

# Spatial Filtering

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- BACKGROUND
- SMOOTHING FILTERS
- SHARPENING FILTERS

# Image Processing Methods in Spatial Domain

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Spatial domain refers to the image plane itself.

Image processing methods in spatial domain may be divided into 2 main categories

## 1. Point operations/Intensity transformation

- operate on *single pixels* of an image
- principally for the purpose of contrast manipulation and image thresholding

## 2. Spatial filtering

- process the pixel in a small *neighborhood of pixels* around the given pixel
- deals with performing operations, such as image sharpening

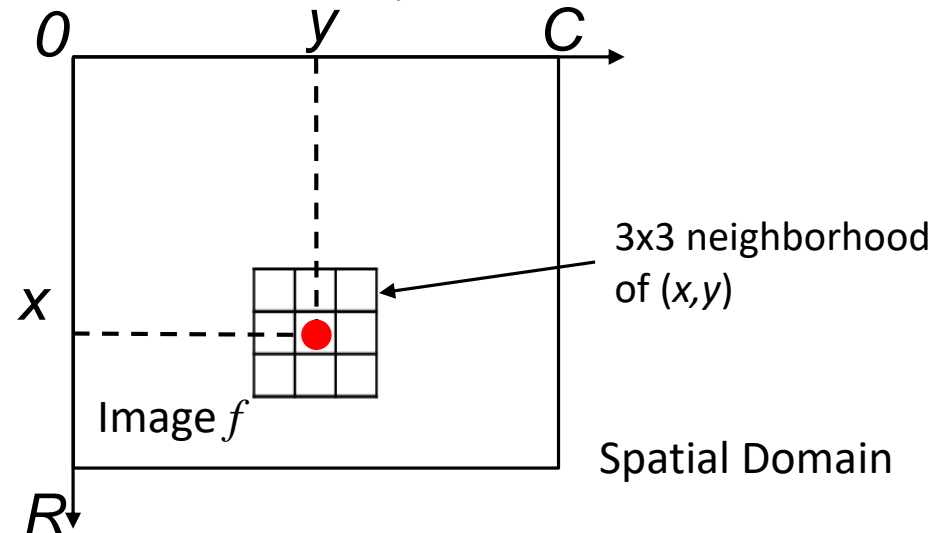
# Image Processing Methods in Spatial Domain

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The spatial domain processes can be denoted by the expression,

$$g(x, y) = T[f(x, y)]$$

Where  $f(x, y)$  is the input image,  $g(x, y)$  is the output image and  $T$  is the operator on  $f$  defined over the  $(x, y)$  or a neighbourhood of point  $(x, y)$ .



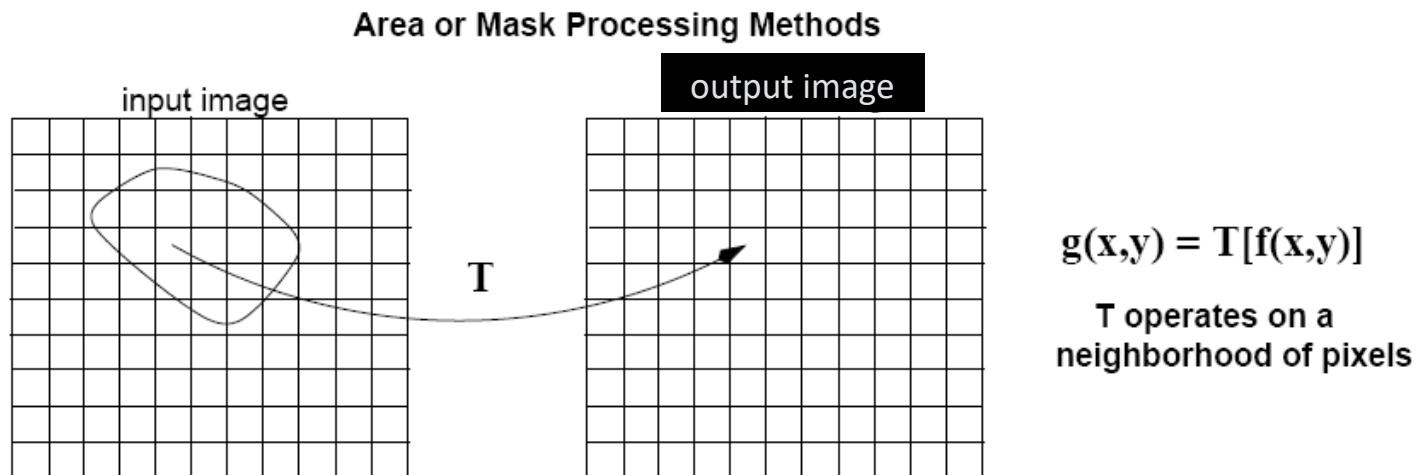
# Spatial Filtering

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Spatial filtering consists of:

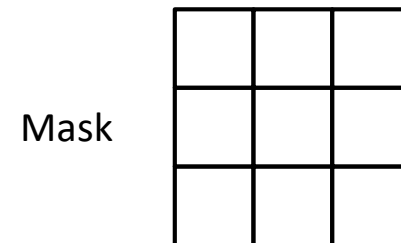
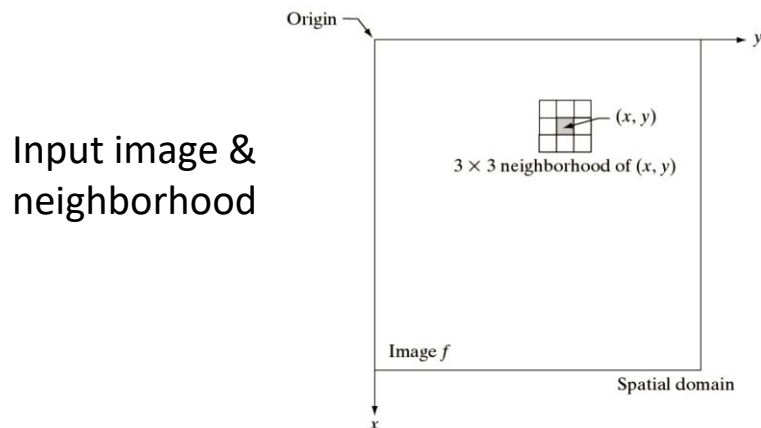
- (1) A local neighborhood in the input image (eg. a small square)
- (2) A predefined operation ( $T$ ) that is performed on the pixels inside the neighborhood.

The predefined operations ( $T$ ) is embodied in a *sub image* with the same dimensions as the neighborhood.



# Spatial Filtering

- Typically, the neighborhood and sub-image are square and their size are much smaller than the image.
  - e.g., 3x3 or 5x5 pixels
  - The sub image is called a *mask*, *filter* or *kernel*.
  - The values in the mask are referred to as *coefficients* or *weights*, rather than pixels.
- The filtering is performed by shifting the mask over the whole image so that the center of the mask visits each pixel in the input image.

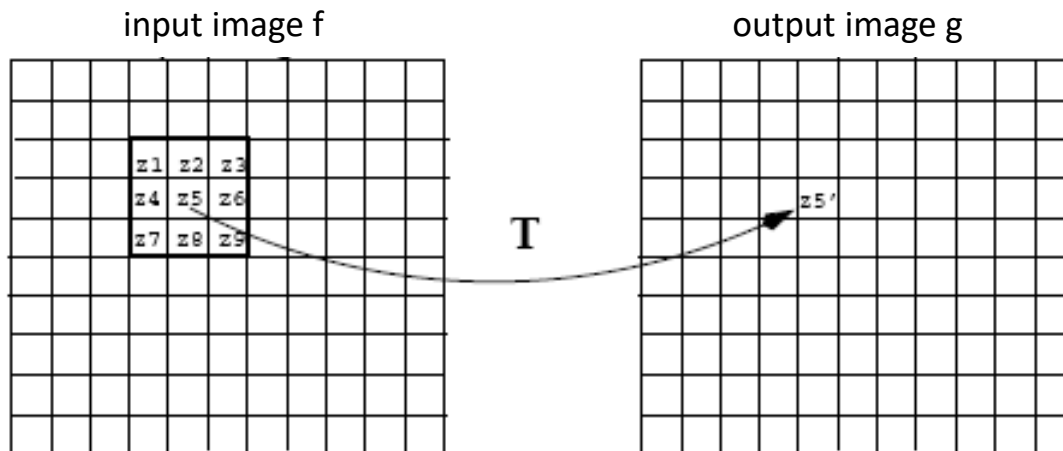


# Spatial Filtering

**Example:** apply a mask of weights

w1	w2	w3
w4	w5	w6
w7	w8	w9

## Area or Mask Processing Methods

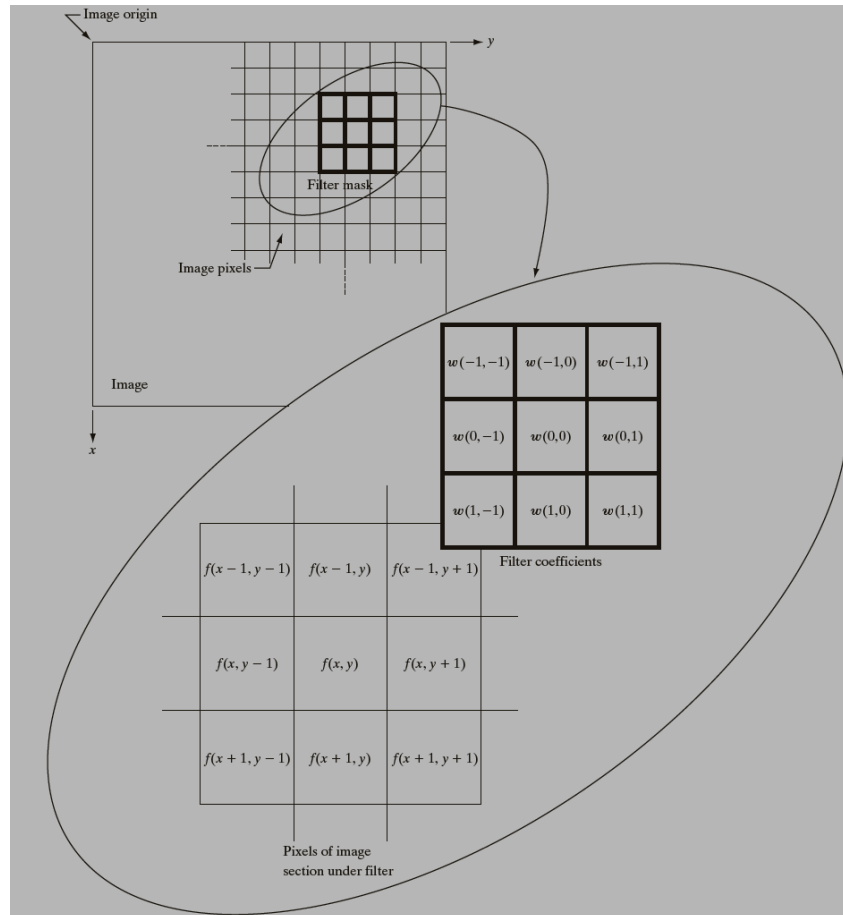


$$g(x,y) = T[f(x,y)]$$

$T$  operates on a neighborhood of pixels

$$z5' = R = w1 * z1 + w2 * z2 + \dots + w9 * z9$$

# Spatial Filtering



Assume the origin of the mask is the center of the mask.

A filtered image is generated as the centre of the mask moves to every pixel in the input image.

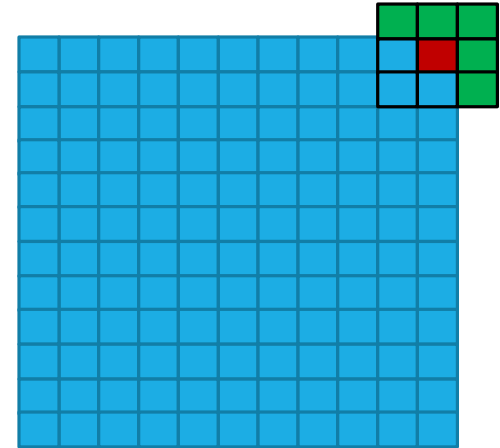
# Border problem

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The values of pixels outside the image but involved in the filtering process need to be estimated.

## Solutions

1. change mask size along the border
2. enlarge the image
  - Fill with zeros
  - Periodic extension of the image
  - Mirroring the borders.



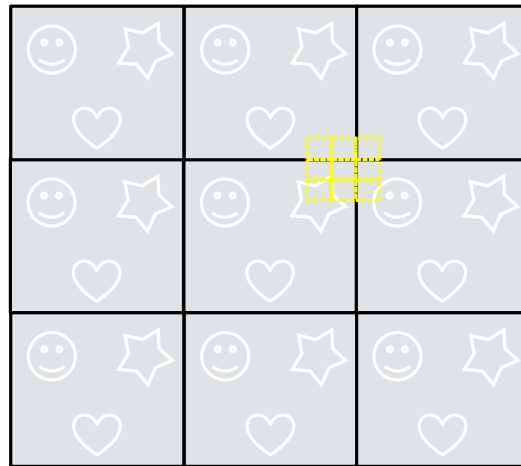


# Border problem

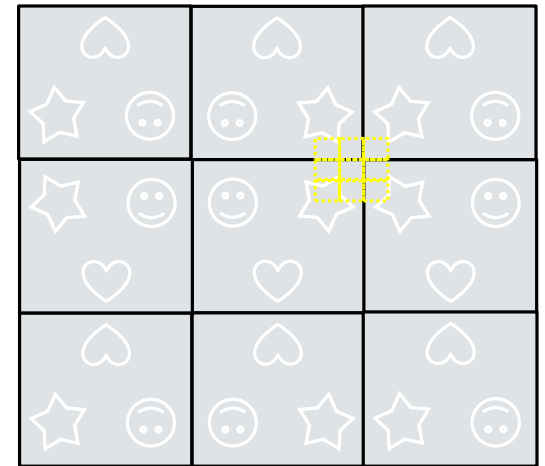
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Fill with zeros



Periodic extension



Mirroring

# Linear vs Non-Linear Spatial Filtering

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A filtering method is **linear** when the output is a weighted sum of the input pixels.

w1	w2	w3
w4	w5	w6
w7	w8	w9

$$z5' = R = w1 * z1 + w2 * z2 + \dots + w9 * z9$$

Methods that do not satisfy the above property are called **non-linear**.

- e.g.

$$z5' = \max(z_k, k=1, 2, \dots, 9)$$

# Linear Spatial Filtering Methods

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Two main linear spatial filtering methods:

- **Correlation**
  - The process of moving a filter over the image and computing the *sum of products* at each location.
  - Correlation is a function of displacement of the filter.
- **Convolution**
  - The same process except that the filter is firstly rotated by 180 degree.

# Correlation

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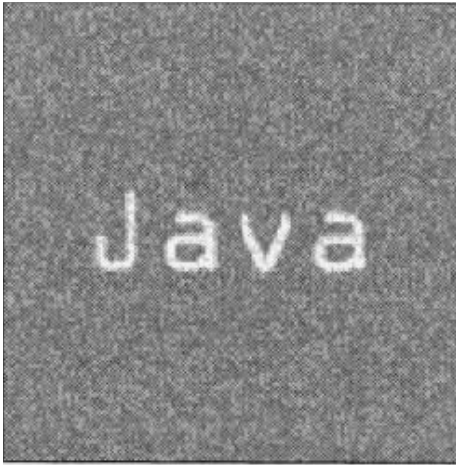
The correlation of a filter  $w(x, y)$  of size  $m \times n$  with an image  $f(x, y)$ , denoted by  $w(x, y) \bullet f(x, y)$

$$w(x, y) \bullet f(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) \times f(x + s, y + t)$$

Where  $a = (m - 1)/2$ ,  $b = (n - 1)/2$ , and  $m$  and  $n$  are odd integers.

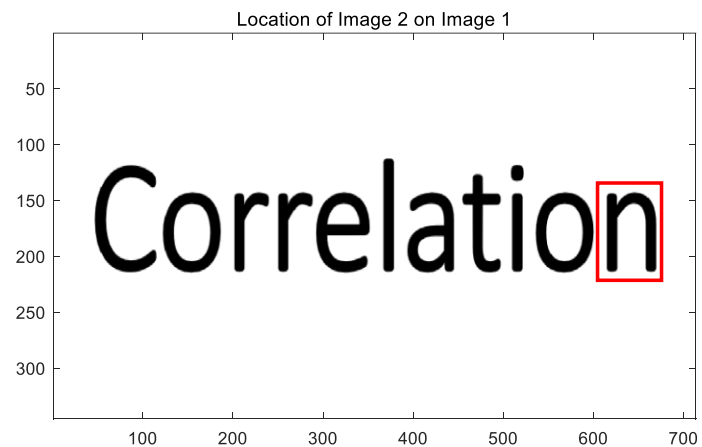
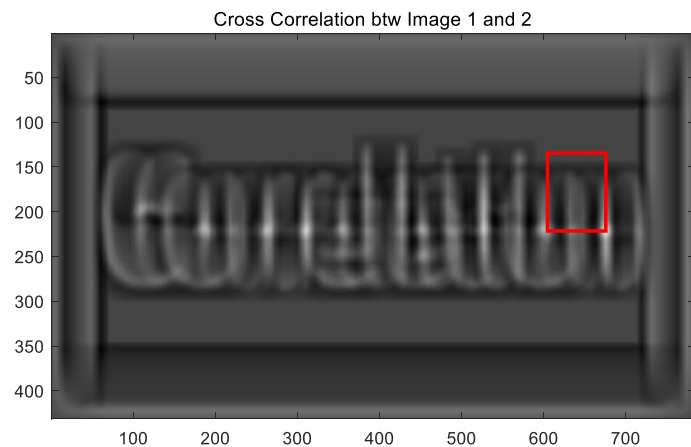
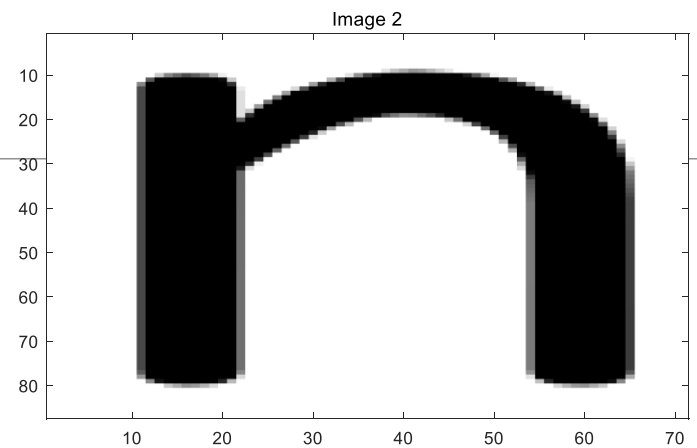
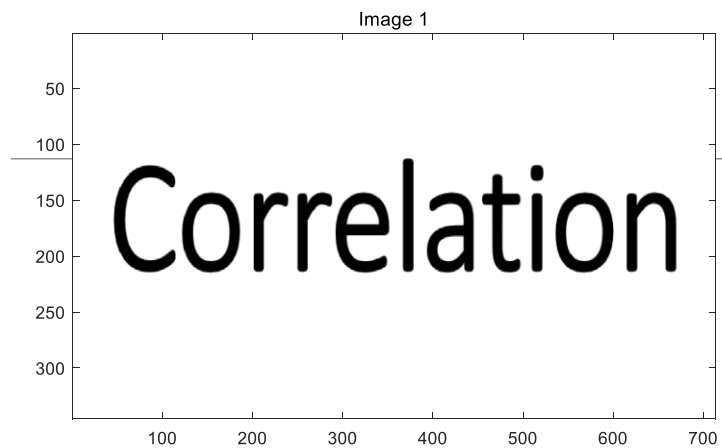
# Correlation

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Often used in applications where we need to measure the similarity between images or parts of images (e.g., pattern matching).





# Convolution

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The convolution of a filter  $w(x, y)$  of size  $m \times n$  with an image  $f(x, y)$ , denoted by  $w(x, y) \circ f(x, y)$

Rotate  $f$  by  
180 degree

$$w(x, y) \circ f(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) \times f(x - s, y - t)$$

## Note:

1. For notational simplicity,  $f$  is **flipped** both horizontally and vertically instead of  $w$ .
2. If  $w(x, y)$  is symmetric, that is  $w(x, y) = w(-x, -y)$ , then convolution is equivalent to correlation!

# Convolution-2D illustration

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$f$

0	0	0	0	0
0	0	0	0	0
0	0	1	0	0
0	0	0	0	0
0	0	0	0	0

$w$  after flipping

1	2	3
4	5	6
7	8	9

\*Mask is flipped vertically and horizontally, and the origin of the mask is the center of the mask by default.



# Convolution-2D illustration

Initial position of  $w$

1	2	3				
4	5	6	0	0	0	
7	8	9	0	0	0	
	0	0	1	0	0	
	0	0	0	1	2	3
	0	0	0	4	5	6
				7	8	9

finish position of  $w$

# Convolution-2D illustration

Filling the zeros

1	2	3	0	0	0	0
4	5	6	0	0	0	0
7	8	9	0	0	0	0
0	0	0	1	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0					

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 0*7 + 0*8 + 0*9 = 0$$

# Convolution-2D illustration

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0	1	2	3	0	0	0
0	4	5	6	0	0	0
0	7	8	9	0	0	0
0	0	0	1	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0	0				

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 0*7 + 0*8 + 0*9 = 0$$

# Convolution-2D illustration

---

0	0	1	2	3	0	0
0	0	4	5	6	0	0
0	0	7	8	9	0	0
0	0	0	1	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0	0	0			

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 0*7 + 0*8 + 0*9 = 0$$

# Convolution-2D illustration

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0	0	1	2	3	0	0
0	0	4	5	6	0	0
0	0	7	8	9	0	0
0	0	0	1	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0	0	0			

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 0*7 + 0*8 + 0*9 = 0$$

# Convolution-2D illustration

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0	0	0	0	0	0	0
1	2	3	0	0	0	0
4	5	6	0	0	0	0
7	8	9	1	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0	0	0	0	0	
	0					

$$0*1+ 0*2+ 0*3 +0*4+0*5+0*6+0*7+0*8+0*9=0$$

# Convolution-2D illustration

---

0	0	0	0	0	0	0
0	1	2	3	0	0	0
0	4	5	6	0	0	0
0	7	8	9	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0	0	0	0	0	
	0	9				

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 0*7 + 0*8 + 1*9 = 9$$

# Convolution-2D illustration

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0	0	0	0	0	0	0
0	0	1	2	3	0	0
0	0	4	5	6	0	0
0	0	7	8	9	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0	0	0	0	0	
	0	9	8			

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 0*7 + 1*8 + 0*9 = 8$$



# Convolution-2D illustration

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0	0	0	0	0	0	0
0	0	0	1	2	3	0
0	0	0	4	5	6	0
0	0	0	7	8	9	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0	0	0	0	0	
	0	9	8	7		

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 1*7 + 0*8 + 0*9 = 7$$

# Convolution-2D illustration

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0	0	0	0	0	0	0
0	0	0	0	1	2	3
0	0	0	0	4	5	6
0	0	0	1	7	8	9
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

The convolution

	0	0	0	0	0	
	0	9	8	7	0	

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 0*7 + 0*8 + 1*9 = 9$$

# Convolution-2D illustration

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0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	1	0	0	0
0	0	0	0	1	2	3
0	0	0	0	4	5	6
0	0	0	0	7	8	9

The convolution

	0	0	0	0	0	
	0	9	8	7	0	
	0	6	5	4	0	
	0	3	2	1	0	
	0	0	0	0	0	

$$0*1 + 0*2 + 0*3 + 0*4 + 0*5 + 0*6 + 0*7 + 0*8 + 0*9 = 0$$

# Convolution-2D illustration

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The cropped convolution

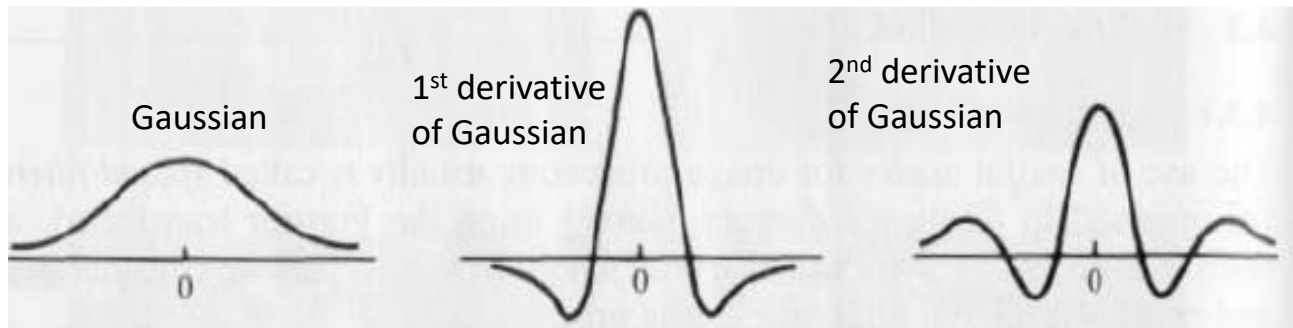
0	0	0	0	0
0	9	8	7	0
0	6	5	4	0
0	3	2	1	0
0	0	0	0	0

# How to choose the weights of a mask?

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Typically, by sampling certain functions:

w1	w2	w3
w4	w5	w6
w7	w8	w9

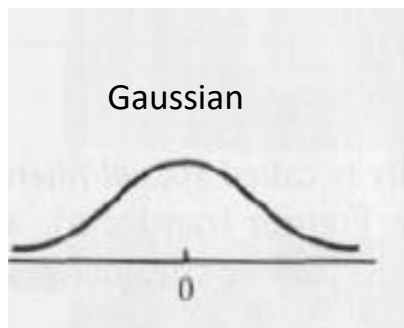


# Smoothing Filters (Low-pass)

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## Smoothing (i.e., low-pass filters)

- Filter-out the high frequencies.
- For blurring and noise reduction. e.g. removing small details prior to object extraction and bridging of small gaps in lines or curves.
- The weights of the mask must be non-negative.
- Sum of weights of the mask is 1.



## Smoothing Filters: Average filter

- Average filter is also called box filter.
- All weights are the same, which equals to  $\frac{1}{m \times n}$ , where m and n are the row number and column number of the filter.
- Normalization is needed to conserve the total energy of the image. (the sum of all intensity levels)

$$\frac{1}{9} \times \begin{array}{|c|c|c|} \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline \end{array}$$

$$\frac{1}{25} \times \begin{array}{|c|c|c|c|c|} \hline 1 & 1 & 1 & 1 & 1 \\ \hline 1 & 1 & 1 & 1 & 1 \\ \hline 1 & 1 & 1 & 1 & 1 \\ \hline 1 & 1 & 1 & 1 & 1 \\ \hline 1 & 1 & 1 & 1 & 1 \\ \hline \end{array}$$

[illegible]

# Smoothing Filters: Average filter

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Mask size determines the degree of smoothing and loss of details.

original



3x3



5x5



7x7



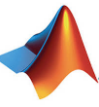
15x15



25x25



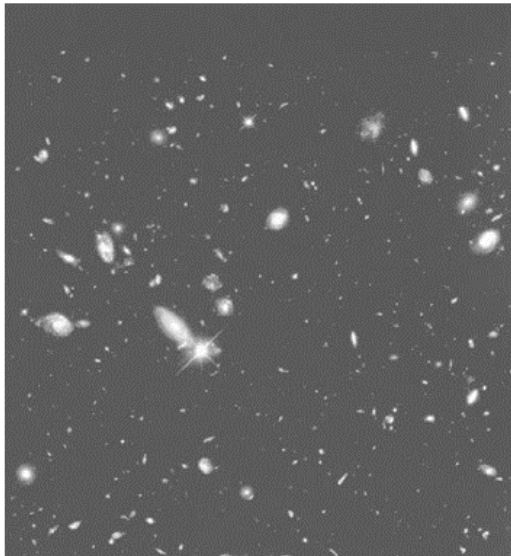




# Smoothing Filters: Average filter

**Example:** extract largest and brightest objects.

original



15 x 15 Average

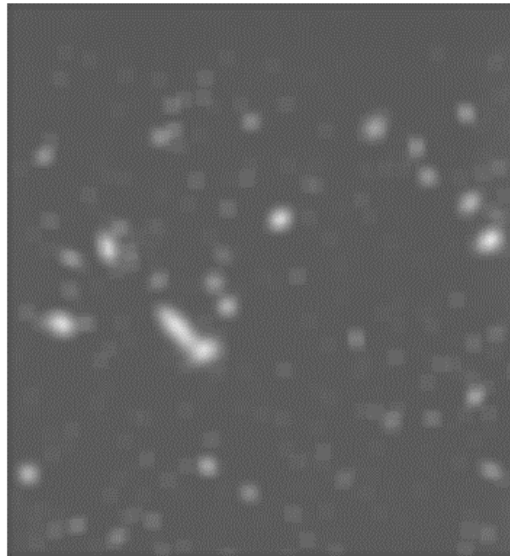
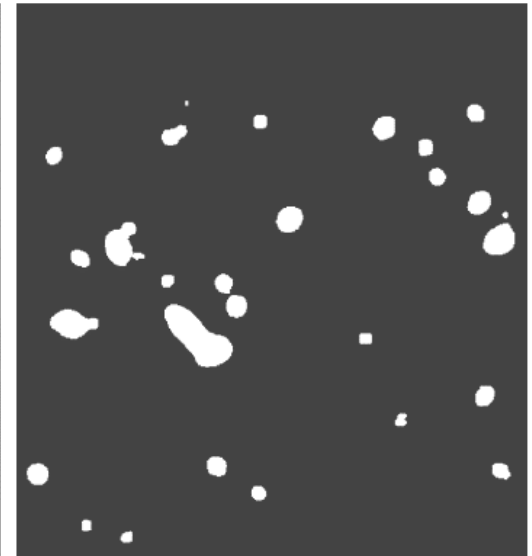


image thresholding



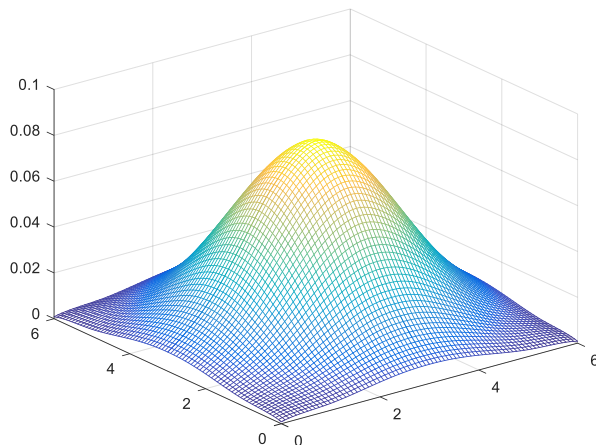
# Smoothing filters: Gaussian filter

The weights are samples of the Gaussian function

$$G_{\sigma} = \frac{1}{2\pi\sigma^2} \exp^{-\frac{x^2+y^2}{2\sigma^2}}$$

mask size (height or width)  $\geq 5\sigma$  (subtends 98.76% of the area.)

e.g.  $\sigma = 1.4$ , mask size=7×7



7×7 Gaussian mask  
(before normalization)

1	1	2	2	2	1	1
2	1	2	2	4	2	2
3	2	2	4	8	4	2
4	2	4	8	16	8	4
5	1	2	4	8	4	2
6	1	2	2	4	2	1
7	1	1	2	2	2	1
1	2	3	4	5	6	7

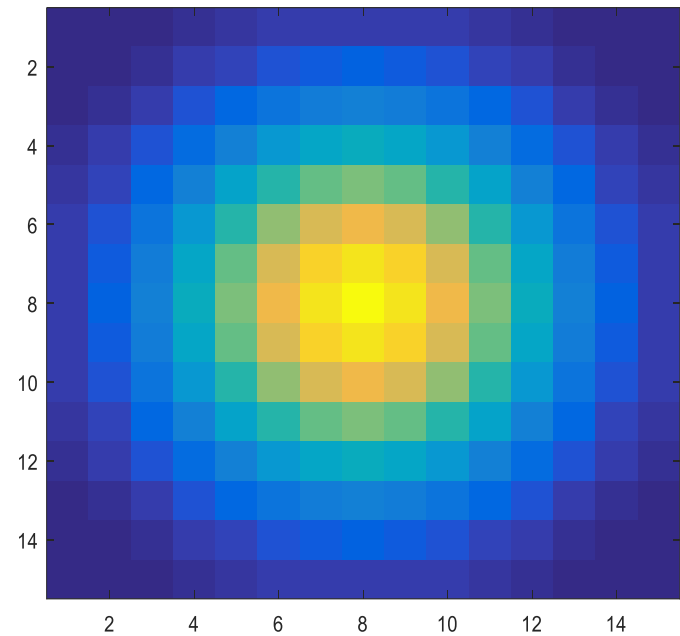
# Smoothing filters: Gaussian filter

- As  $\sigma$  increases, more samples must be obtained to represent the Gaussian function accurately.
- $\sigma$  controls the amount of smoothing.

$\sigma = 3$

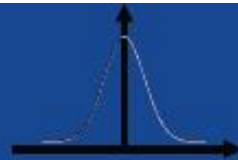
Mask size=15×15

2	2	3	4	5	5	6	6	6	5	5	4	3	2	2
2	3	4	5	7	7	8	8	8	7	7	5	4	3	2
3	4	6	7	9	10	10	11	10	10	9	7	6	4	3
4	5	7	9	10	12	13	13	13	12	10	9	7	5	4
5	7	9	11	13	14	15	16	15	14	13	11	9	7	5
5	7	10	12	14	16	17	18	17	16	14	12	10	7	5
6	8	10	13	15	17	19	19	19	17	15	13	10	8	6
6	8	11	13	16	18	19	20	19	18	16	13	11	8	6
6	8	10	13	15	17	19	19	19	17	15	13	10	8	6
5	7	10	12	14	16	17	18	17	16	14	12	10	7	5
5	7	9	11	13	14	15	16	15	14	13	11	9	7	5
4	5	7	9	10	12	13	13	13	12	10	9	7	5	4
3	4	6	7	9	10	10	11	10	10	9	7	6	4	3
2	3	4	5	7	7	8	8	8	7	7	5	4	3	2
2	2	3	4	5	5	6	6	6	5	5	4	3	2	2



# Smoothing filters: Gaussian filter

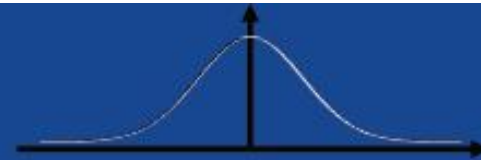
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small  $\sigma$



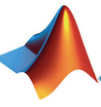
limited smoothing



large  $\sigma$



strong smoothing



# Average filter vs Gaussian filter



Average



Gaussian

# Smoothing Filters: Median filter

- Non-linear filter
- The response is based on ordering (ranking) the pixels contained in the image area encompassed by the filter.
- Very effective for removing “salt and pepper” noise (i.e., random occurrences of black and white pixels).

WHY?

Image

Image with noise

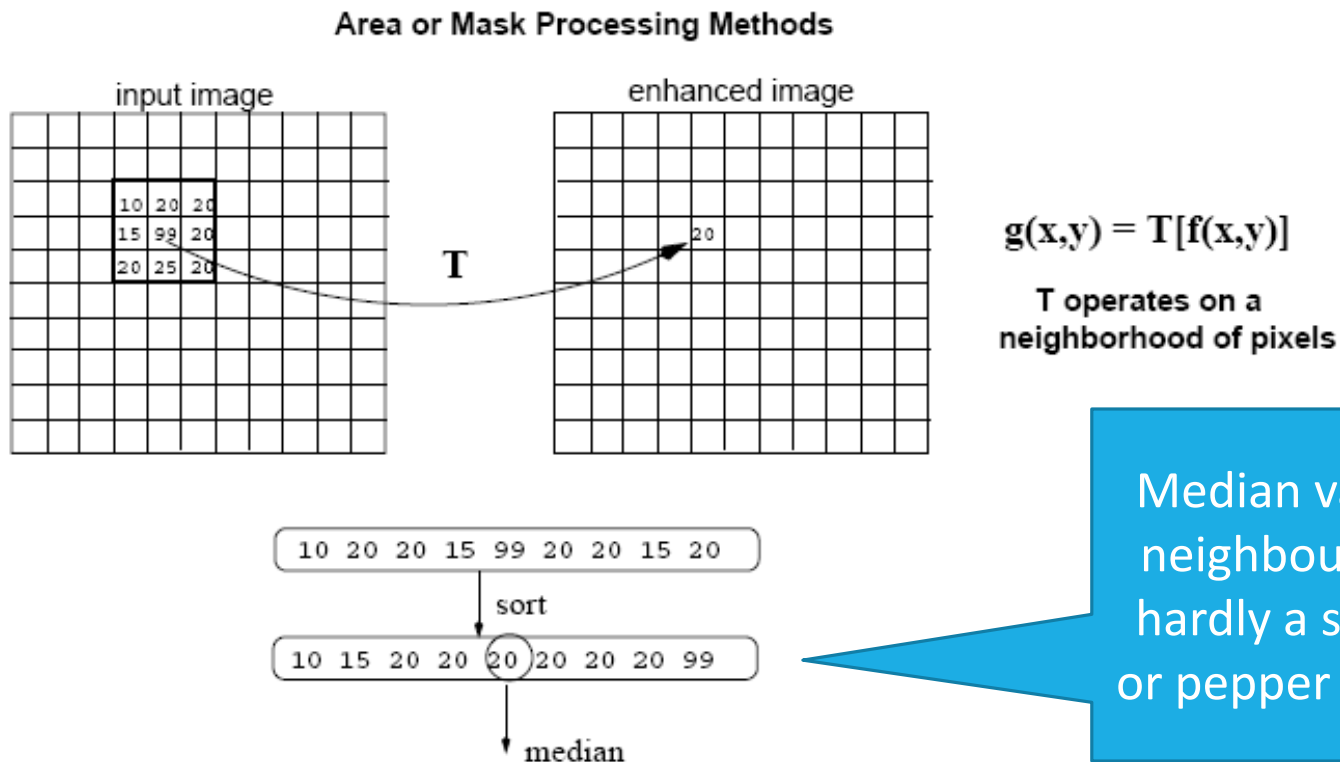
Average

Median filter

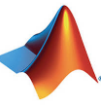


# Smoothing Filters: Median filter

Replace each pixel by the median in a neighborhood around the pixel.

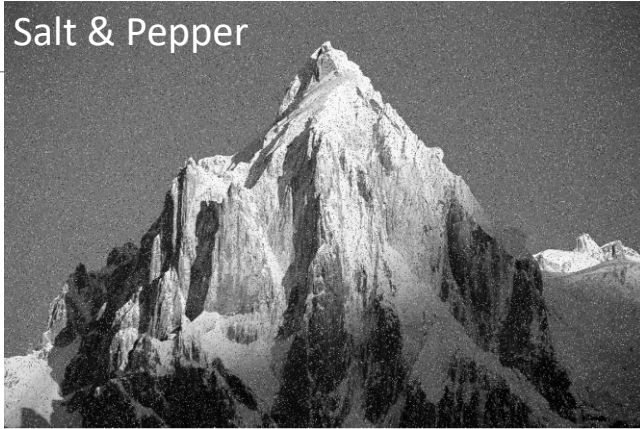




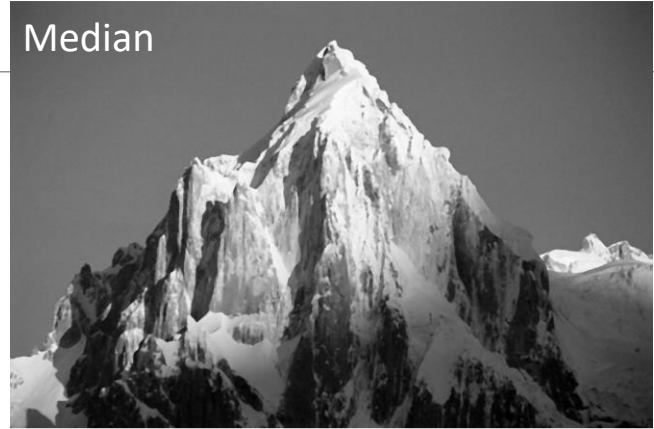


# Comparison

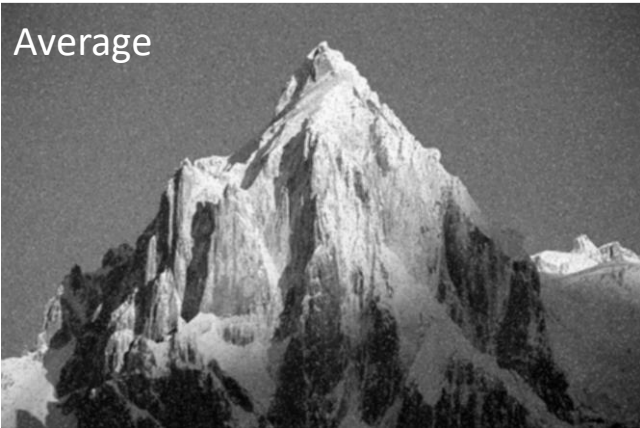
Salt & Pepper



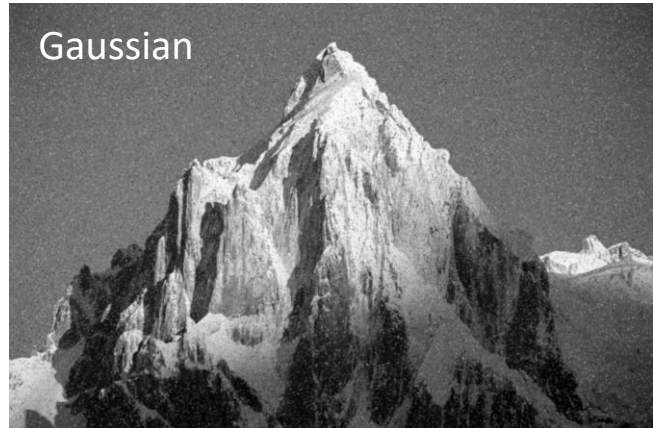
Median



Average



Gaussian





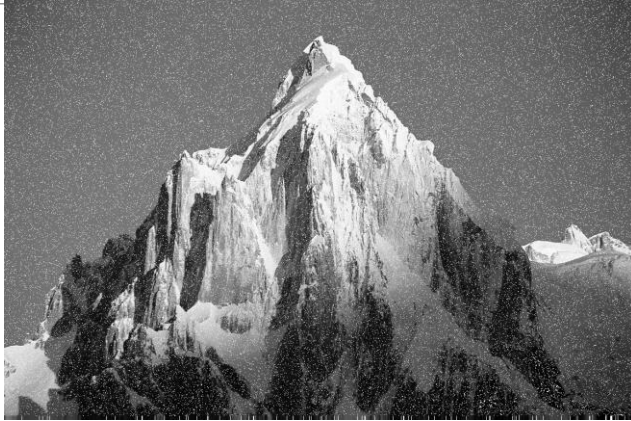
# Smoothing Filters: Max filter, Min filter

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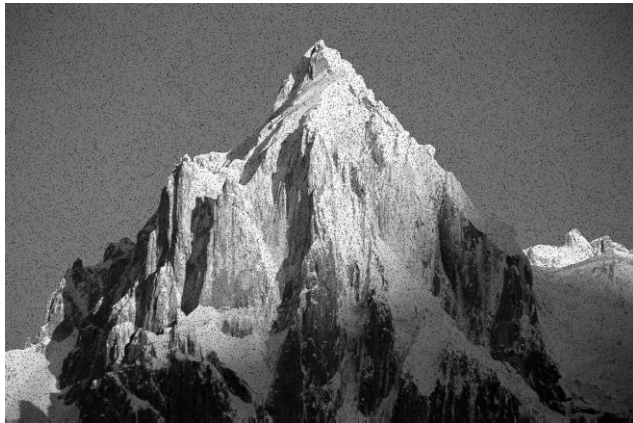
- A max filter or a min filter work similarly as a median filter.
- Non-linear filters
- Max filter
  - takes the max value of a neighbourhood.
  - for images with pepper (0) noise.
- Min filter
  - takes the min value of a neighbourhood.
  - for images with salt (255) noise.

# Comparison

**Original**



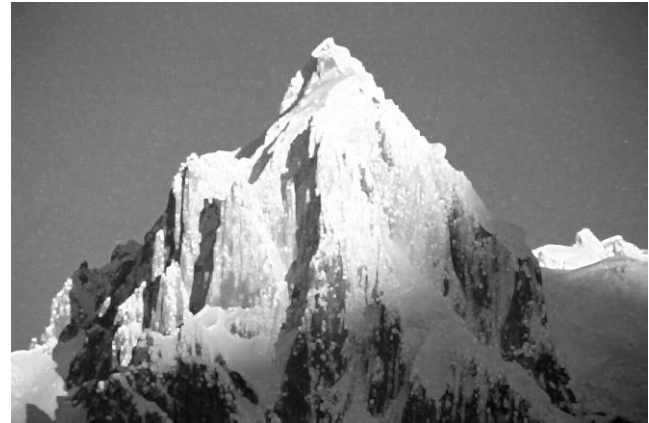
**Original**

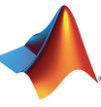


**after min filtering**



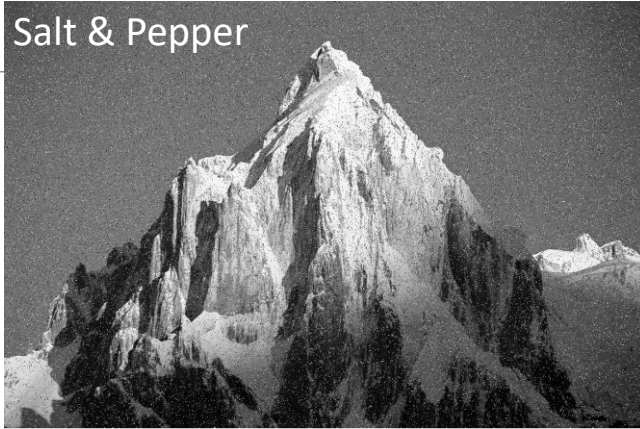
**after max filtering**



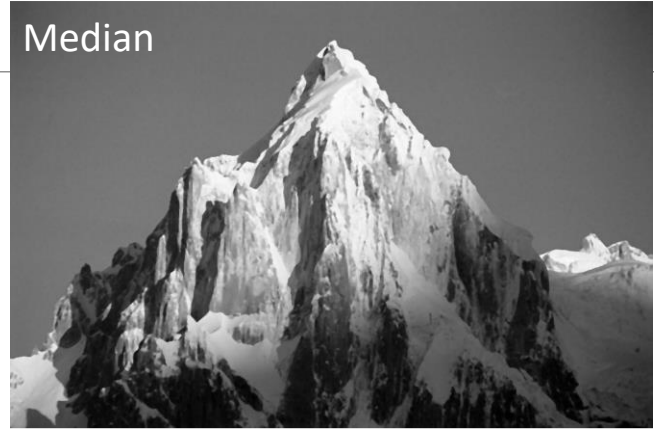


# Comparison

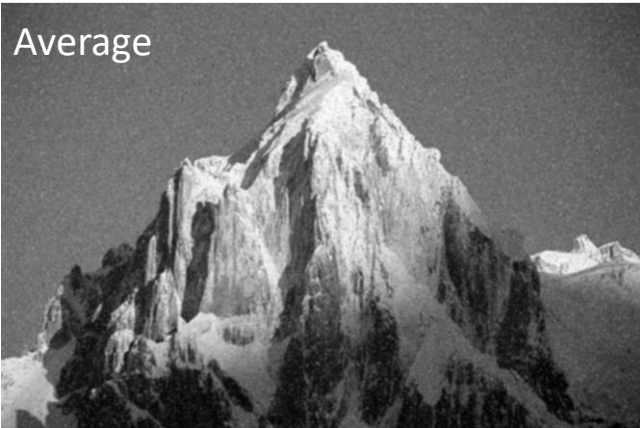
Salt & Pepper



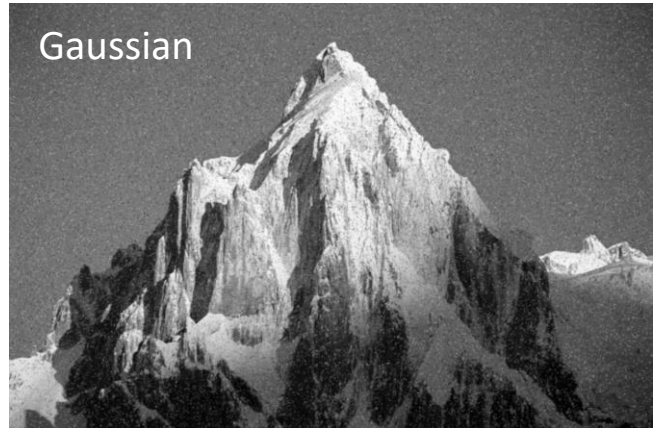
Median



Average



Gaussian

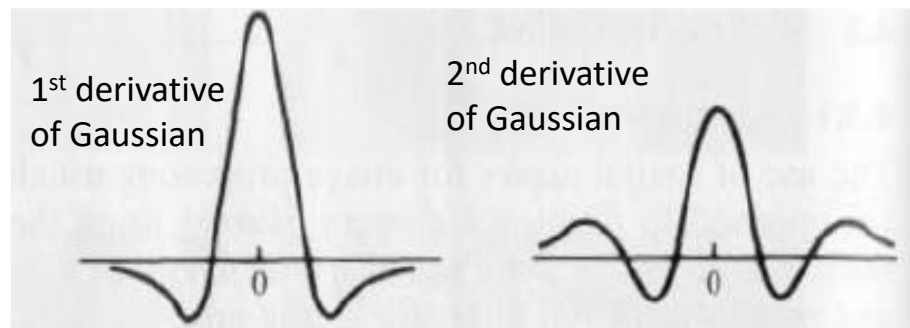


## 2. Sharpening Filters

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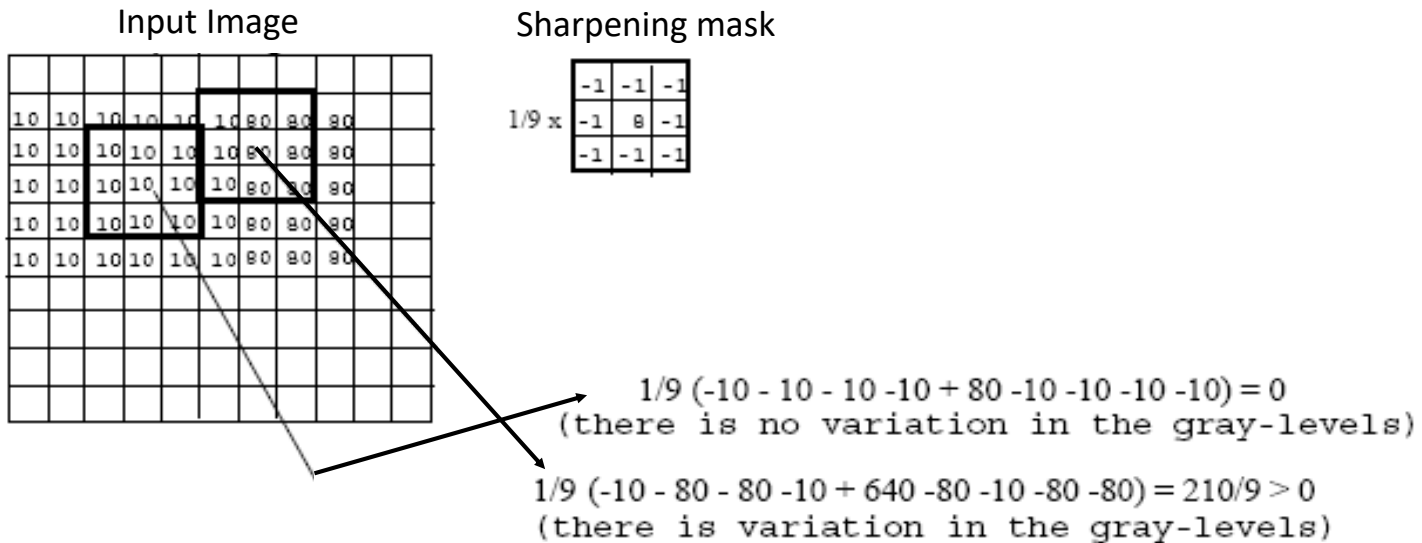
### Sharpening

- Highlights the fine details or enhance details that have been blurred.
- The weights of the mask contain both positive and negative values.
- Sum of weights of the mask is 0.



# Sharpening Filters

Useful for emphasizing transitions in image intensity (e.g., edges).



# Sharpening Filters: Unsharp Masking

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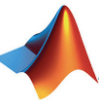
Steps:

1. blur the original image by a low-pass filter.
2. subtract the blurred image from the original (the difference is the Mask).

$$Mask_{UM} = Original - Lowpass$$


3. add the mask to the original.

$$Enhanced\ image_{UM} = Original + Mask_{UM}$$

# Sharpening Filters: Unsharp Masking

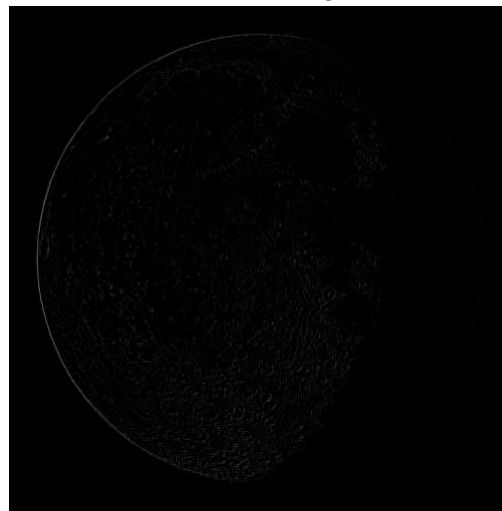
Note that the response of high-pass filtering might be negative.

Values must be re-mapped to  $[0, 255]$

*Original*



*Mask<sub>UM</sub>*



*Enhanced image<sub>UM</sub>*





# Sharpening Filters: High boost

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Image sharpening emphasizes edges but details (i.e., low frequency components) might be lost.

**High boost filter:** amplify input image, then subtract a lowpass image.

$$\mathbf{Mask}_{HB} = A * \mathbf{Original} - \mathbf{Lowpass}$$

$$= (A-1) * \mathbf{Original} + (\mathbf{Original} - \mathbf{Lowpass})$$

$$= (A-1) * \mathbf{Original} + \mathbf{Mask}_{UM}$$


$$\text{TEXT} = (A-1) * \text{TEXT} + \text{TEXT}$$



# Sharpening Filters: High boost

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If **A=1**, we have unsharp masking.

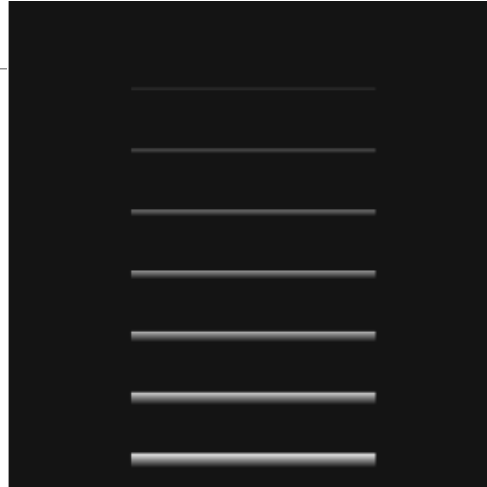
If **A>1**, part of the original image is added back to the high pass filtered image.

If **A<1**, the contribution of the unsharp mask is de-emphasized.

$$W_{\text{highboost}} = \begin{array}{|c|c|c|} \hline -1 & -1 & -1 \\ \hline -1 & 9A-1 & -1 \\ \hline -1 & -1 & -1 \\ \hline \end{array} \times \frac{1}{9}$$

# Sharpening Filters: High boost

A=1.9



A=1.4



# Summary

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- Basics on spatial filtering
  - The filtering process
  - Border problem
  - Convolution and correlation
- Smoothing filter
  - Average filter
  - Gaussian filter
  - Median filter
- Sharpening filter
  - Unsharp masking filter
  - High-boost filter

# Q&A

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