# Report

## Introduction:

This report will introduce how MongoDB executes queries and aggregations, particularly how indexes may help to improve execution performance by analyzing 6 questions in the assignment. All the queries and aggregations will import two data sets "tweets\_hurricane.json" and "users\_hurricane.json" as collection name tweets and users respectively. Performing on Robo 3T and eventually put all queries and aggregations together in a JavaScript file.

## Performance analysis of query implementations

## Q1:

Find the number of general tweets with at least one reply and one retweet in the data set. Note that a general tweet is a tweet with neither a replyto\_id field, nor a retweet\_id field; a reply is a tweet with the replyto\_id field; a retweet is a tweet with the retweet\_id field.

```
db.tweets.aggregate(
```

Firstly I used MongoDB aggregation, because Aggregation operations group values from multiple documents together, and can perform a variety of operations on the grouped data to return a single result. This is very suitable for this problem.

```
db.tweets.createIndex({replyto_id:1})
db.tweets.createIndex({retweet_id:1})
```

I **created 2 indices on replyto\_id and retweet\_id** objects and will analyze the difference between using index and not using below.

## Stage0:

```
      ✓ ☑ [0]
      { 3 fields }

      → ☑ $cursor
      { 2 fields }

      # nReturned
      1641

      # executionTimeMillisEstimate
      2
```

About the \$match stage, even though I added index on replyto\_id and retweet\_id, the execution time only 3 millis away. This is because whether index is added or not, this stage here will need to traverse all data. Also the explanation did not show the indexsUsed.

#### Stage1:

```
{$lookup: {
   from: "tweets",
   localField: "id" ,
   foreignField: "replyto id",
   as: "replyto_tweet_Array"}
[1]
                                         { 7 fields }
  > 🖾 $lookup
                                         { 4 fields }
     # totalDocsExamined
                                         16410000
     totalKeysExamined
                                         0
     collectionScans
                                         3282
  indexesUsed
                                         [ 0 elements ]
     nReturned
                                         1641
     executionTimeMillisEstimate
                                         5522
[1]
                                        { 7 fields }
  > 🖾 $lookup
                                        { 4 fields }
     totalDocsExamined
                                        21
```

totalKeysExamined

executionTimeMillisEstimate

localField, the executing time will even be higher like below:

collectionScans

> indexesUsed

nReturned

About the \$lookup stage, the excuting time before adding index and after has almost 5400 milliseconds difference. This is because Firstly, before adding index, the time complexity of append each 'replyto\_id' to id can be assessed as O(n^2), but after adding index, the data is stored in a B-tree, and the total time complexity of this step can be assessed as O(nlog(n)). So as the data become larger the excuting time gap between the two methods will rapidly increase. Secondly, we can see the totalDocsExamied before creating index is 16410000, this is because for each "id", it will need to go through almost whole data set, to find the matching "replyto\_id" in average. After adding index, the data in tweets collection are put into entries, so in the secend image, the totalKeyExamied incresed from 0 to 1, it just need to go through the sorted key in a B-tree data structure, this resulted in a significant reduction in time. By the way in this \$lookup stage it just need to create the index of the foreignField which is "replyto\_id", we do not need to creat index for localField which is "id". It is nothing help and after my test, if I create index for

21

0

1641

85

[1 element]

```
      ✓ ☑ [1]
      {7 fields }

      > ☑ $lookup
      {4 fields }

      # totalDocsExamined
      21

      # totalKeysExamined
      21

      # collectionScans
      0

      > ☑ indexesUsed
      [1 element]

      # nReturned
      1641

      # executionTimeMillisEstimate
      88
```

## Stage2:

```
{$match:
     {$expr:
         {$gt:[{$size: "$replyto tweet Array"}, 0]}
[2]
                                           { 3 fields }
   > 🖾 $match
                                           { 1 field }
     nReturned
                                           19
     executionTimeMillisEstimate
                                           5522
[2]
                                           { 3 fields }
   > Smatch
                                           { 1 field }
     nReturned
                                           19
     executionTimeMillisEstimate
                                           85
```

I have no idea why the execute time of both before and after adding index data will equal to last \$lookup stage, I guessed that the execution time is superimposed in the explanation. But after searching online and post question on ed, the tutor on ed told me that the stages do not run in parallel and the given execution times are estimates, not actual execution times. So according to the time complexity, the execution time of both should be close.

## Stage3:

```
{$100kup: {
   from: "tweets",
   localField: "id" ,
   foreignField: "retweet id",
   as: "retweet Array"}
[3]
                                      { 7 fields }
  > 🖾 $lookup
                                      { 4 fields }
    totalDocsExamined
                                      190000
    totalKeysExamined
    collectionScans
                                      38
  > indexesUsed
                                      [ 0 elements ]
    nReturned
                                      19
    executionTimeMillisEstimate
                                      5591
```

```
v 🖸 [3]
                                           { 7 fields }
  > 🖾 $lookup
                                           { 4 fields }
     totalDocsExamined
                                           106
                                           106
     totalKeysExamined
     collectionScans
                                           0
  > III indexesUsed
                                           [1 element]
     # nReturned
                                           19
     executionTimeMillisEstimate
                                           85
```

This \$lookup stage after **creating retweet\_id index**, also saved 5500 milliseconds, same reason as \$lookup in stage 1. After creating index, the totalDocsExamied decreased to 106 from 190000, and totalKeysExamied increased same reason as stage 1.

## Stage4:

```
{$match:
      {Sexpr:
           {$gt:[{$size: "$retweet Array"}, 0]}
[4]
                                         { 3 fields }
  > 🖾 $match
                                         { 1 field }
     mReturned
                                         10
     # executionTimeMillisEstimate
                                         5591
V 🖸 [4]
                                          { 3 fields }
   > 🖾 $match
                                          { 1 field }
     nReturned
                                           10
     executionTimeMillisEstimate
                                          85
```

This is same as stage 2, except for the object name changed to "retweet\_Array" which is a table created by last \$lookup stage.

## Stage5:

```
{$count: "Number of tweets"}
v 💷 [5]
                                          { 6 fields }
                                                                                           Object
   > 💷 $group
                                          { 2 fields }
                                                                                           Object
   > 
 maxAccumulatorMemoryUsageBytes
                                          { 1 field }
                                                                                           Object
     # totalOutputDataSizeBytes
                                          229
                                                                                           Int64
     usedDisk
                                          false
                                                                                           Boolean
                                                                                           Int64
     # nReturned
                                          1
     executionTimeMillisEstimate
                                          5591
                                                                                           Int64
[6]
                                          { 3 fields }
                                                                                           Object
   > 🖾 $project
                                          { 2 fields }
                                                                                           Object
     nReturned
                                          1
                                                                                           Int64
     executionTimeMillisEstimate
                                          5591
                                                                                           Int64
```

```
√ □ [5]

                                          { 6 fields }
  > 🖾 $group
                                          { 2 fields }
   > 🖸 maxAccumulatorMemoryUsageBytes
                                         { 1 field }
     totalOutputDataSizeBytes
                                          229
     usedDisk
     # nReturned
     executionTimeMillisEstimate
                                          85
[6]
                                          { 3 fields }
  > D $project
                                          { 2 fields }
     nReturned
                                          1
     executionTimeMillisEstimate
                                          85
```

In this \$count stage, this command include two steps, we can obviously find by explain(). The first step is \$group and the second step is \$project. In the \$group stage, there is a totalOutputDataSizeBytes parameter which represents the memory usage the stage take. The nReturned parameter represents the data finally showed on the interface, here the one data is our target result.

## **Q2**:

Find the reply tweet that has the most retweets in the data set.

```
db.tweets.explain("executionStats").aggregate(
```

As Q1 said, aggregate is more suitable in this case. And below is the explain() of my using aggregation stages, and I will only put a comparison image before and after adding the index when I need it.

## Indexing:

```
db.tweets.createIndex({retweet_id:1})
```

## Stage 0:

Find the 621 data which is contain replyto\_id, with execution time 3ms.

## Stage 1:

```
{$100kup: {
   foreignField: "retweet_id",
 [1]
                                          { 7 fields }
   > 🖾 $lookup
                                          { 4 fields }
      # totalDocsExamined
                                          6210000
      totalKeysExamined
      # collectionScans
                                          1242
    > III indexesUsed
                                          [ 0 elements ]
      mReturned
                                          621
      executionTimeMillisEstimate
                                          2199
(1)
                                         { 7 fields }
  > 💷 $lookup
                                         { 4 fields }
     totalDocsExamined
                                         27
     totalKeysExamined
                                         27
     collectionScans
   > indexesUsed
                                         [1 element]
     # nReturned
                                         621
     executionTimeMillisEstimate
                                         40
```

After **creating index of retweet\_id,** execution time decreases 2150ms. And the totalDocsExamined almost decreased 6210000, and the totalDocsExamined actually is the totalKeysExamined. After lookup, the return document is still 621.

#### Stage 2:

\$project does not change the document returned and take 40ms for modifying the data.

## Stage 3:

```
{$sort: {retweet_count: -1}},
```

```
      ✓ ☑ [3]
      { 5 fields }

      → ☑ $sort
      { 2 fields }

      ■ totalDataSizeSortedBytesEstimate
      0

      □ usedDisk
      false

      ■ nReturned
      1

      ■ executionTimeMillisEstimate
      40
```

\$sort stage used 0 memory showed by explain, but actually it does take some memory otherwise the totalOutputDataSizeBytes parameter will not appear. And this stage takes 40 ms and return 1 document.

#### Stage 4:

```
{$limit:1}
```

This stage can not be explained, it just makes the interface show the first document.

## Q3:

Find the top 5 hashtags appearing as the FIRST hashtag in a general or reply tweet, ignoring the case of the hashtag. Note that the order does not matter if a few hashtags have the same occurrence number.

```
db.tweets.explain("executionStats").aggregate(
```

Refer to the first question about why use aggregate here.

**Index:** in this question I did create any index. Simply say that it is because\$ lookup is not used in this question. For detailed explanation refer to the first question.

#### Stage 0:

In this \$match stage, it contained one \$or operation and two \$and operations. This stage takes 6 ms and return 211 documents.

## Stage 1:

```
{$project:
       first_hashtag:{ $arrayElemAt: ["$hash_tags.text", 0]}
 {$group:
           id:{$toLower: "$first hashtag"},
          count: {$sum:1}
[1] 
                                         { 6 fields }
  > 🖾 $group
                                         { 2 fields }
  > MaxAccumulatorMemoryUsageBytes
                                         { 1 field }
     totalOutputDataSizeBytes
                                         25272
     ™ usedDisk
                                         false
     # nReturned
                                         106
     executionTimeMillisEstimate
                                         6
```

For some unknow reasons the \$project stage did not show on the explain() method. In this \$group stage, it causes **totalOutputDataSizeBytes**: **25272**, which is a huge cost of memory, this stage takes 6 ms and return 106 documents.

## Stage 2:

In this \$sort stage. It takes 6 ms and return 5 documents. But it cost 3756 Bytes memory.

### Stage 3:

```
      Y □ $project
      { 3 fields }

      □ tag
      $_id

      □ count
      $count

      □ false
      # nReturned

      □ executionTimeMillisEstimate
      6
```

In this \$project stage. It takes 6 ms and return 5 documents.

## Q4:

For a given hash\_tag, there are many tweets including that hash\_tag. Some of those tweets mention one or many users. Among all users mentioned in those tweets, find the top 5 users with the most followers\_count. For each user, you should print out the id, name and location. Not all users have a profile in the users data set; you can ignore those that do not have a profile. If there are less than 5 users with profile, print just those users with a profile.

```
db.tweets.explain("executionStats").aggregate(
```

Refer to the first question about why use aggregate here.

**Index:** in this question I did create any index. I was supposed to created but the index I need to create is a object inside an array.

## Stage 0:

In this \$match stage. It takes 13 ms and return 881 documents.

## Stage 1, 2, 4:

```
{$unwind: "$hash_tags" },
{$unwind: "$hash tags.text"},
{$unwind: "$user_mentions"},
[1]
                                        { 3 fields }
  > 💷 $unwind
                                        { 1 field }
     nReturned
                                        1383
     executionTimeMillisEstimate
                                        5

✓ □ [2]
                                        { 3 fields }
  > 🖾 $unwind
                                        { 1 field }
     # nReturned
                                        1383
     executionTimeMillisEstimate
                                        5

✓ □ [4]
                                         { 3 fields }
   > 🖾 $unwind
                                         { 1 field }
     # nReturned
                                         328
     executionTimeMillisEstimate
                                         5
```

In these three unwind stage. All three stages take 5 ms and return 881, 1383, 328 documents respectively. And I have no idea why the explain do not follow the order the query write.

#### Stage 3:

In this \$match stage. It takes 5 ms and return 244 documents.

#### Stage 5:

```
{$lookup: {
    from: "users",
    localField: "user_mentions.id" ,
    foreignField: "id",
    as: "mentioned_user_info"}
},
```

```
      ▼ □ [5]
      { 7 fields }

      > □ $lookup
      { 4 fields }

      # totalDocsExamined
      2731584

      # totalKeysExamined
      0

      # collectionScans
      656

      > □ indexesUsed
      [ 0 elements ]

      # nReturned
      328

      # executionTimeMillisEstimate
      1536
```

In this \$lookup stage, it examined 2731584 documents, without using index, returned 328 documents and take 1536ms.

#### Stage 6:

In this \$match stage. It takes 1536 ms and returned 37 documents.

## Stage 7:

In this \$unwind stage. It takes 1536 ms and returned 37 documents.

## Stage 8:

In this \$match stage. It takes 1536 ms and returned 37 documents.

#### Stage 9:

```
{$group:
         id: "$mentioned user info",
v 🔟 [9]
                                         { 6 fields }
  > 🖾 $group
                                         { 1 field }
  > MaxAccumulatorMemoryUsageBytes
                                         { 0 fields }
     # totalOutputDataSizeBytes
                                         17741
    ™ usedDisk
                                         false
    # nReturned
                                         13
     executionTimeMillisEstimate
                                         1536
```

In this \$group stage, it causes **totalOutputDataSizeBytes: 17741**, which is a huge cost of memory, this stage takes 1536 ms and return 13 documents.

#### Stage 10:

In this \$sort stage, it causes **totalOutputDataSizeBytes: 13815** Bytes, this stage takes 1536 ms and return 5 documents.

#### Stage 11:

In this \$project stage. It takes 1536 ms and returned 5 documents.

## Q4 Alternative:

```
db.users.aggregate(
```

Refer to the first question about why use aggregate here.

#### Index:

```
db.users.createIndex({id:1})
```

This method is based on users collection. And I will just analyze some key different stages

### Stage 1:

```
{$lookup: {
      from: "tweets",
      localField: "id" ,
      foreignField: "user_mentions.id",
      as: "tweets mentioned me"}
V 🖾 [1]
                                     { 7 fields }
  > 🖾 $lookup
                                     { 4 fields }
    totalDocsExamined
                                     1932
    totalKeysExamined
                                     1932
    collectionScans
  > III indexesUsed
                                     [1 element]
    nReturned
                                     8328
    executionTimeMillisEstimate
                                     454
```

In this \$lookup stage, it examined 1932 key documents, **with using indexing on "id"**, returned 8328 documents and take 454ms.

## Stage 9:

```
      Y ☑ [9]
      { 6 field s}

      Y ☑ $group
      { 1 field }

      Imax id
      $ id

      Y ☑ maxAccumulatorMemoryUsageBytes
      { 0 field s}

      Imax totalOutputDataSizeBytes
      2561

      Imax totalOutputDataSizeBytes
      2561

      Imax totalOutputDataSizeBytes
      13

      Imax totalOutputDataSizeBytes
      13

      Imax totalOutputDataSizeBytes
      454
```

In this \$group stage, it causes **totalOutputDataSizeBytes: 2561** Bytes, this stage takes 454 ms and return 13 documents.

#### Stage 10:

```
{$lookup: {
      from: "users",
      localField: " id" ,
      foreignField: "id",
      as: "user_info"}
(10)
                                 { 7 fields }
  > 🖾 $lookup
                                { 4 fields }
    totalDocsExamined
                                13
   totalKeysExamined
                                13
   # collectionScans
                                0
  > indexesUsed
                                [1 element]
    # nReturned
                                13
    executionTimeMillisEstimate
                                455
```

In this \$lookup stage, it examined 13 key documents, with using indexing on "id", returned 13 documents and take 455ms.

#### Stage 11:

In this \$sort stage, it causes **totalOutputDataSizeBytes**: **9593** Bytes, this stage takes 455 ms and return 5 documents.

And according to the time and memory result, this alternative way is better, which takes less execution time and less memory.

## **Q5**:

Find the number of general tweets published by users with neither location nor description information.

```
db.tweets.explain("executionStats").aggregate(
```

Refer to the first question about why use aggregate here.

#### Index:

```
db.users.createIndex({id:1})
```

#### Stage 0:

In this \$match stage. It takes 6 ms and returned 1641 documents.

## Stage 1:

```
{$lookup: {
    from: "users",
    localField: "user_id" ,
    foreignField: "id",
    as: "user"}
},
```

```
v 🖾 [1]
                                          { 7 fields }
   > 🖾 $lookup
                                          { 7 fields }
     totalDocsExamined
                                          13666248
                                          0
     totalKeysExamined
     collectionScans
                                          3282
   > III indexesUsed
                                          [ 0 elements ]
     nReturned
                                          114
     medillisEstimate
                                          5572
V 🖸 [1]
                                         { 7 fields }
  > 🖾 $lookup
                                         { 7 fields }
     totalDocsExamined
                                          1641
                                          1641
     totalKeysExamined
     ■ collectionScans
                                         [1 element]
  > III indexesUsed
     # nReturned
                                          114
     executionTimeMillisEstimate
                                         112
```

After **creating index of id in users collection,** execution time decreases 5400ms. And the totalDocsExamined almost decreased 13666000 documents, and the totalDocsExamined actually is the totalKeysExamined. With returning 114 documents.

These two stages disappear in the explain.

#### **Stage 2,3:**

```
{$count: "tweet count"}
[2]
                                          { 6 fields }
  > 🖾 $group
                                          { 2 fields }
  > MaxAccumulatorMemoryUsageBytes
                                          { 1 field }
     # totalOutputDataSizeBytes
                                          229

    usedDisk

                                          false
     # nReturned
                                          1
     executionTimeMillisEstimate
                                          112
(3)
                                          { 3 fields }
  > 
project
                                          { 2 fields }
     nReturned
     executionTimeMillisEstimate
                                          112
```

In this \$count stage, this command include two steps, we can obviously find by explain(). The first step is \$group and the second step is \$project. In the \$group stage, there is a totalOutputDataSizeBytes parameter which represents the memory usage the stage take which now take 229 Bytes. The nReturned parameter represents the data finally showed on the interface, here the one data is our target result. In this \$project stage. It takes 112 ms and returned 1 documents.

## Q6

Find the general tweet that receives most retweets in the first hour after it is published. Print out the tweet Id and the number of retweets it received within the first hour.

```
db.tweets.explain("executionStats").aggregate(
```

Refer to the first question about why use aggregate here.

#### Index:

```
db.tweets.createIndex({retweet_id:1})
```

#### Stage 0:

In this \$match stage. It takes 9 ms and returned 1641 documents.

## Stage 1:

```
{$lookup: {
  from: "tweets",
   localField: "id" ,
  foreignField: "retweet id",
   as: "retweets"}
v 🖸 [1]
                                       { 7 fields }
  > 💷 $lookup
                                       { 4 fields }
    # totalDocsExamined
                                       16410000
    totalKeysExamined
                                       0
    # collectionScans
                                       3282
  > 💷 indexesUsed
                                       [ 0 elements ]
    mReturned
                                       1641
    mexecutionTimeMillisEstimate
                                       5944
                                     { 7 fields }
v 🖾 [1]
  > 💷 $lookup
                                     { 4 fields }
    # totalDocsExamined
                                      892
    totalKeysExamined
                                      892
    collectionScans
  > indexesUsed
                                      [1 element]
    nReturned
                                      1641
    executionTimeMillisEstimate
                                      105
```

After **creating index of id in users collection,** execution time decreases 5800ms. And the totalDocsExamined almost decreased 16410000 documents, and the totalDocsExamined actually is the totalKeysExamined. With returning 1641 documents.

#### Stage 2:

```
      Y
      □ [2]
      { 3 fields }

      Y
      □ $match
      { 1 field }

      □ nReturned
      243

      □ executionTimeMillisEstimate
      105
```

In this \$match stage. It takes 105 ms and returned 243 documents.

### Stage 3:

In this \$match stage. It takes 105 ms and returned 892 documents.

#### Stage 4:

In this \$project stage. It takes 105 ms and returned 892 documents.

## Stage 5:

In this \$project stage. It takes 105 ms and returned 892 documents.

## Stage 6:

In this \$match stage. It takes 105 ms and returned 892 documents.

## Stage 7:

```
{$group:
           id: "$id",
          retweet count: {$sum:1}
V 🖸 [7]
                                    { 6 fields }
  > 🖾 $group
                                    { 2 fields }
  > MaxAccumulatorMemoryUsageBytes
                                    { 1 field }
    totalOutputDataSizeBytes
    usedDisk
                                    false
    # nReturned
                                    243
    medillisEstimate
                                    105
```

In the \$group stage, there is a totalOutputDataSizeBytes parameter which represents the memory usage the stage take which now take 55647 Bytes. The nReturned parameter represents the data finally showed on the interface here is 243 documents, and take 105 ms this stage

## Stage 8:

In this \$sort stage. It takes 105 ms and return 1 documents. But it cost 0 Bytes memory.

## Stage 9:

In this \$project stage. It takes 105 ms and returned 1 document.

My alternative way of question is better. Taking less time and less memory.

## **Conclusion**

- 1. Memory taking happened in \$sort and \$group
- 2. the stages do not run in parallel and the given execution times are estimates, not actual execution times.
- 3. We only need to create the index of the foreignField in the \$lookup command, localField indexing is nothing help