Regulatory And Legal Authority (Capability)

1. Role Definition

This service domain manages the effective relations with regulators, accounting and government agencies. It includes establishing contact, assigning relationship management responsibility, scheduling meetings and briefings as necessary and dealing with ad-hoc issues as they arise

2. Example of Use

Example of use:

The bank's contact with regulators is leveraged to streamline the resolution of compliance issues to the bank's benefit

3. Executive Summary

Maintain effective relations with regulators, accounting and government agencies. Oversee interactions and reporting as necessary

4. Key Features ⌃

Identify and establish contacts

Assign relationship managers

Schedule contacts and briefings

Handle issues as they arise

Documentation ⌃

Properties ⌃

Property	Value
Service Domain	
API BIAN Portal	Regulatory And Legal Authority API (https://app.swaggerhub.com/apis/BIAN-3/RegulatoryAndLegalAuthority/12.0.0)
Individual Analytics	regulatory&LegalAuthorityRelationshipManagementPlanAccumulators regulatory&LegalAuthorityRelationshipManagementPlanActivityAnalysis regulatory&LegalAuthorityRelationshipManagementPlanPerformanceAnalysis regulatory&LegalAuthorityRelationshipManagementPlanT
Portfolio Analytics	regulatory&LegalAuthorityRelationshipManagementPlanPortfolioActivityAnalysis regulatory&LegalAuthorityRelationshipManagementPlanPortfolioMake-UpAnalysis regulatory&LegalAuthorityRelationshipManagementPlanPortfolioPerformanceAnalysis
Scenarios	
Service Role	Maintain effective relations with regulators, accounting and government agencies. Oversee interactions and reporting as necessary
BIAN Life Cycle	
Registration Status	Registered
Stereotypes	
stereotype	ServiceDomain

Appears on ^

Regulatory And Legal Authority SD Over…
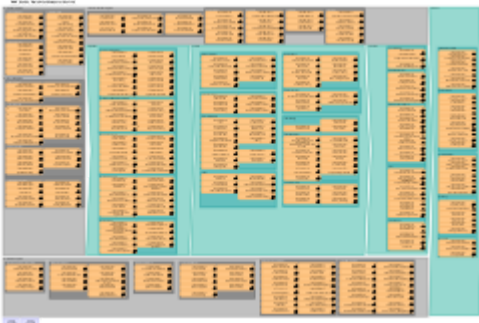
BIAN Service Landscape V12.0 Value Ch…

BIAN Service Landscape V12.0 Matrix Vi…

Relations ^

aggregated by	Corporate Relations (object_25.html?object=130222)
	Wave 5 (object_25.html?object=153746)
	Compliance (object_25.html?object=171917)
is equal to	Regulatory And Legal Authority (object_37.html?object=44220)
is part of	Corporate Relations (object_25.html?object=130222)

realized by   ▭ Regulatory And Legal Authority Relationship Management Plan_ Analytics Object (object_25.html?object=110972)

▭ Regulatory And Legal Authority Relationship (object_24.html?object=132905)

◯ Regulatory And Legal Authority_SD_Operations (object_19.html?object=205247)

◯ Regulatory And Legal Authority Relationship Management Plan_Instantiation (object_18.html?object=205257)

◯ Regulatory And Legal Authority Relationship Management Plan_Invocation (object_18.html?object=205261)

◯ Regulatory And Legal Authority Relationship Management Plan_Reporting (object_18.html?object=205270)

◯ Regulatory And Legal Authority_SD_Service Group (object_19.html?object=243263)

◯ Ec CR Accept, verify, etc. aspects of Regulatory And Legal Authority Relationship Management Plan processing (object_12.html?object=29114)

◯ Up BQ Update details relating to Troubleshooting (object_12.html?object=29836)

◯ Rq BQ Request manual intervention or a decision with respect to Maintain Relations (object_12.html?object=29937)

◯ In BQ Instantiate a new Troubleshooting (object_12.html?object=30070)

◯ Re BQ Retrieve details about any aspect of Represent Bank Interests (object_13.html?object=30306)

◯ Re BQ Retrieve details about any aspect of Troubleshooting (object_13.html?object=30460)

◯ Re CR Retrieve details about any aspect of Regulatory And Legal Authority Relationship Management Plan (object_13.html?object=30629)

◯ Up CR Update details relating to Regulatory And Legal Authority Relationship Management Plan (object_13.html?object=31410)

◯ In BQ Instantiate a new Represent Bank Interests (object_14.html?object=35394)

◯ Rq BQ Request manual intervention or a decision with respect to Represent Bank Interests (object_14.html?object=36085)

◯ Re BQ Retrieve details about any aspect of Establish Relations (object_14.html?object=36853)

◯ Ca CR Capture Regulatory And Legal Authority Relationship Management Plan activity or event related information (object_14.html?object=37140)

◯ In BQ Instantiate a new Maintain Relations (object_14.html?object=37636)

▭ Regulatory And Legal Authority Relationship Management Plan (object_24.html?object=38897)

◯ Gr CR Obtain permission to act in relation to Regulatory And Legal Authority Relationship Management Plan (object_15.html?object=39026)

◯ Re BQ Retrieve details about any aspect of Maintain Relations (object_15.html?object=39894)

◯ In CR Instantiate a new Regulatory And Legal Authority Relationship Management Plan (object_15.html?object=39926)

◯ Rq BQ Request manual intervention or a decision with respect to Establish Relations (object_15.html?object=40127)

🟡 Co CR Control the processing of Regulatory And Legal Authority Relationship Management Plan (object_12.html?object=42184)

🟡 Rq BQ Request manual intervention or a decision with respect to Troubleshooting (object_12.html?object=42643)

🟡 In BQ Instantiate a new Establish Relations (object_16.html?object=43216)

🟡 Rq CR Request manual intervention or a decision with respect to Regulatory And Legal Authority Relationship Management Plan (object_16.html?object=43671)

🟡 Up BQ Update details relating to Establish Relations (object_16.html?object=44033)

🟡 Ca BQ Capture Troubleshooting activity or event related information (object_16.html?object=44462)

🟡 Up BQ Update details relating to Maintain Relations (object_17.html?object=48578)

🟡 Up BQ Update details relating to Represent Bank Interests (object_17.html?object=48593)

Manage (object_28.html?object=58116)

| serves | Policy Management (object_6.html?object=81319) |