

# Mathematical Foundations of Computer Science

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- Homework assignment published on Monday, 2018-03-05.
- Work on it and submit a first solution or questions by Sunday, 2018-03-11, 12:00 by email to me and the TAs.
- You will receive feedback by Wednesday, 2018-03-14.
- Submit your final solution by Sunday, 2018-03-18 to me and the TAs.

## 2 Partial Orderings

### 2.1 Equivalence Relations as a Partial Ordering

An equivalence relation  $R \subseteq V \times V$  is basically the same as a partition of  $V$ . A *partition* of  $V$  is a set  $\{V_1, \dots, V_k\}$  where (1)  $V_1 \cup \dots \cup V_k = V$  and (2) the  $V_i$  are pairwise disjoint, i.e.,  $V_i \cap V_j = \emptyset$  for  $1 \leq i < j \leq k$ . For example,  $\{\{1\}, \{2, 3\}, \{4\}\}$  is a partition of  $\{1, 2, 3, 4\}$  but  $\{\{1\}, \{2, 3\}, \{1, 4\}\}$  is not.

**Exercise 2.1.** Let  $E_4$  be the set of all equivalence relations on  $\{1, 2, 3, 4\}$ . Note that  $E_4$  is ordered by set inclusion, i.e.,

$$(E_4, \{(R_1, R_2) \in E_4 \times E_4 \mid R_1 \subseteq R_2\})$$

is a partial ordering.

1. Draw the Hasse diagram of this partial ordering in a nice way.
2. What is the size of the largest chain?
3. What is the size of the largest antichain?

## 2.2 Chains and Antichains

Define the partially ordered set  $(\mathbb{N}_0^n, \leq)$  as follows:  $x \leq y$  if  $x_i \leq y_i$  for all  $1 \leq i \leq n$ . For example,  $(2, 5, 4) \leq (2, 6, 6)$  but  $(2, 5, 4) \not\leq (3, 1, 1)$ .

**Exercise 2.2.** Consider the infinite partially ordered set  $(\mathbb{N}_0^n, \leq)$ .

1. Which elements are minimal? Which are maximal?
2. Is there a minimum? A maximum?
3. Does it have an infinite chain?
4. Does it have arbitrarily large antichains? That is, can you find an antichain  $A$  of size  $|A| = k$  for every  $k \in \mathbb{N}$ ?

**\*Exercise 2.3.** Does every infinite subset  $S \subseteq \mathbb{N}_0^n$  contain an infinite chain?

**Exercise 2.4.** Show that  $(\mathbb{N}_0^n, \leq)$  has no infinite antichain. **Hint.** Use the previous exercise.

Consider the induced ordering on  $\{0, 1\}^n$ . That is, for  $x, y \in \{0, 1\}^n$  we have  $x \leq y$  if  $x_i \leq y_i$  for every coordinate  $i \in [n]$ .

**Exercise 2.5.** Draw the Hasse diagrams of  $(\{0, 1\}^n, \leq)$  for  $n = 2, 3$ .

**Exercise 2.6.** Determine the maximum, minimum, maximal, and minimal elements of  $\{0, 1\}^n$ .

**Exercise 2.7.** What is the longest chain of  $\{0, 1\}^n$ ?

**\*\*Exercise 2.8.** What is the largest antichain of  $\{0, 1\}^n$ ?

## 2.3 Infinite Sets

In the lecture (and the lecture notes) we have showed that  $\mathbb{N} \times \mathbb{N} \cong \mathbb{N}$ , i.e., there is a bijection  $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ . From this, and by induction, it follows quite easily that  $\mathbb{N}^k \cong \mathbb{N}$  for every  $k$ .

**Exercise 2.9.** Consider  $\mathbb{N}^*$ , the set of all finite sequences of natural numbers, that is,  $\mathbb{N}^* = \{\epsilon\} \cup \mathbb{N} \cup \mathbb{N}^2 \cup \mathbb{N}^3 \cup \dots$ . Here,  $\epsilon$  is the empty sequence. Show that  $\mathbb{N} \cong \mathbb{N}^*$  by defining a bijection  $\mathbb{N} \rightarrow \mathbb{N}^*$ .

**Exercise 2.10.** Show that  $R \cong R \times R$ . **Hint:** Use the fact that  $R \cong \{0, 1\}^{\mathbb{N}}$  and thus show that  $\{0, 1\}^{\mathbb{N}} \cong \{0, 1\}^{\mathbb{N}} \times \{0, 1\}^{\mathbb{N}}$ .

**Exercise 2.11.** Consider  $\mathbb{R}^{\mathbb{N}}$ , the set of all infinite sequences  $(r_1, r_2, r_3, \dots)$  of real numbers. Show that  $\mathbb{R} \cong \mathbb{R}^{\mathbb{N}}$ . **Hint:** Again, use the fact that  $\mathbb{R} \cong \{0, 1\}^{\mathbb{N}}$ .

Next, let us view  $\{0, 1\}^{\mathbb{N}}$  as a partial ordering: given two elements  $\mathbf{a}, \mathbf{b} \in \{0, 1\}^{\mathbb{N}}$ , that is, sequences  $\mathbf{a} = (a_1, a_2, \dots)$  and  $\mathbf{b} = (b_1, b_2, \dots)$ , we define  $\mathbf{a} \leq \mathbf{b}$  if  $a_i \leq b_i$  for all  $i \in \mathbb{N}$ . Clearly,  $(0, 0, \dots)$  is the minimum element in this ordering and  $(1, 1, \dots)$  the maximum.

**Exercise 2.12.** Give a countably infinite chain in  $\{0, 1\}^{\mathbb{N}}$ . Remember that a set  $A$  is countably infinite if  $A \cong \mathbb{N}$ .

$(0, 0, 0, \dots)$

$(1, 0, 0, \dots)$

$(1, 1, 0, \dots)$

$(1, 1, 1, \dots)$

$\dots$

Since there are countably infinite bits in every element, we can construct countably infinite chain in  $\{0, 1\}^{\mathbb{N}}$  as showed above.

**Exercise 2.13.** Find a countably infinite antichain in  $\{0, 1\}^{\mathbb{N}}$ .

$$\begin{aligned}
&(1, 0, 0, \dots) \\
&(0, 1, 0, \dots) \\
&(0, 0, 1, \dots) \\
&\dots
\end{aligned}$$

Since there are countably infinite bits in every element, we can construct countably infinite chain in  $\{0, 1\}^{\mathbb{N}}$  as showed above.

**Exercise 2.14.** Find an uncountable antichain in  $\{0, 1\}^{\mathbb{N}}$ . That is, an antichain  $A$  with  $A \cong \mathbb{R}$ .

Since  $\{0, 1\}^{\mathbb{N}} \cong \mathbb{R}$ , there is a bijection:  $x \leftrightarrow \mathbf{t}$ ,  $x \in \mathbb{R}, \mathbf{t} \in \{0, 1\}^{\mathbb{N}}$ . Let's consider  $\mathbf{t}_i$ .

$$\mathbf{t}_i = (a_1, a_2, \dots), a_k \in \{0, 1\}, k \in \mathbb{N}$$

Define  $\bar{\mathbf{t}}_i = (1 - a_1, 1 - a_2, \dots)$ . Then construct  $\hat{\mathbf{t}}_i$  as:

$$\hat{\mathbf{t}}_i = (a_1, 1 - a_1, a_2, 1 - a_2, \dots)$$

Consider  $\hat{\mathbf{t}}_i, \hat{\mathbf{t}}_j, \forall i, j \in \mathbb{N}, i \neq j$ .

**Case 1:** If  $\mathbf{t}_i \not\leq \mathbf{t}_j$ , obviously,  $\hat{\mathbf{t}}_i \not\leq \hat{\mathbf{t}}_j$ .

**Case 2:** If  $\mathbf{t}_i \leq \mathbf{t}_j$

$$\mathbf{t}_i = (a_1, a_2, \dots) \quad \bar{\mathbf{t}}_i = (1 - a_1, 1 - a_2, \dots)$$

$$\mathbf{t}_j = (b_1, b_2, \dots) \quad \bar{\mathbf{t}}_j = (1 - b_1, 1 - b_2, \dots)$$

According to the definition of  $\mathbf{a} \leq \mathbf{b}$ , we know that  $a_k \leq b_k$ . So,  $\bar{\mathbf{t}}_i \geq \bar{\mathbf{t}}_j$ .

Compare every bit of  $\hat{\mathbf{t}}$ .

$\hat{\mathbf{t}}$	1	2	3	4	$\dots$
$\hat{\mathbf{t}}_i$	$a_1$	$1 - a_1$	$a_2$	$1 - a_2$	$\dots$
$\hat{\mathbf{t}}_j$	$b_1$	$1 - b_1$	$b_2$	$1 - b_2$	$\dots$

Since  $a_k \leq b_k$ ,  $1 - a_k \geq 1 - b_k$ .

And since  $i \neq j$ ,  $\mathbf{t}_i, \mathbf{t}_j$  are not the same  $\mathbf{t}$ , which means that  $\exists \eta, a_\eta < b_\eta, 1 - a_\eta > 1 - b_\eta$ . So,  $\hat{\mathbf{t}}_i \not\leq \hat{\mathbf{t}}_j$ .

Therefore,  $\hat{\mathbf{t}}_1 \hat{\mathbf{t}}_2 \dots$  is an uncountable antichain in  $\{0, 1\}^{\mathbb{N}}$ .

**\*\*Exercise 2.15.** Find an uncountable chain in  $\{0, 1\}^{\mathbb{N}}$ . That is, an antichain  $A$  with  $A \cong \mathbb{R}$ .