# Dummit and Foote Abridged

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# Part I

# Group Theory

# 0 Preliminaries

## 0.1 Basics

**Proposition 1.** Let  $f: A \to B$ .

- 1. The map f is injective if and only if f has a left inverse.
- 2. The map f is surjective if and only if f has a right inverse.
- 3. The map f is a bijection if and only if there exist  $g: B \to A$  such that  $f \circ g$  is the identity map on B and  $g \circ f$  is the identity map on A.
- 4. If A and B are finite sets with the same number of elements the  $f: A \to B$  is bijective if and only if f is injective if and only if f is surjective.

**Proposition 2.** Let A be a nonempty set.

- 1. If  $\sim$  defines an equivalence relation on A then the set of equivalence classes of  $\sim$  form a partition of A.
- 2. If  $\{A_i \mid i \in I\}$  is a partition of A then there is an equivalence relation on A whose equivalence classes are precisely the sets  $A_i, i \in I$

# 1 Group Theory

# 1.1 Basic Axioms and Examples

### Definition.

- 1. A binary operation  $\star$  on a set G is a function  $\star$ :  $G \times G \to G$ . For any  $a, b \in G$  we shall write  $a \star b$  for  $\star(a, b)$ .
- 2. A binary operation  $\star$  on a set G is associative if for all  $a, b, c \in G$  we have  $a \star (b \star c) = (a \star b) \star c$ .
- 3. If  $\star$  is a binary operation on a set G we say elements a and b of G commute if  $a \star b = b \star a$ . We say  $\star$  (or G) is commutative if for all  $a, b \in G$ ,  $a \star b = b \star a$ .

**Proposition 1.** If G is a group under the operation  $\cdot$ , then

- 1. The identity of G is unique
- 2. for each  $a \in G$ ,  $a^{-1}$  is uniquely determined
- 3.  $(a^{-1})^{-1} = a$  for all  $a \in G$
- 4.  $(a \cdot b)^{-1} = (b^{-1}) \cdot (a^{-1})$

5. for any  $a_q, a_2, \ldots, a_n \in G$  the value of  $a_1 a_2 \cdots a_n$  is independent of how the expression is bracketed

**Proposition 2.** Let G be a group and let  $a, b \in G$ . The equations ax = b and ya = b have unique solutions for  $x, y \in G$ . In particular, the left and right cancellation laws hold in G, i.e.,

- 1. if au = av, then u = v, and
- 2. if ub = vb, then u = v.

**Definition.** For G a group and  $x \in G$  define the *order* of x to be the smallest positive integer n such that  $x^n = 1$ , denoted |x|. If there is no such integer than we define the order of x to be infinity.

## 1.6 Homomorphism and Isomorphisms

**Definition.** Let  $(G, \star)$  and  $(H, \diamond)$  be groups. A map  $\varphi \colon G \to H$  such that  $\varphi(x \star y) = \varphi(x) \diamond \varphi(y)$ , for all  $x, y \in G$  is called a *homomorphism*. Moreover, if  $\varphi$  is bijective it is called an *isomorphism* and we say that G and H are *isomorphic* or of the same *isomorphism type*, written  $G \cong H$ .

**Note.** If  $\varphi \colon G \to H$  is an isomorphism then

- 1. |G| = |H|
- 2. G is abelian if and only if H is abelian
- 3. for all  $x \in G$ ,  $|x| = |\varphi(x)|$

# 1.7 Group Actions

**Definition.** A group action of a group G on a set A is a map from  $G \times A$  to A (written as  $g \cdot a$ , for all  $g \in G$  and  $a \in A$ ) satisfying the following properties:

- 1.  $g_1 \cdot (g_2 \cdot a) = (g_1 g_2) \cdot a$ , for all  $g_1, g_2 \in G$ ,  $a \in A$ , and
- 2.  $1 \cdot a = a$  for all  $a \in A$ .

**Note.** Let the group G act on the set A. From each fixed  $g \in G$  we get a map  $\sigma_g$  defined by

$$\sigma_g \colon A \to A$$

$$\sigma_g(a) = g \cdot a.$$

The following are true

- 1. for each fixed  $g \in G$ ,  $\sigma_q$  is a permutation of A, and
- 2. the map from G to  $S_A$  defined by  $g \mapsto \sigma_g$  is a homomorphism. Moreover this map is called the *permutation representation* associated to the given action.

**Note.** As a consequence of the above remark, if  $\varphi \colon G \to S_A$  is a homomorphism (here  $S_A$  is the symmetric group on the set A), then the map from  $G \times A$  to A defined by

$$g \cdot a = \varphi(g)(a)$$
 for all  $g \in G$ , and all  $a \in A$ 

is a group action of G on A.

# 2 Subgroups

## 2.1 Definition and Examples

**Definition.** Let G be a group. The subset H of G is a *subgroup* of G if H is nonempty and H is closed under products and inverse (i.e,  $x, y \in H$  implies  $x \in H$  and  $xy \in H$ ). If H is a subgroup of G we shall write  $H \leq G$ .

**Proposition 1.** (The Subgroup Criterion) A subset H of a group G is a subgroup if and only if

- 1.  $H \neq \emptyset$ , and
- 2. for all  $x, y \in H, xy^{-1} \in H$

# 2.2 Centralizers and Normalizers, Stabilizers and Kernels

Let G be a group and A a nonempty subset of G.

**Definition.** The centralizer of A in G is  $C_G(A) = \{g \in G \mid gag^{-1} = a \text{ for all } a \in A\}$ . Note that this is the set of elements of G which commute with every element of A. Note that  $C_g(A) \leq G$ .

**Definition.** The *center* of G is the set  $Z(G) = \{g \in G \mid gx = xg \text{ for all } x \in G\}$ . Note that,  $Z(G) = C_G(G)$ , thus  $Z(G) \leq G$ .

**Definition.** Define  $gAg^{-1} = \{gag^{-1} \mid a \in A\}$ . The normalizer of A in G is the set  $N_G(A) = \{g \in G \mid gAg^{-1} = A\}$ . Note that,  $C_G(A) \leq N_G(A) \leq G$ .

# 2.3 Cyclic Groups and Cyclic Subgroups

**Definition.** A group H is *cyclic* if H can be generated by a single element, i.e, there exist some  $x \in H$  such that  $H = \{x^n \mid n \in \mathbb{Z}\}$  when using multiplicative notation and  $H = \{nx \mid n \in \mathbb{Z}\}$  when using additive notation. In either case we write  $H = \langle x \rangle$ .

**Proposition 2.** If  $H = \langle x \rangle$ , then |H| = |x|. Moreover,

- 1. if  $|H| = n < \infty$ , then  $x^n = 1$  and  $1, x, x^2, \dots, x^{n-1}$  are all distinct elements of H, and
- 2. if  $|H| = \infty$ , then  $x^n \neq 1$  for all  $n \neq 0$  and  $x^a \neq x^b$  for all  $a \neq b \in \mathbb{Z}$ .

**Proposition 3.** Let G be an arbitrary group,  $x \in G$  and let  $m, n \in \mathbb{Z}$ . If  $x^n = 1$  and  $x^m = 1$  then  $x^d = 1$  where d = (m, n). In particular, if  $x^m = 1$  for some  $m \in \mathbb{Z}$  then |x| divides m.

**Theorem 4.** Any two cyclic groups of the same order are isomorphic. Moreover,

1. if  $n \in \mathbb{Z}^+$  and  $\langle x \rangle$  and  $\langle y \rangle$  are both cyclic groups of order n, then the map

$$\varphi \colon \langle x \rangle \to \langle y \rangle$$
$$x^k \mapsto y^k$$

is well defined and is an isomorphism

2. if  $\langle x \rangle$  is an infinite cyclic group, the map

$$\varphi \colon \mathbb{Z} \to \langle x \rangle$$
$$k \mapsto x^k$$

is well defined and is an isomorphism

**Proposition 5.** Let G be a group, let  $x \in G$  and let  $a \in \mathbb{Z} - \{0\}$ .

- 1. If  $|x| = \infty$ , then  $|x^a| = \infty$ .
- 2. If  $|x| = n < \infty$ , then  $|x^a| = \frac{n}{(n,a)}$ .
- 3. In particular, if  $|x| = n < \infty$  and a is a positive integer dividing n, then  $|x^a| = \frac{n}{a}$ .

**Proposition 6.** Let  $H = \langle x \rangle$ .

- 1. Assume  $|x| = \infty$ . Then  $H = \langle x^a \rangle$  if and only if  $a = \pm 1$ .
- 2. Assume  $|x| = n < \infty$ . Then  $H = \langle x^a \rangle$  if and only if (a, n) = 1. In particular, the number of generators of H is  $\varphi(n)$  (where  $\varphi$  is Euler's  $\varphi$ -function)

**Theorem 7.** Let  $H = \langle x \rangle$  be a cyclic group.

- 1. Every subgroup of H is cyclic. More precisely, if  $K \leq H$ , then either  $K = \{1\}$  or  $K = \langle x^d \rangle$ , where d is the smallest positive integer such that  $x^d \in K$ .
- 2. If  $|H| = \infty$ , then for any distinct nonnegative integers a and b,  $\langle x^a \rangle \neq \langle x^b \rangle$ . Furthermore, for every integer m,  $\langle x^m \rangle = \langle x^{|m|} \rangle$ , where |m| denotes the absolute value of m, so that the nontrival subgroups of H correspond bijectively with the integers  $1, 2, 3, \ldots$
- 3. If  $|H| = n < \infty$ , then for each positive integer a dividing n there is a unique subgroup of H of order a. This subgroup is the cyclic group  $\langle x^d \rangle$ , where  $d = \frac{n}{a}$ . Furthermore, for every integer m,  $\langle x^m \rangle = \langle x^{(n,m)} \rangle$ , so that the subgroups of H correspond bijectively with the positive divisors of n.

# 2.4 Subgroups Generated by Subsets of a Group

**Proposition 8.** If  $\mathcal{A}$  is any nonempty collection of subgroups of G, then the intersection of all members of  $\mathcal{A}$  is also a subgroup of G.

**Definition.** If A is any subset of the group G define

$$\langle A \rangle = \bigcap_{\substack{A \subseteq H \\ H \leq G}} H.$$

This is called the subgroup of G generated by A.

**Note.**  $\langle A \rangle = \{a_1^{\epsilon_1} a_2^{\epsilon_2} \dots a_n^{\epsilon_n} \mid n \in \mathbb{Z}, n \geq 0 \text{ and } a_i \in A, \epsilon_i = \pm 1 \text{ for each } i\}.$ 

# 3 Quotient Groups and Homomorphisms

## 3.1 Definitions and Examples

**Definition.** If  $\varphi$  is a homomorphism  $\varphi \colon G \to H$ , the *kernel* of  $\varphi$  is the set

$$\{g \in G \mid \varphi(g) = 1\}$$

and will be denoted by  $\ker \varphi$  (here 1 is the identity of H).

**Proposition 1.** Let G and H be groups and let  $\varphi \colon H \to H$  be a homomorphism.

- 1.  $\varphi(1_G) = 1_H$ , where  $1_G$  and  $1_H$  are the identities of G and H, respectively.
- 2.  $\varphi(g^{-1}) = \varphi(g)^{-1}$  for all  $g \in G$ .
- 3.  $\varphi(g^n) = \varphi(g)^n$  for all  $n \in \mathbb{Z}$ .
- 4.  $\ker \varphi$  is a subgroup of G.
- 5.  $\operatorname{im}\varphi$ , the image of G under  $\varphi$ , is a subgroup of H.

**Definition.** Let  $\varphi \colon G \to H$  be a homomorphism with kernel K. The quotient group or factor group, G/K (read G modulo K or simply G mod K), is the group whose elements are the fibers of  $\varphi$  with the following group operation: If X is the fiber above a and Y is the fiber above b then the product XY in G/K is defined to be the fiber above the product ab in G.

**Proposition 2.** Let  $\varphi \colon G \to H$  be a homomorphism with kernel K. Let  $X \in G/K$  be the fiber above a, i.e.,  $X = \varphi^{-1}(a)$ . Then

- 1. For any  $u \in X$ ,  $X = \{uk \mid k \in K\}$
- 2. For any  $u \in X$ ,  $X = \{ku \mid k \in K\}$

**Definition.** For any  $N \leq G$  and any  $g \in G$  let

$$gN = \{gn \mid n \in N\} \text{ and } Ng = \{ng \mid n \in N\}$$

called respectively a *left coset* and a *right coset* of N in G. Any element of a coset is called a *representative* for the coset.

**Theorem 3.** Let G be a group and let K be the kernel of some homomorphism from G to another group. Then the set of whose elements are left cosets of K in G with operation defined by

$$uK \circ vK = (uv)K$$

forms a group, G/K. This operation is well defined and does not depend on the choice of representatives.

**Proposition 4.** Let N be any subgroup of the group G. The set of left cosets of N in G form a partition of G. Furthermore, for all  $u, v \in G, uN = vN$  if and only if  $v^{-1}u \in N$  and in particular, uN = vN if and only if u and v are representatives of the same coset.

**Proposition 5.** Let G be a group and let N be a subgroup of G.

1. The operation on the set of left cosets of N in G described by

$$uN \cdot vN = (uv)N$$

is well defined if and only if  $gng^{-1}$  for all  $g \in G$  and all  $n \in N$ .

2. If the above operation is well defined, then it makes the set of left cosets of N in G into a group. In particular the identity of this group is the coset 1N and the inverse of gN is the coset  $g^{-1}$ , i.e,  $(gN)^{-1} = g^{-1}N$ .

**Definition.** The element  $gng^{-1}$  is called the *conjugate* of  $n \in N$  by g. The set  $gNg^{-1} = \{gng^{-1} \mid n \in N\}$  is called the *conjugate* of N by g. The element g is said to *normalize* N if  $gNg^{-1} = N$ . A subgroup N of a group G is called *normal* if every element of G normalizes N, i.e., if  $gNg^{-1} = N$  for all  $g \in G$ . If N is a normal subgroup of G we shall write  $N \subseteq G$ .

**Theorem 6.** Let N be a subgroup of the group G. The following are equivalent:

- 1.  $N \leq G$
- 2.  $N_G(N) = G$  (recall  $N_G(N)$  is the normalizer in G of N)
- 3. gN = Ng for all  $g \in G$
- 4. the operation on left cosets of N in G described in Proposition 5 makes the set of left cosets into a group
- 5.  $gNg^{-1} \subseteq N$  for all  $g \in G$ .

**Proposition 7.** A subgroup N of the group G is normal if and only if it is the kernel of some homomorphism.

**Definition.** Let  $N \subseteq G$ . The homomorphism  $\pi: G \to G/N$  defined by  $\pi(g) = gN$  is called the *natural projection (homomorphism)* of G onto G/N. If  $\overline{H} \subseteq G/N$ , then complete preimage of  $\overline{H}$  in G is the preimage of  $\overline{H}$  under the natural projection homomorphism.

# 3.2 More on Cosets and Lagrange's Theorem

**Theorem 8.** (Lagrange's Theorem) If G is a finite group and H is a subgroup of G, then the order of H divides the order of G and the number of left cosets of H in G equals  $\frac{|G|}{|H|}$ .

**Definition.** If G is a group and  $H \leq G$ , the number of left cosets of H in G is called the *index* of H in G and is denoted by |G:H|.

**Corollary 9.** If G is a finite group and  $x \in G$ , then the order of x divides the order of G. In particular,  $x^{|G|} = 1$  for all x in G.

Corollary 10. If G is a group of prime order p, then G is cyclic, hence  $G \cong \mathbb{Z}_p$  (note that this text uses  $\mathbb{Z}_n$  to denote the cyclic group of order n written in multiplicative notation and that given any  $n \in \mathbb{Z}$ ,  $\mathbb{Z}_n \cong \mathbb{Z}/n\mathbb{Z}$ ).

**Note.** For finite abelian groups the full converse of Lagrange's theorem holds, that is the group has a subgroup of order n for each n that divides the order of the group.

**Theorem 11.** (Cauchy's Theorem) If G is a finite group and p is a prime dividing |G|, then G has an element of order p.

**Theorem 12.** (Sylow) If G is a finite group of order  $p^{\alpha}m$ , where p is a prime not dividing m, then G has a subgroup of order  $p^{\alpha}$ .

**Definition.** Let H and K be subgroups of a group and define

$$HK = \{hk \mid h \in H, k \in K\}.$$

**Proposition 13.** If H and K are finite subgroups of a group then

$$|HK| = \frac{|H||K|}{|H \cap K|}.$$

**Proposition 14.** If H and K are subgroups of a group, HK is a subgroup if and only if HK = KH.

**Note.** HK = KH does not imply that the elements of H commute with the elements of K

**Corollary 15.** If H and K are subgroups of G and  $H \leq N_G(K)$ , then Hk is a subgroup of G. In particular, if  $K \leq G$ , Then  $HK \leq G$  for any  $H \leq G$  (Since if  $K \leq G$ ,  $N_G(k) = G$ ).

**Definition.** If A is any subset of  $N_G(K)$  (or  $C_G(K)$ ), we shall say A normalizes K (centralizes K, respectively).

## 3.3 The Isomorphism Theorems

**Theorem 16.** (The First Isomorphism Theorem) If  $\varphi \colon G \to H$  is a homomorphism, then  $\ker \varphi \subseteq G$  and  $G/\ker \varphi \cong \varphi(G)$ .

Corollary 17. Let  $\varphi \colon G \to H$  be a homomorphism.

- 1.  $\varphi$  is injective if and only if  $\ker \varphi = 1$ .
- 2.  $|G:\ker\varphi=|\varphi(G)|$ .

**Theorem 18.** (The Second or Diamond Isomorphism Theorem) Let G be a group, let A and B be subgroups of G and assume  $A \leq N_G(B)$ . Then AB is a subgroup of G,  $B \subseteq AB$ ,  $A \cap B \subseteq A$ , and  $AB/B \cong A/A \cap B$ .

**Theorem 19.** (The Third Isomorphism Theorem) Let G be a group and let H and K be normal subgroups of G with  $H \leq K$ . Then  $K/H \subseteq G/H$  and

$$(G/H)/(K/H) \cong G/K$$
.

If we denote the quotient by H with a bar, this can be written

$$\overline{G}/\overline{K} \cong G/K$$
.

**Theorem 20.** (The Fourth or Lattice Isomorphism Theorem) Let G be a group and let N be a normal subgroup of G. Then there is a bijection from the set of subgroups A of G which contains N onto the set of subgroups  $\overline{A} = A/N$  of G/N. In particular, every subgroup of  $\overline{G}$  is of the form A/N for some subgroup A of G containing N (namely, its preimage in G under the natural projection homomorphism from G to G/N). This bijection has the following properties: for all  $A, B \leq G$  with  $N \leq A$  and  $N \leq B$ ,

- 1.  $A \leq B$  if and only if  $\overline{A} \leq \overline{B}$ ,
- 2. if  $A \leq B$ , then  $|B:A| = |\overline{B}:\overline{A}|$ ,
- 3.  $\overline{\langle A, B \rangle} = \langle \overline{A}, \overline{B} \rangle$ ,
- 4.  $\overline{A \cap B} = \overline{A} \cap \overline{B}$ , and
- 5.  $A \subseteq G$  if and only if  $\overline{A} \subseteq \overline{G}$ .

# 3.4 Composition Series and the Hölder Program

**Proposition 21.** If G is a finite abelian group and p is a prime dividing |G|, then G contains an element of order p.

**Definition.** A group G is called *simple* if |G| > 1 and the only normal subgroups of G are 1 and G.

**Definition.** In a group G a sequence of subgroups

$$1 = N_0 \le N_1 \le N_2 \le \ldots \le N_{k-1} \le N_k = G$$

is called a composition series if  $N_i \leq N_{i+1}$  and  $N_{i+1}/N_i$  is a simple group,  $0 \leq i \leq k-1$ . If the above sequence is a composition series, the quotient groups  $N_{i+1}/N_i$  are called composition factors of G.

**Theorem 22.** (Jordan-Hölder) Let G be a finite group with  $G \neq 1$ . Then

- 1. G has a composition series and
- 2. The composition factors in a composition series are unique, namely, id  $1 = N_0 \le N_1 \le \ldots \le N_r = G$  and  $1 = M_0 \le M_1 \le \ldots \le M_s = G$  are two composition series for G, then r = s and there is some permutation,  $\pi$ , of  $\{1, 2, \ldots, r\}$  such that

$$M_{\pi(i)}/M_{\pi(i)-1} \cong N_i/N_{i-1}, \qquad 1 \le i \le r.$$

**Theorem.** There is a list consisting of 18 (infinite) families of simple groups and 26 simple groups not belonging to these families (the *sporadic* simple groups) such that every finite simple group is isomorphic to one of the groups in this list.

**Theorem.** (Feit-Thompson) If G is a simple group of odd order, then  $G \cong \mathbb{Z}_p$  for some prime p.

**Definition.** A group G is *solvable* if there is a chain of subgroups

$$1 = G_0 \triangleleft G_1 \triangleleft \ldots \triangleleft G_s = G$$

such that  $G_{i+1}/G_i$  is abelian for  $i=0,1,\ldots,s-1$ .

**Theorem.** The finite group G is solvable if and only if for every divisor n of |G| such that  $(n, \frac{|G|}{n}) = 1$ , G has a subgroup of order n.

**Note.** If N and G/N are solvable, then so is G.

# 3.5 Transpositions and the Alternating Group

**Definition.** A 2-cycle is called a *transposition*.

**Note.** Every element of  $S_n$  may be written as a product of transpositions.

**Definition.** Let  $x_1, \ldots, x_n$  be independent variables and let  $\Delta$  be the polynomial

$$\Delta = \prod_{1 \le i < j \le n} (x_i - x_j),$$

and for  $\sigma \in S_n$  let  $\sigma$  act on  $\Delta$  by

$$\sigma(\Delta) = \prod_{1 \le i < j \le n} (x_{\sigma(i)} - x_{\sigma(j)}).$$

One can show that for all  $\sigma \in S_n$  that  $\sigma(\Delta) = \pm \Delta$ . Now define,

$$\epsilon(\sigma) = \begin{cases} +1 & \text{if } \sigma(\Delta) = \Delta \\ -1 & \text{if } \sigma(\Delta) = -\Delta. \end{cases}$$

Now,

- 1.  $\epsilon(\sigma)$  is called the sign of  $\sigma$  and
- 2.  $\sigma$  is call an even permutation if  $\epsilon(\sigma) = 1$  and an odd permutation if  $\epsilon(\sigma) = -1$ .

**Proposition 23.** The map  $\epsilon: S_n \to \{\pm 1\}$  is a homomorphism (where  $\{\pm 1\}$  is a multiplicative version of the cyclic group of order 2).

**Proposition 24.** Transpositions are all odd permutations and  $\epsilon$  is a surjective homomorphism.

**Definition.** The alternating group of degree n, denoted  $A_n$ , is the kernel of te homomorphism  $\epsilon$  (i.e., the set of even permutations).

Note.

- 1.  $|A_n| = \frac{1}{2}|S_n| = \frac{1}{2}(n!)$ .
- 2. Due to  $\epsilon$  being a homomorphism we get the rules

$$(even)(even) = (odd)(odd) = even$$
  
 $(even)(odd) = (odd)(even) = odd.$ 

3. An m-cycle is an odd permutation if and if only m is even

**Proposition 25.** The permutation  $\sigma$  is odd if and only if the number of cycles of even length in its cycle decomposition is odd.

**Note.**  $A_n$  is a non-abelian simple group for all  $n \geq 5$ .

# 4 Group Actions

# 4.1 Group Actions and Permutation Representations

**Definition.** Let G be a group acting on a set A

- 1. The *kernel* of the action is the set of elements of G that act trivially on every element of A:  $\{g \in G \mid g \cdot a = a \text{ for all } a \in A\}$ .
- 2. For each  $a \in A$  the *stabilizer* of a in G is the set of elements of G that fix the element  $a: \{g \in G \mid g \cdot a = a\}$  and is denoted by  $G_a$ .
- 3. An action is *faithful* if its kernel is the identity.

**Note.** The kernel pf an action is precisely the same as the kernel of the associated permutation representation as defined in the note in section 1.7 and is rephrased below.

**Proposition 1.** For any group G and any nonempty set A there is a bijection between the actions of G on A and the homomorphisms of G into  $S_A$ .

**Definition.** If G is a group a permutation representation of G into the symmetric group  $S_A$  for some nonempty set A. We shall say a given action of G on A affords or induces the associated representation of G.

**Proposition 2.** Let G be a group acting on the nonempty set A. the relation on A defined by

$$a \sim b$$
 if and only if  $a = g \cdot b$  for some  $g \in G$ 

is an equivalence relation. For each  $a \in A$ , the number of elements in the equivalence class containing a is  $|G:G_a|$ , the index of the stabilizer of a.

**Definition.** Let G be a group acting on the set A.

- 1. The equivalence class  $\{g \cdot a \mid g \in G\}$  is called the *orbit* of G containing a.
- 2. The action of G on A is called *transitive* if there is only one orbit, i.e., given any two elements  $a, b \in A$  there is some  $g \in G$  such that  $a = g \cdot b$ .

#### Note.

- 1. Every element of  $S_n$  has a unique cycle decomposition
- 2. Subgroups of symmetric groups are called *permutation groups*.
- 3. The orbits of a permutation group will refer to its orbits on  $\{1, 2, \ldots, n\}$
- 4. The orbits of an element  $\sigma \in S_n$  will refer to the orbits of the group  $\langle \sigma \rangle$ .

# 4.2 Group Acting on Themselves by Left Multiplication - Cayley's Theorem

**Note.** In this section G is any group and we first consider G acting on itself (i.e., A = G) by left multiplication:

$$g \cdot a = ga$$
 for all  $g \in G, a \in G$ 

When G is a finite group of order n it is convenient to label the elements of G with the integers 1, 2, ..., n in order to describe the permutation representation afforded by this action. In this way the elements of G are listed as  $g_1, g_2, ..., g_n$  and for each  $g \in G$  the permutation  $\sigma_q$  may be described as a permutation of the indices 1, 2, ..., n as follows:

$$\sigma_q(i) = j$$
 if and only if  $gg_i = g_j$ .

**Theorem 3.** Let G be a group, let H be a subgroup and let G act by left multiplication on the set A of left cosets of H in G. Let  $\pi_H$  be the associated permutation representation afforded by this action. Then

- 1. G acts transitively on A
- 2. the stabilizer of G of the point  $1H \in A$  us the subgroup H
- 3. the kernel of the action (i.e., the kernel of  $\pi_H$ ) is  $\cap_{x \in G} x H x^{-1}$ , and  $\ker \pi_H$  is the largest normal subgroup of g contained in H.

Corollary 4. (Cayley's Theorem) Every group is isomorphic to a subgroup of symmetric group. If G is a group of order n, then G is isomorphic to a subgroup of  $S_n$ .

**Corollary 5.** If G is a finite group of order n and p is the smallest prime dividing |G|, then any subgroup of index p is normal (Note that a group of order n need not have a subgroup of order p).

# 4.3 Groups Acting on Themselves by Conjugation - The Class Equation

**Note.** In this section we consider a group G acting on itself by conjugation

$$g \cdot a = gag^{-1}$$
 for all  $g \in G, a \in G$ 

**Definition.** Two elements a and a of G are said to be *conjugate* if G if there is some  $g \in G$  such that  $b = gag^{-1}$  (i.e., if and only if they are in some orbit of G acting on itself by conjugation). The orbits of G acting on itself by conjugation are called *conjugacy classes* of G.

**Definition.** Two subsets S and T of G are said to be *conjugate in* G if there is some  $g \in G$  such that  $T = gSg^{-1}$  (i.e., if and only if they are in the same orbit of G acting on its subsets by conjugation).

**Proposition 6.** The number of conjugates of a subset S in a group G is the index of the normalizer of S,  $|G:N_G(S)|$ . In particular, the number of conjugates of an element s of G is the index of the centralizer of s,  $|G:C_q(s)|$ .

**Theorem 7.** (The Class Equation) Let G be a finite group and let  $g_1, g_2, \ldots, g_r$  be representatives of the distinct conjugacy classes of G not contained in the center Z(G) of G. Then

$$|G| = |Z(G)| + \sum_{i=1}^{r} |G : C_G(g_i)|.$$

**Theorem 8.** If p is a prime and P is a group of prime order  $p^{\alpha}$  for some  $\alpha \geq 1$ , then P has a nontrivial center:  $Z(P) \neq 1$ .

**Proposition 9.** Let  $\sigma, \tau$  be elements of the symmetric group  $S_n$  and suppose  $\sigma$  has cycle decomposition

$$(a_1a_2\ldots a_{k_1})(b_1b_2\ldots b_{k_2})\ldots$$

Then  $\tau \sigma \tau^{-1}$  has cycle decomposition

$$(\tau(a_1)\tau(a_2)\ldots\tau(a_{k_1}))(\tau(b_1)\tau(b_2)\ldots\tau(b_{k_2}))\ldots,$$

that is  $\tau \sigma \tau^{-1}$  is obtained from  $\sigma$  by replacing each i in the cycle decomposition for  $\sigma$  by the entry  $\tau(i)$ .

#### Definition.

- 1. If  $\sigma \in S_n$  is the product of disjoint cycles of length  $n_1, n_2, \ldots, n_r$  with  $n_1 \leq n_2 \leq \ldots \leq n_r$  (including its 1-cycles) then the integers  $n_1, n_2, \ldots, n_r$  are called the *cycle* type of  $\sigma$ .
- 2. If  $n \in \mathbb{Z}^+$ , a partition of n is any nondecreasing sequence of positive integers whose sum is n.

**Proposition 10.** Two elements of  $S_n$  are conjugate in  $S_n$  if and only if they have the same cycle type. The number of conjugacy classes of  $S_n$  equals the number of partitions of n.

**Theorem 11.**  $A_5$  is a simple group.

## 4.4 Automorphisms

**Definition.** Let G be a group. An isomorphism from G onto itself is called an *automorphism* of G. The set of all automorphisms of G is denoted Aut(G).

**Note.** Aut(G) is a group under composition.

**Proposition 12.** Let H be a normal subgroup of the group G. Then G acts by conjugation on H as automorphisms of H. More specifically, the action of G on H by conjugation is defined for each  $g \in G$  by

$$h \mapsto ghg^{-1}$$
 for each  $h \in H$ .

For each  $g \in G$ , conjugation by g is an automorphism of H. The permutation representation afforded by this action is a homomorphism of G into Aut(H) with kernel  $C_G(H)$ . In particular,  $G/C_G(H)$  is isomorphic to a subgroup of Aut(H).

Corollary 13. If K is any subgroup of the group G and  $g \in G$ , then  $K \cong gKg^{-1}$ . Conjugate elements and conjugate subgroups have the same order.

Corollary 14. For any subgroup H of a group G, the quotient group  $N_G(H)/C_G(H)$  is isomorphic to a subgroup of Aut(H). In particular, G/Z(G) is isomorphic to a subgroup of Aut(G).

**Definition.** Let G be a group and let  $g \in G$ . Conjugation by g is called an *inner automorphism* of G and the subgroup of Aut(G) consisting of all inner automorphisms is denoted Inn(G).

**Definition.** A subgroup H of a group G is called *characteristic* in G, denoted H char G, if every automorphism of G maps H to itself, i.e.,  $\sigma(H) = H$  for all  $\sigma \in \text{Aut}(G)$ .

## Note.

- 1. Characteristic subgroups are normal,
- 2. if H is the unique subgroup of a given order, then H is characteristic in G, and
- 3. if K char H and  $H \subseteq G$ , then  $K \subseteq G$ .

**Proposition 15.** The automorphism group of the cyclic group of order n is isomorphic to  $(\mathbb{Z}/n\mathbb{Z})^{\times}$ , an abelian group of order  $\varphi(n)$  (where  $\varphi$  is Euler's function).

### Proposition 16.

- 1. If p is an odd prime and  $n \in \mathbb{Z}^+$ , then the automorphism group of the cyclic group of order p is cyclic of order p-1. More generally, the automorphism group of the cyclic grup of order  $p^n$  is cyclic of order  $p^{n-1}(p-1)$ .
- 2. For all  $n \geq 3$  the automorphism group of the cyclic group of order  $2^n$  is isomorphic to  $Z_2 \times Z_{2^{n-2}}$ , and in particular is not cyclic but has a cyclic subgroup of index 2.
- 3. Let p be a prime and let V be an abelian group (written additively)with the property that pv = 0 for all  $v \in V$ . If  $|V| = p^n$ , then V is an n-dimensional vector space over the field  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ . The automorphisms of V are precisely the nonsingular linear transformations from V to itself, that is

$$\operatorname{Aut}(V) \cong \operatorname{GL}(V) \cong \operatorname{GL}_n(\mathbb{F}_p).$$

In particular, the order of Aut(V) is given in section 1.4.

- 4. For all  $n \neq 6$  we have  $\operatorname{Aut}(S_n) = \operatorname{Inn}(S_n) \cong S_n$ . For n = 6 we have  $|\operatorname{Aut}(S_6) : \operatorname{Inn}(S_6)| = 2$ .
- 5.  $\operatorname{Aut}(D_8) \cong D_8$  and  $\operatorname{Aut}(Q_8) \cong S_4$ .

# 4.5 Sylow's Theorem

**Definition.** Let G be a group and let p be a prime.

- 1. A group of order  $p^{\alpha}$  for some  $\alpha \geq 0$  is called a *p-group*. Subgroups of G which are p-groups are called p-subgroups.
- 2. If G is a group of order  $p^{\alpha}m$ , where  $p \nmid m$ , then a subgroup of order  $p^{\alpha}$  is called a Sylow p-subgroup of G.

3. The set of Sylow p-subgroups of G will be denoted  $Syl_p(G)$  and the number of Sylow p-subgroups of G will be denoted by  $n_p(G)$  (or just  $n_p$  when G is clear from context).

**Theorem 17.** (Sylow's Theorem) Let G be a group of order  $p^{\alpha}m$ , where p is a prime not dividing m.

- 1. Sylow p-subgroups of G exist, i.e.,  $Syl_p(G) \neq \emptyset$ .
- 2. If P is a sylow p-subgroup of G and Q is any p-subgroup of G, then there exists  $g \in G$  such that  $Q \leq gPg^{-1}$ , i.e., Q is contained in some conjugate of P. In particular, any two Sylow p-subgroups of G are conjugate in G.
- 3. The number of Sylow p-subgroups of G is of the form 1 + kp, i.e.,

$$n_p = 1 \pmod{p}$$
.

Further,  $n_p$  is the indec in G of the normalizer of  $N_G(P)$  for any Sylow p-subgroup P, hence  $n_p$  divides m.

**Lemma 18.** Let  $P \in Sly_p(G)$ . If Q is any p-subgroup of G, then  $Q \cap N_G(P) = Q \cap P$ .

Corollary 19. Let P be a Sylow p-subgroup of G. Then the following are equivalent:

- 1. P is the unique Sylow p-subgroup of G, i.e.,  $n_p = 1$
- 2. P is normal in G
- 3. P is characteristic in G
- 4. All subgroups generated by elements of p-power order are p-groups, i.e., if X is any subset of G such that |x| is a power of p for all  $x \in X$ , then  $\langle X \rangle$  is a p-group.

**Proposition 20.** If |G| = 60 and G has more than one Sylow 5-subgroups, then G is simple.

Corollary 21.  $A_5$  is simple

**Proposition 22.** If G is a simple group of order 60, then  $G \cong A_5$ .

# 4.6 The Simplicity of $A_n$

**Theorem 23.**  $A_n$  is simple for all  $n \geq 5$ .

# 5 Direct and Semidirect Products and Abelian Groups

## 5.1 Direct Products

Definition.

1. The direct product  $G_1 \times G_2 \times \cdots \times G_n$  of the groups  $G_1, G_2, \ldots, G_n$  with operations  $\star_1, \star_2, \ldots, \star_n$ , respectively, is the set of *n*-tuples  $(g_1, g_2, \ldots, g_n)$  where  $g_i \in G_i$  with the operation defined componentwise:

$$(g_1, g_2, \dots, g_n) \star (h_1, h_2, \dots, h_n) = (g_1 \star_1 h_1, g_2 \star_2 h_2 \dots g_n \star_n h_n).$$

2. Similarly, the direct product  $G_1 \times G_2 \times \cdots$  of the groups  $G_1, G_2, \ldots$  with operations  $\star_1, \star_2, \ldots$ , respectively, is the set of sequences  $(g_1, g_2, \ldots)$  where  $g_i \in G_i$  with the operation defined componentwise:

$$(g_1, g_2, \ldots) \star (h_1, h_2, \ldots) = (g_1 \star_1 h_1, g_2 \star_2 h_2, \ldots).$$

**Proposition 1.** If  $G_1, \ldots, G_n$  are groups, their direct product is a group of order  $|G_1||G_2|\cdots|G_n|$  (if any  $G_i$  is infinite, so is the direct product).

**Proposition 2.** Let  $G_1, G_2, \ldots, G_n$  be group and let  $G = G_1 \times G_2 \times \cdots \times G_n$  be their direct product.

1. For each fixed i the set of elements of G which have the identity of  $G_j$  in the j<sup>th</sup> position for all  $j \neq i$  and arbitrary elements of  $G_i$  in position i is a subgroup of G isomorphic  $G_i$ :

$$G_i \cong \{(1, 1, \dots, 1, g_i, 1, \dots, 1) \mid g_i \in G_i\},\$$

(here  $g_i$  appears in the  $i^{\text{th}}$  position). If we identity  $G_i$  with this subgroup, then  $G_i \leq G$  and

$$G/G_i \cong G_1 \times \cdots \times G_{i-1} \times G_{i+1} \times \cdots \times G_n$$
.

2. For each fixed i define  $\pi_i : G \to G_i$  by

$$\pi_i((g_1, g_2, \dots, g_n)) = g_i.$$

Then  $\pi_i$  is a surjective homomorphism with

$$\ker \pi_i = \{ (g_1, g_2, \dots, g_{i-1}, 1, g_{i+1}) \mid g_j \in G_j \text{ for all } j \neq i \}$$
  

$$\cong G_1 \times \dots \times G_{i-1} \times G_{i+1} \times \dots \times G_n$$

(here 1 appears in position i).

3. Under the identifications in part 1, if  $x \in G_i$  and  $y \in G_j$  for some  $i \neq j$ , then xy = yx.

# 5.2 The Fundamental Theorem of Finitely Generated Abelian Groups

## Definition.

- 1. A group G is finitely generated if there is some finite subset A of G such that  $G = \langle A \rangle$ .
- 2. For each  $r \in \mathbb{Z}$  with  $r \geq 0$  let  $\mathbb{Z}^r = \mathbb{Z} \times \mathbb{Z} \times \cdots \times \mathbb{Z}$  be the direct product of r copies of the group  $\mathbb{Z}$ , where  $\mathbb{Z}^0 = 1$ . The group  $\mathbb{Z}^r$  is called the *free abelian group* of order r.

**Theorem 3.** (The Fundamental Theorem of Finitely Generated Abelian Groups) Let G be a finitely generated abelian group. Then

1.

$$G \cong \mathbb{Z}^r \times Z_{n_1} \times Z_{n_2} \times \cdots \times Z_{n_s}$$

for some  $r, n_1, n_2, \ldots, n_s$  satisfying the following conditions:

- (a)  $r \ge 0$  and  $n_j \ge 2$  for all j, and
- (b)  $n_{i+1} \mid n_i \text{ for all } 1 \le i \le s-1$
- 2. the expression in 1. is unique: if  $G \cong \mathbb{Z}^t \times Z_{m_1} \times Z_{m_2} \times \cdots \times Z_{m_u}$ , where t and  $m_1, m_2, \ldots, m_u$  satisfy (a) and (b), then t = r and  $m_i = n_i$  for all i.

**Definition.** The integer r in Theorem 3 is called the *free rank* or *Betti number* of G and the integers  $n_1, n_2, \ldots, n_s$  are called the *invariant factors* of G. The description of G in Theorem 3(1) is called the *invariant factor decomposition* of G.

**Note.** There is a bijection between the set of isomorphism classes of finite abelian groups of order n and the set of integer sequences  $n_1, n_2, \ldots, n_s$  such that

- 1.  $n_j \geq 2$  for all  $j \in \{1, 2, \dots, s\}$ ,
- 2.  $n_{i+1} \mid n_i, 1 \le i \le s-1$ , and
- $3. \ n_1 n_2 \cdots n_s = n.$

Also notice that every prime divisor of n must be a divisor of  $n_1$  due to (2).

Corollary 4. If n is the product of distinct primes, then up to isomorphism the only abelian group of order n is the cyclic group of order n,  $Z_n$ .

**Theorem 5.** Let G be an abelian group of order n > 1 and let the unique factorization of n into distinct prime powers be

$$n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_k^{\alpha_k}.$$

Then

- 1.  $G \cong A_1 \times A_2 \times \cdots \times A_k$ , where  $|A_i| = p_i^{\alpha_i}$
- 2. for each  $A \in \{A_1, A_2, \dots, A_k\}$  with  $|A| = p^{\alpha}$ ,

$$A \cong Z_{p^{\beta_1}} \times Z_{p^{\beta_2}} \times \dots \times Z_{p^{\beta_t}}$$

with  $\beta_1 \geq \beta_2 \geq \ldots \geq \beta_t \geq 1$  and  $\beta_1 + \beta_2 + \ldots + \beta_t = \alpha$  (where t and  $\beta_1, \beta_2, \ldots, \beta_t$  depend on i)

3. the decomposition in 1. and 2. is unique, i.e., if  $G \cong B_1 \times B_2 \times \cdots \times B_m$ , with  $|B_i| = p_i^{\alpha_i}$  for all i, then  $B_i \cong A_i$  and  $B_i$  and  $A_i$  have the same invariant factors.

**Definition.** The integers  $p^{\beta_j}$  described in the proceeding theorem are called the *elementary divisors* of G. The description of G in Theorem 5(1) and 5(2) is called the *elementary divisor decomposition* of G.

**Note.** For a group of order  $p^{\beta}$  the invariant factors will be  $p^{\beta_1}, p^{\beta_2}, \dots, p^{\beta_t}$  such that

1.  $\beta_j \ge 1$  for all  $j \in \{1, 2, ..., t\}$ ,

2.  $\beta_i \geq \beta_{i+1}$  for all i, and

3. 
$$\beta_1 + \beta_2 + \ldots + \beta_t = \beta$$

**Proposition 6.** Let  $m, n \in \mathbb{Z}^+$ .

1.  $Z_m \times Z_n \cong Z_{mn}$  if and only if (m, n) = 1.

2. If 
$$n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_k^{\alpha_k}$$
 then  $Z_n \cong Z_{p_1^{\alpha_1}} \times Z_{p_2^{\alpha_2}} \times \cdots \times Z_{p_k^{\alpha_k}}$ .

# 5.3 Table of Groups of Small Order

Order	No. of Isomorphism Types	Abelian Groups	Non-abelian Groups
1	1	$Z_1$	none
2	1	$Z_2$	none
3	1	$Z_3$	none
4	2	$Z_4, Z_2 \times Z_2$	none
5	1	$Z_5$	none
6	2	$Z_6$	$S_3$
7	1	$Z_7$	none
8	5	$Z_8, Z_4 \times Z_2, Z_2 \times Z_2 \times Z_2$	$D_8, Q_8$
9	2	$Z_9, Z_3 \times Z_3$	none
10	2	$Z_{10}$	$D_{10}$
11	1	$Z_{11}$	none
12	5	$Z_{12}, Z_6 \times Z_2$	$A_4, D_{12}, Z_3 \rtimes Z_4$
13	1	$Z_{13}$	none
14	2	$Z_{14}$	$D_{14}$
15	1	$Z_{15}$	none
16	14	$Z_{16}, Z_8 \times Z_2, Z_4 \times Z_4,$ $Z_4 \times Z_2 \times Z_2,$ $Z_2 \times Z_2 \times Z_2 \times Z_2$	not listed
17	1	$Z_{17}$	none
18	5	$Z_{18}, Z_6 \times Z_3$	$D_{18}, S_3 \times Z_3,  (Z_3 \times Z_3) \rtimes Z_2$
19	1	$Z_{19}$	none
20	5	$Z_{20}, Z_{10} \times Z_2$	$D_{20}, Z_5 \rtimes Z_4, F_{20}$

**Note.** The group  $F_{20}$  of order 20 has generators and relations

$$\langle x, y \mid x^4 = y^5 = 1, xyx^{-1} = y^2 \rangle.$$

This group is called the *Frobenius group* of order 20 and can be viewed as the subgroup  $F_{20} = \langle (2354), (12345) \rangle$  of  $S_5$ .

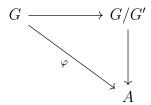
# 5.4 Recognizing Direct Products

**Definition.** Let G be a group, let  $x, y \in G$  and let A, B be nonempty subsets of G.

- 1. Define  $[x, y] = x^{-1}y^{-1}xy$ , called the *commutator* of x and y.
- 2. Define  $[A, B] = \langle [a, b] \mid a \in A, b \in B \rangle$ , the group generated by commutators of elements of A and from B.
- 3. Define  $G' = \langle [x,y] \mid x,y \in G \rangle$ , the subgroup of G generated by commutators of elements from G, called the *commutator subgroup* of G.

**Proposition 7.** Let G be a group, let  $x, y \in G$  and let  $H \leq G$ . Then

- 1. xy = yx[x, y] (in particular, xy = yx if and only if [x, y] = 1).
- 2.  $H \triangleleft G$  if and only if  $[H, G] \triangleleft H$ .
- 3.  $\sigma[x,y] = [\sigma(x),\sigma(y)]$  for any automorphism  $\sigma$  of G, G charG and G/G' is abelian
- 4. G/G' is the largest abelian quotient of G in the sense that if  $H \subseteq G$  and G/H is abelian, then  $G' \subseteq H$ . Conversely, if  $G' \subseteq H$ , then  $H \subseteq G$  and G/H is abelian.
- 5. If  $\varphi \colon G \to A$  is any homomorphism of G into an abelian group A, then  $\varphi$  factors through G' i.e.,  $G' \leq \ker \varphi$  and the following diagram commutes:



**Proposition 8.** Let H and K be subgroups of the group G. The number of distinct ways of writing each element of the set HK in the form hk, for some  $h \in H$  and  $k \in K$  is  $|H \cap K|$ . In particular, if  $H \cap K = 1$ , then each element of HK can be written uniquely as the product hk, for some  $h \in H$  and  $k \in K$ .

**Theorem 9.** Suppose G is a group with subgroups H and K such that

- 1. H and K are normal in G, and
- 2.  $H \cap K = 1$ .

Then  $HK \cong H \times K$ .

**Note.** The above conditions are simply the necessary conditions to ensure that the map

$$\varphi \colon HK \to H \times K$$
$$hk \mapsto (h, k)$$

is well defined and an isomorphism.

**Definition.** If G is a group and H and K are normal subgroups of G with  $H \cap K = 1$ , we call HK the *internal direct product* of H and K. We shall (when emphasis is called for) call  $H \times K$  the *external direct product* pf H and K. (The distinction here is purely notational by Theorem 9).

## 5.5 Semidirect Products

**Theorem 10.** Let H and K be groups and let  $\varphi$  be a homomorphism from K into  $\operatorname{Aut}(H)$ . Let  $\cdot$  denote the (left) action of K on H determined by  $\varphi$ . Let G be the set of order pairs (h, k) with  $h \in H$  and  $k \in K$  and define the following multiplication on G:

$$(h_1, k_1)(h_2, k_2) = (h_1k_1 \cdot h_2, k_1k_2).$$

- 1. This multiplication makes G into a group of order |G| = |H||K|.
- 2. The sets  $\{(h,1) \mid h \in H\}$  and  $\{(1,k) \mid k \in K\}$  are subgroups of G and the maps  $h \mapsto (h,1)$  for  $h \in H$  and  $k \mapsto (1,k)$  for  $k \in K$  are isomorphisms of these subgroups with the groups H and K respectively;

$$H \cong \{(h,1) \mid h \in H\}$$
 and  $K \cong \{(1,k) \mid k \in K\}$ .

Identifying H and K with their isomorphic copies in G described in 2. we have

- 3.  $H \triangleleft G$
- 4.  $H \cap K = 1$
- 5. for all  $h \in H$  and  $k \in K$ ,  $khk^{-1} = k \cdot h = \varphi(k)(h)$

**Definition.** Let H and K be groups and let  $\varphi$  be a homomorphism from K into  $\operatorname{Aut}(H)$ . The group described in Theorem 10 is called the *semidirect product* of H and K with respect to  $\varphi$  and will be denoted by  $H \rtimes_{\varphi} K$  (when there is no danger of confusion we shall simply write  $H \rtimes K$ ).

**Proposition 11.** Let H and K be groups and let  $\varphi \colon K \to \operatorname{Aut}(H)$  be a homomorphism. Then the following are equivalent:

- 1. the identity (set) map between  $H \rtimes K$  and  $H \times K$  is a group homomorphism (hence and isomorphism)
- 2.  $\varphi$  is the trivial homomorphism from K into Aut(H)
- 3.  $K \triangleleft H \rtimes k$ .

**Theorem 12.** Suppose G is a group with subgroups H and K such that

- 1.  $H \leq G$ , and
- 2.  $H \cap K = 1$ .

Let  $\varphi \colon K \to \operatorname{Aut}(H)$  be the homomorphism defined by mapping  $k \in K$  to the automorphism of left conjugation by k on H. Then  $HK \cong H \rtimes K$ . In particular, if G = HK with H and K satisfying 1. and 2., then G is the semidirect product of H and K.

**Definition.** Let H be a subgroup of the group G. A subgroup K of G is called a *complement* for H in G if G = HK and  $H \cap K = 1$ .

**Note.** With the above terminology, the criterion for recognizing a semidirect product is simply that there must exist a complement for some proper normal subgroup of G.

# 6 Further Topics in Group Theory

# 6.1 p-Groups, Nilpotent Groups, and Solvable Groups

**Definition.** A maximal subgroup of a group G is a proper subgroup M of G such that there is no subgroups H of G with M < H < G.

**Theorem 1.** Let p be a prime and let P be a group of order  $p^a$ ,  $a \ge 1$ . Then

- 1. The center of P is nontrivial:  $Z(P) \neq 1$ .
- 2. If H is a nontrivial normal subgroup of P then H contains a subgroup of order  $p^b$  that is normal in P for each divisor  $p^b$  of |H|. In particular, P has a normal subgroup of order  $p^b$  for every  $b \in \{0, 1, \ldots, a\}$ .
- 3. If H < P then  $H < N_P(H)$  (i.e., every proper subgroup of P is a proper subgroup of its normalizer in P).
- 4. Every maximal subgroup of P is of index p and is normal in P.

#### Definition.

1. For any (finite or infinite) group G define the following subgroups inductively:

$$Z_0(G) = 1 \qquad Z_1(G) = Z(G)$$

and  $Z_{i+1}(G)$  is the subgroup of G containing  $Z_i(G)$  such that

$$Z_{i+1}(G)/Z_i(G) = Z(G/Z_i(G))$$

(i.e.,  $Z_{i+1}(G)$  is the complete preimage in G of the center of  $G/Z_i(G)$  under the natural projection). The chain of subgroups

$$Z_0(G) < Z_1(G) < Z_2(G) < \dots$$

is called the upper central series of G. (The use of the term "upper" indicates that  $Z_i(G) \leq Z_{i+1}(G)$ .)

2. A group G is called *nilpotent* if  $Z_c(G) = G$  for some  $c \in \mathbb{Z}$ . The smallest c is called the *nilpotence class* of G.

### Note.

- 1. If G is abelian then it is nilpotent since  $G = Z(G) = Z_1(G)$ .
- 2. The following containments are proper

cyclic groups  $\subset$  abelian groups  $\subset$  nilpotent groups  $\subset$  solvable groups  $\subset$  all groups

3. For any finite group there must, by order considerations, be an integer n such that

$$Z_n(G) = Z_{n+1} = Z_{n+2} = \cdots$$
.

4. For infinite groups G it may happen that all  $Z_i(G)$  are proper subgroups of G (so G is not nilpotent) but

$$G = \bigcup_{i=0}^{\infty} Z_i(G).$$

**Proposition 2.** Let p be a prime and let P be a group of order  $p^a$ . Then P is nilpotent of nilpotence class at most a-1 for all  $a \ge 2$  (and class equal to a when a=0 or 1).

**Theorem 3.** Let G be a finite group, let  $p_1, p_2, \ldots, p_s$  be the distinct primes dividing its order and let  $P_i \in Syl_{p_i}(G), 1 \le i \le s$ . Then the following are equivalent:

- 1. G is nilpotent
- 2. if H < G then  $H < N_G(H)$ , i.e., every proper subgroup of G is a proper subgroup of its normalizer in G
- 3.  $P_i \subseteq G$  for  $1 \le i \le s$ , i.e., every Sylow subgroup is normal in G
- 4.  $G \cong P_1 \times P_2 \times \cdots \times P_s$ .

Corollary 4. A finite abelian group is the direct product of its Sylow subgroups.

**Proposition 5.** If G is a finite group such that for all positive integers n dividing its order, G contains at most n elements x satisfying  $x^n = 1$ , then G is cyclic.

**Proposition 6.** (Frattini's Argument) Let G be a finite group, let H be a normal subgroup of G and let P be a Sylow p-subgroup of H. Then  $G = HN_G(P)$  and |G: H| divides  $|N_G(P)|$ .

**Proposition 7.** A finite group is nilpotent if and only if every maximal subgroup is normal.

**Definition.** For any (finite or infinite) group G define the following subgroups inductively:

$$G^0=G, \qquad G^1=[G,G] \quad \text{and} \quad G^{i+1}=[G,G^i].$$

The chain of groups

$$G^0 \ge G^1 \ge G^2 \ge \dots$$

is called the lower central series of G. (The term "lower" indicates that  $G^i \geq G^{i+1}$ .)

**Theorem 8.** A group G is nilpotent if and only if  $G^n = 1$  for some  $n \geq 0$ . More precisely, G is nilpotent of class c if and only if c is the smallest nonnegative integer such that  $G^c = 1$ . If G is nilpotent of class c then

$$G^{c-i} \le Z_i(G)$$
 for all  $i \in \{0, 1, 2, \dots, c\}$ .

Note.

- 1. If G is abelian, we have  $G' = G^1 = 1$
- 2. If G is a finite group there must, by order considerations, be an integer n such that

$$G^n = G^{n+1} = G^{n+2} = \cdots.$$

**Definition.** For any group G define the following sequence of subgroups inductively:

$$G^{(0)} = G$$
,  $G^{(1)} = [G, G]$ , and  $G^{(i+1)} = [G^{(i)}, G^{(i)}]$  for all  $i \ge 1$ .

This series of subgroups is called the *derived* or *commutator series* of G.

**Theorem 9.** A group G is solvable if and only if  $G^{(n)} = 1$  for some  $n \ge 0$ .

**Proposition 10.** Let G and K be groups, let H be a subgroup of G and let  $\varphi \colon G \to K$  be a surjective homomorphism.

- 1.  $H^{(i)} \leq G^{(i)}$  for all  $i \geq 0$ . In particular, if G is solvable, then so is H, i.e., subgroups of solvable groups are solvable (and the solvable length of H is less than or equal to the solvable length of G).
- 2.  $\varphi(G^{(i)}) = K^{(i)}$ . In particular, homomorphic images and quotient groups of solvable groups are solvable (of solvable length less than or equal to that of the domain group).
- 3. If N is normal in G and both N and G/N are solvable then so is G.

**Theorem 11.** Let G be a finite group.

- 1. (Burnside) If  $|G| = p^a q^b$  for some primes p and g, then G is solvable.
- 2. (Philip Hall) If for every prime p dividing |G| we factor the order of G as  $|G| = p^a m$  where (p, m) = 1, and G has a subgroup of order m, then G is solvable (i.e., if for all primes p, G has a subgroup whose index equals the order of a Sylow p-subgroup, then G is solvable such subgroups are called Sylow p-complements).
- 3. (Feit-Thompson) If |G| is odd then G is solvable.
- 4. (Thompson) If for every pair of elements  $x, y \in G$ ,  $\langle x, y \rangle$  is a solvable group, then G is solvable.

# 6.2 Applications in Groups of Medium Order

### Proposition 12.

- 1. If G has no subgroup of index 2 and  $G \leq S_k$ , then  $G \leq A_k$ .
- 2. If  $P \in Syl_p(S_k)$  for some odd prime p, then  $P \in Syl_p(A_k)$  and  $|N_{A_k}(P)| = \frac{1}{2}|N_{S_k}(P)|$ .

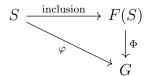
**Lemma 13.** In a finite group G is  $n_p \not\equiv 1 \pmod{p^2}$ , then there are distinct Sylow p-subgroups P and R of G such that  $P \cap R$  is of index p in both P and R (hence is normal in each).

## 6.3 A word on Free Groups

Note. The way that a free group is defined is a bit involved and can be read on page 216

**Theorem 16.** F(S) is a group under the binary operation defined on page 216.

**Theorem 17.** Let G be a group, S a set and  $\varphi \colon S \to G$  a set map. Then there is a unique group homomorphism  $\Phi \colon F(S) \to G$  such that the following diagram commutes:



Corollary 18. F(S) is unique up to a unique isomorphism which is the identity map on the set S.

**Definition.** The group F(S) is called the *free group* on the set S. A group F is a *free group* if there is some set S such that F = F(S) — in this case we call S a set of *free generators* (or a *free basis*) of F. The cardinality of S is called the *rank* of the free group.

**Theorem 19.** (Schreier) Subgroups of a free group are free.

**Definition.** Let S be a subset of a group G such that  $G = \langle S \rangle$ .

- 1. A presentation for G is a pair (S,R), where R is a set of words in F(S) such that the normal closure of  $\langle R \rangle$  in F(S) (the smallest normal subgroup containing  $\langle R \rangle$ ) equals the kernel of the homomorphism  $\pi \colon F(S) \to G$  (where  $\pi$  extends the identity map from S to S). The elements of S are called generators and those of R are called relations of G.
- 2. We say that G is *finitely generated* if there is a presentation (S, R) such that S is a finite set and we say G is *finitely presented* if there is a presentation (S, R) with both S and R finite sets.

## Part II

# Ring Theory

# 7 Introduction to Rings

# 7.1 Basic Definitions and Examples

## Definition.

- 1. A ring R is a set together with two binary operations + and  $\times$  (called addition and multiplication) satisfying the following axioms:
  - (a) (R, +) is an abelian group,
  - (b)  $\times$  is associative:  $(a \times b) \times c = a \times (b \times c)$  for all  $a, b, c \in R$ ,

(c) the distributive laws hold in R: for all  $a, b, c \in R$ ,

$$(a+b) \times c = (a \times c) + (b \times c)$$
 and  $a \times (b+c) = (a \times b) + (a \times c)$ .

- 2. The ring R is *commutative* if multiplication is commutative.
- 3. The ring R is said to have an *identity* (or *contain a* 1) if there is an element  $1 \in R$  with

$$1 \times a = a \times 1 = a$$
 for all  $a \in R$ .

### Note.

- 1. We shall write ab rather than  $a \times b$  for  $a, b \in R$ .
- 2. The additive identity of R will be denoted by 0
- 3. The additive of an element a will be denoted -a.

**Note.**  $R = \{0\}$  is called the *zero ring*, denoted R = 0. R = 0 is the only ring where 1 = 0. We will often exclude this ring by imposing the condition  $1 \neq 0$ .

**Definition.** A ring R with identity  $1 \neq 0$ , is called a *division ring* (or *skew field*) if every nonzero element  $a \in R$  has a multiplicative inverse, i.e., there exists  $b \in R$  such that ab = ba = 1. A commutative division ring is called a *field*.

## **Proposition 1.** Let R be a ring. Then

- 1. 0a = a0 = 0 for all  $a \in R$ .
- 2. (-a)b = a(-b) = -(ab) for all  $a, b \in R$ .
- 3. (-a)(-b) = ab for all  $a, b \in R$ .
- 4. If R has an identity 1, then the identity is unique and -a = -1(a).

### **Definition.** Let R be a ring

- 1. A nonzero element a of R is called a zero divisor if there is a nonzero element b of R such that either ab = 0 or ba = 0.
- 2. Assume R has an identity  $1 \neq 0$ . An element u of R is called a *unit* in R if there is some v in R such that vu = uv = 1. The set of units in R is denoted  $R^{\times}$ .

#### Note.

- 1.  $R^{\times}$  forms a group under multiplication and will be referred to as the *group of units* of R.
- 2. Using the above terminology a field is a commutative ring F with identity  $1 \neq 0$  in which every nonzero element is a unit, i.e.,  $F^{\times} = F \{0\}$ .

**Definition.** A commutative ring with identity  $1 \neq 0$  is called an *integral domain* if it has no zero divisors.

**Proposition 2.** Assume a, b and c are elements of any ring with a not a zero divisor. If ab = ac then either a = 0 or b = c (i.e., if  $a \neq 0$  we can cancel the a's). In particular, if a, b, c are elements in an integral domain and ab = ac, then either a = 0 or b = c.

Corollary 3. Any finite integral domain is a field.

**Definition.** A subring of the ring R is a subgroup of R that is closed under multiplication.

**Note.** To show that a subset of a ring R is a subring it is enough to show that it is nonempty and closed under subtraction and under multiplication.

# 7.2 Examples: Polynomial Rings, Matrix Rings, and Group Rings

**Proposition 4.** Let R be an integral domain and let p(x), q(x) be nonzero elements of R[x]. Then

- 1.  $\operatorname{degree} p(x)q(x) = \operatorname{degree} p(x) + \operatorname{degree} q(x),$
- 2. The units of R[x] are just the units of R,
- 3. R[x] is an integral domain.

# 7.3 Ring Homomorphisms and Quotient Rings

**Definition.** Let R and S be rings.

- 1. A ring homomorphism is a map  $\varphi \colon R \to S$  satisfying
  - (a)  $\varphi(a+b) = \varphi(a) + \varphi(b)$  for all  $a, b \in R$  (so  $\varphi$  is a group homomorphism on the additive groups) and
  - (b)  $\varphi(ab) = \varphi(a)\varphi(b)$  for all  $a, b \in R$ .
- 2. The kernel of the ring homomorphism  $\varphi$ , denoted  $\ker \varphi$ , is the set of elements of R that map to 0 in S. (i.e., the kernel of  $\varphi$  viewed as a homomorphism of additive groups).
- 3. A bijective ring homomorphism is called an *isomorphism*.

**Proposition 5.** Let R and S be rings and let  $\varphi \colon R \to S$  be a homomorphism.

- 1. The image of  $\varphi$  is a subring of S.
- 2. The kernel of  $\varphi$  is a subring of R. Furthermore, if  $\alpha \in \ker \varphi$  then  $r\alpha$  and  $\alpha r \in \ker \varphi$  for every  $r \in R$ , i.e.,  $\ker \varphi$  is closed under multiplication by elements from R.

**Definition.** Let R be a ring, let I be a subset of R and let  $r \in R$ .

- 1.  $rI = \{ra \mid a \in I\}$  and  $Ir = \{ar \mid a \in I\}$ .
- 2. A subset I of R is a left Ideal of R if
  - (a) I is a subring of R, and

- (b) I is closed under left multiplication by elements of R, i.e.,  $rI \subseteq I$  for all  $r \in R$ . Similarly I is a *right ideal* if (a) holds and in place of (b) one has
- (b)' I is closed under right multiplication by elements from R, i.e.,  $Ir \subseteq I$  for all  $r \in R$ .
- 3. A subset I that is both a left ideal and a right ideal is called an *ideal* (or, for added emphasis, a *two-sided ideal*) of R.

**Proposition 6.** Let R be a ring and let I be an ideal of R. Then the (additive) quotient group R/I is a ring under the binary operations:

$$(r+I) + (s+I) = (r+s) + I$$
 and  $(r+I) \times (s+I) = (rs) + I$ 

for all  $r, s \in R$ . Conversely, if I is any subgroup such that the above operations are well defined, then I is an ideal of R.

**Definition.** When I is an ideal of R the ring R/I with the operations in the previous proposition us called the *quotient ring* of R by I.

- **Theorem 7.** 1. (The First Isomorphism Theorem for Rings) If  $\varphi \colon R \to S$  is a homomorphism of rings, then the kernel of  $\varphi$  is an ideal of R, the image of  $\varphi$  is a subring of S and  $R/\ker \varphi$  is isomorphic as a ring to  $\varphi(R)$ .
  - 2. If I is any ideal of R, then the map

$$R \to R/I$$
 defined by  $r \mapsto r + I$ 

is a surjective ring homomorphism with kernel I (this homomorphism is called the *natural projection* of R onto R/I). Thus every ideal is the kernel of a ring homomorphism and vice versa.

**Theorem 8.** Let R be a ring.

- 1. (The Second Isomorphism Theorem for Rings) Let A be a subring and let B be an ideal of R. Then  $A+B=\{a+b\mid a\in A,b\in B\}$  is a subring of R,  $A\cap B$  is an ideal of A and  $(A+B)/B\cong A/(A\cap B)$ .
- 2. (The Third Isomorphism Theorem for Rings) Let I and J be ideals of R with  $I \subseteq J$ . Then J/I is an ideal of R/I and  $(R/I)/(J/I) \cong R/J$ .
- 3. (The Fourth or Lattice Isomorphism Theorem for Rings) Let I be an ideal of R. The correspondence  $A \leftrightarrow A/I$  is an inclusion preserving bijective between the set of subrings A of R that contain I and the set of subrings of R/I. Furthermore, A (a subring containing I) is an ideal of R if and only if A/I is an ideal of R/I.

**Definition.** Let I and J be ideals of R.

- 1. Define the sum of I and J by  $I + J = \{a + b \mid a \in I, b \in J\}$ .
- 2. Define the *product* of I and J, denoted by IJ, to be the set of all finite sums of elements of the form ab with  $a \in I$  and  $b \in J$ .
- 3. For any  $n \geq 1$ , define the  $n^{th}$  power of I, denoted  $I^n$ , to be the set consisting of all finite sums of elements of the form  $a_1a_2\cdots a_n$  with  $a_i\in I$  for all i. Equivalently,  $I^n$  is defined inductively by defining  $I^1=I$  and  $I^n=II^{n-1}$  for  $n=2,3,\ldots$

# 7.4 Properties of Ideals

Throughout this section R is a ring with identity  $1 \neq 0$ .

**Definition.** Let A be any subset of the ring R.

- 1. Let (A) denote the smallest ideal of R containing A, called the ideal generated by A.
- 2. Let RA denote the set of all finite sums of elements of the form ra with  $r \in R$  and  $a \in A$  i.e.,  $RA = \{r_1a_2 + r_2a_2 + \ldots + r_na_n \mid r_i \in R, a_i \in A, n \in \mathbb{Z}^+\}$  (where the convention is RA = 0 if  $A = \emptyset$ ).

Similarly,  $AR = \{a_1r_2 + a_2r_2 + \ldots + a_nr_n \mid r_i \in R, a_i \in A, n \in \mathbb{Z}^+\}$  and  $RAR = \{r_1a_2r'_1 + r_2a_2r'_2 + \ldots + r_na_nr'_n \mid r_i, r'_i \in R, a_i \in A, n \in \mathbb{Z}^+\}$ 

- 3. An ideal generated by a single element is called a principal ideal.
- 4. An ideal generated by a finite set is called a *finitely generated ideal*.

**Note.** When  $A = \{a\}$  or  $\{a_1, a_2, \ldots\}$ , etc. we shall simply write (a) or  $(a_1, a_2, \ldots)$  for (A), respectively.

### Note.

1. Analogous to subgroups generated by subsets of a group (section 2.4), we have

$$(A) = \bigcap_{\substack{I \text{ an ideal} \\ A \subset I}} I$$

- 2. RAR is the ideal generated by A.
- 3. If R is commutative then RA = AR = RAR = (A).

**Proposition 9.** Let I be an ideal of R.

- 1. I = R if and only if I contains a unit.
- 2. Assume R is commutative. Then R is a field if and only if its only ideals are 0 and R.

Corollary 10. If R is a field then any nonzero ring homomorphism from R into another ring is an injection.

**Definition.** An ideal M is an arbitrary ring S is called a maximal ideal if  $M \neq S$  and the only ideals containing M are M and S, i.e., there is no ideal I such that  $M \subsetneq I \subsetneq S$ .

**Proposition 11.** In a ring with identity every proper ideal is contained in a maximal ideal.

**Proposition 12.** Assume R is commutative. The ideal M is maximal if and only if the quotient ring R/M is a field.

**Definition.** Assume R is commutative. An ideal P is called a *prime ideal* if  $P \neq R$  and whenever the product ab of two elements  $a, b \in R$  is an element of P, then at least one of a and b is an element of P.

**Proposition 13.** Assume R is commutative. Then the ideal P is a prime ideal in R if and only if the quotient ring R/P is an integral domain.

Corollary 14. Assume R is commutative. Every maximal ideal of R is a prime ideal.

## 7.5 Rings of Fractions

**Theorem 15.** Let R be a commutative ring. Let D be any nonempty subset of R that does not contain 0, does not contain any zero divisors, and is closed under multiplication (i.e.,  $ab \in D$  for all  $a, b \in D$ ). Then there is a commutative ring Q with 1 such that Q contains R as a subring and every element of D is a unit in Q. The ring Q has the following additional properties.

- 1. Every element of Q is of the form  $rd^{-1}$  for some  $r \in R$  and  $d \in D$ . In particular, if  $D = R \{0\}$  then Q is a field.
- 2. (uniqueness of Q) The ring Q is the "smallest" ring containing R in which all elements of D becomes units, in the following sense. Let S be any commutative ring with identity and let  $\varphi \colon R \to S$  be any injective ring homomorphism such that  $\varphi(d)$  is a unit in S for every  $d \in D$ . Then there is an injective homomorphism  $\Phi \colon Q \to S$  such that  $\Phi|_R = \varphi$ . In other words, any ring containing an isomorphic copy of R in which all elements of D become units must also contain an isomorphic copy of Q.

**Definition.** Let R, D and Q be as in Theorem 15.

- 1. The ring Q is called the *ring of Fractions* of D with respect to R and is denoted  $D^{-1}R$ .
- 2. If R is an integral domain and  $D = R \{0\}$ , Q is called the *field of fractions* or quotient field of R.

**Note.** If A is a subset of a field F, then the intersection of all the subfields of F containing A is a subfield of F and is called the *subfield generated by* A.

Corollary 16. Let R be an integral domain and let Q be the field of fractions of R. If a field F contains a subring R' isomorphic to R then the subfield of F generated by R' is isomorphic to Q.

## 7.6 The Chinese Remainder Theorem

Assume unless otherwise stated that all rings are commutative with identity  $1 \neq 0$ .

**Definition.** The ideals A and B of the ring R are said to be *comaximal* if A + B = R.

**Theorem 17.** (Chinese Remainder Theorem) Let  $A_1, A_2, \ldots, A_k$  be ideals in R. The map

$$R \to R/A_1 \times R/A_2 \times \cdots \times R/A_k$$
 defined by  $r \mapsto (r + A_1, r + A_2, \dots, r + A_k)$ 

is a ring homomorphism with kernel  $A_1 \cap A_2 \cap ... \cap A_k$ . If for each map  $i, j \in \{1, 2, ..., K\}$  with  $i \neq j$  the ideals  $A_i$  and  $A_j$  are comaximal, then this map is surjective and  $A_1 \cap A_2 \cap ... \cap A_k = A_1 A_2 \cdots A_k$ , so

$$R/(A_1A_2\cdots A_k)=R/(A_1\cap A_2\cap\ldots\cap A_k)\cong R/A_1\times R/A_2\times\cdots\times R/A_k$$

Corollary 18. Let n be a positive integer and let  $p_1^{\alpha_1}p_2^{\alpha_2}\cdots p_k^{\alpha_k}$  be its factorization into powers of distinct primes. Then

$$\mathbb{Z}/n\mathbb{Z} \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z}) \times (\mathbb{Z}/p_2^{\alpha_2}\mathbb{Z}) \times \cdots \times (\mathbb{Z}/p_k^{\alpha_k}\mathbb{Z}),$$

as rings, so in particular we have the following isomorphism of multiplicative groups:

$$(\mathbb{Z}/n\mathbb{Z})^{\times} \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z})^{\times} \times (\mathbb{Z}/p_2^{\alpha_2}\mathbb{Z})^{\times} \times \cdots \times (\mathbb{Z}/p_k^{\alpha_k}\mathbb{Z})^{\times}.$$

# 8 Euclidean Domains, Principal Ideal Domains, and Unique Factorization Domains

All rings in this chapter are commutative

## 8.1 Euclidean Domains

**Definition.** Any function  $N: R \to \mathbb{Z}^+ \cup \{0\}$  with N(0) = 0 is called a *norm* on the integral domain R. If N(a) > 0 for  $a \neq 0$  define N to be a *positive norm*.

**Definition.** The integral domain R is said to be a *Euclidean Domain* (or possess a *Division Algorithm*) if there is a norm N on R such that for any two elements a and b of R with  $b \neq 0$  there exist elements q and r in R with

$$a = qb + r$$
 with  $r = 0$  or  $N(r) < N(b)$ .

The element q is called the *quotient* and the element r the *remainder* of the division.

**Proposition 1.** Every ideal in a Euclidean Domain is principal. More precisely, if I is any nonzero ideal in the Euclidean Domain R then I = (d), where d is any nonzero element of I of minimal norm.

**Definition.** Let R be a commutative ring and let  $a, b \in R$  with  $b \neq 0$ .

- 1. a is said to be a *multiple* of b if there exists an element  $x \in R$  with a = bx. In this case b is said to *divide* a or be a divisor of a, written b|a.
- 2. A greatest common divisor of a and b is a nonzero element d such that
  - (a) d|a and d|b, and
  - (b) if d'|a and d'|b then d'|d.

A greatest common divisor of a and b will be denoted by g.c.d(a, b), or (abusing the notation) simply (a, b)

## Note.

- 1. b|a in R if and only if  $a \in (b)$  if and only if  $(a) \subseteq (b)$ .
- 2. The above definition of greatest common divisor can be restated in terms of ideals as such. If I is the ideal of R generated by a and b, then d is a greatest common divisor of a and b if
  - (a) I is contained in the principal ideal (d), and
  - (b) if (d') is any principal ideal containing I then  $(d) \subseteq (d')$ .

**Proposition 2.** If a and b are nonzero elements in the commutative ring R such that the ideal generated by a and b is a principal ideal (d), then d is a greatest common divisor of a and b.

**Proposition 3.** Let R be an integral domain. If two elements d and d' of R generate the same principal ideal, i.e., (d) = (d'), then d' = ud for some unit u in R. In particular, if d and d' are both greatest common divisors of a and b, then d' = ud for some unit u.

**Theorem 4.** Let R be a Euclidean Domain and let a and b be nonzero elements of R. Let  $d = r_n$  be the last nonzero remainder in the Euclidean Algorithm for a and b. Then

- 1. d is a greatest common divisor of a and b, and
- 2. the principal ideal (d) is the ideal generated by a and b. In particular, d can be written as an R-linear combination of a and b, i.e., there are elements x and y in R such that

$$d = ax + by$$
.

# 8.2 Principal Ideal Domains (P.I.D.s)

**Definition.** A *Principal Ideal Domain* (P.I.D) is an integral domain in which every ideal is principal.

**Note.** By Proposition 1 every Euclidean Domain is a Principal Ideal Domain. So every result about P.I.D.s automatically holds for Euclidean Domains.

**Proposition 6.** Let R be a Principal Ideal Domain and let a and b be nonzero elements of R. Let d be a generator for the principal ideal generated by a and b. Then

- 1. d is a greatest common divisor of a and b
- 2. d can be written as an R-linear combination of a and b
- 3. d is unique up to multiplication by a unit of R.

**Proposition 7.** Every nonzero prime ideal in a Principal Ideal Domain is a maximal ideal.

Corollary 8. If R is any commutative ring such that the ring R[x] is a Principal Ideal Domain (or Euclidean Domain), then R is necessarily a field.

**Definition.** Define N to be a *Dedekind-Hasse norm* if N is a positive norm and for every nonzero  $a, b \in R$  either a is an element of the ideal (b) or there is a nonzero element of the ideal (a, b) of norm strictly smaller then the norm of b (i.e., either b divides a in R or there exist  $s, t \in R$  with 0 < N(sa - tb) < N(b)).

**Proposition 9.** The integral domain R is a P.I.D if and only if R has a Dedekind-Hasse norm.

# 8.3 Unique Factorization Domains (U.F.D.s)

**Definition.** Let R be an integral domain.

- 1. Suppose  $r \in R$  is nonzero and is not a unit. Then r is called *irreducible* in R if whenever r = ab with  $a, b \in R$ , at least one of a or b must be a unit in R. Otherwise r is said to be reducible.
- 2. The nonzero element  $p \in R$  is called *prime* in R if the ideal (p) generated by p is a prime ideal. In other words, a nonzero p is prime if it is not a unit and whenever p|ab for any  $a, b \in R$ , then either p|a or p|b.

3. Two elements a and b of R differing by a unit are said to be associate in R (i.e., a = ub for some unit u in R).

**Proposition 10.** In an integral domain a prime element is always irreducible.

**Proposition 11.** In a Principal Ideal Domain a nonzero element is a prime if and only if it is irreducible.

**Definition.** A Unique Factorization Domain (U.F.D.) is an integral domain R in which every nonzero element  $r \in R$  which is not a unit has the following two properties:

- 1. r can be written as a finite product of irreducibles  $p_i$  in R (not necessarily distinct):  $r = p_1 p_2 \cdots p_n$  and
- 2. the decomposition in 1. is unique up to associates: namely if  $r = q_1 q_2 \cdots q_m$  is another factorization of r into irreducibles, then m = n and there is some renumbering of factors so that  $p_i$  is associate to  $q_i$  for i = 1, 2, ..., n.

**Proposition 12.** In a Unique Factorization Domain a nonzero element is a prime if and only if it is irreducible.

**Proposition 13.** Let a and b be two nonzero elements of the Unique Factorization Domain R and suppose

$$a = up_1^{e_1}p_2^{e_2}\cdots p_n^{e_n}$$
 and  $b = vp_1^{f_1}p_2^{f_2}\cdots p_n^{f_n}$ 

are prime factorizations for a and b, where u and v are units and the primes  $p_1, p_2, \ldots, p_n$  are distinct and the exponents  $e_i$  and  $f_i$  are  $\geq 0$ . Then the element

$$d = p_1^{\min(e_1, f_1)} p_2^{\min(e_2, f_2)} \cdots p_n^{\min(e_n, f_n)}$$

(where d = 1 if all exponents are 0) is the greatest common divisor of a and b.

**Theorem 14.** Every Principal Ideal Domain is a Unique Factorization Domain. In particular, every Euclidean Domain is a Unique Factorization Domain.

Corollary 15. (Fundamental Theorem of Arithmetic) The integers  $\mathbb{Z}$  are a Unique Factorization Domain.

Corollary 16. Let R be a P.I.D. Then there exists a multiplicative Dedekind-Hasse norm on R.

**Note.** We have the following inclusions among classes of commutative rings with identity:

 $fields \subset Euclidean\ Domains \subset P.I.D.s \subset U.F.D.s \subset integral\ domains$ 

with all containments being proper.

# 9 Polynomial Rings

In this chapter the ring R will always be a commutative ring with identity  $1 \neq 0$ .

# 9.1 Definitions and Basic Properties

**Proposition 1.** Let R be an integral domain. Then

- 1. degree p(x)q(x) = degree p(x) + degree q(x) if p(x), q(x) are nonzero
- 2. the units of R[x] are just the units of R
- 3. R[x] is an integral domain.

**Proposition 2.** Let I be an ideal of the ring R and let (I) = I[x] denote the ideal of R[x] generated by I (the set of polynomials with coefficients in I). Then

$$R[x]/(I) \cong (R/I)[x].$$

In particular, if I is a prime ideal of R then (I) is a prime ideal of R[x]

**Definition.** The polynomial ring in variables  $x_1, x_2, \ldots, x_n$  with coefficients in R, denoted  $R[x_1, x_2, \ldots, x_n]$  is defined inductively by

$$R[x_1, x_2, \dots, x_n] = R[x_1, x_2, \dots, x_{n-1}][x_n].$$

## 9.2 Polynomial Rings over Fields I

**Theorem 3.** Let F be a field. The polynomial ring F[x] is a Euclidean Domain. Specifically, if a(x) and b(x) are two polynomials in F[x] with b(x) nonzero, then there are unique q(x) and r(x) in F[x] such that

$$a(x) = q(x)b(x) + r(x)$$
 with  $r(x) = 0$  or degree  $r(x) < degree b(x)$ .

Corollary 4. If F is a field, then F[x] is a Principal Ideal Domain and a Unique Factorization Domain.

# 9.3 Polynomial Rings that are Unique Factorization Domains

**Proposition 5.** (Gauss' Lemma) Let R be a Unique Factorization Domain with field of fractions F and let  $p(x) \in R[x]$ . If p(x) is reducible in F[x] then p(x) is reducible in R[x]. More precisely, if p(a) = A(x)B(x) for some nonconstant polynomials  $A(x), B(x) \in F[x]$ , then there are nonzero elements  $r, s \in F$  such that rA(x) = a(x) and sB(x) = b(x) both lie in R[x] and p(x) = a(x)b(x) is a factorization in R[x].

**Corollary 6.** Let R be a Unique Factorization Domain, let F be its field of fractions and let  $p(x) \in R[x]$ . Suppose the greatest common divisor of the coefficients of p(x) is 1. Then p(x) is irreducible in R[x] if and only if it is irreducible in F[x]. In particular, if p(x) is a monic polynomial that is irreducible in R[x], then p(x) is irreducible in F[x].

**Theorem 7.** R is a Unique Factorization Domain if and only if R[x] is a Unique Factorization Domain.

Corollary 8. If R is a Unique Factorization Domain, then a polynomial ring in an arbitrary number of variables with coefficients in R is also a Unique Factorization Domain.

## 9.4 Irreducibility Criteria

**Proposition 9.** Let F be a field and let  $p(x) \in F[x]$ . Then p(x) has a factor of degree one if and only if p(x) has a root in F, i.e., there is an  $\alpha \in F$  with  $p(\alpha) = 0$ .

**Proposition 10.** A polynomial of degree two or three over a field F is reducible if and only if it has a root in F.

**Proposition 11.** Let  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \ldots + a_0$  be a polynomial of degree n with integer coefficients. If  $r/s \in \mathbb{Q}$  is in lowest terms (i.e., r and s are relatively prime integers) and r/s is a root of p(x), then r divides the constant term and s divides the leading coefficient of p(x):  $r|a_0$  and  $s|a_n$ . In particular, If p(x) is a monic polynomial with integer coefficients and  $p(d) \neq 0$  for all integers d dividing the constant term of p(x), then p(x) has no roots in  $\mathbb{Q}$ .

**Proposition 12.** Let I be a proper ideal in the integral domain R and let p(x) be a nonconstant monic polynomial in R[x]. If the image of p(x) in (R/I)[x] cannot be factored in (R/I)[x] into two polynomials of smaller degree, then p(x) is irreducible in R[x].

**Proposition 13.** (Eisenstein's Criterion) Let P be a prime ideal of the integral domain R and let  $f(x) = x^n + a_{n-1}x^{n-1} + \ldots + a_0$  be a polynomial in R[x] (here  $n \ge 1$ ). Suppose  $a_{n-1}, \ldots, a_0$  are all elements of P and suppose  $a_0$  is not an element of  $P^2$ . Then f(x) is irreducible in R[x].

**Corollary 14.** (Eisenstein's Criterion for  $\mathbb{Z}[x]$ ) Let p be a prime in  $\mathbb{Z}$  and let  $f(x) = x^n + a_{n-1}x^{n-1} + \ldots + a_0 \in \mathbb{Z}[x], n \geq 1$ . Suppose p divides  $a_i$  for all  $i \in \{0, 1, \ldots, n-1\}$  but that  $p^2$  does not divide  $a_0$ . Then f(x) is irreducible in both  $\mathbb{Z}[z]$  and  $\mathbb{Q}[x]$ .

# 9.5 Polynomial Rings over Fields II

Let F be a field.

**Proposition 15.** The maximal ideal of F[x] are the ideals (f(x)) generated by irreducible polynomials f(x). In particular, F[x]/(f(x)) is a field if and only if f(x) is irreducible.

**Proposition 16.** Let g(x) be a nonconstant monic element of F[x] and let

$$g(x) = f_1(x)^{n_1} f_2(x)^{n_2} \cdots f_k(x)^{n_k}$$

be its factorization into irreducibles, where the  $f_i(x)$  are distinct. Then we have the following isomorphism of rings:

$$F[x]/(g(x)) \cong F[x]/(f_1(x)^{n_1}) \times F[x]/(f_2(x)^{n_2}) \times \cdots \times F[x]/(f_k(x)^{n_k}).$$

**Proposition 17.** If the polynomial f(x) has roots  $\alpha_1, \alpha_2, \dots \alpha_k$  in F (not necessarily distinct), then f(x) has  $(x - \alpha_1) \cdots (x - \alpha_k)$  as a factor. In particular, a polynomial of degree n in one variable over a field F has at most n roots in F, even counted with multiplicity.

**Proposition 18.** A finite subgroup of the multiplicative group of a field is cyclic. In particular, if F is a finite field, then the multiplicative group  $F^{\times}$  of nonzero elements of F is a cyclic group.

Corollary 19. Let p be a prime. The multiplicative group  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  of nonzero residue classes mod p is cyclic.

Corollary 20. Let  $n \geq 2$  be an integer with factorization  $n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_r^{\alpha_r}$  in  $\mathbb{Z}$ , where  $p_1, \ldots, p_r$  are distinct primes. We have the following isomorphisms of (multiplicative) groups

- 1.  $(\mathbb{Z}/n\mathbb{Z})^{\times} \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z})^{\times} \times (\mathbb{Z}/p_2^{\alpha_2}\mathbb{Z})^{\times} \times \cdots \times (\mathbb{Z}/p_r^{\alpha_r}\mathbb{Z})^{\times}$
- 2.  $(\mathbb{Z}/2^{\alpha}\mathbb{Z})^{\times}$  is the direct product of a cyclic group of order 2 and a cyclic group of order  $2^{\alpha-2}$ , for all  $\alpha \geq 2$
- 3.  $(\mathbb{Z}/p^{\alpha}\mathbb{Z})^{\times}$  is a cyclic group of order  $p^{\alpha-1}(p-1)$ , for all odd primes p.