

## Homework 9, Solution set

1. We compute the following partial sums:  $\text{LSum}[j] = \sum_{i=1}^j |L_i|$  for  $j = 0, 1, 2, \dots, n$ , in linear time, which then allows us to compute any sum of the form  $\sum_{i=h}^j |L_i|$  in  $O(1)$  time. We will compute the minimum cost for merging lists  $L_i, L_{i+1}, \dots, L_k$  in  $\text{MCost}[i, k]$ . We initialize it to 0 for  $i = k$ , for  $1 \leq i \leq n$ , which is also its final value, and to maxint for  $k > i$ . We then compute the actual values of  $\text{MCost}[i, k]$ , for  $k - i = 1, 2, \dots, n - 1$ , in turn. It is convenient to define  $\text{diff} = k - i$ . Pseudo-code follows.

```

for  $i = 1$  to  $n$  do
     $\text{MCost}[i, i] \leftarrow 0$ ;
    for  $k = i + 1$  to  $n$  do
         $\text{MCost}[i, k] \leftarrow \text{maxint}$ 
    end for
end for
for  $\text{diff} = 1$  to  $n - 1$  do
    for  $i = 1$  to  $n - \text{diff}$  do
         $k \leftarrow i + \text{diff}$ ;
        for  $j = i$  to  $k - 1$  do
             $\text{MCost}[i, k] \leftarrow \min \{ \text{MCost}[i, k],$ 
                                    $\text{MCost}[i, j] + \text{MCost}[j + 1, k] + \text{LSum}[k] - \text{LSum}[i - 1] \}$ 
        end for
    end for
end for

```

2.a. Suppose  $j \geq i$ . Then to change  $u$  to  $v$ , at a minimum one will need to add  $j - i$  characters to  $u$ . Thus the edit cost is at least  $j - i$  in this case. Similarly, if  $j < i$ , at a minimum one will need to remove  $i - j$  characters, yielding an edit cost of at least  $i - j$ . In both cases, the edit cost is at least  $|j - i|$ .

b. We can conclude from (a) that there is no point to computing the edit distance when  $|j - i| > k$ . Instead we treat all such edit distances as being too large, which we will represent by the value  $k + 1$ . It will be helpful to define the following function  $\text{Incr}(h)$ :

$$\text{Incr}(h) = \begin{cases} h + 1 & h \leq k \\ k + 1 & h = k + 1 \end{cases}$$

This leads to the following modification of the previous  $\text{MinEd}$  function. We initialize the values on the diagonals distance  $k + 1$  from the true diagonal to  $k + 1$ , namely the entries for  $\text{MinEd}(i, i + k + 1)$ , with  $1 \leq i \leq n - k - 1$ , and for  $\text{MinEd}(j + k + 1, j)$ , with  $1 \leq j \leq n - k - 1$ .

$$\text{MinEd}(i, j) = \begin{cases} k + 1 & i = j + k + 1 \text{ or } j = i + k + 1 \\ |j - i| & |j - i| \leq k \text{ and } (i = 0 \text{ or } j = 0) \\ \text{MinEd}(i - 1, j - 1) & u_i = v_j, |j - i| \leq k, i, j \geq 1 \\ \text{Incr} \left( \min \begin{cases} \text{MinEd}(i - 1, j - 1) \\ \text{MinEd}(i, j - 1) \\ \text{MinEd}(i - 1, j) \end{cases} \right) & u_i \neq v_j, |j - i| \leq k, i, j \geq 1 \end{cases}$$

The number of possible values for  $i$  is  $n + 1$  (ranging from 0 to  $n$ ), and as  $|j - i| \leq k$ , the number of possible values for  $j$  for each value of  $i$  is at most  $2k + 1$  (ranging from  $i - k$

to  $i + k$ ). Thus the number of recursive problems is at most  $(n + 1) \cdot (2k + 1) = O(nk)$ . Each recursive problem requires  $O(1)$  time for its non-recursive work leading to an overall runtime of  $O(nk)$ .

3. We compute shortest paths from  $v$  to  $s$  in  $G^R$ , the reversal of  $G$ , and keep track of the number of these paths, with the following recursive calculation.

$$\begin{aligned} \text{Shtst}(v) &\leftarrow \begin{cases} \min_{\{(v,w) \in E^R\}} \{\text{Shtst}(v), \text{length}(v, w) + \text{Shtst}(w)\} & v \neq s \\ 0 & v = s \end{cases} \\ \text{NumPth}(v) &\leftarrow \begin{cases} \sum_{\{w \mid \text{Shtst}(v) = \text{length}(v, w) + \text{Shtst}(w)\}} \text{NumPth}(w) & v \neq s \\ 1 & v = s \end{cases} \end{aligned}$$

We will initialize  $\text{Shtst}[v]$  to  $\infty$  for all  $v \neq s$ , and  $\text{Shtst}[s]$  to 0. Also, we initialize  $\text{NumPth}[v]$  to 0 for all  $v \neq s$ , and  $\text{NumPth}[s]$  to 1.

Pseudo-code for the DFS follows.

```

DFSNumPth(v)
Done[v] ← True;
for each edge (v, w) do
    if not Done(w) then DFSNumPth(w)
    end if
    if Shtst[v] > length(v, w) + Shtst[w] then
        Shtst[v] ← length(v, w) + Shtst[w]; NumPth[v] ← NumPth[w]
    else
        if Shtst[v] = length(v, w) + Shtst[w] then
            NumPth[v] ← NumPth[v] + NumPth[w]
        end if
    end if
end for

```

As this code just adds constant time work to each recursive call, the running time of the DFS remains at  $O(|V| + |E|)$ .

4. We run DFS on  $T$  starting at its root to compute a pre-order and a post-order numbering. Let  $\text{pre}(v)$  and  $\text{post}(v)$ , respectively, be the pre- and post-order numbers assigned to vertex  $v$ . Then, if  $u$  is an ancestor of  $v$ , we will have  $\text{pre}(u) < \text{pre}(v)$  and  $\text{post}(u) > \text{post}(v)$ , because the search at  $u$  will start before the search at  $v$  and will end after. The symmetric result holds if  $v$  is an ancestor of  $u$ .

However, if  $u$  and  $v$  are unrelated, one search will start and finish before the other one ends. Suppose the search at  $u$  is the first to start. Then  $\text{pre}(u) < \text{pre}(v)$  and  $\text{post}(u) < \text{post}(v)$ .

The pre and post values are computed in  $O(|V| + |E|)$  time by the DFS. With these values in hand, one can determine in  $O(1)$  time whether  $u$  and  $v$  are related or not, and if related, which one is the ancestor.