

# High Energy Resummation at Hadronic Colliders



*Jack J. Medley*

A thesis submitted in fulfilment of the requirements  
for the degree of Doctor of Philosophy  
to the  
University of Edinburgh

March 2016

# Abstract

Abstract abstract.



# **Declaration**

Except where otherwise stated, the research undertaken in this thesis was the unaided work of the author. Where the work was done in collaboration with others, a significant contribution was made by the author.

*J. Medley*

March 2016



# Acknowledgements

Cheers guys!



# Contents

<b>Abstract</b>	i
<b>Declaration</b>	ii
<b>Acknowledgements</b>	iv
<b>Contents</b>	vi
<b>List of figures</b>	viii
<b>List of tables</b>	x
<b>1 Introduction</b>	1
1.1 A Little History . . . . .	1
1.2 Thesis Outline . . . . .	2
<b>2 Quantum Chromodynamics at hadronic colliders</b>	5
2.1 The QCD Lagrangian . . . . .	5
2.1.1 Renormalising the QCD Lagrangian . . . . .	11
2.1.2 The QCD Beta function . . . . .	11
2.2 QCD Factorisation at Hadronic Colliders . . . . .	11
2.3 From Partons to Jets . . . . .	13
2.4 Divergences and Regularisation . . . . .	13
2.5 Perturbative QCD and Resummation . . . . .	15
2.5.1 An Example Fixed-Order Calculation . . . . .	15
2.5.2 Resumming Higher-Order Corrections . . . . .	27
2.6 Spinor-Helicity Notation . . . . .	27
2.6.1 Spinor-Helicity Calculations with Massive Partons . . . . .	28
2.7 Monte Carlo Techniques . . . . .	32
2.7.1 One Dimensional Integration . . . . .	32
2.7.2 Higher Dimensional Integration . . . . .	34
2.7.3 Variation Reduction Techniques . . . . .	35
<b>3 High Energy QCD</b>	41
3.1 The High Energy Limit of $2 \rightarrow 2$ QCD scattering . . . . .	41
3.1.1 Mandelstam Variables in the High Energy Limit . . . . .	41

3.1.2	HE limit of the three-gluon vertex . . . . .	42
3.1.3	At Leading Order in $\alpha_s$ . . . . .	42
3.1.4	At Next-to-Leading Order in $\alpha_s$ . . . . .	43
3.1.5	High Energy Jets ‘Currents’ . . . . .	43
3.1.6	Effective Vertices For Real Emissions . . . . .	43
3.2	High Energy Jets . . . . .	43
3.2.1	The Multi-Regge Kinematic limit of QCD amplitudes . . . . .	43
3.2.2	Logarithms in HEJ observables . . . . .	43
3.2.3	HEJ currents . . . . .	43
3.2.4	High Energy Phase-space Integration . . . . .	43
<b>4</b>	<b><math>Z/\gamma^*+{\rm Jets}</math> at the LHC</b>	<b>45</b>
4.1	$Z+{\rm jets}$ . . . . .	47
4.1.1	Formulation in terms of currents . . . . .	50
4.1.2	To High Multiplicity Final States . . . . .	50
4.1.3	$Z^0$ Emission Interference . . . . .	50
4.1.4	Photonic Interference . . . . .	50
4.1.5	The $2 \rightarrow n$ Matrix Element . . . . .	50
4.1.6	The Differential $Z/\gamma$ Cross-Section . . . . .	50
4.2	Regularising the $Z/\gamma^*+{\rm Jets}$ Matrix Element . . . . .	50
4.2.1	Soft Emissions . . . . .	50
4.2.2	$V^2(q_{tj}, q_{t(j+1)})$ Terms . . . . .	51
4.2.3	$V(q_{ti}, q_{t(i+1)}) \cdot V(q_{bi}, q_{b(i+1)})$ Terms . . . . .	52
4.2.4	Integration of soft diverences . . . . .	53
4.2.5	Virtual Emissions . . . . .	54
4.2.6	Cancellation of Infrared Contributions . . . . .	55
4.2.7	Example: $2 \rightarrow 4$ Scattering . . . . .	58
4.3	Subtractions and the $\lambda_{cut}$ scale . . . . .	60
4.4	$Z/\gamma^*+{\rm Jets}$ at the ATLAS Experiment . . . . .	60
4.4.1	CMS - $Z + {\rm Jets}$ Measurements . . . . .	65
<b>5</b>	<b><math>t\bar{t}+{\rm Jets}</math> in the High Energy Limit</b>	<b>69</b>
<b>6</b>	<b>High Multiplicity Jets at ATLAS</b>	<b>71</b>
<b>7</b>	<b><math>W^\pm</math> to <math>Z/\gamma^*</math> Ratio at ATLAS</b>	<b>73</b>
<b>8</b>	<b><math>Z/\gamma^*+{\rm Jets}</math> at 100TeV</b>	<b>75</b>
<b>9</b>	<b>Conclusions and Outlook</b>	<b>89</b>
<b>A</b>	<b>The Faddeev-Popov Trick</b>	<b>91</b>
	<b>Bibliography</b>	<b>93</b>
	<b>Publications</b>	<b>95</b>

# List of Figures

2.1	Tree level . . . . .	16
2.2	Virtual Emission, $\mathcal{A}_v$ . . . . .	16
2.3	Self Energy, $\mathcal{A}_{se1}$ . . . . .	16
2.4	Self Energy, $\mathcal{A}_{se2}$ . . . . .	16
2.5	Real Emission, $\mathcal{A}_{r1}$ . . . . .	16
2.6	Real Emission, $\mathcal{A}_{r2}$ . . . . .	16
2.7	Feynman diagrams for the $O(\alpha_s)$ correction to $\gamma^* \rightarrow q\bar{q}$ process (a-d) and the two real emission diagrams (e-f) . . . . .	16
2.8	A simple importance sampling example (see equation 2.109). The integrand, $f(x)$ , is shown in blue, the importance sampling distribution is shown in green and, for comparison, the uniform probability density function used in the naive case of no importance sampling is also shown (in red). . . . .	37
2.9	The absolute value squared of the $Z^0$ propagator for a range of values of the invariant mass squared of the $Z^0$ , $p_Z^2$ . We can see it is strongly peaked at the $Z^0$ mass and, as such, is an ideal candidate for using importance sampling. . . . .	39
2.10	Recent parton distribution function fits from the HERA experiment. The observed variation in $f(x_{a/b}, Q^2)$ , especially at high $x_{a/b}$ , can be exploited when computing the equation ?? by using an importance sampling approach . . . . .	39
4.1	The possible emission sites for a neutral weak boson. . . . .	48
4.2	Examples of diagrams contributing to $2 \rightarrow 4$ scattering. In figure 4.2a the $p_2$ has been drawn with a dashed line to denote it is not resolvable. In figure 4.2b the final state momenta have been labelled in a seemingly strange way - this was done to make clear the cancellation when working through the algebra. . . . .	59
4.3	The effect of varying $\lambda_{cut}$ on the differential distribution in the rapidity gap between the two leading jets in $p_\perp$ with the $N_{jet} = 2, 3, 4$ exclusive selections shown from left to right. $\lambda_{cut} = 0.2$ (red), 0.5 (blue), 1.0 (green), 2.0 (purple). . . . .	61

---

4.4	These plots show the inclusive jet rates from (a) HEJ and (b) other theory descriptions and data [3]. HEJ events all contain at least two jets and do not contain matching for 5 jets and above, so these bins are not shown. . . . .	64
4.5	These plots show the invariant mass between the leading and second-leading jet in $p_T$ . As in Fig. 4.4, predictions are shown from (a) HEJ and (b) other theory descriptions and data [3]. These studies will inform Higgs plus dijets analyses, where cuts are usually applied to select events with large $m_{12}$ . . . . .	64
4.10	The transverse momentum distribution of the third hardest jet in inclusive dijet events in [34], compared to (a) the predictions from HEJ and (b) the predictions from other theory descriptions. . . . .	66
4.6	The comparison of (a) HEJ and (b) other theoretical descriptions and data [3] to the distribution of the absolute rapidity difference between the two leading jets. HEJ and Blackhat+Sherpa give the best description. .	67
4.7	These plots show the differential cross section in the ratio of the leading and second leading jet in $p_T$ from (a) HEJ and (b) other theory descriptions and data [3]. . . . .	67
4.8	The inclusive jet rates as given by (a) the HEJ description and (b) by other theoretical descriptions, both plots compared to the CMS data in [34]. . . . .	68
4.9	The transverse momentum distribution of the second hardest jet in inclusive dijet events in [34], compared to (a) the predictions from HEJ and (b) the predictions from other theory descriptions. . . . .	68
8.1	2a . . . . .	76
8.2	5b . . . . .	77
8.3	6a . . . . .	78
8.4	6b . . . . .	79
8.5	7b . . . . .	80
8.6	9b . . . . .	81
8.7	10a . . . . .	82
8.8	10b . . . . .	83
8.9	11a . . . . .	84
8.10	11b . . . . .	85
8.11	12a . . . . .	86
8.12	12b . . . . .	87

# List of Tables

1.1	The fermion content of the standard model. . . . .	2
2.1	A graphical summary of the Feynman rules. The solid lines indicate a fermion (anti-fermion) propagator with momentum flowing parallel (anti-parallel) to the direction of the arrow. Similarly for the dashed lines which represent the ghost (anti-ghost) propagating and lastly the twisted lines depict a propagating gluon. As in the preceding equations $i$ and $j$ represent fundamental colour indices, $a$ and $b$ represent adjoint colour indices and, where present, $f$ and $f'$ represent fermion flavour. All Greek indices are Lorentz indices. . . . .	12
2.2	The Monte-Carlo approximation to equation 2.109 as we vary the number of sampled points, $N$ , shown in the naive sampling case and in the importance sampled case. . . . .	36
4.1	The total cross-sections for the 2, 3 and 4 jet exclusive rates with associated statistical errors shown for different values of the regularisation parameter $\lambda_{cut}$ . The scale choice was the half the sum over all traverse scales in the event, $H_T/2$ . . . . .	60
4.2	Cuts applied to theory simulations in the ATLAS $Z$ -plus-jets analysis results shown in Figs. 4.4–4.7. . . . .	62
4.3	Cuts applied to theory simulations in the CMS $Z$ -plus-jets analysis results shown in Figs. 4.8–4.10 . . . . .	65

# Chapter 1

## Introduction

### 1.1 A Little History

The Standard Model is a gauge quantum field theory describing three of the four observed fundamental forces - with the inclusion of gravity remaining elusive. Its local gauge structure is given by:

$$SU(3)_c \times SU(2)_L \times U(1)_Y. \quad (1.1)$$

The subscripts on the groups are simply a convenient notation. The ‘c’ on  $SU(3)$  indicates that it is the strong ‘colour’ coupling being described. The ‘L’ on  $SU(2)$  indicates that all right-handed states are in the trivial representation of the group and the ‘Y’ on the  $U(1)$  indicates that this is the hypercharge group and not the electromagnetic group. The  $SU(3)_c$  group describes the strong nuclear force (Quantum Chromodynamics or QCD) and its 8 gauge generators give us the massless spin-1 gluons,  $G_a^\mu(x)$ ,  $a = 1, \dots, 8$ , present in the standard model. There are three weak boson states,  $W_a^\mu(s)$ ,  $a = 1, \dots, 3$ , associated with the  $SU(2)_L$  group and a further one,  $B^\mu(x)$ , which comes from the  $U(1)_Y$  group.

The only remaining boson to complete the standard model arises from the complex scalar Higgs field whose ground state is not invariant under the action of  $SU(2)_L \times U(1)_Y$ . This field breaks the standard model gauge symmetry to

$$SU(3)_c \times U(1)_{em}, \quad (1.2)$$

where the  $U(1)_{em}$  refers to the electromagnetic charge. After this ‘Spontaneous Symmetry Breaking’ occurs three of the four aforementioned bosons,  $W_a^\mu(s)$  and  $B^\mu(x)$  acquire mass and combinations of them are physically realised as the experimentally observer electroweak boson; The massive states  $W^\pm, Z^0$  and the massless photon,  $\gamma$ . The photon and the  $Z^0$  bosons are of particular importance in the work that follows.

The fundamental particle content of the Standard Model also includes fermions. These are spin-1/2 particles which obey the spin-statistics theorem (and hence the Pauli exclusion principle) and comprise, along with the gluons which binds the nucleus together, all known visible matter in the universe. The fermions are structured in three so-called ‘generations’, shown in table 1.1 and can be further subdivided into quarks and leptons. Quarks are colour triplets under QCD but are also charged under the electroweak group. The up ( $u$ ), charm ( $c$ ) and top ( $t$ ) quarks have electric charge  $+\frac{2}{3}$  while the down ( $d$ ), strange ( $s$ ) and bottom ( $b$ ) quarks have  $-\frac{1}{3}$ . Leptons are singlets under  $SU(3)$  and so do not couple to the strong sector. The charged leptons  $e, \mu$  and  $\tau$  have electric charge  $-1$  and the neutrinos are neutral.

	First Generation	Second Generation	Third Generation
Quarks	$u, d$	$c, s$	$t,$
Leptons	$e, \nu_e$	$\mu, \nu_\mu$	$\tau, \nu_\tau$

Table 1.1: The fermion content of the standard model.

## 1.2 Thesis Outline

The aim of this thesis is to detail the importance of a certain class of perurbatively higher-order terms in events with QCD radiation in the final state. In particular we will consider corrections to parton-parton collisions with a  $Z^0$  or  $\gamma$  in association with high energy QCD radiation in the final state.

In chapter 2 I will begin by introducing quantum chromodynamics, the theory of the strong sector in the standard model, and detail how we might use this to calculate physical observables (such as cross-sections and differential distributions) at hadron colliders such as the Large Hadron Collider. I will discuss how these observables fall prey to divergences in QCD-like quantum field theories with massless states and mention briefly how such divergences can be handled. I will then describe how the computationally expensive integrals derived in subsequent chapters may be efficiently evaluated using Monte-Carlo techniques.

In chapter 3 the details of QCD in the ‘High Energy’ limit are discussed. After

completing a few instructive calculations we will see how, in this limit, the traditional fixed-order perturbation theory view of calculating cross-sections fades as another subset of terms, namely the ‘Leading Logarithmic’ terms in  $\frac{s}{t}$ , become more important. I will discuss previous work in the High Energy limit of QCD and how this can be used to factorise complex parton-parton scattering amplitudes into combinations of ‘currents’ which, when combined with gauge-invariant effective gluon emission terms can be used to construct approximate high-multiplicity matrix elements.

In chapter 4 the work of the previous chapter is extended to the case where there is a massive  $Z^0$  boson or an off-shell photon,  $\gamma^*$ , in the final state. A ‘current’ for this process is derived and the complexities arising from two separate sources of interference are explored. This new result for the matrix element is compared to the results obtained from a Leading Order (in the strong coupling,  $\alpha_s$ ) generator **MadGraph** at the level of the matrix element squared in wide regions of phase space is seen to be in exact agreement. This result must then be regularised to treat the divergences discussed in chapter 2 and this process is presented. The procedure for matching this regularised result to Leading Order results is shown and the importance of the inclusion of these non-resummation terms is discussed. Lastly three comparisons of the High Energy Jets Z+Jets Monte-Carlo generator to recent experimental studies **ATLAS** and **CMS** at the LHC are shown.

From here we use the results of chapter 4, and the resulting publicly available Monte Carlo package, to compare our description to a recent experimental prediction of the ratio of the  $W^\pm + \text{jets}$  rate to the  $Z/\gamma^* + \text{jets}$  rate. Our predictions are compared against next-to-leading order (in  $\alpha_s$ ) results from **NJet** and leading order results from **MadGraph**.

In chapter 5 we apply the massive spinor-helicity to the production of a  $t\bar{t}$  pair in hadronic collisions. Using the **PySpinor** package we calculate values for the full-mass matrix element and compare them to leading-order (in  $\alpha_s$ ) results from **MadGraph**. This is a process in which the leading logarithmic contribution starts at one order higher than in previous work and so the effects of the resummation are not as expected to be as crucial as in the case of chapter 4 - however at large values for the centre-of-mass energy (such as that a future high energy circular collider) these ‘next-to-leading’ logarithms will once again lead to the breakdown of fixed-order perturbation theory.

In chapter 6 we discuss the results of a lengthy study of jet production from the **ATLAS** collaboration. This analysis was a thorough look at BFKL-like dynamics in proton-proton colliders and the HEJ predictions are seen to describe the data well in the regions of phase-space where we know the effects of our resummation become relevant. We compare the predictions from both standalone HEJ and HEJ interfaced with **ARIADNE**, a parton shower based on a dipole-cascade model. Although the interface to

ARIADNE increases the computational complexity significantly; we see that the Sudakov logarithms added by significantly improve the description of data.

In chapter 8, with a study of  $Z/\gamma^* + \text{Jets}$  at a centre-of-mass energy of 100TeV relevant for the discussion of the next wave of high energy particle physics experiments (such as any Future Circular Collider) which are of great interest to the community at large. We see that the higher-order perturbative terms are much larger at 100TeV relative to 7TeV data and predictions. Moreover, the regions of phase-space relevant for this thesis; that of high energy wide-angle QCD radiation is especially enhanced and, therefore resumming these contributions will be essential for precision physics at any ‘Future Circular Collider’.

Finally, in chapter 9 I summarise the results of the above chapters and provide a short outlook for future work.

## Chapter 2

# Quantum Chromodynamics at hadronic colliders

### 2.1 The QCD Lagrangian

We obtain the QCD Lagrangian by considering the spin- $\frac{1}{2}$  Dirac Lagrangian for the case of 6 fermionic fields  $\psi_i$  (which is well experimentally motivated) each with mass  $m_f$ :

$$\mathcal{L}_D = \sum_{f=1}^6 \bar{\psi}_i^{(f)} (i\cancel{D} - m_f)_{ij} \psi_j^{(f)}, \quad (2.1)$$

where  $\psi_i$  is itself vector of 3 fermion fields in the fundamental representation of  $SU(3)$  with  $i = 1, \dots, 3^1$ . This is manifestly invariant under the *global*  $SU(3)$  transformation

$$\psi_i \rightarrow e^{i\alpha^a T_{ij}^a} \psi_i \quad (2.2)$$

where  $a = 1, \dots, 8$ ,  $\alpha^a$  are constant and  $T^a$  are the generators of the  $SU(3)$  group. We choose to promote this *global* symmetry to a *local* one by relaxing the constraint that  $\alpha^a$  are constant and instead allow them to depend on a space-time coordinate i.e.

$$\alpha^a = \alpha^a(x^\mu). \quad (2.3)$$

---

<sup>1</sup>The choice of 3 here is, again, experimentally motivated. Here we will work explicitly with the gauge group  $SU(3)$  although many of the results which follow can be derived with a more general special unitary group  $SU(N_c)$ .

This breaks the  $SU(3)$  symmetry but we can recover the required invariance by replacing the usual partial derivative term with a ‘covariant derivative’ defined by:

$$\mathcal{D}_{ij}^\mu = \partial_{ij}^\mu - ig_s A^{\mu a} T_{ij}^a, \quad (2.4)$$

where  $g_s$  is the QCD coupling constant and  $A_\mu^a$  is the QCD gauge field associated with the gluon. With this replacement the local  $SU(3)$  invariance of (2.1) is recovered. We must also include the effect of kinetic term for the gluon field on our theory. We do this by considering the field-strength tensor for  $A_\mu^a$ ,  $F_{\mu\nu}^a$  which is given by:

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_s f^{abc} A_\mu^b A_\nu^c \quad (2.5)$$

where  $f^{abc}$  are constants which define the algebra of the  $SU(3)$  group and are given by

$$T^a T^b - T^b T^a = i f^{abc} T^c. \quad (2.6)$$

Equation (2.6) is what makes QCD fundamentally different the Quantum Electrodynamics (QED): The simple fact that the generators of the underlying group *do not* commute makes performing calculations in QCD significantly more complicated than it’s Abelian cousin QED.

In summary then the QCD Lagrangian is given by

$$\mathcal{L}_{\text{QCD (classical)}} = -\frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu} + \sum_{f=1}^6 \bar{\psi}_i^{(f)} (i \not{D} - m_f)_{ij} \psi_j^{(f)}. \quad (2.7)$$

This is referred to as the ‘classical’ QCD Lagrangian since we have not included quantum effects such as loop corrections. The full ‘quantum’ Lagrangian is as follows [?]:

$$\mathcal{L}_{\text{QCD}} = \sum_{f=1}^6 \bar{\psi}_i^{(f)} (i \not{D}^{ij} - m_f)_{ij} \psi_j^{(f)} - \frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu} - \frac{(\partial^\mu A_\mu^a)^2}{2\xi} + (\partial^\mu \bar{c}^a) \mathcal{D}_\mu^{ab} c^b, \quad (2.8)$$

where  $\mathcal{D}_\mu$  is the covariant derivative in the adjoint representation given by

$$\mathcal{D}_\mu^{ab} = \delta^{ab} \partial_\mu - g_s f^{abc} A_\mu^c. \quad (2.9)$$

The final two terms in equation (2.8) are the result of a nuanced calculation to find a form for the gluon propagator which is discussed in more detail in Appendix A. Here it suffices to say that they arise from the treatment of a degeneracy in the QCD path integral which is caused by the gauge symmetry we enforced earlier - as a result we are only able to define a gluon propagator once we have “fixed the gauge” which is achieved by the penultimate term in equation (2.8). The final term is a mathematical quirk of this process and  $c$  and  $\bar{c}$  represent the QCD “ghost” and “anti-ghost” fields respectively. They are unphysical since they are spin-1 anti-commuting fields.

Now we have a complete Lagrangian for QCD we can begin to move towards something physical. The first step forwards is the Lehman-Symanzik-Zimmerman (LSZ) reduction formula. This gives us a relation between the scattering amplitude from some initial state  $i$  to some final state,  $\langle f|i\rangle \equiv \langle f|S|i\rangle$  where  $S$  is the scattering matrix, and a time-ordered vacuum expectation operator of a product of fields. Here we briefly present the argument behind the LSZ for the case of  $2 \rightarrow 2$  scattering using the scalar phi-cubed theory for simplicity (but this generalises to more complex theories). The Lagrangian for this theory is given by:

$$\mathcal{L}_{\text{phi-cubed}} = \frac{1}{2}\partial^\mu\phi\partial_\mu\phi + \frac{m^2}{2}\phi^2 - \frac{g}{6}\phi^3, \quad (2.10)$$

We can Fourier expand the field,  $\phi(x)$ , in terms of its annihilation and creating operators as follows:

$$\phi(x) = \int \frac{d^4k}{2E(2\pi)^3} \left( a(\vec{k})e^{ik\cdot x} + a^\dagger(\vec{k})e^{-ik\cdot x} \right), \quad (2.11)$$

and inverting this we find the following form for the creation operator  $a^\dagger(\vec{k})$ :

$$a^\dagger(\vec{k}) = i \int d^3x e^{-ix\cdot k} (\partial_0 - E)\phi(x), \quad (2.12)$$

We expect that as time flows forward to  $+\infty$  (or backwards to  $-\infty$ ) the field,  $\phi(x)$ , become asymptotically free and therefore we can neglect and interaction effects in these extremes. From equation (2.12) it is straightforward to show that:

$$a^\dagger(\vec{k}, t = \infty) - a^\dagger(\vec{k}, t = -\infty) = i \int d^4x e^{-ix\cdot k} (\partial^2 + m^2)\phi(x). \quad (2.13)$$

Clearly this would be zero if we only considering the free theory where  $g = 0$  in equation

(2.10) - intuitively this is correct since once we remove any interaction terms a state we create at  $t = -\infty$  should flow to  $t = \infty$  unaltered. However, more generally for an interacting theory it will be non-zero and equation (2.13) gives us a relationship between asymptotically free initial and final states. Using equation (2.13) (and its hermitian conjugate) we can begin to look at the scattering from a 2 particle initial state  $|i\rangle$  to some 2 particle final state  $|f\rangle$ ,  $k_1 + k_2 \rightarrow k'_1 + k'_2$ , this is given by:

$$\langle i|j\rangle \equiv \langle 0|T \left( a(\vec{k}_1, \infty) a(\vec{k}_2, \infty) a^\dagger(\vec{k}'_1, -\infty) a^\dagger(\vec{k}'_2, -\infty) \right) |0\rangle, \quad (2.14)$$

where  $T$  denotes the time-ordered product of operators<sup>2</sup>. After substituting for the  $a$  and  $a^\dagger$  operators and seeing that the time-ordering means that all of the remaining annihilation/creation operators end up acting on a vacuum state which they annihilating we are left with:

$$\begin{aligned} \langle i|j\rangle = i^4 \int d^4x'_1 d^4x'_2 d^4x_1 d^4x_2 e^{ik'_1 \cdot x'_1} (\partial_{x'_1}^2 + m^2) e^{ik'_2 \cdot x'_2} (\partial_{x'_2}^2 + m^2) \times \\ e^{ik_1 \cdot x_1} (\partial_{x_1}^2 + m^2) e^{ik_2 \cdot x_2} (\partial_{x_2}^2 + m^2) \times \\ \langle 0|T (\phi(x'_1)\phi(x'_2)\phi(x_1)\phi(x_2)) |0\rangle. \end{aligned}$$

This is the LSZ reduction formula for  $2 \rightarrow 2$  scattering in a phi-cubed theory. It reduces the problem of finding scattering amplitudes to the calculation of time-ordered problem of fields under the assumption that we may treat the fields at  $t = \pm\infty$  as free.

The next step is to see how we can calculate these time-ordered products. This is most conveniently done by taking functional derivatives of the QCD path integral given by:

$$\mathcal{Z}[J, \eta, \bar{\eta}, \chi, \bar{\chi}] = \int \mathcal{D}A \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{D}c \mathcal{D}\bar{c} e^{i \int d^4x (\mathcal{L}_{QCD} + A^{a\mu} J_\mu^a + \bar{\psi}^\alpha \eta^\alpha + \bar{\eta}^\alpha \psi^\alpha + \bar{c}^\alpha \chi^\alpha + \bar{\chi}^\alpha c^\alpha)}, \quad (2.15)$$

where  $J^{a\mu}$ ,  $\eta^\alpha$ ,  $\bar{\eta}^\alpha$ ,  $\chi^\alpha$  and  $\bar{\chi}^\alpha$  are ‘source’ terms which we target with functional derivatives and we have left the sum over quark flavours implicit. In order to proceed we break down equation (2.1) into a free Lagrangian,  $\mathcal{L}_{QCD,0}$ , and an interacting Lagrangian,  $\mathcal{L}_{QCD,I}$  as follows:

---

<sup>2</sup>Explain the time-ordered product business.

$$\begin{aligned}\mathcal{L}_{\text{QCD}} &= \mathcal{L}_{\text{QCD},0} + \mathcal{L}_{\text{QCD},I}, \\ \mathcal{L}_{\text{QCD},0} &= \bar{\psi}_i (i\cancel{\partial} - m)_{ij} \psi_j - \frac{1}{4} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a) (\partial^\mu A^\nu{}^a - \partial^\nu A^\mu{}^a) \\ &\quad - \frac{(\partial^\mu A_\mu^a)^2}{2\xi} + (\partial^\mu \bar{c}^a) (\partial_\mu c^a), \\ \mathcal{L}_{\text{QCD},I} &= g_s \bar{\psi}^i T_{ij}^a \gamma^\mu \psi^j - \frac{g_s}{2} f^{abc} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a) A^{b\mu} A^{c\nu} \\ &\quad - \frac{g_s^2}{4} f^{abe} f^{cde} A_\mu^a A_\nu^b A^{c\mu} A^{d\nu} - g_s f^{abc} \partial^\mu \bar{c}^a c^b A_\mu^c.\end{aligned}$$

We can then rewrite equation (2.15) as a combination of functional derivatives acting on the free QCD path integral,  $\mathcal{Z}_0$  as:

$$\mathcal{Z}[J, \eta, \bar{\eta}, \chi, \bar{\chi}] = \exp \left[ i \int d^4x \mathcal{L}_{\text{QCD},I} \left( \frac{\delta}{i\delta J^{a\mu}}, \frac{\delta}{i\delta \eta^a}, \frac{\delta}{i\delta \bar{\eta}^a}, \frac{\delta}{i\delta \xi^a}, \frac{\delta}{i\delta \bar{\xi}^a} \right) \right] \mathcal{Z}_0[J, \eta, \bar{\eta}, \chi, \bar{\chi}], \quad (2.16)$$

where  $\mathcal{Z}_0$  is identical to equation (2.15) but with the free Lagrangian, in place of the full Lagrangian. We can solve  $\mathcal{Z}_0$  exactly which yields us the propagators for the gluons, quarks and ghosts. Respectively:

$$\langle 0 | A_a^\mu(x) A_b^\nu(y) | 0 \rangle = \int \frac{d^4k}{(2\pi)^4} e^{-ik \cdot (x-y)} \delta_{ab} \frac{i}{k^2} \left( g^{\mu\nu} - (1-\xi) \frac{k^\mu k^\nu}{k^2} \right), \quad (2.17a)$$

$$\langle 0 | \bar{\psi}_i^{(f)}(x) \psi_j^{(f')}(y) | 0 \rangle = \int \frac{d^4k}{(2\pi)^4} e^{-ik \cdot (x-y)} \delta_{ij} \delta_{ff'} \frac{i(k+m)}{k^2 - m^2}, \quad (2.17b)$$

$$\langle 0 | \bar{c}_a(x) c_b(y) | 0 \rangle = \int \frac{d^4k}{(2\pi)^4} e^{-ik \cdot (x-y)} \delta_{ab} \frac{i}{k^2}. \quad (2.17c)$$

- Do one of the functional derivatives for the 3g vertex or something?

We can read off the remaining QCD vertex factors directly from the interaction Lagrangian (or - more rigorously derive them by Taylor expanding equation (2.16) and disregarding any irrelevant diagrams such as those where no scattering occurs or those with bubble contributions).

The full set of rules for the vertices and propagators are summarised in table (2.1). The remaining *Feynman rules* may be summarised as:

1. Incoming external lines with spin  $s$  and momentum  $p$  are given a factor of  $u_i^{(s)}(p)$  or  $\bar{v}_i^{(s)}(p)$  for quarks or anti-quarks. Similarly outgoing external quark or anti-

quark lines get a factor  $\bar{u}_i^{(s)}(p)$  or  $v_i^{(s)}(p)$ . If the external particles are not coloured the procedure is the same but of course the spinors will no longer be  $SU(3)$  fundamental vectors. External gluons with momentum  $p$ , polarisation  $\epsilon$  and colour  $a$  are replaced by  $\epsilon^a(p)$  or  $\epsilon^{a*}(p)$  depending on whether they are incoming or outgoing.

2. For each vertex or propagator in the Feynman diagram insert the corresponding mathematical expression (see table (2.1)). The order of the Lorentz indices must be the same as that found by tracing the fermion lines in the diagram backwards,
3. A factor of  $-1$  must be included for each anti-fermion line flowing from the initial state to the final state,
4. A factor of  $-1$  must be included for each fermion, anti-fermion or ghost loop in the diagram
5. An integration over any unconstrained momenta in the diagram must be included with measure:

$$\int \frac{d^4 k}{(2\pi)^4}, \quad (2.18)$$

where  $k$  is the momenta in question.

6. A diagram dependent symmetry factor must be included,
7. Lastly, for an unpolarised calculation we must sum over initial spin and colour and average over all possible final spins and colours.

The  $u(p)$  and  $v(p)$  are Dirac spinors which solve the free Dirac equation for a plane-wave:

$$(i\gamma^\mu - m)u(p) = 0 \quad (i\gamma^\mu + m)v(p) = 0. \quad (2.19)$$

The result of following these Feynman rules is what we refer to as the matrix element,  $\mathcal{M}$ . We will now detail how we go from the matrix element of some scattering process to a useful physical observable: The *partonic cross-section*,  $\hat{\sigma}$ . The matrix element is related to the fully-differential cross-section by ‘Fermi’s golden rule’ which, for a scattering process  $p_a + p_b \rightarrow p_1 + \dots + p_m$  is given by

$$d\hat{\sigma} = \frac{|\mathcal{M}(p_a + p_b \rightarrow p_1^{(f)}, \dots, p_m^{(f)})|^2}{F} \times (2\pi)^2 \delta^{(4)}(p_a + p_b - p_1 - \dots - p_m) \times \frac{d^3 \vec{p}_1}{2E_1(2\pi)^2} \cdots \frac{d^3 \vec{p}_m}{2E_m(2\pi)^2}, \quad (2.20)$$

where  $F = 4\sqrt{(p_a p_b)^2 - m_a^2 m_b^2}$  is the flux of the incoming particles and the delta function acts to enforce momentum conservation for the process.

We now have a procedure for going from a scattering process we wish to calculate to the differential cross-section for that process.

### 2.1.1 Renormalising the QCD Lagrangian

- Is this section really necessary? We don't actually use counter-terms/dressed vertices anywhere etc.

### 2.1.2 The QCD Beta function

- How does QCD look at different scales?
- What is the beta function?
- Why is it important?
- Shows that QCD CAN be treated perturbatively!

## 2.2 QCD Factorisation at Hadronic Colliders

- Collinear factorisation which lets us pull out the PDFs as a convolution.
- What is a PDF? \*Roughly\* how are they calculated?

So far we have only talked about the idea of two particles interacting and scattering off one another into some final state which we are interested in. This is too simple a picture when we are considering hadronic colliders such as the Large Hadron Collider (proton-proton), the Tevatron (proton-antiproton), HERA (proton-lepton) and, potentially, a Future Circular Collider (FCC).

At experiments we collide QCD bound states with one another but in practise when

Table 2.1: A graphical summary of the Feynman rules. The solid lines indicate a fermion (anti-fermion) propagator with momentum flowing parallel (anti-parallel) to the direction of the arrow. Similarly for the dashed lines which represent the ghost (anti-ghost) propagating and lastly the twisted lines depict a propagating gluon. As in the preceding equations  $i$  and  $j$  represent fundamental colour indices,  $a$  and  $b$  represent adjoint colour indices and, where present,  $f$  and  $f'$  represent fermion flavour. All Greek indices are Lorentz indices.

	$\frac{i\delta_i^j \delta_f^{f'}(\not{k} + m)}{k^2 - m^2}$
	$-\frac{i\delta_a^b}{k^2} \left( g^{\mu\nu} - (\xi - 1) \frac{k^\mu k^\nu}{k^2} \right)$
	$\frac{i\delta_a^b}{k^2}$
	$-ig_s \gamma^\mu \delta_f^{f'} T_{ij}^a$
	$-g_s f^{abc} \left( g^{\alpha\beta} (k_1 - k_2)^\gamma + g^{\beta\gamma} (k_2 - k_3)^\alpha + g^{\gamma\alpha} (k_3 - k_1)^\beta \right)$
	$-ig_s^2 \left( f^{abe} f^{cde} (g^{\alpha\gamma} g^{\beta\delta} - g^{\alpha\delta} g^{\beta\gamma}) + f^{ace} f^{bde} (g^{\alpha\beta} g^{\gamma\delta} - g^{\alpha\delta} g^{\gamma\beta}) + f^{ade} f^{bce} (g^{\alpha\beta} g^{\delta\gamma} - g^{\alpha\gamma} g^{\delta\beta}) \right)$
	$if^{abc} p'_\mu$

calculating cross-sections we perform a sum over the possible combinations of initial states we may encounter in the two incoming hadrons. In order to do this we must have some understanding of the dynamics of partons inside the onrushing hadrons; this is where Parton Distribution Functions (PDFs) come in. A PDF,  $f_i(x, Q^2)$  is a probabilistic functions which tells us how likely we are to find a parton of type  $i$  carrying a fraction  $x$  of the total hadrons momentum in a hadron during a collision with an energy scale  $Q$ .

## 2.3 From Partons to Jets

- QCD confinement (briefly),
- Hadronisation,
- How to model jets from partons ( $k = 0, \pm 1$ ),
- Anti- $k_T$  and the parameters involved

## 2.4 Divergences and Regularisation

- How do divergences arise in QCD? How can we deal with them?

In calculations above tree level we encounter divergences of various kinds which can be divided up into three classes:

- Ultraviolet (UV) divergences: These occur when all the components of a loop momenta tend to infinity,  $k^\alpha \rightarrow \infty$ , such that  $k^2$  becomes the dominant term in propagator. Since these extremely high momentum modes corresponding to physics at very short distance scales we choose to interpret these divergences as an indication that our theory is only an effective theory and we shouldn't attempt to apply it to all scales.
- Infrared (IR) divergences: These occur in theories with massless gauge bosons, such as QED and QCD, since a particle may emit any number of arbitrarily such bosons with infinitesimal energy and we would never be able to detect their emission. In contrast to the UV divergences the IR becomes important in the region of phase space where  $k^2 \rightarrow 0$ .
- Collinear (mass) divergences: These occur when we have massless bosons *and* have massless on-shell particles in our calculation. This can happen when we

have, for example, a photon/gluon in the final state or the energy scale of the interaction is far higher than the mass of an emitted particle which admits taking that particles mass to be zero. We can see this by considering a typical propagator factor:

$$\frac{1}{(p+k)^2 - m^2} \sim \frac{1}{(p+k)^2} = \frac{1}{2p \cdot k} = \frac{1}{2E_p E_k (1 - \cos \theta_{pk})}. \quad (2.21)$$

Where we have used the on-shell relations  $p^2 = 0$  and  $k^2 = 0$ . Clearly as the angle of emission,  $\theta_{pk}$ , tends to zero (the collinear limit) this term will diverge.

If we are to extract any useful information above tree-level we will have to find ways to control these infinities. We call these methods ‘regularisation schemes’.

The general plan with all regularisation schemes is to introduce a new parameter to the calculation which is used to get a handle on exactly *how* the integral diverges. Once we have performed the integration we take the limiting case where the effect of the regulator vanishes we will see that the divergence now presents itself as some singular function of the regulator when  $\Lambda^2 \rightarrow \infty$ . There are many ways to regularise divergences each with their own advantages and disadvantages. We will describe a few here:

- Hard momentum cut-off: In the hard momentum cut-off approach we simply replace the upper bound with some finite large value,  $\Lambda^2$ . This will regulate the UV and allow us to complete the calculation provided there are no IR or mass singularities. While this method is very conceptually simple it does break both translational invariance and gauge invariance which is far from ideal.
- Pauli-Villars regularisation: In the Pauli-Villars scheme we replace the normal propagators with propagators damped by a large mass:

$$\frac{1}{p^2 - m^2} \rightarrow \frac{1}{p^2 - m^2} - \frac{1}{p^2 - M^2}. \quad (2.22)$$

For  $m \ll M$ . Once again this does not deal with any problems with the IR/mass singularities and in order to get this extra contributions we must add another field to our Lagrangian which satisfies the opposite statistics to the field we are trying to regulate.

- Mass regularisation: When using mass regularisation we give the gauge bosons a small mass to control any IR or mass divergences which might be present, we can then perform the integrals and the singularity re-emerges when we take the limit of massless bosons again. The disadvantage of this scheme is that it does not

regulate the UV region of phase space and massive gauge bosons are forbidden by gauge invariance unless we have a broken symmetry.

- Dimensional regularisation: In dimensional regularisation we analytically continue the number of space-time dimensions away from the standard  $d = 4$ . We still want to be able to return to our usual 4D theory so we choose  $d = 4 - 2\epsilon$  where  $\epsilon$  is the regulator and we plan to take the limit  $\epsilon \rightarrow 0$ . The advantage of this is that dimensional regularisation treats both the UV and the IR divergences and both translational invariance and gauge invariance are preserved. However, this modification changes the well known Dirac algebra relations which makes computing the numerator slightly more involved. There are also some tensors which cannot easily be generalised to arbitrary dimensions.

## 2.5 Perturbative QCD and Resummation

- Talk about the idea of expanding the partonic cross-section in the strong coupling constant,
- What is the difference between fixed-order and resummation,
- Examples of both ideas and implementations.

### 2.5.1 An Example Fixed-Order Calculation

- Nail down why we don't need counter-term diagrams and ghost diagrams...

The Feynman diagrams which need to be included for the  $\mathcal{O}(1)$  and  $\mathcal{O}(\alpha_s)$  corrections to the  $\gamma^* \rightarrow q\bar{q}$  process are shown in figure (2.7). We refer to figure (2.1) as the tree level diagram, figure (2.2) as the vertex correction and figures (2.3) and (2.4) as the self-energy corrections. Figures (2.5) and (2.6) are the ‘real correction’. Since the virtual corrections all have the same final state they must be summed and squared together. To make the order of each term in the perturbative expansion clear extract the  $\alpha_s$  factors from the  $\mathcal{A}_i$  here. Therefore:

$$\begin{aligned} |\overline{\mathcal{M}}^{virtual}|^2 &= |\mathcal{A}_0 + \alpha_s \mathcal{A}_v + \alpha_s \mathcal{A}_{se1} + \alpha_s \mathcal{A}_{se2}|^2 + \mathcal{O}(\alpha_s^2) \\ &= |\mathcal{A}_0|^2 + 2\alpha_s \Re\{\mathcal{A}_0^* \mathcal{A}_v\} + 2\alpha_s \Re\{\mathcal{A}_0^* \mathcal{A}_{se1}\} + 2\alpha_s \Re\{\mathcal{A}_0^* \mathcal{A}_{se2}\} + \mathcal{O}(\alpha_s^2). \end{aligned} \tag{2.23}$$

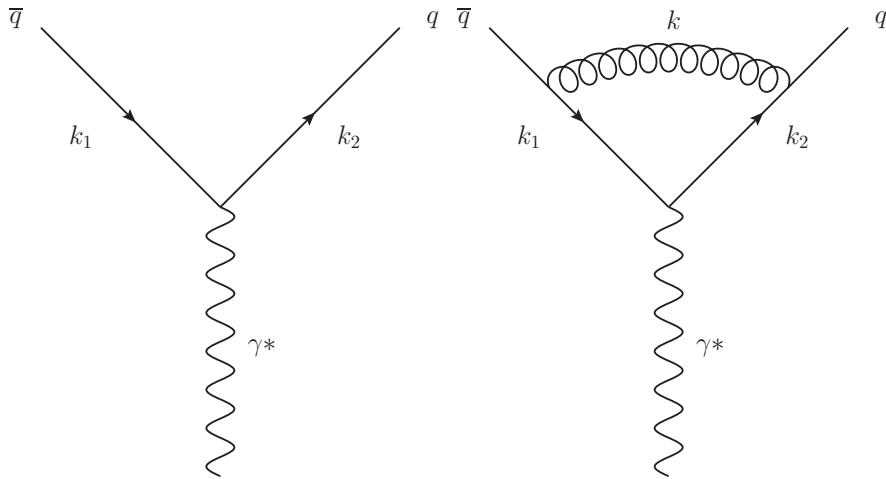


Figure 2.1: Tree level

Figure 2.2: Virtual Emission,  $\mathcal{A}_v$

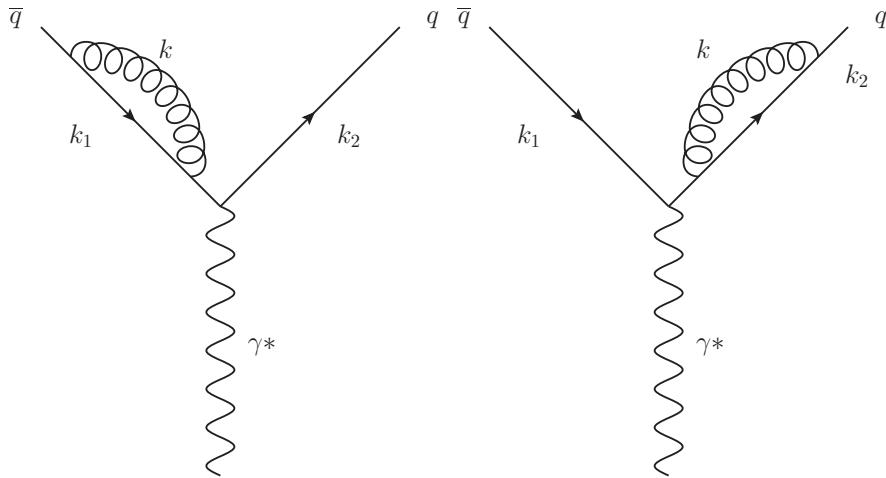


Figure 2.3: Self Energy,  $\mathcal{A}_{se1}$

Figure 2.4: Self Energy,  $\mathcal{A}_{se2}$

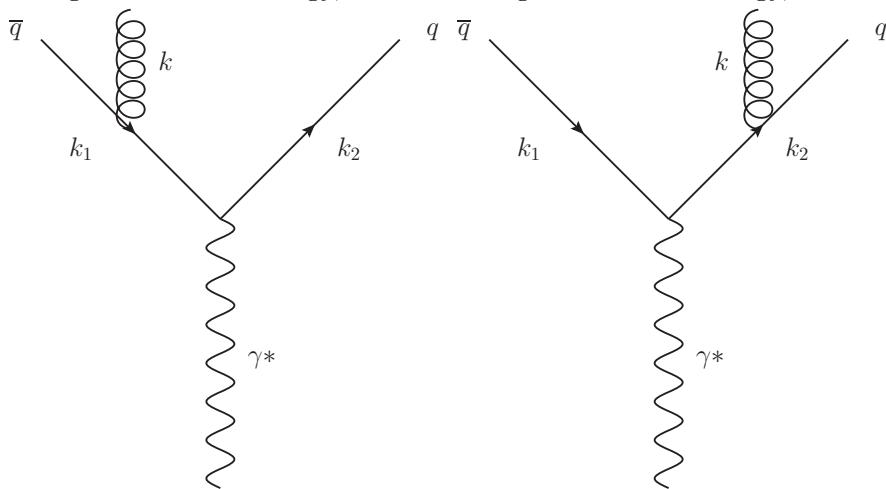


Figure 2.4: Self Energy,  $\mathcal{A}_{se2}$

Figure 2.5: Real Emission,  $\mathcal{A}_{r1}$

Figure 2.6: Real Emission,  $\mathcal{A}_{r2}$

Figure 2.7: Feynman diagrams for the  $O(\alpha_s)$  correction to  $\gamma^* \rightarrow q\bar{q}$  process (a-d) and the two real emission diagrams (e-f)

Where the bar on the LHS means there is an implicit sum over spins and polarisations on the RHS. We can see then that to  $\mathcal{O}(\alpha_s)$  we have four contributions to consider, but the two self-energy contributions will have the same functional form so it would seem that practise we only need to perform three calculations - it turns out this is not the case; We will find that the divergence associated with exchanging a soft gluon in figure (2.2) can only be cancelled if we also include the soft divergences that arise figures (2.5) to (2.6). At first glance this seems very peculiar since these diagrams have different final states and therefore should have no business contributing to this calculation. However, since the gluon can be emitted with vanishingly small momentum it would be experimentally impossible to detect and therefore the final states would look the same to an imperfect observer.

It is the cancellation of these divergences that will be shown in detail in the next two sections. Figures (2a), (2.2) and (2.5) will be calculated in detail while the result for the self energy expressions will only be omitted since it can be cancelled by a particular choice of gauge [?]. Since we expect both UV and IR divergences we choose to work in the dimensional regularisation scheme.

### The Leading Order Process

If we let the pair-produced quarks have charge  $Qe$  then the Feynman rules outlined in sections 2 & 3 give:

$$\mathcal{A}_0 = -ieQ\bar{u}^{\lambda_2}(k_2)\gamma^\mu v^{\lambda_1}(k_1)\epsilon_\mu^r(p). \quad (2.24)$$

Where the  $\lambda_i$ 's are the spins of the quarks,  $r$  is the polarisation of the incoming photon and  $p = k_1 + k_2$  is the momentum carried by the incoming photon. To calculate we can square and since we are typically interested in unpolarised calculations we perform a sum over all polarisations and spins (we also choose this point to include the sum over the possible colour states of the outgoing quarks):

$$|\overline{\mathcal{A}_0}|^2 = 3 \sum_{\forall \lambda, r} e^2 Q^2 [\bar{u}^{\lambda_2}(k_2)\gamma^\mu v^{\lambda_1}(k_1)][\bar{v}^{\lambda_1}(k_1)\gamma^\nu v^{\lambda_2}(k_1)]\epsilon_\mu^r(p)\epsilon_{*\mu}^r(p). \quad (2.25)$$

We can now use Casimir's trick [?] to convert this spinor string into a trace, using the replacements  $\sum_r \epsilon_\mu^r \epsilon_{*\nu}^r = -g_{\mu\nu}$  and the completeness conditions for spinors:

$$|\overline{\mathcal{A}_0}|^2 = -e^2 Q^2 \text{Tr}[\not{k}_2 \gamma^\mu \not{k}_1 \gamma_\mu]. \quad (2.26)$$

Where we have used the high energy limit to discard the quark mass terms. This trace can be evaluated in arbitrary dimensions to give, in the high energy limit:

$$|\overline{\mathcal{A}_0}|^2 = 6e_d^2 Q^2 s(d-2). \quad (2.27)$$

Where we have defined the usual Mandeltam variable  $s = (k_1 + k_2)^2 = 2k_1 \cdot k_2$  and defined  $e_d^2 = e^2 \mu^{4-d}$  where  $\mu$  has units of mass to make the coupling  $e$  dimensionless. To find the leading order cross-section we divide by the particle flux and multiply by the two particle phase space which is given by:

$$\int d^{2d-2} R_2 = 2^{1-d} \pi^{\frac{d}{2}-1} \frac{\Gamma(\frac{d}{2}-1)}{\Gamma(d-2)} s^{\frac{d-4}{2}}. \quad (2.28)$$

Where  $R_i$  is the  $i$  final state particle phase space. Combining these factors and defining  $\alpha_e = \frac{e^2}{4\pi}$ :

$$\begin{aligned} \sigma_0 &= 3 \cdot 2^{2-d} \pi^{1-\frac{d}{2}} \frac{\Gamma(\frac{d}{2}-1)}{\Gamma(d-2)} s^{\frac{d-4}{2}} 4\pi \alpha \mu^{d-4} Q^2 s(d-2) \frac{1}{2s} \\ &= 3\alpha Q^2 \left( \frac{s}{4\pi \mu^2} \right)^{\frac{d}{2}-2} \left( \frac{d}{2}-1 \right) \frac{\Gamma(\frac{d}{2}-1)}{\Gamma(d-2)}. \end{aligned} \quad (2.29)$$

and finally using  $x\Gamma(x) = \Gamma(x+1)$  we get:

$$\sigma_0 = 3\alpha Q^2 \frac{\Gamma(\frac{d}{2})}{\Gamma(d-2)} \left( \frac{s}{4\pi \mu^2} \right)^{\frac{d}{2}-2}. \quad (2.30)$$

It is important to note that in the limit  $\epsilon \rightarrow 0$  the Born cross-section remains finite.

### The Virtual $\mathcal{O}(\alpha_s)$ Corrections

The virtual correction graphs are shown in figures (2.2), (2.3) and (2.4). We will begin by calculating the second term in equation (30). Using the Feynman rules we have:

$$\begin{aligned} \mathcal{A}_v &= \int \frac{d^d k}{(2\pi)^d} \bar{u}^{\lambda_2}(k_2) (-ig_s \mu^\epsilon \gamma^\alpha T_{ij}^a) \frac{i(\not{k}_1 + \not{k})}{(k_1 + k)^2} (-ieQ \gamma^\mu) \frac{i(\not{k}_2 - \not{k})}{(k_2 - k)^2} \\ &\quad (-g_s \mu^\epsilon \gamma^\beta T_{ij}^a) \epsilon_\mu^r(p) \frac{-i}{k^2} \left( g_{\alpha\beta} + (1-\xi) \frac{k^\alpha k^\beta}{k^2} \right) v^{\lambda_1}(k_1). \end{aligned}$$

$$\mathcal{A}_v = -ig_s^2 e Q \mu^{2\epsilon} \text{Tr}(T^a T^a) \bar{u}^{\lambda_2}(k_2) \int \frac{d^d k}{(2\pi)^d} \frac{\mathcal{N}_1(k_1, k_2, k)}{k^2(k_1 + k)^2(k_2 - k)^2} v^{\lambda_2}(k_2).$$

Where the numerator of the fraction is given by:

$$\mathcal{N}_1(k_1, k_2, k) = \gamma^\alpha(\not{k}_1 + \not{k}) \gamma^\mu(\not{k}_2 - \not{k}) \gamma_\beta \left( g^{\alpha\beta} + (1 - \xi) \frac{k^\alpha k^\beta}{k^2} \right). \quad (2.32)$$

From equation (30) we see we need  $\mathcal{A}_0^* \mathcal{A}_v$ :

$$\mathcal{A}_0^* \mathcal{A}_v = g_s^2 e^2 Q^2 \text{Tr}(T^a T^a) [\bar{v}^{\lambda_1}(k_1) \gamma^\nu u(k_2)] \quad (2.33)$$

$$[\bar{u}^{\lambda_2}(k_2) \int \frac{d^d k}{(2\pi)^d} \frac{\mathcal{N}_1(k_1, k_2, k)}{k^2(k_1 + k)^2(k_2 - k)^2} v^{\lambda_1}(k_1)] \epsilon_\mu^r(p) \epsilon_{*\nu}^r(p). \quad (2.34)$$

And now performing the spin/polarisation/colour sum and average gives:

$$\overline{\mathcal{A}_0^* \mathcal{A}_v} = -\frac{g_s^2 e^2 Q^2}{2} \int \frac{d^d k}{(2\pi)^d} \frac{\mathcal{N}_2(k_1, k_2, k)}{k^2(k_1 + k)^2(k_2 - k)^2}. \quad (2.35)$$

Where:

$$\mathcal{N}_2(k_1, k_2, k) = \text{Tr}[\not{k}_1 \gamma_\alpha(\not{k}_1 + \not{k}) \gamma_\mu(\not{k}_2 - \not{k}) \gamma_\beta \not{k}_2 \gamma^\mu] \left( g^{\alpha\beta} + (1 - \xi) \frac{k^\alpha k^\beta}{k^2} \right). \quad (2.36)$$

Before we can proceed any further we must evaluate the trace term in the integral. As mentioned in section X this is not as easy as it seems because, although the Dirac matrices still satisfy the Clifford algebra, the various identities for their contractions and traces change when we are in  $d$  dimensions. Two useful examples are shown below:

$$g_{\mu\nu} g^{\mu\nu} = d \quad (2.37a)$$

$$\gamma^\mu \gamma_\nu \gamma_\mu = (d - 2) \gamma_n u \quad (2.37b)$$

Using the FORM package [?] to perform the two trace terms present gives:

$$\begin{aligned} \text{Tr}[\not{k}_1 \gamma_\alpha (\not{k}_1 + \not{k}) \gamma_\mu (\not{k}_2 - \not{k}) \gamma^\alpha \not{k}_2 \gamma^\mu] &= s[s(8 - 4d) + \frac{(k_1 \cdot k)(k_2 \cdot k)}{s}(32 - 16d) \\ &\quad - (16 - 8d)(k_1 \cdot k - k_2 \cdot k) + k^2(16 - 12d + 2d^2)]. \end{aligned} \quad (2.38)$$

$$\begin{aligned} \text{Tr}[\not{k}_1 \gamma_\alpha (\not{k}_1 + \not{k}) \gamma_\mu (\not{k}_2 - \not{k}) \gamma_\beta \not{k}_2 \gamma^\mu] k^\alpha k^\beta &= s[(k_1 \cdot k)(k_2 \cdot k)(16 - 8d) \\ &\quad + k^2(8 - 4d)(k_2 \cdot k - k_1 \cdot k) - k^4(4 - 2d)]. \end{aligned} \quad (2.39)$$

Where  $s = 2k_1 \cdot k_2$  and we have used the on-shell relations. After factorising the terms quadratic in  $d$  and combining the two trace terms we arrive at:

$$\overline{\mathcal{A}_0^* \mathcal{A}_v} = -4s \left( \frac{d}{2} - 1 \right) \frac{g_s^2 e^2 Q^2}{2} \int \frac{d^d k}{(2\pi)^d} \frac{\mathcal{N}_3(k_1, k_2, k)}{k^2(k_1 + k)^2(k_2 - k)^2}. \quad (2.40)$$

Where:

$$\mathcal{N}_3(k_1, k_2, k) = -2s + \frac{8k \cdot k_1 k \cdot k_2}{s} + (6 + 2\xi)(k \cdot k_1 - k \cdot k_2) + k^2(d - 4) \quad (2.41)$$

$$-4(1 - \xi) \frac{k \cdot k_1 k \cdot k_2}{k^2} - (1 - \xi)k^2. \quad (2.42)$$

Combining this with the particle flux and the two particle phase space we can write an expression for the vertex corrected cross-section. Once again we scale the couplings such that they remain dimensionless by defining  $g_d^2 = g_s^2 \mu^{2-\frac{d}{2}}$ :

$$\begin{aligned} \sigma_v &= -4s \left( \frac{d}{2} - 1 \right) \frac{g_d^2 \mu^{2-\frac{d}{2}} e^2 Q^2}{4s} 2^{1-d} \pi^{\frac{d}{2}-1} \frac{\Gamma(\frac{d}{2}-1)}{\Gamma(d-2)} s^{\frac{d-4}{2}} \int \frac{d^d k}{(2\pi)^d} \frac{\mathcal{N}_3(k_1, k_2, k)}{k^2(k_1 + k)^2(k_2 - k)^2}, \\ \Rightarrow \sigma_v &= -g_d^2 \mu^{2-\frac{d}{2}} Q^2 4\pi \alpha \mu^{4-d} 2^{1-d} \pi^{\frac{d}{2}-1} \frac{\Gamma(\frac{d}{2})}{\Gamma(d-2)} s^{\frac{d-4}{2}} \int \frac{d^d k}{(2\pi)^d} \frac{\mathcal{N}_3(k_1, k_2, k)}{k^2(k_1 + k)^2(k_2 - k)^2}, \\ \Rightarrow \sigma_v &= -\frac{4\sigma_0}{3} g_d^2 \mu^{2-\frac{d}{2}} \int \frac{d^d k}{(2\pi)^d} \frac{\mathcal{N}_3(k_1, k_2, k)}{k^2(k_1 + k)^2(k_2 - k)^2}. \end{aligned}$$

Where we have expressed the virtual rate as a multiplicative correction to the Born level rate by comparing directly with equation (35). We must now use the Feynman parametrisation to re-express the product of propagators as a sum by introducing new

integration variables. Using:

$$\frac{1}{ab} = \int_0^1 dy \frac{1}{(ay + b(1-y))^2}. \quad (2.44)$$

We have that:

$$\sigma_v = -\frac{4\sigma_0}{3} g_d^2 \mu^{2-\frac{d}{2}} \int \frac{d^d k}{(2\pi)^d} \int_0^1 dy \frac{\mathcal{N}_3(k_1, k_2, k)}{(k^2 - 2k \cdot k_y)^2 k^2}. \quad (2.45)$$

Where  $k_y = yk_1 - (1-y)k_2$ . Examining now the integrand we see there are two different  $k$  dependences and so we partition the terms as follows:

$$\sigma_v = -\frac{4\sigma_0}{3} g_d^2 \mu^{2-\frac{d}{2}} \int \frac{d^d k}{(2\pi)^d} \int_0^1 dy \left( \frac{\mathcal{N}'_3(k_1, k_2, k)}{(k^2 - 2k \cdot k_y)^2 k^2} + \frac{\mathcal{N}''_3(k_1, k_2, k)}{(k^2 - 2k \cdot k_y)^2 k^4} \right). \quad (2.46)$$

Where:

$$\mathcal{N}'_3(k_1, k_2, k) = -2s + \frac{8k \cdot k_1 k \cdot k_2}{s} + (6+2\xi)(k \cdot k_1 - k \cdot k_2) + k^2(d-4) - (1-\xi)k^2. \quad (2.47a)$$

$$\mathcal{N}''_3(k_1, k_2, k) = -4(1-\xi)k \cdot k_1 k \cdot k_2. \quad (2.47b)$$

Differentiating equation with respect to  $c$  and  $d$  (47) we get the following useful parametrisations:

$$\frac{1}{c^2 d} = \int_0^1 dx \frac{2x}{(cx + d(1-x))^3}, \quad (2.48a)$$

$$\frac{1}{c^2 d^2} = \int_0^1 dx \frac{6x(1-x)}{(cx + d(1-x))^4}. \quad (2.48b)$$

and taking  $c = k^2 - 2k \cdot k_y$  and  $d = k^2$ , simplifying the denominators and performing a change of variables  $K = k - xp_y$  yields:

$$\sigma_v = -\frac{4\sigma_0}{3} g_d^2 \mu^{2-\frac{d}{2}} \int \frac{d^d K}{(2\pi)^d} \int_0^1 dy \int_0^1 dx \left( \frac{2x\mathcal{N}'_3(k_1, k_2, K + xk_y)}{(K^2 - C)^3} + \right. \quad (2.49)$$

$$\left. \frac{6x(1-x)\mathcal{N}''_3(k_1, k_2, K + xk_y)}{(K^2 - C)^4} \right). \quad (2.50)$$

Where  $C = x^2 p_y^2$ . The change of variables modifies the numerator terms to:

$$\mathcal{N}'_3(k_1, k_2, K + xk_y) = -2s + K^2 \left( \frac{4}{d} + d - 5 + \xi \right) - (3 + \xi)xs + x^2 ys(1 - y)(3 - d - \xi),$$

$$\mathcal{N}''_3(k_1, k_2, K + xk_y) = (1 - \xi) \left( x^2 ys^2(1 - y) - \frac{2s}{d} K^2 \right).$$

We can now perform the integrations over  $K$  with the aid of equation (54):

$$\int \frac{d^d K}{(2\pi)^d} \frac{(K^2)^m}{(K^2 - C)^n} = \frac{i(-1)^{m-n}}{(4\pi)^{\frac{d}{2}}} C^{m-n+\frac{d}{2}} \frac{\Gamma(m + \frac{d}{2})\Gamma(n - m - \frac{d}{2})}{\Gamma(\frac{d}{2})\Gamma(n)}. \quad (2.52)$$

Looking at the  $K$  structure of equation (53) we can see that there are going to be 4 forms of equation (54) needed in this calculation. I will not show the calculation for every integral but will show one as an example of how the calculations can proceed. Consider the contribution of the first term of (53a):

$$-4s \int_0^1 dy \int_0^1 dx \int \frac{d^d K}{(2\pi)^d} \frac{1}{(K^2 - C)^3} = 4si \int_0^1 dy \int_0^1 dx (4\pi)^{-\frac{d}{2}} C^{-3+\frac{d}{2}} \frac{\Gamma(\frac{d}{2})\Gamma(3 - \frac{d}{2})}{\Gamma(\frac{d}{2})\Gamma(3)}.$$

From above we see that  $C = x^2 k_y = -x^2 y(1 - y)s$  and so:

$$\Rightarrow 4si(4\pi)^{-\frac{d}{2}} \Gamma(3 - \frac{d}{2})(-s)^{-3+\frac{d}{2}} \int_0^1 dy \int_0^1 dx x^{-5+d} y^{(-2+\frac{d}{2})-1} (1-y)^{(-2+\frac{d}{2})-1}. \quad (2.53)$$

Where we have written the  $y$  exponents in such a way that we can use the following [?]:

$$\int_0^1 dx x^{a-1} (1-x)^{b-1} = \frac{\Gamma(a)\Gamma(b)}{\Gamma(a+b)}. \quad (2.54)$$

Therefore:

$$\Rightarrow = 4si(4\pi)^{-\frac{d}{2}} \Gamma\left(3 - \frac{d}{2}\right) (-s)^{-3+\frac{d}{2}} \frac{1}{d-4} \frac{\Gamma^2(\frac{d}{2}-2)}{\Gamma(d-4)}. \quad (2.55)$$

Which, after choosing  $d = 4 + \epsilon$  (with the intention of taking the limit  $\epsilon \rightarrow 0$  once it is safe to do so), and manipulating the gamma functions to expose the pole structure gives:

$$-4 \int_0^1 dy \int_0^1 dxx \int \frac{d^d K}{(2\pi)^d} \frac{1}{(K^2 - C)^3} = 4(-s)^{\frac{\epsilon}{2}} i(4\pi)^{-2-\frac{\epsilon}{2}} \frac{4}{\epsilon^2} \frac{\Gamma\left(1 - \frac{\epsilon}{2}\right) \Gamma^2\left(1 + \frac{\epsilon}{2}\right)}{\Gamma(1+\epsilon)}. \quad (2.56)$$

Which is clearly divergent in the limit  $d \rightarrow 4$ . The other integrals follow similarly and the combined result (simplified with the aid of a computer package) can be expressed as:

$$\sigma_v = \frac{2\alpha_s}{3\pi} \sigma_0 \left( \frac{s}{4\pi\mu^2} \right)^{\frac{\epsilon}{2}} \frac{\Gamma\left(1 - \frac{\epsilon}{2}\right) \Gamma^2\left(1 + \frac{\epsilon}{2}\right)}{\Gamma(1+\epsilon)} \left( -\frac{8}{\epsilon^2} + \frac{6}{\epsilon} - \frac{8+4\epsilon}{1+\epsilon} \right). \quad (2.57)$$

Where we have defined  $\alpha_s = \frac{g_d^2}{4\pi}$  and using **Maple** to expand the product of gamma matrices for  $\epsilon \rightarrow 0$  gives:

$$\frac{\Gamma\left(1 - \frac{\epsilon}{2}\right) \Gamma^2\left(1 + \frac{\epsilon}{2}\right)}{\Gamma(1+\epsilon)} = \frac{\gamma_E}{2}\epsilon + \left( \frac{\gamma_E^2}{8} - \frac{\pi^2}{48} \right) \epsilon^2 + \mathcal{O}(\epsilon^3), \quad (2.58a)$$

$$\left( \frac{s}{4\pi\mu^2} \right)^{\frac{\epsilon}{2}} = e^{\ln\left(\frac{s}{4\pi\mu^2}\right)^{\frac{\epsilon}{2}}} = e^{\frac{\epsilon}{2} \ln\left(\frac{s}{4\pi\mu^2}\right)} = 1 + \frac{\epsilon}{2} \ln\left(\frac{s}{4\pi\mu^2}\right) + \mathcal{O}(\epsilon^2). \quad (2.58b)$$

Where  $\gamma_E$  is Euler's constant. Finally then we have:

$$\sigma_v = \frac{2\alpha_s}{3\pi} \sigma_0 \left[ -\frac{8}{\epsilon^2} + \frac{1}{\epsilon} (6 - 4\gamma_E - 4L) + \gamma_E (3 - \gamma_E) \right] \quad (2.59)$$

$$- 8 + \frac{\pi^2}{6} + \pi^2 - L^2 - (2\gamma_E - 3)L \Big]. \quad (2.60)$$

Where  $L = \ln\left(\frac{s}{4\pi\mu^2}\right)$ . We can now see that regardless of our choice of gauge parameter,  $\xi$ , the result for the vertex correction is gauge independent. We also see that the parameter introduced to fix the coupling to be dimensionless appears in the final result; This is often the case when using dimensional regularisation and the modified minimal subtraction renormalisation scheme.

### The Real $\mathcal{O}(\alpha_s)$ Corrections

The real gluon emission diagrams which contribute to the  $\mathcal{O}(\alpha_s)$  corrections are figures 1e and 1f. These diagrams have an indistinguishable final state and so the real contribution will be of the form:

$$|\mathcal{A}_r|^2 = |\mathcal{A}_{left} + \mathcal{A}_{right}|^2 = |\mathcal{A}_{left}|^2 + |\mathcal{A}_{right}|^2 + 2\mathcal{A}_{left}\mathcal{A}_{right}^*. \quad (2.61)$$

Where  $\mathcal{A}_{left}$  and  $\mathcal{A}_{right}$  refer to figures 1e and 1f (resp.) and are given by:

$$\mathcal{A}_{left} = -Q e i g_s T_{ij}^a \bar{u}(k_2) \gamma^\mu \frac{\not{k}_1 + \not{k}}{(k_1 + k)^2} \gamma^\nu v(k_1) \epsilon_\nu \eta_\mu. \quad (2.62a)$$

$$\mathcal{A}_{right} = -Q e i g_s T_{ij}^a \bar{u}(k_2) \gamma^\nu \frac{\not{k}_2 + \not{k}}{(k_2 + k)^2} \gamma^\mu v(k_1) \epsilon_\nu \eta_\mu. \quad (2.62b)$$

In the calculation of the terms of equation (64) it will be useful to the energy fractions for each particle,  $x_i = \frac{2E_i}{\sqrt{s}}$  (where  $i = 1$  is the external antiquark,  $i = 2$  is the antiquark and  $i = 3$  is the external gluon). In terms of these invariants the contraction of any two external particles simplifies to  $p_i \cdot p_j = \frac{1}{2}s(1 - x_k)$  which - since we are still assuming our quarks can be taken massless this gives a simple expression for the Mandelstam variables. Evaluating the  $|...|^2$  terms gives:

$$|\mathcal{A}_{left}|^2 = \frac{Q^2 e^2 g_s^2}{(k_1 + k)^4} \text{Tr}(T^a T^a) \text{Tr}(\not{k}_2 \gamma^\mu (\not{k}_1 + \not{k}) \gamma^\nu \not{k}_1 \gamma_\nu (\not{k}_1 + \not{k}) \gamma_\mu), \quad (2.63a)$$

$$|\mathcal{A}_{right}|^2 = \frac{Q^2 e^2 g_s^2}{(k_2 + k)^4} \text{Tr}(T^a T^a) \text{Tr}(\not{k}_2 \gamma^\nu (\not{k}_2 + \not{k}) \gamma^\mu \not{k}_2 \gamma_\mu (\not{k}_2 + \not{k}) \gamma_\nu), \quad (2.63b)$$

$$\mathcal{A}_{left} \mathcal{A}_{right}^* = \frac{Q^2 e^2 g_s^2}{(k_2 + k)^2 (k_1 + k)^2} \text{Tr}(T^a T^a) \text{Tr}(\not{k}_2 \gamma^\mu (\not{k}_1 + \not{k}) \gamma^\nu \not{k}_1 \gamma_\mu (\not{k}_2 + \not{k}) \gamma_\nu). \quad (2.63c)$$

Evaluating the trace terms in  $d$ -dimensions and rearranging in terms of the energy fractions gives:

$$|\mathcal{A}_{left}|^2 = 32Q^2e^2g_s^2 \left(1 + \frac{\epsilon}{2}\right)^2 \frac{1-x_1}{1-x_2}, \quad (2.64a)$$

$$|\mathcal{A}_{right}|^2 = 32Q^2e^2g_s^2 \left(1 + \frac{\epsilon}{2}\right)^2 \frac{1-x_2}{1-x_1}, \quad (2.64b)$$

$$\mathcal{A}_{left}\mathcal{A}_{right}^* = 32Q^2e^2g_s^2 \left(1 + \frac{\epsilon}{2}\right) \left(-\frac{\epsilon}{2} - 2\frac{1-x_3}{(1-x_1)(1-x_2)}\right). \quad (2.64c)$$

Summing these expressions according to equation (63) gives:

$$|\mathcal{A}_r|^2 = 32Q^2e^2g_s^2 \left[ \left(1 + \frac{\epsilon}{2}\right)^2 \frac{x_1^2 + x_2^2}{(1-x_2)(1-x_1)} + \epsilon \left(1 + \frac{\epsilon}{2}\right) \frac{2 - 2x_1 - 2x_2 + x_1x_2}{(1-x_2)(1-x_1)} \right].$$

As with the virtual contributions we are interested in the observable cross-section and so we must include the phase space factor for a three particle final state. Unlike the two particle phase space calculation here  $\int d^{3d-3}R_3$  cannot be integrated completely and we are left with a differential in terms of the energy fractions defined above:

$$\frac{d^2R_3}{dx_1dx_2} = \frac{s}{16(2\pi)^3} \left(\frac{s}{4\pi}\right)^\epsilon \frac{1}{\Gamma(2+\epsilon)} \left(\frac{1-z^2}{4}\right)^{\frac{\epsilon}{2}} x_1^\epsilon x_2^\epsilon. \quad (2.65)$$

Where  $z = 1 - 2\frac{1-x_1-x_2}{x_1x_2}$ . Combining equations (67) and (68) with a flux factor gives:

$$\frac{d^2\sigma_r}{dx_1dx_2} = \frac{2Q^2e^2g_s^2F(x_1, x_2; \epsilon)}{\pi} \left(\frac{s}{4\pi}\right)^\epsilon \frac{1}{\Gamma(2+\epsilon)} \left(\frac{1-z^2}{4}\right)^{\frac{\epsilon}{2}} x_1^\epsilon x_2^\epsilon. \quad (2.66)$$

Where  $F(x_1, x_2; \epsilon)$  is the algebraic factor in equation (67). Switching to a dimensionless coupling and introducing  $\alpha_s$  as above:

$$\frac{d^2\sigma_r}{dx_1dx_2} = \frac{2Q^2e^2\alpha_s}{\pi} F(x_1, x_2; \epsilon) \left(\frac{s}{4\pi\mu^2}\right)^\epsilon \frac{1}{\Gamma(2+\epsilon)} \left(\frac{1-z^2}{4}\right)^{\frac{\epsilon}{2}} x_1^\epsilon x_2^\epsilon. \quad (2.67)$$

Comparing with the Born cross-section in equation (35) this can be written as:

$$\frac{d^2\sigma_r}{dx_1dx_2} = \frac{2\alpha_s\sigma_0}{3\pi} F(x_1, x_2; \epsilon) \left(\frac{s}{4\pi\mu^2}\right)^{\frac{\epsilon}{2}} \frac{1}{\Gamma(2+\frac{\epsilon}{2})} \left(\frac{1-z^2}{4}\right)^{\frac{\epsilon}{2}} x_1^\epsilon x_2^\epsilon. \quad (2.68)$$

Integrating over the allowed region of  $x_1$  and  $x_2$ :

$$\sigma_r = \frac{2\alpha_s\sigma_0}{3\pi} \left( \frac{s}{4\pi\mu^2} \right)^{\frac{\epsilon}{2}} \frac{1}{\Gamma(2 + \frac{\epsilon}{2})} \int_0^1 dx_1 x_1^\epsilon \int_{1-x_1}^1 x_2^\epsilon \left( \frac{1-z^2}{4} \right)^{\frac{\epsilon}{2}} F(x_1, x_2; \epsilon). \quad (2.69)$$

Defining  $x_2 = 1 - vx_1$  [?] to decouple the integrals and converting the  $z$  dependence in equation (73) gives:

$$\left( \frac{1-z^2}{4} \right)^{\frac{\epsilon}{2}} = \frac{[v(1-v)(1-x_1)]^{\frac{\epsilon}{2}}}{x_2^\epsilon}, \quad (2.70)$$

$$= \frac{x_1^2(1+v^2) - 2vx_1 + 1}{(1-x_1)x_1 v} + \epsilon \frac{x_1^2(1-v+v^2-x_1+1)}{(1-x_1)x_1 v} \quad (2.71)$$

$$+ \frac{\epsilon^2}{4} \frac{x_1^2(v^2-2v+1) + 4(v-1)+1}{(1-x_1)xv}. \quad (2.72)$$

Inserting equations (73a) and (73b) into equation (72) and using **Maple** to perform the  $x_1$  and  $v$  integrations gives: [?]

$$\sigma_r = \frac{2\alpha_s\sigma_0}{3\pi} \left( \frac{s}{4\pi\mu^2} \right)^{\frac{\epsilon}{2}} \frac{\Gamma^2(1+\frac{\epsilon}{2})}{\Gamma(1+\frac{3\epsilon}{2})} \left[ \frac{8}{\epsilon^2} - \frac{6}{\epsilon} + \frac{19}{2} \right]. \quad (2.73)$$

And using the expansions applied in the virtual corrections case gives:

$$\sigma_r = \frac{2\alpha_s}{3\pi} \sigma_0 \left[ \frac{8}{\epsilon^2} + \frac{1}{\epsilon} (-6 + 4\gamma_E + 4L) - \gamma_E(3 - \gamma_E) - \frac{57}{6} + \frac{7\pi^2}{6} + L^2 + (2\gamma_E - 3)L \right].$$

As in the case of the virtual corrections this is divergent in the limit  $\epsilon \rightarrow 0$  and depends on  $\mu$ .

### Cancellation of divergences

Having now found the vertex corrections and the real corrections up to  $\mathcal{O}(\epsilon^2)$  we can calculate the next-to-leading order cross-section by simply summing the two:

$$\sigma_{NLO} = \sigma_r + \sigma_v = \frac{\alpha_s}{\pi} \sigma_0. \quad (2.74)$$

### 2.5.2 Resumming Higher-Order Corrections

- Limitations of Fixed-Order Schemes
- Talk about the idea of expanding the partonic cross-section etc.
- A successful prediction followed by a couple of examples where fixed order is misguided:  $n_{njets} \rightarrow 3$ ,  $d\phi$  of hardest two jets

## 2.6 Spinor-Helicity Notation

It is convenient to work in Helicity-Spinor notation to evaluate Feynman diagrams in the MRK limit [?]. As usual we have:

$$| p\pm \rangle = \psi_\pm(p) \quad \overline{\psi_\pm(p)} = \langle p\pm | . \quad (2.75)$$

Often the helicity information will be suppressed, and we define the following shorthand:

$$\langle pk \rangle = \langle p- | k+ \rangle \quad [pk] = \langle p+ | k- \rangle. \quad (2.76)$$

In this scheme we have the following identities:

$$\langle ij \rangle [ij] = s_{ij} \quad \langle i\pm | \gamma^\mu | i\pm \rangle = 2k_i^\mu \quad (2.77)$$

$$\langle ij \rangle = -\langle ji \rangle \quad [ij] = -[ji] \quad (2.78)$$

$$\langle ii \rangle = 0 \quad [ii] = 0 \quad (2.79)$$

$$\langle i\pm | \gamma^\mu | j\pm \rangle \langle k\pm | \gamma_\mu | l\pm \rangle = 2[ik]\langle lj \rangle \quad \langle k\pm | \gamma^\mu | l\pm \rangle = \langle l\mp | \gamma^\mu | k\mp \rangle \quad (2.80)$$

$$\langle ij \rangle \langle kl \rangle = \langle ik \rangle \langle lj \rangle + \langle il \rangle \langle kj \rangle \quad [ij][kl] = [ik][jl] + [il][kj] \quad (2.81)$$

$$\langle i+ | k | j+ \rangle = [ik]\langle kj \rangle \quad \langle i- | k | j- \rangle = \langle ik \rangle [kj] \quad (2.82)$$

Using the momentum for the partons outlined above and the on-shell condition for the external partons,  $|p_i^\perp| = p_i^+ p_i^-$ , we have the following:

$$\langle ij \rangle = p_i^\perp \sqrt{\frac{p_j^+}{p_i^+} - p_j^\perp} \sqrt{\frac{p_i^+}{p_j^+}}, \quad \langle ai \rangle = -i \sqrt{-\frac{p_a^+}{p_i^+} p_i^\perp}, \quad \langle ib \rangle = i \sqrt{-p_b^- p_i^+}, \quad \langle ab \rangle = -\sqrt{\hat{s}}, \quad (2.83)$$

where  $\hat{s}$  is the partonic centre of mass energy. In the MRK limit equation 19 simplifies to:

$$\langle ij \rangle \approx -p_j^\perp \sqrt{\frac{p_i^+}{p_j^+}}, \quad \langle ai \rangle \approx -i \sqrt{\frac{p_a^+}{p_i^+} p_i^\perp}, \quad \langle ib \rangle \approx i \sqrt{p_i^+ p_n^-}, \quad \langle ab \rangle \approx -\sqrt{p_1^+ p_n^-}. \quad (2.84)$$

### 2.6.1 Spinor-Helicity Calculations with Massive Partons

To do calculations with massive partons using the spinor-helicity formalism we must be very careful since all of our favourite identities and tricks rely on the spinor brackets,  $|i\rangle$ , representing massless partons with  $p_i^2 = 0$ . We begin by defining ‘fundamental spinors’ [?] which we can use to build more general spinors and go from there. For some  $k_0, k_1$  satisfying  $k_0^2 = 0, k_1^2 = -1$  and  $k_0 \cdot k_1 = 0$  we can define positive and negative helicity spinors as follows:

$$u_-(k_0) \bar{u}_-(k_0) \equiv \omega_- \not{k}_0 \quad (2.85a)$$

$$u_+(k_0) \equiv \not{k}_1 u_-(k_0), \quad (2.85b)$$

where  $\omega_\lambda = \frac{1}{2}(1 + \lambda \gamma^5)$  is the helicity projection operator. In order for these to be valid spinors they must satisfy the following completeness relations:

$$\sum_\lambda u_\lambda(p) \bar{u}_\lambda(p) = \not{p} + m \quad (2.86a)$$

$$u_\lambda(p) \bar{u}_\lambda(p) = \omega_\lambda \not{p} \quad (2.86b)$$

The spinors in equation can easily be shown to satisfy these as follows:

$$\begin{aligned}
 u_-(k_0)\bar{u}_-(k_0) + u_+(k_0)\bar{u}_+(k_0) &= \omega_- \not{k}_0 + \not{k}_1 u_-(k_0)\bar{u}_-(k_0) \not{k}_1, \\
 &= \omega_- \not{k}_0 + \not{k}_1 \omega_- \not{k}_0 \not{k}_1, \\
 &= \omega_- \not{k}_0 + \frac{1}{2} \gamma^\mu k_{1\mu} (1 - \gamma^5) \gamma^\nu k_{0\nu} \gamma^\sigma k_{1\sigma}, \\
 &= \omega_- \not{k}_0 + \frac{1}{2} k_{1\mu} k_{0\nu} k_{1\sigma} (\gamma^\mu \gamma^\nu \gamma^\sigma - \gamma^\mu \gamma^5 \gamma^\nu \gamma^\sigma), \\
 &= \omega_- \not{k}_0 + \frac{1}{2} k_{1\mu} k_{0\nu} k_{1\sigma} (2\gamma^\mu g^{\nu\sigma} - \gamma^\mu \gamma^\sigma \gamma^\nu + 2\gamma^5 \gamma^\mu g^{\nu\sigma} - \gamma^5 \gamma^\mu \gamma^\sigma \gamma^\nu), \\
 &= \omega_- \not{k}_0 + k_{1\mu} k_{0\nu} k_{1\sigma} \omega_+ \gamma^\mu (2g^{\nu\sigma} - \gamma^\sigma \gamma^\nu), \\
 &= \omega_- \not{k}_0 + 2\not{k}_1 k_0 \cdot k_1 - \omega_+ \not{k}_1 \not{k}_1 \not{k}_0, \\
 &= \omega_- \not{k}_0 + \omega_+ \not{k}_0,
 \end{aligned}$$

where we have used  $\gamma^\mu, \gamma^\mu = 2g^{\mu\nu}$ ,  $\gamma^\mu, \gamma^5 = 0$  and  $\not{k}_1 \not{k}_1 = k_1^2 = 0$ . This proves the property of equation 2.86b and inserting the definition of  $\omega_\lambda$  gives:

$$\begin{aligned}
 u_-(k_0)\bar{u}_-(k_0) + u_+(k_0)\bar{u}_+(k_0) &= \frac{1}{2} (1 - \gamma^5) \not{k}_0 + (1 + \gamma^5) \not{k}_0, \\
 &= \not{k}_0,
 \end{aligned}$$

Which is equation 2.86a for a massless particle.

We can use these fundamental spinors to form spinors for any given momenta,  $p$  (which has  $p^2 = 0$ ), as follows:

$$u_\lambda(p) = \not{p} u_{-\lambda}(k_0) \frac{1}{\sqrt{2p \cdot k_0}}, \quad (2.89)$$

provided we don't have  $p \cdot k_0 = 0$ . Once again it is easy to show that this spinor satisfies the necessary conditions, for example:

$$\begin{aligned}
 u_\lambda(p)\bar{u}_\lambda(p) &= \frac{1}{2p \cdot k_0} \not{p} u_{-\lambda}(k_0) \bar{u}_{-\lambda}(p) \not{p}, \\
 &= \frac{1}{2p \cdot k_0} \not{p} \omega_{-\lambda} \not{k}_0 \not{p}, \\
 &= \frac{1}{4p \cdot k_0} \not{p} (1 - \lambda \gamma^5) \not{k}_0 \not{p}, \\
 &= \frac{1}{2p \cdot k_0} p_\mu k_{0\nu} p_\sigma \omega_\lambda \gamma^\mu (2g^{\nu\sigma} - \gamma^\sigma \gamma^\nu), \\
 &= \frac{1}{2p \cdot k_0} \omega_\lambda (2\not{p} p \cdot k_0 - \not{p} \not{p} \not{k}), \\
 &= \omega_\lambda \not{p}.
 \end{aligned}$$

So far so good. This can also be generalised so that we can build massive spinors from our fundamental ones. We can use

$$u(q, s) = \frac{1}{\sqrt{2q \cdot k}} (\not{q} + m) u_-(k) \quad (2.91)$$

to describe a quark with spin 4-vector  $s$ , mass  $m$  and momentum  $q$ . To confirm this we go through the same procedure as above:

$$\begin{aligned}
 u_\lambda(p, s)\bar{u}_\lambda(p, s) &= \frac{1}{2q \cdot k_0} (\not{q} + m) u_-(k_0) \bar{u}_-(q) (\not{q} + m), \\
 &= \frac{1}{2q \cdot k_0} (\not{q} + m) \omega_- \not{k}_0 (\not{q} + m), \\
 &= \frac{1}{4q \cdot k_0} (\not{q} + m) (1 - \gamma^5) \not{k}_0 (\not{q} + m), \\
 &= \frac{1}{4q \cdot k_0} [ (\not{q} \not{k}_0 \not{q} + m \not{k} \not{q} + m \not{q} \not{k}_0 + m^2 \not{k}) - \gamma^5 (\not{q} \not{k} \not{q} - m \not{k} \not{q} + m \not{q} \not{k}_0 - m^2 \not{k}) ], \\
 &= \frac{1}{2} \left( \not{q} + m - \gamma^5 \not{q} - m \gamma^5 + \frac{m \gamma^5 \not{k} \not{q}}{k \cdot q} + \frac{\gamma^5 m^2 \not{k}}{k \cdot q} \right), \\
 &= \frac{1}{2} \left( 1 + \left( \frac{1}{m} \not{q} - \frac{m}{q \cdot k} \not{k} \right) \gamma^5 \right) (\not{q} + m), \\
 &= \frac{1}{2} (1 + \not{q} \gamma^5) (\not{q} + m),
 \end{aligned}$$

Where the last line defines the spin vector  $s = \frac{1}{m}q - \frac{m}{q \cdot k}k$ . Conjecturing a similar form for an antiquark spinor with with spin 4-vector  $s$ , mass  $m$  and momentum  $q$ :

$$v(q, s) = \frac{1}{\sqrt{2q \cdot k}}(\not{q} - m)u_-(k), \quad (2.93)$$

which leads to:

$$\begin{aligned} v_\lambda(p, s)\bar{v}_\lambda(p, s) &= \frac{1}{2q \cdot k_0}(\not{q} - m)u_-(k_0)\bar{u}_-(q)(\not{q} - m), \\ &= \frac{1}{2}\left((\not{q} - m) + \left(-\not{q} + m + \frac{m^2}{q \cdot k_0}\not{k}_0 - \frac{m}{q \cdot k_0}\not{q}\not{k}_0\right)\gamma^5\right), \\ &= \frac{1}{2}(1 + \not{\gamma}^5)(\not{q} - m). \end{aligned}$$

One last check that is worth performing is that these spinors actually satisfy the Dirac equation for both the quark and antiquark case. For the quark:

$$\begin{aligned} \not{q}u(q, s) &= \frac{1}{2q \cdot k_0}\not{q}(\not{q} + m)u_-(k_0), \\ &= \frac{1}{2q \cdot k_0}(m^2 + m\not{q})u_-(k_0), \end{aligned}$$

we now define some momentum  $\tilde{q}$  by the relation  $q = \tilde{q} + k_0$  such that  $\tilde{q}^2 = 0$  and  $q \cdot k = \tilde{q} \cdot k$ . Since  $q^2 = 2\tilde{q} \cdot k = m^2$  we may write

$$\begin{aligned} \not{q}u(q, s) &= \frac{1}{m}(m^2 + m\not{q})u_-(k_0), \\ &= (m + \not{q})u_-(k_0), \end{aligned}$$

we can now back substitute from the definition of  $u(q, s)$  in equation 2.91 to get:

$$\not{q}u(q, s) = \sqrt{2q \cdot k}u(q, s), \\ = mu(q, s),$$

which is the Dirac equation for a quark. The result for antiquarks follows similarly. Now we have forms for massive quarks and antiquarks in terms of massless spinors we can use all of the spinor-helicity machinery to make our computations more efficient. Slightly more useful forms of equations 2.91 and 2.93 can be found by decomposing  $q$  into massless components once again:  $q = \tilde{q} + k$  (once again this acts as a definition for  $\tilde{q}$ ). Then from equation 2.91:

$$u(q, s) = \frac{1}{m}(\not{\tilde{q}} + \not{k} + m)u_-(k), \\ = \frac{1}{m}(|\tilde{q}^+\rangle\langle\tilde{q}^+|k^-\rangle + |\tilde{q}^-\rangle\langle\tilde{q}^-|k^-\rangle + |k^-\rangle\langle k^-|k^-\rangle + |k^-\rangle\langle k^-|k^-\rangle + m|k^-\rangle), \\ = \frac{[\tilde{q}k]}{m}|\tilde{q}^+\rangle + |k^-\rangle,$$

and similarly for the other helicities and the antiquarks:

$$u(q, -s) = \frac{\langle\tilde{q}k\rangle}{m}|\tilde{q}^-\rangle + |k^+\rangle, \quad (2.99a)$$

$$v(q, s) = \frac{[\tilde{q}k]}{m}|\tilde{q}^+\rangle - |k^-\rangle, \quad (2.99b)$$

$$v(q, -s) = \frac{\langle\tilde{q}k\rangle}{m}|\tilde{q}^-\rangle - |k^+\rangle \quad (2.99c)$$

## 2.7 Monte Carlo Techniques

### 2.7.1 One Dimensional Integration

Integrals are ubiquitous in every field of physics and particle physics is no different. We have already seen many examples where meaningful physical results can only be obtained after computing an integral two good examples of this are the convolution of the parton distribution functions with the partonic cross-section seen in section ?? and

the more complex multi-dimensional integrals seen in section ?? the calculation of the one-loop correction to quark-antiquark production.

For some of the integrals derived here it is not always feasible (and sometimes not even possible) to calculate them analytically. In these situations we must use a numerical approach to approximate the full result. Such approaches generally fall into one of two categories; quadrature or Monte-Carlo random sampling approaches. The most appropriate solution depends the integrand itself (and in particular our prior knowledge of the integrand) and the number of dimensions we are integrating over.

Here we briefly consider the one-dimensional case. Given an integral:

$$I = \int_a^b f(x)dx, \quad (2.100)$$

we can use well known results such as the Compound Simpson's Rule to approximate the integral by

$$I \approx \frac{h}{3} \sum_{i=0}^{N/2} (f(x_{2i-2}) + 4f(x_{2i-1}) + f(x_{2i})) + \mathcal{O}(N^{-4}), \quad (2.101)$$

where  $N$  is the number of times we have subdivided the integral range  $(a, b)$  and  $x_i = a + \frac{i(b-a)}{N}$  are the points at which we sample the integrand. The error quoted on equation 2.101 only shows the dependence on the sampling rate and it should be noted that there are other factors arising from the size of the domain of integration and on derivatives of the integrand,  $f(x)$ . The  $N^{-4}$  scaling of the error in this method makes it a good choice for numerics in one-dimension.

The Monte-Carlo approach to approximating equation (2.100) would be to (pseudo-)randomly select a series of  $N$  points,  $x_i$ , from within the domain of integration and then compute the integral as follows:

$$I \approx I_{MC} = \frac{b-a}{N} \sum_{i=0}^N f(x_i) + \mathcal{O}(N^{-\frac{1}{2}}). \quad (2.102)$$

Convergence of this result is assured by the weak law of large numbers (also known as Bernoulli's Theorem) which states that for a series of independent and identically distributed random variables,  $X_1, \dots, X_N$ , each with  $\mathbb{E}(X_i) = \mu$  the sample mean approaches the population mean as  $N \rightarrow \infty$ . That is,

$$\lim_{N \rightarrow \infty} \frac{X_1 + \cdots + X_N}{N} = \mu. \quad (2.103)$$

We can see this explicitly since the expectation of  $I_{MC}$  under the continuous probability density function  $p$  is:

$$\begin{aligned} \mathbb{E}_p[I_{MC}] &= \mathbb{E}_p \left[ \frac{b-a}{N} \sum_{i=0}^N f(x_i) \right] \\ &= \frac{b-a}{N} \sum_{i=0}^N \mathbb{E}_p [f(x_i)] \\ &= \frac{b-a}{N} \sum_{i=0}^N \int_{-\infty}^{+\infty} f(x)p(x)dx \end{aligned}$$

where  $p(x) = \frac{1}{b-a}$  is the uniform probability distribution for  $x \in (a, b)$ . Hence,

$$\begin{aligned} \mathbb{E}_p[I_{MC}] &= \frac{b-a}{N} \frac{1}{b-a} \sum_{i=0}^N \int_a^b f(x)dx \\ &= \int_a^b f(x)dx = I. \end{aligned}$$

Since the convergence of the Monte-Carlo approximation clearly scales significantly worse than the case for quadrature it would seem that it is not worth considering and, indeed, for a single dimension it is not. However, the picture changes when we consider integrals in dimension  $d \geq 2$ .

### 2.7.2 Higher Dimensional Integration

In the case of higher dimensional integrals e.g.

$$I = \int_{[a,b]} f(\vec{x})d\vec{x} = \int_{x_1=a_1}^{x_1=b_1} \cdots \int_{x_n=a_n}^{x_n=b_n} f(x_1, \dots, x_n)dx_1 \dots dx_n, \quad (2.104)$$

we can still look to generalisations of the quadrature methods touched on in section 2.7.1 however the convergence of these methods is less favourable. Quadrature methods have errors which scale with the number of dimensions we are integrating over, e.g.  $\mathcal{O}(N^{-\frac{4}{d}})$  for the compound Simpson's rule. We can argue this intuitively since if we have  $N$  points in one dimension to get an error which scales as  $\mathcal{O}(N^{-4})$  then in two

dimensions we would require  $N^2$  to achieve the same density of samplings and hence  $N^2 \sim \mathcal{O}(N^{-4}) \implies N^2 \sim \mathcal{O}(N^{-\frac{4}{2}})$  and more generally  $\mathcal{O}(N^{-\frac{4}{d}})$ .

By comparison the error of a Monte Carlo approximation stays fixed at  $\mathcal{O}(N^{-\frac{1}{2}})$  regardless of the number of dimensions in the integrals. We are spared from this so-called ‘curse of dimensionality’ by the Central Limit Theorem which states that for a sequence of independent and identically distributed random variables  $X_1, \dots, X_N$  each with variance  $\sigma^2$  we have:

$$\frac{X_1 + \dots + X_N - N\mathbb{E}(X_1)}{\sqrt{N}\sigma} \xrightarrow{\lim N \rightarrow \infty} \mathcal{N}(0, 1), \quad (2.105)$$

where  $\mathcal{N}(0, 1)$  is the normal distribution with mean zero and variance 1. Then using the additive and multiplicative scaling of the normal distribution we see that:

$$\sum_{i=1}^N X_i \xrightarrow{\lim N \rightarrow \infty} \mathcal{N}\left(\mu, \frac{\sigma^2}{N}\right), \quad (2.106)$$

where  $\mu$  is the mean of the variables  $X_i$ . The variance of a normal distribution is well known and we can use this to see that for a  $d$ -dimensional integral we can approximate our uncertainty as:

$$\int_{[a,b]} f(\vec{x}) d\vec{x} = V\langle f \rangle \pm V\sqrt{\frac{\langle f^2 \rangle - \langle f \rangle^2}{N}} \quad (2.107)$$

$$\equiv V\langle f \rangle \pm V\frac{\sigma_{MC}}{\sqrt{N}}, \quad (2.108)$$

where  $V$  is the volume of the domain of integration,  $\langle f \rangle = \sum_i f(x_i)$  and  $\langle f^2 \rangle = \sum_i f(x_i)^2$ .

### 2.7.3 Variation Reduction Techniques

In equation 2.108 we saw that the error estimate of a Monte Carlo approximation depends not only on the number of points sampled,  $N$ , but also on  $\sigma_{MC}$ . We can try to reduce  $\sigma_{MC}$  by reducing how ‘variable’ the integrand is over the domain of integration, for instance in the extreme example where our integrand is  $f(x) = f_0$ , a constant, it is clear that one Monte Carlo sample is sufficient to compute the integral exactly. Previously when computing  $\mathbb{E}_p[I_{MC}]$  we used a uniform probability density function

but we are free to use any distribution we like to perform the integration. This can be seen since:

$$\begin{aligned}\mathbb{E}_p[I_{MC}] &= \int f(x)p(x)dx, \\ &= \int \frac{f(x)p(x)q(x)}{q(x)}, \\ &= \mathbb{E}_q\left[\frac{I_{MC}p(x)}{q(x)}\right],\end{aligned}$$

where  $q(x)$  is our ‘importance sampling’ distribution. For example let us consider the integral

$$I = 150 \int_0^{\frac{1}{2}} x^2 \arcsin x^2 dx. \quad (2.109)$$

The integrand of equation 2.109 is shown in figure 2.8 along with two potential choices of density functions. The uniform distribution (shown in red) will sample the integrand equally across the domain however it is clear from looking at the functional form of equation 2.109 that that isn’t the most efficient approach since it is strongly peaked towards the right hand side of the domain. Hence that is where the largest contribution to the Monte Carlo sum will come from. However if we sample the modified integrand using pseudo-random numbers generated from a distribution proportional to  $x^4$  (shown in green in figure 2.8) we can reduce the variance of our approximation significantly. Table 2.2 shows how the approximation improves as we vary the number of samples,  $N$ , for the two cases of  $q \sim \mathcal{U}(0, 0.5)$  and  $q \sim x^4$ .

$N$	$q \sim \mathcal{U}(0.0, 0.5)$		$q \sim x^4$	
	Approximation	Error	Approximation	Error
$10^1$	$0.5111428 \pm 1.5932607$	0.4318912	$0.9424279 \pm 1.6817093$	0.0006061
$10^2$	$0.9098668 \pm 2.0212007$	0.0331672	$0.9429298 \pm 2.6653523$	0.0001042
$10^3$	$0.9456974 \pm 2.0415918$	0.0026633	$0.9431454 \pm 0.8430513$	$8.936 \times 10^{-5}$
$10^4$	$0.9438040 \pm 2.0222993$	0.0007699	$0.9430386 \pm 0.2665659$	$4.504 \times 10^{-6}$
$10^5$	$0.9337252 \pm 2.0040391$	0.0093088	$0.9430241 \pm 0.0842942$	$2.848 \times 10^{-6}$

Table 2.2: The Monte-Carlo approximation to equation 2.109 as we vary the number of sampled points,  $N$ , shown in the naive sampling case and in the importance sampled case.

Table 2.2 clearly shows the value of an importance sampling approach converges to

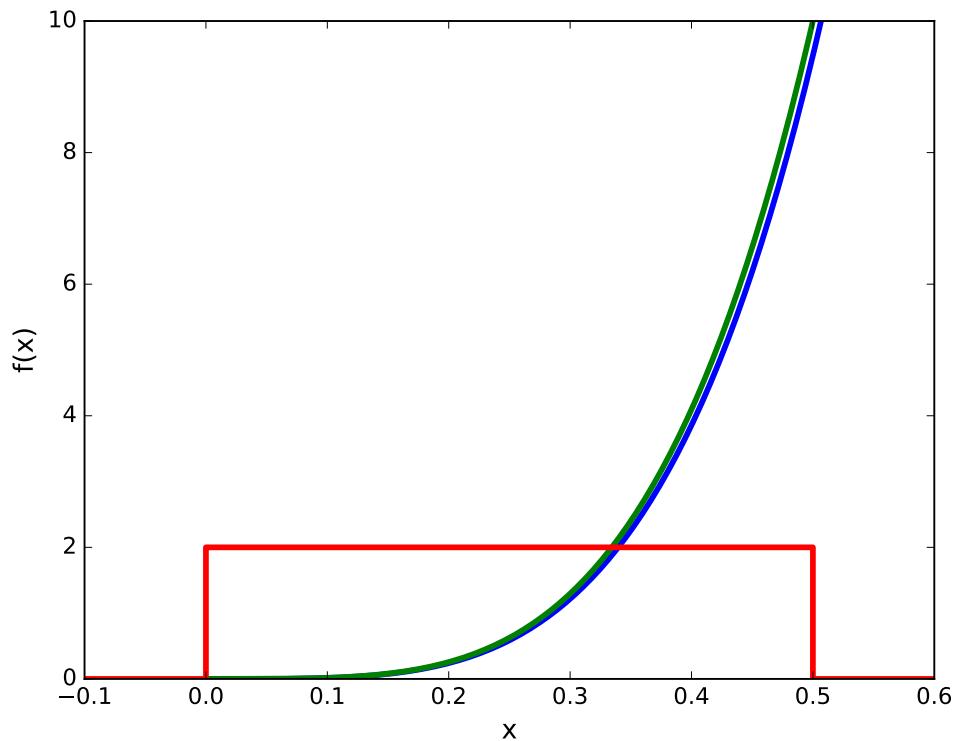


Figure 2.8: A simple importance sampling example (see equation 2.109). The integrand,  $f(x)$ , is shown in blue, the importance sampling distribution is shown in green and, for comparison, the uniform probability density function used in the naive case of no importance sampling is also shown (in red).

the correct result much faster than when we sample uniformly. Of course this tactic relies on us having some prior knowledge of the behaviour of our integrand in order to select the correct probability density function to use which, in more complicated examples is not always possible<sup>3</sup>. A more realistic, and relevant, example of importance sampling comes from the cross-section for the production of a  $Z^0$  boson in association with dijets. The matrix element squared for such a process will have following form upon factoring out the  $Z^0$  propagator squared:

$$|\mathcal{M}_{Z^0+jj}|^2 \sim \left| \frac{1}{p_Z^2 - M_Z^2 + i\Gamma_Z M_Z} \right|^2 \times f(\text{QCD, EW}) \times g(\text{Kinematic}), \quad (2.110)$$

where  $p_Z$  is the momentum carried by the  $Z^0$  boson,  $M_Z$  is its mass,  $\Gamma_Z$  is its width and  $f(\text{QCD, EW})$  will contain all of the coupling information and  $g(\text{Kinematic})$  encodes the remainder of the matrix element. When using a Monte-Carlo approach to generate events of this kind we can use the schematic of 2.110 to *a priori* select an appropriate probability density function to sample from. Figure 2.9 shows the squared  $Z^0$  propagator. Obvious comparisons with figure 2.8 can be drawn in the sense that were we to generate events with a uniform spread of values for  $p_Z^2$  we would end with a very slow rate of convergence by oversampling areas where the integrand is very small and slowly varying.

Another good example of importance sampling is found in how we sample the incoming partons in our simulations. Simple momentum conservation considerations lead us to values for the Bjorken scaling variables of our incoming partons,  $x_a$  and  $x_b$ , and we can use these to intelligently sample the available partons. The naive way to perform the sum over all possible incoming states would be to uniformly choose a random number corresponding to one of the light quarks, one the light anti-quarks or to a gluon<sup>4</sup>. We can, however, do better than this by using what we know about how the parton density functions vary with  $x_{a/b}$  - figure ?? shows this behaviour as measured by the HERA experiment. By choosing to randomly sample then incoming parton types according to the relative values for the parton density functions we can, once again, reduce the variance of our numerical integrations as much as possible.

---

<sup>3</sup>More novel approaches whereby the sampling distribution is modified to improve convergence as the Monte-Carlo iterations are calculated, such as the **VEGAS** algorithm, exist but they will not be discussed here.

<sup>4</sup>By ‘light (anti-)quarks’ we mean all except the top and anti-top. The parton density functions for these are not available and, even if they were, they would be small enough that we could safely ignore their contribution to cross-sections.

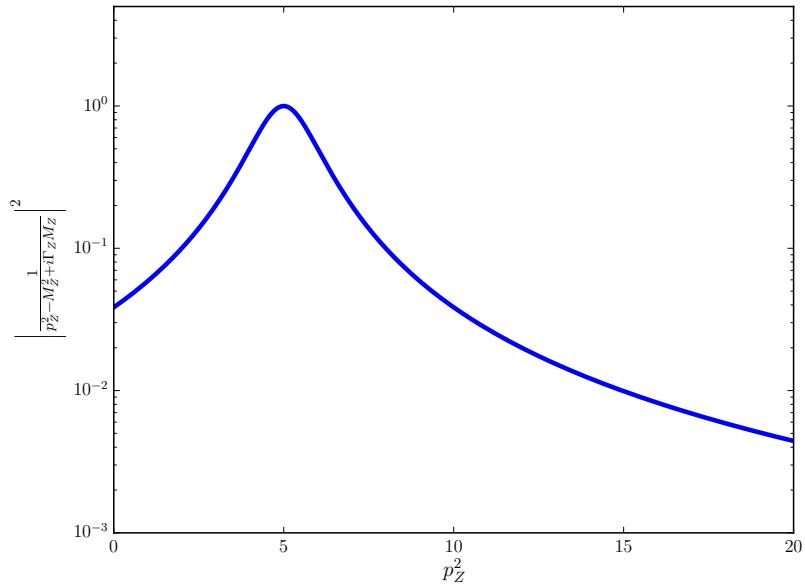


Figure 2.9: The absolute value squared of the  $Z^0$  propagator for a range of values of the invariant mass squared of the  $Z^0$ ,  $p_Z^2$ . We can see it is strongly peaked at the  $Z^0$  mass and, as such, is an ideal candidate for using importance sampling.

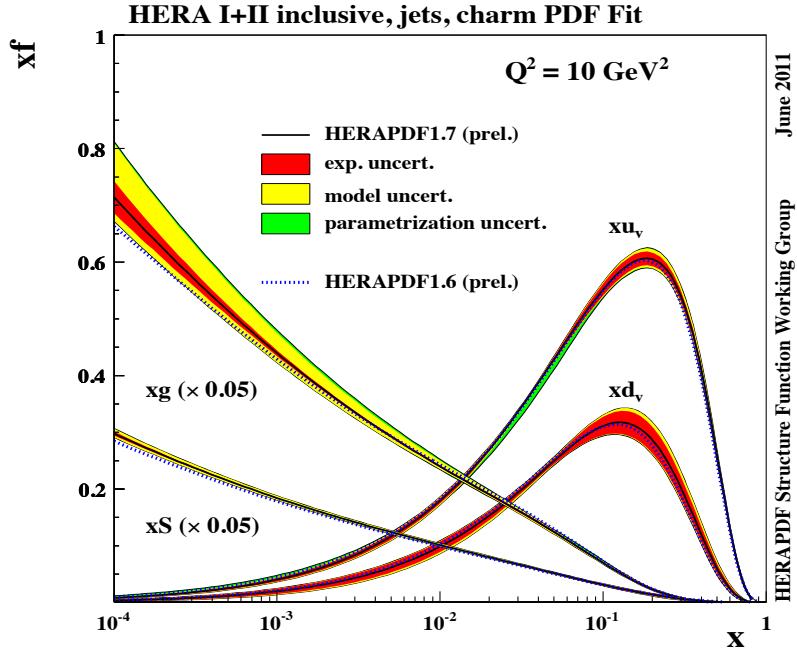


Figure 2.10: Recent parton distribution function fits from the HERA experiment. The observed variation in  $f(x_{a/b}, Q^2)$ , especially at high  $x_{a/b}$ , can be exploited when computing the equation ?? by using an importance sampling approach



# Chapter 3

## High Energy QCD

### 3.1 The High Energy Limit of $2 \rightarrow 2$ QCD scattering

#### 3.1.1 Mandelstam Variables in the High Energy Limit

The  $2 \rightarrow 2$  QCD scattering amplitudes can be expressed in terms of the well-known Mandelstam variables  $s$ ,  $t$  and  $u$ . Which, in terms of the momenta in the process, are given by:

$$s = (p_1 + p_2)^2 \quad (3.1a)$$

$$t = (p_1 - p_2)^2 \quad (3.1b)$$

$$u = (p_2 - p_3)^2 \quad (3.1c)$$

When working in the high energy limit it is convenient to re-express these in terms of the perpendicular momentum of the outgoing partons,  $p_\perp$ , and the difference in rapidity between the two final state partons,  $\Delta y$ :

$$s = 4p_\perp^2 \cosh^2 \frac{\Delta y}{2} \quad (3.2a)$$

$$t = -2p_\perp^2 \cosh \frac{\Delta y}{2} e^{-\frac{\Delta y}{2}} \quad (3.2b)$$

$$u = -2p_\perp^2 \cosh \frac{\Delta y}{2} e^{\frac{\Delta y}{2}} \quad (3.2c)$$

In the limit of hard jets well separated in rapidity these can be approximated to give

$$s \approx p_{\perp}^2 e^{\Delta y} \quad (3.3a)$$

$$t \approx -p_{\perp}^2 \quad (3.3b)$$

$$u \approx -p_{\perp}^2 e^{\Delta y} \quad (3.3c)$$

From equation (above) it is clear that the ‘hard, wide-angle jet’ limit is equivalent to the High Energy limit since:

$$\Delta y \approx \ln \left( \frac{s}{-t} \right) \quad (3.4)$$

### 3.1.2 HE limit of the three-gluon vertex

The three gluon vertex shown in figure (X) has the following Feynman rule:

$$g_s f^{abc} ((p_1 + p_3)^{\nu} g^{\mu_1 \mu_3} + (q - p_3)^{\mu_1} g^{\mu_3 \nu} - (q + p_1)^{\mu_3} g^{\mu_1 \nu}) \quad (3.5)$$

In the high energy limit the emitted gluon with momenta  $q$  is much softer than the emitting gluon with momenta  $p_1$  i.e.  $p_1^{\mu} \gg q^{\mu} \forall \mu$  and therefore  $p_1 \sim p_3$  - using this we can approximate the vertex by

$$\approx g_s f^{abc} (2p_1^{\nu} g^{\mu_1 \mu_3} + p_3^{\mu_1} g^{\mu_3 \nu} - p_3^{\mu_3} g^{\mu_1 \nu}) \quad (3.6)$$

Furthermore, since the hard gluons in a high energy process are external they must satisfy the Ward identities;  $\epsilon_1 \cdot p_1 = \epsilon_3 \cdot p_3 = 0$ . Hence, the vertex can be expressed simply as:

$$\approx 2g_s f^{abc} p_1^{\nu} g^{\mu_1 \mu_3} \quad (3.7)$$

### 3.1.3 At Leading Order in $\alpha_s$

Talk through the limit of  $2 \rightarrow 2$  scattering of gluons. Introduce mandelstam variables, show the equivalence of large delta y and large s.

### **3.1.4 At Next-to-Leading Order in $\alpha_s$**

Calculate the NLO calculations to the 2j ME and show that there explicitly is a delta y (large log) enhancement.

### **3.1.5 High Energy Jets ‘Currents’**

### **3.1.6 Effective Vertices For Real Emissions**

## **3.2 High Energy Jets**

### **3.2.1 The Multi-Regge Kinematic limit of QCD amplitudes**

### **3.2.2 Logarithms in HEJ observables**

Here you should take a  $2 \rightarrow n$  ME, apply the HE limit to it, do a PS integration and show the logs you get. Need the HE limit of PS integral from JA thesis and/or from VDD talk

### **3.2.3 HEJ currents**

### **3.2.4 High Energy Phase-space Integration**



# Chapter 4

## $Z/\gamma^* + \text{Jets}$ at the LHC

- Rewrite the bits Jenni/Jeppe wrote.

The Large Hadron Collider (LHC) sheds ever more light on Standard Model processes at higher energies as it continues into Run II. One “standard candle” process for the validation of the Standard Model description in this new energy regime is the production of a dilepton pair through an intermediate  $Z$  boson or photon, in association with (at least) two jets [2–4, 18, 21, 33, 34]. This final state can be entirely reconstructed from visible particles (in contrast to  $pp \rightarrow \text{dijets} + (W \rightarrow e\nu)$ ) making it a particularly clean channel for studying QCD radiation in the presence of a boson. Experimentally this process is indistinguishable from the production of a virtual photon which has decayed into the same products and we will consider both throughout.

$W$  and  $Z/\gamma^*$ -production are excellent benchmark processes for investigating QCD corrections, since the mass of the boson provides a perturbative scale, while the event rates allow for jet selection criteria similar to those applied in Higgs boson studies.  $W, Z/\gamma^*$ -production in association with dijets is of particular interest, since in many respects it behaves like a dijet production emitting a weak boson (i.e. electroweak corrections to a QCD process rather than QCD corrections to a weak process). This observation means that a study of  $W, Z/\gamma^*$ -production in association with dijets is relevant for understanding Higgs-boson production in association with dijets (which in the gluon-fusion channel can be viewed as a Higgs-boson correction to dijet production). This process is interesting (e.g. for  $CP$ -studies) in the region of phase space with large dijet invariant mass, where the coefficients in the perturbative series have logarithmically large contributions to all orders. As an example of the increasing importance of the higher orders, it is noted that the experimental measurement of the  $N + 1/N$ -jet rate in  $Z/\gamma^* + \text{jets}$  increases from 0.2 to 0.3 after application of very

modest VBF-style selection cuts even at 7 TeV [2, 3, 18].

The current state-of-the-art for fixed-order calculations for this process is the next-to-leading order calculation of  $Z/\gamma^*$  plus 4 jets by the BlackHat collaboration [30]. While it has become standard to merge next-to-leading order QCD calculations with parton showers [8, 10, 25–27, 39], results for jet production in association with vector bosons have so far only appeared with up to two jets [16, 40]. Indeed,  $W/Z + 0-, 1-$  and 2-jet NLO samples have been merged with higher-order tree-level matrix elements and parton shower formulations [24, 29]. However, a parton shower cannot be expected to accurately provide a description of multiple hard jets from its resummation of the (soft and collinear) logarithms which are enhanced in the region of small invariant mass. An alternative method to describe the higher-order corrections is instead to sum the logarithmic corrections which are enhanced at large invariant mass between the particles. This is the approach pioneered by the High Energy Jets (HEJ) framework [12, 13]. Here, the hard-scattering matrix elements for a given process are supplemented with the leading-logarithmic corrections (in  $s/t$ ) at all orders in  $\alpha_s$ . This approach has been seen to give a good description of dijet and  $W$  plus dijet data at both the TeVatron [7] and the LHC [1, 5, 6, 19, 20]. In particular, these logarithmic corrections ensure a good description of  $W$  plus dijet-production in the region of large invariant mass between the two leading jets [6]. It is not surprising that standard methods struggle in the region of large invariant mass, since the perturbative coefficients receive large logarithmic corrections to all orders, and perturbative stability is guaranteed only once these are systematically summed.

The purpose of this paper is to develop the treatment of such large QCD perturbative corrections within High Energy Jets to include the process of  $Z/\gamma^*$  plus dijets. While this process has many features in common with the  $W$  plus dijets process, one major difference is the importance of interference terms, both between different diagrams within the same subprocess (e.g.  $qQ \rightarrow qQ(Z \rightarrow) e^+ e^-$  with emissions off either the  $q$  or  $Q$  line) and between  $Z$  and  $\gamma^*$  processes of the same partonic configuration. For processes with two quark lines, the possibility to emit the  $Z/\gamma^*$  from both of these leads to profound differences to the formalism, since the  $t$ -channel momentum exchanged between the two quark lines obviously differs whether the boson emission is off line  $q$  or  $Q$ . Furthermore, the interference between the two resulting amplitudes necessitates a treatment at the amplitude-level. High Energy Jets is formulated at the amplitude-level, which, together with the matching to high-multiplicity matrix-elements, sets it apart in the field of high energy logarithms [14, 17, 22, 23, 31, 32, 35–37]. The added complication over earlier High Energy Jets-formalism (and indeed in any BFKL-related study) by the interfering  $t$ -channels introduces a new structure of divergences in both

real and virtual corrections, and therefore a new set of subtraction terms are needed, in order to organise the cancellation of these divergences. The matching to full high-multiplicity matrix elements puts the final result much closer to those of fixed order samples merged according to the shower formalism [16, 24, 29, 40] — although of course the logarithms systematically controlled with High Energy Jets are different to those controlled in the parton shower formalism. In particular, High Energy Jets remains a partonic generator, i.e. although it is an all-order calculation (like a parton shower), it is not interfaced to a hadronisation model. Initial steps in combining the formalism of High Energy Jets and that of a parton shower (and hadronisation) were performed in Ref. [11].

We begin the main body of this article by outlining the construction of a High Energy Jets amplitude and its implementation in a fully flexible parton level Monte Carlo in the next section. In section ?? we derive the new subtraction terms which allows us to fully account for interference between the amplitudes. The subtraction terms allow for the construction of the all-order contribution to the process as an explicit phase-space integral over any number of emissions. Specifically, the main result for the all-order summation is formulated in Eq. (??):

$$\sigma = \sum_{f_a, f_b} \sum_{n=2}^{\infty} \int \frac{d^3 p_a}{(2\pi)^3 2E_a} \int \frac{d^3 p_b}{(2\pi)^3 2E_b} \left( \prod_{i=2}^n \int_{p_{i\perp} > \lambda_{cut}} \frac{d^3 p_i}{(2\pi)^3 2E_i} \right) (2\pi)^4 \delta^{(4)} \left( p_a + p_b - \sum_i p_i \right) \\ \times | \mathcal{M}_{f_a f_b \rightarrow Z/\gamma^* f_a(n-2) g f_b}^{HEJ-reg} (p_a, p_b, \{p_i\}) |^2 \frac{x_a f_f_a(x_a, Q_a) x_b f_f_b(x_b, Q_b)}{\hat{s}^2} \Theta_{cut},$$

where  $\sigma$  is the sough-after cross section, and the rest of the equation is discussed in the relevant section. Section ?? also discusses the necessary modifications in order to include fixed-order matching. In section ?? we show and discuss the comparisons between the new predictions obtained with High Energy Jets and LHC data. We conclude and present the outlook in section ??.

## 4.1 $Z+jets$

Similarly to the the case of  $W^\pm$  plus jets there are *four* possible emission sites for the boson; Two on the forward incoming quark, and two on the backward incoming quarks (see figure 8.12).

In the language of currents (see for *e.g.* [?]) we call the left hand side of figure 8.12  $j_\mu^Z/\gamma^*$ :

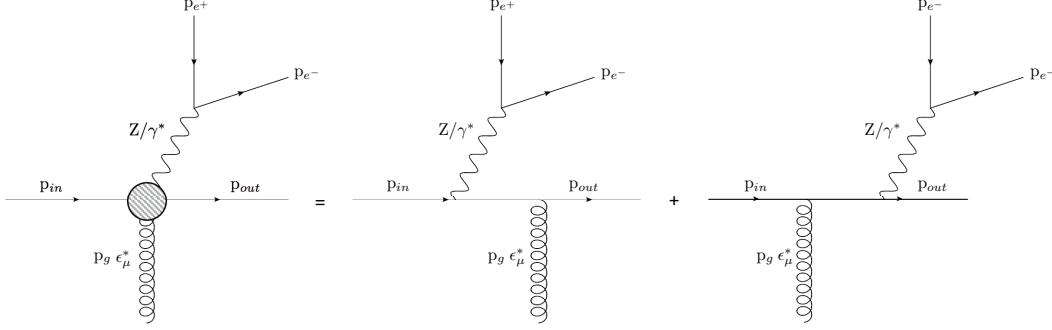


Figure 4.1: The possible emission sites for a neutral weak boson.

$$j_\mu^Z = \bar{u}^{h_{out}}(p_{out}) \left( \gamma^\sigma \frac{\not{p}_{out} + \not{p}_Z}{(p_{out} + p_Z)^2} \gamma_\mu + \gamma_\mu \frac{\not{p}_{in} - \not{p}_Z}{(p_{in} - p_Z)^2} \gamma_\sigma \right) u^{h_{in}}(p_{in}) \times \bar{u}^{h_{e^-}}(p_{e^-}) \gamma_\sigma u^{h_{e^+}}(p_{e^+}). \quad (4.1)$$

We can then express amplitudes in terms of contractions of ‘emitting’ and ‘non-emitting’ currents.

As the figure above indicates, when emitting a  $Z$  boson there is also the possibility of an off-shell photon being exchanged instead of a  $Z$ . Since the difference in these two channels is indistinguishable in the final state we must treat the interference as the amplitude level. For example, the amplitude for  $2 \rightarrow 2$  scattering is:

$$\mathcal{A}_{Z/\gamma}^{2 \rightarrow 2} = \underbrace{\left( \frac{k_1}{p_{Z/\gamma}^2 - m_Z^2 + i\Gamma_Z m_Z} + \frac{Q_1 e}{p_{Z/\gamma}^2} \right)}_{\mathcal{K}_a} \frac{j_1^{Z/\gamma} \cdot j_2}{q_{t1}^2} + \underbrace{\left( \frac{k_2}{p_{Z/\gamma}^2 - m_Z^2 + i\Gamma_Z m_Z} + \frac{Q_2 e}{p_{Z/\gamma}^2} \right)}_{\mathcal{K}_b} \frac{j_1 \cdot j_2^{Z/\gamma}}{q_{b1}^2}, \quad (4.2)$$

where  $k_i$  are the  $Z$  couplings to the quarks,  $Q_i$  are the the  $\gamma$  couplings to the quarks,  $m_Z$  is the mass of the  $Z$ ,  $\Gamma_Z$  is the width of the  $Z$  peak,  $q_{t1}$  is the momentum of the  $t$ -channel gluon exchanged when  $Z$  emission occurs of the forward incoming quark line and  $q_{b1}$  is the momentum of the exchanged gluon when  $Z$  emission occurs of the backward incoming quark line.

Equation 4.2 is a good example of the advantages of using currents since the form of the diagrams for either  $Z$  or  $\gamma$  can be expressed as only two contraction (with the distinct propagators dealt with in the  $\mathcal{K}_i$  terms).

Extra *real* gluon emissions from the  $t$ -channel gluon are then included using an effective vertex of the form [?] [?]:

$$V^\rho(q_j, q_{j+1}) = -(q_j + q_{j+1})^\rho - 2 \left( \frac{s_{aj}}{s_{ab}} - \frac{q_{j+1}^2}{s_{bj}} \right) p_b^\rho + 2 \left( \frac{s_{bj}}{s_{ab}} + \frac{q_j^2}{s_{aj}} \right) p_a^\rho \quad (4.3)$$

Where  $s_{aj} = 2p_a \cdot p_j$  etc. The general  $2 \rightarrow n$  amplitude therefore looks like:

$$\begin{aligned} \mathcal{A}_{Z/\gamma}^{2 \rightarrow n} = & \left( \mathcal{K}_a \frac{V^{\mu_1}(q_{t1}, q_{t2}) \cdots V^{\mu_{n-2}}(q_{t(n-1)}, q_{t(n-2)})}{q_{t1} \cdots q_{t(n-1)}} j_1^Z \cdot j_2 + \dots \right. \\ & \left. \mathcal{K}_b \frac{V^{\mu_1}(q_{b1}, q_{b2}) \cdots V^{\mu_{n-2}}(q_{b(n-1)}, q_{b(n-2)})}{q_{b1} \cdots q_{b(n-1)}} j_1 \cdot j_2^Z \right) \epsilon_{\mu_1}^* \cdots \epsilon_{\mu_{(n-2)}}^* \end{aligned} \quad (4.4)$$

and after taking the modulus squared of this we have the following:

$$\begin{aligned} |\mathcal{A}_{Z/\gamma}^{2 \rightarrow n}|^2 = & \left| \mathcal{K}_a j_1^{Z/\gamma} \cdot j_2 \right|^2 \frac{V^2(q_{t1}, q_{t2}) V^2(q_{t2}, q_{t3}) \cdots V^2(q_{b(n-2)}, q_{b(n-1)})}{q_{t1}^2 \cdots q_{t(n-1)}^2} + \dots \\ & \left| \mathcal{K}_b j_2^{Z/\gamma} \cdot j_1 \right|^2 \frac{V^2(q_{b1}, q_{b2}) V^2(q_{b2}, q_{b3}) \cdots V^2(q_{b(n-2)}, q_{b(n-1)})}{q_{b1}^2 \cdots q_{b(n-1)}^2} + \dots \\ & 2\Re \{ \mathcal{K}_a \overline{\mathcal{K}_b} \times (j_1^{Z/\gamma} \cdot j_2)(\overline{j_2^{Z/\gamma} \cdot j_1}) \} \frac{V(q_{t1}, q_{t2}) \cdot V(q_{b1}, q_{b2}) \cdots V(q_{t(n-2)}, q_{t(n-1)}) \cdot V(q_{b(n-2)}, q_{b(n-1)})}{q_{t1} q_{b1} \cdots q_{t(n-1)} q_{b(n-1)}} \end{aligned} \quad (4.5)$$

In previous work it was seen that the interference between forward quark- and backward weak boson emission (the third term in equation 4.5) was negligible [?]. This turns out not to be the case in  $Z$  plus jets - possibly due to the effects of photon interference.

#### 4.1.1 Formulation in terms of currents

#### 4.1.2 To High Multiplicity Final States

#### 4.1.3 $Z^0$ Emission Interference

#### 4.1.4 Photonic Interference

#### 4.1.5 The $2 \rightarrow n$ Matrix Element

#### 4.1.6 The Differential $Z/\gamma$ Cross-Section

### 4.2 Regularising the $Z/\gamma^* + \text{Jets}$ Matrix Element

Explain that in the MRK limit the external legs can't (by definition) be soft, then look at the limit of one gluon going soft (basically an NLO correction to the  $(n-1)$  parton ME) in the effective vertex. Show that this leads to a divergence.

Next talk about NLO virtual corrections to the  $(n-1)$ -parton ME. Show that in the HE limit, only two diagrams contribute (extra t - crosses and uncrossed - g exchange) show the log enhancement given. Give explicitly calculation showing divergences cancelling (as must happen by KLN theorem).

#### 4.2.1 Soft Emissions

To calculate useful quantities such as cross sections *etc.* we must integrate equation 4.5 over all of phase space. However, problems arise when we attempt to integrate over the so called 'soft' (low energy) regions of phase space - things which should be finite diverge and need to be cancelled carefully. It is well understood that the divergences coming from soft *real* emissions cancel with those coming from soft *virtual* emissions and so we must explicitly show this cancellation and calculate the remaining finite contribution multiplying the  $(n-1)$ -final state parton matrix element.

In the previous work on  $W^\pm$  emission the finite contribution was found to be [?] [?]:

$$\frac{\alpha_s C_a \Delta_{j-1,j+1}}{\pi} \ln \frac{\lambda^2}{|\vec{q}_{j\perp}|^2}, \quad (4.6)$$

where  $\alpha_s$  is the strong coupling strength,  $C_a$  is a numerical factor,  $\Delta_{i-1,i+1}$  is the rapidity span of the final state partons either side of our soft emission,  $\lambda$  is a factor

chosen to define the soft region:  $p^2 < \lambda^2$  and  $|\vec{q}_{j\perp}|^2$  is the sum of squares of the transverse components of the  $j^{th}$   $t$ -channel gluon momenta.

Here we investigate the cancellation of these divergences for  $Z$  emission and most importantly whether the finite term is of the same form for the interference term which was previously disregarded.

We start by looking at a  $2 \rightarrow n$  process and take the limit of one final state parton momentum,  $p_i$ , becoming small. Because of the form of equation 4.5 this amounts to looking at the effect of soft-ness on equation 4.3, we can immediately see that for  $p_i$  going soft the gluon chain momenta coming into- and coming out of the  $j^{th}$  emission site will coincide:  $q_{j+1} \sim q_j$ :

$$V^\rho(q_j, q_{j+1}) \rightarrow -2q_j^\rho - 2 \left( \frac{s_{aj}}{s_{ab}} - \frac{q_j^2}{s_{bj}} \right) p_b^\rho + 2 \left( \frac{s_{bj}}{s_{ab}} + \frac{q_j^2}{s_{aj}} \right) p_a^\rho \quad (4.7)$$

In equation 4.5 we have two types of terms involving the effective vertex; terms like  $V^2(q_{t/bj}, q_{t/b(j+1)})$  and terms like  $V(q_{tj}, q_{t(j+1)}) \cdot V(q_{bj}, q_{b(j+1)})$ . The procedure for the  $V^2$  terms doesn't change between top-line emission and bottom-line emission and so only the calculation for top-line emission will be shown here.

### 4.2.2 $V^2(q_{tj}, q_{t(j+1)})$ Terms

Once we square equation 4.7 and impose on-shell conditions to  $p_a$  and  $p_b$  we get:

$$V^2(q_{tj}, q_{tj}) = 4q_j^2 + 8q_j \cdot p_b \left( \frac{s_{aj}}{s_{ab}} - \frac{q_j^2}{s_{bj}} \right) - 8q_j \cdot p_a \left( \frac{s_{bj}}{s_{ab}} + \frac{q_j^2}{s_{aj}} \right) - 4s_{ab} \left( \frac{s_{aj}}{s_{ab}} - \frac{q_j^2}{s_{bj}} \right) \left( \frac{s_{bj}}{s_{ab}} + \frac{q_j^2}{s_{aj}} \right) \quad (4.8)$$

Now since  $p_j \rightarrow 0$  the terms  $s_{aj}$  and  $s_{bj}$  will also become vanishing:

$$V^2(q_{tj}, q_{tj}) = 4q_j^2 + 8q_j \cdot p_b \frac{q_j^2}{s_{bj}} - 8q_j \cdot p_a \frac{q_j^2}{s_{aj}} - 4s_{ab} \frac{q_j^4}{s_{bj}s_{aj}} \quad (4.9)$$

Clearly the final term now dominates due to its  $\sim \frac{1}{p_i^2}$  behaviour:

$$V^2(q_{ti}, q_{ti}) = -\frac{4s_{ab}}{s_{bi}s_{ai}} q_i^4 + \mathcal{O}\left(\frac{1}{|p_i|}\right) \quad (4.10)$$

We must now explicitly calculate the invariant mass terms. Since we are in the high

energy limit we may take  $p_a \sim p_1 \sim p_+ = (\frac{1}{2}p_z, 0, 0, \frac{1}{2}p_z)$  and  $p_b \sim p_n \sim p_- = (\frac{1}{2}p_z, 0, 0, -\frac{1}{2}p_z)$  and we describe our soft gluon by  $p_i = (E, \vec{p})$ . Therefore:

$$s_{ai} = 2p_a \cdot p_i \sim 2p_+ \cdot p_i = \frac{1}{2}p_z E - \frac{1}{2}p_z^2, \quad (4.11a)$$

$$s_{bi} = 2p_b \cdot p_i \sim 2p_- \cdot p_i = \frac{1}{2}p_z E + \frac{1}{2}p_z^2, \quad (4.11b)$$

and  $s_{ab} = \frac{1}{2}p_z^2$ . Then equation 4.10 reads:

$$V^2(q_{ti}, q_{ti}) = -\frac{4p_z^2}{(p_z E - p_z^2)(p_z E + p_z^2)} q_i^4 + \mathcal{O}\left(\frac{1}{|p_i|}\right), \quad (4.12a)$$

$$V^2(q_{ti}, q_{ti}) = -\frac{4p_z^2}{p_z^2(E^2 - p_z^2)} q_i^4 + \mathcal{O}\left(\frac{1}{|p_i|}\right), \quad (4.12b)$$

but since  $E^2 - p_1^2 = 0$ :

$$V^2(q_{ti}, q_{ti}) = -\frac{4}{|\vec{p}_{1\perp}|^2} q_i^4 + \mathcal{O}\left(\frac{1}{|p_i|}\right), \quad (4.13)$$

Now looking back to equation 4.5 we see that each vertex is associated with factors of  $(q_{ti}^{-2} q_{t(i+1)}^{-2})$  but once again since the emission is soft this becomes  $(q_{ti}^{-4})$ . This factor conspires to cancel with that in equation 4.13, moreover each vertex comes with a factor of  $-C_A g_s^2$  (which are contained in the  $\mathcal{K}_i$  terms in equation 4.5). Including these and dropping subdominant terms the final factor is:

$$\frac{4C_A g_s^2}{|\vec{p}_\perp|^2} \quad (4.14)$$

### 4.2.3 $V(q_{ti}, q_{t(i+1)}) \cdot V(q_{bi}, q_{b(i+1)})$ Terms

The calculation of the interference term with a soft emission follows similarly to the above section. After taking  $p_i \rightarrow 0$  and dotting the two vertex terms together we have:

$$\begin{aligned} V(q_{ti}, q_{ti}) \cdot V(q_{bi}, q_{bi}) &= 4q_i^t \cdot q_i^b - 4q_i^t \cdot p_a \left( \frac{s_{bi}}{s_{ab}} + \frac{t_i^b}{s_{ai}} \right) + 4q_i^t \cdot p_b \left( \frac{s_{ai}}{s_{ab}} + \frac{t_i^b}{s_{bi}} \right) \dots \\ &\quad - 4q_i^b \cdot p_a \left( \frac{s_{bi}}{s_{ab}} + \frac{t_i^t}{s_{ai}} \right) + 4q_i^b \cdot p_b \left( \frac{s_{ai}}{s_{ab}} + \frac{t_i^t}{s_{bi}} \right) \dots \end{aligned} \quad (4.15)$$

having used  $p_a^2 = 0$  and  $p_b^2 = 0$  once again. We can drop all the terms with  $s_{ai}$  or  $s_{bi}$  in the denominator and this time we are left with *two* dominant terms which combine to give:

$$V(q_{ti}, q_{ti}) \cdot V(q_{bi}, q_{bi}) = -\frac{s_{ab}}{s_{ai}s_{bi}} t_i^t t_i^b + \mathcal{O}\left(\frac{1}{|p_i|}\right). \quad (4.16)$$

The invariant mass terms here are identical to those we saw in the  $V^2$  terms and the products of  $t_i^t t_i^b$  also appear in the denominator of the interference term in equation 4.5. After this cancelling we are left with exactly what we had before (see equation 4.14). Since exactly the same factor comes from all three terms at the amplitude squared level we may factor them out and express the amplitude squared for an  $n$ -parton final state with one soft emission in terms of an  $(n-1)$ -parton final state amplitude squared multiplied by our factor:

$$\lim_{p_i \rightarrow 0} |\mathcal{A}_{Z/\gamma}^{2 \rightarrow n}|^2 = \left( \frac{4C_A g_s^2}{|\vec{p}_{i\perp}|^2} \right) |\mathcal{A}_{Z/\gamma}^{2 \rightarrow (n-1)}|^2 \quad (4.17)$$

#### 4.2.4 Integration of soft diverences

As mentioned above the divergences only become apparent after we have attempted to integrate over phase space. The Lorentz invariant phase space integral associated with  $p_i$  is:

$$\int \frac{d^3 \vec{p}_i}{(2\pi)^3 2E_i} \frac{4C_A g_s^2}{|\vec{p}_{i\perp}|^2}. \quad (4.18)$$

It is convenient to replace the integral over the  $z$ -component of momentum with one over rapidity,  $y_2$ . Rapidity and momentum are related through:

$$y = \frac{1}{2} \ln \left( \frac{E + p_z}{E - p_z} \right) \quad (4.19)$$

The Jacobian of this transformation is:

$$\frac{dy}{dp_z} = \frac{1}{2(E+p_z)} \frac{\partial}{\partial p_z}(E+p_z) - \frac{1}{2(E-p_z)} \frac{\partial}{\partial p_z}(E-p_z), \quad (4.20)$$

$$= \frac{E}{E^2 - p_z^2} - \frac{p_z}{E^2 - p_z^2} \frac{\partial E}{\partial p_z}, \quad (4.21)$$

$$= \frac{E}{E^2 - p_z^2} - \frac{p_z}{E^2 - p_z^2} \frac{p_z}{E}, \quad (4.22)$$

$$= \frac{1}{E}. \quad (4.23)$$

The phase space integral then reads:

$$\int \frac{d^{2+2\epsilon} \vec{p}_\perp}{(2\pi)^{2+2\epsilon}} \frac{dy}{4\pi} \frac{4C_A g_s^2}{|\vec{p}_\perp|^2} \mu^{-2\epsilon} = \frac{4C_A g_s^2 \mu^{-2\epsilon}}{(2\pi)^{2+2\epsilon} 4\pi} \Delta_{i-1,i+1} \int \frac{d^{2+2\epsilon} \vec{p}_\perp}{|\vec{p}_\perp|^2}, \quad (4.24)$$

where we have analytically continued the integral to  $2 + 2\epsilon$  dimensions to regulate the divergence and introduced the parameter  $\mu$  to keep the coupling dimensionless in the process. Converting to polar coordinates and using the result for the volume of a unit hypersphere gives to integrated soft contribution:

$$\frac{4C_A g_s^2}{(2\pi)^{2+2\epsilon} 4\pi} \Delta_{i-1,i+1} \frac{1}{\epsilon} \frac{\pi^{1+\epsilon}}{\Gamma(\epsilon+1)} \left( \frac{\lambda^2}{\mu^2} \right)^\epsilon \quad (4.25)$$

#### 4.2.5 Virtual Emissions

The virtual emission diagrams are included using the Lipatov ansatz for the gluon propagator:

$$\frac{1}{q_i^2} \longrightarrow \frac{1}{q_i^2} e^{\hat{\alpha}(q_i)(\Delta_{i,i-1})}, \quad (4.26)$$

where:

$$\hat{\alpha}(q_i) = \alpha_s C_A q_i^2 \int \frac{d^{2+2\epsilon} k_\perp}{(2\pi)^{2+2\epsilon}} \frac{1}{k_\perp^2 (k_\perp - q_{i\perp})^2} \mu^{-2\epsilon}. \quad (4.27)$$

Once again we choose to perform the integral using dimensional regularisation. Using the well known Feynman parameterisation formulae gives:

$$\hat{\alpha}(q_i) = \alpha_s C_A q_i^2 \int \frac{d^{2+2\epsilon} k_\perp}{(2\pi)^{2+2\epsilon}} \int_0^1 \frac{dx}{[x(k - q_i)_\perp^2 + (1-x)k_\perp^2]^2} \mu^{-2\epsilon}, \quad (4.28)$$

$$= \alpha_s C_A q_i^2 \int \frac{d^{2+2\epsilon} \hat{k}_\perp}{(2\pi)^{2+2\epsilon}} \int_0^1 \frac{dx}{[\hat{k}_\perp^2 + q_{i\perp}^2(1-x)]^2} \mu^{-2\epsilon}, \quad (4.29)$$

where we have performed a change of variables to  $\hat{k}_\perp = k_\perp - x q_{i\perp}$  with unit Jacobian. Changing the order of integration we can perform the  $\hat{k}_\perp$  integral using the following result:

$$\int \frac{d^d k}{(2\pi)^d} \frac{1}{(k^2 - C)^\alpha} = \frac{1}{(4\pi)^{\frac{d}{2}}} \frac{\Gamma(\alpha - \frac{d}{2})}{\Gamma(\alpha)} \frac{(-1)^\alpha}{C^{\alpha - \frac{d}{2}}}, \quad (4.30)$$

to give:

$$\hat{\alpha}(q_i) = \alpha_s C_A q_i^2 \frac{\Gamma(1-\epsilon)}{(4\pi)^{1+\epsilon}} (-q_{i\perp}^2)^{\epsilon-1} \int_0^1 dx (1-x)^{\epsilon-1}, \quad (4.31)$$

$$= -\frac{2g_s^2 C_A}{(4\pi)^{2+\epsilon}} \frac{\Gamma(1-\epsilon)}{\epsilon} \left( \frac{q_{i\perp}^2}{\mu^2} \right)^\epsilon, \quad (4.32)$$

having completed the  $x$  integral and used  $\alpha_s = \frac{g_s^2}{4\pi}$ .

#### 4.2.6 Cancellation of Infrared Contributions

We now show how the infrared contributions from soft real emissions and virtual emissions cancel leaving our integrated matrix element finite. The subtlety here is that we must sum two diagrams with different final states to see the cancellation. This is because they are experimentally indistinguishable; the  $2 \rightarrow (n-1)$  virtual diagram has  $(n-1)$  resolvable partons in the final state (but is a higher order diagram perturbatively speaking). Because one of the emission in the real  $2 \rightarrow n$  diagram is soft it is experimentally undetectable so we detect the same final state as the virtual diagram. The matrix element squared for the real soft diagram will look like:

$$|\mathcal{A}_{Z/\gamma}^{2 \rightarrow n}|^2 = \left( \frac{4g_s^2 C_a}{|p_{i\perp}|^2} \right) \left[ \left| \mathcal{K}_a j_1^{Z/\gamma} \cdot j_2 \right|^2 \frac{\prod_{i \neq j}^{n-2} V^2(q_{ti}, q_{t(i+1)})}{\prod_{i \neq j}^{n-1} q_{ti}^2} + \dots \right] \quad (4.33)$$

$$\left| \mathcal{K}_b j_2^{Z/\gamma} \cdot j_1 \right|^2 \frac{\prod_{i \neq j}^{n-2} V^2(q_{bi}, q_{b(i+1)})}{\prod_{i \neq j}^{n-1} q_{bi}^2} + \dots \quad (4.34)$$

$$2\Re\{\mathcal{K}_a \overline{\mathcal{K}_b} \times (j_1^{Z/\gamma} \cdot j_2)(\overline{j_2^{Z/\gamma} \cdot j_1})\} \frac{\prod_{i \neq j}^{n-2} V(q_{ti}, q_{t(i+1)}) \cdot V(q_{bi}, q_{b(i+1)}))}{\prod_{i \neq j}^{n-1} q_{ti} q_{bi}} \Big], \quad (4.35)$$

where we have taken the  $i^{th}$  gluon to be soft and the result of the Lorentz invariant phase space integration over the  $p_i$  momentum is shown in equation 4.25.

After inserting the Lipatov ansatz into the  $2 \rightarrow (n-1)$  matrix element squared we have:

$$|\mathcal{A}_{Z/\gamma}^{2 \rightarrow (n-1)}|^2 = \left| \mathcal{K}_a j_1^{Z/\gamma} \cdot j_2 \right|^2 \frac{\prod_i^{n-3} V^2(q_{ti}, q_{t(i+1)})}{\prod_i^{n-2} q_{ti}^2} e^{2\hat{\alpha}(q_{ti})\Delta_{i-1,i+1}} + \dots \quad (4.36)$$

$$\left| \mathcal{K}_b j_2^{Z/\gamma} \cdot j_1 \right|^2 \frac{\prod_i^{n-3} V^2(q_{bi}, q_{b(i+1)})}{\prod_i^{n-2} q_{bi}^2} e^{2\hat{\alpha}(q_{bi})\Delta_{i-1,i+1}} + \dots \quad (4.37)$$

$$2\Re\{\mathcal{K}_a \overline{\mathcal{K}_b} \times (j_1^{Z/\gamma} \cdot j_2)(\overline{j_2^{Z/\gamma} \cdot j_1})\} \frac{\prod_i^{n-3} V(q_{ti}, q_{t(i+1)}) \cdot V(q_{bi}, q_{b(i+1)}))}{\prod_i^{n-2} q_{ti} q_{bi}} e^{(\hat{\alpha}(q_{bi}) + \hat{\alpha}(q_{ti}))\Delta_{i-1,i+1}}, \quad (4.38)$$

We can now go through term-by-term to show the divergences cancel and find the finite contribution to the matrix element squared. Similarly to when we calculated the soft terms the pure top and bottom emissions follow identically so here we will only state the procedure for the top emission. The interference term is slightly different.

For the top line emission we have the following terms:

$$\frac{4C_A g_s^2}{(2\pi)^{2+2\epsilon} 4\pi} \Delta_{i-1,i+1} \frac{1}{\epsilon} \frac{\pi^{1+\epsilon}}{\Gamma(\epsilon+1)} \left( \frac{\lambda^2}{\mu^2} \right)^\epsilon + e^{2\hat{\alpha}_s(q_{ti})\Delta_{i-1,i+1}}. \quad (4.39)$$

We now extract the relevant term (in terms of the strong coupling order) from the exponential and substitute the expression for  $\hat{\alpha}_s$ :

$$= \frac{4C_A g_s^2}{(2\pi)^{2+2\epsilon} 4\pi} \Delta_{i-1,i+1} \frac{1}{\epsilon} \frac{\pi^{1+\epsilon}}{\Gamma(\epsilon+1)} \left( \frac{\lambda^2}{\mu^2} \right)^\epsilon - - \frac{2g_s^2 C_A}{(4\pi)^{2+\epsilon}} \frac{\Gamma(1-\epsilon)}{\epsilon} \left( \frac{q_{ti\perp}^2}{\mu^2} \right)^\epsilon, \quad (4.40)$$

$$= \frac{g_s^2 C_A}{4^{1+\epsilon} \pi^{2+\epsilon}} \Delta_{i-1,i+1} \left( \frac{1}{\epsilon \Gamma(1+\epsilon)} \left( \frac{\lambda^2}{\mu^2} \right)^\epsilon - \frac{\Gamma(1-\epsilon)}{\epsilon} \left( \frac{q_{ti\perp}^2}{\mu^2} \right)^\epsilon \right). \quad (4.41)$$

Expanding the terms involving  $\epsilon$  yeilds:

$$\frac{1}{\Gamma(1+\epsilon)} = 1 + \gamma_E \epsilon + \mathcal{O}(\epsilon^2), \quad (4.42a)$$

$$\Gamma(1-\epsilon) = 1 + \gamma_E \epsilon + \mathcal{O}(\epsilon^2), \quad (4.42b)$$

$$\left( \frac{x}{y} \right)^\epsilon = 1 + \epsilon \ln \left( \frac{x}{y} \right) + \mathcal{O}(\epsilon^2). \quad (4.42c)$$

And so the finite terms are:

$$= \frac{g_s^2 C_A \Delta_{i-1,i+1}}{4^{1+\epsilon} \pi^{2+\epsilon}} \left( (1 + \gamma_E \epsilon + \mathcal{O}(\epsilon^2)) \left( \frac{1}{\epsilon} + \ln \left( \frac{\lambda^2}{\mu^2} \right) + \mathcal{O}(\epsilon) \right) - (1 + \gamma_E \epsilon + \mathcal{O}(\epsilon^2)) \left( \frac{1}{\epsilon} + \ln \left( \frac{q_{ti\perp}^2}{\mu^2} \right) \right) + \mathcal{O}(\epsilon^2) \right) \quad (4.43a)$$

$$= \frac{g_s^2 C_A \Delta_{i-1,i+1}}{4\pi^2} \ln \left( \frac{\lambda^2}{q_{ti\perp}^2} \right) \quad (4.43b)$$

$$= \frac{\alpha_s C_A \Delta_{i-1,i+1}}{\pi} \ln \left( \frac{\lambda^2}{q_{ti\perp}^2} \right) \quad (4.43c)$$

Likewise for the emission purely from the backward quark line we have:

$$= \frac{\alpha_s C_A \Delta_{i-1,i+1}}{\pi} \ln \left( \frac{\lambda^2}{q_{bi\perp}^2} \right) \quad (4.44)$$

For the interference we expand the exponential with both forward emission  $q$  momenta and backward emission  $q$  momenta to get:

$$= \frac{g_s^2 C_A \Delta_{i-1,i+1}}{4^{1+\epsilon} \pi^{2+\epsilon}} \left( \left( \frac{1}{\epsilon} + \gamma_E + \ln \left( \frac{\lambda^2}{\mu^2} \right) + \mathcal{O}(\epsilon) \right) - \frac{1}{2} \left[ \frac{2}{\epsilon} + 2\gamma_E + \ln \left( \frac{q_{ti\perp}^2}{\mu^2} \right) - \ln \left( \frac{q_{bi\perp}^2}{\mu^2} \right) + \mathcal{O}(\epsilon) \right] \right) \quad (4.45a)$$

$$= \frac{\alpha_s C_A \Delta_{i-1,i+1}}{\pi} \ln \left( \frac{\lambda^2}{\sqrt{q_{ti\perp}^2 q_{bi\perp}^2}} \right) \quad (4.45b)$$

This is a very similar form to that found in [?] and [?].

#### 4.2.7 Example: $2 \rightarrow 4$ Scattering

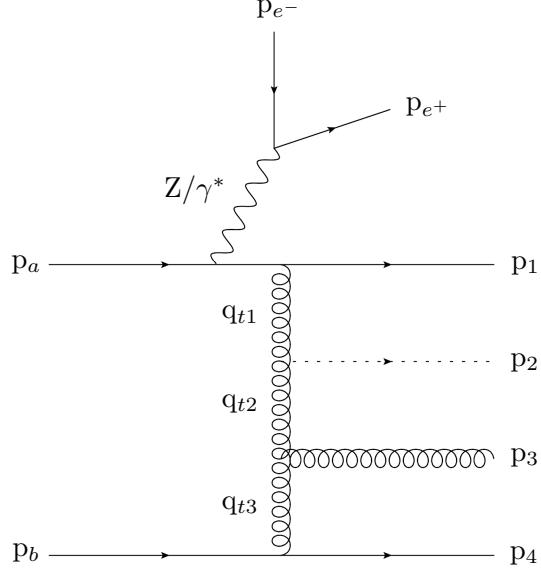
As an example we show the cancellation explicitly for the case of  $2 \rightarrow 4$  when the  $p_2$  momentum has gone soft. A contributing soft diagram is shown in figure 4.2a and one example of a contributing virtual diagram of the same order is shown in figure 4.2b. When  $p_2$  goes soft we have the following form for the  $2 \rightarrow 4$  integrated amplitude squared (N.B.: The integration is only schematic and doesn't represent the full Lorentz invariant phase space):

$$\int |\mathcal{A}_{soft}^{2 \rightarrow 4}|^2 = \frac{4C_A g_s^2 \Delta_{1,3}}{(2\pi)^{2+2\epsilon} 4\pi \epsilon \Gamma(\epsilon+1)} \left( \frac{\lambda^2}{\mu^2} \right)^\epsilon \left[ |\mathcal{K}_a j_1^Z \cdot j_2|^2 \frac{V^2(q_{t1}, q_{t3})}{q_{t1}^2 q_{t3}^2} + |\mathcal{K}_b j_1 \cdot j_2^Z|^2 \frac{V^2(q_{b1}, q_{b3})}{q_{b1}^2 q_{b3}^2} + \dots \right. \\ \left. 2\Re \left\{ \mathcal{K}_a \overline{\mathcal{K}_b} (j_1^Z \cdot j_2) \overline{(j_1 \cdot j_2^Z)} \right\} \frac{V(q_{t1}, q_{t3}) \cdot V(q_{b1}, q_{b3})}{q_{t1} q_{t3} q_{b1} q_{b3}} \right], \quad (4.46)$$

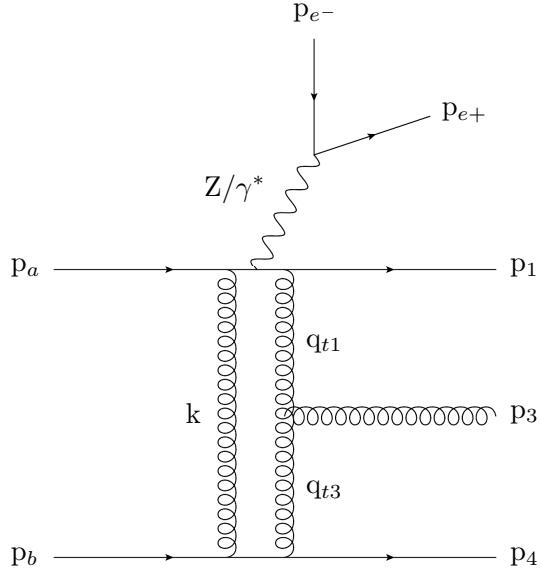
and the virtual contributions for the  $2 \rightarrow 3$  amplitude is:

$$\int |\mathcal{A}_{virtual}^{2 \rightarrow 3}|^2 = |\mathcal{K}_b j_1 \cdot j_2^Z|^2 \frac{V^2(q_{t1}, q_{t3})}{q_{t1}^2} e^{2\hat{\alpha}(q_{t1})\Delta_{1,3}} + |\mathcal{K}_t j_1^Z \cdot j_2|^2 \frac{V^2(q_{b1}, q_{b3})}{q_{b1}^2} e^{2\hat{\alpha}(q_{b1})\Delta_{1,3}} + \dots \\ 2\Re \left\{ \mathcal{K}_a \overline{\mathcal{K}_b} (j_1^Z \cdot j_2) \overline{(j_1 \cdot j_2^Z)} \right\} \frac{V(q_{t1}, q_{t3}) \cdot V(q_{b1}, q_{b3})}{q_{t1} q_{t3} q_{b1} q_{b3}} e^{(\hat{\alpha}(q_{t1}) + \hat{\alpha}(q_{b1}))\Delta_{1,3}}. \quad (4.47)$$

Once we expand the exponential to the correct order in  $g_s^2$ , the sum of these matrix elements squared over the region of phase space when  $p_2$  is soft is:



(a) Soft Emission



(b) Virtual Emission

Figure 4.2: Examples of diagrams contributing to  $2 \rightarrow 4$  scattering. In figure 4.2a the  $p_2$  has been drawn with a dashed line to denote it is not resolvable. In figure 4.2b the final state momenta have been labelled in a seemingly strange way - this was done to make clear the cancellation when working through the algebra.

$$\begin{aligned}
 \int (|\mathcal{A}_{soft}^{2 \rightarrow 4}|^2 + |\mathcal{A}_{virtual}^{2 \rightarrow 3}|^2) = & |\mathcal{K}_a j_1^Z \cdot j_2|^2 \frac{V^2(q_{t1}, q_{t3})}{q_{t1}^2} \left( \frac{4C_A g_s^2 \Delta_{1,3}}{(2\pi)^{2+2\epsilon} 4\pi} \frac{\pi^{\epsilon+1}}{\epsilon \Gamma(\epsilon+1)} - 2\hat{\alpha}(q_{t1}) \Delta_{1,3} \right) + \dots \\
 & |\mathcal{K}_b j_1 \cdot j_2^Z|^2 \frac{V^2(q_{b1}, q_{b3})}{q_{b1}^2} \left( \frac{4C_A g_s^2 \Delta_{1,3}}{(2\pi)^{2+2\epsilon} 4\pi} \frac{\pi^{\epsilon+1}}{\epsilon \Gamma(\epsilon+1)} - 2\hat{\alpha}(q_{b1}) \Delta_{1,3}^{59} \right) + \dots \\
 & 2\Re \left\{ \mathcal{K}_a \overline{\mathcal{K}_b} (j_1^Z \cdot j_2) \overline{(j_1 \cdot j_2^Z)} \right\} \frac{V(q_{t1}, q_{t3}) \cdot V(q_{b1}, q_{b3})}{q_{t1} q_{t3} q_{b1} q_{b3}} \left( \frac{4C_A g_s^2 \Delta_{1,3}}{(2\pi)^{2+2\epsilon} 4\pi} \frac{\pi^{\epsilon+1}}{\epsilon \Gamma(\epsilon+1)} - (\hat{\alpha}(q_{t1}) + \hat{\alpha}(q_{b1})) \Delta_{1,3} \right) + \dots
 \end{aligned} \tag{4.48}$$

These bracketed terms are exactly the cancellations calculated in section 4 above. Therefore:

$$\begin{aligned} \int (|\mathcal{A}_{\text{soft}}^{2 \rightarrow 4}|^2 + |\mathcal{A}_{\text{virtual}}^{2 \rightarrow 3}|^2) = & \frac{\alpha_s C_A \Delta_{1,3}}{\pi} \left( |\mathcal{K}_a j_1^Z \cdot j_2|^2 \frac{V^2(q_{t1}, q_{t3})}{q_{t1}^2} \ln \left( \frac{\lambda^2}{|q_{1t\perp}|^2} \right) + \dots \right. \\ & |\mathcal{K}_b j_1 \cdot j_2^Z|^2 \frac{V^2(q_{b1}, q_{b3})}{q_{b1}^2} \ln \left( \frac{\lambda^2}{|q_{1b\perp}|^2} \right) + \dots \\ & \left. 2\Re \left\{ \mathcal{K}_a \overline{\mathcal{K}_b} (j_1^Z \cdot j_2) \overline{(j_1 \cdot j_2^Z)} \right\} \frac{V(q_{t1}, q_{t3}) \cdot V(q_{b1}, q_{b3})}{q_{t1} q_{t3} q_{b1} q_{b3}} \ln \left( \frac{\lambda^2}{\sqrt{|q_{1t\perp}|^2 |q_{1b\perp}|^2}} \right) \right) + \mathcal{O}(\alpha_s^2), \end{aligned} \quad (4.49)$$

Which is manifestly finite.

### 4.3 Subtractions and the $\lambda_{\text{cut}}$ scale

The table below shows the value of the total cross section for varying values of the parameter  $\lambda_{\text{cut}}$  defined in section ???. It is clear that the cross section does not display a large dependence on the value of  $\lambda_{\text{cut}}$ . Figure 4.3 shows the effect of the same variation in  $\lambda_{\text{cut}}$  on the differential distribution in the rapidity gap between the two leading jets in  $p_\perp$ . Our default chosen value is 0.2.

$\lambda_{\text{cut}}$ (GeV)	$\sigma(2j)$ (pb)	$\sigma(3j)$ (pb)	$\sigma(4j)$ (pb)
0.2	$5.16 \pm 0.03$	$0.90 \pm 0.02$	$0.20 \pm 0.02$
0.5	$5.17 \pm 0.02$	$0.92 \pm 0.01$	$0.22 \pm 0.03$
1.0	$5.20 \pm 0.02$	$0.91 \pm 0.02$	$0.20 \pm 0.01$
1.0	$5.26 \pm 0.02$	$0.91 \pm 0.02$	$0.21 \pm 0.02$

Table 4.1: The total cross-sections for the 2, 3 and 4 jet exclusive rates with associated statistical errors shown for different values of the regularisation parameter  $\lambda_{\text{cut}}$ . The scale choice was the half the sum over all traverse scales in the event,  $H_T/2$ .

### 4.4 $Z/\gamma^* + \text{Jets}$ at the ATLAS Experiment

- Re-word descriptions of plots

We now compare the results of the formalism described in the previous sections to data. We begin with a recent ATLAS analysis of  $Z$ -plus-jets events from 7 TeV collisions [3].

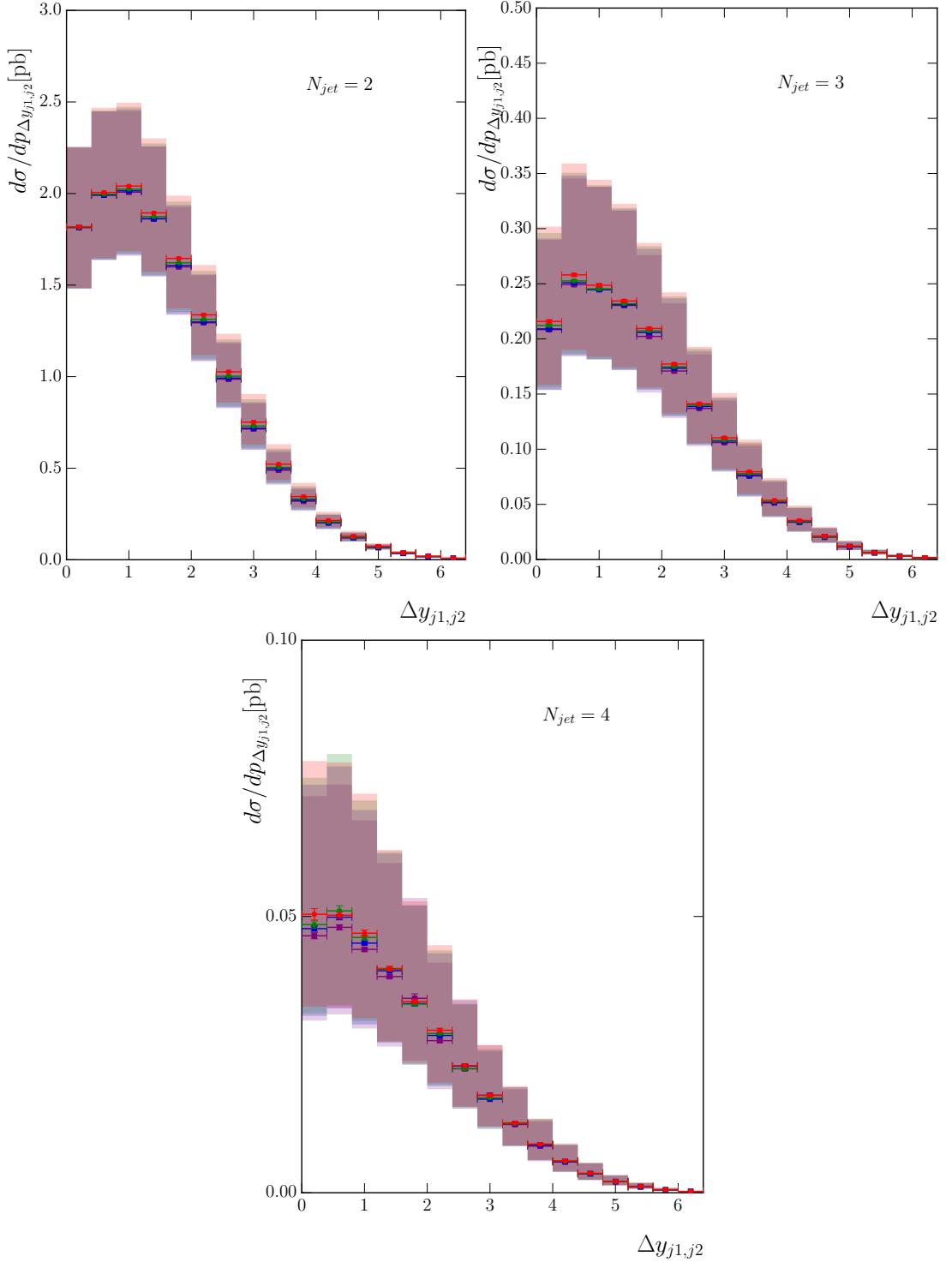


Figure 4.3: The effect of varying  $\lambda_{cut}$  on the differential distribution in the rapidity gap between the two leading jets in  $p_\perp$  with the  $N_{jet} = 2, 3, 4$  exclusive selections shown from left to right.  $\lambda_{cut} = 0.2$  (red), 0.5 (blue), 1.0 (green), 2.0 (purple).

We summarise the cuts in the following table:

Lepton Cuts	$p_{T\ell} > 20 \text{ GeV},  \eta_\ell  < 2.5$ $\Delta R^{\ell^+\ell^-} > 0.2, 66 \text{ GeV} \leq m^{\ell^+\ell^-} \leq 116 \text{ GeV}$
Jet Cuts (anti- $k_T$ , 0.4)	$p_{Tj} > 30 \text{ GeV},  y_j  < 4.4$ $\Delta R^{j\ell} > 0.5$

Table 4.2: Cuts applied to theory simulations in the ATLAS  $Z$ -plus-jets analysis results shown in Figs. 4.4–4.7.

Any jet which failed the final isolation cut was removed from the event, but the event itself is kept provided there are a sufficient number of other jets present. Throughout the central value of the HEJ predictions has been calculated with factorisation and renormalisation scales set to  $\mu_F = \mu_R = H_T/2$ , and the theoretical uncertainty band has been determined by varying these independently by up to a factor of 2 in each direction (removing the corners where the relative ratio is greater than two). Also shown in the plots taken from the ATLAS paper are theory predictions from Alpgen [38], Sherpa [28, 29], MC@NLO [27] and BlackHat+Sherpa [15, 30]. We will also comment on the recent theory description of Ref. [24].

In Fig. 4.4, we begin this set of comparisons with predictions and measurements of the inclusive jet rates. HEJ and most of the other theory descriptions give a reasonable description of these rates. The MC@NLO prediction drops below the data because it only contains the hard-scattering matrix element for  $Z/\gamma^*$  production and relies on a parton shower for additional emissions. The HEJ predictions have a larger uncertainty band which largely arises from the use of leading-order results in the matching procedures.

The first differential distribution we consider here is the distribution of the invariant mass between the two hardest jets, Fig. 4.5. The region of large invariant mass is particularly important because this is a critical region for studies of vector boson fusion (VBF) processes in Higgs-plus-dijets. Radiation patterns are largely universal between these processes, so one can test the quality of theoretical descriptions in  $Z/\gamma^*$ -plus-dijets and use these to inform the VBF analyses. It is also a distribution which will be studied to try to detect subtle signs of new physics. In this study, HEJ and the other theory descriptions all give a good description of this variable out to 1 TeV, with HEJ being closest throughout the range. The merged sample of Ref. [24] (Fig. 9 in that paper) combined with the Pythia8 parton shower performs reasonably well throughout the range with a few deviations of more than 20%, while that combined with Herwig++ deviates badly. In a recent ATLAS analysis of  $W$ -plus-dijet events [6], the equivalent distribution was extended out to 2 TeV and almost all of the theoretical predictions

deviated significantly while the HEJ prediction remained flat. This is one region where the high-energy logarithms which are only included in HEJ are expected to become large.

In Fig. 4.6, we show the comparison of various theoretical predictions to the distribution of the absolute rapidity difference between the two leading jets. It is clear in the left plot that HEJ gives an excellent description of this distribution. This is to some extent expected as high-energy logarithms are associated with rapidity separations. However, this variable is only the rapidity separation between the two hardest jets which is often not representative of the event as harder jets tend to be more central. Nonetheless, the HEJ description performs well in this restricted scenario. The next-to-leading order (NLO) calculation of Blackhat+Sherpa also describes the distribution quite well while the other merged, fixed-order samples deviate from the data at larger values. The merged samples of Ref. [24] (Fig. 8 in that paper) describe this distribution well for small values of this variable up to about 3 units when combined with Herwig++ and for most of the range when combined with the Pythia8 parton shower, only deviating above 5 units.

The final distribution in this section is that of the ratio of the transverse momentum of the second hardest jet to the hardest jet. The perturbative description of HEJ does not contain any systematic evolution of transverse momentum and this can be seen where its prediction undershoots the data at low values of  $p_{T2}/p_{T1}$ . However, for values of  $p_{T2} \gtrsim 0.5p_{T1}$ , the ratio of the HEJ prediction to data is extremely close to 1. The fixed-order based predictions shown in Fig. 4.4 are all fairly flat above about 0.2, but the ratio of the data differs by about 10%.

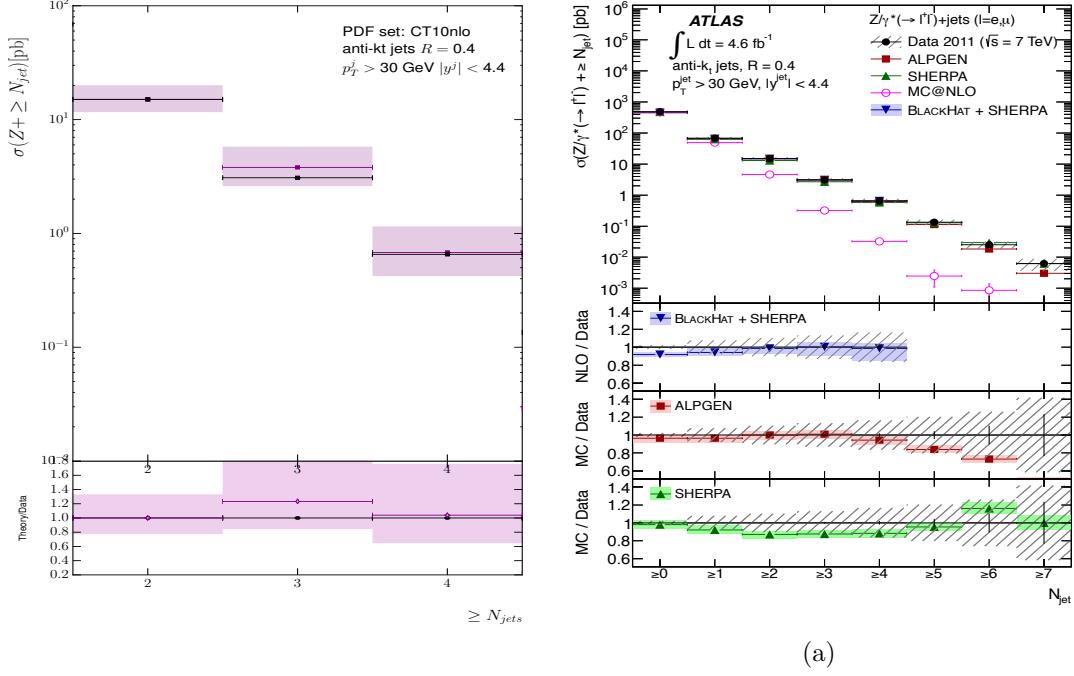


Figure 4.4: These plots show the inclusive jet rates from (a) HEJ and (b) other theory descriptions and data [3]. HEJ events all contain at least two jets and do not contain matching for 5 jets and above, so these bins are not shown.

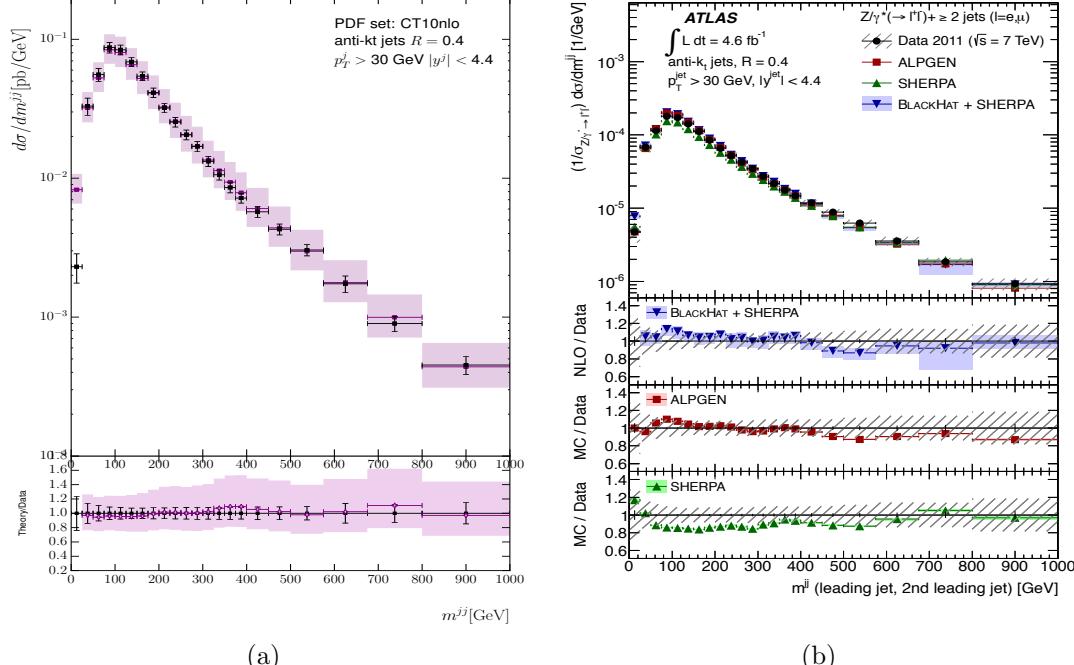


Figure 4.5: These plots show the invariant mass between the leading and second-leading jet in  $p_T$ . As in Fig. 4.4, predictions are shown from (a) HEJ and (b) other theory descriptions and data [3]. These studies will inform Higgs plus dijets analyses, where cuts are usually applied to select events with large  $m_{12}$ .

#### 4.4.1 CMS - $Z + \text{Jets}$ Measurements

We now compare to data from a CMS analysis of events with a  $Z/\gamma^*$  boson produced in association with jets [34]. We show, for comparison, the plots from that analysis which contain theoretical predictions from Sherpa [28, 29], Powheg [9] and MadGraph [10]. The cuts used for this analysis are summarised in table 4.3.

Lepton Cuts	$p_{T\ell} > 20 \text{ GeV},  \eta_\ell  < 2.4$ $71 \text{ GeV} \leq m^{\ell^+\ell^-} \leq 111 \text{ GeV}$
Jet Cuts (anti- $k_T$ , 0.5)	$p_{Tj} > 30 \text{ GeV},  y_j  < 2.4$ $\Delta R^{j\ell} > 0.5$

Table 4.3: Cuts applied to theory simulations in the CMS  $Z$ -plus-jets analysis results shown in Figs. 4.8–4.10

As in the previous section, any jet which failed the final isolation cut was removed from the event, but the event itself is kept provided there are a sufficient number of other jets present. The main difference to these cuts and those of ATLAS in the previous section is that the jets are required to be more central;  $|\eta| < 2.4$  as opposed to  $|y| < 4.4$ . This allows less room for evolution in rapidity; however, HEJ predictions are still relevant in this scenario. Once again, the central values are given by  $\mu_F = \mu_R = H_T/2$  with theoretical uncertainty bands determined by varying these independently by factors of two around this value. HEJ events always contain a minimum of two jets and therefore here we only compare to the distributions for an event sample with at least two jets or above.

We begin in Fig. 4.8 by showing the inclusive jet rates for these cuts. The HEJ predictions give a good description, especially for the 2- and 3-jet inclusive rates in this narrower phase space. The uncertainty bands are larger for HEJ than for the Sherpa and Powheg predictions due to our LO matching prescription (those for Madgraph are not shown).

In Figs. 4.9–4.10, we show the transverse momentum distributions for the second and third jet respectively (the leading jet distribution was not given for inclusive dijet events). Beginning with the second jet in Fig. 4.9, we see that the HEJ predictions overshoot the data at large transverse momentum. In this region, the non-FKL matched components of the HEJ description become more important and these are not controlled by the high-energy resummation. The HEJ predictions are broadly similar to Powheg’s  $Z$ -plus-one-jet NLO calculation matched with the Pythia parton shower. In contrast, Sherpa’s prediction significantly undershoots the data at large transverse momentum. Here the Madgraph prediction gives the best description of the data.

Fig. 4.10 shows the transverse momentum distribution of the third jet in this data sample. Here, the ratio of the HEJ prediction to data shows a linear increase with transverse momentum (until the last bin where all the theory predictions show the same dip). Both the Sherpa and Powheg predictions show similar deviations for this variable while the Madgraph prediction again performs very well.

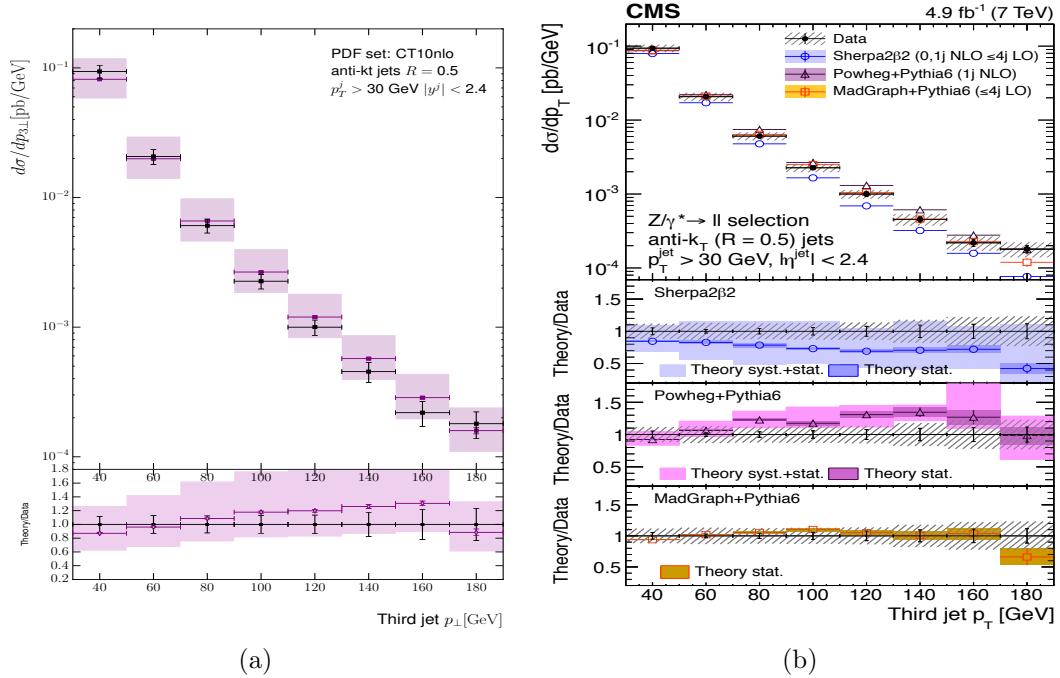


Figure 4.10: The transverse momentum distribution of the third hardest jet in inclusive dijet events in [34], compared to (a) the predictions from HEJ and (b) the predictions from other theory descriptions.

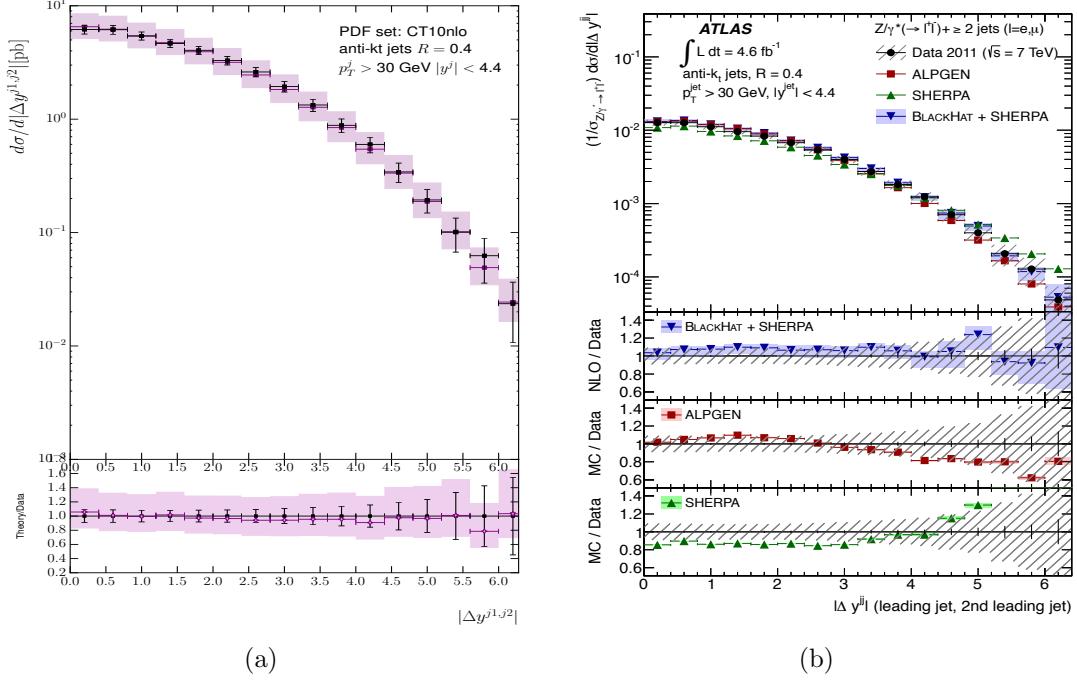


Figure 4.6: The comparison of (a) HEJ and (b) other theoretical descriptions and data [3] to the distribution of the absolute rapidity different between the two leading jets. HEJ and Blackhat+Sherpa give the best description.

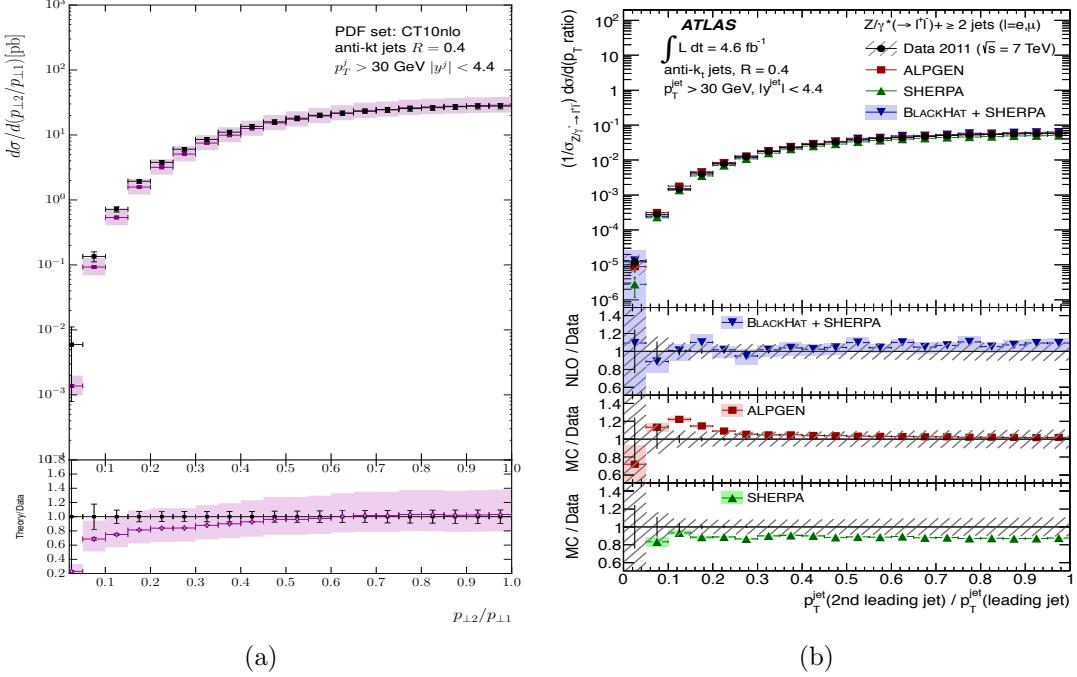


Figure 4.7: These plots show the differential cross section in the ratio of the leading and second leading jet in  $p_T$  from (a) HEJ and (b) other theory descriptions and data [3].

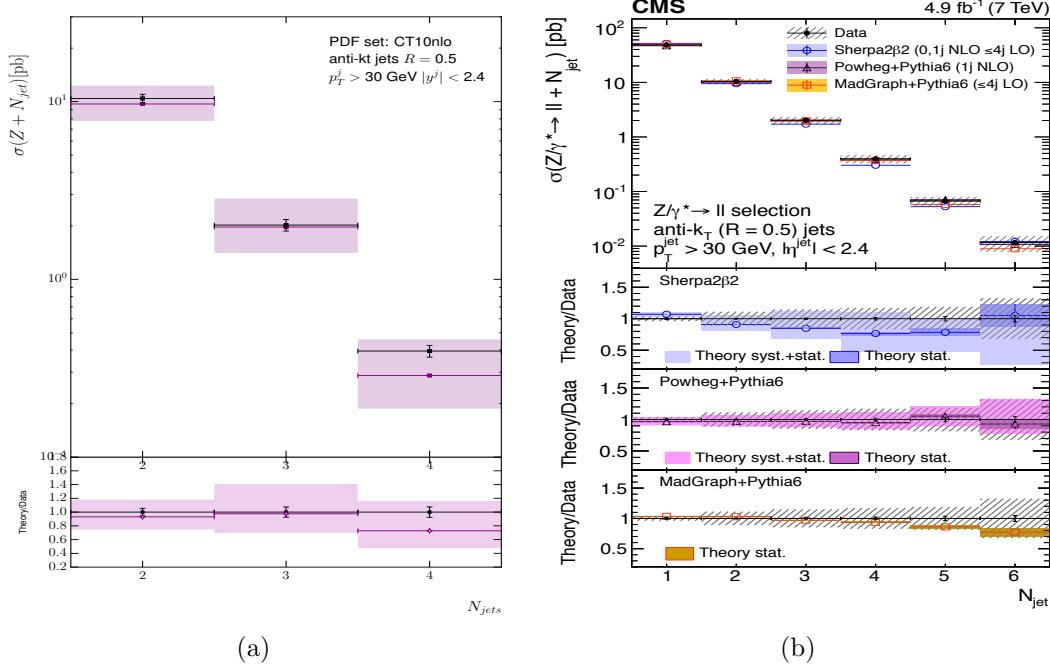


Figure 4.8: The inclusive jet rates as given by (a) the HEJ description and (b) by other theoretical descriptions, both plots compared to the CMS data in [34].

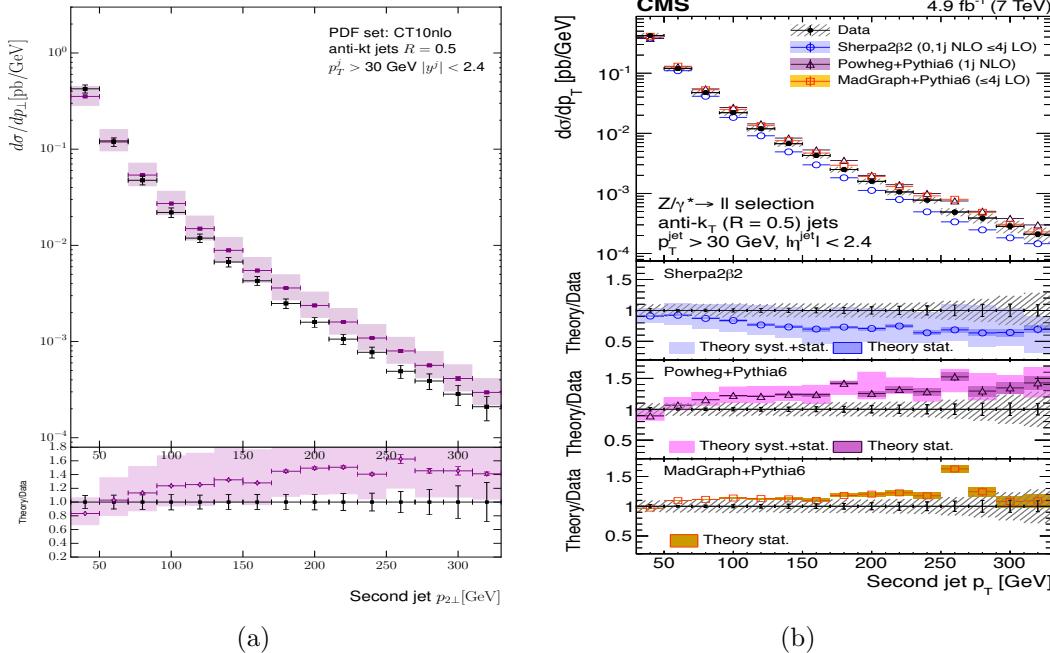


Figure 4.9: The transverse momentum distribution of the second hardest jet in inclusive dijet events in [34], compared to (a) the predictions from HEJ and (b) the predictions from other theory descriptions.

## Chapter 5

# $t\bar{t}$ +Jets in the High Energy Limit

Talk about the trivial massless case and the colour factors involved compare to MadGraph. Move to the massive case, talk about the nice momentum decomposition and write down the massive matrix elements.

\*\*\* Is this still plausible given the June constraint? \*\*\*



## **Chapter 6**

# **High Multiplicity Jets at ATLAS**

Show the ATLAS pure jets analysis and talk a bit about the issues with running the damn thing. Talk about the conclusions about BFKL-like dynamics



## Chapter 7

### The $W^\pm$ to $Z/\gamma^*$ Ratio at ATLAS

Compare HEJ Z+Jets to NJet (NLO predictions) and MadGraph (LO predictions).

\*\*\*Is this still worth it? Data/HEJ/MG all very unstable...\*\*\*



## Chapter 8

### $Z/\gamma^*$ +Jets at 100TeV

Talk about the FCC movement and the effect we expect the resummation will have at these energies

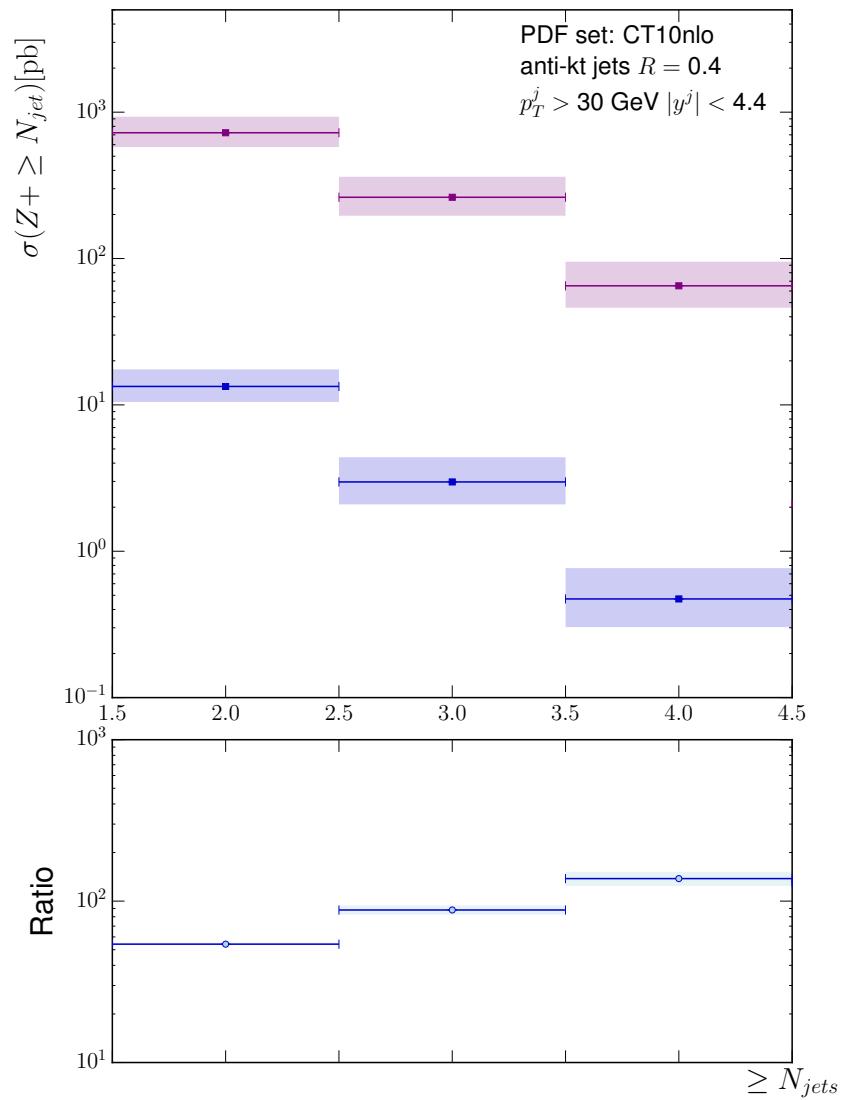


Figure 8.1: 2a

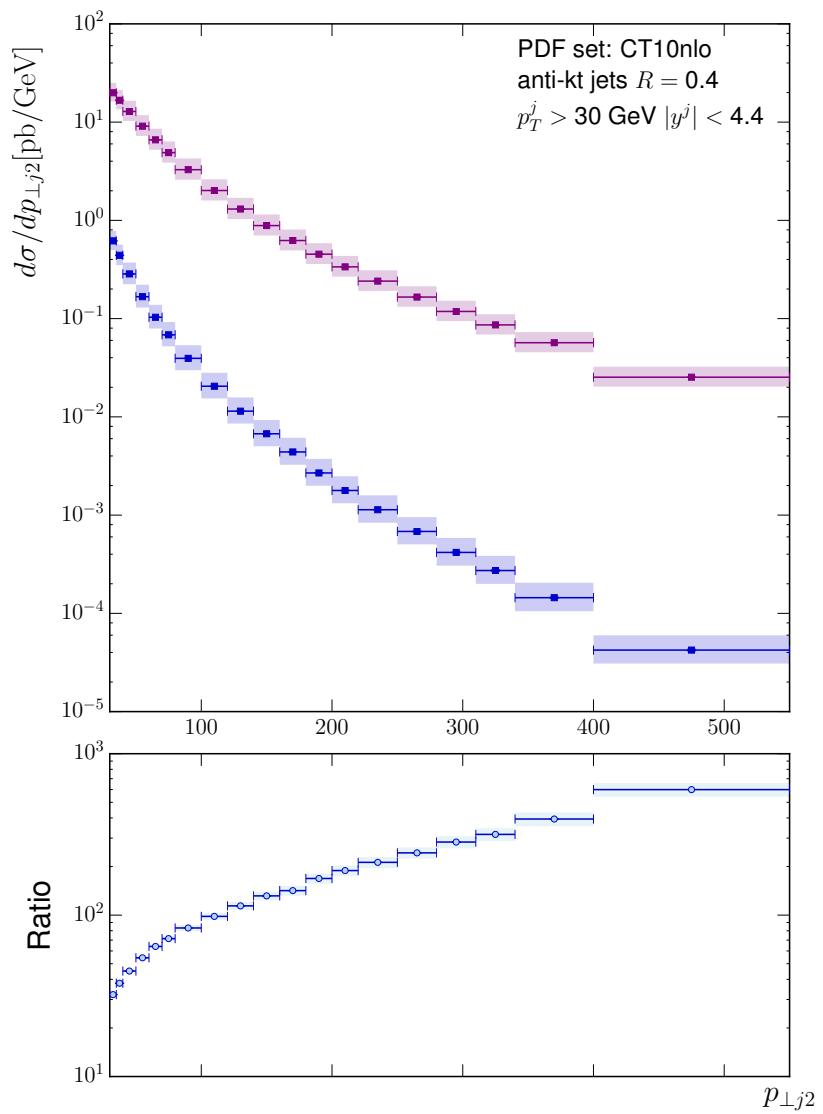


Figure 8.2: 5b

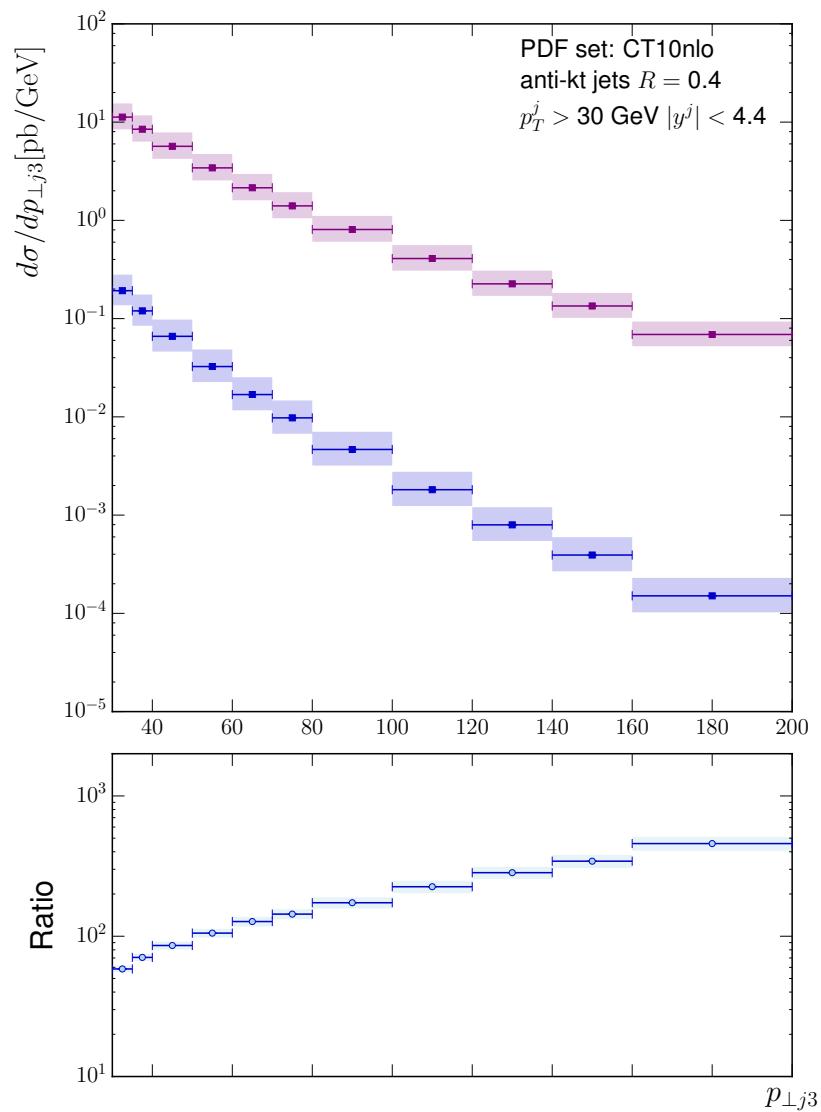


Figure 8.3: 6a

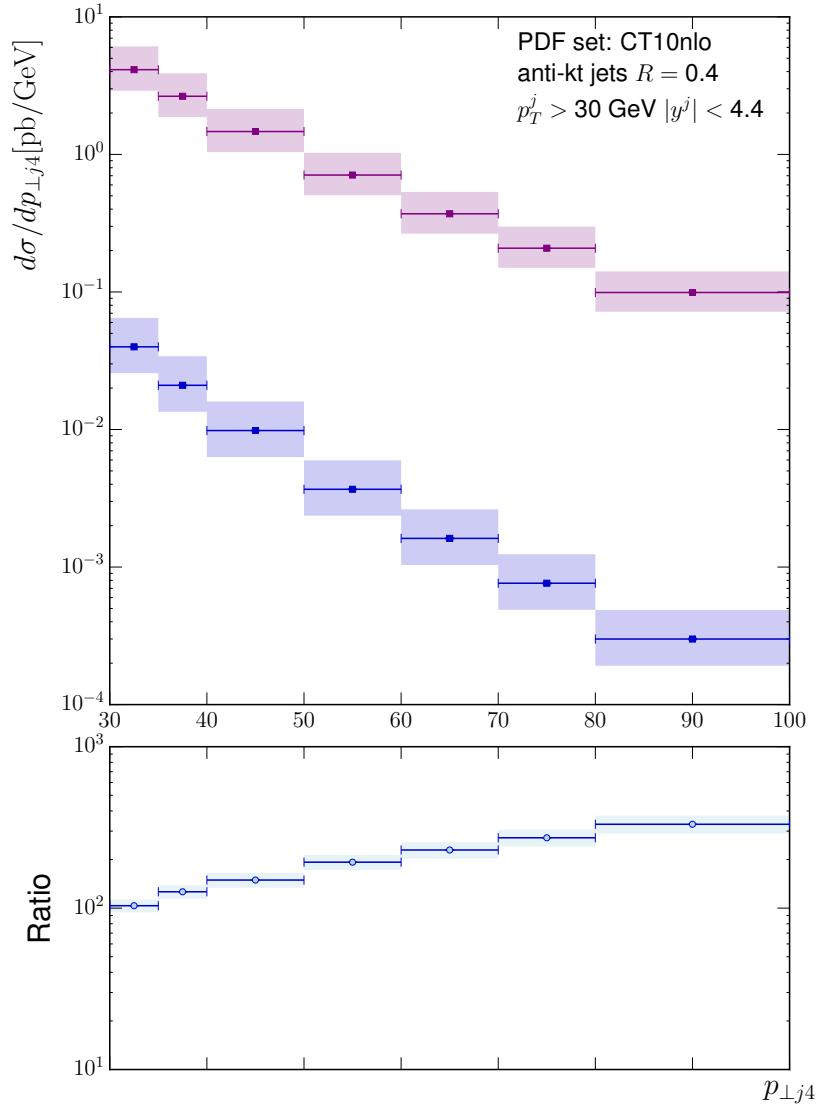


Figure 8.4: 6b

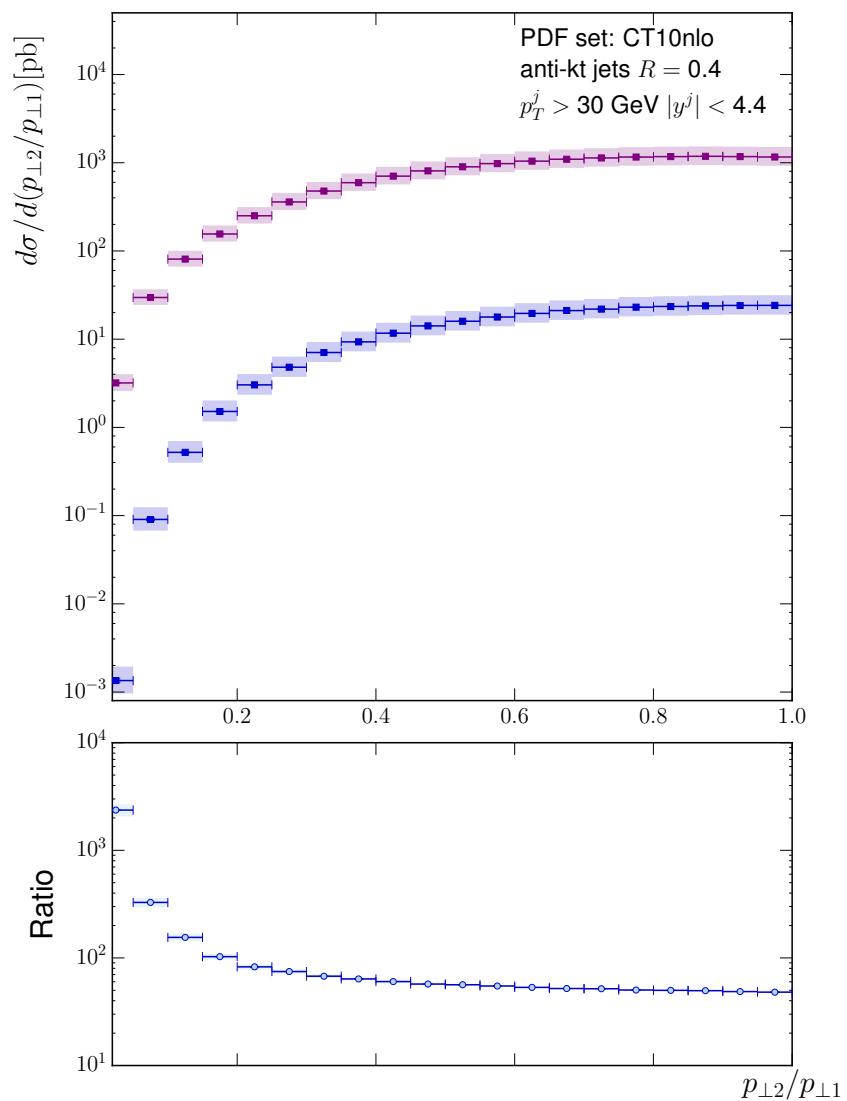


Figure 8.5: 7b

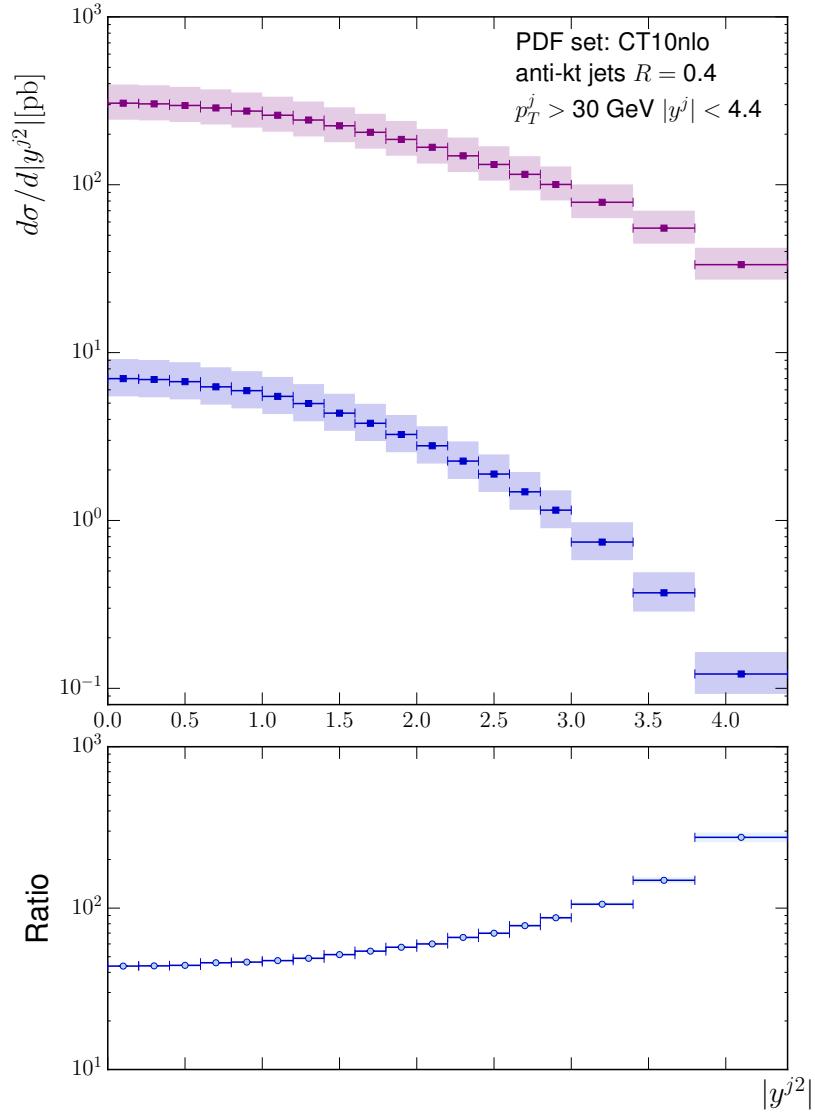


Figure 8.6: 9b

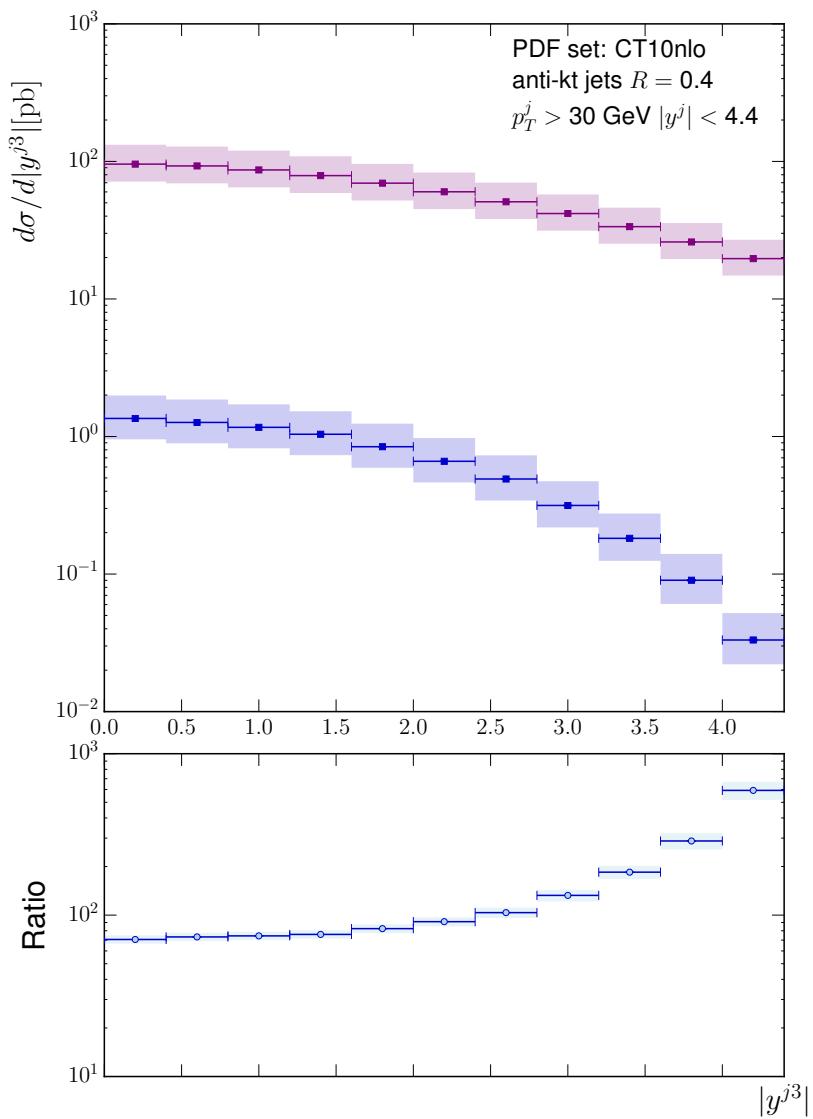


Figure 8.7: 10a

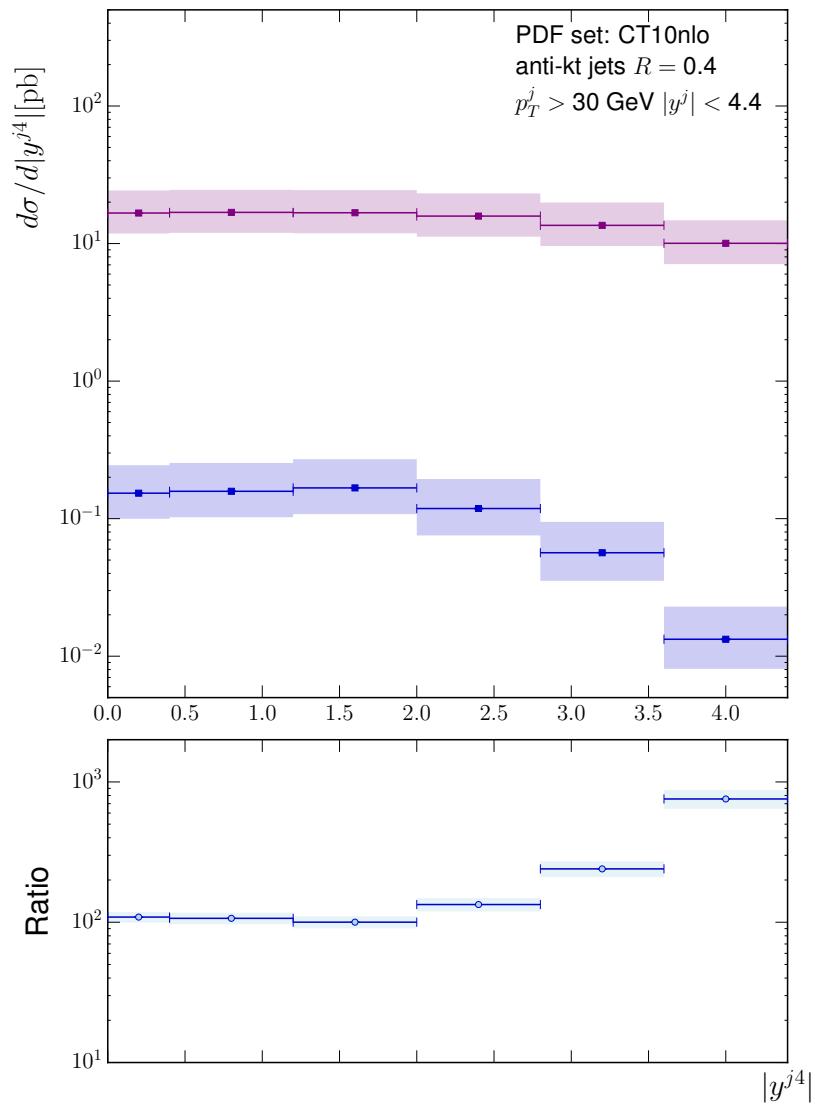


Figure 8.8: 10b

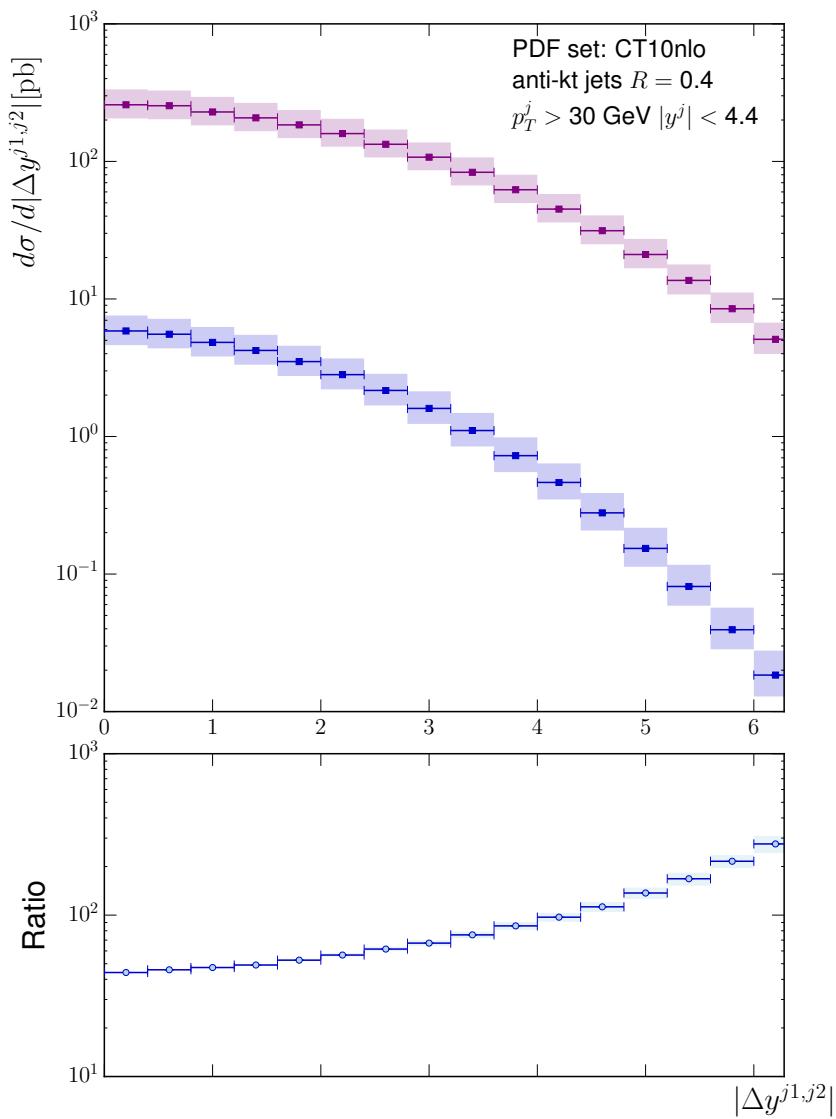


Figure 8.9: 11a

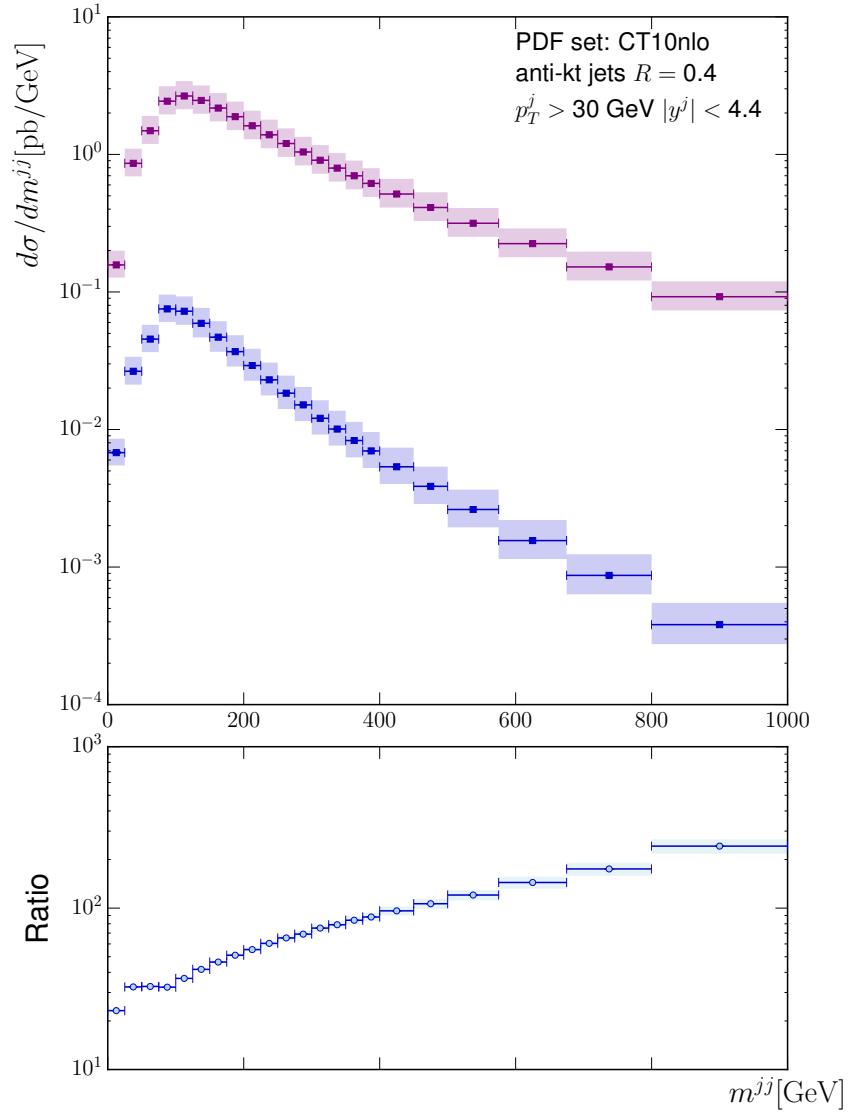


Figure 8.10: 11b

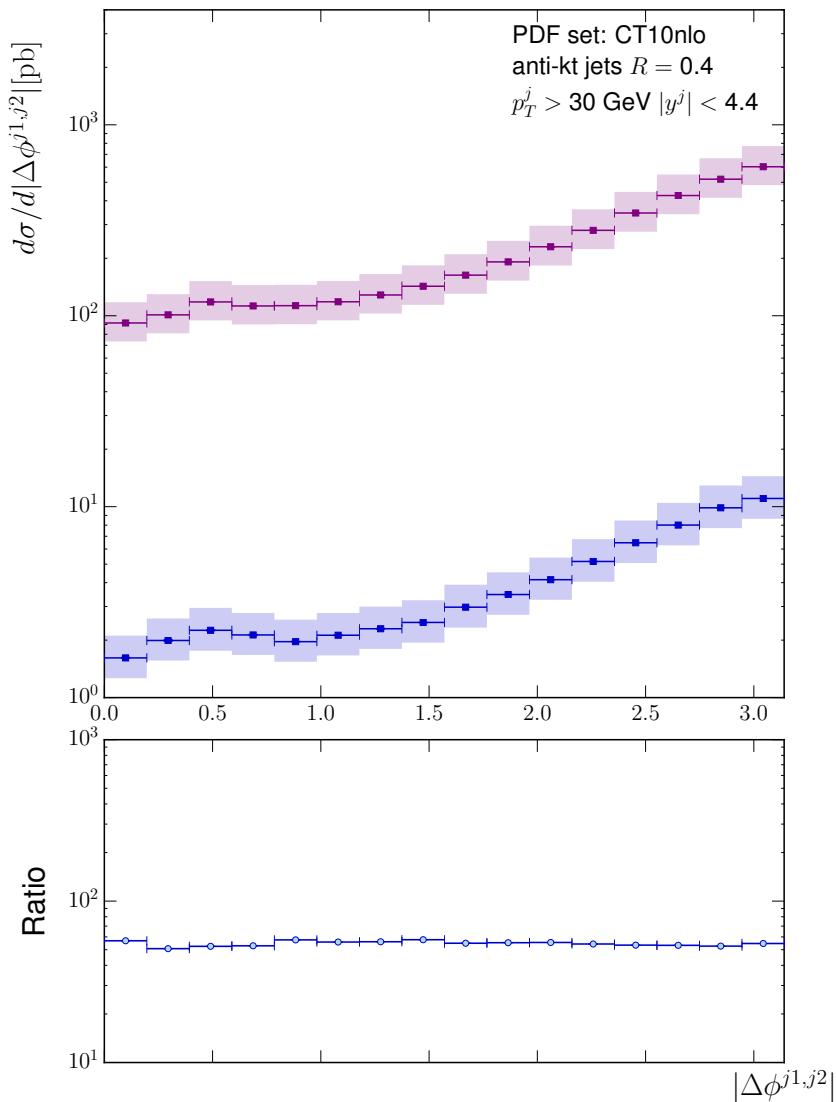


Figure 8.11: 12a

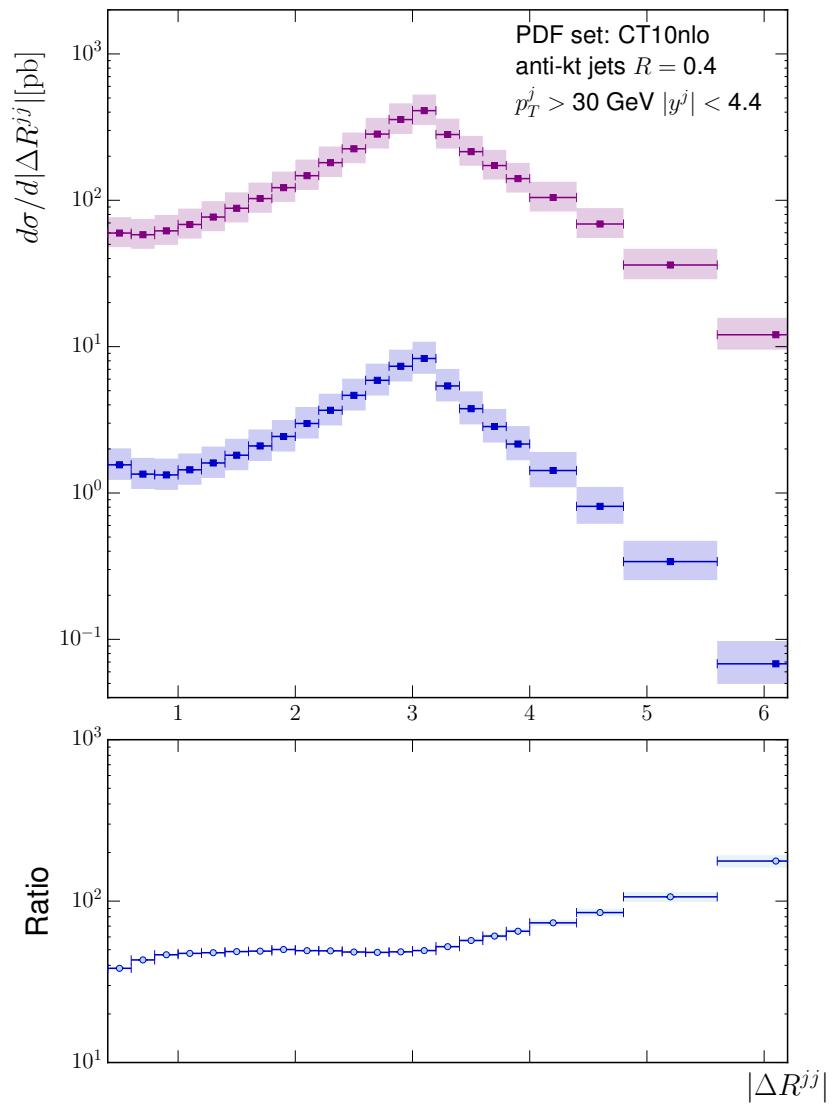


Figure 8.12: 12b



## **Chapter 9**

# **Conclusions and Outlook**



## Appendix A

# The Faddeev-Popov Trick

All that remains to be done is to evaluate the gluon propagator. As in QED when trying to compute the propagator of a massless gauge boson we can use the work of Faddeev and Popov. The functional integral we want to evaluate is in the form:

$$\int DA e^{-\frac{i}{4} \int d^4x F_{\mu\nu}^a F^{a\mu\nu}}. \quad (\text{A.1})$$

Where  $DA = \prod_x \prod_{a,\mu} dA_\mu^a$ . As briefly outlined above we would like to perform a functional integration over all possible gauge choices and then pick out the subset of gauges we are interested in by enforcing the gauge condition  $G(A) = 0$  to eliminate over-counting. This constraint may be written as [?]:

$$\int D\alpha(x) \delta(G(A^\alpha)) \text{Det} \left( \frac{\delta G(A^\alpha)}{\delta \alpha(x)} \right) = 1. \quad (\text{A.2})$$

Where  $A_\mu^\alpha = A_\mu - \frac{1}{g_s} \partial_\mu \alpha(x)$ . Making a gauge transformation ( $A_\mu \rightarrow A_\mu^\alpha$ ) and inserting equation (18):

$$\int DA e^{-\frac{i}{4} \int d^4x F_{\mu\nu}^a F^{a\mu\nu}} = \int DA \int D\alpha(x) \delta(G(A^\alpha)) \text{Det} \left( \frac{\delta G(A^\alpha)}{\delta \alpha(x)} \right) e^{-\frac{i}{4} \int d^4x F_{\mu\nu}^a F^{a\mu\nu}}, \quad (\text{A.3a})$$

$$= \int D\alpha(x) \int DA \delta(G(A^\alpha)) \text{Det} \left( \frac{\delta G(A^\alpha)}{\delta \alpha(x)} \right) e^{-\frac{i}{4} \int d^4x F_{\mu\nu}^a F^{a\mu\nu}}. \quad (\text{A.3b})$$

We are free to change the functional integration variable to  $A_\mu^\alpha$  since everything is gauge invariant leading to an integrand which *only* depends on  $A_\mu^\alpha$ . We can therefore simply

relabel back to  $A_\mu$ :

$$= \left( \int D\alpha(x) \right) \int DA \delta(G(A)) \text{Det} \left( \frac{\delta G(A)}{\delta \alpha(x)} \right) e^{-\frac{i}{4} \int d^4x F_{\mu\nu}^a F^{a\mu\nu}}. \quad (\text{A.4})$$

The functional integration can now just be factored out as a constant and we can choose the function  $G(A)$  as a generalisation of the Lorentz gauge:  $G(A) = \partial^\mu A_\mu^a - \omega^a$ . This choice leads us to the correct gluon propagator - along with our free parameter,  $\xi$ :

$$\langle 0 | A_a(x) A_b(y) | 0 \rangle = G_F^{\mu\nu}(x-y) = \int \frac{d^4x}{(2\pi)^4} e^{-ik \cdot (x-y)} \delta_{ab} \frac{-i}{k^2 + i\epsilon} \left( g^{\mu\nu} - (1-\xi) \frac{k^\mu k^\nu}{k^2} \right). \quad (\text{A.5})$$

but because the QCD gauge transformation is more involved than the QED equivalent the determinant term still depends on  $A_\mu$ :

$$\text{Det} \left( \frac{\delta G(A)}{\delta \alpha(x)} \right) = \text{Det} \left( \frac{\partial_\mu D^\mu}{g_s} \right). \quad (\text{A.6})$$

We can however simply invent another type of field and choose to write out determinant as

$$\text{Det} \left( \frac{\delta G(A)}{\delta \alpha(x)} \right) = \int D\chi D\bar{\chi} e^{i \int d^4x \bar{\chi} (-\partial_\mu D_\mu) \chi}. \quad (\text{A.7})$$

These non-physical modes are called the Faddeev-Popov ghosts/anti-ghosts and are a consequence of enforcing gauge invariance - they are represented by the final term in equation (12a).

# Bibliography

- [1] AAD, G., ET AL. Measurement of dijet production with a veto on additional central jet activity in  $pp$  collisions at  $\sqrt{s} = 7$  TeV using the ATLAS detector. *JHEP 09* (2011), 053.
- [2] AAD, G., ET AL. Measurement of the production cross section for  $Z/\gamma^*$  in association with jets in  $pp$  collisions at  $\sqrt{s} = 7$  TeV with the ATLAS detector. *Phys. Rev. D85* (2012), 032009.
- [3] AAD, G., ET AL. Measurement of the production cross section of jets in association with a  $Z$  boson in  $pp$  collisions at  $\sqrt{s} = 7$  TeV with the ATLAS detector. *JHEP 1307* (2013), 032.
- [4] AAD, G., ET AL. A measurement of the ratio of the production cross sections for  $W$  and  $Z$  bosons in association with jets with the ATLAS detector. *Eur. Phys. J. C74*, 12 (2014), 3168.
- [5] AAD, G., ET AL. Measurements of jet vetoes and azimuthal decorrelations in dijet events produced in  $pp$  collisions at  $\sqrt{s} = 7$  TeV using the ATLAS detector. *Eur. Phys. J. C74*, 11 (2014), 3117.
- [6] AAD, G., ET AL. Measurements of the  $W$  production cross sections in association with jets with the ATLAS detector. *Eur. Phys. J. C75*, 2 (2015), 82.
- [7] ABAZOV, V. M., ET AL. Studies of  $W$  boson plus jets production in  $p\bar{p}$  collisions at  $\sqrt{s} = 1.96$  TeV. *Phys. Rev. D88*, 9 (2013), 092001.
- [8] ALIOLI, S., NASON, P., OLEARI, C., AND RE, E. A general framework for implementing NLO calculations in shower Monte Carlo programs: the POWHEG BOX. *JHEP 06* (2010), 043.
- [9] ALIOLI, S., NASON, P., OLEARI, C., AND RE, E. Vector boson plus one jet production in POWHEG. *JHEP 01* (2011), 095.
- [10] ALWALL, J., FREDERIX, R., FRIXIONE, S., HIRSCHI, V., MALTONI, F., MATTELAER, O., SHAO, H. S., STELZER, T., TORRIELLI, P., AND ZARO, M. The automated computation of tree-level and next-to-leading order differential cross sections, and their matching to parton shower simulations. *JHEP 07* (2014), 079.
- [11] ANDERSEN, J. R., LONNBLAD, L., AND SMILLIE, J. M. A Parton Shower for High Energy Jets. *JHEP 07* (2011), 110.
- [12] ANDERSEN, J. R., AND SMILLIE, J. M. Constructing All-Order Corrections to Multi-Jet Rates. *JHEP 1001* (2010), 039.
- [13] ANDERSEN, J. R., AND SMILLIE, J. M. The Factorisation of the t-channel Pole in Quark-Gluon Scattering. *Phys. Rev. D81* (2010), 114021.

## BIBLIOGRAPHY

---

- [14] BALITSKY, I., AND LIPATOV, L. The Pomeranchuk Singularity in Quantum Chromodynamics. *Sov.J.Nucl.Phys.* **28** (1978), 822–829.
- [15] BERGER, C. F., BERN, Z., DIXON, L. J., FEBRES CORDERO, F., FORDE, D., GLEISBERG, T., ITA, H., KOSOWER, D. A., AND MAITRE, D. Next-to-Leading Order QCD Predictions for  $Z, \gamma^* + 3$ -Jet Distributions at the Tevatron. *Phys. Rev. D82* (2010), 074002.
- [16] CAMPBELL, J. M., ELLIS, R. K., NASON, P., AND ZANDERIGHI, G. W and Z bosons in association with two jets using the POWHEG method. *JHEP 08* (2013), 005.
- [17] CAPORALE, F., IVANOV, D. YU., MURDACA, B., AND PAPA, A. Mueller-Navelet small-cone jets at LHC in next-to-leading BFKL. *Nucl. Phys. B877* (2013), 73–94.
- [18] CHATRCHYAN, S., ET AL. Jet Production Rates in Association with  $W$  and  $Z$  Bosons in  $pp$  Collisions at  $\sqrt{s} = 7$  TeV. *JHEP 01* (2012), 010.
- [19] CHATRCHYAN, S., ET AL. Measurement of the inclusive production cross sections for forward jets and for dijet events with one forward and one central jet in  $pp$  collisions at  $\sqrt{s} = 7$  TeV. *JHEP 06* (2012), 036.
- [20] CHATRCHYAN, S., ET AL. Ratios of dijet production cross sections as a function of the absolute difference in rapidity between jets in proton-proton collisions at  $\sqrt{s} = 7$  TeV. *Eur. Phys. J. C72* (2012), 2216.
- [21] CHATRCHYAN, S., ET AL. Event shapes and azimuthal correlations in  $Z +$  jets events in  $pp$  collisions at  $\sqrt{s} = 7$  TeV. *Phys. Lett. B722* (2013), 238–261.
- [22] COLFERAI, D., SCHWENNSEN, F., SZYMANOWSKI, L., AND WALLON, S. Mueller Navelet jets at LHC - complete NLL BFKL calculation. *JHEP 12* (2010), 026.
- [23] DUCLOUE, B., SZYMANOWSKI, L., AND WALLON, S. Mueller-Navelet jets at LHC: the first complete NLL BFKL study. *PoS QNP2012* (2012), 165.
- [24] FREDERIX, R., FRIXIONE, S., PAPAEFSTATHIOU, A., PRESTEL, S., AND TORRIELLI, P. A study of multi-jet production in association with an electroweak vector boson.
- [25] FRIXIONE, S., NASON, P., AND OLEARI, C. Matching NLO QCD computations with Parton Shower simulations: the POWHEG method. *JHEP 11* (2007), 070.
- [26] FRIXIONE, S., STOECKLI, F., TORRIELLI, P., WEBBER, B. R., AND WHITE, C. D. The MCaNLO 4.0 Event Generator.
- [27] FRIXIONE, S., AND WEBBER, B. R. Matching NLO QCD computations and parton shower simulations. *JHEP 06* (2002), 029.
- [28] GLEISBERG, T., HOECHE, S., KRAUSS, F., SCHONHERR, M., SCHUMANN, S., SIEGERT, F., AND WINTER, J. Event generation with SHERPA 1.1. *JHEP 02* (2009), 007.
- [29] HOECHE, S., KRAUSS, F., SCHONHERR, M., AND SIEGERT, F. QCD matrix elements + parton showers: The NLO case. *JHEP 04* (2013), 027.
- [30] ITA, H., BERN, Z., DIXON, L. J., FEBRES CORDERO, F., KOSOWER, D. A., AND MAITRE, D. Precise Predictions for  $Z + 4$  Jets at Hadron Colliders. *Phys. Rev. D85* (2012), 031501.
- [31] JUNG, H., ET AL. The CCFM Monte Carlo generator CASCADE version 2.2.03. *Eur. Phys. J. C70* (2010), 1237–1249.
- [32] JUNG, H., AND SALAM, G. P. Hadronic final state predictions from CCFM: The Hadron level Monte Carlo generator CASCADE. *Eur. Phys. J. C19* (2001), 351–360.

- [33] KHACHATRYAN, V., ET AL. Measurement of electroweak production of two jets in association with a Z boson in proton-proton collisions at  $\sqrt{s} = 8$  TeV. *Eur. Phys. J. C75*, 2 (2015), 66.
- [34] KHACHATRYAN, V., ET AL. Measurements of jet multiplicity and differential production cross sections of  $Z +$  jets events in proton-proton collisions at  $\sqrt{s} = 7$  TeV. *Phys. Rev. D91*, 5 (2015), 052008.
- [35] KURAEV, E. A., LIPATOV, L. N., AND FADIN, V. S. Multi - Reggeon processes in the Yang-Mills theory. *Sov. Phys. JETP* 44 (1976), 443–450.
- [36] LAVESSON, N., AND LONNBLAD, L. W+jets matrix elements and the dipole cascade. *JHEP* 07 (2005), 054.
- [37] LONNBLAD, L. ARIADNE version 4: A Program for simulation of QCD cascades implementing the color dipole model. *Comput. Phys. Commun.* 71 (1992), 15–31.
- [38] MANGANO, M. L., MORETTI, M., PICCININI, F., PITTAU, R., AND POLOSA, A. D. ALPGEN, a generator for hard multiparton processes in hadronic collisions. *JHEP* 07 (2003), 001.
- [39] NASON, P. A New method for combining NLO QCD with shower Monte Carlo algorithms. *JHEP* 11 (2004), 040.
- [40] RE, E. NLO corrections merged with parton showers for  $Z+2$  jets production using the POWHEG method. *JHEP* 10 (2012), 031.

*BIBLIOGRAPHY*

---

# Publications

Author Name(s). Title of publication. In *Where Published*, Year.

Author Name(s). Title of publication. In *Where Published*, Year.