

# Arrays (chapter 2)

- General array ADT
- Example data types represented by arrays:
  - Polynomial
  - Sparse matrix
- What to learn from the examples:
  - Complexity analysis on real algorithms
  - How representation affects complexity
  - How to improve algorithm performance by reducing redundant operations

# Array ADT

- An array is a set of pairs (correspondences) of the form:  
**( index → value )**
- An index can contain one value (one-dimensional array) or several values in a particular order (multi-dimensional array)
  - Example 1-D indices: 0, 1, 2, ...
  - Example 2-D indices: (0,0), (0,1), (0,2), (1,0), ...

# GeneralArray Class

```
class GeneralArray {  
    /* objects: A set of pairs < index, value> where for each value of index in  
       IndexSet there is a value of type float. IndexSet is a finite ordered set of one  
       or more dimensions,.for example, {0, ..., n-1} for one dimension, {(0, 0), (0,  
       1), (0, 2),(1, 0), (1, 1), (1, 2), (2, 0), (2, 1), (2, 2)} for two dimensions, etc. */  
    public:  
        GeneralArray(int j; RangeList list, float initValue = defatultValue);  
        /* The constructor GeneralArray creates a j dimensional array of floats; the  
        range of the kth dimension is given by the kth element of list. For each index  
        i in the index set, insert <i, initValue> into the array. */  
        float Retrieve(index i);  
        /* if (i is in the index set of the array) return the float associated with i in the  
        array; else signal an error */  
        void Store(index i, float x);  
        /* if (i is in the index set of the array) delete any pair of the form <i, y>  
        present in the array and insert the new pair <i, x>; else signal an error. */  
        ... /* additional operations */  
}; // end of GeneralArray
```

# GeneralArray vs. C Array

What additional features can we have in *GeneralArray* beyond the standard C array?

- Index range checking
- Flexible index set (indices do not have to be consecutive integers starting from zero)
- Re-sizing
- Assignment operator
- ...

# Arrays, Representations

- The *GeneralArray* ADT does not specify a representation.
  - When we mention arrays, we assume that the array elements are stored sequentially, as in C/C++.
- We often use a (*more basic*) data type as the representation of a (*more advanced*) data type.
  - Example: We will use arrays as the representation for *ordered lists*, *polynomials*, and *matrices*.

# Ordered List

- **Ordered list:** A set of items in a particular order
- Examples of ordered lists:
  - (MONDAY, TUESDAY, WEDNESDAY, THURSDAY, FRIDAY, SATURDAY, SUNDAY)
  - (Ace, 2, 3, 4, 5, 6, 7, 8, 9, 10, Jack, Queen, King)
  - (1941, 1942, 1943, 1944, 1945)
  - ()
  - ...

# Operations of Ordered Lists

- (1) Find the length,  $n$ , of the list.
- (2) Read the items from sequentially.
- (3) Retrieve the  $i^{\text{th}}$  element.
- (4) Store a new value at the  $i^{\text{th}}$  position.
- (5) Insert a new element at the position  $i$ , causing elements numbered  $i, i+1, \dots, n$  to become numbered  $i+1, i+2, \dots, n+1$ .
- (6) Delete the element at position  $i$ , causing elements numbered  $i+1, \dots, n$  to become numbered  $i, i+1, \dots, n-1$ .

Is array (sequential mapping) a good representation here?

Good for operations:

Bad for operations:

Try to estimate the complexities of these operations.

# Polynomials

Next, we will deal with polynomials as a special type of ordered lists: Each item is a pair  $\langle e_i, a_i \rangle$ .

$$p(x) = a_1 x^{e_1} + \dots + a_n x^{e_n}$$



# Polynomials

```
class Polynomial {  
  // objects: a set of ordered pairs of  $\langle e_i, a_i \rangle$   
  // where  $a_i$  is a non-zero coefficient  
  // and  $e_i$  is a non-negative integer exponent  
  public:  
    Polynomial();  
    // return the polynomial  $p(x) = 0$   
  
    Polynomial Add(Polynomial poly);  
    // return the sum of the polynomials *this and poly  
  
    Polynomial Mult(Polynomial poly);  
    // return the product of the polynomials *this and poly  
  
    float Eval(float f);  
    // evaluate the polynomial *this at  $f$  and return the result  
  
}; // end of Polynomial
```

$$p(x) = a_1x^{e_1} + \dots + a_nx^{e_n}$$

# Representing Polynomials

## ■ Representation #1: Fixed-size array

```
int degree;  
float coef[MaxDegree+1]; // coef[i] =  $a_{n-i}$  (n: degree)
```

This representation is very simple.

Complexity:

- space

- time (Addition)

- time (Multiplication)

- time (Print-out)

Problems:

- How large should we set **MaxDegree**?

- What to do if degree > **MaxDegree**?

# Representing Polynomials

## ■ Representation #2: Variable-size array

```
int degree;  
float *coef; // coef[i] =  $a_{n-i}$  (n: degree)
```

## ■ Set the size at the constructor:

```
Polynomial::Polynomial(int deg) ;  
{  
    degree = deg;  
    coef = new float[deg+1];  
}
```

No need to worry about degree getting too large.

Q: Which complexities are different from representation #1?

Problem: Wasting space for **sparse** polynomials.

Example sparse polynomial:  $p(x) = 3x^{1000} + 1$

# Representing Polynomials

## ■ Representation #3: Array of terms (index-value pairs)

```
Term *termArray; // array of terms
int capacity;    // size of termArray (pre-allocation)
int terms;       // number of non-zero terms
```

## ■ C++ class for Term:

```
class Term
{
    friend Polynomial;
private:
    float coef; // coefficient
    int exp;    // exponent
};
```

Representation of

$$p(x) = 3x^{1000} + 1$$

coef  
exp

3	1		
1000	0		

"Sparse Arrays"

terms: 2; capacity: 4

# Operations for Sparse Polynomials

- Need a function for adding a new term
  - If `terms == capacity`
    - ◆ Allocate a new `termArray` of twice the capacity
    - ◆ Copy the polynomial terms to the new array
    - ◆ Deallocate the original `termArray`
  - Put the new term in;
  - `terms++`;

What's the space complexity of storing the array?

What's the time complexity used in adding new terms?

It's always a pain to handle arrays that need to change sizes.  
Linked lists (chapter 4) is another solution.

# Operations for Sparse Polynomials

## ■ Example of adding two polynomials:

$$p_A(x) = 3x^{1000} + 2x^2 + 5$$

$$p_B(x) = x^2 + x$$

result polynomial

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Just copy the remaining terms in A into result.

What's the time complexity of adding two polynomials?

# Sparse Matrix

- A matrix is usually represented as a 2-D array.
- However, similar to the case of polynomials, if there are many zero terms, there is a waste of space.
- Example matrices, one of which is sparse:

$$\begin{bmatrix} -27 & 3 & 4 \\ 6 & 82 & -2 \\ 109 & -64 & 11 \\ 12 & 8 & 9 \\ 48 & 27 & 47 \end{bmatrix}$$

$$\begin{bmatrix} 15 & 0 & 0 & 22 & 0 & -15 \\ 0 & 11 & 3 & 0 & 0 & 0 \\ 0 & 0 & 0 & -6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 91 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 28 & 0 & 0 & 0 \end{bmatrix}$$

- Example sparse matrices in real life:

# Sparse Matrix Representation

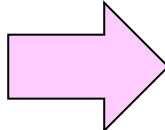
To save space, this is very similar to the representation #3 (pairs of coefficients and exponents) of polynomials:

- Use a triple **<row, column, value>** for each term.
- Must know the number of rows and columns and the number of nonzero elements.
- Store the triples row by row.
- For all the triples within a row, their column indices are in ascending order.



# Sparse Matrix Representation

col	0	1	2	3	4	5
row						
0	15	0	0	22	0	-15
1	0	11	3	0	0	0
2	0	0	0	-6	0	0
3	0	0	0	0	0	0
4	91	0	0	0	0	0
5	0	0	28	0	0	0



0	0	15
0	3	22
0	5	-15
1	1	11
1	2	3
2	3	-6
4	0	91
5	2	28

The terms are ordered first by the row index and then by the column index.

# Sparse Matrix ADT

```
class SparseMatrix {  
    /* objects: A set of triples <row, column, value>; all <row, column> pairs are  
       unique; row, column, and value are integers; row  $\geq 0$ ; col  $\geq 0$  */  
  
    public:  
        SparseMatrix(int r, int c; int t);  
        /* Constructor of a sparse matrix of  $r$  rows,  $c$  columns;  $t$  is the capacity*/  
        SparseMatrix Transpose();  
        /* standard matrix transpose */  
        SparseMatrix Add(SparseMatrix b);  
        /* return (*this)+b if they have the same size, otherwise throw an exception */  
        SparseMatrix Multiply(SparseMatrix b);  
        /* return (*this)*b if the number of columns in (*this) is the same as the  
           number or rows in b, otherwise throw an exception */  
}; // end of SparseMatrix
```

See textbook listing for more complete comments.

# Sparse Matrix Class

## ■ Class members:

```
MatrixTerm *smArray; // array of terms
int capacity;        // size of smArray (pre-allocation)
int rows, columns;   // size of matrix
int terms;           // number of non-zero terms
```

## ■ C++ class for MatrixTerm:

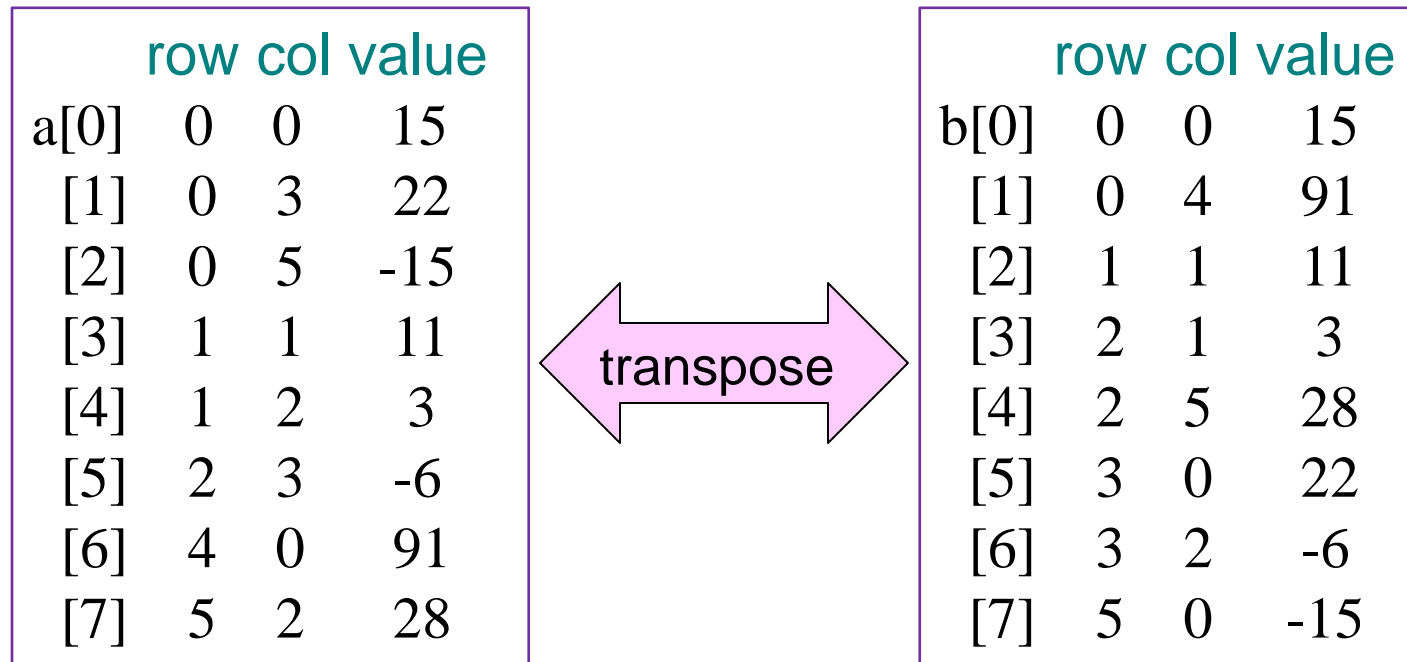
```
class MatrixTerm {
    friend class SparseMatrix
private:
    int row, col, value;
};
```

# Transposing a Sparse Matrix

Think about the time complexities of the following:

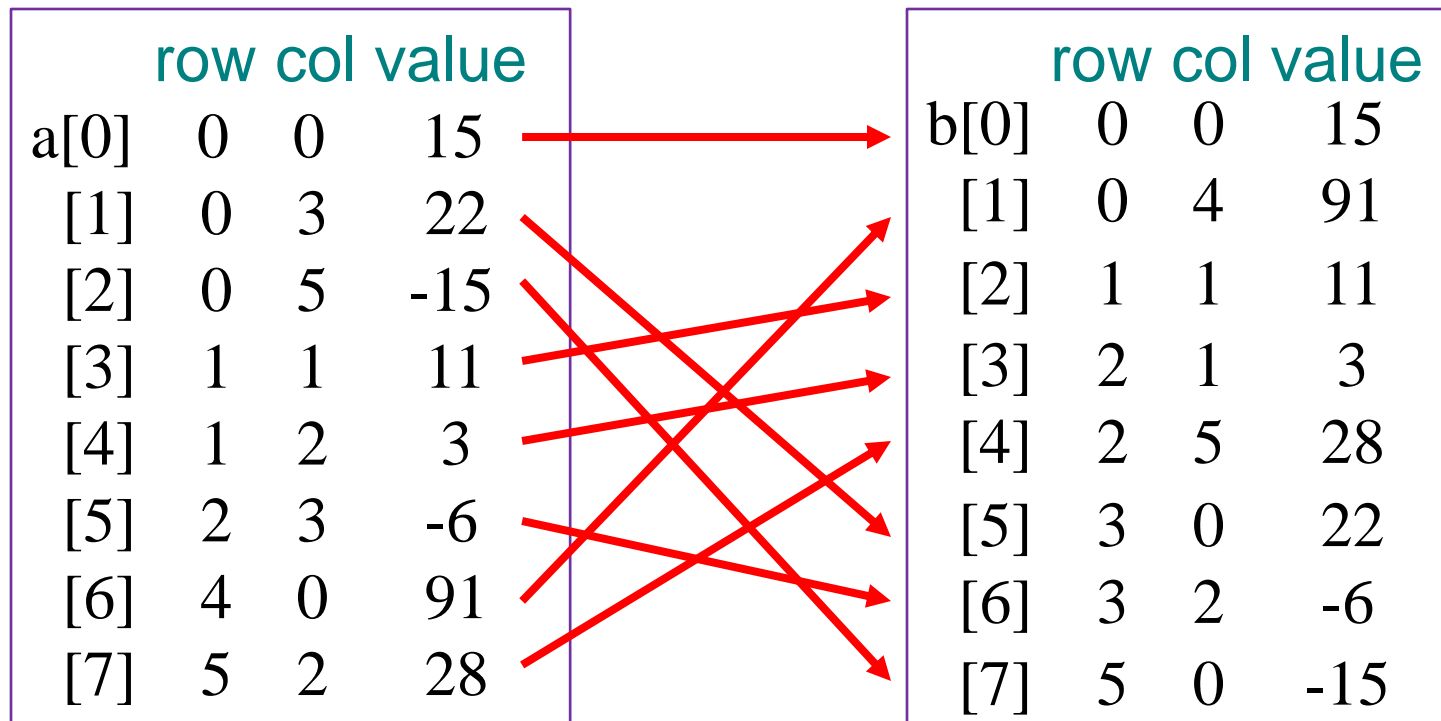
- Transposing a matrix stored as a 2-D (non-sparse) array.
- Transposing a sparse matrix without placing the elements in the correct row and column order.

The difficulty here is in having the elements of the transpose in the correct order.



# Transposing a Sparse Matrix

Idea: First copy only the elements in the first column of the original matrix, and then the elements in the second column of the original matrix, and so on.



# Transposing a Sparse Matrix

```
SparseMatrix SparseMatrix::Transpose()
{
    SparseMatrix b(cols, rows, terms);
    if (terms > 0)    // nonzero matrix
    {
        int CurrentB = 0;
        for (int c = 0; c < cols; c++)    // transpose by columns
            for (int i = 0; i < terms; i++)
                // find elements in column c
                if (smArray[i].col == c) {
                    b.smArray[CurrentB].row = c;
                    b.smArray[CurrentB].col = smArray[i].row;
                    b.smArray[CurrentB].value = smArray[i].value;
                    CurrentB++;
                }
    }
    return b;
}
```

Q: What's the time complexity?

# Transposing a Sparse Matrix

- Time complexity:  $O(cols * terms)$ .
- Source of waste: We need to look at all the terms "*cols*" times.
- Q: Can we look at each term only once?
- Idea: We can do this if we know where to place a term in the transpose without looking at the terms after it in the original matrix.
- To do this, we just need to know the starting location of each row in the transpose.

# Fast Matrix Transposing

	row	col	value
a[0]	0	0	15
[1]	0	3	22
[2]	0	5	-15
[3]	1	1	11
[4]	1	2	3
[5]	2	3	-6
[6]	4	0	91
[7]	5	2	28

For the transpose:

number of terms in a row

row:	0	1	2	3	4	5
rowSize =	2	1	2	2	0	1
rowStart =	0	2	3	5	7	7

starting location of a row in the transpose

rowStart[0]=0	b[0] ← <0, 0, 15>	rowStart[0]++
rowStart[3]=5	b[5] ← <3, 0, 22>	rowStart[3]++
rowStart[5]=7	b[7] ← <5, 2, 28>	rowStart[5]++
rowStart[1]=2	b[2] ← <1, 1, 11>	rowStart[1]++
rowStart[2]=3	b[3] ← <2, 1, 3>	rowStart[2]++
rowStart[3]=6	b[6] ← <3, 2, -6>	rowStart[3]++
rowStart[0]=1	b[1] ← <0, 4, 91>	rowStart[0]++
rowStart[2]=4	b[4] ← <2, 5, 28>	rowStart[2]++



# Fast Matrix Transposing

```
SparseMatrix SparseMatrix::Transpose()  
{  
    int *rowSize = new int[cols];  
    int *rowStart = new int[cols];  
    SparseMatrix b(cols, rows, terms);  
    int i;  
    if (terms > 0)        // nonzero matrix  
    {  
        // compute rowSize[i] = number of terms in row i of b  
        for ( i = 0; i < cols; i++) rowSize[i] = 0;  // initialize  
        for ( i = 0; i < terms; i++) rowSize[smArray[i].col]++;  
  
        // rowStart[i] = starting position of row i in b  
        rowStart[0] = 0;  
        for (i = 1; i < cols; i++)  
            rowStart[i] = rowStart[i-1] + rowSize[i-1];  
    }  
}
```

(code to be continued)

# Fast Matrix Transposing

(code continued)

```
for (i =0; i < terms; i++)    // scan all elements in *this
{
    int j = rowStart[smArray[i].col];
    b.smArray[j].row = smArray[i].col;
    b.smArray[j].col = smArray[i].row;
    b.smArray[j].value = smArray[i].value;
    rowStart[smArray[i].col]++;
}
}

delete [] rowSize;
delete [] rowStart;
return b;
}
```

Q: What's the time complexity?

# Sparse Matrix Multiplication

- Definition: 
$$D_{ij} = \sum_{k=0}^{A.cols-1} A_{ik} B_{kj}$$
- Idea: For row  $i$  in  $A$ , go through  $B$  to find all the terms with column index  $i$ .
- We need to compute all the terms in  $D$  in the order of increasing row index so that they are stored in the correct order.

A faster algorithm is in the textbook. However, it's too complicated so we will skip it here.

# Extra Reading Assignments

- From the textbook: Sections 2.5, 2.6.1.
- (Optional) From the textbook: Sections 2.6.2. The KMP algorithm is a nice example of reducing the complexity by identifying and avoiding redundant operations. Focus on understanding the source of redundancy and the role of *failure function*.