# Compliance Reporting (Capability)

## 1. Role Definition

This service domain schedules, consolidates information and reports on internal audit and compliance activity across the bank

## 2. Example of Use

Example of use:
The financial accounting procedures for a back office function are audited

## 3. Executive Summary

This service domain administers and orchestrates the tasks required to apply and report on internal audit control and reporting activity

# 4. Key Features  ⌃

Consolidate internal compliance/audit reporting materials and assemble reports

Delivery scheduled and ad-hoc reports to internal auditors, highlighting non-compliance

Handle follow up for identified remedial actions for non-compliance

Oversee on-line/production transaction regulatory reporting

# Documentation  ⌃

# Properties  ⌃

| Property | Value |
| --- | --- |
| **Service Domain** | |
| API BIAN Portal | Compliance Reporting API (https://app.swaggerhub.com/apis/BIAN-3/ComplianceReporting/12.0.0) |
| Individual Analytics | complianceReportingAdministrativePlanAccumulators<br>complianceReportingAdministrativePlanActivityAnalysis<br>complianceReportingAdministrativePlanPerformanceAnalysis<br>complianceReportingAdministrativePlanTrends&Events |
| Portfolio Analytics | complianceReportingAdministrativePlanPortfolioActivityAnalysis<br>complianceReportingAdministrativePlanPortfolioMake-UpAnalysis<br>complianceReportingAdministrativePlanPortfolioPerformanceAnalysis |
| Scenarios | |
| Service Role | Report on applicable compliance checks that are applied to a transaction or facility fulfillment procedure |
| **BIAN Life Cycle** | |
| Registration Status | Registered |
| **Stereotypes** | |
| stereotype | ServiceDomain |

# Appears on ⌃

Compliance Reporting SD Overview
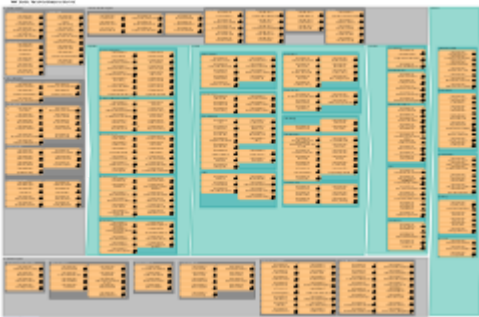
BIAN Service Landscape V12.0 Value Ch…

BIAN Service Landscape V12.0 Matrix Vi…

# Relations ⌃

| aggregated by | Regulations and Compliance (object_25.html?object=131097) |
| --- | --- |
| | Wave 5 (object_25.html?object=153746) |
| | Compliance (object_25.html?object=171917) |
| is equal to | Compliance Reporting (object_37.html?object=39305) |
| is part of | Regulations and Compliance (object_25.html?object=131097) |

| realized by | Compliance Reporting Administrative Plan_ Analytics Object (object_25.html?object=111085) |
|---|---|
| | Compliance Reporting (object_24.html?object=133102) |
| | Compliance Reporting Administrative Plan_Invocation (object_18.html?object=207625) |
| | Compliance Reporting Administrative Plan_Reporting (object_18.html?object=207645) |
| | Compliance Reporting_SD_Operations (object_19.html?object=207648) |
| | Compliance Reporting Administrative Plan_Instantiation (object_18.html?object=207651) |
| | Compliance Reporting_SD_Service Group (object_19.html?object=242618) |
| | Re BQ Retrieve details about any aspect of Remediation (object_12.html?object=28877) |
| | Up BQ Update details relating to Remediation (object_12.html?object=30003) |
| | Re CR Retrieve details about any aspect of Compliance Reporting Administrative Plan (object_12.html?object=30148) |
| | Re BQ Retrieve details about any aspect of Compliance Assessment (object_13.html?object=31453) |
| | Ca BQ Capture Remediation activity or event related information (object_13.html?object=31495) |
| | Up CR Update details relating to Compliance Reporting Administrative Plan (object_13.html?object=32489) |
| | Rq BQ Request manual intervention or a decision with respect to Consolidation (object_13.html?object=33859) |
| | Ca BQ Capture Consolidation activity or event related information (object_14.html?object=34962) |
| | Rq BQ Request manual intervention or a decision with respect to Remediation (object_14.html?object=35072) |
| | Up BQ Update details relating to Compliance Assessment (object_14.html?object=37308) |
| | Rq CR Request manual intervention or a decision with respect to Compliance Reporting Administrative Plan (object_15.html?object=40069) |
| | Compliance Reporting Administrative Plan (object_24.html?object=43219) |
| | In CR Instantiate a new Compliance Reporting Administrative Plan (object_16.html?object=43225) |
| | Ca BQ Capture Compliance Assessment activity or event related information (object_16.html?object=43261) |
| | In BQ Instantiate a new Remediation (object_16.html?object=44902) |
| | Rq BQ Request manual intervention or a decision with respect to Compliance Assessment (object_16.html?object=45030) |
| | Ca CR Capture Compliance Reporting Administrative Plan activity or event related information (object_16.html?object=45132) |
| | Up BQ Update details relating to Consolidation (object_16.html?object=45219) |
| | Re BQ Retrieve details about any aspect of Consolidation (object_16.html?object=46234) |
| | Administer (object_28.html?object=58096) |
| serves | Policy Management (object_6.html?object=81319) |