

# Design and Analysis of Algorithms

## L24: Prim's Algorithm Minimum Cost Spanning Tree

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# Resources

- Text book 1: Sec 9.1-5.4 - Levitin
- RI: Introduction to Algorithms
  - Cormen et al.
- MIT Open Course Ware
  - [https://ocw.mit.edu/courses/civil-and-environmental-engineering/1-204-computer-algorithms-in-systems-engineering-spring-2010/lecture-notes/MIT1\\_204S10\\_lec11.pdf](https://ocw.mit.edu/courses/civil-and-environmental-engineering/1-204-computer-algorithms-in-systems-engineering-spring-2010/lecture-notes/MIT1_204S10_lec11.pdf)

# Spanning Tree

- Consider  $N$  number of villages in a district
- Government would like to ensure that these villages are connected by road
  - reachable from each other, may be via other villages
- The cost of laying road from one village to other villages is known
- Govt would like to incur minimum cost
- Which roads government should lay down
  - How many roads needs to be layed down.
- Answer: **M**inimum Cost **S**panning **T**ree
- Q: Provide other examples:

# Application of Spanning Trees

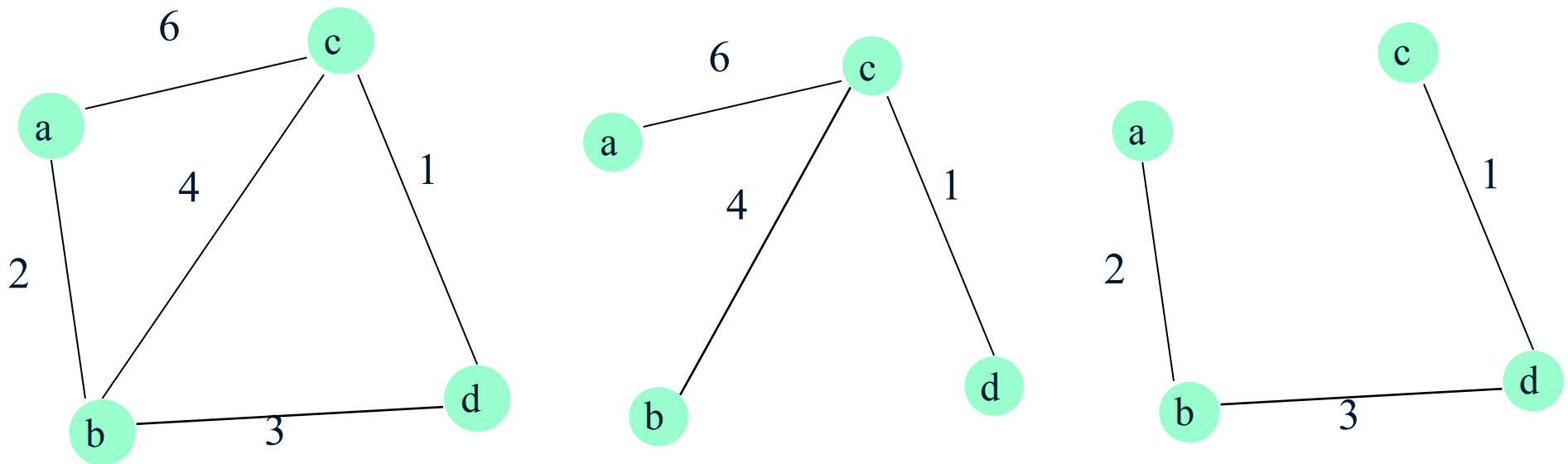
- Laying of utility lines
  - water,
  - electrical,
  - gas,
  - cable TV lines
  - ...
- Road distribution network
- Building floor/room corridors with single entry/exit point.

# Spanning Tree

- **Graph:**  $G = \{ V, E \}$ 
  - A set of nodes  $V$
  - A set of edges  $E = (u, v)$  connecting node  $u$  to node  $v$ .
- **Connected Graph:**
  - each node is reachable from any other node via some path.
  - There may exist multiple paths, (have cycles)
- **Spanning tree:**
  - A subgraph  $T$  of  $G$  i.e.  $T \subseteq G$  such that
    - It contains all the vertices  $V$  of  $G$  i.e. if  $v \in G \Rightarrow v \in T$
    - Between any two nodes  $u$  and  $v$ ,  $\exists$  only one path
    - i.e.  $T$  is acyclic

# Minimum Spanning Tree

- A minimum spanning tree of weighted connected graph  $G$  is a spanning tree  $T$  with minimum total weight.
- Examples:

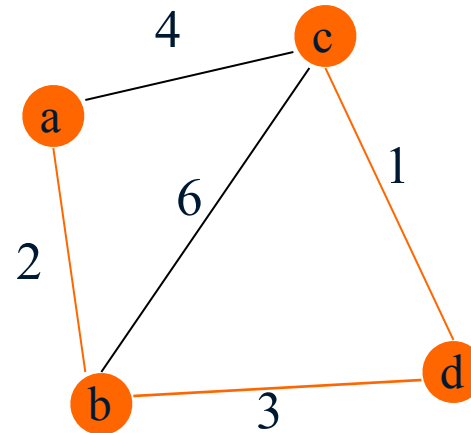
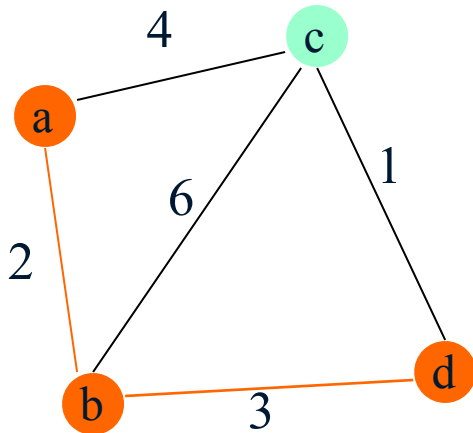
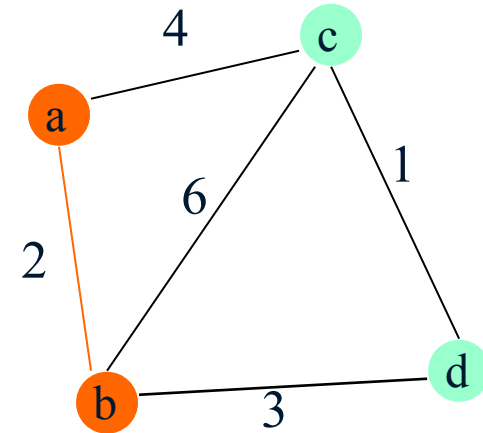
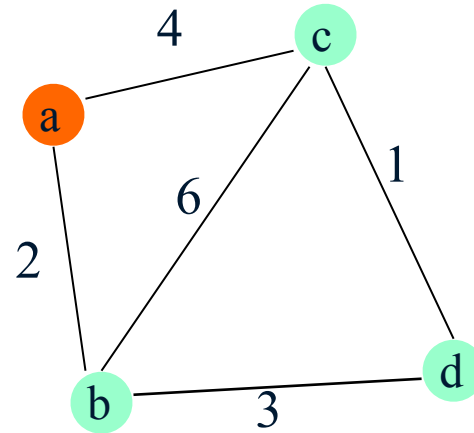
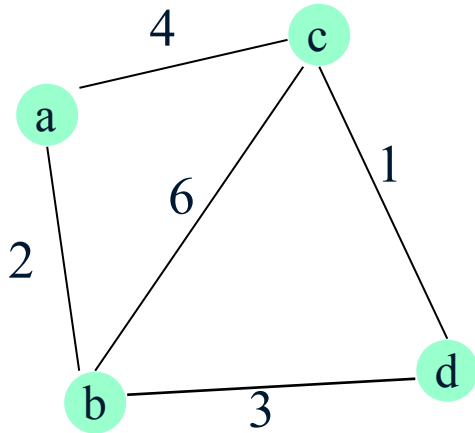


- Q: Are other spanning trees possible?
- Q: What happens when all edges have same weight?

# Prim's MST Algorithm

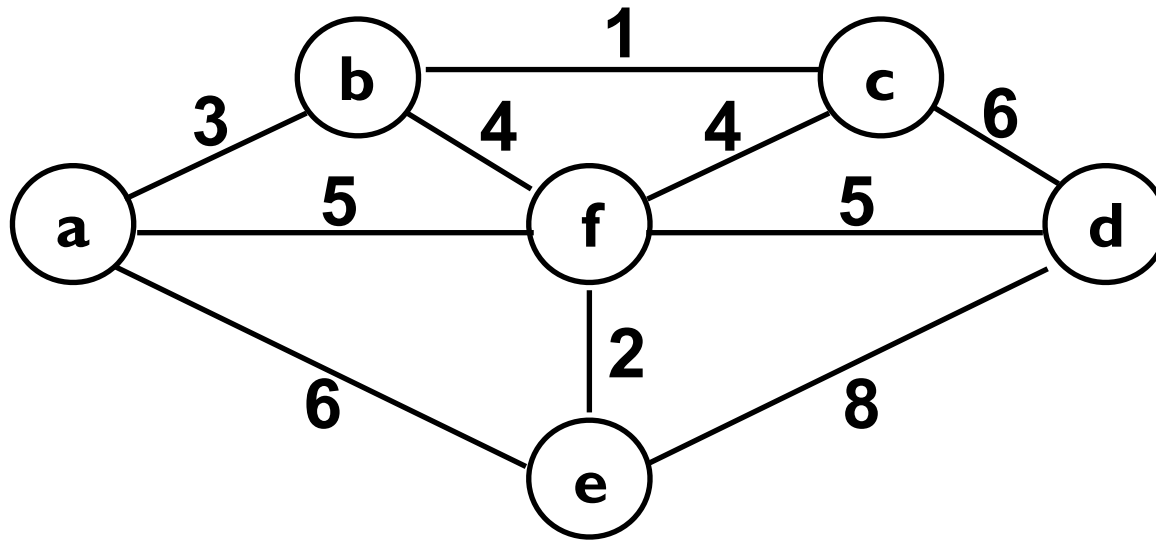
- Approach:
  - Start with tree  $T_1$  consisting of one (any) vertex, and
    - grow tree one vertex at a time to produce MST
    - through a series of expanding subtrees  $T_1, T_2, \dots, T_n$
- Greedy Approach:
  - On each iteration, construct  $T_{i+1}$  from  $T_i$ 
    - Add a vertex not in  $T_i$  which is
      - closest to those already in  $T_i$
      - this is a **greedy** step!
  - Stop when all vertices are included.

# Example I: Prim's MST



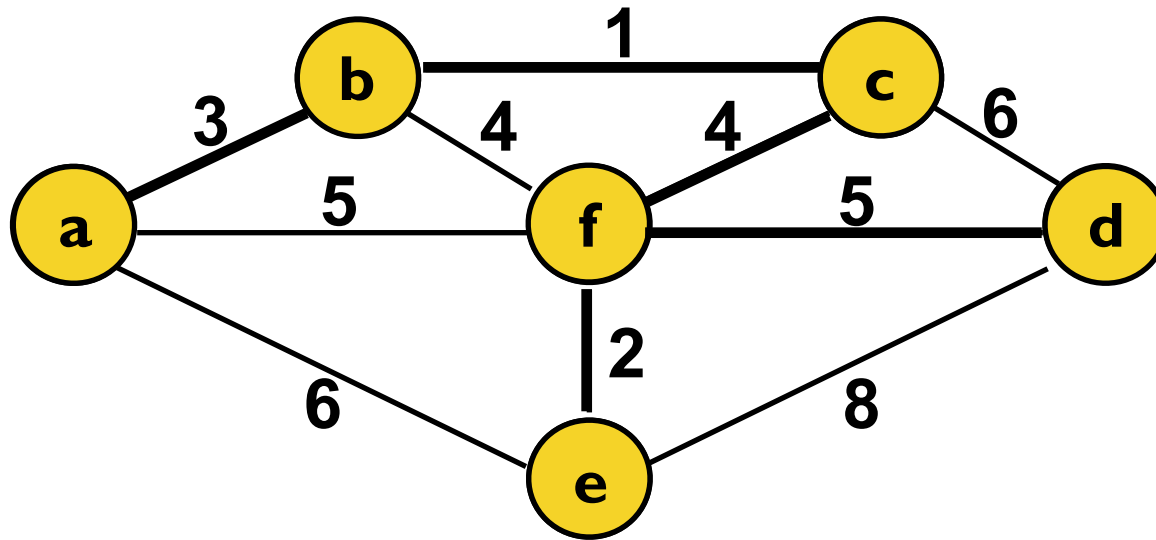


# Example 2: Prim's MST



- Q: Construct an MST starting from vertex a

# Example 2: Prim's MST



$w(a) : 0, w(b) : \infty, w(c) : \infty, w(d) = \infty, w(e) = \infty, w(f) = \infty$

$w(\mathbf{a}) : 0, w(b) : 3, w(c) : \infty, w(d) = \infty, w(e) = 6, w(f) = 5$

$w(a) : 0, w(\mathbf{b}) : 3, w(c) : 1, w(d) = \infty, w(e) = 6, w(f) = 4$

$w(a) : 0, w(b) : 3, w(\mathbf{c}) : 1, w(d) = 6, w(e) = 6, w(f) = 4$

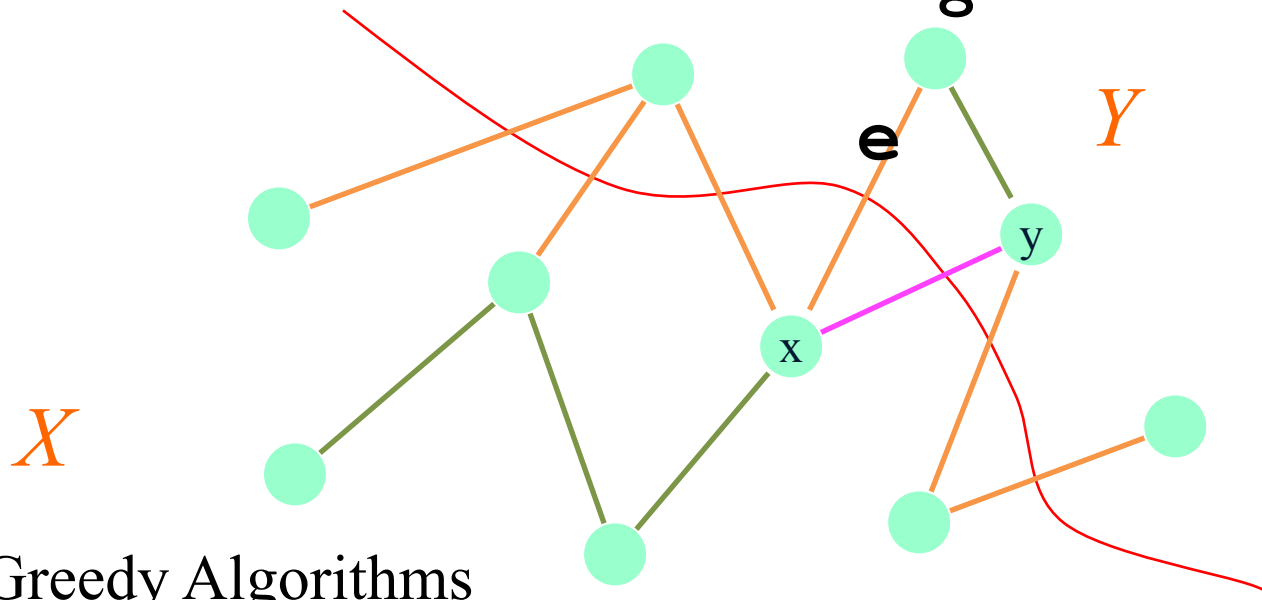
$w(a) : 0, w(b) : 3, w(c) : 1, w(d) = 5, w(e) = 2, w(\mathbf{f}) = 4$

$w(a) : 0, w(b) : 3, w(c) : 1, w(d) = 5, w(\mathbf{e}) = 2, w(f) = 4$

$w(a) : 0, w(b) : 3, w(c) : 1, w(\mathbf{d}) = 5, w(e) = 2, w(f) = 4$

# Prim's Algo: Proof by Induction

- Claim: Let  $G = (V, E)$  be a weighted graph and  $(X, Y)$  be a partition of  $V$  (called a *cut*).
- Suppose  $e = (x, y)$  is an edge of  $E$  across the cut, where
  - $x$  is in  $X$ , and
  - $y$  is in  $Y$ , and
  - $e$  has the minimum weight among all such crossing edges (called a *light edge*).
- Then there is an MST containing  $e$ .



# Prim's Algo

- Needs priority queue for implementation

**Algo:**  $\text{Prim}(G)$

**// i/p:** A weighted connected graph  $G = (V, E)$

**// o/p:**  $E_T$ , the set of edges composing an MST of  $G$

$V_T \leftarrow \{v_0\}$  # initialize with any vertex

$E_T \leftarrow \emptyset$

**for**  $i=1$  **to**  $|V|-1$  **do**

**find a min weight edge**  $e^* = (v^*, u^*)$  **among all**  
    **edges**  $(v, u)$  **such that**  $v \in V_T$  **and**  $u \in |V| - V_T$

$V_T \leftarrow V_T \cup \{v^*\}$

$E_T \leftarrow E_T \cup \{e^*\}$

**return**  $E_T$

# Prim's Algo: Efficiency

- Efficiency depends upon implementation
- Maintain  $V - V_T$  in priority queue
- Initially, assign a weight(value) of  $\infty$  to each vertex
- Weight of each edge is known (given graph  $G$ )
- Using Adjacency weight matrix
  - If priority queue is maintained in an unordered array
    - vertex can be accessed by index in the array
  - Picking min vertex  $u$  takes  $|V|$  time.
    - Requires linear search in array
  - For each edge  $(u, w)$ , update the weight of  $w$ 
    - $\text{weight}(w) = \min(\text{weight}(w), \text{weight}(u, w))$
  - **Total time:**  $O(|V|^2 + |E|) = O(|V|^2)$

# Prim's Algo: Efficiency

- Efficiency depends upon implementation
- Maintain  $V - V_T$  in priority queue
- Initially, assign a weight(value) of  $\infty$  to each vertex
- Weight of each edge is known (given graph  $G$ )
- Using Adjacency weight List
  - Maintain priority queue is BinSearch Tree
    - Height of the tree is  $\lg |V|$
  - Find vertex  $u$  with min weight is  $O(1)$  time
  - For each edge  $(u, w)$ , update the weight of  $w$ 
    - $\text{weight}(w) = \min(\text{weight}(w), \text{weight}(u, w))$
    - Time taken to adjust BinSearch Tree is  $O(\lg |V|)$
  - Total time:  $O(E * \lg |V|)$

# Summary

- Minimum Spanning Tree
- Prim's algorithm
- Time efficiency
  - Depends upon implementation