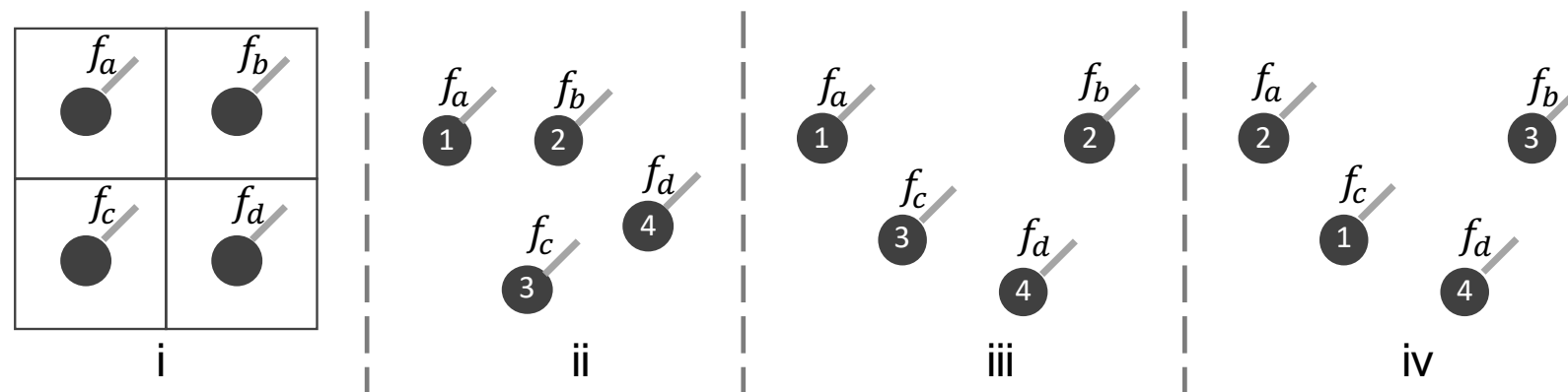


# PointCNN

On Feature Learning from Point Cloud Data

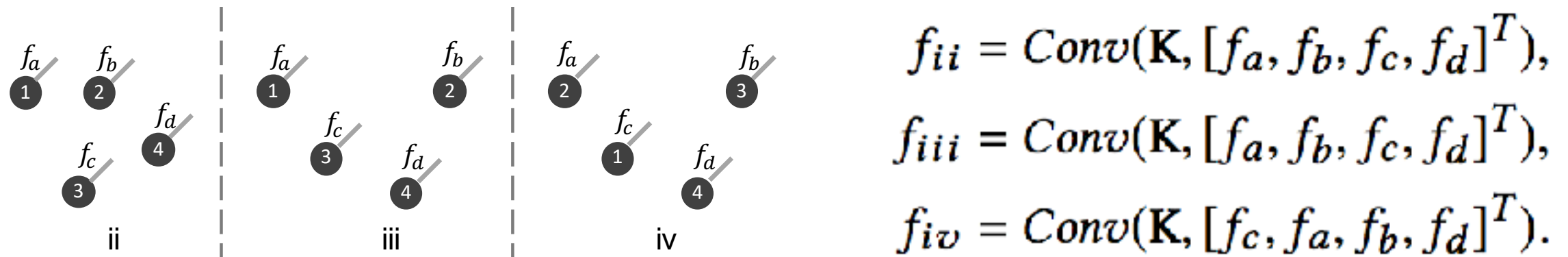
# Motivation



*Lack of regular grids poses the challenge of sorting the points into canonical orders*

- CNNs are successful by picking-up on spatially-local correlations
- Point clouds (ii, iii, iv) are irregular and unordered
- Directly convolving against point cloud/features will lose shape information and be variant to the ordering
- **Can we learn a transformation to first permute the points to some canonical order?**

# Challenges Applying CNNs



- $\text{Conv}(\mathbf{K}, [...])$  is simply an element-wise product followed by a sum
- By directly applying  $\text{Conv}(\mathbf{K}, [...])$  we,
  1. Lose shape information ( $f_{ii} = f_{iii}$ )
  2. Become variant to the ordering ( $f_{iii} \neq f_{iv}$ )

# X-Transformation

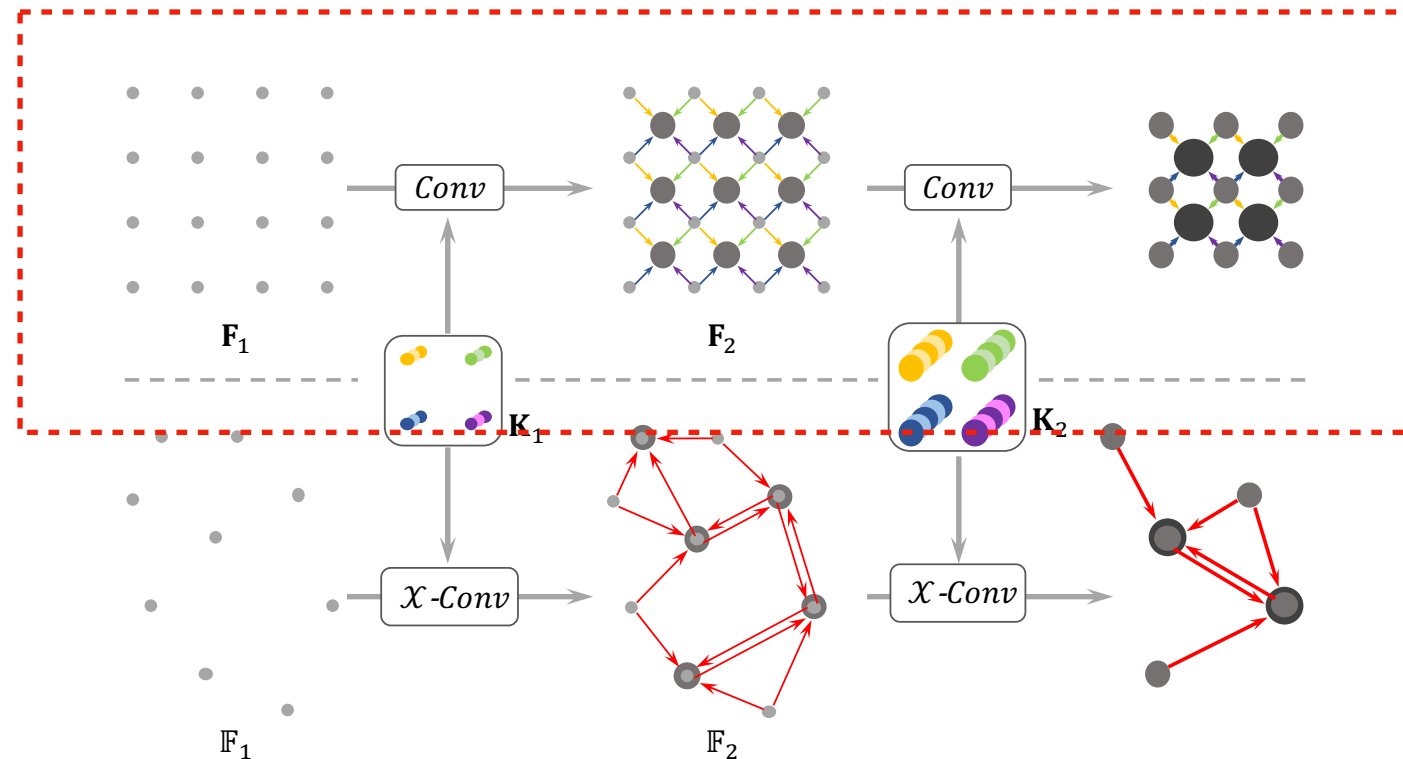
- Learns a  $K \times K$  transformation with an MLP to weight and permute the input features
- Then apply the typical convolution on the transformed features
- $X = \text{MLP}(p_1, p_2, \dots, p_K)$

$$f_{ii} = \text{Conv}(K, \mathcal{X}_{ii} \times [f_a, f_b, f_c, f_d]^T),$$

$$f_{iii} = \text{Conv}(K, \mathcal{X}_{iii} \times [f_a, f_b, f_c, f_d]^T),$$

$$f_{iv} = \text{Conv}(K, \mathcal{X}_{iv} \times [f_c, f_a, f_b, f_d]^T),$$

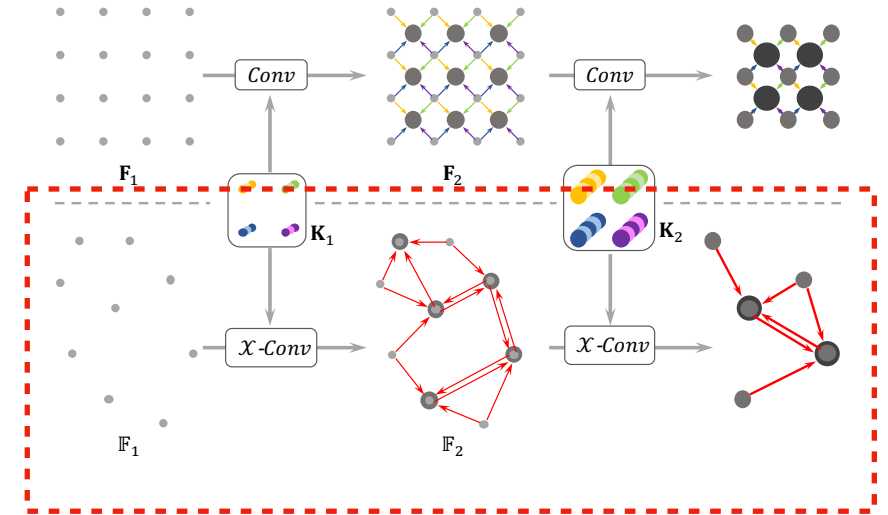
# Hierarchical Convolution (*for Images*)



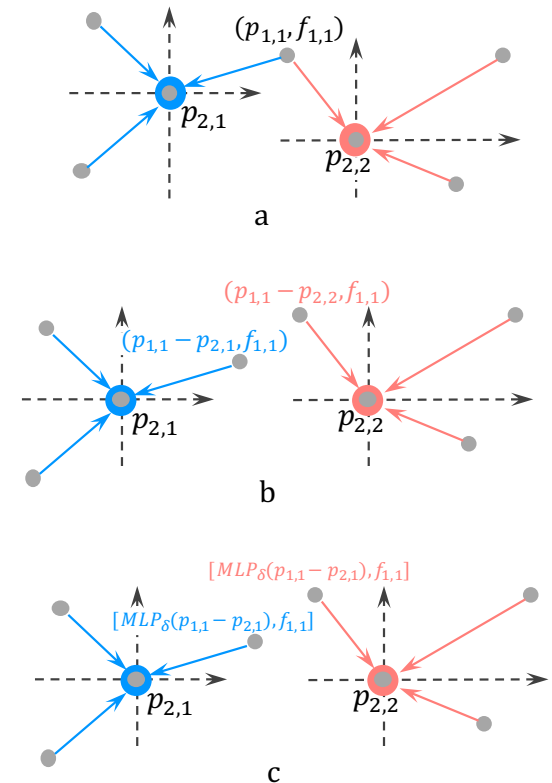
- $F_2 = \text{Conv}(K, F_1)$ 
  - $F_1$ :  $R_1 \times R_1 \times C_1$
  - $F_2$ :  $R_2 \times R_2 \times C_2$ 
    - $C_2 > C_1$  (deeper)
    - $R_2 < R_1$  (lower-rez)
- Applied recursively
- Producing feature maps in fewer and fewer spatial resolution
- But deeper and deeper channels

# Hierarchal Convolution (*X-Conv*)

- $\mathbf{F}_p = \text{X-Conv}(\mathbf{K}, p, \mathbf{P}, \mathbf{F})$   
 $= \text{Conv}(\mathbf{K}, \text{MLP}(\mathbf{P} - p) \times [\text{MLP}_\delta(\mathbf{P} - p), \mathbf{F}])$

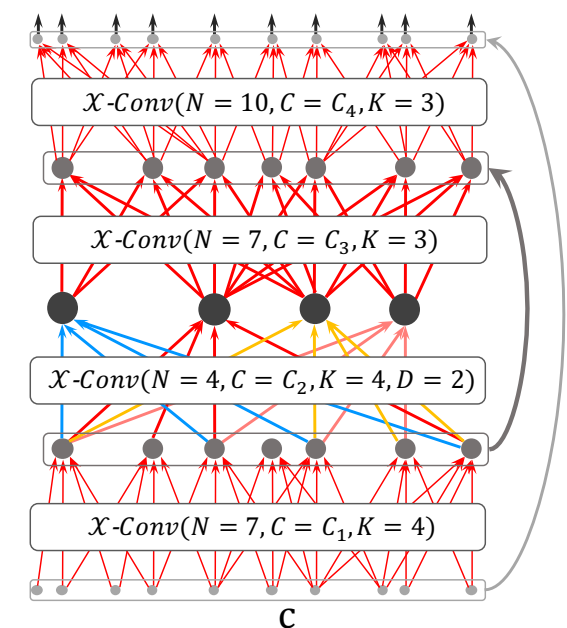
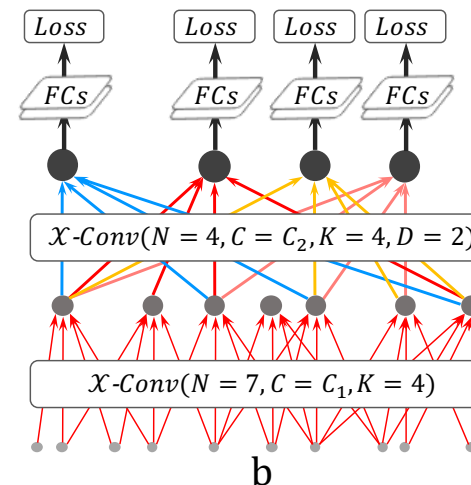
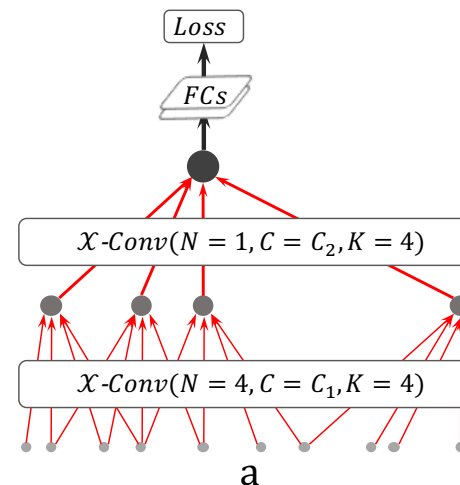


- ( $\mathbf{P}' \leftarrow \mathbf{P} - p$ ) Since X-Conv is designed to work on local regions take point  $p$  as origin and look at  $K$  neighbouring points
- ( $\mathbf{F}_\delta \leftarrow \text{MLP}_\delta(\mathbf{P}')$ ) Lift the coordinates into a higher dimensional/abstract representation
- ( $\mathbf{F}_* \leftarrow [\mathbf{F}_\delta, \mathbf{F}]$ ) Then combine w/ associated features



# Architecture

- Receptive field defined by  $K/N$ 
  - $K$ : # of selected neighbouring points (in prev. layer)
  - $N$ : # of points (in prev. layer)
  - $K/N = 1$  = “global view of entire shape”
- Used dilation rate  $D = 2$ , sampling  $K$  points from  $(K \times D) / N$
- For segmentation used Conv-DeConv (reverse: more points, fewer channels)
- ELU activations, batch normalization, dropout, ADAM optimizer
- Randomly sample + shuffle input points per batch - was crucial in training



# Experiments & Results

Method	Input	Core Operator	ModelNet40	ScanNet
MVCNN [Su et al. 2015]	Images	2D Conv	90.1	-
FPNN [Li et al. 2016]	3D Dist. Field	1D Conv	87.5	-
Vol. CNN [Qi et al. 2016]	Voxels	3D Conv	89.9	74.9
O-CNN [Wang et al. 2017]	Octree Voxels	Sparse 3D Conv	90.6	-
PointNet [Qi et al. 2017a]	Point Cloud	Pointwise MLP	89.2	-
PointNet++ [Qi et al. 2017b]	Point Cloud	Multiscale Pointwise MLP	90.7	76.1
PointCNN	Point Cloud	$\mathcal{X}$ -Conv	<b>91.7</b>	<b>77.9</b>

**Table 1: Comparisons of classification accuracy (%) on ModelNet40 [Wu et al. 2015b] and ScanNet [Dai et al. 2017].**

Method	ShapeNet Parts	S3DIS	ScanNet
PointNet [Qi et al. 2017a]	83.7	47.6	73.9
PointNet++ [Qi et al. 2017b]	85.1	-	84.5
SyncSpecCNN [Yi et al. 2017a]	84.74	-	-
Pd-Network [Klokov and Lempitsky 2017]	85.49	-	-
SSCN [Graham et al. 2017]	85.98	-	-
SegCloud [Tchapmi et al. 2017]	-	48.92	-
SPGraph [Landrieu and Simonovsky 2017]	-	54.06	-
PointCNN	<b>86.13<sup>2</sup></b>	<b>62.74 (54.1, w/o RGB)</b>	<b>85.1</b>

**Table 2: Segmentation comparisons on ShapeNet Parts [Yi et al. 2016] in part averaged IoU (%), S3DIS [Armeni et al. 2016] in mean IoU (%), and ScanNet [Dai et al. 2017] in per voxel accuracy (%).**



# Experiments & Results

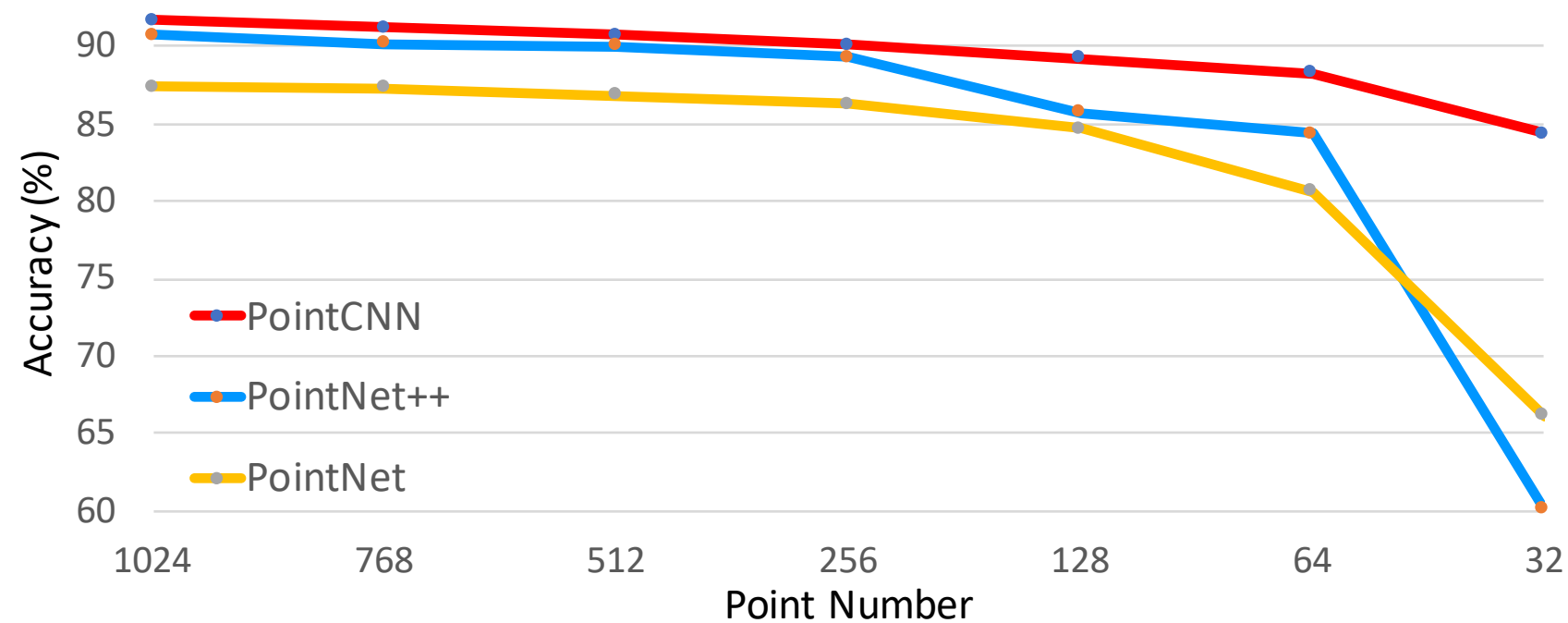
Method	TU-Berlin	Quick Draw
Sketch-a-Net [Yu et al. 2017]	<b>77.95</b>	-
AlexNet [Krizhevsky et al. 2012]	68.60	-
PointNet++ [Qi et al. 2017b]	66.53	51.58
PointCNN	67.72	<b>56.75</b>

**Table 3: Accuracy (%) comparisons on Tu-Berlin [Eitz et al. 2012] and Quick Draw [Ha and Eck 2017] classification.**

	PointCNN	w/o $\mathcal{X}$	w/o $\mathcal{X}$ (wider)	w/o $\mathcal{X}$ (deeper)
Core Layers	$\mathcal{X}$ -Conv $\times 4$	<i>Conv</i> $\times 4$	<i>Conv</i> $\times 4$	<i>Conv</i> $\times 6$
# Parameter	0.45M	0.23M	0.49M	0.4M
Accuracy (%)	<b>91.7</b>	89.1	86.1	88.3

**Table 4: Ablation test of PointCNN variants on ModelNet40 classification.  $\mathcal{X}$ -Conv is the key to PointCNN performance.**

# Experiments & Results



*Stress test on few number of points*