# Programming in Lua Notes

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## Contents

Programming in Lua Notes	2
Getting Started	2
Types and Values	3
Expressions	3
Statements	4
Functions	5
More about Functions	6
Iterators and the Generic for	6
Compilation, Execution and Errors	7
Coroutines	8
Complete Examples	9
Data Structures	9
Data Files and Persistence	10
Metatables and Metamethods	11
The Environment	13
Modules and Packages	13
Object-Oriented Programming	14
Weak Tables and Finalizers	16
Weak Tables	16
Finalizers	16
The Mathematical Library	17
The Bitwise Library	17
The Table Library	18
The String Library	18
The I/O Library	19

The Simple I/O Model	19
The Complete I/O Model	20
The Operating System Library	21
The Debug Library	22
An Overview of the C API	23
About the C API	23
The Header Files	23
Extending Your Application	24
Calling a Lua function from C	24
Calling C from Lua	25
C Functions	25
Continuations	26
C Modules	26

## Programming in Lua Notes

Programming in Lua gives a solid base for any programmer who wants to use Lua. It covers all aspects of Lua—from the basics to its API with C—explaining how to make good use of its features and giving numerous code examples. The book is targeted at people with some programming background, but it does not assume any prior knowledge about Lua or other scripting languages.

## Getting Started

- Each piece of code that Lua executes is called a *chunk*
- Lua needs no seperator between consecutive statements (but you can use a semicolon if you wish)
- To exit the interpreter, use os.exit()
- To parse a file from Lua, use dofile("filename.lua")
- Identifiers can be any string of letters, digits and underscores not beginning with a digit
- Lua 5.2 accepts only English letters for identifiers (a-z and A-Z)
- Comments start with a double hypen (--) and go to the rest of the line
- Long comments start with a --[[ and end with ]]
- Global variables do not need declarations, they are nil by default
- In interactive mode, prepending an equals sign (=) to any expression prints the result of that expression
- Any arguments to a script are in the global variable arg by default

#### Types and Values

- Lua has eight basic types: nil, boolean, number, string, userdata, function, thread and table
- The type of a variable can be checked using the type() function, which returns a string representing the type of the given variable
- Functions are *first-class values* in Lua, they can be used like any other type of variables
- Lua uses nil as a kind of non-value, representing the absence of a useful value
- All numbers in Lua are real (double) floating-point numbers (there is no integer type)
- In the Lua number type, any integer up to  $2^{53}$  has an exact representation
- Due to using a double type, there can be **rounding errors**: 12.7-20+7.3 is not exactly zero because both 12.7 and 7.3 do not have an *exact representation*
- Number literals can be written with both an optional floating-point part (eg. 0.1212) and exponent (eg. 3.4e-4), and can be either in base ten or hexadecimal (with the 0x prefix, eg. 0xFFFF)
- Strings can contain any characters (null, any UTF-8 characters, etc.)
- Strings are *immutable* in Lua (cannot be modified)
- The length of a string can be acquired with the length operator (#)
- Strings can be delimited by single and double quotation marks ('str' and "str") as well as with double square brackets ([[str]])
- Strings can be concatenated with double periods (...)
- Strings and numbers can be converted with tostring() and tonumber()
- The only real data type in Lua are tables, which can be used to construct arrays (sequences) as well as records
- Tables are handled by reference (thus, {} ~= {})
- To access the member abc of table t, both t["abc"] and t.abc can be used
- Lua global variables are stored in a table
- Lua arrays are tables that use numbers from 1 to  ${\tt n}$  as indexes, where  ${\tt n}$  is the length of the array
- The length of Lua arrays without holes (embedded nils) can be aquired using the  $length\ operator\ (\#)$
- Userdata variables allow C data to be stored in Lua variables

## Expressions

- Exponentiation is done in Lua with the caret (^)
- Modulus is obtained from a number with the percent sign (%)
- The fractional and integer part of a number can be obtained using the modulus operator (n%1 for the former and n-n%1 for the latter)
- The negation of the equality operator in Lua is a tilde and an equals sign combined (~=)

- Tables and userdata are compared by reference
- Strings are compared in **alphabetical order** (as determined by the locale)
- Logical operators (not, and, or) use *short-cut evaluation*, so f() or error() is only going to call error() if f() returns false
- The Lua idiom x = x or v sets x to v only if x is not nil or false
- The Lua idiom c and t or f returns t if c evaluates to true, and f otherwise (unless t evaluates to false)
- Concatenation in Lua is done with two dots (..). If one of the operands is a number, it is converted to a string automatically
- The concatenation operator does not modify it's operands
- The length operator (#) works on strings and tables, on the latter it gives the length of the sequence represented by it, a sequence being a table where numeric keys go from 1 to n without any holes (embedded nils)
- Tables can be constructed by a few different constructors:
  - List constructor constructs the table to be a sequence, has no notion of keys and looks like this: {324, "value two", true, ...}
  - Record constructor constructs the table to be a record, has keys which must be valid Lua identifiers and looks like this: {fieldone=10, fieldtwo="value two", fieldthree=false, ...}
  - General constructor can construct any kind of table, it's keys do not need to be valid identifiers and can in fact be of any type, it looks like this: {["field one"]=324, ["field two"]="value two", ...}

#### Statements

- Lua allows *multiple assignment*, which assigns a list of values to a list of variables in one step, both lists have their elements seperated by commas
- Lua first evaluates all values and only then executes the assignments (allowing us to swap two variables with multiple assignment)
- When there are more variables than values, they are filled with nils
- When there are more values than variables, they are silently discarded
- A frequent use of multiple assignment is to collect *multiple returns* from function calls
- Lua supports local variables with the keyword local
- In interactive mode, local variables don't work as expected because every line is executed in it's own chunk
- To make local variables work in interactive mode, they need to be put into a do-end block
- Access to local variables is faster than to global ones
- A common idiom in Lua is local foo = foo, which creates a local variable foo and assigns the global variable foo to it

- Lua supports if-then-[[elseif-then]-else]-end, while-do, repeat-until, numeric for and generic for control structures
- Numeric for starts at a given start value and ends at a given end value using the steps provided: for <var> = <start>, <end>, [<step=1>] do...
- The value of the control variable should not be changed (use break to prematurely exit the loop)
- The *generic for* traverses all values returned by an iterator function, like so: for key, value in pairs(table) do...
- There are several *iterators*: pairs() to traverse a table, io.lines() to iterate over the lines of a file, ipairs() to iterate over the entries of a sequence, string.gmatch() to iterate over words in a string and more
- A return statement can only appear as the last statement of a block
- Lua supports goto and labels, they are declared with ::labelname:: and can be jumped to with goto labelname
- You cannot jump into a block, out of a function or into the scope of a local variable
- The scope of a local variable ends on the last *non-void statement* of the block where the variable is defined, labels are considered void statements
- Gotos can be used to emulate functionality like continue

#### **Functions**

- If a function has one single argument and that argument is either a string literal or a table constructor, the parentheses (in a function call) are optional
- The colon operator in Lua offers special syntax for *object oriented programming*: o:method(a,b) translates to o.method(o,a,b)
- You can call a function with a number of arguments different from it's number of parameters: extra arguments are thrown away, missing ones filled with nil
- Functions in Lua can return multiple results
- In some cases, like when the function is placed in parentheses (like so: (f())) or when it's used as an expression, only the first result is used
- The Lua function table.unpack() takes an array as input and returns the contents (using multiple return values)
- The opposite can be done with the function table.pack(), which turns all of it's parameter into an array and additionally stores the size of that array in the field n
- Lua functions can take a variable amount of inputs with the *vararg expression* (...), which is used in place of the parameter list and expands to the given arguments in the function body
- Named parameters can be simulated in Lua by passing a table as the first and only argument, which allows us to have on key/value pair per argument, where the key is the name of that argument
- Can look like this: copy{src="file1", dest="file2"}

#### More about Functions

- Functions in Lua are *first-class values* with *proper lexical scoping*, meaning that they can access variables of their enclosing functions
- Functions can be stored in tables, and passed to and returned from other functions
- Functions are anonymous (not bound to any name)
- A Function definition is actually an assignment
- Functions as first-class values can be used to write *callback functions* or provide a sorting strategy to table.sort()
- Functions that get other functions as an argument are called *higher-order* functions
- The variables of the parent function that a function defined inside it can access are neither local nor global variables, these are called *nonlocal variables* or *upvalues* (they *escape* their original scope)
- Closures make use of proper lexical scoping: they are functions with access to nonlocal variables
- Nonlocal variables persist between function calls, similar to static function variables in C
- Closures can be used to create *sandboxes* by redefining functions in a more limited manner and hiding the original functions
- Functions can also be stored in local variables, and Lua has syntactic sugar to do this (by prepending local before a function declaration)
- When using indirect local recursive functions, they need a kind of *forward declaration* to indicate that they will be local (with local name) and they then need to be defined without the local function syntactic sugar
- Lua does proper tail-call elimination (tail calls do not cost stack space)
- Tail calls need to be in the form return func(args)

#### Iterators and the Generic for

- An iterator is any construction that allows you to iterate over the elements of a collection
- They are typically represented by functions (closures) in Lua
- A closure iterator involves two functions: the closure itself and a *factory*, which creates the closure and it's nonlocal variables (the *state*)
- Iterators may not be easy to write, but they are easy to use
- The generic for does all the bookkeeping for an iteration loop and it also keeps an *invariant state variable* (can be used to keep a state) and a *control variable*
- When the first variable returned by the iterator (called the control variable) is nil, the loop ends
- With the invariant state and the control variable, we can write *stateless iterators* (like ipairs(), which is also stateless): these do not use nonlocal variables to keep their state
- Complex states can be stored in the invariant state variable by using a table
- True iterators are functions that do the iteration themselfes, they take an

- anonymous function as argument and call that for every element
- They aren't used very much anymore since they have some drawbacks (like difficult parallel iteration)

## Compilation, Execution and Errors

- Lua always *precompiles* source code to intermediate form (bytecode) before running it
- Lua is still considered an *interpreted language* since it is possible to execute code generated on the fly (with functions such as load())
- The function loadfile() loads a Lua chunk from a file and returns a function that will call the chunk if called, or an error code
- We can use loadfile() to run a file several times (by executing the returned function multiple times)
- The load() function is similar, but it reads its chunk from a string
- The load() function is powerful and rather expensive, so it should be used with care and only when needed
- load() compiles code in the global environment, without lexical scoping
- You can use vararg expressions in load()ed strings since the code is treated as an anonymous function
- The string.rep() function repeats a string a given number of times
- load() can take a reader function as argument, which returns the chunk in parts
- io.lines(filename, "\*L") returns a function that iterates over the lines in the given file
- io.lines(filename, 1024) is more efficient since it uses a fixed-size buffer
- The load() and loadfile() functions never have any side effects
- External chunks should be run in a protected environment
- Lua allows code to be distributed in precompiled form, such code is allowed anywhere normal code would be allowed as well
- Code can be precompiled with the luac program
- string.dump() returns the precompiled code (as a string) of any Lua function
- Maliciously corrupted binary code can crash the Lua interpreter or even execute user-provided machine code!
- As a second parameter, load() can accept a name of the chunk to be loaded for debugging purposes
- The third parameter to load() controls what kind of chunks can be loaded ('t' for textual, 'b' for binary and 'bt' for both)
- Lua supports dynamic linking even though that is not standard ANSI C
- To dynamically link to a library, use package.loadlib(libpath, funcname), which returns the requested function
- Often libraries are loaded with require(), which auto-imports all functions and puts them into a package
- Whenever an error is raised, Lua ends the current chunk and returns to the application

- The assert() functions checks if it's first argument is not false, if so it returns it, else it raises an error
- Functions can return false and an error code to show errors or call the error() function directly
- Most functions return false and an error code so the error can be handled
- Errors raised with error() can be caught using the pcall() function, which stands for protected call
- pcall() takes a function to be called in protected mode as well as a level argument to tell which of the functions in the call stack is the culprit
- If we want a traceback of the error, we can use the xpcall() function, which takes a *message handler function* (which is called before the stack unwinds)
- Two common message handlers are debug.debug (provides interactive console) and debug.traceback (builds an extended error message with the traceback)

#### Coroutines

- Coroutines in Lua are like threads: they are a line of execution with their own stack, local variables and instruction pointer but sharing the global variables
- Coroutines run *concurrently*, not *parallel*: there's always **just one** coroutine currently running
- Coroutines are a means of *cooperative multitasking* (as opposed to *preemtp-tive multitasking*): their execution is only suspended if they explicitly ask for it
- All coroutine functions are in the coroutine table
- They can be created with coroutine.create(), which takes a function as argument
- Coroutines are of type thread
- Coroutines can be in one of four states:
  - normal This is the state a coroutine gets into when it calls coroutine.resume() on some other coroutine: it is neither running nor suspended, since it can't be resumed when in this state.

running This is the state a coroutine is in when it's running

suspended Newly created coroutines are in this state, as well as coroutines
that have suspended themselves (with coroutine.yield())

dead The coroutine enters this state if the coroutine function returns, it is not possible to resume a dead coroutine

• Their status can be checked with coroutine.status()

- The real power comes from the coroutine.yield() function, which suspends the currently running coroutine and passes control back to the coroutine that caused it to run in the first place (with coroutine.resume())
- coroutine.resume() runs in *protected mode*, so any error raised from within the coroutine will be returned by it, just like with pcall()
- The resume and yield functions can **exchange data**: an argument to any of them becomes a return value of the other
- Symmetric coroutines of other languages can be easily emulated in Lua
- Coroutines offer a great way to tackle the *producer-consumer problem* (the *who-has-the-main-loop* problem)
- They can also turn the caller/callee relationship inside out: now the callee can request from the caller (by resuming the caller)
- Coroutines offer a kind of *non-preemptive* (*cooperative*) multitasking, but since there is no parallelism involved, the code is easy to debug and there is no need for synchronization
- The cost of switching between coroutines is **really small** compared to switching between processes (as in UNIX pipes)
- They can be used to easily write iterators without having to worry about keeping a state
- The non-preemptive multitasking that they offer can be used to concurrently download files from the internet if non-blocking sockets are available

#### Complete Examples

- The eight-queen puzzle is solved with a configuration of eight queens on a chessboard in a way that no queen can attack another one
  - Any valid solution must have exactly one queen in each row
  - No two queens can be in the same column
  - No two queens can be directly diagonal to each other
- A Markov-chain algorithm can be used to generate pseudo-random text based on what words can follow a sequence of n previous words in a base text
- The resulting text is very, but not quite, random

#### **Data Structures**

- Tables in Lua are the data structure
- All other data structures can be implemented quite efficiently on top of Lua tables
- Arrays in Lua are implemented by simply indexing tables with integers
- They can grow as needed, elements that aren't used (equal to nil) don't take up space

- Indexing commonly starts at 1, but it can start at any other value
- Matrices and multi-dimensional arrays can be represented as either true multi-dimensional arrays or as flat arrays
- Two-dimensional arrays can be implemented with arrays by using a large array for the rows, containing one array per row which holds the actual data
- Allow for the most freedom (can represent triangular matrices)
- Flat arrays can also be used to represent matrices
- These can have an integer index that is composed of the two matrix indexes
- Otherwise, they can have a string index which is the concatenation of the two matrix indexes
- Either way, they can both easily represent matrices and are also efficient
  when working with sparse matrices: only matrix elements that are not nil
  take up memory
- Keys in tables have not intrinsic order, so iterations with pairs() happen in no particular order
- Linked lists are particularly easy to implement in Lua: they are simply a list with a reference to the next list
- Linked lists aren't used very often since they are not really neccessary
- Queues and double queues can be implemented easily with tables that have a first and last index variables
- The first and last variables will continually increase when using the queues, but the available  $\sim$ 53 bits of integer precision (2<sup>53</sup> representable integers) are unlikely to run out
- Sets and bags can be represented by storing the objects as the keys of a table (fast lookup)
- Objects in *sets* can either be in the set (then set[obj]==true) or not be in the set (then set[name]==nil)
- This behavior is achieved by using the object as indices of a table and setting it's value to true
- Bags (also called **multisets**) are like **sets**, but there can be duplicates
- This is again trivial to implement with tables, where bag[obj] is either nil (then obj isn't in bag) or a number describing how many times obj is in bag
- String buffers are sometimes needed in Lua since strings are immutable
- To read a file chunk by chunk, all the chunks can first be stored in a table and then finally put together with table.concat(), which optionally takes a string to use as seperator
- File should, however, rather be read with io.read("\*a")

## Data Files and Persistence

- $\bullet$  Writing data to file is easier than reading it back, since then it needs to be parsed
- Coding robust input routines is always difficult
- Lua started out as a data description language

- BibTeX was one of the inspirations for the constructor syntax in Lua
- We can use plain Lua to store data, which will look like this:

```
Person{
   name = "John Doe",
   age = 35,
   email = "john@example.com",
}
```

- Person both describes the data and represents a Lua function call, so we only have to define a sensible callback function and run the data file
- This is a *self-describing data format*, which means that it's easy to read and edit by hand
- Lua runs fast enough to store data like this, since data description has been one of the main applications of it
- To be able to write data which needs to be read back, it needs to be put in a known state, this process is called *serialization*
- We can do this recursively in Lua
- Floating-point numbers may loose precision when written and read back in decimal form, but we can use a hexadecimal format when writing: string.format("%a", 0.4342)
- Strings can also be properly escaped: string.format("%q", str)
- Tables can be serialized recursively **only** if they do not have *cycles* (where some parts of the table refer to other parts of the same table)
- To represent cycled tables, named tables are needed

#### Metatables and Metamethods

- $\bullet$  Metatables allow us to change the behavior of a value when confronted with an undefined operation
- Whenever Lua tries to perform arithmetic operations on tables, it checks if any of them have a *metamethod* which defines this operation and runs it, otherwise it raises an error
- Tables and userdata have individual metatables, all other data types share one single metatable for all values of that type
- Lua always creates new tables without metatables
- We can use setmetatable(t, mt) to set or change the metatable of any type
- Any table can be the metatable of any value

- A group of related tables can share a metatable which describes their common behavior
- A table can be it's own metatable so that it describes it's own behavior
- Arithmetic metamethods are as follows:

```
__add addition (+)
__mul multiplication (*)
__sub subtraction (-)
__div division (/)
__unm negation (not)
__mod modulus (%)
__pow exponentiation (^)
__concat concatenation (...)
```

- When two tables are in an undefined expression, the left table's metamethod will be used if it exists, else the right table's metamethod will be used, and if that doesn't exist either, an error will be raised
- Metatables can also be used for relational operators:

```
__eq equality (==)
__lt less than comparison (<)
__le less than or equal (<=)</pre>
```

- Equality comparison doesn't work if objects have different types (always returns false)
- Some library functions also use metamethods to change their behaviour:
  - \_\_tostring Used by tostring() to convert the table to a string
  - \_\_metatable Returned by getmetatable() if it exists, and subsequent to setting this, setmetatable() will raise an error (as this marks the table as protected)
  - \_\_pairs If defined, this is called by pairs() to get an iterator for the table (especially useful for proxy tables, where the actual data is not stored in the table)
  - \_\_ipairs Just like \_\_pairs, but for the ipairs() function
- The behaviour of tables can also be changed with metatables:
  - \_\_index Called when a nonexisting index of the table it accessed. Can be either a metamethod (function) or a table, the latter is useful for using this to implement inheritance. Otherwise, it can also be used to change the default value of an empty table. This metamethod can be bypassed by using the rawget() function.
  - \_\_newindex Called when a new table index is defined. This can be bypassed by using the rawset() function.
- The table access metamethods allow us to write a table that has no data of it's own but simply proxies all access to another table, for example to track access or to block certain kinds of access (eg. read-only)

#### The Environment

- Lua keeps all global variables in a regular table, called the global environment
- This table can be manipulated like any other table
- It is globally accessible by the name \_G
- Can be used to dynamically get the contents of variables, like so: \_G["varname"]
- However, something such as \_G["io.read"] won't work, since io.read is not a variable (only io is, and read is a member of it)
- Global variables do not need declarations
- We can change this behaviour if we like
- Since the global environment is a regular table, we can use metatables to change it's behavior
- In a metamethod, debug.getinfo(2, "S") returns a table whose field what tells whether the function that called it is a main chunk, a regular Lua function or a C function
- In Lua, global variables do not need to be truly global (they can be nonlocal)
- A free name is a name that is not bound to an explicit declaration, that is, it does not occur inside the scope of a local variable with that name
- The Lua compiler translates any free name var to \_ENV.var
- This new \_ENV variable is **not** a global variable
- Lua compiles any chunk in the presence of a predefined upvalue called \_ENV, which is initialized by default with the global environment
- That is, Lua only has local variables, and any global variables are translated to local ones (var to \_ENV.var, where \_ENV is a local variable or upvalue)
- \_ENV is, like \_G, a plain regular (table) variable
- $\bullet\,$  \_ENV will refer to whatever \_ENV variable is visible at that point in the code
- The assignment \_ENV=nil will invalidate any direct access to global variables in the rest of the chunk
- The main use of ENV is to change the environment used by a piece of code
- Again, we can change the behavior of \_ENV with metatables
- The load() function has an optional fourth parameter that gives the value for \_ENV
- Using that, we can limit or change the environment for external code
- We can also use debug.setupvalue() to change the upvalue for a compiled function
- When the function is the result of load() or loadfile(), Lua ensures that it has only one upvalue and that this upvalue is \_ENV

#### Modules and Packages

- A *module* is some code (Lua or C) that can be loaded through require() and that creates and returns a table
- $\bullet\,$  Everything that a module exports is defined inside this table, which works as a name space

- Thus, we can manipulate modules like any other table
- Once a module is loaded, it will be reused by whatever part of the program requires it again
- The first step of the call require "modname" is to check in package.loaded whether the module is already loaded
- If not, it searches for a Lua file with the module name, which would be loaded with loadfile()
- If that doesn't work either, it looks for a C library with the module name
- If it finds a C library, it looks for the function luaopen\_modname()
- Once it got a loader function, this is called with two arguments: the module name and the name of the file where it got the loader
- To find Lua files, Lua uses a couple of templates defined in package.paths
- The same applies the C libraries, but their paths are in packages.cpaths
- Writing a module is simple: create a table with all the functions that should be exported in it and return it
- We can use some \_ENV tricks to automatically build the table
- Lua also supports *submodules*, their names are formed with dots: module.submodule
- To find submodules, Lua translates the name of the submodule into a path, like this: /usr/local/lua/module/submodule.lua
- For C libraries, require() looks for a function called luaopen\_module\_submodule() to load the submodule

## **Object-Oriented Programming**

- A table in Lua is like an object in more than one sense
  - tables have a state
  - tables have an identity (a self) that is independent of their values
  - two objects (tables) with the same value are different objects
  - an object can have different values at different times
  - tables can, like objects, have their own operations
- To implement *methods* (functions that work on objects), a reference to the state of the object is needed
- Lua has special syntax for this:

```
Account = {balance=0} -- array object table
function Account:withdraw(amount)
    -- 'self' is the state of the object
    self.balance = self.balance - amount
end
```

• The new syntax uses the *colon operator* (:), which hides having to pass the state to methods away

- It adds an extra hidden parameter in a method definition and in a method call (eg. Account:withdraw(20) implicitly passes an argument self to the function)
- Classes in Lua can be implemented with tables and some metatables magic
- Because of the way metatables work in Lua, a new() method can create instances of a class and provide an interface to make subclasses:

```
function Account:new(o)
   o = o or {}
   setmetatable(o, self) -- setting metatable
   self.__index = self -- allows subclassing
   return o
end
```

- With this kind of setup, any methods and default values will come from Account (due to the metatable), anything else will be stored in the table
- We can now override class methods in an object
- Also, like this any object acts as a class itself (you can call the new() method on any object of this class), this is how subclassing works:

```
-- standard account object
acc = Account:new{balance = 5}

-- subclass Account
SpecialAccount = Account:new()

-- special account object
sacc = SpecialAccount:new{balance = 10}
```

- Multiple inheritance needs some extra work to set up the metatable correctly
- The way multiple inheritance can be implemented is by defining a metamethod \_\_index which looks for a requested method in all of the parents
- This means that multiple inheritance is slower than normal inheritance
- There are ways of restricting access to object data in Lua, but they are not used often
- One way is to use a proxy class which only allows access to methods but not private data

#### Weak Tables and Finalizers

#### Weak Tables

- Lua does automatic memory management
- Lua automaticallz deletes objects that become garbage, using garbage collection
- Lua has no problems with cycles
- Sometimes the garbage collector needs your help
- Weak tables and finalizers are mechanisms that you can use in Lua to help the garbage collector
- Weak tables allow the collection of Lua objects that are still accessible to the program, while finalizers allow the collection of external objects that are not under control of the garbage collector
- A garbage collector can collect only what it can be sure is garbage
- Any object stored in a global variable is not garbage to Lua, even if it isn't used again
- Weak tables allow you to tell Lua that a reference should not prevent the reclamation of an object
- A weak reference is a reference to an object that is not considered by the garbage collector
- A weak table is a table whose entries are weak
- Tables have strong keys and values by default
- The weakness of a table is given by the field  $\_\_mode$  of it's metatable, which can be k for weak keys, v for weak values or a combination of those
- Only objects can be collected from a weak table
- Like numbers and booleans, strings are not collected from weak tables
- $\bullet$  Weak tables can be used to *memoize* functions
- In Lua 5.2, a table with weak keys and string values is an *ephemeron* table, where the accessibility of a key controls the accessibility of the corresponding value

#### **Finalizers**

- A *finalizer* is a function associated with an object that is called when that object is about to be collected
- Finalizers are implemented through the metamethod \_\_gc
- This metamethod needs to be present when setting the metatable to mark the object for finalization
- When the finalizer runs, it gets the object to be finalized as a parameter, this is called *transient resurrection*
- The finalizer could store the object in a global variable, *permanently* resurrecting it
- Finalizers are run in reverse order that the objects were marked for finalization in
- The finalizer for each object runs exactly once
- Objects with finalizers are collected in two phases:

- 1. The collector detects that they are not reachable and calls their finalizers
- 2. Next time the collector detects that the object is not reachable, it deletes the object

## The Mathematical Library

- Comprises a standard set of mathematical functions
  - trigonometric functions (sin, cos, tan, asin, acos, ...)
  - exponentiation and logarithms (exp, log, log10)
  - rounding functions (floor, ceil)
  - max and min
  - pseudorandom number generation functions (random, randomseed)
- Additionally, it conatains some variables
  - pi, the mathematical constant pi
  - huge, the largest representable number
- All trigonometric functions use radians, but the functions rad and deg can be used to convert between them and degrees
- The random function returns a random real number between 0 and 1 when called without arguments
- When called with one argument, an integer n, it returns a random number between 1 and n inclusive
- The pesudorandom number generator needs to be seeded, this can be done with the current time with math.randomseed(os.time())

## The Bitwise Library

- It is not easy to conciliate bitwise operations with floating-point numbers
- Lua 5.2 offers bitwise operations through a library: the bit32 library
- The bitwise library operates on unsigned 32-bit integers
- All functions will convert numbers to 32-bit integers (meaning that they are in the range 0 to MAX, where MAX is  $2^{32}$ -1)
- It defines the following functions:

```
band binary and
```

bor binary or

bnot binary not (negation)

btest same as binary and, but returns true if the result is nonzero and false otherwise

lshift shift all bits of the number to the left by the specified amount, filling empty spots with zero bits

rshift just like lshift but in the opposite direction

arshift just like rshift, but fills vacant bits on the left with copies of
 the last bit (the signal bit)

1rotate rotate the bits to the left by a given amount

rrotate Rotate the bits to the right by a given amount

extract extract bits from a number

replace replace bits of a number with different ones

• Numbers can be converted to 32-bit integers by passing them as the sole argument to the band or bor functions

#### The Table Library

- Comprises auxiliary functions to manipulate tables as arrays
- Provides functions to insert and remove elements from lists, to sort the elements of an array and to concatenate all string in an array:
  - table.insert Inserts an element in a given position, moving up other elements to open space
  - table.remove Removes (and returns) an element from a given position in an array, moving down other elements to close space
  - table.sort Sorts an array, takes the array and optionally a sorting function, otherwise it uses the comparison operator (<) for sorting. This function can't sort tables, only sequences (arrays)!
  - table.concat Takes a list of strings and returns the result of concatentaing all these strings. It can take an optional seperator string to seperate the strings from the array

## The String Library

- All functions can be found in the string table as well as in the metatable of all strings
- The basic string functions are:
  - string.len Returns the length of a string, equivalent to the length operator (#)
  - string.rep Repeats a string a given amount of times
  - string.lower Uses the current locale setting to turn all characters of a given string into lower-case characters
  - **string.upper** Uses the current locale setting to turn all characters of a given string into upper-case characters
  - string.sub From a given string, return a substring of that starting and ending at given indexes
  - string.char Converts a number to whatever character it stands for in the current locale

string.byte Converts a string into it's internal numeric representation
string.format Format a string using a format string similar to the ones
the C function printf accepts

- The string library offers additional functions for pattern matching, string searching and substitution
- Patterns in Lua are not full regular expressions but they are very similar to them with a few differences
- These are some of the constructs Lua supports:
  - character classes: %a (letters), %c (control characters), %d (digits), %1 (lower-case letters, %u (upper-case letters), %p (punctuation letters), %s (space characters), %w (alpha-numeric characters) and %x (hexadecimal digits)
  - magic characters: pretty much just like in regular expressions, however
     % is used as the escape character (instead of the backslash)
  - character groups are done by enclosing the list of allowed characters in square brackets (this will match any English vovel: [aeiou])
  - groups (called captures here) are enclosed in round brackets, like so: (%u%1+). Pattern matching functions will return all captures individually, and as opposed to regular expressions, there can't be a variable amount of them (eg. they can't be followed by a star or a plus)
  - lazy repetitions can be denoted with a minus, like so: %u[%a%s]-%., the minus means the shortest possible sequence will be matched
- Lua pattern matching facilities are not meant to work with UTF-8 encoded text, while they can be made to work some things will not work as intended, for example the character classes won't work for all characters
- Unicode text can be represented in Lua strings, but the functions that the string library offers don't all work on them: string.reverse, string.byte, string.char, string.upper and string.lower all shouldn't be used on UTF-8 strings

## The I/O Library

Lua offers two different models for file manipulation: the *simple I/O model* and the *complete I/O model*, which are both similar to I/O streams as used in C

#### The Simple I/O Model

- The *simple I/O model* does all its operations on two current files: the standard input (*stdin*) and the standard output (*stdout*)
- By default, *stdin* and *stdout* are connected to the console from which the program is executed

- Operations such as io.write() operate on the standard input and output files
- These current files can be changed with the io.input() and io.output() functions
- There are two main functions to work with the simple model:
  - io.write gets an arbitrary number of string arguments and writes them to the current output file, similar to the print function, but offers more control over the output.
  - io.read reads strings from the current input file, and takes arguments describing what to read:
    - \*a to read the whole file
    - − \*1 to read a single line (without newline)
    - \*L to read a single line (with newline)
    - \*n to read a number, skipping any spaces prior to it or nil when it can't find one
    - num reads a string with up to num characters
- The functions io.input and io.output can be used to change which files are opened for *stdin* and *stdout*

#### The Complete I/O Model

- The *complete I/O model* offers more control and multiple open files with something called *file handles*, which are equivalent to FILE\* streams in C
- $\bullet\,$  Every open file has a  $\it{file\ handle}$  associated with it, which is an object that has methods for manipulating the file
- The io.open() function can be used to open a file and get a handle for it, it takes as arguments the name of the file and in which *mode* to open it: possible are r for reading, w for writing and a for appending and an optional b to open binary files
- Just like other Lua library functions, it returns nil plus an error message and an error number in case of an error
- After having opened a file f, there are read methods (f:read()) and write methods (f:write()) available with the same semantics as those from the simple I/O model
- There are predefined file handles: io.stdin, io.stdout and io.stderr for the three standard C streams
- The complete model and the simple model can be mixed, for example io.input():write() does the same as io.write()
- The functions io.input and io.write yield file handles to *stdin* and *stdout* when called without arguments
- To read big files in Lua it is advisable to use a relatively large buffer size of efficiency
- On Windows systems care must be taken to open files in the correct mode: binary files must be opened in *binary mode* to avoid their contents being changed while reading

- Binary data is usually read with the \*a flag or with a given size of n bytes
- io.tmpfile() returns a handle for a temporary file, open in read/write mode
- For a file handle f, f:flush() executes all pending writes to the file
- The setvbuf method sets the buffering mode of a stream, it can be set to "no" (no buffering), "full" (the file is only written when the buffer is full or it's flushed) or "line" (it is buffered until a newline is output).
- The seek() method can be set with the set(whence, offset) method, the whence parameter is a string that specifies how to interpret the offset: "set" interprets strings from the beginning of the file, "cur" interprets them from the current position, and "end" interprets them relative to the end
- Calling seek() on a file pointer (like f:seek()) returns the current position in the file

## The Operating System Library

- It is defined in the table os
- Includes functions for file manipulation, getting the current date and time, and other facilities related to the operating system
- Because Lua is written in ANSI C, it uses only the functionality that the ANSI standard offers
- $\bullet\,$  For date and time, the os library offers two functions
- The os.time() functions returns the current date and time, coded as a number (usually a UNIX timestamp)
- When called with a table, it returns the number representing the date and time described by the table
- Such a *date table* has the following fields: year, month, day, hour, min, sec, isdst (true if daylight saving is on)
- Note that the result of the os.time() call depends on the system's time zone
- The os.date() function is a kind of a reverse of the os.time() function: it converts the number describing the date and time into some higher-level representation
- It's first parameter is a format string describing the representation we want
- To produce a table, we use the format string \*t, like so: os.date("\*t", 906000490)
- Format strings have a similar syntax to that of string.format(), however there are more possible values
- For timing, the os library offers os.clock(), which returns the number of seconds of CPU time have passed for the program

- Other systems calls that the library supports are leaned on the called available in standard C:
  - os.exit() terminates the execution of the program, taking as parameter the return status of the program, and as second argument whether to close the Lua state (and calling finalizers) or not
  - os.getenv() gets the value of an environment variable, taking as argument the name of the variable and returning it's value
  - os.execute() runs a system command
  - os.setlocale() sets the current locale used by the Lua program
- Care must be taken to set the locale of the Lua program to the standard C locale when creating pieces of code from within Lua

## The Debug Library

- The debug library offers all the primitives needed to build a debugger for Lua
- Should be used sparingly because it lacks performance and it breaks truths about the language
- It offers two kinds of functions:
  - introspective functions, which allow us to inspect several aspects of the running program
  - hooks, which allow us to trace the execution of a program
- An important concept in the debug library is the *stack level*, which refers to a particular function in the calling hierarchy
  - debug.getinfo main introspective function in the debug library, it offers insight into a given function but is quite slow
  - debug.traceback constructs a traceback (a graph of the calling hierarchy) and returns it as a string
  - debug.getlocal allows us to inspect the local variables of any active function as well as the parameters passed to it
  - debug.setlocal like getlocal, but modifies a local variables instead of just returning it
  - debug.getupvalue grants access to the nonlocal variables of a function and does not need the function to be active
  - debug.setupvalue modifies a closure's upvalues (nonlocal variables)
- Introspection functions can also optionally take a coroutine as parameter to allow inspection of their states
- There are four kinds of hooks in the debug library:
  - call events when Lua calls a function

- return events when a function returns
- line events when Lua starts executing a new line of code
- count events after a given number of instructions
- debug.sethook registers a hook with Lua so it will be called when the requested event takes place
- debug.debug starts an interactive debugging console
- The hook mechanism can be used to profile code

## An Overview of the C API

#### About the C API

- Lua is an embedded language
- There are two kinds of interactions between Lua and C code:
  - As a **stand-alone language** that can be extended with native C code (the C code is the library), this makes Lua an *extensible language*
  - As a library to integrate into C projects to extend them (Lua is the library), this makes Lua an extension language
- The difference between the two kinds of interactions is the language which has the control
- The Lua interpreter uses Lua as a library
- The C API is the set of functions that allow C code to interact with Lua, it comprises a set of functions to:
  - read and write Lua global variables
  - call Lua functions
  - run pieces of Lua code
  - register C functions to be callable from within Lua
- The C API follows the *modus operandi* of C, which means that we must care about several inconveniences, including:
  - type checking
  - error recovery
  - memory-allocation errors
- Anything that can be done within Lua can also be done with the C API, however it may be more lengthy (common tasks may involve several API calls)
- $\bullet$  The major component in the communication between Lua and C is an omnipresent virtual stack

#### The Header Files

- The file lua.h defines the basic functions provided by Lua, it includes functions to do the following:
  - create a new Lua environment

- invoke Lua functions (eg. lua\_pcall)
- read and write global variables in the Lua environment
- All functions in lua.h are prefixed with lua\_
- The header fule lauxlib.h defines the functions provided by the auxillary library (auxlib)
- All functions in lauxlib.h are prefixed with luaL\_
- They use the basic functions defined in lua.h to provide a higher abstraction level
- The Lua library keeps all its state in the dynamic structure lua\_State
- New states can be created by the lual\_newstate function
- The standard libraries are not loaded by default, this can be done with lual\_openlibs
- The function lual\_loadstring compiles Lua code, and pushes the resulting function to the stack, returning nonzero on error.

## **Extending Your Application**

- An important use of Lua is as a configuration language
- You can use Lua programs as very flexible configuration files
- You must use the Lua API to parse the file and then get the values of the global setting variables
- Using lua\_pcall to run the files means that errors are easily caught
- With lua getglobal, global variables can be accessed
- Care must be taken to ensure type safety when reading Lua variables from  ${\bf C}$
- Such configuration scripts allow complex configurations, for example varying values depending on environment variables
- This results in more flexible programs
- Complex objects can also be read using lua\_gettable (which takes the
  key to read from the table from the stack) or lua\_getfield (which takes
  the key as parameter)
- For setting a table's fields, the functions lua\_settable and lua\_setfield are available
- New tables can be created on the stack with lua\_newtable

## Calling a Lua function from C

- To call a Lua function from within C, the following steps must be done:
  - 1. push the function to the stack (for example with lua\_getglobal if the function is globally visible)
  - 2. push the arguments to the call
  - 3. use lua\_pcall to do the actual call
- lua\_pcall takes four arguments: the Lua state, the number of arguments passed, the number of return values expected and optionally the stack index of an error-handling function

- lua\_pcall leaves the requested amount of return values on the stack, either trimming them if the function returns too many or adding extra nils
- When multiple results are returned, the first result is pushed first and the last one last, leaving it at the top of the stack
- If there is any error while lua\_pcall is running, it returns one of these error codes to indicate so: LUA\_OK: no error occured

LUA\_ERRRUN a normal error occured

LUA\_ERRMEM a memory allocation error occured

LUA\_ERRERR an error occured while running the error message handler

LUA\_ERRGCMM an error occured while running a garbage-collector metamethod

- Additionally, when an error occurred there will be no function return values on the stack, instead there will be an error message describing what went wrong
- If there was a message handler set when running lua\_pcall, it will be run to create the error message, else the standard message is used

## Calling C from Lua

#### C Functions

- Lua can't call any C function
- When C calls a Lua function, it must follow a simple protocol to pass the arguments and get the results
- Similarly, for Lua to call a C function it must follow a protocol to get its arguments and return its results
- For Lua to call a C function, it must be registered, meaning that Lua needs to get its address
- Each function has its own private stack
- A C function gets its arguments from this stack and pushes the results to it
- All C function registered with Lua need to have this prototype, defined as lua\_CFunction in lua.h:

```
typedef int (*lua_CFunction) (lua_State *L);
```

- Each C function gets a single argument, the Lua state
- Each C function returns an int with the number of values it is returning in the stack

- Registering a C function with Lua can be done with lua\_pushcfunction, which takes a pointer to a C function and pushes a value of type function to the stack
- A C function behaves like any other function in Lua
- When writing C functions, the types of the arguments need to be checked

#### Continuations

- The interlacement of Lua and C code can pose problems when dealing with coroutines: if there is a C function in the call path from a resume to its respective yield, Lua cannot save the state of that C function to restore it in the next resume
- Lua 5.2 improved this situation by introducing continuations
- Continuations allow a function to specify another function that will be called when the original function needs to be resumed
- This is useful for the function lua\_pcall, since it's written in C and there may need to be resumes or yields across it

#### C Modules

- Just like in Lua, C code can define modules
- All that is needed is a function <code>luaopen\_libname</code>, which creates a table with the functions and returns it
- To help create this table, the macro lual\_newlib can take a list of type lual\_Reg, which stores the function names and their pointers