Investment Portfolio Planning (Capability)

1. Role Definition

Agree the customer investment portfolio governing principles, risk appetite, management/trading guidelines and target portfolio profile. Identify any desired/target and 'out of bounds' securities/sectors. Ensure disclosures and related eligibility, suitability and other regulatory obligations are addressed and reflected in the agreement

2. Example of Use

A relationship manager established the investment agreement for a customer that is setting up a managed investment portfolio

3. Executive Summary

Agree the policies and required make-up of an investment portfolio and ensure all required bank and regulatory terms and conditions are addressed

4. Key Features

Identify and agree investment portfolio properties and make-up (includes risk appetite)
Handle regulatory and bank requirements (e.g. disclosures, suitability, eligibility)

Identify any target and securities to avoid

Agree key roles and schedules for the investment portfolio handling

Documentation

Properties

Property	Value
Service Domain	
API BIAN Portal	Investment Portfolio Planning API (https://app.swaggerhub.com/apis/BIAN-3/InvestmentPortfolioPlanning/12.0.0)
Core Business Object	Investment Portfolio (object_25.html?object=29475)
Individual Analytics	managedInvestmentPortfolioAgreementAccumulators managedInvestmentPortfolioAgreementActivityAnalysis managedInvestmentPortfolioAgreementPerformanceAnalysis managedInvestmentPortfolioAgreementTrends&Events
Portfolio Analytics	managedInvestmentPortfolioAgreementPortfolioActivityAnalysis managedInvestmentPortfolioAgreementPortfolioMake-UpAnalysis managedInvestmentPortfolioAgreementPortfolioPerformanceAnalysis
Scenarios	Handle Request for Windfall Investment (views/view_55037.html) Process Performance Review (views/view_55107.html) Handle Request for Investment Plan (views/view_55514.html) Process Portfolio Rebalancing (views/view_55523.html) Handle Request for Product Selection (views/view_55673.html)
Service Role	Agree the customer portfolio principles, guidelines and profile. Ensure disclosure and related obligations are made
BIAN Life Cycle	

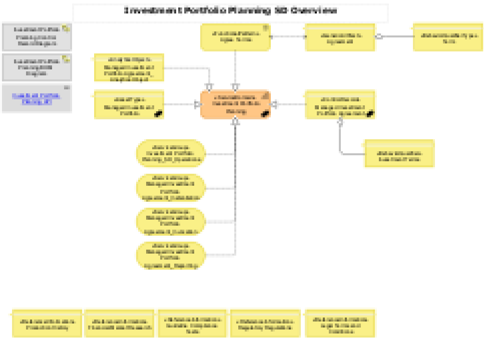
Registration Status	Registered

Stereotypes

stereotype	ServiceDomain

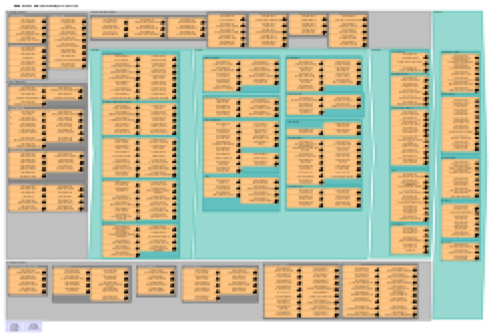
Appears on

(views/view_50896.html)



Investment Portfolio Planning SD Overview

(views/view_51705.html)



BIAN Service Landscape V12.0 Value Ch…

(views/view_51891.html)

BIAN Service Landscape V12.0 Matrix Vi…

Relations

aggregated by	Wave 4 (object_25.html?object=153744) Investment Services (object_25.html?object=172061)

gets input from	Customer Relationship Management (object_21.html?object=30437) Business Unit Management (object_21.html?object=30964) Investment Portfolio Management (object_21.html?object=32159) Session Dialogue (object_23.html?object=48273)
is equal to	Investment Portfolio Planning (object_38.html?object=48535)
is part of	Investment Management (object_25.html?object=131120)
realized by	Investment Portfolio Planning_SD_Service Group (object_18.html?object=238653) Managed Investment Portfolio Agreement (object_24.html?object=30175) Re CR Retrieve details about a managed investment portfolio agreement (object_13.html?object=31783) Re BQ Retrieve details about the specific terms of an agreement (object_13.html?object=32668) Up BQ Update the terms/conditions for an investment agreement (object_12.html?object=33434) Managed Investment Portfolio (object_23.html?object=33722) Rq CR Request review that proposed activity is compatible with the agreement (object_13.html?object=34153) Co CR Control the processing of an investment agreement (object_15.html?object=39538) Rq BQ Request check of a proposed activity is compatible with a specific term of the agreement (object_15.html?object=41456) Ev BQ Establish the specific terms/conditions making up the investment agreement (object_12.html?object=42637) Up CR Update details of an active managed investment portfolio agreement (object_16.html?object=43700) Gr CR Obtain grant authority to act under the terms of the investment agreement (object_16.html?object=44829) Ec CR Accept, reject etc an investment portfolio agreement (object_16.html?object=45033) Ev CR Establish a managed investment portfolio agreement (object_16.html?object=45188) Agree Terms (object_28.html?object=58124) Managed Investment Portfolio Agreement_Invocation (object_17.html?object=81402) Managed Investment Portfolio Agreement_Reporting (object_17.html?object=81404) Managed Investment Portfolio Agreement_ Analytics Object (object_25.html?object=81409) Investment Portfolio Planning_SD_Operations (object_18.html?object=81415) Managed Investment Portfolio Agreement_Instantiation (object_17.html?object=81425)

sends output to	Investment Portfolio Management (object_21.html?object=32159) Market Data Switch Operation (object_21.html?object=35659) Customer Tax Handling (object_21.html?object=35884) Current Account (object_21.html?object=37122) Customer Position (object_22.html?object=41136) Guideline Compliance (object_22.html?object=41242)
serves	Investment Portfolio Management (object_6.html?object=131007)
triggered by	Customer Relationship Management (object_21.html?object=30437) Investment Portfolio Management (object_21.html?object=32159)
triggers	Product Expert Sales Support (object_21.html?object=32141) Investment Portfolio Management (object_21.html?object=32159) Product Directory (object_21.html?object=34953) Suitability Checking (object_21.html?object=38647) Regulatory Compliance (object_22.html?object=46420)