Suitability Checking (Capability)

1. Role Definition		
2. Example of Use		
3. Executive Summary		
4. Key Features		
Documentation		
Properties		
Appears on		

Relations

1. Role Definition



Confirm that all involved counterparties are suitable for a proposed market trade. The checks address regulatory requirements to ensure involved parties are suitably qualified/knowledgeable to participate in the type of trading activity (e.g. in the US suitability is defined by FINRA Rule 2111)

2. Example of Use



An investment manager confirms that a proposed investment meets suitability requirements for the customer

3. Executive Summary



Confirm that all involved counterparties are suitable for a proposed market trade.

4. Key Features



Determine suitability requirements

Match these to the prospective investor

Perform confirmation checks with the customer

Report and document the results of the suitability checks as appropriate

Documentation



Properties

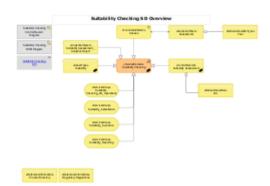


Property	Value
Service Domain	
API BIAN Portal	Suitability Checking API (https://app.swaggerhub.com/apis/BIAN-3/SuitabilityChecking/12.0.0)
Core Business Object	Suitability Assessment (object_25.html?object=32930)
Individual Analytics	suitabilityAssessmentAccumulators suitabilityAssessmentActivityAnalysis suitabilityAssessmentPerformanceAnalysis suitabilityAssessmentTrends&Events
Portfolio Analytics	suitabilityAssessmentPortfolioActivityAnalysis suitabilityAssessmentPortfolioMake-UpAnalysis suitabilityAssessmentPortfolioPerformanceAnalysis
Scenarios	Handle Request for Investment Plan (views/view_55514.html) Handle Request for Issuance of Commercial Paper (views/view_54869.html)
Service Role	Confirm that all involved counterparties are suitable for a proposed market trade.
BIAN Life Cycle	
Registration Status	Registered
Stereotypes	
stereotype	ServiceDomain

Appears on

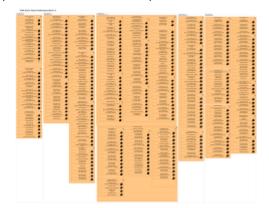


(views/view_50671.html)



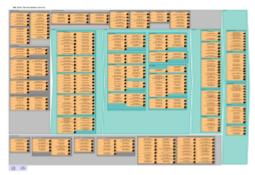
Suitability Checking SD Overview

(views/view_51891.html)



BIAN Service Landscape V12.0 Matrix Vi...

(views/view_51705.html)



BIAN Service Landscape V12.0 Value Ch...

Relations



aggregated by	Wholesale Trading (object_25.html?object=130198) Wave 3 (object_25.html?object=153743) Market Trading (object_25.html?object=171971)
gets input from	ECM And DCM (object_22.html?object=40135)
is associated with	Suitability Checking (object_44.html?object=38648)
is equal to	Suitability Checking (object_36.html?object=42134)
is part of	Wholesale Trading (object_25.html?object=130198)

realized by	Suitability Assessment_ Analytics Object (object_25.html?object=103450)
	Suitability_Invocation (object_19.html?object=103458)
	Suitability_Instantiation (object_19.html?object=103470)
	Suitability_Reporting (object_19.html?object=103474)
	Suitability Checking_SD_Operations (object_19.html?object=103479)
	Suitability Checking_SD_Service Group (object_19.html?object=242637)
	Rq CR Request manual intervention into an active evaluation (object_12.html?
	object=29375)
	Suitability Assessment (object_24.html?object=29517)
	Ec CR Accept, reject, etc. aspects of a suitability evaluation (object_12.html?
	object=29551)
	Suitability (object_23.html?object=31275)
	Re CR Retrieve details about a suitability evaluation (object_14.html?object=35129)
	Gr CR Obtain a permission grant associated with the evaluation (object_14.html?
	object=35794)
	Up CR Update details of a suitability evaluation (object_14.html?object=35842)
	Ev CR Evaluate the suitability of a proposed transaction (object_16.html?object=46385)
	Assess (object_28.html?object=58027)
serves	Order Management (object_6.html?object=130800)
triggered by	ECM And DCM (object_22.html?object=40135)
	Investment Portfolio Planning (object_23.html?object=48534)