#### Computerlinguistik II

Vorlesung im SoSe 2019 (M-GSW-10)

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http://www.julielab.de

### **Two Paradigms for NLP**

- Symbolic Specification Paradigm
  - Manual acquisition procedures
  - Lab-internal activities
  - Intuition and (few!) subjectively generated examples drive progress based on individual (competence) judgments
    - "I have a system that parses all of my nine-teen sentences!"

### Symbolic Specification Paradigm

- Manual rule specification
  - Source: linguist's intuition
- Manual lexicon specification
  - Source: linguist's intuition
- Each lab has its own (home-grown) set of NLP software
  - Hampers reusability
  - Limits scientific progress
  - Waste of human and monetary resources (we "burnt" thousands of Ph.D. student all over the world ☺)

# Shortcomings of the "Classical" Linguistic Approach

- Huge amounts of background knowledge req.
  - Lexicons (approx. 100,000 150,000 entries)
  - Grammars (>> 15,000 20,000 rules)
  - Semantics (>> 15,000 20,000 rules)
- As the linguistic and conceptual coverage of classical linguistic systems increases (slowly), it still remains insufficient; systems also reveal 'spurious' ambiguity, and, hence, tend to become overly "brittle" and unmaintainable
- More fail-soft behavior is required at the expense of ... ? (e.g., full-depth understanding)

### **Two Paradigms for NLP**

#### Symbolic Openineation Faradigm

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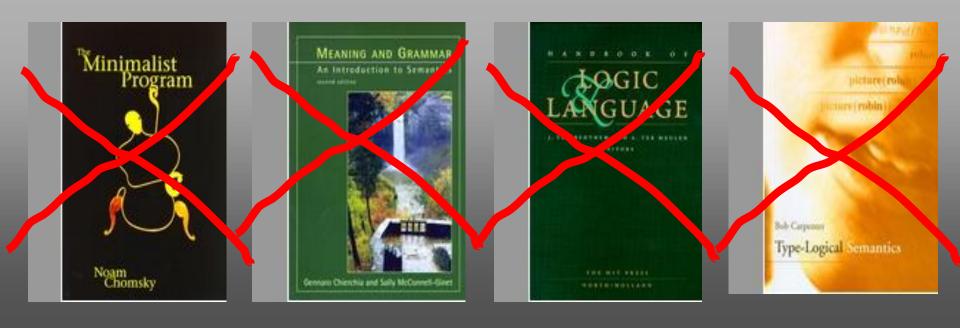
#### Empirical (Learning) Paradigm

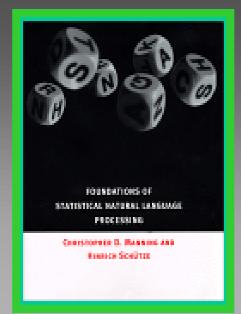
- Automatic acquisition procedures
- Community-wide sharing of common knowledge and resources
- Large and ,representative' data sets drive progress according to experimental standards
  - "The system was tested on 1,7 million words taken from the WSJ segment of the MUC-7 data set and produced 4.9% parsing errors, thus yielding a statistically significant 1.6% improvement over the best result by parser X on the same data set & a 40.3% improvement over the baseline system!"

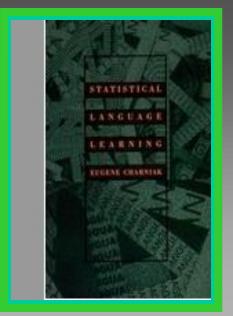
#### **Empirical Paradigm**

- Large repositories of language data
  - Corpora (plain or annotated, i.e., enriched by meta-data)
- Large, community-wide shared repositories of language processing modules
  - Tokenizers, POS taggers, chunkers, NE recognizers, ...
- Shared repositories of machine learning algos
- Automatic acquisition of linguistic knowledge
  - Applying ML algos to train linguistic processors by using large corpora with valid linguistic metadata (linguist as educated data supplier, "language expert") rather than manual intuition (linguist as creative rule inventor)
- Shallow analysis rather than deep understanding
- Large, community-wide self-managed, task-oriented competitions, comparative evaluation rounds
- Change of mathematics:
  - Statistics rather than algebra and logics

#### Paradigm Shift – We Exchanged our Textbooks...



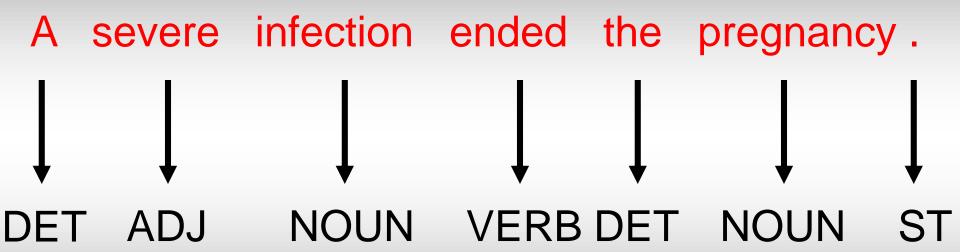








### **POS Tagging**



### Penn Treebank Tag Set

Tag	Description	Examples	In total,
			45 tags
	sentence terminator	.!?	
DT	determiner	all an many such that the them these this	
JJ	adjective, numeral	first oiled separable battery-pow	vered
NN	common noun	cabbage thermostat investment	
PRP	personal pronoun	herself him it me one oneself theirs they	
IN	preposition	among out within behind into next	
VB	verb (base form)	ask assess assign begin break bring	
VBD	verb (past tense)	asked assessed assigned began broke	
WP	WH-pronoun	that what which who whom	

## Transformation Rules for Tagging [Brill, 1995]

- Initial State: Based on a number of features, guess the most likely POS tag for a given word:
  - die/DET Frau/NOUN ,/COMMA die/DET singt/VFIN
- Learn transformation rules to reduce errors:
  - Change DET to PREL whenever the preceding word is tagged as COMMA
- Apply learned transformation rules:
  - die/DET Frau/NOUN,/COMMA die/PREL singt/VFIN

#### First 20 Transformation Rules

	Change Tag		
#	From	То	Condition
1	NN	VB	Previous tag is TO
2	VBP	VB	One of the previous three tags is MD
3	NN	VB	One of the previous two tags is MD
4	VB	NN	One of the previous two tags is $DT$
5	VBD	VBN	One of the previous three tags is $VBZ$
6	VBN	VBD	Previous tag is PRP
7	VBN	VBD	Previous tag is NNP
8	VBD	VBN	Previous tag is VBD
9	VBP	VB	Previous tag is TO
10	POS	VBZ	Previous tag is PRP
11	VB	VBP	Previous tag is NNS
12	VBD	VBN	One of previous three tags is $VBP$
13	IN	WDT	One of next two tags is VB
14	VBD	VBN	One of previous two tags is $VB$
15	VB	VBP	Previous tag is PRP
16	IN	WDT	Next tag is VBZ
17	IN	DT	Next tag is NN
18	JJ	NNP	Next tag is NNP
19	IN	WDT	Next tag is VBD
20	JJR	RBR	Next tag is $JJ$

Taken from: Brill (1995), Transformation-Based Error-Driven Learning

## Towards Statistical Models of Natural Language Processing ...

- Shannon's Game
- Guess the next letter:

- Shannon's Game
- Guess the next letter:
- W

- Shannon's Game
- Guess the next letter:
- Wh

- Shannon's Game
- Guess the next letter:
- Wha

- Shannon's Game
- Guess the next letter:
- What

- Shannon's Game
- Guess the next letter:
- What d

- Shannon's Game
- Guess the next letter:
- What do

- Shannon's Game
- Guess the next letter:
- What do you think the next letter is?

- Shannon's Game
- Guess the next letter:
- What do you think the next letter is?
- Guess the next word:

- Shannon's Game
- Guess the next letter:
- What do you think the next letter is?
- Guess the next word:
- We

- Shannon's Game
- Guess the next letter:
- What do you think the next letter is?
- Guess the next word:
- We are

- Shannon's Game
- Guess the next letter:
- What do you think the next letter is?
- Guess the next word:
- We are now

- Shannon's Game
- Guess the next letter:
- What do you think the next letter is?
- Guess the next word:
- We are now entering

- Shannon's Game
- Guess the next letter:
- What do you think the next letter is?
- Guess the next word:
- We are now entering statistical

- Shannon's Game
- Guess the next letter:
- What do you think the next letter is?
- Guess the next word:
- We are now entering statistical territory

- zero-order approximation: letter sequences are independent of each other and all equally probable:
  - xfoml rxkhrjffjuj zlpwcwkcy ffjeyvkcqsghyd

- first-order approximation: letters are independent, but occur with the frequencies of English text:
  - ocro hli rgwr nmielwis eu ll nbnesebya th eei alhenhtppa oobttva nah

- second-order approximation: the probability that a letter appears depends on the previous letter
  - on ie antsoutinys are t inctore st bes deamy achin d ilonasive tucoowe at teasonare fuzo tizin andy tobe seace ctisbe

- third-order approximation: the probability that a certain letter appears depends on the two previous letters
  - in no ist lat whey cratict froure birs grocid pondenome of demonstures of the reptagin is regoactiona of cre

 Higher frequency trigrams for different languages:

- English: THE, ING, ENT, ION

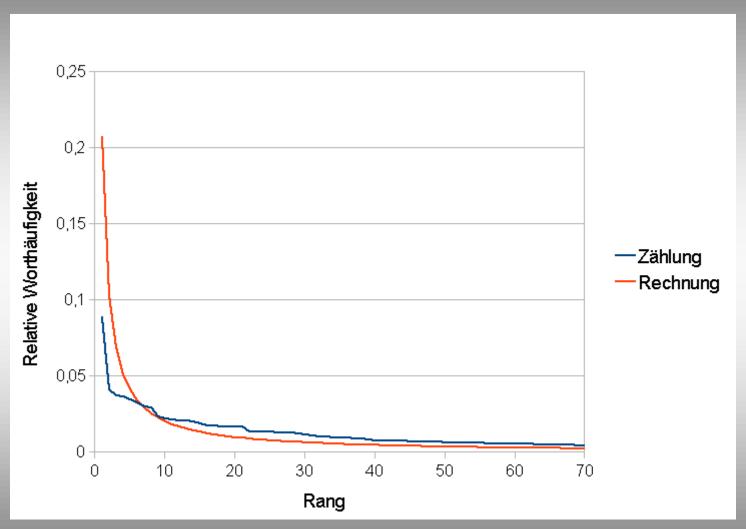
- German: EIN, ICH, DEN, DER

- French: ENT, QUE, LES, ION

- Italian: CHE, ERE, ZIO, DEL

- Spanish: QUE, EST, ARA, ADO

#### Zipfsches Gesetz



#### **Terminology**

- Sentence: unit of written language
- Utterance: unit of spoken language
- Word Form: the inflected form that appears literally in the corpus
- Lemma: lexical forms having the same stem, part of speech, and word sense
- Types (V): number of distinct words that might appear in a corpus (vocabulary size)
- Tokens (N<sub>T</sub>): total number of words in a corpus (note: V << N<sub>T</sub>)
- Types seen so far (T): number of distinct words seen so far in corpus (note: T < V << NT)</li>

- A model that enables one to compute the probability, or likelihood, of a sentence S, P(S).
- Simple: Every word follows every other word with equal probability (0-gram)
  - Assume |V| is the size of the vocabulary V
  - Likelihood of sentence S of length n is1/|V| × 1/|V| ... × 1/|V|
  - If English has 100,000 words, the probability of each next word is 1/100000 = .00001

## Relative Frequency vs. Conditional Probability

- Smarter: Relative Frequency
   Probability of each next word is related to word frequency within a corpus (unigram)
  - Likelihood of sentence  $S = P(w_1) \times P(w_2) \times ... \times P(w_n)$
  - Assumes probability of each word is independent of probabilities of other words

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  - Assumes probability of each word is independent of probabilities of other words
- Even smarter: Conditional Probability
   Look at probability given previous words (n-gram)
  - Likelihood of sentence  $S = P(w_1) \times P(w_2|w_1) \times ... \times P(w_n|w_{n-1})$
  - Assumes probability of each word is dependent on probabilities of previous words

# Generalization of Conditional Probability via Chain Rule

- Conditional Probability for Two Events, A<sub>1</sub> and A<sub>2</sub>
  - $P(A_1,A_2) = P(A_1) \cdot P(A_2|A_1)$
- Chain Rule generalizes to multiple (n) events
  - $P(A_1, ..., A_n) =$

$$P(A_1) \times P(A_2|A_1) \times P(A_3|A_1,A_2) \times ... \times P(A_n|A_1...A_{n-1})$$

- Examples:
  - P(the dog) = P(the)  $\times$  P(dog | the)
  - P(the dog bites) = P(the) × P(dog | the) × P(bites| the dog)

# Relative Frequencies and Conditional Probabilities

- Relative word frequencies are better than equal probabilities for all words
  - In a corpus with 10K word types, each word would have P(w) = 1/10K
  - Does not match our intuitions that different words are more likely to occur
    - (e.g. "the" vs. "shop" vs. "aardvark")
- Conditional probability is more useful than individual relative word frequencies
  - dog may be relatively rare in a corpus
  - but if we see barking, P(dog|barking) may be lärge

#### **Probability for a Word String**

• In general, the probability of a complete string of words  $w_1^n = w_1...w_n$  is

$$P(w_{1}^{n})$$

$$=P(w_{1})P(w_{2}/w_{1})P(w_{3}/w_{1} w_{2})...P(w_{n}/w_{1}...w_{n-1})$$

$$=\prod_{k=1}^{n}P(w_{k}|w_{1}^{k-1})$$

 But this approach to determining the probability of a word sequence gets to be computationally very expensive <u>and</u>
 suffers from sparse data

#### Markov Assumption (basic idea)

- How do we (efficiently) compute P(w<sub>n</sub>|w<sub>1</sub><sup>n-1</sup>)?
- Trick (!): Instead of P(rabbit|I saw <u>a</u>), we use P(rabbit|<u>a</u>).
  - This lets us collect statistics in practice via a bigram model: P(the barking dog) = P(the|<start>) × P(barking|the) × P(dog|barking)

#### Markov Assumption (the very idea)

- Markov models are the class of probabilistic language models that assume that we can predict the probability of some future unit without looking too far into the past
  - Specifically, for N=2 (bigram):
  - $P(w_1^n) \approx \prod_{k=1}^n P(w_k|w_{k-1}); w_0 := < start >$
- Order of a Markov model: length of prior context
  - bigram is first order, trigram is second order, ...

#### Statistical HMM-based Tagging

[Brants, 2000]

- State transition probability: Likelihood of a tag immediately following n other tags
  - P<sub>1</sub>(Tag<sub>i</sub> | Tag<sub>i-1</sub> ... Tag<sub>i-n</sub>)
- State emission probability. Likelihood of a word given a tag
  - P<sub>2</sub>(Word<sub>i</sub> | Tag<sub>i</sub>)
    - die/DET Frau/NOUN ,/COMMA die/DET or PREL singt/VFIN

### **Trigrams for Tagging**

- State transition probabilities (trigrams):
  - $-P_1(DET \mid COMMA NOUN) = 0.0007$
  - $-P_1(PREL \mid COMMA NOUN) = 0.0$
- State emission probabilities:
  - $P_2(die | DET) = 0.7$
  - $-P_2(die|PREL) = 0.2$

Taken from (POS-annotated) corpora

- Compute probabilistic evidence for the tag being
  - DET:  $P_1 \cdot P_2 = 0.0007 \cdot 0.7 = 0.00049$
  - PREL:  $P_1 \cdot P_2 = 0.01 \cdot 0.2 = 0.002$ 
    - die/DET Frau/NOUN ,/COMMA die/PREL singt/VFIN

#### Inside (most) POS Taggers

- Lexicon look-up routines
- Morphological processing (not only deflection!)
- Unknown word handler, if lexicon look-up fails (based on statistical information)
- Ambiguity ranking (priority selection)

### Chunking

Arginine methylation of STAT1 modulates IFN induced transcription

### Chunking

[Arginine methylation] of [STAT1] modulates [IFN induced transcription]

### **Shallow Parsing**

[Arginine methylation of STAT1]<sub>NP</sub> [modulates]<sub>VP</sub> [IFN induced transcription]<sub>NP</sub>

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[[IFN induced]<sub>AP</sub> [transcription]<sub>N</sub>]<sub>NP</sub>

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#### **Deep Parsing**

[[IFN induced]<sub>AP</sub> [transcription]<sub>N</sub>]<sub>NP</sub>

 $[[Arginine]_{N} [methylation]_{N}]_{NP} [[of]_{P} [STAT1]_{N}]_{PP}]_{NP}$ 

[ [Arginine methylation]<sub>NP</sub> [of STAT1]<sub>PP</sub>]<sub>NP</sub>

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#### **Deep Parsing**

```
[ [[IFN]<sub>N</sub> [induced]<sub>A</sub>]<sub>AP</sub> [transcription]<sub>N</sub>]<sub>NP</sub>
```

[[IFN induced]<sub>AP</sub> [transcription]<sub>N</sub>]<sub>NP</sub>

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```

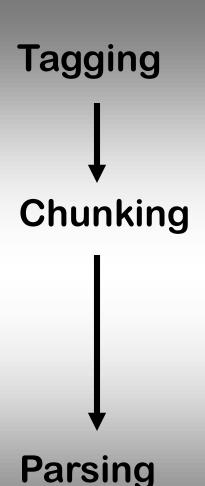
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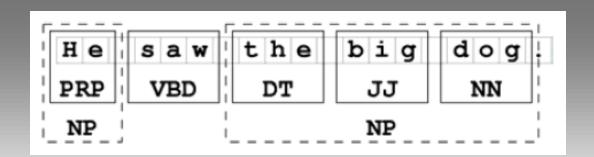
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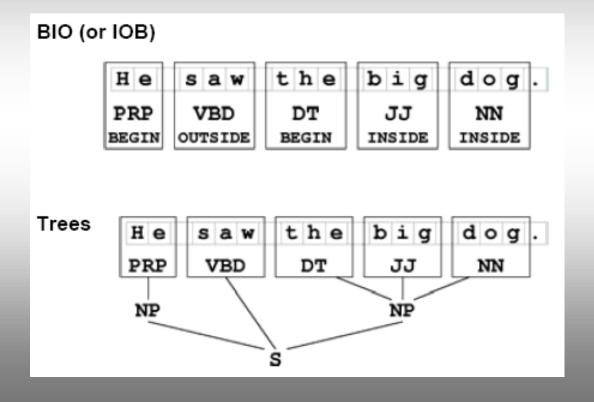
#### **Chunking Principles**

- Goal: divide a sentence into a sequence of chunks (ako phrases)
- Chunks are non-overlapping regions of a text
  - [I] saw [a tall man] in [the park]
- Chunks are non-exhaustive
  - not all words of a sentence are included in chunks
- Chunks are non-recursive
  - a chunk does not contain other chunks
- Chunks are mostly base NP chunks

#### The Shallow Syntax Pipeline







#### **BIO Format for Base NPs**

а	DT	В
mechanism	NN	I
that	WDT	В
increases	VBZ	О
NF-kappa	NN	В
$\mathbf{B}/\mathbf{I}$	NN	I
kappa	NN	I
В	NN	I
dissociation	NN	I
without	IN	О
affecting	VBG	О
the	DT	В
NF-kappa	NN	I
В	NN	I
translocation	NN	I
step	NN	I

#### A Simple Chunking Technique

- Simple chunkers usually ignore lexical content
  - Only need to look at part-of-speech tags
- Basic steps in chunking
  - Chunking / Unchunking
  - Chinking
  - Merging / Splitting

#### Regular Expression Basics

- "|" OR operator (explicit OR-ing) - "[a|e|i|o|u]" matches any occurrence of vowels "[abc]" matches any occurrence of either "a", "b" or "c" (implicit OR-ing) - "gr[ae]y" matches "grey" or "gray" (but not "graey") "." matches arbitrary char - "d.g" matches "dag", "dig", "dog", "dkg" ... preceding expression/char may or may not occur - "colou?r" matches "colour" and "color" preceding expression occurs at least one time - "(ab)+" matches "ab", "abab", "ababab", ...
  - or arbitrary often

     "(ab)\*" matches "\_", "ab", "abab", "ababab", ...

preceding expression occurs null time

#### Chunking

- Define a regular expression that matches the sequences of tags in a chunk
  - <DT>? <JJ>\* <NN.?>
- Chunk all matching subsequences
  - A/DT red/JJ car/NN ran/VBD on/IN the/DT street/NN
  - [A/DT red/JJ car/NN] ran/VBD

on/IN [the/DT street/NN]

- If matching subsequences overlap, the first one gets priority
- Unchunking is the opposite of chunking

### Chinking

- A chink is a subsequence of the text that is not a chunk
- Define a regular expression that matches the sequences of tags in a chink
  - ( <VB.?> | <IN> )+
- Chunk anything that is <u>not</u> a matching subsequence
  - A/DT red/JJ car/NN ran/VBD on/IN the/DT street/NN
  - [A/DT red/JJ car/NN]

```
ran/VBD on/IN [the/DT street/NN] chink
```

### Merging

- Combine adjacent chunks into a single chunk
- Define a regular expression that matches the sequences of tags on both sides of the point to be merged
  - Merge a chunk ending in "JJ" with a chunk starting with "NN", i.e. left: <JJ>, right: <NN.>
- Chunk all matching subsequences
  - [A/DT red/JJ] [ car/NN] ran/VBD on/IN the/DT street/NN
  - [A/DT red/JJ car/NN] ran/VBD

    on/IN the/DT street/NN
- Splitting is the opposite of merging

#### **Concluding Remarks**

- Chunking as the weakest form of syntactic structuring – relies on RegExs
- RegExs (formally) belong to the class of regular grammars
- Regular grammars and their (finite-state) automata have linear run-time complexity
- Standard CF grammars and their associated push-down automata have (at best) cubic runtime complexity
- Hence, there is a trade-off between different levels of richness of syntactic structures and gains/losses of run-time behavior

#### What are Named Entities?

- Names of persons
  - Dr. Jonathan Peeko, Professor Johnson
- Names of companies or organizations
  - Sony, United Nations, Texas Instruments, General Motors
- Names of locations
  - Paris, San Francisco, Rocky Mountains, Yellowstone Park
- Date and time expressions
  - Feb 17, 1973; 4.40 p.m.; 16.40 Uhr; autumn 2000; last year
- Addresses
  - 7 Ugly Way, Wolverhampton UHO 1Q5
  - udo.hahn@uni-jena.de
- Names of proteins or genes or diseases,
  - chloramphenicol acetyltransferase, NF-kappa B, SARS
- Measure expressions
  - 420 kp, 21 l/m², 37%, 900€

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#### GATE: NER – Examples (1/3)

NYT19980403.0453 NEWS STORY 04/03/1998 21:01:00 CREDIT WARNING BY MOODY'S ON JAPANESE BONDS TOKYO Borrowers in Japan, including even the healthiest corporations, faced a new challenge on Friday as Moody's Investors Service provided a pessimistic outlook on the nation's pristine credit rating. The exchange rate of Japan's currency, the yen, tumbled to a six-and-a-half-year low, and the stock and bond markets fell on the decision by the American-based ratings agency to change its view on Japan whose government debt has been rated triple-A \_ from ``stable'' to ``negative.'' Moody's did not change any existing bond ratings, but the negative outlook may lead to a formal review in 18 months to two years. A lowered rating could raise borrowing costs for all Japanese, from consumers to large corporations, even those with impeccable credit. And such a move could further weaken Japanese banks, which already pay more to borrow because they hold in excess of \$600 billion in bad loans. The step by Moody's was a surprise because even with Japan's economic problems, it is still the world's largest creditor nation and there is little doubt about its ability to repay debts. But the announcement showed that Moody's one of the world's big credit raters, along with Standard «AMP; Poor's and Duff «AMP; Phelps was beginning to rethink Japan's long-term prospects. In trading here Friday the dollar surged to 135.42 yen, the highest since September 1991, before recovering a little. The benchmark Nikkei index of 225 stocks fell for the third consecutive day to a four-month low of 15,517.78. Bond prices also declined, pushing the yield on the key 10-year Japanese government bond to 1.685 percent, a six-week high. Bond prices and yields move in opposite directions ``The world doesn't trust Japan anymore, even though Japan has lots of money.'' commented Xinvi Lu of Paribas



#### GATE: NER – Examples (2/3)

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T	
	Date
	FirstPerson
	Identifier
	JobTitle
✓	Location
	Lookup
	Money
☑	Organization
	Percent
	Person
	SpaceToken
	Temp
	Title
	Token
•	Original markups

#### GATE: NER – Examples (3/3)

NYT19980403.0456 NEWS STORY 04/03/1998 21:02:00 BUOYANT CLINTON TAKES ON GOP SENATORS, BIG TOBACCO WASHINGTON Eager to shift the spotlight from Paula Jones back to the business of government, President Clinton lambasted the Republican Senate budget proposal on Friday and warned tobacco companies to go along with a proposed settlement. Tired but buoyant in his first day back at the Oval Office after 12 days in Africa, Clinton immediately assembled his economic team in the White How Rose Garden is morning and signaled an election-year showdown with congressional Republicans over the budget for the 1999 fiscal year. While clearly emboldened by a federal judge's dismissal on Wednesday of Mrs. Jones' sexual misconduct lawsuit, the president vowed not to be distracted by such matters, saying, 'I am going on with my business.' Instead, Clinton castigated Senate Republicans for approving a \$1.73 trillion spending plan on Thursday night that calls for modest tax cuts and excludes virtually all of the president's proposals for new spending. And he scolded members of the House for passing a six-year, \$217 billion transportation bill packed with projects for almost every congressional district. 'I am very concerned that the budget plan now working its way through the Senate will squeeze out critical investments in education and children, ' Clinton said. 'I'm also

ПП	Date
	FirstPerson
	Identifier
☑	JobTitle
∥□	Location
Ĭ¤.	Lookup
ľ□	Money
┃□	Organization
┃□	Percent
☑	Person
□	SpaceToken
	Temp
┃□	Title
Ī□	Token
▶	Original markups
ı	

#### Two Types of NER Methods

## Human Knowledge Engineering (symbolic p.)

- rule based
- developed by experienced language engineers
- based on human intuition
- requires only small amount of plain training data
- development can be very time consuming
- some changes may be hard to accommodate

#### (Supervised) Machine Learning Systems (empir.p.)

- use statistics or other machine learning technique
- developers do (almost) not need linguistic expertise
- fully automatic
- requires large amounts of annotated training data
- annotators are cheap (but you get what you pay for!)
- some changes may require reannotation of the entire training corpus

#### Naïve NER Method: List Look-up

- Recognize entities stored in given lists
  - gazetteers, e.g., online phone directories, yellow pages)
- Advantages:
  - simple, fast, language independent, easy to retarget (just create lists)
- Disadvantages:
  - impossible to enumerate all names and name variants, collection and maintenance of lists

#### **NER by Pattern Recognition**

 Names often have internal structure these components can be either stored or guessed, e.g., for "Location" we have RegEx-style constraints such as:

Capitalized Word + {City, Forest, Center, River}

which yields: Sherwood Forest, Manchester City, Rhine River

Capitalized Word + {Street, Boulevard, Avenue, Road}

which yields: Portobello Street, Washington Avenue

#### **NER by Expressive Rules**

Context-sensitive rules of the kind:

$$A \rightarrow B \setminus C / D$$

- A is a set of attribute-value expressions and optional score, the attributes refer to elements of the input token feature vector
- B, C, D are sequences of attribute-value pairs and regular expressions; variables are also supported
- B and D are left and right context, respectively, and can be empty (hint: read backwards!)

### **NER by Machine Learning**

- NE task is frequently broken down in two parts:
  - Recognizing the entity boundaries
  - Classifying the entities in the NE categories
- Features are at least as important as the choice of the ML method
  - Simple pattern matching of orthographic features:
     capitalization, punctuation marks, numerical symbols
  - Windows for lexical features (e.g., "Mr." for persons)
  - Affix features ("-ase" for proteins, ""-ectomy" for medical procedures, etc.")
  - POS info (and chunks)

# Merkmale für die Zuordnung von Named Entities

Feature	Explanation
Lexical items	The token to be labeled
Stemmed lexical items	Stemmed version of the target token
Shape	The orthographic pattern of the target word
Character affixes	Character-level affixes of the target and surrounding words
Part of speech	Part of speech of the word
Syntactic chunk labels	Base-phrase chunk label
Gazetteer or name list	Presence of the word in one or more named entity lists
Predictive token(s)	Presence of predictive words in surrounding text
Bag of words/Bag of N-grams	Words and/or N-grams occurring in the surrounding context

Shape	Example
Lower	cummings
Capitalized	Washington
All caps	IRA
Mixed case	eBay
Capitalized character with period	H.
Ends in digit	A9
Contains hyphen	H-P

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## Features for Machine Learning (CoNLL 2003 Shared Task)

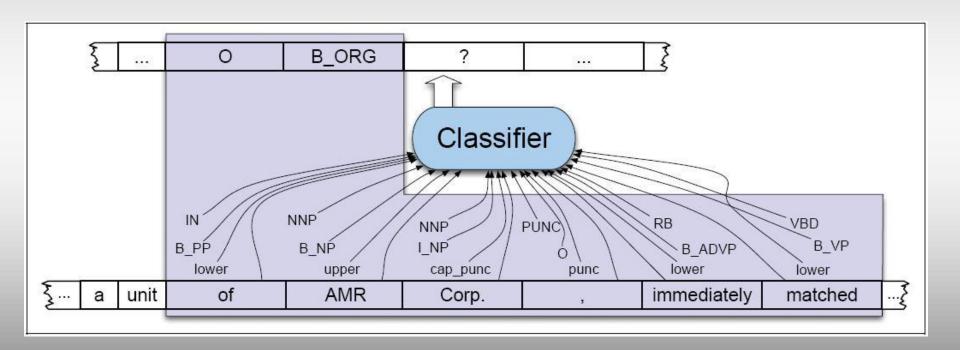
	lex	pos	aff	pre	ort	gaz	chu	pat	cas	tri	bag	quo	doc
Florian	+	+	+	+	+	+	+	-	+	-	-	-	-
Chieu	+	+	+	+	+	+	-	-	-	+	-	+	+
Klein	+	+	+	+	-	-	-	-	-	-	-	-	-
Zhang	+	+	+	+	+	+	+	-	-	+	-	-	-
Carreras (a)	+	+	+	+	+	+	+	+	-	+	+	1+3	-
Curran	+	+	+	+	+	+	-	+	+	-	-	-	-
Mayfield	+	+	+	+	+	-	+	+	-	-	-	+	-
Carreras (b)	+	+	+	+	+	-		+	-	-	-	(m)	-
McCallum	+	-		-	+	+	1.00	+	-	-	-5		100
Bender	+	+	-	+	+	+	+	( <u>-</u>	-	-	-	100	-
Munro	+	+	+	-	-	100	+	12	+	+	+	12.5	-
Wu	+	+	+	+	+	+	-	-	-	-	-		-
Whitelaw	-	-	+	+	-	2	-	-	+	-	-	-	-
Hendrickx	+	+	+	+	+	+	+		-		-	-	-
De Meulder	+	+	+	-	+	+	+	7.53	+	- 20		***	
Hammerton	+	+			-	+	+	-	-	-	-		-

Table 3: Main features used by the the sixteen systems that participated in the CoNLL-2003 shared task sorted by performance on the English test data. Aff: affix information (n-grams); bag: bag of words; cas: global case information; chu: chunk tags; doc: global document information; gaz: gazetteers; lex: lexical features; ort: orthographic information; pat: orthographic patterns (like Aa0); pos: part-of-speech tags; pre: previously predicted NE tags; quo: flag signing that the word is between quotes; tri: trigger words.

### Merkmalskodierung für NEs

Features				Label
American	NNP	$B_{NP}$	cap	$B_{ORG}$
Airlines	NNPS	$I_{NP}$	cap	$I_{ORG}$
,	PUNC	0	punc	0
a	DT	$B_{NP}$	lower	O
unit	NN	$I_{NP}$	lower	O
of	IN	$B_{PP}$	lower	0
AMR	NNP	$B_{NP}$	upper	$B_{ORG}$
Corp.	NNP	$I_{NP}$	cap_punc	$I_{ORG}$
,	PUNC	О	punc	O
immediately	RB	$\mathbf{B}_{ADVP}$	lower	O
matched	VBD	$B_{VP}$	lower	O
the	DT	$\mathrm{B}_{NP}$	lower	O
move	NN	$I_{NP}$	lower	O
,	PUNC	O	punc	O
spokesman	NN	$B_{NP}$	lower	0
Tim	NNP	$I_{NP}$	cap	$B_{PER}$
Wagner	NNP	$I_{NP}$	cap	$I_{PER}$
said	VBD	$B_{VP}$	lower	0
	PUNC	O	punc	0

### Named Entity Tagging als Sequence Labeling-Problem



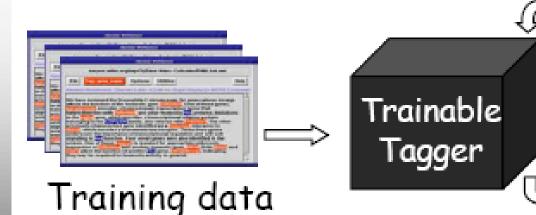
# Systemarchitektur für (überwachtes) Maschinelles Lernen

#### Merkmale

beobachtbare Indikatoren (in den Trainingsdaten)

#### Algorithmen für Maschinelles Lernen

= Rechenverfahren zur Bestimmung von (statistischen) Modellen über die Verteilung von Merkmalen (in den Trainingsdaten)



distributation by a novel stimulus, attributable to pi brain. Mutations of rutabega that diminish cAMP syr of habituation, whereas dunne mutations that increadetectable but moderate increase in habituation rate habituation was extremely rapid in dunce rutabaga d corresponds to the entreme detects seen in double of learning tasks, and demonstrates that defects of the

dishabituation by a novel stimulus, attributable to plabrain. Mutations of the page that diminish cAMP syn of habituation, whereas dunce mutations that increas detectable but moderate increase in habituation rate habituation was extremely rapid in these rutabaga decorresponds to the extreme defects seen in double in learning tasks, and demonstrates that defects of the

## Algorithmen für (überwachtes) Maschinelles Lernen (Flach 2012, Murphy 2012)

- Einfache Klassifikatoren (Classifier)
  - Naive-Bayes´scher Klassifikator
  - k-Nächster Nachbar (k-nearest neighbor)
  - Entscheidungsbäume (decision trees)
- Hochdimensionale Klassifikatoren (Classifier)
  - Support Vector Machines (SVM)
- (strukturorientierte) Graphische Modelle
  - Hidden-Markov-Modelle
  - Conditional Random Fields (CRF)
  - Bayes'sche Netze
- (Künstliche) neuronale Netze 

  Deep Learning
- Genetische Algorithmen

#### Machine Learning-General Task

#### A computer program is said to *learn*

- from experience E (data in the form of representative examples / instances of the whole input space)
- with respect to some class of tasks T
- and performance measure P,
- if its performance at tasks T as measured by P, improves with experience E
- Learned hypothesis: model of problem/task T
- Model quality: accuracy/performance measured by P

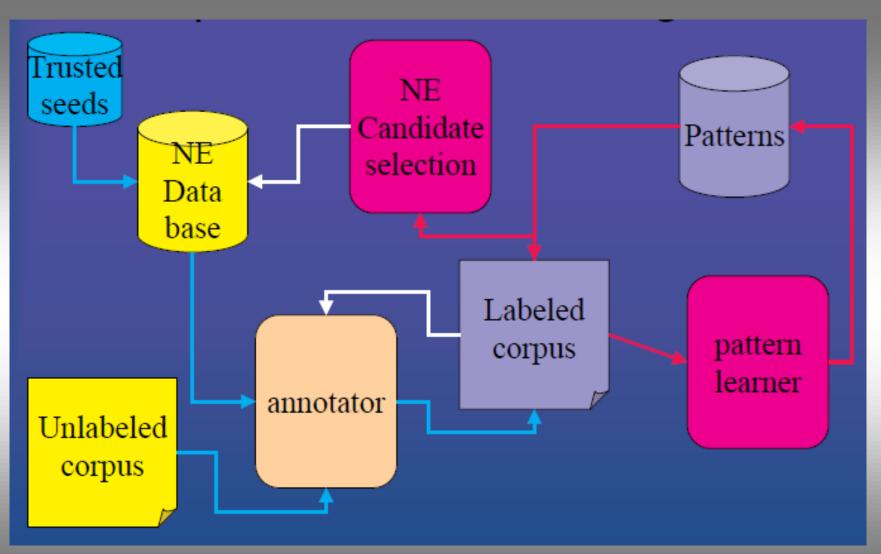
### Machine Learning – Two Fundamental Modes

- Supervised learning
  - Given: Training examples (training set T)  $\{(x_1, f(x_1)), (x_2, f(x_2)), ... (x_n, f(x_n))\}$ for some unknown function y = f(x)
  - Find: f(x)
  - Predict y' = f(x') where x' is not in the training set but Twise similar data sets
- Unsupervised learning
  - Given: data (data set D)
     { x<sub>1</sub>, x<sub>2</sub>, ..., x<sub>n</sub> }
     for some unknown function y = f (x)
  - Find: f(x)
  - Predict y = f (x) where x is in the data set or D-wise similar data sets

## Basic Idea for (Almost) Unsupervised NER

- Define manually only a small set of trusted seeds (a bit of ground truth)
- Training then only uses unlabeled data
- Initialize system by labeling the corpus with the seeds
- Extract and generalize patterns from the context of the seeds
- Use the patterns to further label the corpus and to extend the seed set (bootstrapping)
- Repeat the process unless no new terms can be identified

## Architecture for (Almost) Unsupervised NER



#### **Learning Ordered Decision Rules**

 The task: to learn a decision list to classify strings as person, location or organization

> The learned decision list is an ordered sequence of if-then rules

... says Mr. Gates, founder of Microsoft ...

... says Mr. Gates, founder of Microsoft ...

R<sub>1</sub>: if <u>features</u> then person

R<sub>2</sub>: if <u>features</u> then location

R<sub>3</sub>: if features then organization

..

R<sub>n</sub>: if features then person

### Outline of Unsupervised Co-Training

- Parse an unlabeled document set syntactic units
- Extract each NP whose head is tagged as Proper Noun (Proper Noun is supertype of NEs: NER as subtyping)
- Define a set of relevant features which can be applied to extracted NPs
- Define two separate types of rules on the basis of the feature space
- Determine small initial set of seed rules
- Iteratively extend the rules through co-training

#### **Two Types of Rules**

- Spelling Rules
  - Rules which directly specify lexical conditions (e.g., "Mr."
     ⇒PERSON)
- Contextual Rules
  - Rules which specify co-occurring lexical or phrasal conditions (e.g., "president" co-occurs with "Mr."
     ⇒PERSON)
- N.B.: Huge amount of unlabeled data in a corpus gives useful hints!

## Kinds of Noun Phrases and Spelling-Context Pairs

- 1. There was an appositive modifier to the NP, whose head is a singular noun (tagged NN).
  - ...says [Maury Cooper], [a vice president]...
- The NP is a complement to a preposition which is the head of a PP. This PP modifies another NP whose head is a singular noun.
  - ... fraud related to work on [a federally funded sewage plant] [in [Georgia]].
    - ...says Maury Cooper, a vice president...
      - (Maury Cooper, president)
    - ... fraud related to work on a federally funded sewage plant in Georgia.
      - (Georgia, plant\_in)

#### **Features**

- Set of spelling features
  - Full-string=x (full-string=Maury Cooper)
  - Contains(x) (contains(Maury))
  - Allcap1 IBM
  - Allcap2 N.Y.
  - Nonalpha=x A.T.&T. (nonalpha=..&.)
- Set of context features
  - Context = x (context = president)
  - Context-type = x appos or prep

### **Examples of Features**

Sentence	Entities(Spelling/Context)	(Active) Features
But Robert Jordan, a partner at Steptoe & Johnson who took	Robert Jordon/partner	Full-string=Robert_Jordan, contains(Robert), contains(Jordan), context=partner, context-type=appos
	Steptoe & Johnson/partner_at	Full-string=Steptoe_&_Johnson, contains(Steptoe), contains(&), contains(Johnson), nonalpha=&, context=partner_at, context-type=prep
By hiring a company like A.T.&T	A.T.&T./company_like	Full-string= A.T.&T., allcap2, nonalpha=&., context=company_like, context-type=prep
Hanson acquired Kidde Incorporated, parent of Kidde Credit, for	Kidde Incorporated/parent	Full-string=Kidde_Incorporated, contains(Kidde), contains(Incorporated), context=parent, context-type=appos
	Kidde Credit/parent_of	Full-string=Kidde_Credit, contains(Kidde), contains(Credit), context=parent_of, context-type=prep

#### **Formal Structure of Rules**

#### Rules

Two separate types of rules: Spelling rules Context rules

Feature → NE-type, h(Feature, NE-type)

h(x,y): the strength of a rule, defined as

Count(x, y) is the number of times feature x is seen with label y in training data,

$$\frac{Count(x,y) + \alpha}{Count(x) + k\alpha}$$

where

$$Count(x) = \sum_{v \in Y} Count(x, y)$$

 $\alpha$  is a smoothing parameter k = #NE-types

Is an estimate of the conditional probability of the NE-type given the feature, P(y|x)

The rules ordered according to their strengths h form a decision list: the sequence of rules are tested in order, and the answer to the *first* satisfied rule is output.

#### 7 Seed Rules

#### 7 SEED RULES

Note: only one type of rules used as seed rules, and all NE-types should be

- Full-string = New York → Local covered
- Full-string = California → Loce/Jon
- Full-string = U.S. 

  → Location
- Contains(Incorporated) → Organization
- Full-string=Microsoft → Organization
- Full-string=I.B.M. 

  → Organization

### **Co-Training Algorithm**

- Set N=5 (max. # of rules of each type induced in each iteration)
- Initialize: Set the spelling decision list equal to the set of seed rules.
   Label the training set using these rules.
- Use these to get contextual rules. (x = feature, y = label)
  - 1. Compute h(x,y), and induce at most N \* K rules K = # NE types
  - all must be above some threshold p<sub>min</sub>=0.95
- Label the training set using the contextual rules.
- Use these to get N\*K spelling rules (same as step 3.)
- Set spelling rules to seed plus the new rules.
- If N < 2500, set N=N+5, and goto step 3.</li>
- Label the training data with the combined spelling/contextual decision list, then induce a final decision list from the labeled examples where all rules (regardless of strength) are added to the decision list.

#### Example

- (IBM, company)
  - ...IBM, the company that makes...
- (General Electric, company)
  - ...General Electric, a leading company in the area,...
- (General Electric, employer)
  - ... joined General Electric, the biggest employer...
- (NYU, employer)
  - NYU, the employer of the famous Ralph Grishman,...

#### Power of the Algorithm

- Greedy method
  - At each iteration method increases number of rules
  - While maintaining a high level of agreement between spelling & context rules

#### For n= 2500:

- The two classifiers give both labels on 49.2% of the unlabeled data
- And give the same label on 99.25% of these cases
- The algorithm maximizes the number of unlabeled examples on which the two decision list agree.

#### **Evaluation of the Algorithm**

- 88,962 (spelling, context) pairs.
  - 971,746 sentences
- 1,000 randomly extracted to be test set.
- Location, person, organization, noise (items outside the other three)
- 186, 289, 402, 123 (- 38 temporal noise).
- Let N<sub>c</sub> be the number of correctly classified examples
  - Noise Accuracy: N<sub>c</sub> / 962

#### Results

<u>Algorithm</u>	Clean Accuracy	
Baseline	45.8%	
EM	83.1%	
Yarowsky 95	81.3%	
Yarowsky Cautious	91.2%	
DL-CoTrain	91.3%	
CoBoost	91.1%	

#### Remarks

- Needs full parsing of unlabeled documents
  - Restricted language independency
  - Need linguistic sophistication for new types of NE
- Slow training
  - In each iteration, full size of training corpus has to be re-labeled

#### Resources for NLP

- Empirical (Learning) Paradigm for NLP
- Types of Resources
  - Language data (plain, annotated)
  - Systems for acquiring and maintaining language data
  - Computational lexicons and ontologies
  - NLP Core Engines
  - NLP Application Systems
  - Machine Learning Resources
- Methodological Issues of NLP Resources

## Ressourcen für die Sprachverarbeitung

- Referenzkorpora (Nationalkorpora)
  - Standardsprache (Zeitungen, Belletristik)
- Non-Standard-Korpora
  - Informelle Sprache (Chats, Blogs, E-Mails)
  - Fachsprachen (z.B.: klinische Berichte)
- Rohdaten vs. Annotation
  - Linguistische Metadaten
    - Morphologie, Syntax, Semantik, Pragmatik

#### Language Data

- Plain language data
  - Just text or speech
    - ASCII/UTF-8-compatible, pdf, HTML/SGML
- Annotated language data
  - Enriched by linguistic meta-data
    - Linguistic annotation languages (XML)

### Plain Language Data

- Mixed/Balanced text collections
  - British National Corpus (BNC)
  - American National Corpus (ANC)
- Newspaper collections
  - Wall Street Journal
  - IdS-Korpora (DeReKo\*)
- The Web

### **British National Corpus (BNC)**

- 100M word collection (some 4,050 texts) of 20th century British English
- Written part (90%)
  - Regional and national newspapers
  - Specialist periodicals and journals (various genres)
  - Academic books and popular fiction
  - Letters, memoranda, school and university essays
- Spoken part (10%)
  - Informal conversations (different ages, regions, social classes)
  - Formal business and government meetings
  - Radio shows and phone-ins
- http://www.natcorp.ox.ac.uk/

### **British National Corpus (BNC)**

- Encoding based on ,Guidelines of the Text Encoding Initiative' (TEI),
  - using ISO standard 8879 (SGML: Standard Generalized Markup Language)
- Whole collection is POS-tagged
  - using the CLAWS tagger for the C5 tag set (C7 is much more elaborate)
  - Error rate: 1.7%
  - Tagging ambiguity for 4.7% of all tags

### **American National Corpus (ANC)**

- 15M word collection (texts) of 20th century American English
- Annotated for structural markup (sections, chapters, etc.)
  down to the level of paragraph, sentence boundaries, words
  (tokens) with part of speech annotations and lemma using the
  Penn tagset, noun and verb chunks, named entities (Person,
  Location, Organization, Date)
- Written part (80%)
  - Regional and national newspapers
  - Specialist periodicals and journals (various genres)
  - Academic books and popular fiction
  - Governmental docs
- Spoken part (20%)
  - Informal face-to-face conversations (different ages, regions, social classes)
  - Telephone conversations
- http://www.anc.org/

#### Große deutsche Textkorpora

(verschriftlichte Sprache)

- Deutsches Referenzkorpus DeReKo
  - Institut f
    ür deutsche Sprache (IdS) Mannheim
  - Zeitungen, Belletristik, Handbücher, Parlamentsprotokolle (seit 1956)
  - Umfang: ca. 42 Mrd. Tokens
  - http://www1.ids-mannheim.de/kl/projekte/korpora/
- Digitales Wörterbuch der deutschen Sprache DWDS
  - Berlin-Brandenburgische Akademie der Wissenschaften (BBAW)
  - Zeitungen, Belletristik, Gebrauchsliteratur, Wissenschaft (20./21. Jahrhundert)
  - Umfang: 12,8 Mio Dokumente, ca. 5,5 Mrd. Tokens
  - https://www.dwds.de/
- Deutsches Textarchiv DTA
  - Historisches Referenzkorpus: 1600-1900
  - 4422 Werke (ca. 900K Seiten), 297 Mio. Tokens
  - Annotiert mit Tokens, Lemmata, POS
  - http://www.deutschestextarchiv.de/

- Linguistic Data Consortium
  - "Catalog" option
  - "LDC Online" provides you a guest account

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http://www.ldc.upenn.edu/
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- Linguist List
  - Open Language Archives Community
  - "Text & Computer Tools" button
    - Texts and Corpora
  - "Language Resources" button
    - Texts and Corpora

- European Language Resources Association (ELRA)
  - "R&D Catalog" option
  - Spoken LRs
    - Telephone recordings
    - Desktop/mircophone recordings
    - Broadcast resources
    - Speech related resources
  - Written LRs
    - Corpora
    - Mono- and multilingual lexicons
  - (Domain-specific) Terminological resources
  - Multimodal/multimedia LRs

- Natural Language Software Registry
  - Annotation tools
  - Evaluation tools
  - Language Resources
  - Multimedia
  - Multimodality
  - NLP Development Aid
  - Spoken Language
  - Written Language

http://registry.dfki.de

#### **Annotated Language Data**

- Levels of annotation
  - Formal text structure processing
    - Paragraphs, sentences, tokens
  - Syntactic mark-up
    - Parts of speech
    - Shallow syntactic structures: chunks
    - Deep syntactic structures: parses
  - Semantic mark-up
    - Named entities
    - Propositions, predicate-argument structures
  - Discourse mark-up
    - Referential relations
    - Rhetorical relations

#### **Annotation Styles**

- In-line annotation
  - Mark-ups appear as integral part of the original text
    - This is an <XMLTag> in-line <\XMLTag>
      annotation
- Stand-off annotation
  - Mark-ups appear distinct from the original text (e.g., in a different window)
    - This is a stand-off annotation
      - <XMLTag StartChar: 11, XMLTag EndChar: 19,
        XMLTag Type STAND-OFF>

## General Language Corpora for Syntactic Annotation

- Penn Treebank (U Penn)
  - language: English (general language)
  - text genre: mostly newspaper articles (Wall Street Journal)
  - size: 1,200,000 (annotated) tokens
  - Syntactic tagging based on set of 45 tags
  - Syntactic phrase structures (parse trees) based on Government-Binding grammar
  - No named entity annotation
  - But propositional annotation: PropBank
     http://www.cis.upenn.edu/~treebank/

#### General Language Corpora for Proposition Annotation

- PropBank (U Penn)
  - language: English (general language)
  - text genre: financial newspaper articles (Wall Street Journal)
  - size: 300,000 (annotated) tokens
  - proposition format:
    - [subject predicate object]
  - "semantic" counterpart of Penn Treebank http://www.cis.upenn.edu/~ace/

## General Language Corpora for Discourse Annotation

- Penn Discourse TreeBank (PDTB; U Penn)
  - language: English (general language)
  - text genre: financial newspaper articles (Wall Street Journal)
  - size: 1 M tokens (WSJ) and 40k relations
  - Annotated with information related to discourse structure and discourse semantics, i.e., temporal, contingency, comparison, and expansion discourse relations (after, when, but, although, if)
  - "discourse" counterpart of Penn Treebank http://www.cis.upenn.edu/~pdtb/

## General Language Corpora for Discourse Analysis

- RST Corpus (ISI/USC, USA)
  - language: English
  - size: 385 documents, i.e., 176,000 tokens;
     21,789 elementary discourse units (EDUs)
  - text genre: newspaper articles (Wall Street Journal)
  - Rhetorical Structure Theory (RST)
    - 90 coherence relations

#### Penn TreeBank: Sizes and Genres

Table 4: Penn Treebank						
(as of 11/92)						
Description	Tagged for Part-of-Speech (Tokens)	Shallow Parsing (Tokens)				
Dept. of Energy abstracts	231,404	231,404				
Dow Jones Newswire stories	3,065,776	1,061,166				
Dept. of Agriculture bulletins	78,555	78,555				
Library of America texts	105,652	105,652				
MUC-3 messages	111,828	111,828				
IBM Manual sentences	89,121	89,121				
WBUR radio transcripts	11,589	11,589				
ATIS sentences	19,832	19,832				
Brown Corpus, retagged	1,172,041	1,172,041				
Total:	4,885,798	2,881,188				

#### Penn TreeBank POS Tag Set

Table 2:						
The Penn Treebank POS tagset						
1.	$^{\rm CC}$	Coordinating conjunction	25.	то	to	
2.	CD	Cardinal number	26.	UH	Interjection	
3.	$\mathbf{DT}$	Determiner	27.	VB	Verb, base form	
4.	EX	Existential there	28.	VBD	Verb, past tense	
5.	FW	Foreign word	29.	VBG	Verb, gerund/present participle	
6.	IN	Preposition/subord. conjunction	30.	VBN	Verb, past participle	
7.	$_{ m JJ}$	Adjective	31.	VBP	Verb, non-3rd ps. sing. present	
8.	JJR	Adjective, comparative	32.	VBZ	Verb, 3rd ps. sing. present	
9.	$_{ m JJS}$	Adjective, superlative	33.	WDT	wh-determiner	
10.	LS	List item marker	34.	WP	wh-pronoun	
11.	MD	Modal	35.	WP\$	Possessive wh-pronoun	
12.	NN	Noun, singular or mass	36.	WRB	wh-adverb	
13.	NNS	Noun, plural	37.	#	Pound sign	
14.	NNP	Proper noun, singular	38.	\$	Dollar sign	
15.	NNPS	Proper noun, plural	39.		Sentence-final punctuation	
16.	PDT	Predeterminer	40.	,	Comma	
17.	POS	Possessive ending	41.	:	Colon, semi-colon	
18.	PRP	Personal pronoun	42.	(	Left bracket character	
19.	PP\$	Possessive pronoun	43.	)	Right bracket character	
20.	RB	Adverb	44.	"	Straight double quote	
21.	RBR	Adverb, comparative	45.	6	Left open single quote	
22.	RBS	Adverb, superlative	46.	"	Left open double quote	
23.	$\mathbf{RP}$	Particle	47.	,	Right close single quote	
24.	SYM	Symbol (mathematical or scientific)	48.	"	Right close double quote	

#### **PTB POS Annotation Process**

- Four annotators: Grad students of linguistics
- Comparison of two annotation styles on a 16,000 word sample:
  - "Tagging":
    - completely manual annotation
  - "Correcting":
    - automatical POS tagging and subsequent manual correction
- Inter-annotator disagreement:
  - "Tagging": 7,2%
  - "Correcting": 4,1%
- Comparison of accuracy with benchmark version (disagreement):
  - "Tagging": 5,4%
  - "Correcting": 4,0%

#### Illustration of the "Correcting" Mode

Battle-tested/NNP\*/JJ Japanese/NNP\*/JJ industrial/JJ managers/NNS here/RB always/RB buck/VB\*/VBP up/IN\*/RP nervous/JJ newcomers/NNS with/IN the/DT tale/NN of/IN the/DT first/JJ of/IN their/PP\$ countrymen/NNS to/TO visit/VB Mexico/NNP ,/, a/DT boatload/NN of/IN samurai/NNS\*/FW warriors/NNS blown/VBN ashore/RB 375/CD years/NNS ago/RB ./.

- Training of annotators took 15h
- Annotation speed (after one month of training):
  - > 3000 words/h
- Double as fast as "Tagging"!