

## Problem Set 6

Advanced Logic

9th October 2022

In the following problems, Arith stands for the ``signature of the language of arithmetic'': recall that this has one individual constant **0**, one singular function symbol **suc**, two binary function symbols **+** and **×**, and one binary predicate **≤**. See below for a reminder of the relevant definitions of  $FV$  and  $[t/v]$ .

Note: problems 2--4 ask you to prove something about all terms and formulae of an arbitrary first-order signature  $\Sigma$ . You may, if you wish, just prove these claims for the special case where  $\Sigma$  is Arith. This will lengthen the proofs a bit, but you may find it helpful if you're getting tripped up by notation like  $f(t_1, \dots, t_n)$  and  $R(t_1, \dots, t_n)$ .

1. (a) (30%) Prove that no *term* of the language of arithmetic (i.e., no member of  $\text{Terms}(\text{Arith})$ ) contains the character **a**.  
(b) (30%) Using the result of part (a), prove that no *formula* of the language of arithmetic (i.e., no member of  $\mathcal{L}(\text{Arith})$ ) contains the character **a**.
2. (a) (15%) Prove that whenever  $s, t \in \text{Terms}(\Sigma)$  and  $v \neq FV(s)$ ,  $s[t/v] = s$ .  
(b) (10%) Using the result of part (a), prove that whenever  $P \in \mathcal{L}(\Sigma)$ ,  $t \in \text{Terms}(\Sigma)$ , and  $v \neq FV(P)$ ,  $P[t/v] = P$ .
3. (a) (10%) Prove that whenever  $s, t \in \text{Terms}(\Sigma)$  and  $v \in FV(s)$ ,  $FV(s[t/v]) = (FV(s) \setminus \{v\}) \cup FV(t)$ .  
(b) (5%) Using the result of part (a), prove that whenever  $P \in \mathcal{L}(\Sigma)$ ,  $t \in \text{Terms}(\Sigma)$ , and  $v \in FV(P)$ ,  $FV(P[t/v]) = (FV(P) \setminus \{v\}) \cup FV(t)$ .

**Additional problems** (10% extra credit for successfully solving any one of these.)

1. Suppose that  $v$  and  $v'$  are distinct variables and  $t$  and  $t'$  are terms in which neither  $v$  nor  $v'$  occur free. Show that (a) for every term  $s$ ,  $s[t/v][t'/v'] = s[t'/v'][t/v]$ , and (b) for every formula  $P$ ,  $P[t/v][t'/v'] = P[t'/v'][t/v]$ .
2. (Optional, ungraded) Define the “standard numeral” function  $\langle \cdot \rangle : \mathbb{N} \rightarrow \text{Terms}(\text{Arith})$  recursively as follows:

$$\begin{aligned}\langle 0 \rangle &= 0 \\ \langle \text{suc } n \rangle &= \text{suc}(\langle n \rangle)\end{aligned}$$

Suppose we have some function  $g : \text{Var} \rightarrow \mathbb{N}$ . Recursively define a function  $d : \text{Terms}(\text{Arith}) \rightarrow \mathbb{N}$  such that  $d(v) = g(v)$  for every variable  $v$  and  $d(\langle n \rangle) = n$  for every  $n \in \mathbb{N}$ . Prove that the function you defined meets these requirements.

3. (Optional, ungraded) Suppose that we have a first-order signature  $\Sigma$  that is “well-behaved” in the following sense: no function symbol or predicate is an initial substring of

any other function symbol or predicate, and no function symbol or predicate has a variable as an initial substring. Let  $\text{PolishTerms}(\Sigma)$  (the set of "terms in Polish notation" over  $\Sigma$ ) be the closure of  $\text{Var}$  under the family of functions  $\langle s_1, \dots, s_n \rangle \mapsto f \oplus t_1 \oplus \dots \oplus t_n$  where  $f$  is an  $n$ -ary function symbol of  $\Sigma$ . (Note that unlike our usual definition of term, this one does not use parentheses and commas.)

Prove unique readability for Polish terms: i.e. that whenever  $s_1, \dots, s_m, t_1, \dots, t_n \in \text{PolishTerms}(\Sigma)$ ,  $f$  is an  $m$ -ary function symbol of  $\Sigma$ , and  $g$  is an  $n$ -ary function symbol of  $\Sigma$ , if  $fs_1 \dots s_m = gt_1 \dots t_n$ , then  $f = g$  and  $m = n$  and  $s_1 = t_1$  and ... and  $s_m = t_m$ .

**Definitions** The notations ' $FV$ ' and ' $[t/v]$ ' are used ambiguously for two different functions, one defined on  $\text{Terms}(\Sigma)$  and the other on  $\mathcal{L}(\Sigma)$ . (Or we could think of them as standing for the union of those two functions.)

Where  $\Sigma$  is a first-order signature with predicates  $R_\Sigma$ , function symbols  $F_\Sigma$ , and arity function  $a_\Sigma$ , the function  $FV : \text{Terms}(\Sigma) \rightarrow \mathcal{P}(\text{Var})$  is defined recursively by:

$$\begin{aligned} FV(v) &= \{v\} && (\text{for } v \in \text{Var}) \\ FV(c) &= \emptyset && (\text{for } c \in F_\Sigma \text{ with } a_\Sigma(c) = 0) \\ FV(f(t_1, \dots, t_n)) &= FV(t_1) \cup \dots \cup FV(t_n) && (\text{for } f \in F_\Sigma \text{ with } a_\Sigma(f) = n > 0) \end{aligned}$$

The companion function  $FV : \mathcal{L}(\Sigma) \rightarrow \mathcal{P}(\text{Var})$  is defined recursively as follows:

$$\begin{aligned} FV(F(t_1, \dots, t_n)) &= FV(t_1) \cup \dots \cup FV(t_n) && (\text{for } F \in R_\Sigma \text{ with } a_\Sigma(F) = n > 0) \\ FV(t_1 = t_2) &= FV(t_1) \cup FV(t_2) \\ FV(\neg P) &= FV(P) \\ FV(P \wedge Q) &= FV(P) \cup FV(Q) \\ FV(P \vee Q) &= FV(P) \cup FV(Q) \\ FV(P \rightarrow Q) &= FV(P) \cup FV(Q) \\ FV(\forall v P) &= FV(P) \setminus \{v\} \\ FV(\exists v P) &= FV(P) \setminus \{v\} \end{aligned}$$

For any  $t \in \text{Terms}(\Sigma)$  and  $v \in \text{Var}$ , the function  $[t/v] : \text{Terms}(\Sigma) \rightarrow \text{Terms}(\Sigma)$  (written in postfix position) is defined recursively by

$$\begin{aligned} u[t/v] &= \begin{cases} t & \text{if } u = v \\ u & \text{if } u \neq v \end{cases} && (\text{for } u \in \text{Var}) \\ c[t/v] &= c && (\text{for } c \in F_\Sigma \text{ with } a_\Sigma(c) = 0) \\ f(t_1, \dots, t_n)[t/v] &= f(t_1[t/v], \dots, t_n[t/v]) && (\text{for } f \in F_\Sigma \text{ with } a_\Sigma(f) = n > 0) \end{aligned}$$

The companion function  $[t/v] : \mathcal{L}(\Sigma) \rightarrow \text{Terms}(\Sigma)$  is defined recursively as follows:

$$\begin{aligned}
F(t_1, \dots, t_n)[t/v] &= F(t_1[t/v], \dots, t_n[t/v]) && (\text{for } F \in R_\Sigma \text{ with } a_\Sigma(F) = n > 0) \\
(\neg P)[t/v] &= \neg(P[t/v]) \\
(P \wedge Q)[t/v] &= P[t/v] \wedge Q[t/v] \\
(P \vee Q)[t/v] &= P[t/v] \vee Q[t/v] \\
(P \rightarrow Q)[t/v] &= P[t/v] \rightarrow Q[t/v] \\
(\forall u P)[t/v] &= \begin{cases} \forall u P & \text{if } v = u \\ \forall u (P[t/v]) & \text{if } v \neq u \text{ and } (u \notin FV(t) \text{ or } v \notin FV(P)) \\ \text{undefined} & \text{if } v \neq u \text{ and } u \in FV(t) \text{ and } v \in FV(P) \end{cases} \\
(\exists u P)[t/v] &= \begin{cases} \exists u P & \text{if } v = u \\ \exists u (P[t/v]) & \text{if } v \neq u \text{ and } (u \notin FV(t) \text{ or } v \notin FV(P)) \\ \text{undefined} & \text{if } v \neq u \text{ and } u \in FV(t) \text{ and } v \in FV(P) \end{cases}
\end{aligned}$$