

Legal Entity Directory (Capability)

- 1. Role Definition
- 2. Example of Use
- 3. Executive Summary
- 4. Key Features
- Documentation
- Properties
- Appears on
- Relations

1. Role Definition

Maintain the legal entity structure including ownership, subsidiaries and partnership details

2. Example of Use

Party legal entity details are checked for the financial booking of a major loan

3. Executive Summary

This service domain maintains details of the legal entity structure of the party including dependents and associations for individuals and ownership/subsidiary structures for corporations. Some financial indicators and product coverage/activity details can be included where this defines the nature of the legal entity relationship in particular for corporate entities

4. Key Features

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- Maintain legal entity reference details for bank customers
- Track/update legal entity details based on market research and reports
- Process legal entity detail updates from customers/bank sources
- Confirm/provide legal entity details for transaction processing tasks

Documentation

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Properties

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Property	Value
Service Domain	
API BIAN Portal	Legal Entity Directory API (https://app.swaggerhub.com/apis/BIAN-3/LegalEntityDirectory/12.0.0)
BIAN Proposed ISO20022 Control Record Match	PartyRegistryEntry
Core Business Object	 Legal Entry Directory (object_26.html?object=43197)
Individual Analytics	partyDirectoryEntryAccumulators partyDirectoryEntryActivityAnalysis partyDirectoryEntryPerformanceAnalysis partyDirectoryEntryTrends&Events
Portfolio Analytics	partyDirectoryEntryPortfolioActivityAnalysis partyDirectoryEntryPortfolioMake-UpAnalysis partyDirectoryEntryPortfolioPerformanceAnalysis

Scenarios

-  Perform Regulatory KYC Analysis (views/view_55013.html)
-  Verify Corporate Customer (views/view_55019.html)
-  Handle Request for Loan that Requires Syndication (views/view_55083.html)
-  Handle Request for Corporate Loan (views/view_55212.html)
-  EXT Handle Request to Change Corporate Current Account Ownership (views/view_55493.html)
-  Record Details of Pledgeable Borrower Assets (views/view_55642.html)
-  Handle Request for Corporate Sweep Agreement (views/view_55200.html)
-  EXT Handle Request to Change Virtual Account Ownership (views/view_55562.html)
-  Perform Customer Due Diligence Assessment (views/view_55502.html)
-  Handle Request for Issuance of Commercial Paper (views/view_54869.html)
-  Handle Request for Corporate Sweep Agreement Considering Inter-Company Loan Agreements (views/view_54604.html)
-  Conduct Corporate Loan Collateral Due Diligence (views/view_54660.html)
-  EXT Handle Request to Open Virtual Account (views/view_54790.html)
-  Handle Request to Open Corporate Current Account (views/view_54919.html)
-  Handle Request to Establish Term Deposit Agreement (views/view_54907.html)

Service Role Maintain a comprehensive set of party reference details, including legal entity structure, demographics, administrative, KYC related properties, status and activity summaries

BIAN Life Cycle

Registration Status Registered

Stereotypes

stereotype ServiceDomain

Appears on

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(views/view_50686.html)

(views/view_51705.html)

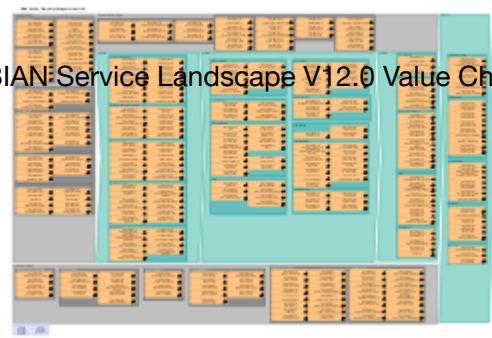


(views/view_51891.html)



BIAN Service Landscape V12.0 Matrix Vi...

BIAN Service Landscape V12.0 Value Ch...



Relations



aggregated by



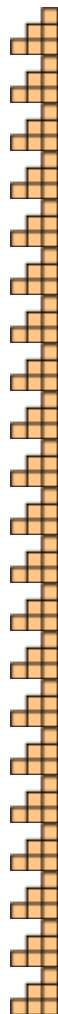
Party (object_25.html?object=131261)

Wave 2 (object_25.html?object=153742)

Wave 1 (object_25.html?object=153747)

Party Reference (object_25.html?object=172045)

gets input from



Party Lifecycle Management (object_20.html?object=29780)
Customer Access Entitlement (object_20.html?object=30136)
Customer Relationship Management (object_21.html?object=30437)
Party Routing Profile (object_21.html?object=31558)
Corporate Lease (object_21.html?object=32008)
Servicing Order (object_21.html?object=32295)
Corporate Current Account (object_21.html?object=34221)
Customer Behavior Insights (object_21.html?object=34917)
Channel Activity History (object_21.html?object=38870)
Customer Offer (object_22.html?object=39968)
Customer Campaign Execution (object_22.html?object=40236)
Credit Facility (object_22.html?object=40622)
Party Authentication (object_22.html?object=40912)
Contact Handler (object_20.html?object=41839)
Bank Guarantee (object_22.html?object=44405)
Card Authorization (object_22.html?object=44578)
Collections (object_22.html?object=44734)
Lead and Opportunity Management (object_22.html?object=45446)
Limit and Exposure Management (object_22.html?object=46631)
Session Dialogue (object_23.html?object=48273)
Correspondent Bank Directory (object_23.html?object=48674)

is associated
with



Legal Entity Directory (object_44.html?object=38931)

is equal to


























Legal Entity Directory (object_37.html?object=37531)

is part of






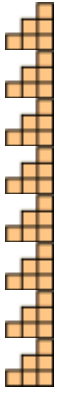
Party (object_25.html?object=131261)

realized by

-  Party Directory Entry_ Analytics Object (object_25.html?object=111027)
-  Legal Entity Directory_SD_Operations (object_20.html?object=166663)
-  Directory Entry_Instantiation (object_20.html?object=166675)
-  Directory Entry_Invocation (object_20.html?object=166684)
-  Directory Entry_Reporting (object_20.html?object=166691)
-  Legal Entity Directory_SD_Service Group (object_20.html?object=243716)
-  Ex CR Execute an automated action against an entry (e.g. notify status update) (object_12.html?object=28992)
-  Up BQ Update details about an association entry for the legal entity (object_13.html?object=30716)
-  Legal Entity Directory Entry (object_24.html?object=32213)
-  Rq CR Request manual intervention with an entry (e.g. refresh content) (object_13.html?object=32424)
-  Re BQ Retrieve details about reference entries in the directory record (object_13.html?object=33778)
-  Re CR Retrieve details about a legal entity directory entry (object_14.html?object=35092)
-  Re BQ Retrieve details about association entries in the directory record (object_14.html?object=35304)
-  In CR Register a new legal entity in the catalog (object_14.html?object=37281)
-  Up BQ Update details about a profile entry for the legal entity (object_14.html?object=37872)
-  Ec CR Accept, verify, reject a directory entry (object_15.html?object=38925)
-  Ec CR Accept, verify, reject etc. details of a legal entity directory entry (object_15.html?object=40510)
-  Re BQ Retrieve details about profile entries in the directory record (object_12.html?object=42223)
-  Up BQ Update details about a reference entry for the legal entity (object_12.html?object=42556)
-  Up CR Update details about the legal entity directory entry (object_15.html?object=42966)
-  Co CR Control the handling of an entity entry (e.g. terminate) (object_16.html?object=44225)
-  Legal Entity (object_24.html?object=47976)
-  Catalog (object_28.html?object=58136)

serves

-  Customer Association Management (object_6.html?object=126739)
 -  Customer Management (object_6.html?object=126743)
 -  Customer to Information Association (object_6.html?object=126751)
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triggered by		Party Lifecycle Management (object_20.html?object=29780)
		Customer Relationship Management (object_21.html?object=30437)
		Servicing Order (object_21.html?object=32295)
		Party Asset Directory (object_21.html?object=34169)
		Collateral Asset Administration (object_21.html?object=37744)
		Customer Offer (object_22.html?object=39968)
		Regulatory Compliance (object_22.html?object=46420)
		Loan Syndication (object_23.html?object=47935)
