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### Designing, Visualizing and Understanding Deep Neural Networks (2021)

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## Convolutional Networks

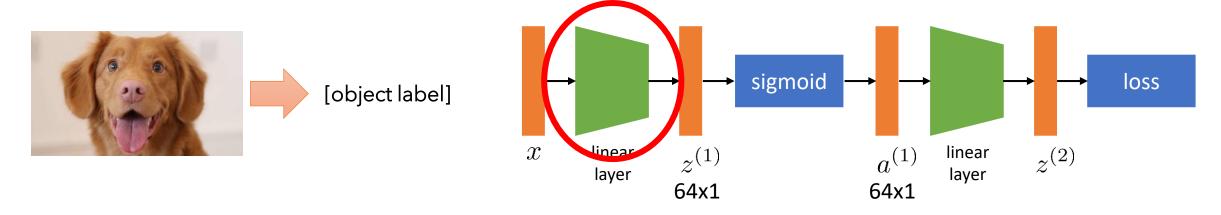
Designing, Visualizing and Understanding Deep Neural Networks

CS W182/282A

Instructor: Sergey Levine UC Berkeley



# Neural network with images



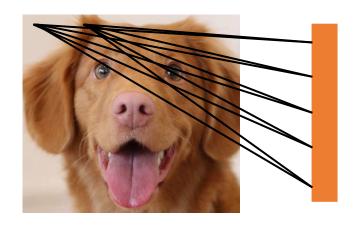
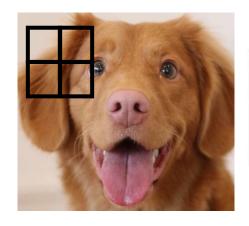


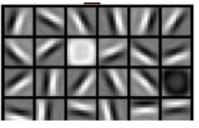
image is  $128 \times 128 \times 3 = 49,152$ 

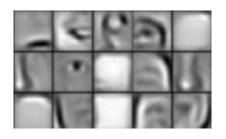
 $z^{(1)}$  is 64-dim

 $64 \times 49,152 \approx 3,000,000$ 

We need a better way!







Layer 1:

edge detectors?

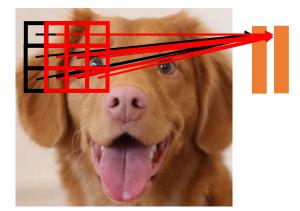
Layer 2:

ears? noses?

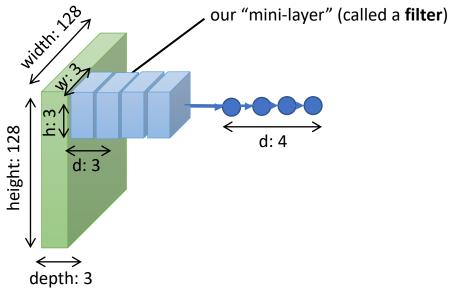
**Observation:** many useful image features are **local** 

to tell if a particular patch of image contains a feature, enough to look at the local patch

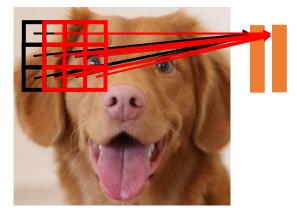
### **Observation:** many useful image features are **local**



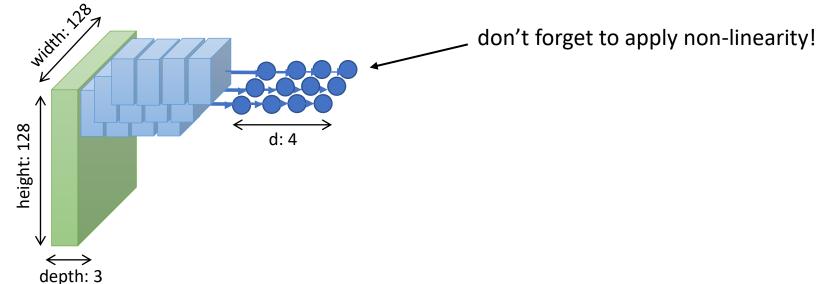
patch is 
$$3 \times 3 \times 3 = 27$$
 
$$z^{(1)} \text{ is 64-dim}$$
 
$$64 \times 27 = 1728$$



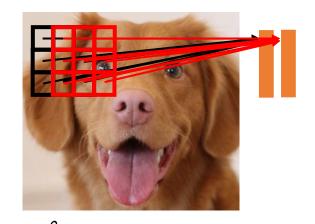
### **Observation:** many useful image features are **local**



patch is 
$$3 \times 3 \times 3 = 27$$
  
 $z^{(1)}$  is 64-dim  
 $64 \times 27 = 1728$ 

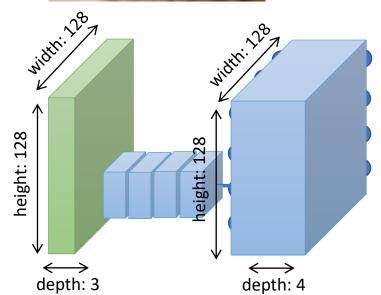


**Observation:** many useful image features are **local** 

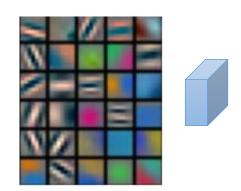


patch is 
$$3 \times 3 \times 3 = 27$$
  
 $z^{(1)}$  is 64-dim  
 $64 \times 27 = 1728$ 

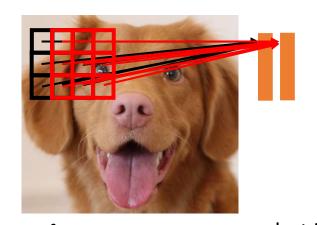
We get a **different** output at each image location!



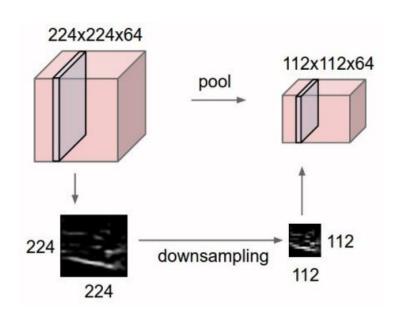
What do they look like?

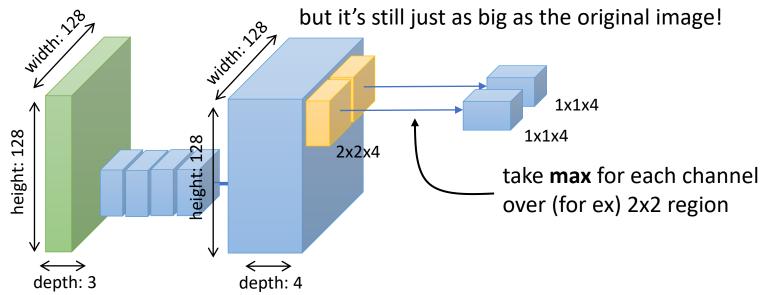


**Observation:** many useful image features are **local** 

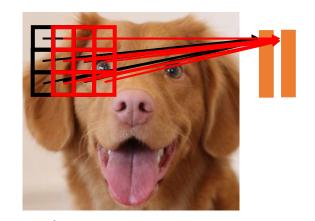


patch is 
$$3 \times 3 \times 3 = 27$$
 
$$z^{(1)} \text{ is 64-dim}$$
 
$$64 \times 27 = 1728$$

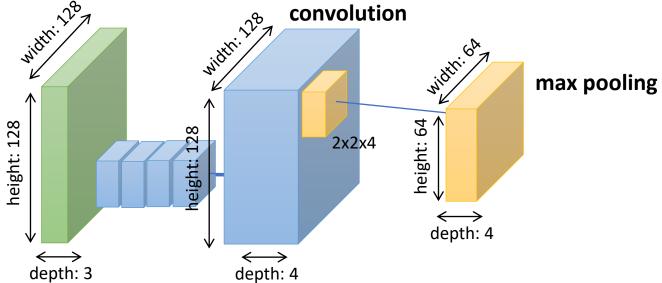




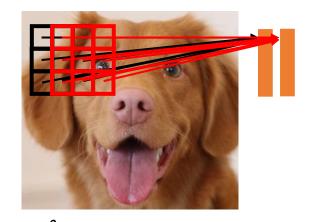
### **Observation:** many useful image features are **local**



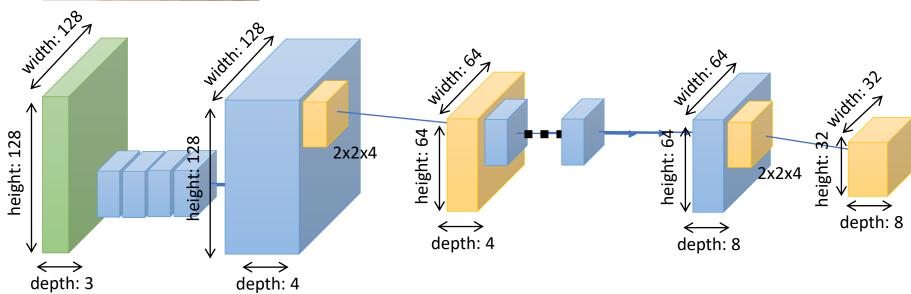
patch is 
$$3 \times 3 \times 3 = 27$$
  
 $z^{(1)}$  is 64-dim  
 $64 \times 27 = 1728$ 



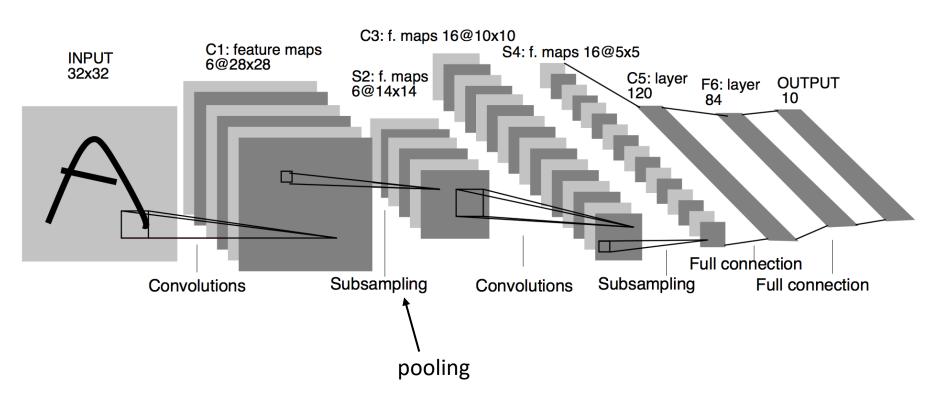
### **Observation:** many useful image features are **local**



patch is 
$$3 \times 3 \times 3 = 27$$
  
 $z^{(1)}$  is 64-dim  
 $64 \times 27 = 1728$ 



# What does a real conv net look like?



"LeNet" network for handwritten digit recognition

## Implementing convolutional layers

# Summary

#### > Convolutional layer

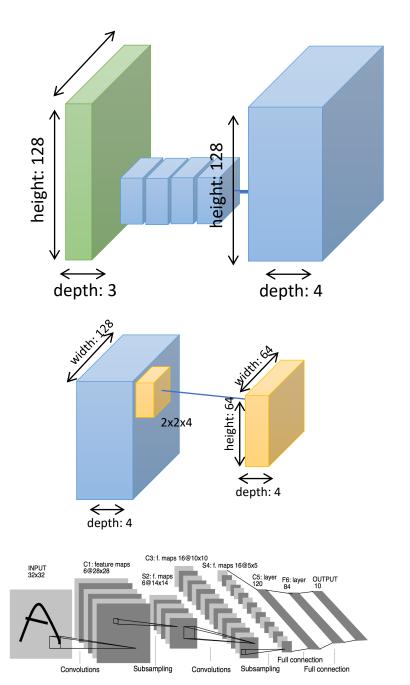
- > A way to avoid needing millions of parameters with images
- ➤ Each layer is "local"
- ➤ Each layer produces an "image" with (roughly) the same width & height, and number of channels = number of filters

#### Pooling

- ➤ If we ever want to get down to a single output, we must reduce resolution as we go
- ➤ Max pooling: downsample the "image" at each layer, taking the max in each region
- ➤ This makes it robust to small translation changes

#### > Finishing it up

➤ At the end, we get something small enough that we can "flatten" it (turn it into a vector), and feed into a standard fully connected layer



# ND arrays/tensors

all these operations will involve N-dimensional arrays

often used synonymously with tensor

input image:  $HEIGHT \times WIDTH \times CHANNELS$ 

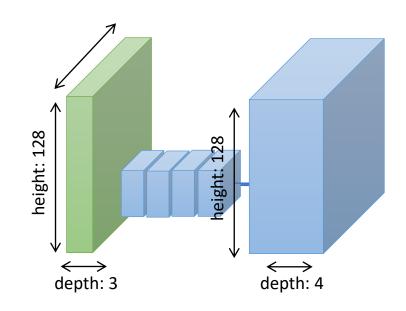
filter:  $FLT.HEIGHT \times FLT.WIDTH \times OUTPUT CHAN \times INPUT CHAN$ 

activations:  $HEIGHT \times WIDTH \times LAYER.CHANNELS$ 

The "inner" (rightmost) dimensions work just like vectors/matrices

Matching "outer" dimensions (e.g., height/width) are treated as "broadcast" (i.e., elementwise operations)

Convolution operations performs a tiny matrix multiply at each position (like a tiny linear layer at each position)



# Convolutional layer in equations

all these operations will involve N-dimensional arrays

often used synonymously with tensor

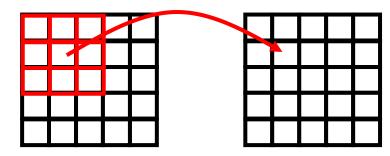
input image:  $HEIGHT \times WIDTH \times CHANNELS$ 

filter: FLT.HEIGHT  $\times$  FLT.WIDTH  $\times$  OUTPUT CHAN  $\times$  INPUT CHAN

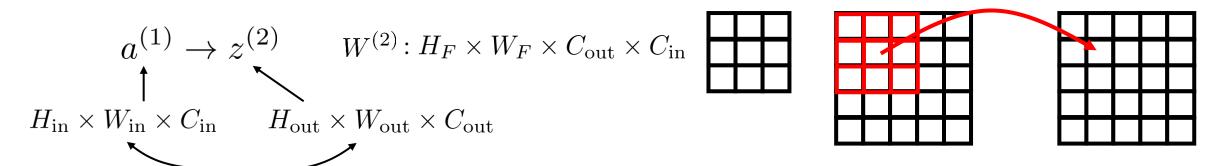
activations:  $HEIGHT \times WIDTH \times LAYER.CHANNELS$ 

$$a^{(1)} \rightarrow z^{(2)}$$
  $W^{(2)}: H_F \times W_F \times C_{\text{out}} \times C_{\text{in}}$ 
 $H_{\text{in}} \times W_{\text{in}} \times C_{\text{in}}$   $H_{\text{out}} \times W_{\text{out}} \times C_{\text{out}}$ 

equal or almost equal (more on this later)



# Convolutional layer in equations



equal or almost equal (more on this later)

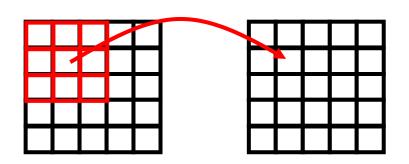
$$z^{(2)}[i,j,k] = \sum_{l=0}^{H_F - 1} \sum_{m=0}^{H_W - 1} \sum_{n=0}^{C_{\text{in}} - 1} W^{(2)}[l,m,k,n] \ a^{(1)}[i+l-(H_F - 1)/2,j+m-(H_W - 1)/2,n]$$

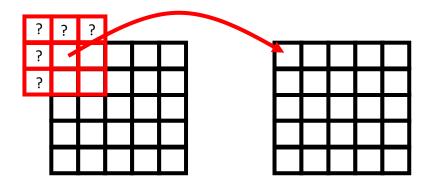
$$z^{(2)}[i,j] = \sum_{l=0}^{H_F - 1H_W - 1} \sum_{m=0}^{W^{(2)}} W^{(2)}[l,m] a^{(1)}[i+l-(H_F - 1)/2, j+m-(H_W - 1)/2]$$

$$a^{(2)}[i,j,k] = \sigma(z^{(2)}[i,j,k])$$
 Activation function applied per element, just like before

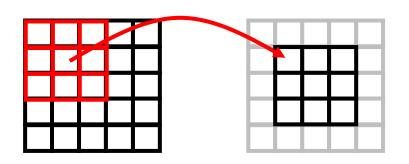
Simple principle, but a bit complicated to write

# Padding and edges





**Option 1:** cut off the edges



**Problem:** our activations shrink with every layer

#### Pop quiz:

input is 32x32x3 filter is 5x5x6 what is the output in this case?

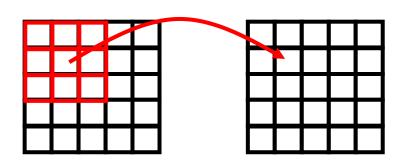
"radius" is  $(H_F - 1)/2$  on each side = 2

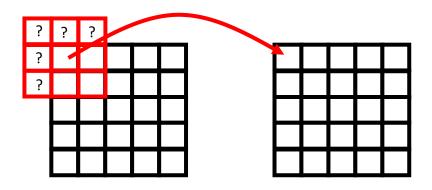
$$H_{\text{out}} = H_{\text{in}} - ((H_F - 1)/2) \times 2 = 28$$

$$28 \times 28 \times 6$$

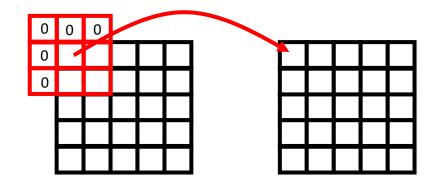
Some people don't like this

# Padding and edges





Option 2: zero pad



**Detail:** remember to subtract the image mean first (fancier contrast normalization often used in practice)

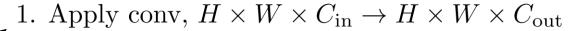
Advantage: simple, size is preserved

**Disadvantage:** weird effect at boundary

(this is usually not a problem, hence why this method is so popular)

## Strided convolutions

standard conv net structure at each layer:



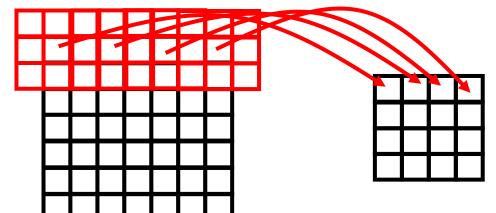


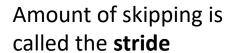




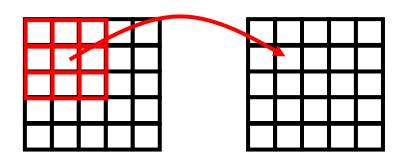
 $C_{\text{out}} \times C_{\text{in}}$  matrix multiply at each position in  $H \times W$  image!

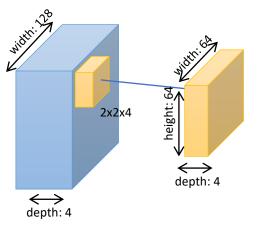
**Idea:** what if skip over some positions?





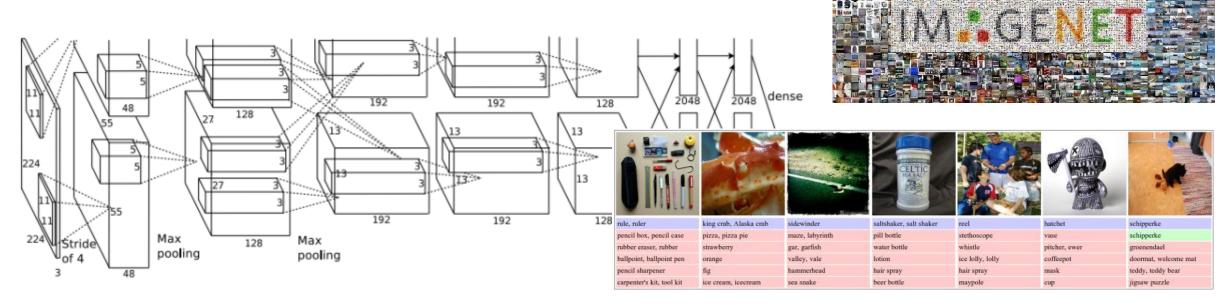
Some people think that strided convolutions are just as good as conv + pooling





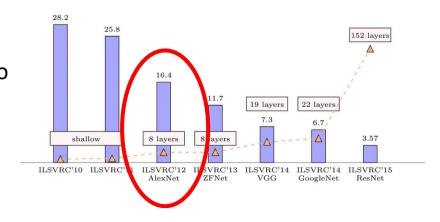
Examples of convolutional neural networks

[Krizhevsky et al. 2012]



#### Why is this model important?

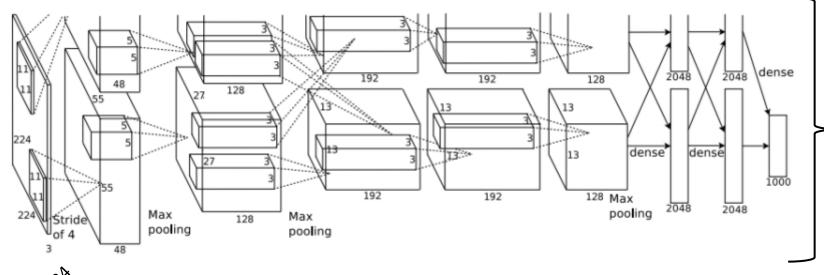
- "Classic" medium-depth convolutional network design (a bit like a modernized version of LeNet)
- ➤ Widely known for being the first neural network to attain state-of-the-art results on the ImageNet large-scale visual recognition challenge (ILSVRC)



### **ILSVRC (ImageNet), 2009:** 1.5 **million** images 1000 categories

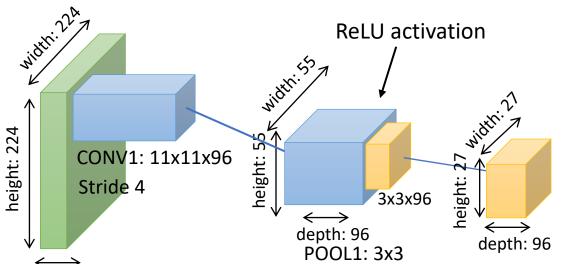
depth: 3

[Krizhevsky et al. 2012]



trained on two GPUs, hence why the diagram is "split"

... we don't worry about this sort of thing these days



Stride 2

**Pop quiz:** how many parameters in CONV1?

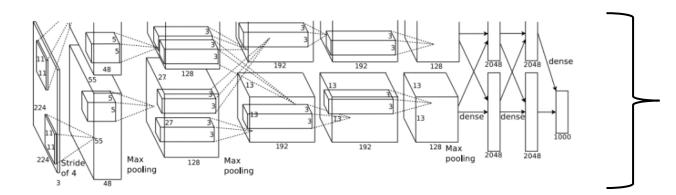
Weights: 11x11x3x96 = 34,848

Biases: 96

pooling w/ overlapping regions

Total: 34,944

[Krizhevsky et al. 2012]



trained on two GPUs, hence why the diagram is "split"

... we don't worry about this sort of thing these days

**CONV1:** 11x11x96, Stride 4, maps 224x224x3 -> 55x55x96 [without zero padding]

**POOL1:** 3x3x96, Stride 2, maps 55x55x96 -> 27x27x96

NORM1: Local normalization layer [not widely used anymore, but we'll talk about normalization later]

**CONV2:** 5x5x256, Stride 1, maps 27x27x96 -> 27x27x256 [with zero padding]

**POOL2:** 3x3x256, Stride 2, maps 27x27x256 -> 13x13x256

NORM2: Local normalization layer

**CONV3:** 3x3x384, Stride 1, maps 13x13x256 -> 13x13x384 [with zero padding]

**CONV4:** 3x3x384, Stride 1, maps 13x13x384 -> 13x13x384 [with zero padding]

**CONV5:** 3x3x256, Stride 1, maps 13x13x256 -> 13x13x256 [with zero padding]

**POOL3:** 3x3x256, Stride 2, maps 13x13x256 -> 6x6x256

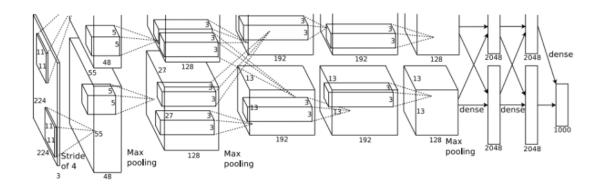
**FC6:** 6x6x256 -> 9,216 -> 4,096 [matrix is 4,096 x 9,216]

**FC7:** 4,096 -> 4,096

**FC8:** 4,096 -> 1,000

**SOFTMAX** 

[Krizhevsky et al. 2012]



- Don't forget: ReLU nonlinearities after every CONV or FC layer (except the last one!)
- > Trained with regularization (we'll learn about these later):
  - > Data augmentation
  - Dropout
- Local normalization (not used much anymore, but there are other types of normalization we do use)

**CONV1:** 11x11x96, Stride 4, maps 224x224x3 -> 55x55x96 [without zero padding]

**POOL1:** 3x3x96, Stride 2, maps 55x55x96 -> 27x27x96

**NORM1:** Local normalization layer

**CONV2:** 5x5x256, Stride 1, maps 27x27x96 -> 27x27x256 [with zero padding]

**POOL2:** 3x3x256, Stride 2, maps 27x27x256 -> 13x13x256

NORM2: Local normalization layer

**CONV3:** 3x3x384, Stride 1, maps 13x13x256 -> 13x13x384 [with zero padding]

**CONV4:** 3x3x384, Stride 1, maps 13x13x384 -> 13x13x384 [with zero padding]

**CONV5:** 3x3x256, Stride 1, maps 13x13x256 -> 13x13x256 [with zero padding]

**POOL3:** 3x3x256, Stride 2, maps 13x13x256 -> 6x6x256

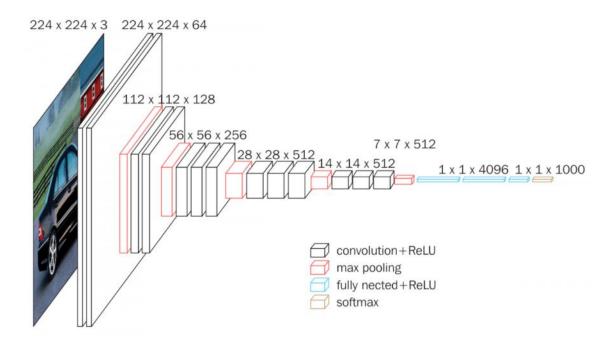
**FC6:** 6x6x256 -> 9,216 -> 4,096 [matrix is 4,096 x 9,216]

**FC7:** 4,096 -> 4,096

**FC8:** 4,096 -> 1,000

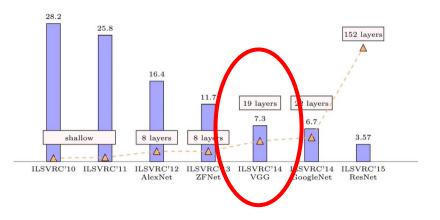
**SOFTMAX** 

### **VGG**



### Why is this model important?

- > Still often used today
- Big increase in depth over previous best model
- > Start seeing "homogenous" stacks of multiple convolutions interspersed with resolution reduction



### VGG

**CONV:** 3x3x64, maps 224x224x3 -> 224x224x64 **CONV:** 3x3x64, maps 224x224x64 -> 224x224x64

**POOL:** 2x2, maps 224x224x64 -> 112x112x64

**CONV:** 3x3x128, maps 112x112x64 -> 112x112x128

**CONV:** 3x3x128, maps 112x112x128 -> 112x112x128

**POOL:** 2x2, maps 112x112x128 -> 56x56x128

**CONV:** 3x3x256, maps 56x56x128 -> 56x56x256

**CONV:** 3x3x256, maps 56x56x256 -> 56x56x256

**CONV:** 3x3x256, maps 56x56x256 -> 56x56x256

**POOL:** 2x2, maps 56x56x256 -> 28x28x256

**CONV:** 3x3x512, maps 28x28x256 -> 28x28x512

**CONV:** 3x3x512, maps 28x28x512 -> 28x28x512

**CONV:** 3x3x512, maps 28x28x512 -> 28x28x512

**POOL:** 2x2, maps 28x28x512 -> 14x14x512

**CONV:** 3x3x512, maps 14x14x512 -> 14x14x512

**CONV:** 3x3x512, maps 14x14x512 -> 14x14x512

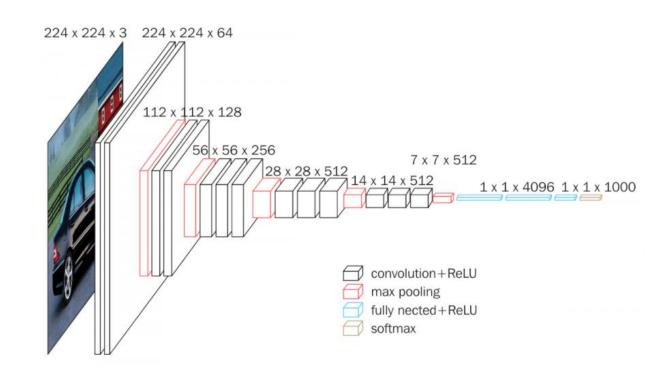
**CONV:** 3x3x512, maps 14x14x512 -> 14x14x512

**POOL:** 2x2, maps 14x14x512 -> 7x7x512

**FC:** 7x7x512 -> 25,088 -> 4,096 ← almost all parameters are here

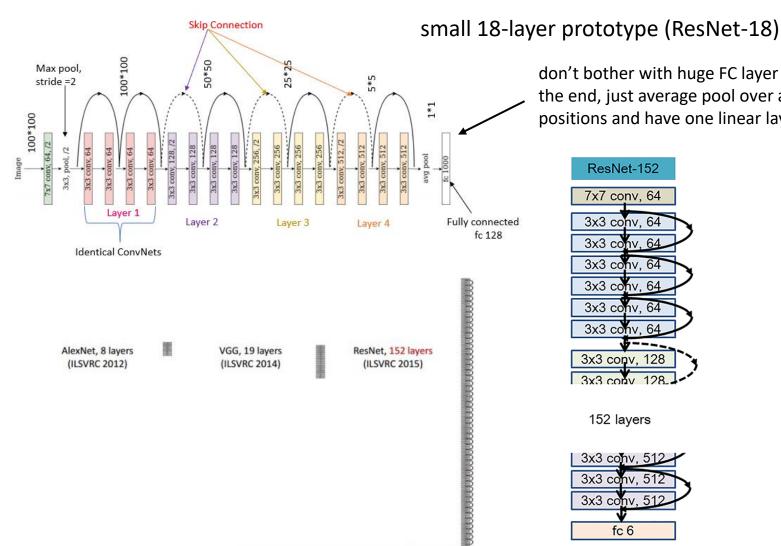
**FC:** 4,096 -> 4,096 **FC:** 4,096 -> 1,000

**SOFTMAX** 

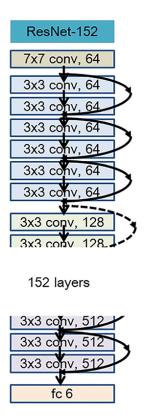


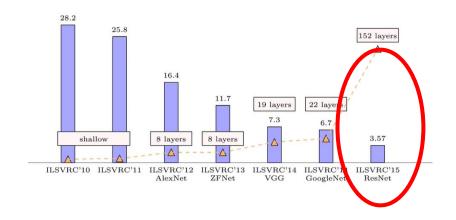
- More layers = more processing, which is why we see repeated blocks
- > Which parts use the most memory?
- Which parts have the most parameters?

### ResNet 152 layers!



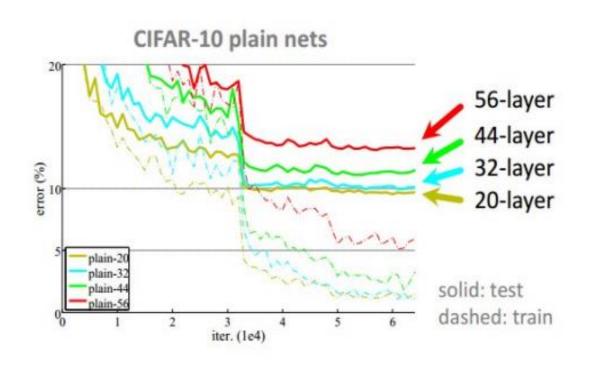
don't bother with huge FC layer at the end, just average pool over all positions and have one linear layer

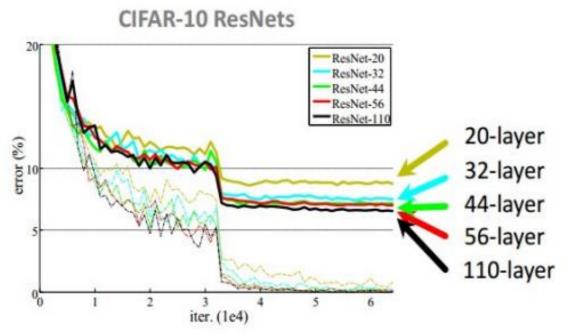




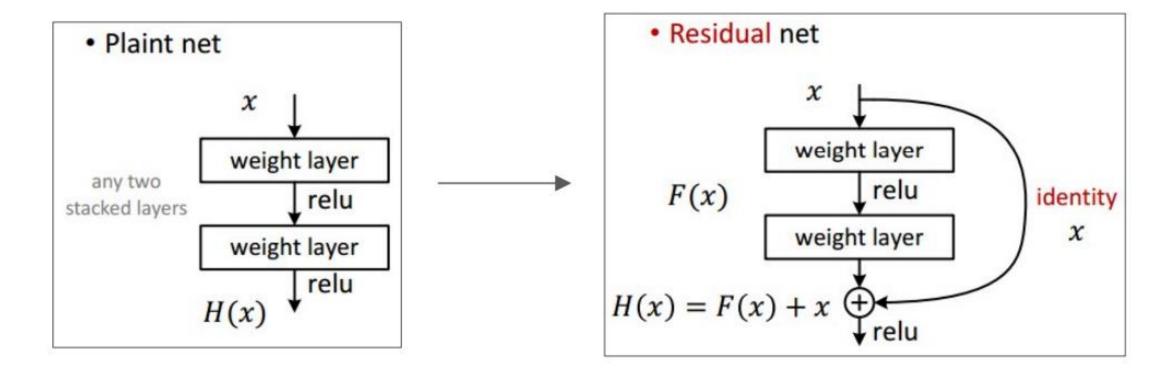
### ResNet

## CIFAR-10 experiments





## What's the main idea?

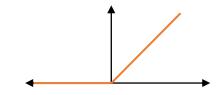


Why is this a good idea?

# Why are deep networks hard to train?

$$\frac{d\mathcal{L}}{dW^{(1)}} = \frac{dz^{(1)}}{dW^{(1)}} \frac{da^{(1)}}{dz^{(1)}} \frac{dz^{(2)}}{da^{(1)}} \frac{d\mathcal{L}}{dz^{(2)}}$$
$$\frac{d\mathcal{L}}{dW^{(1)}} = J_1 J_2 J_3 \dots J_n \frac{d\mathcal{L}}{dz^{(n)}}$$

ReLU: 
$$\left(\frac{df}{dz}\right)_i = \operatorname{Ind}(z_i \ge 0)$$



### If we multiply many many numbers together, what will we get?

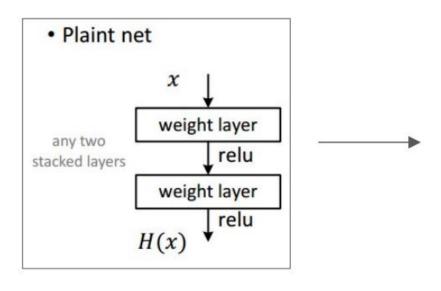
If most of the numbers are < 1, we get 0

If most of the numbers are > 1, we get infinity

We only get a reasonable answer if the numbers are all close to 1!

For matrices, this means we want  $J_i \approx \mathbf{I}$ 

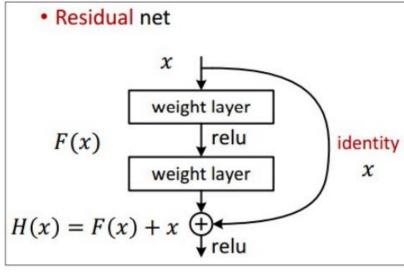
# So why is this a good idea?



 $\frac{dH}{dx}$ 

could be **big** or **small** 

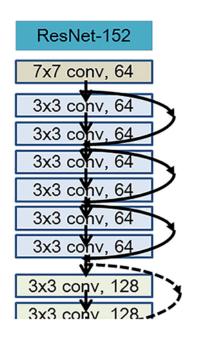
not close to  $\mathbf{I}$ 



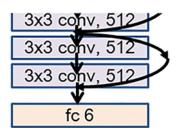
$$\frac{dH}{dx} = \frac{dF}{dx} + \mathbf{I}$$

If weights are not too big, this will be small(ish)

### ResNet



152 layers



- "Generic" blocks with many layers, interspersed with a few pooling operations
- ➤ No giant FC layer at the end, just mean pool over all x/y positions and a small(ish) FC layer to go into the softmax
- > Residual layers to provide for good gradient flow

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