

1

Priority Queue ADT

Priority Queues are a special type of ADT that stores maps of key-value items where we can remove the smallest or the largest item in a min or max PQ respectively.

- `insert(k,v)`: Inserts item with key `k` and value `v`.
- `remove_min()`/`remove_max()`: Removes & returns the item with smallest/largest key.
- `min()`/`max()`: Returns item with the smallest/largest key.
- `size()`: Returns how many items are stored.
- `is_empty()`: Tests if queue is empty.

1.1 Sequence based Priority Queue

Unsorted list implementation

- `insert` runs in $O(1)$ time since we can insert the item at the beginning or end of the sequence.
- `remove_min` and `min` and their equivalents run in $O(n)$ time since we have to traverse the entire list to find the smallest key.

Sorted list implementation

- `insert` runs in $O(n)$ time since we have to find the correct place in the order to insert the item.
- `remove_min` and `min` and their equivalents run in $O(1)$ time since the smallest key is at the beginning.

2

Priority Queue Sorting

We can use a priority queue to sort a list of keys. To do so, first iteratively insert keys into an empty PQ. Then iteratively `remove_min` to get the keys in sorted order. Either sequence based implementation takes $O(n^2)$.

```

1. def priority_queue_sorting(A):
2.     pq ← new priority queue
3.     n ← size(A)
4.     for i in [0:n] do do
5.         pq.insert(A[i])
6.         pq.insert(A[i])
7.         for i in [0:n] do
8.             A[i] = pq.remove_min()

```

2.1 Selection Sort

Selection sort is a variant of pq sort that uses unsorted sequence implementation. The algorithm works by first inserting elements with n insert operations which takes $O(n)$ time. It then removes elements with n remove_min operations which takes $O(n^2)$.

```

1. def selection_sort(A):
2.     n ← size(A)
3.     for i in [0:n] do                                     # find s >= i minimizing A[s]
4.         s ← i
5.         for j in [i:n] do
6.             if A[j] < A[s] then
7.                 s ← j
8.         A[i], A[s] ← A[s], A[i]                           # swap A[i] and A[s]

```

2.2 Insertion Sort

Variant of pq-sort using sorted sequence implementation that first inserts elements with n insert operations which takes $O(n^2)$ time before removing elements with n remove_min operations which takes $O(n)$ time.

```

1. def insertion_sort(A):
2.     n ← size(A)
3.     for i in [1:n] do
4.         x ← A[i]                                           # move forward entries > x
5.         j ← i
6.         while j > 0 and x < A[j-1] do
7.             A[j] ← A[j-1]
8.             j ← j - 1                                       # if x > 0, x >= A[j-1]
9.         A[j] ← x                                           # if j < i, x < A[j+1]

```

Heap data structure (min-heap)

A heap is a binary tree storing (key, value) items at its nodes. It satisfies the properties:

- Heap-order: for every node $m = \text{root}$, $\text{key}(m) \geq \text{key}(\text{parent}(m))$
- Complete Binary Tree: let h be the height. Every level $i < h$ is full (i.e., there are 2^i nodes). Remaining nodes take leftmost positions of level h .

RTP: The root always holds the smallest key in the heap

- Suppose the minimum key is at some internal node x .
- Because of the heap property, as we move up the tree, the keys can only get smaller (assuming repeats, otherwise contradiction)
- If x is not the root, then its parent must hold a smaller key.
- Keep going until we reach the root.

RTP: A heap storing n keys has height $\log n$

- Let h be the height of a heap storing n keys
- Since there are 2^i keys at depth $i = 0, \dots, h-1$ and at least one key at depth h , we have $n \geq 1 + 2 + 4 + \dots + 2^{h-1} + 1$
- Thus, $n \geq 2^h$, applying \log_2 on both sides, $\log_2 n \geq h$

3.1 Insertion into a Heap

Firstly, create a new node with the given key. Find location for new node. Restore the heap-order property.

3.2 Upheap

Restore heap-order property by swapping keys along the upward path.

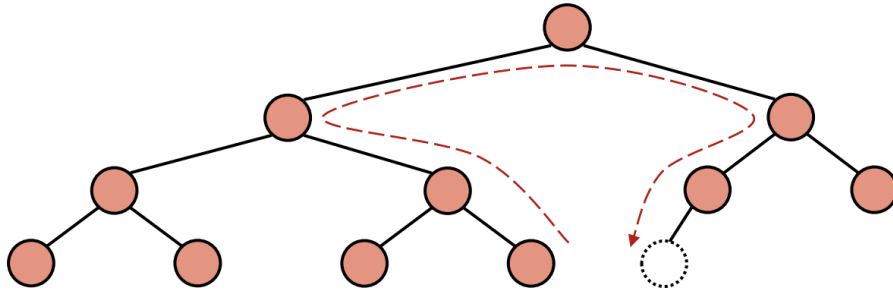
```

1. def up_heap(z):                                     # O(log(n))
2.     while z != root and key(parent(z)) > key(z) do
3.         swap key of z and parent(z)
4.         x ← parent(z)

```

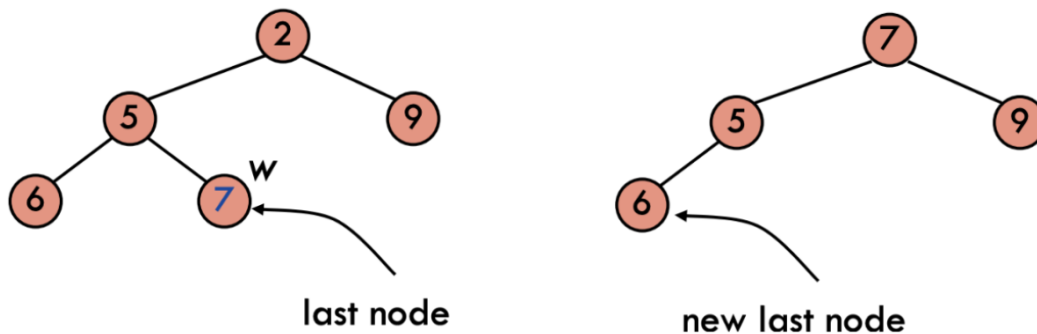
3.3 Finding the position for insertion: $O(\log n)$

- Start from the last node
- Go up until a left child or the root is reached
- If we reach the root then need to open a new level
- Otherwise, go to the sibling (right child of parent)
- Go down left until a leaf is reached



3.4 Removal from a heap

Replace the root key with the key of the last node w . Delete w . Restore the heap-order property



3.5 Downheap

Restore heap-order property by swapping keys along downward path from the root.

```
1. def down_heap(z):                                     #  $O(\log(n))$ 
2.   while z has child with key(child) < key(z) do
3.     x ← child of z with the smallest key
4.     x ← parent(z)
5.     z ← x                                             # swap keys of z and x
```

Heap-Sort

Consider a priority queue with n items implemented with a heap: the space used is $O(n)$ methods insert and remove_min take $O(\log n)$. Heap-sort is the version of priority-queue sorting that implements the priority queue with a heap. It runs in $O(n \log n)$ time.

4.1 Heap-in-array implementation

We can represent a heap with n keys by means of an array of length n .

- The root is at 0.
- The last node is at $n-1$.
- The left child of i is at index $2i+1$.
- The right child of i is at index $2i+2$.
- The parent of i is at index $\text{floor}((i-1)/2)$.

4.2 Summary of Heap-Sort

Heap-sort can be arranged to work in place using part of the array for the output and part for the priority queue [A heap on \$n\$ keys can be constructed in \$O\(n\)\$ time](#). But the n remove_min still take $O(n \log n)$ time. Some other operations include:

- remove(e): Remove item e from the priority queue.
- replace_key(e, k): Update key of item e with k .
- replace_value(e, v): Update value of item e with v .

Method	Unsorted List	Sorted List	Heap
size, isEmpty	$O(1)$	$O(1)$	$O(1)$
insert	$O(1)$	$O(n)$	$O(\log n)$
min	$O(n)$	$O(1)$	$O(1)$
removeMin	$O(n)$	$O(1)$	$O(\log n)$
remove	$O(1)$	$O(1)$	$O(\log n)$
replaceKey	$O(1)$	$O(n)$	$O(\log n)$
replaceValue	$O(1)$	$O(1)$	$O(1)$