

# **ATLAS Note**

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# Guide to references and BibTEX in ATLAS documents

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This document discusses how to use BibTEX for the bibliography of your ATLAS paper. It covers what to pay attention to when creating references and how to get round common problems. Standard .bib bibliography files are provided which contain all ATLAS and CMS journal publications as well as ATLAS CONF and PUB notes.

Use of both biblatex and the more traditional BibTEX for formatting the references is covered. A biblatex style file and two BibTEX (.bst) style files have been created that can be used with any of the ATLAS supported journals, depending on whether they require the title of the references to be included or not.

This document was generated using version 07-03-00 of the ATLAS LATEX package. The TEX Live version is set to 2016. It uses the option atlasstyle, which implies that the standard ATLAS preprint style is used.

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#### 1 Introduction

The ATLAS Collaboration has specific guidelines as to what constitutes a good bibliographic style. For example, a reference to a paper by an LHC Collaboration or other big collaboration should include the first author, whereas, if the paper is by smaller collaborations it should. Where available, links to the arXiv entries of the papers should be included. To help authors with their paper preparations, a standard ATLAS bibliographic style has been developed which incorporates all of these requirements, and, at the same time, is largely compatible with those of the journals the papers are being submitted to.

It is strongly recommended to use BibTEX for the references. Although it often appears harder to use at the beginning, it means that the number of typos should be reduced significantly and the format of the references will be correct, without you having to worry about formatting it. In addition the order of the references is automatically correct. Files which contain ATLAS and CMS journal publications as well as ATLAS CONF and PUB notes in BibTEX format are provided — see Section 2.1.

A new implementation of BibTeX is provided by the biblatex [1] package. All ATLAS documents use this package now by default. One major advantage of the package is that it defines quite a few more entry types that are much more suitable for online documents and things like CONF and PUB notes. Adjustment of the style is also much simpler. It is possible to take a base style and then just apply changes to it rather than having to learn the details of how bst files are constructed.

The nomenclature is rather confusing as the package biblatex can use either biber or bibtex as a backend to process your .bib files. There are 3 possible cases that will be considered here:

#### 1. biblatex + biber;

- 2. biblatex + bibtex;
- 3. Traditional BibT<sub>E</sub>Xwith bibtex;

As of Version 04-00-00 of atlaslatex, 1. is the default for ATLAS. You can change to the bibtex backend (alternative 2.) by passing the option backend=bibtex to atlaspackage. However, see Section 2.4 for some of the drawbacks of the bibtex backend. While the use of traditional BibTEX (alternative 3.) is deprecated, the templates indicate via commented out commands how to use it. If you use the biber backend, it is also possible to use UTF-8 encoding in the entries, which means that letters such as  $\ddot{a}$ ,  $\dot{\epsilon}$ ,  $\dot{\beta}$  can be included directly in the text.

The typical compilation cycle when using biblatex with the biber backend looks like the following:

```
pdflatex mydocument
biber mydocument
pdflatex mydocument
pdflatex mydocument
```

If you use biblatex with the backend bibtex rather than biber, or the traditional version of BibTEX, replace the command biber with bibtex. The recommended alternative to explicit commands is latexmk -pdf mydocument. latexmk is a Perl script that looks at your document and runs the appropriate commands as often as they are needed.

biber and bibtex create a file with the extension .bbl, which contains the actual references used, and (pdf)LATEX then takes care to include them in your document. Note that only after the third run of pdfLATEX will all references be correct. Unless you change a reference you do not have to do the biber/bibtex step again. The style of the references is governed by the biblatex options that you use or the BibTEX style file (which has the extension bst).

You can of course use LATEX rather than pdfLATEX, but pdfLATEX is preferred, as things like clicking on cross-references and links to publications in the bibliography work much more reliably with pdfLATEX.

## 2 Bibliography databases

One or more files with the extension .bib should contain all the references. The files may also contain references that you do not use, so they act like a library of references. See Section 2.1 for details on files which contain ATLAS and CMS journal publications as well as ATLAS CONF and PUB notes.

You should check that you base your .bib file on the examples provided, as they have the references in the style recommended for ATLAS publications. This will definitely save time in the reviewing process!

If you use biblatex, you should include commands like:

```
\addbibresource{bib/ATLAS.bib}
\addbibresource{bib/CMS.bib}
\addbibresource{atlas_biblatex.bib}
```

in the preamble of your document.

If you use traditional BibT<sub>E</sub>X you include the source file(s) at the place where the bibliography should be printed as follows:

```
{\raggedright
  \bibliography{bib/ATLAS,%
  bib/CMS.bib,%
  atlas_biblatex}
}
```

If you use atlaspackage, then biblatex is used with the biber backend by default. Turn off the use with the option biblatex=false.

If you want to use the bibtex backend, include atlaspackage with the option backend=bibtex and use the command bibtex instead of biber to process the .bib files. Note that biber returns an error if it encounters an empty .bib file.

#### 2.1 Databases with ATLAS and CMS references

Four database files with all ATLAS and CMS journal references as well as ATLAS CONF and PUB notes are provided:

ATLAS.bib the citation key is the ATLAS reference code, e.g. HIGG-2012-27;

CMS.bib the citation key is the CMS reference code, e.g. CMS-HIG-12-036;

ConfNotes.bib the citation key is the CONF note number, e.g. ATLAS-CONF-2014-063;

PubNotes.bib the citation key is the PUB note number, e.g. ATL-PHYS-PUB-2014-021.

These are available in the directory bib of atlaslatex. The files are updated once per month and all entries have been checked for correct formatting. These files are included whenever a new version of atlaslatex is released. They are also available directly from the PubCom TWiki [2]. You are strongly encouraged to use these files and not try to maintain your own copies of such publications.

As of Version 01-07-02 of atlaslatex, ATLAS journal publications, CONF and PUB notes as well as CMS publications are available.

#### 2.2 References from Inspire

A common way to find a reference is using Inspire [3]. You can select the output format as BibTeX and simply copy the result(s) to your .bib file. In order that the reference follows the ATLAS conventions you need to do the following, assuming that the reference is for an LHC collaboration paper:

- 1. Change the field name author to xauthor.
- 2. Change the field name collaboration to author and write the collaboration in the form "{ATLAS Collaboration}". Note the use of both quotes and curly braces.

- 3. Either replace the journal name with the appropriate macro, e.g. "Phys.Lett." with "\PLB"; or insert spaces between the parts of the name, i.e. "Phys. Lett.".
- 4. Remove the journal letter from the volume and include it in the journal, e.g. "\EPJC", "\PRD" or "Phys.~Lett.~B".

If the reference is for another collaboration, rename the collaboration field to xcollaboration and insert {NonLHC Collaboration} and at the beginning of the author field.

Instead of renaming the author or collaboration fields, you can of course simply delete them! Do not comment the lines out, as comment lines are not recognised inside BibT<sub>F</sub>X entries.

## 2.3 Entry types to use

Sometimes it is not clear what entry type to use. Here are a few guidelines. Note that some of the entry types that biblatex has are not part of BibT<sub>E</sub>X.

- @article This is easy use it for articles published in journals, e.g. [4].
- **@book** Just as easy use it for books, e.g. [5].
- @proceedings, @inproceedings The name says it all. Use @inproceedings for a paper in the proceedings and @proceedings for the whole volume.
- @collection Use it for things such as the ATLAS Technical Design Report [6] where the names that you find are the editors. Use @incollection for a single article in a collection. If you use traditional BibTFX, you have to use @booklet as in Ref. [7].
- **@report** Use it for conference and internal notes. This is probably also the best type to use for preprints if you use biblatex. You can also use @booklet or @online instead.
- @online Use it for things that are only available online, e.g. [8]. If you use traditional BibTeXyou have to use @misc instead.
- **@thesis** The name says it all. **@phdthesis** and **@mastersthesis** also exist. If you are using biblatex you can and should specify the thesis type, e.g. type = {PhD}, see for example a PhD thesis [9].

biblatex also knows about multivolume proceedings etc. See the manual for more details.

#### 2.4 Errata

As of Version 04-00-00 of atlaslaex Errata for ATLAS publications are included. biblatex offers a nice mechanism for this using the related field. For example, Ref [10] has an Erratum. This is achieved using the following:

```
@Article{EXOT-2013-13,
                 = "{ATLAS Collaboration}",
    author
    title
                 = "{Search for new phenomena in final states ...}",
                 = "Eur. Phys. J. C",
    journal
    volume
                 = "75",
    year
                  = "2015",
                = "299",
   pages
                = "10.1140/epjc/s10052-015-3517-3",
    doi
    reportNumber = "CERN-PH-EP-2014-299",
    eprint = "1502.01518",
    archivePrefix = "arXiv",
   primaryClass = "hep-ex",
   related
             = "EXOT-2013-13-err",
   relatedstring = "Erratum:",
}
@Article{EXOT-2013-13-err,
    author
                 = "{ATLAS Collaboration}",
    journal
                 = "Eur. Phys. J. C",
                 = "75",
    volume
                  = "2015",
    year
   pages
                 = "408",
                 = "10.1140/epjc/s10052-015-3639-7",
    reportNumber = "CERN-PH-EP-2014-299",
}
```

This mechanism is used in ATLAS.bib and will be extended to CMS.bib once I have a list of the CMS Errata.

The mechanism only works if the biber backend is used. Using the standard files with the bibtex backend and/or traditional BibTeX does not cause errors when compiling. However, the Errata are simply ignored. Instead, you also should use the files ATLAS-errata.bib etc. (with the appropriate key, e.g. EXOT-2013-13-witherratum [11]). An example entry looks like:

```
@Article{EXOT-2013-13-witherratum,
                  = "{ATLAS Collaboration}",
    author
    title
                  = "{Search for new phenomena in final states ...}",
                  = "Eur. Phys. J. C",
    journal
    volume
                  = "75",
                  = "2015",
    year
                  = "299",
    pages
    doi
                  = "10.1140/epjc/s10052-015-3517-3",
    reportNumber = "CERN-PH-EP-2014-299",
    eprint
                  = "1502.01518",
    archivePrefix = "arXiv",
    primaryClass
                  = "hep-ex",
    addendum
                   = "Erratum: \href{http://dx.doi.org/10.1140/epjc/s10052-015-3639-7}{Eur.
```

}

With traditional BibTeX, you have to replace the field name 'addendum' with 'note', as the 'addendum' field was introduced with biblatex.

## 3 Examples

The examples given above and in this section can be found in the file doc/atlas\_bibtex/atlas\_bibtex.bib of atlaslatex. Here are some typical reference types needed in ATLAS documents:

- LHC Collaboration [4]
- Other big collaboration [12]
- Other collaboration [13]
- Individual authors [14]
- arXiv only [15]
- arXiv only submitted to a journal [16]
- ATLAS CONF Note [17]

While the collaboration field is a nice idea, it is not supported by many BibTEX styles. Hence in Ref. [4], collaboration has been renamed to author and the author field has been renamed as xauthor. If you use collaboration and omit author you will get a warning when you run bibtex.

Note that in Ref. [17] the entry type @article used to be used and the field journal was abused for the conference note number. This is a result of the traditional BibTeX restrictions on the entry types. The current version uses @booklet and includes the CONF note number via the howpublished field. In general, biblatex provides a lot more entry types. This is one of the reasons for the move to biblatex as the default for the ATLAS templates. All atlaslatex documentation uses biblatex with the backend biber. As of Version 04-00-00 of atlaslatex, this is also the default for ATLAS documents.

For papers that have been submitted to a journal, but not yet published, use the entry type @article. You should specify the journal in the journal field in form:

```
journal = "submitted to \PLB{}" or
journal = "accepted by \PLB{}".
```

## 3.1 Bibliography database tips

• A bibliographic item is created in the .bib file as:

```
@article{lhcCollaboration:2012,
   author = "...",
   title = "...",
   further bibliographic information}
}
```

The identifier directly after the document type declaration is how one should refer to this item inside the main .tex file. Use a non-breaking space between the citation and the reference, i.e. ... measured previously~\cite{lhcCollaboration:2012}.

- When referencing ATLAS CONF notes, the URL to the CDS page should be included. For this to work, in the preamble of your .tex document add \usepackage{hyperref}. Note that hyperref is included by default if you use atlasdoc and/or atlaspackage.
- Depending on the style that is used, if the DOI is filled and the hyperref package loaded, the title of the journal can be highlighted in blue and become a hyperlink to the online paper.
- When referencing papers from journals like Phys. Rev. D, Phys. Lett. B, etc., one should not to include the 'D' or 'B' as part of the volume. Instead these belong to the journal name. You can either use the macros that have been added to the .bst style files for these journals, or the macros defined in atlasjournal. If you want to use biblatex or other bst files, it is probably better to use the atlasjournal definitions.
- See the Section 2.4 on Errata for hints on how best to include Errata.
- Comments are not part of the bibliography database format. Text outside entries will be ignored. Do not try to comment out fields inside an entry! If there are fields that you do not want, you have to move them outside the entry.

In earlier versions of this document, it was recommended to include the cite package, if you use BibTeX and want to cite multiple references in the format [m-n]. If you use biblatex it can do this for you by using the option style=numeric-comp, which is the default in atlaslatex. If you use traditional BibTeX, the journal style files can do this for you by using the option sort&compress option if natbib is used. The revtex style also does this for you.

## 4 ATLAS bibliography style

The format of the references in your ATLAS paper depends on the journal to which you are submitting, but in general we can classify the journal styles in two categories: those which require the title of the references and those which do not. To ensure the homogeneity in all ATLAS publications, biblatex and BibTEX style files are provided for each of these categories along with an example file that illustrates how different types of bibliographic material should be referenced.

The biblatex style settings can be found in the directory latex, while the traditional BibTeX style files can be found in the directory obsolete/bst of the atlaslatex package.

For the final version of ATLAS notes, both internal and public, a style without the title for papers in journals is recommended. For draft versions, it is good to include the title, as it is then clearer what the reference refers to.

#### 4.1 biblatex style file

If you use biblatex the basic options are set in latex/atlaspackage.sty. A few adjustments are made in the style file latex/atlasbiblatex.sty, which you should include with a normal \usepackage command. By default, this style includes the document title. The title can be turned off by including the option articletitle=false. This option turns off the title for entry types @article, @booklet and @report, as @booklet should be used as the entry type for CONF and PUB notes. A summary of the options is:

block=ragged specify the option for extra spacing between blocks when making the bibliography with biblatex. ragged uses \raggedright, but tries not to break titles across lines; none and space print the references in block mode, while space includes an extra space between the author and title; The default option (ragged) tries to fit the title into a single line and so sometimes leads to a new line starting immediately after the author;

**maxbibnames=5** specify the maximum number of author names before 'et al.' is used, where the value is an integer;

articletitle=true|false turn on or off including the article title in the bibliography;

titlequote=true|false enclose the title in quotes instead of emphasize;

**showdoi**=true|**false** make the journal reference a link to the DOI instead of displaying it;

**eprint=true**|false print the arXiv reference if available;

**backref=true**|false give page number of \cite command in bibliography;

**address**=true|**false** turn on or off the printing of the address field.

**location=**true|**false** turn on or off the printing of the location field.

**texlive=YYYY** set if you use an older version of TEX Live like 2013. This option is usually set in the document class.

With biblatex, notes are printed after the journal reference and before the arXiv or URL. If you want the note to be printed after the arXiv or URL, use the addendum rather than the note field. The addendum field is ignored by traditional BibTEX. The field address is an alias for location in biblatex. The month field is ignored when using biblatex. If you include the month, it should be a number and not text, i.e. "11" and not "Nov", as a number is not language dependent.

The backref option prints the page number(s) of the corresponding \cite command(s) in the bibliography. It is turned on by default, as this is probably useful information when you are writing a document. For the the CERN preprint version of papers it is turned off explicitly. If you want to turn off the back references for the final version of ATLAS notes, CONF notes or PUB notes, pass the option backref=false to atlasbiblatex.

To print the bibliography include the command:

\printbibliography

where it should get printed.

## 4.2 Traditional BibT<sub>E</sub>X style files

If you use traditional BibTEX, you should choose between the two style files given below, depending on the journal to which they wish to submit their paper.

Note that use of traditional BibTeX style files is deprecated and not all options provided for biblatex have been ported to the BibTeX style files.

#### 4.2.1 BibT<sub>E</sub>X style file for journals with the title in the reference

BibT<sub>F</sub>X style file: atlasBibStyleWithTitle.bst. Journals:

- JHEP
- JINST
- NJP

Include at the end of your .tex file the following lines:

```
\bibliographystyle{bib/bst/atlasBibStyleWithTitle}
\bibliography{atlas-bibtex}
```

You can use the BibTEX style JHEP.bst for papers that are supposed to be submitted to JHEP. However, note that JHEP only prints the arXiv entry etc. if the entry type is @article. In the examples included in this document, the entry type @booklet is used for preprints and CONF notes, as this works best with other BibTEX styles. If you are planning to submit to JHEP/JINST and use JHEP.bst replace all @booklet entry types with @article.

#### 4.2.2 BibTFX style file for journals without the title in the reference

BibT<sub>E</sub>X style file: atlasBibStyleWoTitle.bst. Journals:

- EPJC
- NPB
- PLB
- PRD
- PRL

Include at the end of your .tex file the following lines:

```
\bibliographystyle{bib/bst/atlasBibStyleWoTitle}
\bibliography{atlas-bibtex}
```

## 5 Journal names

It is often the case that one sees several different abbreviations for journal names in one set of references. In order to try to get round this problem, macros are defined that contain the standard abbreviations. It is then also possible to modify the abbreviation if a journal uses a different convention from ours.

The abbreviations can be found in the style file latex/atlasjournal.sty, which is included by default if you load atlasphysics. If you use traditional BibTeX, you should give the journal name in the form journal = "\PLB{}", . If you use biblatex the form journal = "\PLB", works without problems. This style file also defines several other abbreviations that can be adjusted to the journal style. Standard sets for different journals can be provided by an option in the future.

## 6 Extracting used database entries

At some point you may want to create a bibliography database that only contains the references you are actually using in a document. You can do this using the bibtool program. Giving the command:

```
bibtool -x mydocument.aux -o refs.bib
```

will extract the entries that you use and in future you can use and correct refs.bib, which only contains the references that you actually cite.<sup>1</sup>

For Debian/Ubuntu distributions you should be able to install bibtool by giving the command (sudo) apt-get install bibtool. For RPM-based Linux (CentOS, Scientific Linux, Fedora, ...) distributions the equivalent command is yum install BibTool. On a Mac, you can install it via MacPorts: (sudo) port install BibTool, or via Homebrew: brew install bib-tool.

## History

**2013-08-13: Cristina Oropeza Barrera** First version of the document released.

**2014-08-14: Ian Brock** Updated the example references a bit and gave a bit more background information.

**2014-12-03: Ian Brock** Text taken from paper template and merged into this document. Document adjusted for use of biblatex as the default.

2015-01-30: Ian Brock Try to clarify the nomenclature and the recommended way to use BibTFX.

**2015-03-20: Ian Brock** Add information about standard ATLAS and CMS bibliography databases.

**2016-06-14: Ian Brock** Add information about address and location options.

**2016-07-08: Ian Brock** Add some advice on entry types to use. Reorganise a bit.

<sup>&</sup>lt;sup>1</sup> I got this tip from http://tex.stackexchange.com/questions/417/how-to-split-all-bibtex-referenced-entries-from-a-big-bibtex-database-to-a-copy. Do not forget to change mydocument.tex, or whatever your main filename is, to use refs.bib instead of the previous sources.

- 2017-02-14: Ian Brock Move options block and maxbibnames to atlasbiblatex.
- 2017-06-01: Ian Brock Adjust documentation to reflect change of directories for bib and bst files.
- **2017-07-19: Ian Brock** Switch to biber as the default biblatex backend. Added documentation on how to include Errata.
- **2018-10-09: Ian Brock** Other big collaboration references should only include the collaboration name. This follows the 'ATLAS Style Guide' [18].

## References

- [1] biblatex Bibliographies in LTEX using BibTeX for sorting only, URL: http://www.ctan.org/pkg/biblatex (cit. on p. 2).
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- [16] J. Monk and C. Oropeza-Barrera, *The HBOM method for unfolding detector effects*, submitted to Nucl. Instrum. Meth. (2011), arXiv: 1111.4869 [hep-ex] (cit. on p. 7).
- [17] ATLAS Collaboration, Search for gluino-mediated scalar top and bottom quark production in final states with missing transverse energy and at least three b-jets with the ATLAS Detector, ATLAS-CONF-2012-058, 2012, URL: http://cdsweb.cern.ch/record/1453786 (cit. on p. 7).
- [18] E. Eisenhandler and S. Willocq, *ATLAS Style Guide*, URL: https://cds.cern.ch/record/1110290 (cit. on p. 12).