

# 2

## BACKGROUND AND STATE OF THE ART

**T**HIS chapter discusses the state-of-the-art in the areas of WebAssembly and Software Diversification. In Section 2.1 ... In Section 2.2 ...

**TODO** Describe chapter

**TODO** Add some words on the evergreen method of Wasm

### 2.1 WebAssembly

The W3C publicly announced the WebAssembly (Wasm) language in 2017 as the four scripting language supported in all major web browser vendors. Wasm is a binary instruction format for a stack-based virtual machine and was officially consolidated by the work of Haas et al. [?] in 2017 and extended by Rossberg in 2018 [?]. It is designed to be fast, portable, self-contained and secure, and it promises to outperform JavaScript execution. Since 2017, the adoption of Wasm keeps growing. For example; Adobe, announced a full online version of Photoshop<sup>1</sup> written in WebAssembly; game companies moved their development from JavaScript to Wasm like is the case of a full Minecraft version<sup>2</sup>.

Moreover, WebAssembly has been evolving outside web browsers since its first announcement. Some works demonstrated that using WebAssembly as an intermediate layer is better in terms of startup and memory usage than

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<sup>0</sup>Comp. time 2023/10/05 11:40:27

<sup>1</sup><https://twitter.com/Adobe/status/1453034805004685313?s=20&t=Zf1N7-WmzecA0K4V8R69lw>

<sup>2</sup><https://satoshinm.github.io/NetCraft/>

containerization and virtualization [? ? ]. Consequently, in 2019, the Bytecodealliance proposed WebAssembly System Interface (WASI) [? ]. WASI pioneered the execution of Wasm with a POSIX system interface protocol, making it possible to execute Wasm closer to the underlying operating system. Therefore, it standardizes the adoption of Wasm in heterogeneous platforms [? ], making it suitable for standalone and backend execution scenarios [? ? ].

### 2.1.1 From source code to WebAssembly

WebAssembly programs are compiled from source languages like C/C++, Rust, or Go, which means that it can benefit from the optimizations of the source language compiler. The resulting Wasm program is like a traditional shared library, containing instruction codes, symbols, and exported functions. A host environment is in charge of complementing the Wasm program, such as providing external functions required for execution within the host engine. For instance, functions for interacting with an HTML page’s DOM are imported into the Wasm binary when invoked from JavaScript code in the browser.

In Listing 2.1 and Listing 2.2, we illustrate a C program and its corresponding Wasm binary. The C function includes heap allocation, external function usage, and a function definition featuring a loop, conditional branching, function calls, and memory accesses. The Wasm code in Listing 2.2 displays the textual format of the generated Wasm (Wat)<sup>3</sup>.

```
// Some raw data
const int A[250];

// Imported function
int ftoi(float a);

int main() {
    for(int i = 0; i < 250; i++) {
        if (A[i] > 100)
            return A[i] + ftoi(12.54);
    }
    return A[0];
}
```

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***Listing 2.1:*** Example C program which includes heap allocation, external function usage, and a function definition featuring a loop, conditional branching, function calls, and memory accesses.

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<sup>3</sup>The WAT text format is mostly for human readability and for low-level manual modification.

```

1 ; WebAssembly magic bytes(\0asm) and version (1.0) ;
2 (module
3 ; Type section: 0x01 0x00 0x00 0x00 0x13 ... ;
4   (type (;0;) (func (param f32) (result i32)))
5   (type (;1;) (func))
6   (type (;2;) (func (result i32)))
7 ; Import section: 0x02 0x00 0x00 0x00 0x57 ... ;
8   (import "env" "ftoi" (func $ftoi (type 0)))
9 ; Custom section: 0x00 0x00 0x00 0x00 0x7E ;
10  (@custom "name" "...")
11 ; Code section: 0x03 0x00 0x00 0x00 0x5B... ;
12  (func $main (type 2) (result i32)
13    (local i32 i32)
14    i32.const -1000
15    local.set 0
16    block ;label = @1;
17    loop ;label = @2;
18      i32.const 0
19      local.get 0
20      i32.add
21      i32.load
22      local.tee 1
23      i32.const 101
24      i32.ge_s
25      br.if 1 ;@1;
26      local.get 0
27      i32.const 4
28      i32.add
29      local.tee 0
30      br.if 0 ;@2;
31    end
32    i32.const 0
33    return
34  end
35  f32.const 0x1.9147aep+3
36  call $ftoi
37  local.get 1
38  i32.add)
39 ; Memory section: 0x05 0x00 0x00 0x00 0x03 ... ;
40  (memory (;0;) 1)
41 ; Global section: 0x06 0x00 0x00 0x00 0x11.. ;
42  (global (;4;) i32 (i32.const 1000))
43 ; Export section: 0x07 0x00 0x00 0x00 0x72 ... ;
44  (export "memory" (memory 0))
45  (export "A" (global 2))
46 ; Data section: 0x0d 0x00 0x00 0x03 0xEF ... ;
47  (data $data (0) "\00\00\00\00...")
48 ; Custom section: 0x00 0x00 0x00 0x00 0x2F ;
49  (@custom "producers" "...")
50 )

```

***Listing 2.2:*** Wasm code for Listing 2.1. The example Wasm code illustrates the translation from C to Wasm in which several high-level language features are translated into multiple Wasm instructions.

### 2.1.2 WebAssembly's binary format

The Wasm binary format is close to machine code and already optimized, being a consecutive collection of sections. In Figure 2.1 we show the binary format of a Wasm section. A Wasm section starts with a 1-byte section ID, followed

by a 4-byte section size, and concludes with the section content, which precisely matches the size indicated earlier. A Wasm binary contains sections of 13 types, each with a specific semantic role and placement within the module. Each section is optional, where an omitted section is considered empty. In the following text, we summarize each one of the 13 types of Wasm sections, providing their name, ID, and purpose. In addition, some sections are annotated as comments in the Wasm code in Listing 2.2.



**Figure 2.1:** Memory byte representation of a WebAssembly binary section, starting with a 1-byte section ID, followed by an 8-byte section size, and finally the section content.

**Custom Section (00)** : Comprises two parts: the section name and arbitrary content. Primarily used for storing metadata, such as the compiler used to generate the binary (see lines 9 and 48 of Listing 2.2). This type of section has no order constraints with other sections and is optional. Compilers usually skip this section when consuming a WebAssembly binary.

**Type Section (01)** : Contains the function signatures for functions declared or defined within the binary (see lines 3 to 6 in Listing 2.2). Functions may share the same function signature. This section must occur only once in a binary. It can be empty.

**Import Section (02)** : Lists elements imported from the host, including functions, memories, globals, and tables (see line 8 in Listing 2.2). This section is needed to enable code and data sharing with the host engine and other modules. It must occur only once in a binary. It can be empty.

**Function Section (03)** : Details functions defined within the binary. It essentially maps Type section entries to Code section entries. The text format already maps the function index to its name, as shown in lines 12 to 38 of Listing 2.2. This section must occur only once in a binary and, it can be empty.

**Table Section (04)** : Groups functions with identical signatures to control indirect calls. It must occur only once in a binary. It can be empty. The example code in Listing 2.2 does not include a Table Section.

**Memory Section (05)** : Specifies the number and initial size of unmanaged linear memories (see line 40 in Listing 2.2). It must occur only once in a binary. It can be empty.

**Global Section (06)** : Defines global variables as managed memory for use and

sharing between functions in the WebAssembly binary (see line 42 of Listing 2.2). It must occur only once in a binary. It can be empty.

**Export Section (07)** : Declares elements like functions, globals, memories, and tables for host engine access (see lines 44 and 45 of Listing 2.2). It must occur only once in a binary. It can be empty.

**Start Section (08)** : Designates a function to be called upon binary readiness, initializing the WebAssembly program state before executing any exported functions. It must occur only once in a binary. It can be empty. The example code in Listing 2.2 does not include a Start Section, i.e. there is no function to call when the binary is initialized.

**Element Section (09)** : Contains elements to initialize the binary tables. It must occur only once in a binary. It can be empty. The example code in Listing 2.2 does not include an Element Section.

**Code Section (10)** : Contains the body of functions defined in the Function section. Each entry consists of local variables used and a list of instructions (see lines 12 to 38 in Listing 2.2). It must occur only once in a binary. It can be empty.

**Data Section (11)** : Holds data for initializing unmanaged linear memory. Each entry specifies the offset and data to be placed in memory (see line 47 in Listing 2.2). It must occur only once in a binary. It can be empty.

**Data Count Section (12)** : Primarily used for validating the Data Section. If the segment count in the Data Section mismatches the Data Count, the binary is considered malformed. The example code in Listing 2.2 does not include a Data Count Section. It must occur only once in a binary. It can be empty.

Due to its organization into a contiguous array of sections, a Wasm binary can be processed efficiently. For example, this structure allows compilers to speed up the compilation process through parallel parsing or just by ignoring *Custom Sections*. Additionally, the use of the LEB128<sup>4</sup> encoding of instructions of the *Code Section* further compacts the binary. As a result, Wasm binaries are not only fast to process but also quick to transmit over a network.

### 2.1.3 WebAssembly's runtime structure

The WebAssembly runtime structure is described in the WebAssembly specification by enunciating 10 key components: the Store, Module Instances, Table Instances, Export Instances, Import Instances, the Execution Stack, Memory Instances, Global Instances, Function Instances and Locals. These

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<sup>4</sup><https://en.wikipedia.org/wiki/LEB128>

components are particularly significant in maintaining the state of a WebAssembly program during its execution. In the following text, we provide a brief description of each runtime component. Notice that, the runtime structure is an abstraction that serves to validate the execution of a Wasm binary.

**Store** : The WebAssembly store represents the global state and is a collection of instances of functions, tables, memories, and globals. Each of these instances is uniquely identified by an address, which is usually represented as an i32 integer.

**Module Instances** : A module instance is a runtime representation of a loaded and initialized WebAssembly module (the binary file described in Subsection 2.1.2). It contains the runtime representation of all the definitions within a module, including functions, tables, memories, and globals, as well as the module’s exports and imports.

**Table instances** : A table instance is a vector of *function instances* with the same signature. They are used to validate and support indirect function calls during runtime. A table instance can be modified through table instructions from the function bodies.

**Export Instances** : Export instances represent the functions, tables, elements, globals or memories that are exported by a Wasm binary to the host environment.

**Import Instances** : Import instances represent the functions, tables, elements, globals or memories that are imported into a module from the host environment.

**The Execution Stack** holds typed values, labels and control frames, with labels handling block instructions, loops, and function calls. Values inside the stack can be of the only static types allowed in Wasm 1.0, i32 for 32 bits signed integer, i64 for 64 bits signed integer, f32 for 32 bits float and f64 for 64 bits float. Therefore, abstract types, such as classes, objects, and arrays, are not natively supported. Instead, during compilation, such types are transformed into primitive types and stored in the linear memory.

**Memory Instances** represent the unmanaged linear memory of a WebAssembly program, consisting of a contiguous array of bytes. Memory instances are accessed with i32 pointers (integer of 32 bits). Memory instances are usually bound in browser engines to 4Gb of size, and it is only shareable between the process that instantiates the WebAssembly module and the binary itself.

**Global Instances** : A global instance is a global variable with a value and a mutability flag, indicating whether the global can be modified or is immutable. Global variables are part of the managed data, i.e., their allocation and memory placement are managed by the host engine. Global variables are only accessible by their declaration index, and it is not possible to dynamically address them.

**Locals** : Locals are mutable variables that are local to a specific function instance, i.e. locals are only accessible through their index related to the executing function instance. As globals, locals are part of the managed data.

**Function Instances** : are closures over the runtime module instance. A function instance groups locals and a function body. Locals are typed variables that are local to a specific function invocation as previously discussed. The function body is a sequence of instructions that are executed when the function is called. Each instruction either reads from the stack, writes to the stack, or modifies the control flow of the function. Recalling the example Wasm binary previously showed, the local variable declarations and typed instructions that are evaluated using the stack can be appreciated between Line 7 and Line 32 in Listing 2.2. Each instruction reads its operands from the stack and pushes back the result. In the case of Listing 2.2, the result value of the main function is the calculation of the last instruction, `i32.add`. As the listing also shows, instructions are annotated with a numeric type.

**Definition 1.** *Along with this dissertation, as the work of Lehmann et al. [?], we refer to managed and unmanaged data to differentiate between the data that is managed by the host engine and the data that is managed by the WebAssembly program respectively.*

### 2.1.4 WebAssembly’s control flow

In WebAssembly, a defined function instructions are organized into blocks, with the function’s starting point serving as the root block. Unlike traditional assembly code, control flow structures in Wasm jump between block boundaries rather than arbitrary positions within the code. Each block might specify the required stack state before execution and the resulting stack state after its instructions have run. This stack state is used to validate the binary during compilation and to ensure that the stack is in a valid state before executing the block’s instructions. Blocks in Wasm are explicit, indicating, where they start and end. By design, each block cannot reference or execute code from outer blocks.

Control flow within a function is managed through three types of break instructions: unconditional break, conditional break, and table break. Importantly, each break instruction is limited to jumping to one of its enclosing blocks. Unlike standard blocks, where breaks jump to the end of the block, breaks within a loop block jump to the block’s beginning, effectively restarting the loop. To illustrate this, Listing 2.3 provides an example comparing a standard block and a loop block in a Wasm function.

```

block
block
  br 1 ; Jump instructions
        are annotated with the
        depth of the block they
        jump to;
  ...
end
...                                     ; first-order break;
...                                     ; end instructions break
end                                     the block and jump to next
                                         instruction;
...

```

*Listing 2.3: Example of breaking a block and a loop in WebAssembly.*

Each break instruction includes the depth of the enclosing block as an operand. This depth is used to identify the target block for the break instruction. For example, in the left-most part of the previously discussed listing, a break instruction with a depth of 1 would jump past two enclosing blocks.

### 2.1.5 WebAssembly’s ecosystem

WebAssembly programs are tailored for execution in host environments, most notably web browsers. The WebAssembly ecosystem is a diverse landscape, featuring a multitude of stakeholders and a comprehensive suite of tools to meet various requirements [? ]. In this section, we delineate two key categories of tools within this ecosystem: compilers and executors. Compilers are responsible for converting source code into WebAssembly binaries, while executors handle a range of tasks including validation, optimization, machine code transpilation, and actual execution of these WebAssembly binaries. Executors are often found in browser clients, among other platforms.

**Compilers** transform source code into WebAssembly binaries. For example, LLVM has offered WebAssembly as a backend option since its 7.1.0 release<sup>5</sup>, supporting a diverse set of frontend languages like C/C++, Rust, Go, and AssemblyScript<sup>6</sup>. In parallel developments, the KMM framework<sup>7</sup> has incorporated WebAssembly as a compilation target, and the Javy approach<sup>8</sup> focuses on encapsulating JavaScript code within isolated WebAssembly binaries. This latter is achieved by porting both the engine and the source code into a secure WebAssembly environment. Similarly, Blazor also enables the compilation of C code into WebAssembly binaries for browser execution<sup>9</sup>.

From a security standpoint, WebAssembly programs are designed without a standard library and are prohibited from direct interactions with the operating system. Instead, the host environment offers a predefined set of functions that

<sup>5</sup><https://github.com/llvm/llvm-project/releases/tag/llvmorg-7.1.0>

<sup>6</sup>A subset of the TypeScript language

<sup>7</sup><https://kotlinlang.org/docs/wasm-overview.html>

<sup>8</sup><https://github.com/bytedecodealliance/javy>

<sup>9</sup><https://dotnet.microsoft.com/apps/aspnet/web-apps/blazor>

can be imported into the WebAssembly program. It falls upon the compilers to specify which functions from the host environment will be imported by the WebAssembly application.

**Browser** engines like V8<sup>10</sup> and SpiderMonkey<sup>11</sup> are at the forefront of executing WebAssembly binaries in browser clients. These engines leverage Just-In-Time (JIT) compilers to convert WebAssembly into machine code. This translation is typically a straightforward one-to-one mapping, given that WebAssembly is already an optimized format closely aligned with machine code, as previously discussed in Subsection 2.1.2. For example, V8 just employs quick, rudimentary optimizations, such as constant folding and dead code removal, to guarantee fast readiness for a Wasm binary to execute.<sup>12</sup>

**Standalone engines:** Wasm has expanded beyond browser environments, largely due to the WASI[? ]. It standardizes the interactions between host environments and WebAssembly modules through a POSIX-like interface. Wasm compilers can generate binaries that use WASI. Standalone engines can then execute these binaries in a variety of environments, including cloud, server, and IoT devices. For example, standalone engines like WASM3<sup>13</sup>, Wasmer<sup>14</sup>, Wasmtime<sup>15</sup>, WAVM<sup>16</sup>, and Sledge[? ] have emerged to support WebAssembly and WASI. In a similar vein, Singh et al.[? ] introduced a virtual machine for WebAssembly tailored for Arduino-based devices. Salim et al.[? ] proposed TruffleWasm, an implementation of WebAssembly hosted on Truffle and GraalVM. Additionally, SWAM<sup>17</sup> stands out as WebAssembly interpreter implemented in Scala. Finally, WaVe[? ] offers a WebAssembly interpreter featuring mechanized verification of the WebAssembly-WASI interaction with the underlying operating system.

### 2.1.6 WebAssembly’s binary analysis

As the WebAssembly ecosystem continues to grow, the need for robust tools to ensure its security and reliability has increased. To address this, a variety of tools have been developed that employ different strategies to identify vulnerabilities in WebAssembly programs. In the following text we provide a brief overview of the most relevant tools in this space w.r.t static and dynamic analysis, as well as specialized malware detection.

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<sup>10</sup><https://chromium.googlesource.com/v8/v8.git>

<sup>11</sup><https://spidermonkey.dev/>

<sup>12</sup>This analysis was corroborated through discussions with the V8 development team and through empirical studies in one of our contributions[? ]

<sup>13</sup><https://github.com/wasm3/wasm3>

<sup>14</sup><https://wasmer.io/>

<sup>15</sup><https://github.com/bytocodealliance/wasmtime>

<sup>16</sup><https://github.com/WAVM/WAVM>

<sup>17</sup><https://github.com/satabin/swam>

**Static and dynamic analysis:** Tools like Wassail[? ], SecWasm[? ], Wasmati[? ], and Wasp[? ] leverage techniques such as information flow control, code property graphs, control flow analysis, and concolic execution to detect vulnerabilities in Wasm binaries. Remarkably, VeriWasm[? ] stands out as a static offline verifier specifically designed for native x86-64 binaries compiled from WebAssembly. In the dynamic analysis counterpart, tools like TaintAssembly[? ], Wasabi[? ], and Fuzzm[? ] offer similar functionalities in vulnerability detection. Stiévenart and colleagues have introduced a dynamic approach to slice WebAssembly programs based on Observational-Based Slicing (ORBS)[? ? ]. Hybrid methods have also gained traction, with tools like CT-Wasm[? ] enabling the verifiably secure implementation of cryptographic algorithms in WebAssembly.

**Specialized Malware Detection:** Cryptomalwares have a wide presence in the web since the first days of Wasm. The main reason is that mining algorithms using CPUs moved to Wasm for obvious performance reasons [? ]. In cryptomalware detection, tools like MineSweeper[? ], MinerRay[? ], and MINOS[? ] utilize static analysis through machine learning techniques to detect browser cryptomalwares. Conversely, tools like SEISMIC[? ], RAPID[? ], and OUTGuard[? ] seek the same goal with dynamic analysis techniques. Remarkably, VirusTotal<sup>18</sup>, packaging more than 60 commercial antivirus as back-boxes, detects cryptomalware in Wasm binaries.

### 2.1.7 WebAssembly’s security

While WebAssembly is engineered to be deterministic, well-typed, and to adhere to a structured control flow, the ecosystem is still emerging and faces various security vulnerabilities. These vulnerabilities pose risks to both the consumers and the WebAssembly binaries themselves. Side-channel attacks, in particular, are a significant concern. For example, Genkin et al. have shown that WebAssembly can be exploited to exfiltrate data through cache timing-side channels [? ]. Similarly, research by Maisuradze and Rossow demonstrates the feasibility of speculative execution attacks on WebAssembly binaries [? ]. Rokicki et al. further reveal the potential for port contention side-channel attacks on WebAssembly binaries in browsers [? ]. Additionally, studies by Lehmann et al. and Stiévenart and colleagues indicate that vulnerabilities in C/C++ source code can propagate into WebAssembly binaries [? ? ]. This dissertation introduces a comprehensive set of tools aimed at preemptively enhancing WebAssembly security through Software Diversification and at improving testing rigor within the ecosystem.

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<sup>18</sup><https://www.virustotal.com>

## 2.2 Software diversification

**TODO** Work on differential testing <https://arxiv.org/pdf/2309.12167.pdf>

### 2.2.1 Generating Software Diversification

#### 2.2.2 Variants generation

#### 2.2.3 Variants equivalence

**TODO** Automatic, SMT based    **TODO** Take a look to Jackson thesis, we have a similar problem he faced with the superoptimization of NaCL    **TODO** By design    **TODO** Introduce the notion of rewriting rule by Sasnaukas. [https://link.springer.com/chapter/10.1007/978-3-319-68063-7\\_13](https://link.springer.com/chapter/10.1007/978-3-319-68063-7_13)

#### 2.2.4 Defensive Diversification

#### 2.2.5 Offensive Diversification

