



# A robust inlier identification algorithm for point cloud registration via $\ell_0$ -minimization

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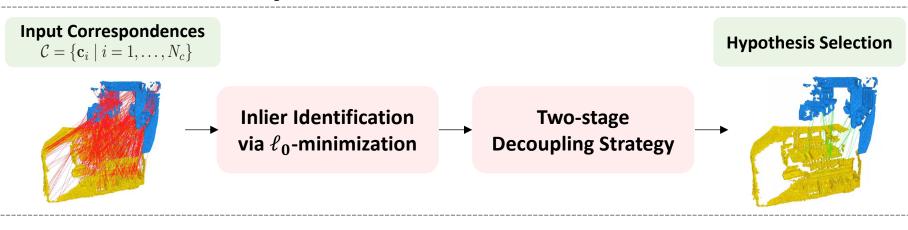
# Motivation and contributions

Background: Point cloud registration is a fundamental task in vision and robotics, e.g., 3D reconstruction and autonomous driving.

Motivation: Correspondences in point cloud registration are prone to outliers, significantly reducing registration accuracy and highlighting the need for precise inlier identification.

#### **Key Contributions:**

- A novel robust inlier identification algorithm is proposed by reformulating the conventional registration as an alignment error  $\ell_0$ -minimization problem.
- A two-stage decoupling strategy is designed to effectively solve the proposed  $\ell_0$ -minimization problem based on the Bayes Theorem.



# **Problem formulation**

Main idea: We reformulat the conventional registration as an alignment error  $\ell_0$ -minimization problem

• The conventional registration problem:

$$\min_{\mathbf{R}, \mathbf{t}} \sum_{(\mathbf{p}_i, \mathbf{q}_i) \in \mathcal{C}} \|\mathbf{q}_i - \mathbf{R}\mathbf{p}_i - \mathbf{t}\|_2^2 , \qquad (1)$$

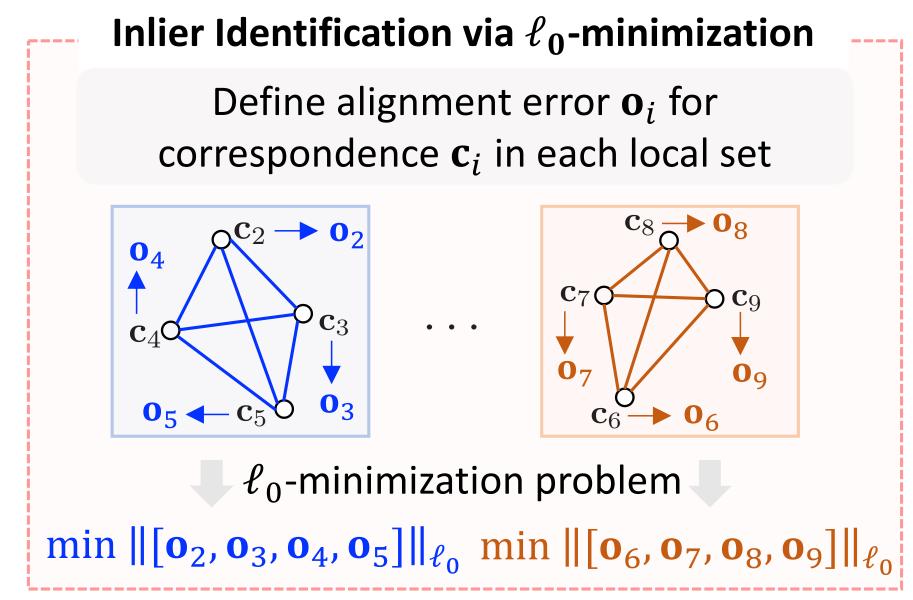
where  $C = \{\mathbf{c}_i \mid i = 1, \dots, N_c\}$  is the initial correspondence set.

• Considering only inliers can be fitted by the same transformation, the optimal transformation is estimated as the one that fits the largest number of inliers. Our optimization objective is to maximize the count of zero vectors in the alignment error via  $\ell_0$  norm:

$$\mathbf{O}^* = \arg\min_{\mathbf{O}} \|\mathbf{O}\|_{\ell_0},$$
  
subject to:  $\mathbf{o}_i = \mathbf{q}_i - \mathbf{R}\mathbf{p}_i - \mathbf{t} - \xi_k,$ 

where  $\mathbf{O} = [\mathbf{o}_1, \mathbf{o}_2, \dots, \mathbf{o}_{N_c}]$  is the alignment error matrix and and  $\xi_k$  is the Gaussian noise.

# Algorithm



#### Inlier Identification via $\ell_0$ -minimization

We identify compatible correspondences to form local sets. For correspondences in the k-th local set, the  $\ell_0$ -minimization problem is defined as:

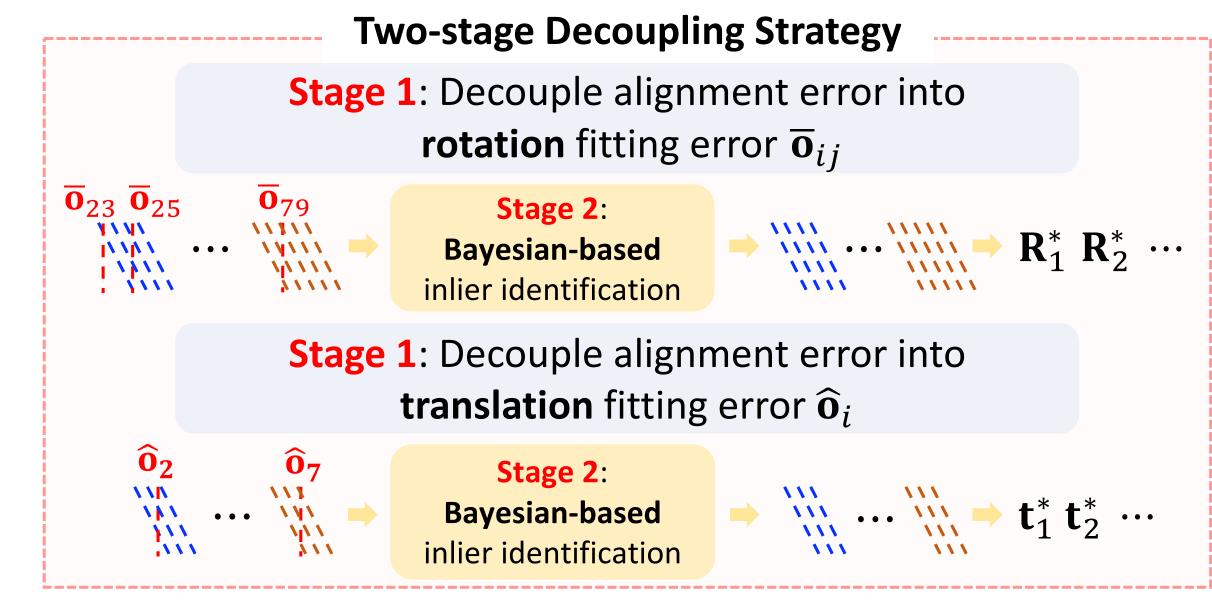
$$\mathbf{O}_k^* = \arg\min_{\mathbf{O}_k} \|\mathbf{O}_k\|_{\ell_0}$$
, subject to:  $\mathbf{O}_k = \mathbf{Q}_k - \mathbf{P}_k \mathbf{R}_k - \mathbf{t}_k \mathbf{1}^T - \mathbf{\Xi}_k$ .

# **Two-stage Decoupling Strategy**

• Stage 1: For any two given points  $\mathbf{p}_{k_i}$  and  $\mathbf{q}_{k_i}$ in the k-th local set, the translation vector  $\mathbf{t}_k$ cancels out in the subtraction:

$$\mathbf{q}_{k_j} - \mathbf{q}_{k_i} = \mathbf{R}_k \left( \mathbf{p}_{k_j} - \mathbf{p}_{k_i} \right) + \left( \mathbf{o}_{k_j} - \mathbf{o}_{k_i} \right) + \left( \boldsymbol{\xi}_{k_j} - \boldsymbol{\xi}_{k_i} \right) \text{ where } \mathbf{X}_k = \boldsymbol{\Theta}_k (\mathbf{Q}_k^T - (\mathbf{P}_k \mathbf{R}_k^*)^T) \text{ and } \boldsymbol{\Pi}_k = \boldsymbol{\Theta}_k \boldsymbol{\Theta}_k^T.$$
(4) Explicit solutions  $\bar{\mathbf{O}}^*$  and  $\hat{\mathbf{O}}^*$  can be directly compute

This leads to two separate  $\ell_0$ -minimization problems for fitting rotation and translation errors.



• Stage 2: We introduce null-space matrices to isolate rotation and translation in the  $\ell_0$ -minimization constraints, where  $\Theta_k \mathbf{P}_k = \mathbf{0}$ and  $\Theta_k 1 = 0$ .

Based on the Bayes Theorem and Maximum A Posteriori (MAP) estimate, the unconstrained optimization problems for rotation and translation fitting are formulated as:

$$\min_{\substack{\bar{\mathbf{O}}_k \\ \tilde{\mathbf{Q}}_k}} \frac{1}{2} \left\| (\tilde{\bar{\mathbf{Q}}}_k - \bar{\boldsymbol{\Theta}}\bar{\mathbf{O}}_k)^T \bar{\boldsymbol{\Pi}}_k^{-1} (\tilde{\bar{\mathbf{Q}}}_k - \bar{\boldsymbol{\Theta}}_k\bar{\mathbf{O}}_k) \right\|_F^2 + \lambda_R \left\| \bar{\mathbf{O}}_k \right\|_{\ell_0}^2 , \qquad (5)$$

where 
$$\bar{\mathbf{Q}}_k = \bar{\mathbf{\Theta}}_k \bar{\mathbf{Q}}_k$$
 and  $\bar{\mathbf{\Theta}}_k \bar{\mathbf{\Theta}}_k^T = \bar{\mathbf{\Pi}}_k$ .
$$\min_{\hat{\mathbf{O}}_k} \frac{1}{2} \left\| (\mathbf{X}_k - \mathbf{\Theta}_k \hat{\mathbf{O}}_k^T)^T \mathbf{\Pi}_k^{-1} (\mathbf{X}_k - \mathbf{\Theta}_k \hat{\mathbf{O}}_k^T) \right\|_F^2 + \lambda_t \left\| \hat{\mathbf{O}}_k \right\|_{\ell_0}^2,$$

$$\mathbf{P} = \mathbf{X}_{I} - \mathbf{\Theta}_{I} (\mathbf{O}_{I}^{T} - (\mathbf{P}_{I} \mathbf{R}^{*})^{T})$$
 and  $\mathbf{\Pi}_{I} - \mathbf{\Theta}_{I} \mathbf{\Theta}_{I}^{T}$ 

Explicit solutions  $\bar{\mathbf{O}}_k^*$  and  $\hat{\mathbf{O}}_k^*$  can be directly computed due to convex relaxation via the Frobenius norm:

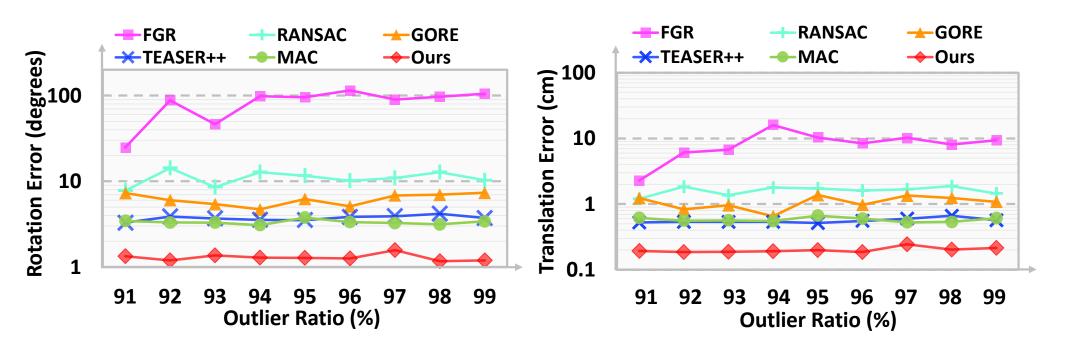
$$\bar{\mathbf{O}}_k^* = (\bar{\mathbf{\Theta}}_k^T \bar{\mathbf{\Pi}}_k^{-1} \bar{\mathbf{\Theta}}_k + 2\lambda_R \mathbf{I})_k^{-1} \bar{\mathbf{\Theta}}_k^T \bar{\mathbf{\Pi}}_k^{-1} \bar{\mathbf{Q}}_k.$$

$$\hat{\mathbf{O}}_k^* = ((2\lambda_t \mathbf{I} + \mathbf{\Theta}_k^T \mathbf{\Pi}_k^{-1} \mathbf{\Theta}_k)^{-1} \mathbf{\Theta}_k^T \mathbf{\Pi}_k^{-1} \mathbf{X}_k)^T.$$
(7)

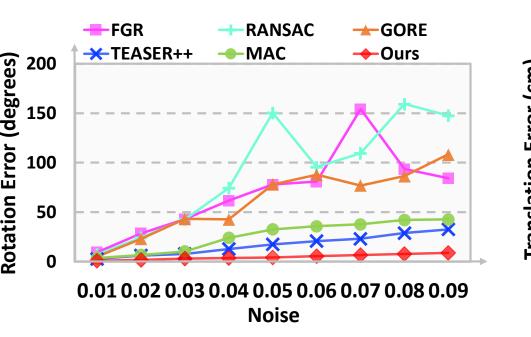
# Robustness to outliers and noise

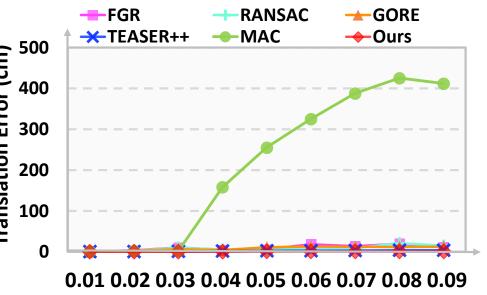
We evaluate the robustness and accuracy of our algorithm using the Bunny point cloud from the Stanford 3D Scan Repository. To evaluate robustness against outliers, we increase the outlier ratio from 91% to 99%. For robustness to noise, we increase the noise standard deviation from  $\sigma = 0.01$  to  $\sigma = 0.09$ .

#### **Robustness to outliers:**



#### Robustness to noise:





# Experiments

### Comparisons on the 3DMatch dataset:

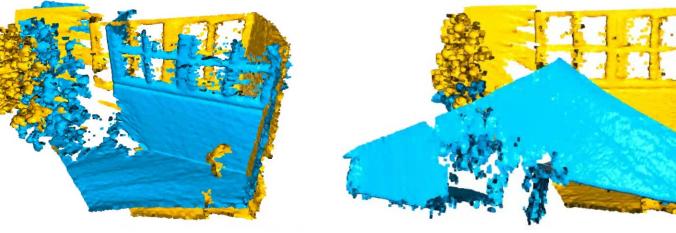
	FPFH			FCGF			3DSmoothNet			Time(a)
	RR(%)↑	$RE(^{\circ})\downarrow$	TE(cm)↓	RR(%)↑	$RE(^{\circ})\downarrow$	TE(cm)↓	RR(%)↑	$RE(^{\circ})\downarrow$	TE(cm)↓	Time(s)
i) Traditional										
FGR	40.91	4.96	10.25	78.93	2.90	8.41	73.26	2.51	7.45	0.89
RANSAC	66.10	3.95	11.03	91.44	2.69	8.38	92.30	2.59	7.91	2.86
TEASER++	75.48	2.48	7.31	85.71	2.73	8.66	92.05	2.23	6.62	0.03
$SC^2$ -PCR	83.90	2.12	6.69	93.16	2.06	6.53	94.82	<u>1.76</u>	5.98	0.12
MAC	83.90	2.11	6.80	$\boldsymbol{93.72}$	<b>2.04</b>	6.54	94.57	2.21	6.52	5.54
TR-DE	_	_	_	-	_	_	91.37	2.71	7.62	-
TEAR	_	-	-	-	-	-	94.52	2.06	6.55	-
ii) Deep learned										
DGR	32.84	2.45	7.53	88.85	2.28	7.02	_	-	_	1.53
PointDSC	72.95	2.18	<b>6.45</b>	91.87	2.10	6.54	93.65	2.17	6.75	0.10
VBReg	82.57	2.14	6.77	93.53	<b>2.04</b>	6.49	37.09	6.15	15.65	0.20
Ours	83.92	2.12	6.64	93.28	2.04	6.48	95.07	1.75	5.97	0.36

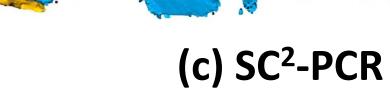
# **Comparisons on the KITTI dataset:**

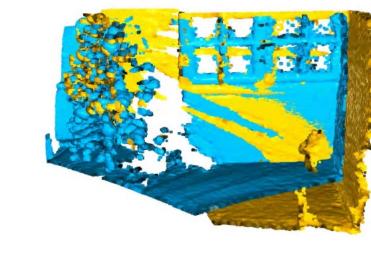
### Comparisons on the 3DLoMatch dataset:

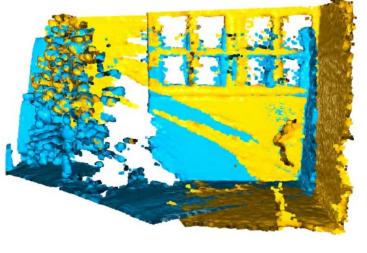
	FPFH				FCGF		Time(s)		5000	2500
	RR(%)↑	$RE(^{\circ})\downarrow$	TE(cm)↓	RR(%)↑	$RE(^{\circ})\downarrow$	TE(cm)↓	Time(s)			Predato
i) Traditional										Fredato
FGR	5.23	0.86	43.84	89.54	0.46	25.72	3.88	FGR	36.4	38.2
RANSAC	74.41	1.55	30.20	80.36	0.73	26.79	5.43	RANSAC	62.3	62.8
TEASER++	91.17	1.03	17.98	95.51	0.33	22.38	0.03			
$SC^2$ -PCR	99.46	0.35	7.87	98.02	$\overline{0.33}$	20.69	0.31	TEASER++	62.9	62.6
MAC	97.66	0.41	8.61	97.84	0.34	<u>19.34</u>	3.29	$SC^2$ -PCR	68.9	68.4
TR-DE	96.76	0.90	15.63	$\boldsymbol{98.20}$	0.38	18.00	-	MAC	69.4	69.3
TEAR	99.10	0.39	8.62	-	-	-	-	_		
ii) Deep learned								TR-DE	64.0	64.8
DGR	77.12	1.64	33.10	96.90	0.34	21.70	2.29	<b>PointDSC</b>	68.1	67.3
PointDSC	98.92	0.38	8.35	97.84	0.33	20.32	0.45	VBReg	69.9	69.8
VBReg	98.92	0.45	8.41	98.02	0.32	20.91	0.24	v Dices		
Ours	99.56	0.34	7.85	98.20	0.32	20.73	0.54	Ours	69.9	69.9

#### Qualitative results on the 3DMatch dataset:









68.7

68.4

66.5

500

39.6

59.0

67.7

58.8

63.4

66.4

67.7

38.0

64.9

60.5

63.0

65.0

(a) Input

(b) MAC

(d) Ours

(e) Ground-truth