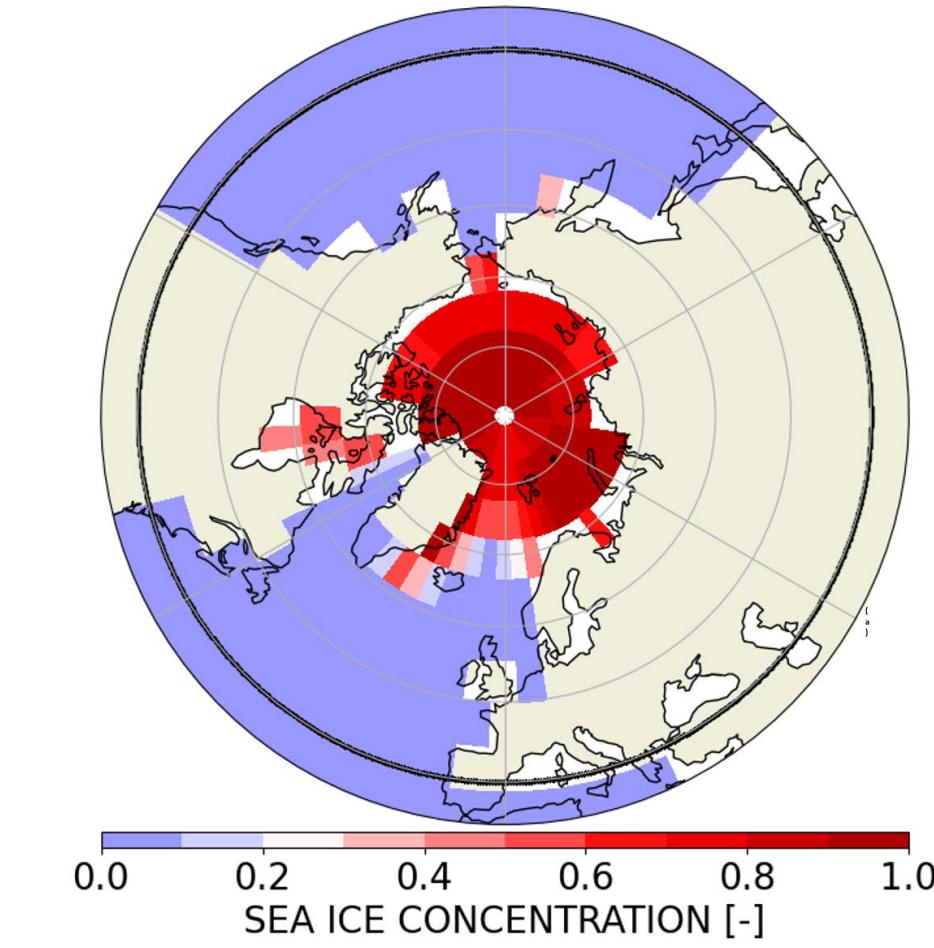


Daily mean

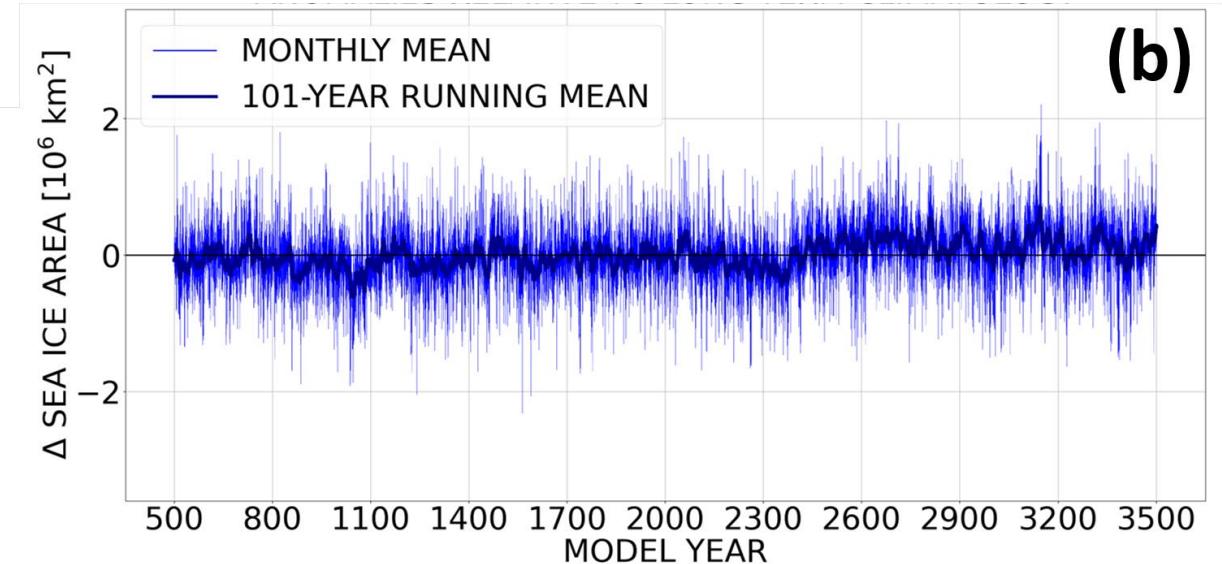
February-September mean

Results: Sea ice climatology in PlaSim-T21-LSG

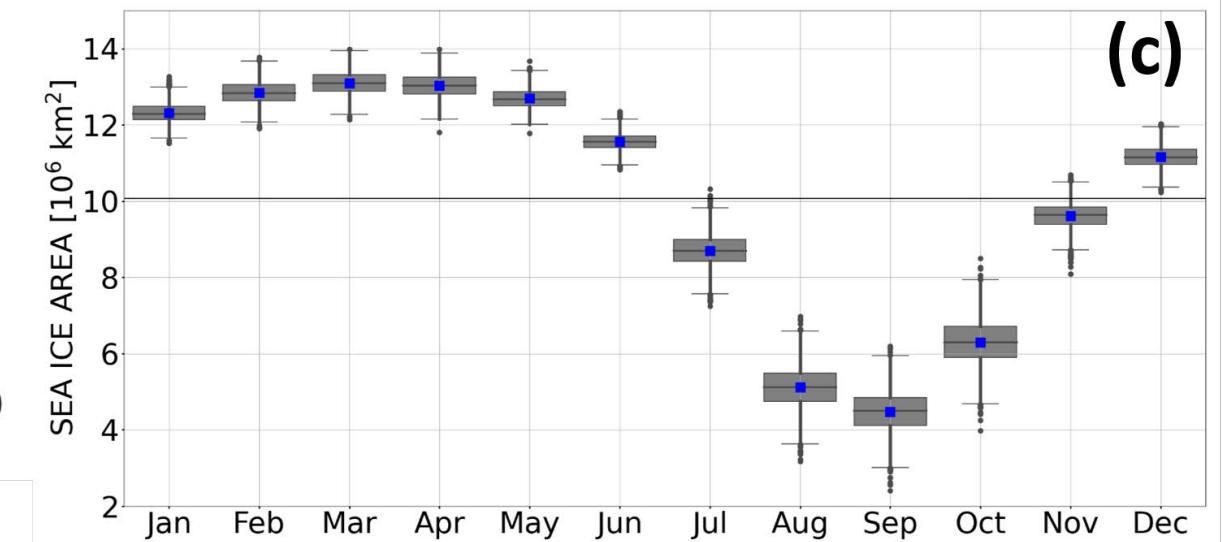
(a)



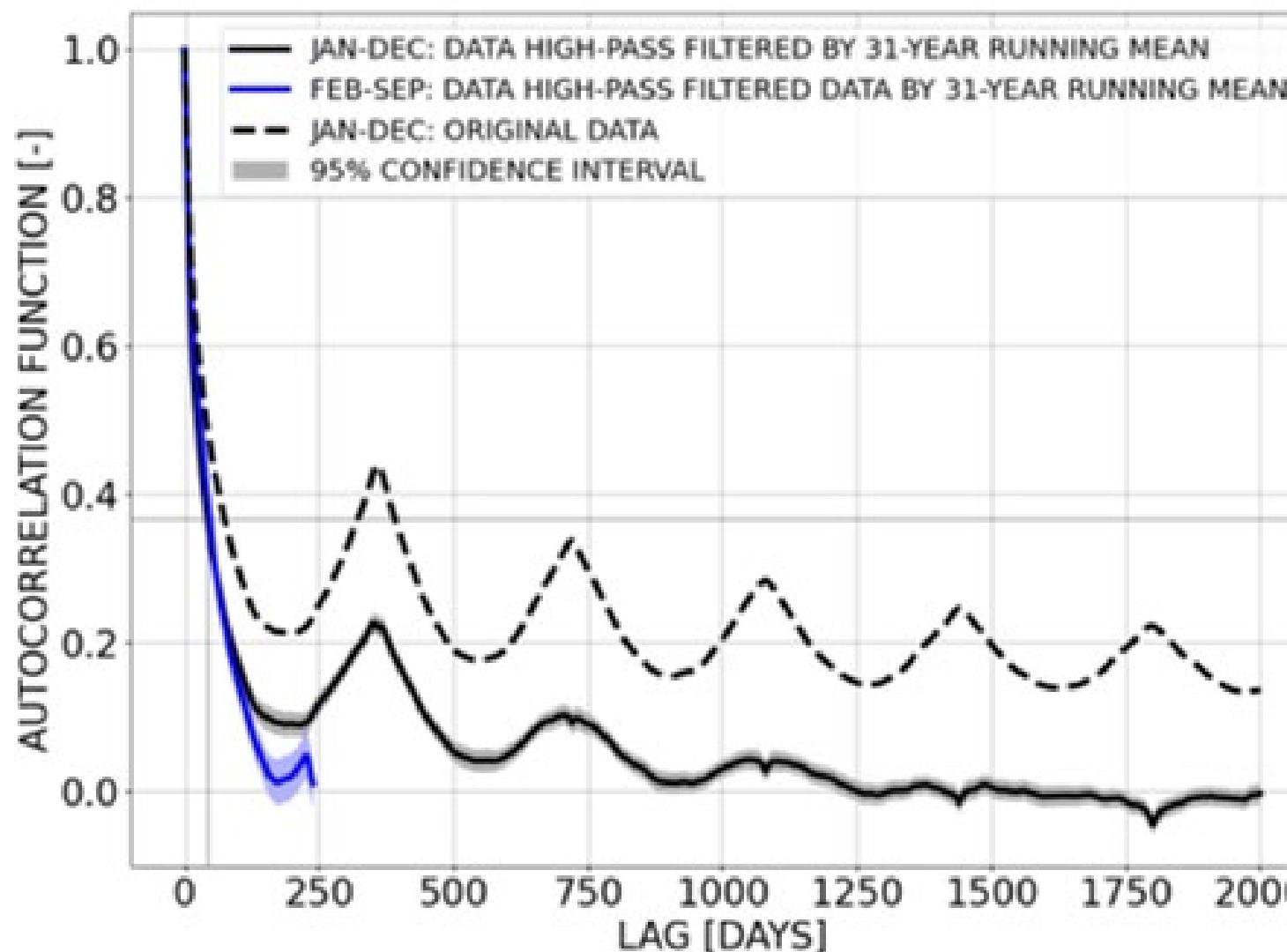
(b)



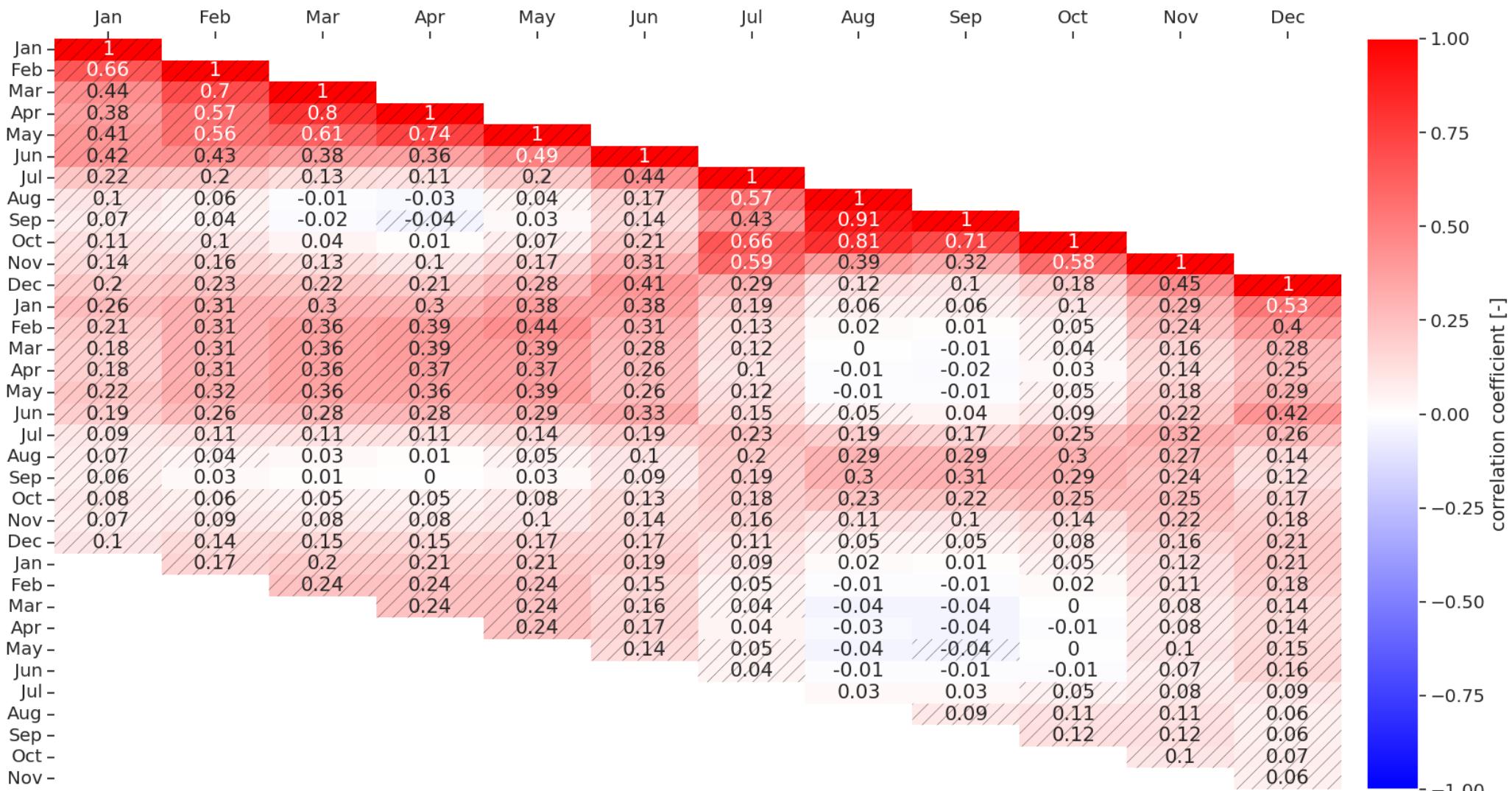
(c)



Results: Sea ice persistence in PlaSim-T21-LSG



Results: Sea ice persistence in PlaSim-T21-LSG



Hatching: statistical significance at 5% level