Regulatory Reporting (Capability)

1. Role Definition

The service domain handles the bank's regulatory reporting obligations. This includes the consolidation and presentation of information in regulatory reports and disclosures and handling the response to regulatory requests for information as part of ongoing regulator relations. It also handles the scheduled consolidation, formatting and delivery of the range of compliance reports including 'on-line' trade reporting and after the event transaction analysis reporting

2. Example of Use

Trading activity is reported to the exchange to comply with regulatory reporting requirements

3. Executive Summary

This service domain administers and orchestrates the tasks required to meet the bank's regulatory reporting obligations

4. Key Features

Consolidate regulatory reporting materials and assemble reports

Delivery scheduled and ad-hoc reports to regulators

Handle regulator's reporting requests and responses

Oversee on-line/production transaction regulatory reporting

Documentation

Properties

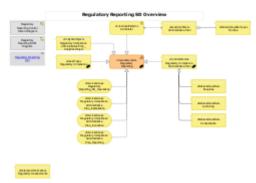| Property	Value
Service Domain	
API BIAN Portal	Regulatory Reporting API (https://app.swaggerhub.com/apis/BIAN-3/RegulatoryReporting/12.0.0)
Core Business Object	Regulatory Report (object_26.html?object=44931)
Individual Analytics	regulatoryComplianceAdministrativePlanAccumulators regulatoryComplianceAdministrativePlanActivityAnalysis regulatoryComplianceAdministrativePlanPerformanceAnalysis regulatoryComplianceAdministrativePlanTrends&Events
Portfolio Analytics	regulatoryComplianceAdministrativePlanPortfolioActivityAnalysis regulatoryComplianceAdministrativePlanPortfolioMake-UpAnalysis regulatoryComplianceAdministrativePlanPortfolioPerformanceAnalysis
Scenarios	Perform other Regulatory Assessments (views/view_55449.html)
Service Role	Administer and orchestrate the required regulatory reporting schedule of regulatory reports
BIAN Life Cycle	
Registration Status	Registered
Stereotypes	

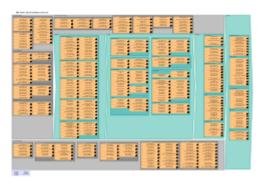| stereotype	
	ServiceDomain

Appears on ⌃

(views/view_51174.html)

Regulatory Reporting SD Overview

(views/view_51705.html)

BIAN Service Landscape V12.0 Value Ch…

(views/view_51891.html)

BIAN Service Landscape V12.0 Matrix Vi…

Relations ⌃

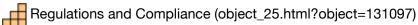| aggregated by	Regulations and Compliance (object_25.html?object=131097)
	Wave 1 (object_25.html?object=153747)
	Compliance (object_25.html?object=171917)
is equal to	Regulatory Reporting (object_37.html?object=38934)
is part of	Regulations and Compliance (object_25.html?object=131097)

realized by	Regulatory Compliance Administrative Plan_ Analytics Object (object_25.html?object=110966)
	Regulatory Compliance Administrative Plan_Reporting (object_18.html?object=218512)
	Regulatory Reporting_SD_Operations (object_19.html?object=218531)
	Regulatory Compliance Administrative Plan_Instantiation (object_18.html?object=218537)
	Regulatory Compliance Administrative Plan_Invocation (object_18.html?object=218541)
	Regulatory Reporting_SD_Service Group (object_19.html?object=239787)
	Regulatory Compliance (object_23.html?object=29167)
	Re BQ Retrieve consolidated information for review (object_13.html?object=30381)
	Up BQ Update or augment consolidated information to support report generation (object_13.html?object=30850)
	Cr BQ Initiate the handling of a regulatory reporting enquiry (object_13.html?object=31311)
	Ec BQ Accept or reject a regulatory enquiry response prior to submission (object_14.html?object=35773)
	Up CR Update the regulatory reporting set up or schedule (object_14.html?object=38094)
	Re BQ Retrieve regulatory enquiry detail and response for review (object_15.html?object=38644)
	Regulatory Compliance Administrative Plan (object_24.html?object=39504)
	Ca BQ Provide transaction records as required to support regulatory reporting (object_15.html?object=40770)
	Re BQ Retrieve regulatory reporting detail and reports for review (object_15.html?object=40981)
	Ca BQ Provide transaction records as required to support regulatory enquiry (object_15.html?object=42695)
	Up BQ Update or augment consolidated information to support enquiry handling (object_16.html?object=43703)
	Rq CR Request an override to the regular reporting schedule (e.g. request ad hoc report) (object_16.html?object=44069)
	Ec BQ Accept or reject a regulatory report prior to submission (object_16.html?object=45393)
	Re CR Retrieve any details of the regulatory reporting administration (object_17.html?object=48801)
	Administer (object_28.html?object=58096)
serves	Policy Management (object_6.html?object=81319)
triggered by	Party Lifecycle Management (object_20.html?object=29780)