Securities Fails Processing (Capability)

1. Role Definition

Market trades of securities may fail at different stages in the clearing and settlement processing. This Service Domain handles the resolution of securities processing failures

2. Example of Use

The settlement details for an executed trade are rejected by the counterparty during confirmation. The back office traces the deal activity to identify the source of the clerical error obtain the agreement for the resolution and make the necessary changes to the transaction and trade reporting

3. Executive Summary

This Service Domain handles the resolution of clerical and processing errors that lead to failures in the securities trade clearing and settlement processes

4. Key Features

Research and determine the reason for securities back office processing failures

Determine corrective action required

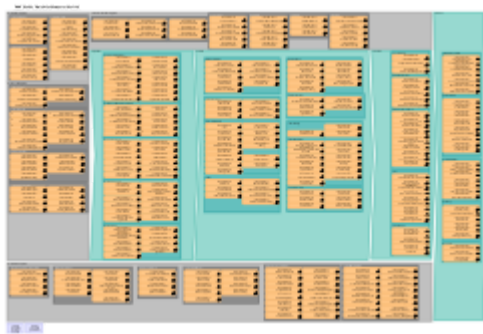Agree and execute resolution with interested parties

Documentation

Properties

Property	Value
Service Domain	
API BIAN Portal	Securities Fails Processing API (https://app.swaggerhub.com/apis/BIAN-3/SecuritiesFailsProcessing/12.0.0)
Individual Analytics	securityTradingFailsManagementPlanAccumulators securityTradingFailsManagementPlanActivityAnalysis securityTradingFailsManagementPlanPerformanceAnalysis securityTradingFailsManagementPlanTrends&Events
Portfolio Analytics	securityTradingFailsManagementPlanPortfolioActivityAnalysis securityTradingFailsManagementPlanPortfolioMake-UpAnalysis securityTradingFailsManagementPlanPortfolioPerformanceAnalysis
Scenarios	
Service Role	Capture, diagnose, resolve and report on securities related issues and transaction failures
BIAN Life Cycle	
Registration Status	Registered
Stereotypes	
stereotype	ServiceDomain

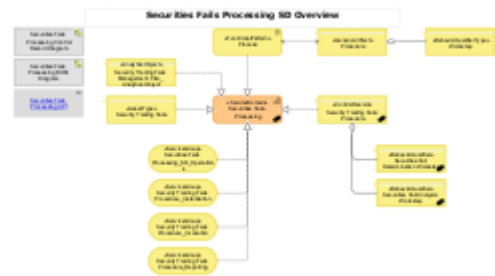# Appears on

(views/view_51705.html)   (views/view_51735.html)

BIAN Service Landscape V12.0 Value Ch…

(views/view_51891.html)

Securities Fails Processing SD Overview

BIAN Service Landscape V12.0 Matrix Vi…

Relations ⌃

aggregated by		Wave 6 (object_25.html?object=153745)
		Market Operations (object_25.html?object=171958)
is equal to		Securities Fails Processing (object_37.html?object=44403)
is part of		Market Operations (object_25.html?object=130053)

realized by	Securities Fails Processing_SD_Service Group (object_18.html?object=238913)
	Security Trading Fails Management Plan_Instantiation (object_19.html?object=240296)
	Security Trading Fails Management Plan_Invocation (object_19.html?object=243210)
	Security Trading Fails Management Plan_Reporting (object_20.html?object=246783)
	Update Securities Fail Determination Workstep (object_12.html?object=28971)
	Retrieve Securities Fail Analysis Workstep (object_12.html?object=29407)
	Control Security Trading Fails Procedure (object_12.html?object=29418)
	Execute Securities Fail Analysis Workstep (object_13.html?object=30914)
	Request Security Trading Fails Procedure (object_13.html?object=32732)
	Execute Security Trading Fails Procedure (object_13.html?object=34204)
	Retrieve Security Trading Fails Procedure (object_14.html?object=34908)
	Initiate Securities Fail Analysis Workstep (object_14.html?object=36739)
	Initiate Security Trading Fails Procedure (object_14.html?object=36801)
	Request Securities Fail Analysis Workstep (object_14.html?object=37191)
	Execute Securities Fail Determination Workstep (object_14.html?object=37376)
	Notify Security Trading Fails Procedure (object_14.html?object=37486)
	Security Trading Fails Procedure (object_24.html?object=38097)
	Notify Securities Fail Analysis Workstep (object_15.html?object=39544)
	Update Security Trading Fails Procedure (object_15.html?object=39610)
	Notify Securities Fail Determination Workstep (object_15.html?object=40732)
	Request Securities Fail Determination Workstep (object_12.html?object=42279)
	Retrieve Securities Fail Determination Workstep (object_12.html?object=42527)
	Update Securities Fail Analysis Workstep (object_15.html?object=43007)
	Exchange Security Trading Fails Procedure (object_16.html?object=43988)
	Exchange Securities Fail Determination Workstep (object_16.html?object=45525)
	Initiate Securities Fail Determination Workstep (object_17.html?object=47164)
	Exchange Securities Fail Analysis Workstep (object_17.html?object=47167)
	Process (object_28.html?object=58066)
	Securities Fails Processing_SD_Operations (object_18.html?object=80328)
	Security Trading Fails Procedure_Instantiation (object_18.html?object=80335)
	Security Trading Fails Management Plan_ Analytics Object (object_25.html?object=80347)
	Security Trading Fails (object_24.html?object=80351)
	Security Trading Fails Procedure_Invocation (object_18.html?object=80356)
	Security Trading Fails Procedure_Reporting (object_18.html?object=80358)
serves	Order Management (object_6.html?object=130800)