### Lab 5: Pitch Detection in Audio

In this lab, we will use numerical optimization to find the pitch and harmonics in a simple audio signal. In addition to the concepts in the <u>gradient descent demo (./grad\_descent.ipvnb)</u>, you will learn to:

- · Load, visualize and play audio recordings
- · Divide audio data into frames
- Perform nested minimization

The ML method presented here for pitch detection is actually not a very good one. As we will see, it is highly susceptible to local minima and quite slow. There are several better <u>pitch detection algorithms</u> (<a href="https://en.wikipedia.org/wiki/Pitch detection algorithm">https://en.wikipedia.org/wiki/Pitch detection algorithm</a>), mostly using frequency-domain techniques. But, the method here will illustrate non-linear estimation well.

### **Reading the Audio File**

Python provides a very simple method to read a wav file in the scipy.io.wavefile package. We first load that along with the other packages.

```
In [1]: from scipy.io.wavfile import read
  import numpy as np
  import matplotlib.pyplot as plt
  %matplotlib inline
```

In the github repository, you should find a file, viola.wav (./viola.wav). Download this file to your local directory. Although the file is included in the github repository, you can find it along with many other audio samples in CCRMA audio website (https://ccrma.stanford.edu/~jos/pasp/Sound Examples.html). After you have downloaded the file, you can then read the file with the read command. Print the sample rate in Hz, the number of samples in the file and the file length in seconds.

```
In [2]: # Read the file
    sr, y = read('viola.wav')

# Convert to floating point values so that computations below do not over
    flow
    y = y.astype(float)

# TODO: Print sample rate, number of samples and file length in second
    s.
    print ("sr = {} Hz".format(sr))
    print("length = {:.3f} s".format(len(y)/sr))

sr = 44100 Hz
length = 6.788 s
```

You can then play the file with the following command. You should hear the viola play a sequence of simple notes.

For the analysis below, it will be easier to re-scale the samples so that they have an average squared value of 1. Find the scale value in the code below to do this.

```
In [4]: # TODO
# scale = ...
# y = y / scale
print(np.mean(y ** 2))

scale = np.mean(y ** 2)
y = y / np.sqrt(scale)

print(np.mean(y**2))
print(y.shape)

45668243.5215
1.0
(299350,)
```

# **Dividing the Audio File into Frames**

In audio processing, it is common to divide audio streams into short frames (typically between 10 to 40 ms long). Since frames are often processed with an FFT, the frames are typically a power of two. Analysis is then performed in the frames separately. Given the vector y, create a nfft x nframe matrix yframe where

```
yframe[:,0] = samples y[k], k=0,...,nfft-1
yframe[:,1] = samples y[k], k=nfft,...,2*nfft-1,
yframe[:,2] = samples y[k], k=2*nfft,...,3*nfft-1,
...
```

You can do this with the reshape command with order=F. Zero pad y if the number of samples of y is not divisible by nfft. Print the total number of frames as well as the length (in milliseconds) of each frame.

Note that in actual audio processing, the frames are typically overlapping and use careful windowing. But, we will ignore that here for simplicity.

```
In [5]: # Frame size
    nfft = 1024

# TODO:
    # nframe = ...
    # yframe = ...

nframe = len(y) // nfft
    num_zeros = nfft - (len(y)-nframe*nfft)

y = np.hstack((y, np.zeros(num_zeros)))
    nframe = len(y) // nfft

yframe = y.reshape(nfft, nframe, order='F')
```

```
In [6]: print(y.shape, yframe.shape, "taking a {:.3f} second
    sample".format(nfft/sr))

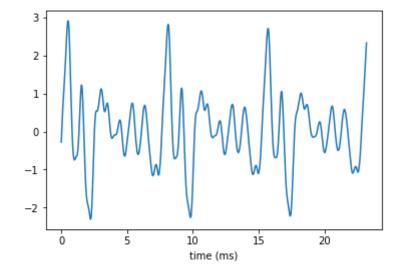
(300032,) (1024, 293) taking a 0.023 second sample
```

Let i0=10 and set yi=yframe[:,i0] be the samples of frame i0. We will use this frame for most of the rest of the lab. Plot the samples of yi. Label the time axis in milliseconds (ms).

```
In [7]: # Get samples from frame 10
   i0 = 10
   yi = yframe[:,i0]

# TODO: Plot yi vs. time (in ms)
   plt.plot(1000*np.arange(nfft)/sr, yi)
   plt.xlabel("time (ms)")
```

Out[7]: <matplotlib.text.Text at 0x11187bb70>



### Fitting a Multi-Sinusoid

A common model for audio samples, yi[k], containing an instrument playing a single note is the multi-sinusoid model:

$$\label{eq:cos_simp} \begin{split} \text{yi[k] } & \text{approx yhati[k] = c } + \sum_{j=0}^{\text{nterms-1}} a[j] * \cos(2*np.pi * k * freq0 * (j+1)/sr) \\ & + b[j] * \sin(2*np.pi * k * freq0 * (j+1)/sr), \\ & y_{ik} \approx \hat{y}_{ik} = c + \sum_{j=0}^{\text{nterms-1}} a_j \cos(2\pi k \cdot \text{freq}_0 \frac{j+1}{\text{sr}}) + b_j \sin(2\pi k \cdot \text{freq}_0 \frac{j+1}{\text{sr}}), \end{split}$$

where sr is the sample rate. The parameter freq0 is called the fundamental frequency and the audio signal is modeled as being composed of sinusoids and cosinusoids with frequencies equal to integer multiples of the fundamental. In audio processing, these terms are called *harmonics*. In analyzing audio signals, a common goal is to determine both the fundamental frequency freq0 (the pitch of the audio) as well as the coefficients of the harmonics,

```
beta = (c, a[0], ..., a[nterms-1], b[0], ..., b[nterms-1]).
```

To find the parameters, we will fit the mean squared error loss function:

```
mse(freq0,beta) := 1/N * \sum k (yi[k] - yhati[k])**2, N = len(yi).
```

In practice, a separate model would be fit for each audio frame. But, in this lab, we will mostly look at a single frame.

#### **Nested Minimization**

We will perform the minimization of mse in a nested manner: First, given a fundamental frequency freq0, we minimize over the coefficients beta. Call this minimum mse1:

```
msel(freq0) := min beta mse(freq0,beta)
```

Importantly, this minimizaiton can be performed by least-squares. Then, we find the fundamental frequency freq0 by minimizing mse1:

```
min {freq0} mse1(freq0)
```

We will use gradient-descent minimization with msel(freq0) as the objective function. This form of nested minimization is commonly used whenever we can minimize over one set of parameters easily given the other.

## **Setting Up the Objective Function**

We will use the class AudioFitFn below to perform the two-part minimization. Complete the feval method in the class. The method should take the argument freq0 and perform the minimization of the MSE over beta. Specifically, fill the code in feval to perform the following:

- Construct a matrix, A such that yhati = A\*beta.
- Find betahat with the np.linalg.lstsq() method using the matrix A and the samples self.yi. This is simpler than constructing a linear regression object.
- Compute and store the estimate self.yhati = A.dot(betahat).
- Compute the mse1, the minimum MSE, by comparing self.yhati and self.yi.
- For now, set the gradient to mse1\_grad=0. We will fill this part in later.
- Return mse1 and mse1 grad.

```
In [8]: class AudioFitFn(object):
            def __init__(self,yi,sr=44100,nterms=8):
                A class for fitting
                yi: One frame of audio
                 sr: Sample rate (in Hz)
                 nterms: Number of harmonics used in the model (default=8)
                self.yi = yi
                 self.sr = sr
                 self.nterms = nterms
            def feval(self,freq0):
                 Optimization function for audio fitting. Given a fundamental fr
        equency, freq0, the
                method performs a least squares fit for the audio sample using t
        he model:
                yhati[k] = c + \sum_{j=0}^{n} \frac{j}{x \cos(2*np.pi*k*freq0*(j))}
        +1)/sr)
                                                    + b[j]*sin(2*np.pi*k*freq0*(j
        +1)/sr)
                 The coefficients beta = [c,a[0],...,a[nterms-1],b[0],...,b[nterm
        s-1]]
                 are found by least squares.
                Returns:
                         The MSE of the best least square fit.
                msel grad: The gradient of msel wrt to the parameter freq0
                 11 11 11
                 # TODO
                 \# mse1 = ...
                 # setup the A matrix to be nfft \times (1+2n)
```

```
A = np.zeros((len(self.yi), self.nterms*2))
A = np.column_stack((np.ones(len(self.yi)), A))
for k in range(len(self.yi)):
    for j in range(self.nterms):
        A[k][1 + j] = np.cos(2*np.pi*k*freq0*(j+1)/sr)
        A[k][1+j+self.nterms] = np.sin(2*np.pi*k*freq0*(j+1)/sr)

# get the least squares fit for the paramiters beta
betahat = np.linalg.lstsq(A, yi)[0]

# compute and store the estimate of y_i, yhati
self.yhati = np.dot(A, betahat)

# compute MSE
msel = np.mean( (self.yi - self.yhati) ** 2)

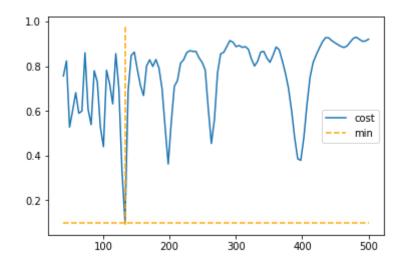
# Compute the gradient wrt to freq0
msel_grad = 0
return msel, msel_grad
```

Instatiate an object, audio\_fn from the class AudioFitFn with the samples yi. Then, using the feval method, compute and plot mse1 for 100 values freq0 in the range of 40 to 500 Hz. You should see a minimum around freq0 = 130 Hz, but there are several other local minima.

```
In [9]:
        # TODO
        audio fn = AudioFitFn(yi)
        freqs = np.linspace(40, 500, 100)
        mse1 = []
        for freq in freqs:
            mse = audio_fn.feval(freq)[0]
            msel.append(mse)
        optFreq = freqs[np.argmin(mse1)]
        print("opt freq0 =", optFreq)
        plt.plot(freqs, mse1, label='cost')
        plt.plot([optFreq, optFreq], [0.9*np.min(mse1), 1.05*np.max(mse1)], '--
        ', label='min', color='orange')
        plt.plot([freqs[0], freqs[-1]], [np.min(mse1),np.min(mse1)], '--',
        color='orange')
        plt.legend()
```

opt freq0 = 132.929292929

Out[9]: <matplotlib.legend.Legend at 0x1125f9b00>



Print the value of freq0 that achieves the minimum mse1. Also, plot the estimated function audio\_fn.yhati for that along with the original samples yi.

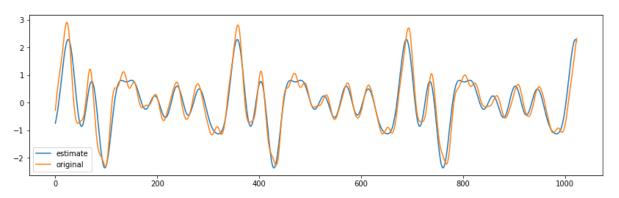
```
In [10]: # TODO
    audio_fn.feval(optFreq)

    print("opt freq0 =", optFreq)

    plt.figure(figsize=(14,4))
    plt.plot(audio_fn.yhati, label="estimate")
    plt.plot(yi, label="original")
    plt.legend()

    opt freq0 = 132.929292929
```

Out[10]: <matplotlib.legend.Legend at 0x11270fb70>



## **Computing the Gradient**

The above method found the estimate for freq0 by performing a search over 100 different frequency values and selecting the frequency value with the lowest MSE. We now see if we can estimate the frequency with gradient descent minimization of the MSE. We first need to modify the feval method in the AudioFitFn class above to compute the gradient. Some elementary calculus (see the homework), shows that

```
dmse1(freq0)/dfreq0 = dmse(freq0,betahat)/dfreq0
```

So, we just need to evaluate the partial derivative of mse = np.mean((yi-yhati)\*\*2) with respect to the parameter freq0 holding the parameters beta=betahat. Modify the feval method above to compute the gradient and return the gradient in  $msel_grad$ .

Then, test the gradient by taking two close values of freq0, say freq0\_0 and freq0\_1 and verifying that first-order approximation holds.

```
In [11]: def new feval(self, freq0):
         # TODO
                 # mse1 = ...
                 # setup the A matrix to be nfft \times (1+2n)
                 A = np.zeros((len(self.yi), self.nterms*2))
                 A = np.column_stack((np.ones(len(self.yi)), A))
                 for k in range(len(self.yi)):
                     for j in range(self.nterms):
                         q = 2*np.pi*k*(j+1)/sr
                         A[k][1 + j]
                                                  = np.cos(q*freq0)
                         A[k][1+j + self.nterms] = np.sin(q*freq0)
                 # get the least squares fit for the paramiters beta
                 betahat = np.linalq.lstsq(A, yi)[0]
                 # compute and store the estimate of y i, yhati
                 self.yhati = np.matmul(A, betahat)
                 # compute MSE
                 mse1 = np.mean( (self.yi - self.yhati) ** 2)
                 # Compute the gradient wrt to freq0
                 msel grad = 0
                 dJ_dyhat = 2*(self.yhati - self.yi)
                 dyhat df0 = np.zeros like(dJ dyhat)
                 for k in range(len(self.yi)):
                     for j in range(self.nterms):
                         a = betahat[j+1]
                         b = betahat[1+self.nterms+j]
                         q = 2*np.pi*k*((j+1)/sr)
                         dyhat df0[k] += q * (b*np.cos(q*freq0) - a*np.sin(q*fre
         q0))
                 msel grad = np.mean(dJ dyhat * dyhat df0)
                 return mse1, np.array(mse1 grad)
```

```
In [12]: AudioFitFn.feval = new_feval
```

```
In [13]: # TODO
         h = 1e-3
         f0 = optFreq
         J0, grad = audio_fn.feval( f0 )
         J1, _ = audio_fn.feval(f0 + h)
         print("J0 {:.4f} \nJ1 {:.4f} \nGrad {:.4f} \naGrad {:.4f}"
               .format(J0, J1, J1-J0, (J1-J0)/h, grad[None][0]))
         J1_hat = J0 + h*grad
         print("\nJ1 = {:.5f} \nJ1_hat = {:.5f} \n{:0.5f}% error".format(J1, J1_hat)
         at, 100*(J1-J1_hat)/J1))
         J0 0.1005
         J1 0.1006
         Grad 0.0001
         aGrad 0.1046
         J1 = 0.10056
         J1_hat = 0.10056
         0.00003% error
```

# **Run the Optimizer**

We cut and paste the optimizer from the gradient descent demo (./grad\_descent.ipynb).

```
In [14]: | def grad_opt_adapt(feval, winit, nit=1000, lr_init=1e-3):
             Gradient descent optimization with adaptive step size
             feval: A function that returns f, fgrad, the objective
                     function and its gradient
             winit: Initial estimate
             nit:
                     Number of iterations
                     Initial learning rate
             lr:
             Returns:
             w: Final estimate for the optimal
             fo: Function at the optimal
             11 11 11
             # Set initial point
             w0 = winit
             f0, fgrad0 = feval(w0)
             lr = lr init
             # Create history dictionary for tracking progress per iteration.
             # This isn't necessary if you just want the final answer, but it
             # is useful for debugging
             hist = {'lr': [], 'w': [], 'f': []}
```

```
for it in range(nit):
        # Take a gradient step
        w1 = w0 - lr*fqrad0
        # Evaluate the test point by computing the objective function, f
1,
        # at the test point and the predicted decrease, df est
        f1, fgrad1 = feval(w1)
        df est = fgrad0.dot(w1-w0)
        # Check if test point passes the Armijo rule
        alpha = 0.5
        if (f1-f0 < alpha*df est) and (f1 < f0):
            # If descent is sufficient, accept the point and increase th
е
            # learning rate
            lr = lr*2
            f0 = f1
            fgrad0 = fgrad1
            w0 = w1
        else:
            # Otherwise, decrease the learning rate
            lr = lr/2
        # Save history
        hist['f'].append(f0)
        hist['lr'].append(lr)
        hist['w'].append(w0)
    # Convert to numpy arrays
    for elem in ('f', 'lr', 'w'):
        hist[elem] = np.array(hist[elem])
    return w0, f0, hist
```

Now, run the optimizer with the feval function with a starting estimate for freq0 = 130 Hz. Use lr\_init=1e-3 and f0\_init=130. Print the final frequency estimate. Also, print the midi number (<a href="https://newt.phys.unsw.edu.au/jw/notes.html">https://newt.phys.unsw.edu.au/jw/notes.html</a>) of the estimated frequency:

```
midi_num = 12*log2(freq/440 Hz) + 69
```

If the note was exactly a musical note, midi\_num should be an integer. But you will see that the frequency does not exactly lie on a note since the pitch in a viola bends around the note.

```
In [15]: # TODO
freq0_opt, min_loss, hist = grad_opt_adapt(audio_fn.feval, 130.0)
```

```
In [16]: midi_num = 12*np.log2(freq0_opt/440) + 69
    print("The frequency and midi number are {:.3f} and {:.3f}
    respectively".format(freq0_opt, midi_num))
```

The frequency and midi number are 131.529 and 48.095 respectively

Plot the MSE as a function of the iteration.

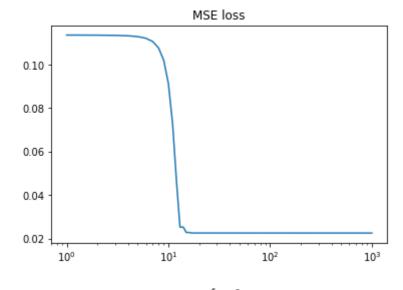
```
In [17]: #TODO
    print(freq0_opt, min_loss)

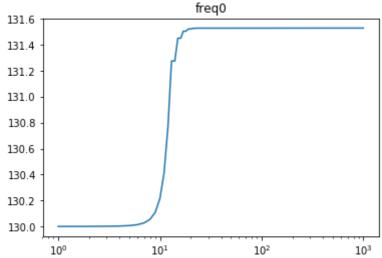
    plt.title("MSE loss")
    plt.semilogx(hist['f'])

    plt.figure()
    plt.title("freq0")
    plt.semilogx(hist['w'])
```

131.528923322 0.022564366787

Out[17]: [<matplotlib.lines.Line2D at 0x1128e7780>]





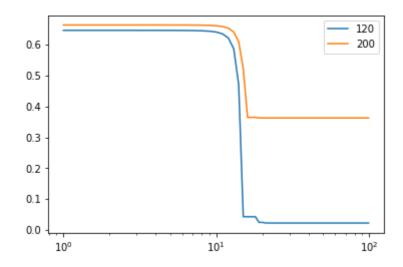
Now, repeat with an initial frequency of 200 Hz. Print the final estimated frequency. Also plot the MSE per iteration on the same graph as the MSE per iteration with the initial condition = 130 Hz. You will see that that the optimizer does not obtain the minimum MSE since it gets stuck at a local minima. This is the main reason this form of pitch detection is not used -- it requires a very good initial condition.

```
In [18]: # TODO
    w0_2, f0_2, hist_2 = grad_opt_adapt(audio_fn.feval, 200.0, nit=100)

In [19]: print("The frequency converged on is {:.3f} with a loss of {:.3f}".format(w0_2, f0_2))
    The frequency converged on is 197.872 with a loss of 0.363

In [35]: plt.figure()
    plt.semilogx(hist['f'], label='120')
    plt.semilogx(hist_2['f'], label='200')
    plt.legend()
```

Out[35]: <matplotlib.legend.Legend at 0x112db5ac8>



plot the path of f0 on the loss landscape

```
In [29]: w0, f0, hist = grad_opt_adapt(audio_fn.feval, 125.0, nit=100)
w0_2, f0_2, hist_2 = grad_opt_adapt(audio_fn.feval, 205.0, nit=100)

In [30]: # TODO
mse1 = []
for freq in freqs:
    mse = audio_fn.feval(freq)[0]
    mse1.append(mse)
freqs = np.linspace(100, 230, 100)
```