# CS594 Project Proposal

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### 1 Problem Formulation

We consider an agent with state  $s \in \mathcal{S}$  and action  $a \in \mathcal{A}$ . The agent interact with a changing environment. Our goal is to train a control policy  $\pi$  that is adaptive to the changing environment.

However, it is hard to model the entire changing environment and its dynamics. So, we choose some features and pack them into a large vector  $e_t \in \mathcal{E}$ . We call  $e_t$  the explicit environment feature at time t. Note that our policy  $\pi_t$  should also depends on  $e_t$ , for a large  $e_t$ , this will require a very large computational resources. Moreover, in the real application, the feature  $e_t$  is usually unknown to us. To solve the issue, we consider the algorithm given in [1]. The algorithm can be summarized as the following procedure.

• Phase 1: Given  $e_t$ , use policy class as

$$a_t = \pi(s_t, \mu(e_t); \theta_\pi),$$

optimize over  $\mu$ ,  $\theta_{\pi}$  with respect to a state value function  $V^{\pi(\theta_{\pi},\theta_{\phi})}(s_0)$ .

• Phase 2: Replace  $\mu(e)$  with  $\phi(s_{t-H:t}, a_{t-H:t-1}; \theta_{\phi})$ . Find  $\theta_{\phi}$  to minimize

$$\mathbb{E}_e \|\mu(e) - \phi(\ldots; \theta_\phi)\|_2^2.$$

Briefly speaking, in phase 1, we encode  $e_t$  with an encoder  $\mu$  to reduce its dimension. We call  $z_t = \mu(e)$  a latent environment feature. We optimize  $\mu$  and  $\theta_{\pi}$  with respect to a given value function. In phase 2, we applied linear regression with the history gained by phase 1 to train an encoder  $\phi$  that is close to  $\mu$ . However, this  $\phi$  takes history as input to give an latent environment feature  $\hat{z}_t = \phi(s_{t-H:t}, a_{t-H:t-1}; \theta_{\phi})$  that is close to  $z_t$ . We can further abstract thier goal into the following:

• Find the best  $\theta_{\pi}$ ,  $\theta_{\phi}$  for  $\pi(s_t, \phi(s_{t-H:t}, a_{t-H:t-1}; \theta_{\phi}); \theta_{\pi})$ 

$$\underset{\theta_{\pi}, \theta_{\phi}}{\text{maximize}} \quad J(\theta_{\pi}, \theta_{\phi}) \triangleq \mathbb{E}_{e}[V^{\pi(\theta_{\pi}, \theta_{\phi})}(s_{0})].$$

However, why introduce an extra encoder  $\mu$  instead of optimize  $\theta_{\pi}$  and  $\theta_{\phi}$  from history directly? Will an extra encoder boosts or stabilizes the training procedure? This is the question we are interested.

## 2 Toy Case Experiment

The first thing we need to do is to build a toy case which we can test these two different setting.

#### 2.1 Two encoder

#### 2.1.1 Phase 1

$$x_{t+1} = A(e)x_t + B(e)u_t, \quad e \in \mathcal{E},$$

where

$$\mathcal{E} = \{e_1, e_2, \dots, e_N\}.$$

We define A(e) as

$$A(e) = \begin{pmatrix} e_1^2 + \dots + = a_{11}(e) & \dots \\ \dots & \dots \end{pmatrix}.$$

The cost function is defined as

$$\sum (x_t^{\top} Q x_t + u_t^{\top} R u_t).$$

The  $\mu$  and  $\pi$  is linear parameterized.

#### 2.1.2 Phase 2

Given  $(x_1, x_2, \ldots, x_H)$ 

$$J(A,B) \triangleq \sum \|x_t - \hat{x}_t\|_2^2$$

where

$$\hat{x}_{t+1} = A\hat{x}_t + Bu_t$$

$$(A^\star,B^\star) = \operatornamewithlimits{argmin}_{A,B} J(A,B)$$

### 2.2 Only 1 encoder

Same problem formulation with  $\phi$  and  $\pi$  be linear parameterized.

### **Bibliography**

[1] Ashish Kumar, Zipeng Fu, Deepak Pathak, and Jitendra Malik. RMA: Rapid Motor Adaptation for Legged Robots. jul 2021.