

Homework 5 (Due Feb 15, 2023)

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Justify all of your answers completely.

1. A *permutation matrix* is a square matrix with entries in $\{0, 1\}$ such that each row and each column have exactly one 1. Prove that a square matrix of nonnegative integers can be expressed as a sum of k permutation matrices if and only if all rows and column sums equal k .

Proof. (\implies):

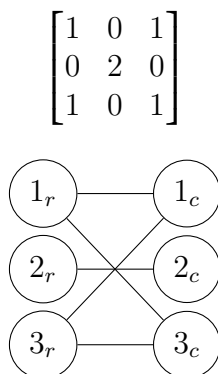
Assume a square matrix of nonnegative integers can be expressed as a sum of k permutation matrices. Then each of the k matrices has a single 1 in each row and column. Then each sum of the rows and columns will be $1 + \dots + 1$, with k 1's. This equates to k for each row and column. ■

(\impliedby): Let us induct on all rows and columns summing to k .

Base Case: $k = 1$, this is already a permutation matrix, so it is a sum of 1 permutation matrix.

Induction Step: Let $M_{n \times n}$ be a matrix whose rows and columns each sum up to k , for some natural number n . Assume $\forall k' < k$ s.t. any square matrix whose rows and columns each sum up to k' be able to be expressed as a sum of k' permutation matrices.

Let us construct a bipartite graph $G = X \cup Y$, where $X = [n]$ and $Y = [n]$. $\forall x \in X, y \in Y : xy \in E(G) \iff M_{x,y} > 0$. An example is below:



If we can show there is a perfect matching on this graph, then there is a permutation matrix we can subtract off of the matrix, as every row will be paired with a column. Let $S \subseteq X$. Since M has rows adding up to k , $\sum_{x \in S} \sum_{y \in Y} M_{x,y} = k|S|$. The neighborhood of S will contain every column that has a positive number in any of the rows. If a column is not in the neighborhood, then it has a 0 in every row in S . So the columns outside of $N(S)$ do not contribute to that summation. So $\sum_{x \in S} \sum_{y \in Y} M_{x,y} = \sum_{x \in S} \sum_{y \in N(S)} M_{x,y} = k|S|$. Since S is a subset of X , $\sum_{x \in S} \sum_{y \in N(S)} M_{x,y} \leq \sum_{x \in X} \sum_{y \in N(S)} M_{x,y}$. Since the columns add up to k , $\sum_{x \in X} \sum_{y \in N(S)} M_{x,y} = k|N(S)|$. So we have $k|S| = \sum_{x \in S} \sum_{y \in N(S)} M_{x,y} \leq \sum_{x \in X} \sum_{y \in N(S)} M_{x,y} = k|N(S)|$. So $k|S| \leq k|N(S)| \implies |S| \leq |N(S)|$. So by Hall's theorem, we know we can subtract off a permutation matrix from M . We shall call this subtracted permutation matrix P and the new matrix M' . We have $M = P + M'$. Since P is a permutation matrix, each row and column of M' now adds up to $k - 1$. By the induction hypothesis, we know M' can be expressed as a sum of $k - 1$ permutation matrices. So M can be expressed as those same permutations matrices plus P . ■

2. Recall that $\alpha'(G)$ denotes the maximum size of a matching in G and $\beta(G)$ denotes the minimum size of a vertex cover of G .

- (a) Prove that for every graph G , $\beta(G) \leq 2\alpha'(G)$.

Proof. Let M be a maximum matching of G . For each edge in M , let us put both vertices incident into our vertex cover. So this vertex cover, we'll denote C , has size $2\alpha'(G)$. It suffices to show that this is always a valid vertex cover. Assume towards contradiction that this is not a complete vertex cover. So then $\exists e \in E(G)$ that is not incident to any vertex in C . Since neither vertex incident to e is in C , neither vertex was saturated by M . So we could add e to M and still have a matching. But M was maximum, a contradiction. So C is a valid vertex cover. ■

- (b) For every $k \in \mathbb{N}$, construct a graph G with $\alpha'(G) = k$ and $\beta(G) = 2k$.

Let us consider a graph with k separate K_3 induced subgraphs. We know that $\alpha'(K_3) = 1$ and $\beta(K_3) = 2$. As our graph has k many K_3 components, we get $\alpha'(G) = 1 \cdot k$ and $\beta(G) = 2 \cdot k$.

3. Matchings and vertex covers

- (a) Use the König–Egerváry Theorem to prove every bipartite graph G has a matching of size at least $\frac{|E(G)|}{\Delta(G)}$.

Proof. Let C be a minimum vertex cover for some graph G , then

$$|E(G)| \leq \sum_{v \in C} d(v) \leq \sum_{v \in C} \Delta(v) = |C|\Delta(G)$$

. So for any graph, $|E(G)| \leq \beta(G)\Delta(G)$. Now assume G is a bipartite graph.

$$\begin{aligned} \beta(G)\Delta(G) &\geq |E(G)| \\ \iff \alpha'(G)\Delta(G) &\geq |E(G)| \quad \text{By König–Egerváry Theorem} \\ \iff \alpha'(G) &\geq \frac{|E(G)|}{\Delta(G)} \end{aligned}$$

■

- (b) Use (a) to conclude that every subgraph of $K_{n,n}$ with more than $(k-1)n$ edges has a matching of size at least k .

Proof. Let H be a subgraph of $K_{n,n}$ with more than $(k-1)n$ edges. From (a), \exists a matching M s.t. $|M| \geq \frac{|E(G)|}{\Delta(G)}$.

$$\begin{aligned} |M| &\geq \frac{|E(G)|}{\Delta(G)} \\ &> \frac{(k-1)n}{\Delta(G)} \\ &\geq \frac{(k-1)n}{n} && \text{Since } \Delta(K_{n,n}) \leq n \\ &= k-1 \end{aligned}$$

So $|M| > k-1 \implies |M| \geq k$.

■

4. Recall that $\alpha(G)$ is the size of a largest independent set in G .

- (a) Prove that if G is an n -vertex bipartite graph, then $\alpha(G) \geq n/2$.

Since G is bipartite, at least one of the bipartitions will have at least $n/2$ vertices. Just take that set and boom, we have $\alpha(G) \geq n/2$.

- (b) Prove that for any n -vertex graph G , $\alpha(G) \geq \frac{n}{\Delta(G)+1}$.

Proof. Let us consider the maximum independent set. The neighborhood of the independent set is the rest of the graph, which is also a vertex cover. The maximum size the neighborhood can be is if all vertices in the independent set had a max degree, so $\alpha(G)\Delta(G)$.

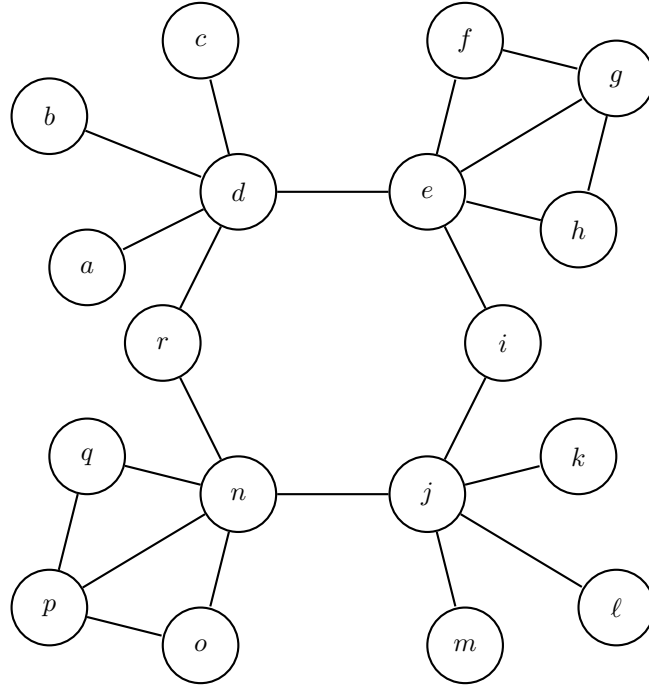
$$\begin{aligned} \alpha(G)\Delta(G) \geq \beta(G) &\iff \alpha(G)\Delta(G) \geq n - \alpha(G) \iff \alpha(G)\Delta(G) + \alpha(G) \geq n \\ &\iff \alpha(G) \geq \frac{n}{\Delta(G) + 1} \end{aligned}$$

■

- (c) Show that (b) is best possible by constructing for every pair of non-negative integers r, s a graph G with $\alpha(G) = r$, $\Delta(G) = s$, and $\alpha(G) = \frac{n}{\Delta(G)+1}$.

To do this, we will need $r = \frac{n}{\Delta(G)+1} \implies n = r(s+1)$. A simple trick will be to have G have r different connected components, with each component having a maximum independent set of size 1. Complete graph do such a thing. The size of those complete components will then need to be $s+1$ to satisfy the number of vertices condition and the $\Delta(G)$ condition. So then r connected components of K_{s+1} will satisfy the desired results.

5. Consider the graph G below.



- (a) Find a matching of size 6 in G .

Let $M = cd, fg, eh, rn, po, jl$.

- (b) Prove that your matching in part (a) is maximum using the Berge–Tutte Formula.

Let $S = \{d, j\}$. Then the deficiency for that set is $o(G - S) - |S| = 8 - 2 = 6$. $n - 6 = 18 - 6 = 12$. So if S is the set for the max deficiency, then the max matching will saturate 12 vertices. My matching in (a) does, so it is max.

- (c) Prove that your matching in part (a) is maximum using the König–Egerváry Theorem.

Let $C = \{p, n, g, e, d, j\}$. This is a vertex cover of size 6. Since we have a vertex cover the same size of a matching, we know by strong duality that they are both optimal.