

# Legal Compliance (Capability)

---

- 1. Role Definition
- 2. Example of Use
- 3. Executive Summary
- 4. Key Features
- Documentation
- Properties
- Appears on
- Relations

## 1. Role Definition

---

This service domain oversees legal compliance related considerations for the bank. This includes interpreting the laws that govern the bank's operations and determining which laws apply in specific circumstances across the enterprise. Support and guidelines are provided to activities in the bank that are subject to specific laws and support given to address legal matters that might arise

## 2. Example of Use

---

A new product design is checked against the prevailing financial laws for the target jurisdictions of delivery

## 3. Executive Summary

---

Provide specialist legal advice, assess for legal compliance and resolve legal cases as they occur

4. Key Features

^






- Review and interpret the law
- Provide legal advice/guidance
- Evaluate and report on bank activity to ensure legality

Documentation

^

Properties

^

Property	Value
Service Domain	
API BIAN Portal	Legal Compliance API ( <a href="https://app.swaggerhub.com/apis/BIAN-3/LegalCompliance/12.0.0">https://app.swaggerhub.com/apis/BIAN-3/LegalCompliance/12.0.0</a> )
Core Business Object	 Legal Compliance ( <a href="#">object_26.html?object=38586</a> )
Individual Analytics	<code>legalComplianceAssessmentAccumulators</code> <code>legalComplianceAssessmentActivityAnalysis</code> <code>legalComplianceAssessmentPerformanceAnalysis</code> <code>legalComplianceAssessmentTrends&amp;Events</code>
Portfolio Analytics	<code>legalComplianceAssessmentPortfolioActivityAnalysis</code> <code>legalComplianceAssessmentPortfolioMake-UpAnalysis</code> <code>legalComplianceAssessmentPortfolioPerformanceAnalysis</code>
Scenarios	<div> <code>Verify Corporate Customer</code> (<a href="#">views/view_55019.html</a>)  <code>Process Sales Marketing and Closing</code> (<a href="#">views/view_55605.html</a>)  <code>Verify Private Banking Customer</code> (<a href="#">views/view_55374.html</a>)  <code>EXT Handle Request to Change Corporate Current Account Ownership</code> (<a href="#">views/view_55493.html</a>)</div>
Service Role	Provide specialist legal advice, assess for legal compliance and resolve legal cases as they occur
BIAN Life Cycle	
Registration Status	Registered
Stereotypes	

stereotype

ServiceDomain

# Appears on

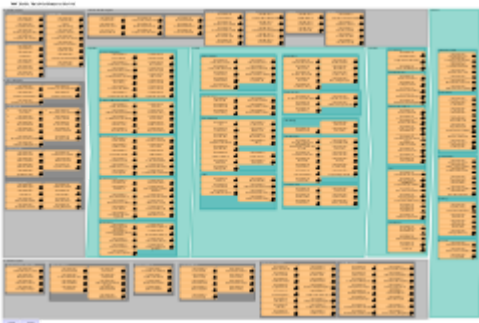


(views/view\_50683.html)



Legal Compliance SD Overview

(views/view\_51705.html)



BIAN Service Landscape V12.0 Value Ch...

(views/view\_51891.html)



BIAN Service Landscape V12.0 Matrix Vi...

# Relations



aggregated by



- Non-IT and Non-HR Enterprise Services (object\_25.html?object=130279)
- Wave 3 (object\_25.html?object=153743)
- Corporate Services (object\_25.html?object=171833)

is equal to

















Legal Compliance (object\_38.html?object=45784)

is part of




Non-IT and Non-HR Enterprise Services (object\_25.html?object=130279)








---

realized by	 Legal Compliance Assessment_Analytics Object (object_25.html?object=110998)
	 Legal Compliance_SD_Operations (object_19.html?object=170335)
	 Legal Compliance_Instantiation (object_19.html?object=170344)
	 Legal Compliance_Invocation (object_19.html?object=170347)
	 Legal Compliance_Reporting (object_19.html?object=170357)
	 Legal Compliance_SD_Service Group (object_19.html?object=240576)
	 Legal Compliance (object_24.html?object=34090)
	 Ev CR Start a legal assessment action (object_13.html?object=34347)
	 Legal Compliance Assessment (object_24.html?object=34696)
	 Ec CR Accept, verify, etc. a legal determination (object_14.html?object=37898)
	 Rq CR Request a review or adjudication for an assessment (object_12.html?object=42220)
	 Up CR Update submitted details or content for an on-going legal assessment (object_16.html?object=46357)
	 Re CR Retrieve details and content from a legal assessment action (object_17.html? object=47951)
	 Assess (object_28.html?object=58027)

---

serves	 Legal Support Management (object_6.html?object=131092)
--------	--

---

triggered by	 Party Lifecycle Management (object_20.html?object=29780)
	 Customer Relationship Management (object_21.html?object=30437)
	 Term Deposit (object_21.html?object=30653)
	 Corporate Current Account (object_21.html?object=34221)
	 Collateral Asset Administration (object_21.html?object=37744)
	 Customer Offer (object_22.html?object=39968)
	 Asset Securitization (object_23.html?object=47465)

---