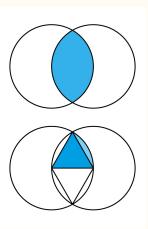
Hierarchical Threshold Cryptography

A System for Enforced Cross-Tier Collaboration



By: Jack Merritt



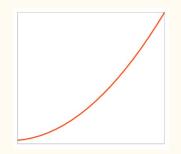
The Mathematical Problem

How can we design a system that:

- -Mathematically enforces collaboration across organizational levels?
- -Prevents any single group from acting unilaterally?
- -Preserves hierarchical importance?

Solution: A weighted threshold cryptographic scheme

System Overview at a Glance



Hierarchical Structure: Tiers arranged from t_1 (top) to $t \square$ (bottom)

Member Distribution: Quadratic growth (i² members in tier i)

Threshold Requirement: 100 units of "cryptographic weight" needed

Weight Assignment: Higher tiers have more weight per member

Core Result: No single tier can act alone; cross-tier collaboration required

Core Mathematical Concepts

Threshold Function: T(k) = 100

-System requires ≥ 100 "weight units" to decrypt

Quadratic Growth Function: $m(i) = i^2$

-Defines member count per tier

Weight Distribution Function: $w(i) = \{...\}$

-Assigns cryptographic "weight" per member

Collaboration Inequality: $\Sigma(m_x \times w(x)) \ge 100$

-Mathematical condition for successful decryption

Tier Structure: Quadratic Growth

The function $m(i) = i^2$ determines the number of members in each tier:

Calculation	Members	
12 = 1	1	
22 = 4	4	
32 = 9	9	
42 = 16	16	
5 ² = 25	25	
	$1^2 = 1$ $2^2 = 4$ $3^2 = 9$ $4^2 = 16$	

Why quadratic? Creates balanced power distribution

Weight Distribution: Mathematical Definition

• The weight function w(i) is defined piecewise:

```
w(i) = egin{cases} 65 & 	ext{if } i = 1 \ 18 & 	ext{if } i = 2 \ 10 & 	ext{if } i = 3 \ 6 & 	ext{if } i = 4 \ 3.5 & 	ext{if } i = 5 \ 2 & 	ext{if } i = 6 \ 2 	imes 0.8^{(i-6)} & 	ext{if } i \geq 7 \end{cases}
```

Tier Power Analysis

$$P(i) = m(i) \times w(i) = i^2 \times w(i)$$

Tier	Members (i²)	Weight per Member	Total Power	Threshold
t ₁	1	65	65	< 100
t ₂	4	18	72	< 100
t ₃	9	10	90	< 100
t ₄	16	6	96	< 100
t ₅	25	3.5	87.5	< 100

Mathematical Proof: No Single-Tier Decryption

No tier alone can decrypt the message, leading to forced collaboration

- t_1 : $P(1) = 1 \times 65 = 65 < 100$
- t_2 : $P(2) = 4 \times 18 = 72 < 100$
- t_3 : $P(3) = 9 \times 10 = 90 < 100$
- t_4 : $P(4) = 16 \times 6 = 96 < 100$
- t_5 : $P(5) = 25 \times 3.5 = 87.5 < 100$
- t_6 : $P(6) = 36 \times 2 = 72 < 100$

Proof for tiers ≥ 7

For
$$i \ge 7$$
: $P(i) = i^2 \times 2 \times 0.8^{(i-6)}$

For
$$i = 7$$
: $P(7) = 49 \times 2 \times 0.8 = 78.4 < 100$

As i increases beyond 7, the exponential decay $(0.8^{\circ}(i-6))$ outpaces quadratic growth (i^2)

This means P(i) decreases for i > 7

Therefore, no tier $i \geq 7$ can reach the threshold independently

For any collaboration across tiers, the total power is:

$$P_{total} = \sum (c_i \times w(i))$$

 $c_i = count$ of members from tier i participating w(i) = weight per member in tier i

Decryption succeeds when:

 $P_{total} \ge 100$

Viable Collaboration Examples

Example 1: High-tier focused P_total = $(1 \times 65) + (2 \times 18) = 65 + 36 = 101 > 100$

- -1 member from t_1
- -2 members from t₂

Example 2: Mid-tier collaboration P_total =
$$(2 \times 18) + (7 \times 10) = 36 + 70 = 106 > 100$$

- -2 members from t₂
- -7 members from t₃

Mathematical Properties of the System

Monotonically Decreasing Weights: w(i) > w(i+1) for all i

-Higher tiers will always have more cryptographic power

Hierarchical Preservation: The ratio between consecutive tiers remains significant

$$w(t_1)/w(t_2) = 65/18 \approx 3.6$$

$$w(t_2)/w(t_3) = 18/10 = 1.8$$

For
$$i \ge 7$$
: $w(t_i)/w(t_{i+1}) = 1/0.8 = 1.25$ (constant)

Forced Collaboration:

The threshold k is set such that: $\max_i (i^2 \times w(i)) < k < \min_{i,j} (i^2 \times w(i) + j^2 \times w(j))$

Geometric Interpretation

The system creates a multi-dimensional space where:

- Each axis represents a tier
- Points represent possible collaborations
- The threshold defines a hyperplane H: $\Sigma(x_i \times w(i)) = 100$
- Valid collaborations lie in the half-space beyond this plane
- The piecewise weight function creates interesting geometric properties

https://www.desmos.com/3d/mo7ki5zfjz

System in Practice: Key Properties

Balance of Power: Higher tiers have more individual influence but must still collaborate

Flexible Collaboration: Multiple valid collaboration patterns exist

- Top-heavy: Fewer people from higher tiers
- Bottom-heavy: Many people from lower tiers
- Mixed: Representation across multiple tiers

Adaptability: System works with any organizational size

Mathematical Guarantees: Security properties are provably enforced

Applications in Computational Cryptography

Shamir's Secret Sharing: Extended with weighted participants

- Traditional: k-of-n threshold
- This system: Weighted threshold with hierarchical structure

Threshold Encryption: Requiring k-of-n participants with weights

Multi-Party Computation: Ensuring balanced input from hierarchical participants

Zero-Knowledge Proofs: Incorporating organizational structure into verification

Practical Applications

Corporate Governance: Secure decision-making across management layers

Multi-Party Computing: Enforced collaboration for sensitive operations

Secret Sharing: Distributed confidential information across organization

Access Control: Sophisticated permission management

Consensus Systems: Weighted voting mechanisms for blockchain/distributed systems

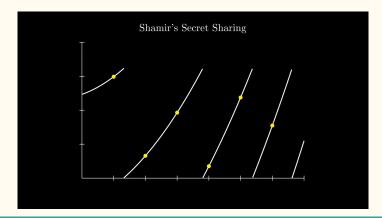
Future Directions

Dynamic Weight Functions: Adjusting weights based on system state

Threshold Optimization: Finding optimal k for specific organizational structures

Multi-Secret Systems: Extending to multiple thresholds for different secrets

Quantum Resistance: Adapting the scheme for post-quantum cryptography



Summary

Mathematical Foundation: Quadratic growth vs. exponential decay creates enforced collaboration

Hierarchical Respect: Weight distribution preserves organizational structure

Provable Properties: No single tier can act alone (proven mathematically)

Flexible Collaboration: Multiple valid patterns for reaching threshold

Practical Applications: Corporate governance, secure systems, access control

Thank you!

Find my contact information at: <u>JackMerrittPortfolio.com</u>

