

# CS 278 - HW2

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February 27, 2021

## 1 Universal Circuits

- (a) First, consider the boolean functions on 1 bit. There are exactly 4 of them, described by the formulas  $0, 1, x, \bar{x}$ , where we denote the negation of the bit  $x$  by  $\bar{x}$ . To be exact, we will express the former two formulas in terms of  $x$  as  $x \wedge \bar{x}$  and  $x \vee \bar{x}$ , respectively, establishing that all boolean functions on 1 bit can be computed with a maximum of 2 gates. Assume that all functions on  $n$  bits can be computed with  $a_n$  gates, and let  $f : \{0, 1\}^{n+1} \rightarrow \{0, 1\}$  be an arbitrary function on  $n + 1$  bits. We note that  $f(x_1, \dots, x_n, 0)$  and  $f(x_1, \dots, x_n, 1)$  are both boolean functions on  $n$  bits, and thus can be computed with  $a_n$  gates each. We note that  $f(x_1, \dots, x_{n+1}) = ((x_{n+1} \wedge f(x_1, \dots, x_n, 1)) \vee ((\bar{x}_{n+1} \wedge f(x_1, \dots, x_n, 0)))$ , which establishes that  $f$  can be computed with  $2a_n + 4$  gates. This establishes the following asymptotic behavior: any boolean function  $f$  on  $n$  bits can be computed with a maximum of  $a_n = O(2^n)$  gates.
- (b) A boolean function on  $k$  bits sends each of  $2^k$  possible inputs to one of two outputs, and thus there are  $2^{2^k}$  such functions. In the case of  $k = \log_2(n/2)$ , there are  $2^{n/2}$  such functions. As seen above, each can be computed with  $O(2^k) = O(n/2)$  gates, so to compute all functions on  $k$  bits requires  $O(n2^{n/2})$  gates.
- (c) We wish to compute  $f_y(x_1, \dots, x_\ell)$  for all  $y \in \{0, 1\}^{n-\ell}$ . For any given  $y$ , we assume access to circuits computing  $f_{(1,y)}$  and  $f_{(0,y)}$  on all inputs, and so we use the relation  $f_y(x_1, \dots, x_\ell) = ((x_\ell \wedge f_{(1,y)}(x_1, \dots, x_{\ell-1})) \wedge (\bar{x}_\ell \wedge f_{(0,y)}(x_1, \dots, x_{\ell-1}))$  to compute  $f_y$  on all inputs with 4 additional gates. Since there are  $2^{n-\ell}$  choices of  $y$ , it takes  $O(4 \cdot 2^{n-\ell}) = O(2^{n-\ell})$  additional gates to compute  $f_y$  on all inputs.
- (d) We wish to compute  $f(x_1, \dots, x_n)$ . We begin with the circuit from part b, which can compute all possible functions on the first  $k$  bits of  $x$  using  $O(n2^{n/2})$  gates. Then we apply the inductive step from part c to reason that  $f_y(x_1, \dots, x_{k+1})$  can be computed for all  $y \in \{0, 1\}^{n-(k+1)}$  using  $O(2^{n-(k+1)})$  additional gates. Continuing the induction until we can compute  $f_\emptyset(x_1, \dots, x_n)$  we see that we will require  $O(n2^{n/2} + 2^{n-(k+1)} +$

$2^{n-(k+2)} + \dots + 2^0 = O(n2^{n/2} + 2^{n-k}) = O(n2^{n/2} + 2^n/(n/2)) = O(2^n/n)$  gates.

## 2 Equivalence Modulo the Modulo of the Modulo Gates

- (a) First, we wish to implement  $MOD_2$  and  $MOD_3$  using  $MOD_6$ . For the former, simply take each input wire and feed 3 copies of it into a  $MOD_6$  gate, which will then output 0 iff the original count of 1 input wires was divisible by 2. Similarly, for the latter, take each input wire and feed 2 copies of it into a  $MOD_6$  gates, which will then output 0 iff the original count of 1 inputs wires was divisible by 3.
- (b) Conversely, we wish to implement  $MOD_6$  using  $MOD_2$  and  $MOD_3$ . To do this, we feed each wire into both the  $MOD_2$  and the  $MOD_3$  gate, and output the  $\wedge$  of the outputs from the two respective  $MOD$  gates. This final output will be 0 iff the original count of 1 wires was divisible by 6.
- (c) Pad the input to the  $MOD_m$  gate with 0 inputs so that, WLOG, we may assume that  $n = m2^d$  for some positive integer  $d$ . Even with this padding,  $d = O(\log n)$  in the original  $n$ . We note that given  $m$  bits, it is possible to compute  $MOD_{m,k}(x_1, \dots, x_m)$  for all  $k \in [m]$  with a constant depth. Given  $MOD_{m,k}(x_1, \dots, x_{m2^i})$  and  $MOD_{m,k}(x_{m2^i+1}, \dots, x_{m2^{i+1}})$  for all  $k \in [m]$ , it is possible to compute

$$MOD_{m,k}(x_1, \dots, x_{m2^{i+1}}) = \bigvee_{\ell=0}^{m-1} (MOD_{m,\ell}(x_1, \dots, x_{m2^i}) \wedge MOD_{m,(k-\ell)}(x_{m2^i+1}, \dots, x_{m2^{i+1}}))$$

This step shows that  $MOD_{m,k}$  can be computed for any  $k$  on inputs of length  $m2^{i+1}$  with an  $O(1)$  increase in depth given circuits computing  $MOD_{m,k}$  for all  $k$  on inputs of length  $m2^i$ . Inductively, we can see that it is possible to compute  $MOD_{m,k}$  on inputs of length  $m2^i$  with depth  $O(i)$ , and thus  $MOD_m = \overline{MOD}_{m,0}$  in general can be computed on inputs of length  $n$  by a circuit with depth  $O(\log n)$ .

- (d) To show this we must show that  $MOD_2$  gates can be built with polynomially many  $AC^0[4]$  gates, and that  $MOD_4$  gates can be built with polynomially many  $AC^0[2]$  gates. The former is trivial, as we may perform our trick from part a of sending 2 copies of each input wire to a  $MOD_4$  gate to simulate perfectly a  $MOD_2$  gate. For the latter, we must observe Lucas's theorem, which states that

$$\binom{m}{n} \cong \prod_{i=0}^k \binom{m_i}{n_i} \pmod{2}$$

where  $m_i$  and  $n_i$  are the digits in the binary expansion of  $m$  and  $n$  respectively. Specifically, we will choose  $n = m - 2$  here such that  $\binom{m}{n} = \frac{m(m-1)}{2}$ , and thus  $m$  is divisible by 4 iff  $\binom{m}{n}$  is even (implying one of  $m$  or  $m - 1$  is divisible by 4, whichever is the unique even member of the pair) and  $m$  is even (implying  $m$  is that member). Since  $\binom{m_i}{n_i}$  takes on the value 1 unless  $(m_i, n_i) = (0, 1)$ , in which case it takes on the value 0, we can conclude that for input  $m$  of length  $k$  bits:

$$MOD_4(m) = MOD_2(m) \vee \bigwedge_{i=1}^k (m_i \vee \overline{n_i})$$

It suffices to show that  $n = m - 2$  can be computed with polynomially many gates. We do this by first representing  $-2$  as the  $k$ -digit 2's complement number  $t' = 11 \dots 10$ , and then performing the binary addition algorithm which uses linearly many gates. This concludes our demonstration that  $MOD_4$  can be implemented with polynomially many gates from  $AC^0[2]$ , proving that  $AC^0[2] = AC^0[4]$

### 3 Sides of Error

- (a) Since  $0 \leq 1/3$ , it is clear that the definition of **RP** is a strict strengthening of the definition of **BPP**, so  $\mathbf{RP} \subseteq \mathbf{BPP}$  follows. We must now show that  $\mathbf{ZPP} \subseteq \mathbf{RP}$ . Assume  $L \in \mathbf{ZPP}$ . It suffices to show  $L \in \mathbf{RP}$ . By assumption, we have a probabilistic Turing machine  $M$  and a polynomial  $p$  satisfying the definition of **ZPP**. Construct the poly-time probabilistic Turing machine  $M'$  that, on input  $x$ , simulates  $M$  for  $3p(|x|)$  steps and returns its result if  $M$  halts, otherwise 0. It is clear that if  $x \notin L$ ,  $M$  will either halt with output 0, and thus  $M'$  will output 0, or  $M$  will not halt, and  $M'$  will output 0. This satisfies the second half of the **RP** definition. Also note that since the expectation of  $M$ 's running time is  $p(|x|)$  the probability that its running time exceeds  $3p(|x|)$  is at most  $1/3$ , so with probability at least  $2/3$   $M$  will halt and  $M'$  will output 1 if  $M$  outputted 1, which happens with probability 1 conditioned on  $x \in L$ . This establishes that the probability  $M'$  outputs 1 on  $x \in L$  is at least  $2/3$ , proving  $L \in \mathbf{RP}$  and thus  $\mathbf{ZPP} \subseteq \mathbf{RP}$ .
- (b) We wish to show that  $\mathbf{RP} \subseteq \mathbf{NP}$ . Assume  $L \in \mathbf{RP}$ . It suffices to show  $L \in \mathbf{NP}$ . By assumption we have a deterministic Turing machine  $M(x, r)$  that runs in time polynomial in  $|x|$ . Additionally, we know that the probability that  $M(x, r) = 1$  over random choice of  $r \in \{0, 1\}^{p(|x|)}$  for polynomial  $p$  and  $x \in L$  is at least  $2/3$ . Rephrased, for all  $x \in L$  there exists  $r \in \{0, 1\}^{p(|x|)}$  such that  $M(x, r) = 1$  for a deterministic poly-time Turing machine  $M$ . This is exactly the definition of **NP**, and thus  $L \in \mathbf{NP}$ , concluding our proof.

- (c) We wish to show that  $\mathbf{ZPP} = \mathbf{RP} \cap \mathbf{coRP}$ . Our argument from part a allows us to conclude both  $\mathbf{ZPP} \subseteq \mathbf{RP}$  and  $\mathbf{ZPP} \subseteq \mathbf{coRP}$  (the latter by outputting 1 in the case of non-halting instead of 0). Thus it suffices to show, for arbitrary  $L \in \mathbf{RP} \cap \mathbf{coRP}$ ,  $L \in \mathbf{ZPP}$ . By assumption on  $L$  let  $M$  be the poly-time probabilistic TM with no false positives (establishing  $L \in \mathbf{RP}$ ) and  $M'$  the poly-time probabilistic TM with no false negatives (establishing  $L \in \mathbf{coRP}$ ). Let  $M''$  be the machine that, on input  $x$ , takes turns simulating  $M$  and  $M'$  on  $x$  until either  $M$  halts with output 1 or  $M'$  halts with output 0, outputting the output of the machine that halted last. Since this output will be 1 iff  $x \in L$ , it suffices to show that, on expectation,  $M''$  will perform a constant number of simulations of other machines, in which case its own expected runtime will be polynomial. The expected number of simulations  $M''$  performs is just the series  $E = 2/3 + 2 * 2/9 + 3 * 2/18 + \dots$ . Noting  $E - (1/3)E$  is just the geometric series  $2/3 + 2/9 + 2/18 + \dots = 1$ , we can conclude  $E = 3/2 = O(1)$ . This establishes  $L \in \mathbf{ZPP}$ , and thus  $\mathbf{ZPP} = \mathbf{RP} \cap \mathbf{coRP}$ .