# Mathematical Foundations of Computer Science

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## 7 The Graph Score Theorem

- Homework assignment published on Monday, 2018-04-09.
- Submit questions and first solution by Sunday, 2018-04-15, 12:00 by email to dominik.scheder@gmail.com and the TAs.
- You will receive feedback by Wednesday, 2018-04-18.
- $\bullet$  Submit your final solution by Sunday, 2018-04-22 to me and the two TAs.

Exercise 7.1. Describe, in simple sentences with a minimum of mathematical formalism, (1) the score of a graph, (2) what the graph score theorem is, (3) the idea of the graph score algorithm, (4) where the difficult part of its proof is. Imagine you have a friend who does not take this class, and think about how to answer the above questions to them.

#### Theorem

Let  $d = (d_1, \dots, d_n)$  with  $d - 1 \le \dots \le d_n$ . Define d' by

$$d'_{i} = \begin{cases} d_{i} - 1 & i = n - d_{n}, \dots, n - 1, n \\ d_{i} & i = 1, \dots, n - d_{n} - 1 \end{cases}$$

Then there exists a graph with score d if and only if there exist a graph with score d'

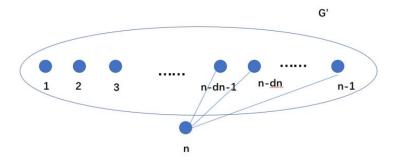
Furthermore, if n = 1, then there exist a graph with score  $(d_1)$  if and only if  $d_i = 1$ .

#### Idea of Algorithm

find-graph 
$$(d_1, d_2, \dots, d_n)$$
  
sort $(d_1, d_2, \dots, d_n)$ 

$$d'_{i} = \begin{cases} d_{i} - 1 & i = n - d_{n}, \dots, n - 1, n \\ d_{i} & i = 1, \dots, n - d_{n} - 1 \end{cases}$$

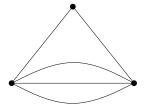
$$G' = \text{find-graph}(d'_1, d'_2, \cdots, d'_{n-1})$$
  
if  $G' = \text{NULL}$   
return NULL  
else  $G = G' + vertex(n)$ 



### 7.1 Alternative Graphs

Now we will look at different notions of graphs. As defined in class and in the video lectures, a graph is a pair G = (V, E) where V is a (usually finite) set, called the *vertices*, and  $E \subseteq \binom{V}{2}$ , called the set of *edges*.

**Multigraphs.** A multigraph is like a graph, but you can have several parallel edges between two vertices. You cannot, however, have self-loops. That is, there cannot be an edge from u to u itself. This is an example of a multigraph:



We can define degree and score for multigraphs, too. For example, this multigraph has score (4,4,2). Obviously no graph can have this score.

Exercise 7.2. State a score theorem for multigraphs.

**Theorem 7.3** (Multigraph Score Theorem). Let  $A = (a_1, \ldots, a_n) \in \mathbb{N}_0^n$ ,  $a_1 \geq a_2 \geq \cdots \geq a_n$ . There is a multigraph with this score if and only if  $a_1 + a_2 + \cdots + a_n$  is even and  $a_1 \leq a_2 + \cdots + a_n$ .

Furthermore, when n = 1, then exists a graph with  $score(a_1)$  if and only if  $a_1 = 0$ . When n = 2, then exists a graph with  $score(a_1, a_2)$  if and only if  $a_1 = a_2$ .

**Remark.** This is actually simpler than for graphs.

#### Exercise 7.4. Prove your theorem.

*Proof.* Suppose  $v_1, v_2, \ldots, v_n$  are the corresponding vertices of  $a_1, a_2, \ldots, a_n$ . Multigraph  $\Rightarrow a_1 \leq a_2 + \cdots + a_n$ 

According to the handshake theorem,  $a_1 + a_2 + \cdots + a_n$  is even.

We can split vertices into two groups:  $v_1$  and  $v_2, \ldots, v_n$ .

For each edge E(u, v):

If u is  $v_1$  and v is in  $v_2, \ldots, v_n$ , then  $v_1$  gains degree of 1 and  $v_2, \ldots, v_n$  gain degree of 1.

If u is in  $v_2, \ldots, v_n$  and v is  $v_1$ , then  $v_1$  gains degree of 1 and  $v_2, \ldots, v_n$  gain degree of 1.

If u is in  $v_2, \ldots, v_n$  and v is  $v_2, \ldots, v_n$ , then  $v_1$  gains no degree and  $v_2, \ldots, v_n$  gain degree of 2.

Therefore, the degree of  $v_1$  must be less or equal than the total degree of  $v_2, \ldots, v_n$ , namely  $a_1 \leq a_2 + \cdots + a_n$ .

 $a_1 \leq a_2 + \dots + a_n \Rightarrow \text{multigraph}$ 

Case 1. When  $a_1 = a_2 + \cdots + a_n$ .

Construct multigraph by connecting  $a_i$  edges between  $v_1$  and  $v_i$ ,  $i \geq 2$ .

 $v_1$  gains  $a_2 + \cdots + a_n$  degrees and  $v_i$  gains  $a_i$  degree(s), so there is a multigraph.

Case 2. When  $a_1 < a_2 + \cdots + a_n$ . Let  $A = a_1, B = a_2 + \cdots + a_n$ .  $B - A = a_2 + \cdots + a_n - a_1$ .

Construct multigraph by connecting vertices in  $v_2, \ldots, v_n$  until the total left degree of  $v_2, \ldots, v_n$  is equal to the degree of  $v_1$ . Then it becomes **Case 1**.

More concretely, we can do this by following process:

For  $v_i$ ,  $i = n, n - 1, \dots, 2$ .

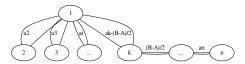
When  $a_i < B - A$ , then connect  $a_i$  edges between  $v_i$  and  $v_{i-1}$ .  $a_i$  becomes 0 and  $a_{i-1}$  becomes  $a_{i-1} - a_i$ . And B becomes  $B - 2a_i$ .

Now the new sequence is  $a_1, a_2, \ldots, a'_{i-1} = a_{i-1} - a_i, 0, \ldots, 0$ . The new B is  $B - 2a_i$ .

When  $a_i \geq B - A$ , then connect  $\frac{B-A}{2}$  edges between  $v_i$  and  $v_{i-1}$ .  $a_i$  becomes  $a_i - \frac{B-A}{2}$  and  $a_{i-1}$  becomes  $a_{i-1} - \frac{B-A}{2}$ . And B becomes B - (B-A) = A.

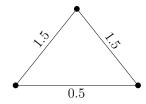
Now the new sequence is  $a_1, a_2, \ldots, a'_{i-1} = a_{i-1} - \frac{B-A}{2}, a'_i = a_i - \frac{B-A}{2}, 0, \ldots, 0,$   $A = a_1 = B = a_2 + \cdots + a'_{i-1} + a'_i$ . So it becomes **Case 1**, we can simply connect  $v_1$  with  $v_i, i = 2, 3, \ldots, n$ .

Since A + B is even, B - A is even, too, so  $\frac{B-A}{2}$  is an integer. And B - A < B, so there exists  $v_k$  such that  $a_k \ge B - A$ .



To sum up, we can construct a multigraph if  $a_1 + a_2 + \cdots + a_n$  is even and  $a_1 \leq a_2 + \cdots + a_n$ .

Weighted graphs. A weighted graph is a graph in which every edge e has a non-negative weight  $w_e$ . In such a graph the weighted degree of a vertex u is  $wdeg(u) = \sum_{\{u,v\} \in E} w_{\{u,v\}}$ .



This is an example of a weighted graph, which has score (3, 2, 2). Obviously no graph and no multigraph can have this score.

Exercise 7.5. State a score theorem for weighted graphs. That is, state something like

**Theorem 7.6** (Weighted Graph Score Theorem). Let  $(a_1, \ldots, a_n) \in \mathbb{R}_0^n$   $a_1 \geq a_2 \geq \cdots \geq a_n$ . There is a weighted graph with this score if and only if  $a_1 \leq a_2 + \cdots + a_n$ .

**Remark.** This is actually even simpler.

**Exercise 7.7.** Proof. Suppose  $v_1, v_2, \ldots, v_n$  are the corresponding vertices of  $a_1, a_2, \ldots, a_n$ .

Weighted Graph  $\Rightarrow a_1 \leq a_2 + \cdots + a_n$ 

We can split vertices into two groups:  $v_1$  and  $v_2, \ldots, v_n$ .

For each edge E(u, v):

If u is  $v_1$  and v is in  $v_2, \ldots, v_n$ , then  $v_1$  gains degree of the weight and  $v_2, \ldots, v_n$  gain degree of the weight.

If u is in  $v_2, \ldots, v_n$  and v is  $v_1$ , then  $v_1$  gains degree of the weight and  $v_2, \ldots, v_n$  gain degree of the weight.

If u is in  $v_2, \ldots, v_n$  and v is  $v_2, \ldots, v_n$ , then  $v_1$  gains no degree and  $v_2, \ldots, v_n$  gain the degree of double weight.

Therefore, the degree of  $v_1$  must be less or equal than the total degree of  $v_2, \ldots, v_n$ , namely  $a_1 \leq a_2 + \cdots + a_n$ .

 $a_1 \leq a_2 + \dots + a_n \Rightarrow \text{Weighted Graph}$ 

Case 1. When  $a_1 = a_2 + \cdots + a_n$ .

Construct Weighted Graph by connecting an edge of weight  $a_i$  between  $v_1$  and  $v_i$ ,  $i \geq 2$ .

 $v_1$  gains  $a_2 + \cdots + a_n$  degrees and  $v_i$  gains  $a_i$  degree(s), so there is a Weighted Graph.

Case 2. When  $a_1 < a_2 + \cdots + a_n$ . Let  $A = a_1$ ,  $B = a_2 + \cdots + a_n$ .  $B - A = a_2 + \cdots + a_n - a_1$ .

Construct Weighted Graph by connecting vertices in  $v_2, \ldots, v_n$  until the total left degree of  $v_2, \ldots, v_n$  is equal to the degree of  $v_1$ . Then it becomes **Case 1**.

More concretely, we can do this by following process:

For  $v_i$ ,  $i = n, n - 1, \dots, 2$ .

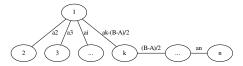
When  $a_i < B - A$ , then connect an edge of weight  $a_i$  between  $v_i$  and  $v_{i-1}$ .  $a_i$  becomes 0 and  $a_{i-1}$  becomes  $a_{i-1} - a_i$ . And B becomes  $B - 2a_i$ .

Now the new sequence is  $a_1, a_2, \ldots, a'_{i-1} = a_{i-1} - a_i, 0, \ldots, 0$ . The new B is  $B - 2a_i$ .

When  $a_i \geq B - A$ , then connect an edge of weight  $\frac{B-A}{2}$  between  $v_i$  and  $v_{i-1}$ .  $a_i$  becomes  $a_i - \frac{B-A}{2}$  and  $a_{i-1}$  becomes  $a_{i-1} - \frac{B-A}{2}$ . And B becomes B - (B - A) = A.

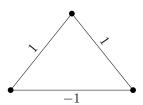
Now the new sequence is  $a_1, a_2, \ldots, a'_{i-1} = a_{i-1} - \frac{B-A}{2}, a'_i = a_i - \frac{B-A}{2}, 0, \ldots, 0,$   $A = a_1 = B = a_2 + \cdots + a'_{i-1} + a'_i$ . So it becomes **Case 1**, we can simply connect  $v_1$  with  $v_i, i = 2, 3, \ldots, n$ .

Since the sequence are sorted, there are no repeated weighted edges. And B - A < B, so there exists  $v_k$  such that  $a_k \ge B - A$ .



Therefore, we can construct a Weighted Graph if  $a_1 \leq a_2 + \cdots + a_n$ .

Allowing negative edge weights. Suppose now we allow negative edge weights, like here:



This "graph with real edge weights" has score (2,0,0). This score is impossible for graphs, multigraphs, and weighted graphs with non-negative edge weights.

Exercise 7.8. State a score theorem for weighted graphs when we allow negative edge weights. That is, state a theorem like

**Theorem 7.9** (Score Theorem for Graphs with Real Edge Weights). Let  $(a_1, \ldots, a_n) \in \mathbb{R}^n$ . There is a graph with real edge weights with this score if and only if  $n \geq 3$  or  $a_1 = a_2$  when n = 2 or  $a_1 = 0$  when n = 1.

#### Exercise 7.10. Prove your theorem.

*Proof.* Obviously, if there is a graph with real edge weights,  $n \ge 3$  or  $a_1 = a_2$  when n = 2 or  $a_1 = 0$  when n = 1.

Suppose  $v_1, v_2, \ldots, v_n$  are the corresponding vertices of  $a_1, a_2, \ldots, a_n$ .

When n = 1, obviously, there is a graph with real edge weights if and only if  $a_1 = 0$ .

When n = 2, obviously, there is a graph with real edge weights if and only if  $a_1 = a_2$ .

When n = 3, suppose x, y, z be the weight of  $E(v_2, v_3), E(v_1, v_3), E(v_1, v_2)$ . According to the degree of each vertices, there are equations as followings:

$$\begin{cases} x + y = a_3 \\ x + z = a_2 \\ y + z = a_1 \end{cases}$$

The solution is:

$$\begin{cases} x = \frac{a_2 + a_3 - a_1}{2} \\ y = \frac{a_1 + a_3 - a_2}{2} \\ z = \frac{a_1 + a_2 - a_3}{2} \end{cases}$$

Therefore, for every sequence  $a_1, a_2, a_3$ , there is a graph with real edge weights.

When  $n \geq 4$ .

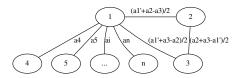
Connect an edge of weight  $a_i$  between  $v_i$  and  $v_1$ ,  $i \geq 4$ .

Now we can image  $v_1, v_4, \ldots, v_n$  as a "big" vertice  $v_1'$ . To promise the degree of  $v_1$ , the degree of the "big" vertice is  $a_1' = a_1 - a_4 - \cdots - a_n$ .

In fact, it becomes the cases that n = 3. Suppose x, y, z be the weight of  $E(v_2, v_3), E(v'_1, v_3), E(v'_1, v_2)$ .

$$\begin{cases} x + y = a_3 \\ x + z = a_2 \\ y + z = a'_1 \end{cases}$$

$$\begin{cases} x = \frac{a_2 + a_3 - a'_1}{2} \\ y = \frac{a'_1 + a_3 - a_2}{2} \\ z = \frac{a'_1 + a_2 - a_3}{2} \end{cases}$$

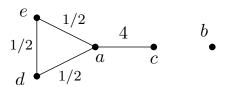


Therefore, for  $a_1, a_2, \ldots, a_n, n \geq 4$ , there is a graph with real edge weight.

**Exercise 7.11.** For each student ID  $(a_1, \ldots, a_n)$  in your group, check whether this is (1) a graph score, (2) a multigraph score, (3) a weighted graph score, or (4) the score of a graph with real edge weights.

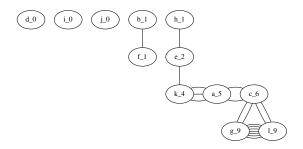
Whenever the answer is yes, show the graph, when it is no, give a short argument why.

**Example Solution.** My work ID is 50411. This is a weighted graph score, as shown by this picture:



This settles (3). It is not a multigraph score, because BLABLABLA. I won't give more details, as it might give too many hints about Exercise 7.2. Alright, this settles (2). Note that I do *not* need to answer (4), as this is already answered by (3). Neither do I need to answer (1), as a "no" for (2) implies a "no" for (1).

**Solution.** My student ID is 516021910049. This is a multigraph score, as shown by this picture:



This settles (2). It is not a graph score, because there are total 9 non-zero nodes, but the max degree is 9, so no more nodes to satisfy the degree.