CH1

CH2

CH3

CH4 Process Management, Thread Management

Process

process state diagram

- New
- Ready
- Running
- Waiting
- Terminal

PCB

1.Pocess state 2.Program counter 3.CPU registers 4.CPU-scheduling information 5.Memory-management information 6.Accounting information 7.I/O status information (8.Process ID)

scheduling criteria

- CPU utilization
- Throughput
- Turnaround time
- Waiting time
- Response time

CPU Scheduling Algorithm

- **FCFS**
- SJF
  - Non-preemptive SJF->**SJF**
  - Preemptive SJF->**SRJF**
- **RR**
- **priority**
  - aging
- **multilevel queues**
- **multilevel feedback queues**

Multiple-Processor scheduling

- ASMP(沒什麼好設計的)
- SMP
  - load balancing
    - push migration
    - pull migration
  - processor affinity
    - soft affinity
    - hard affinity

Real-Time system scheduling

若有Priority Inversion,用Priority Inheritance解決

- Hard real-time(preemptive kernel)
  - Rate-Monotonic scheduling
  - EDF scheduling
- Soft real-time(preemptive kernel)
  - 不提供aging

Threads

- private

  - program counter
  - CPU registers set
  - stack
  - local variables
  - thread ID

- shared

  - code section
  - data section(global data)
  - heap
  - static local variables
  - other OS resources(open files, signals, I/O resources,etc.)

- Benefits

  - **responsiveness**
  - **resource sharing**
  - **economy**
  - **scalability**(utilization of multiprocessors architecture)

- Thread management

  - user thread
    - provide a library entirely in user space with no kernel support
    - implement a kernel-level library supported directly by the OS
  - kernel thread

- multithreading models

    - Many-to-One model
    - One-to-One model
    - Many-to-Many model

- 2 strategies of creating multiple threads

    - Asynchronous threading(父,兒之thread concurrently execute)
    - Synchronous threading(父thread要等兒thread做完)

- Pthreads library

    - Pthreads is a specification
    - Run on UNIX
    - Can't run on windows os

CH5 Deadlock Management

- necessary conditions
    - **mutual exclution**
    - **hold and wait**
    - **no preemption**
    - **circular wait**
- resource-allocation graph
    - no cycle, no deadlock
    - 有cycle不一定有deadlock
        - if every resource only has exactly one instance, 有cycle就有deadlock
- methods for handling deadlocks

    - **deadlock prevent**

        - 破除mutual exclution條件(辦不到)
        - 破除hold and wait條件
        - 破除no preemption條件
        - 破除circular wait條件: resource ordering

    - **deadlock avoidance**

        - banker's algorithm($O(n^2m)$,n: process,m: resource)
        - if system consisting of **m** resources of the same type with **n** processes running in the system
            - $1 \leq MAX_i \leq m$
            - $\sum_{i=1}^{n} MAX_i < n+m$

    - **deadlock detection and recovery**

        - detect it, and recover(允許系統進入deadlock)
        - detection algorithm($O(n^2m)$,n: process,m: resource)

- **ignore deadlock**

  - Recovery from deadlock
    - process and thread termination
      - abort all deadlocked processes
      - abort one process at a time until the deadlock cycle is eliminated(盲目地砍一個)
    - resource preemption

CH6 Process Synchronization, IPC(InterProcess Communication)

  - synchronization: process因某些事情之發生 or 不發生,而被迫停頓,要等其他process do something 之後, 才可往下進行.

    - Producer-Consumer problem
    - Readers/Writers prblem
      - First variation
      - Second variation
    - The Sleeping barber problem
    - The dinning philosophers problem

  - 執行中程式可有兩種型態:

    - independent processes
      - 我的結果不會影響你,你的結果不會影響我
    - cooperation processes
      - 有某種程度的資訊交換
      - 允許process cooperation之理由
        - information sharing
        - computation speedup
        - modularity

  - 2 fundamental models of IPC

    - **Shared Memory**
    - **Message Passing**

Shared Memory

  - Race Condition problem

    - several processes access and manipulate the same data concurrently and the outcome of the execution depends on the particular order in which the access takes place.
    - resolve race condition problem 2 strategy

      - **disable interrupt**

      - **critical section design**

- 每個process內,access shared data之程式碼片段稱為critical section
- c.s.須滿足3性質
  - mutual exclusion
  - progress
  - bounded waiting
- critical section是要設計Entry section以及Exit section

```
while(true){
        Entry section;
        C.S.
        Exit sectin;
        R.S.
}
```

- 程式語言level
  - monitor
- OS SW tools(sys. call)level
  - mutex lock,semaphore
- 基礎
  - C.S. design
    - SW solution
      - peterson solution
    - HW support
      - memory barriers
      - test&set(&lock)
      - compare&set(&lock,0,1)
  - 非C.S. design
    - disable interrupt

- **peterson solution**

```
Pi                              Pj
while(true){                    while(true){
  flag[i]=true;/*表明有意*/        flag[j]=true;/*表明有意*/
  turn=j;/*禮讓對方*/             turn=i;/*禮讓對方*/
  while(flag[j] && turn==j);     while(flag[i] && turn==i);
  /*當對方有意且權杖在對方身上,則我等 */   /*當對方有意且權杖在對方身上,則我等 */
  C.S.                           C.S.
  flag[i]=false;/*手放下*/         flag[j]=false;/*手放下*/
  R.S.                           R.S.
}                               }
```
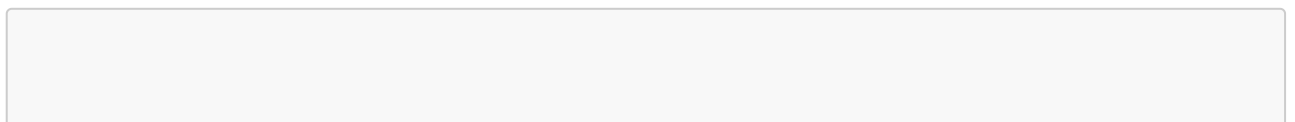
- **memory barriers**

```
Pi
while(true){
  turn=j;/*禮讓對方*/
  memory_barrier();
  flag[i]=true;/*表明有意*/
  C.S.
  flag[i]=false;/*手放下*/
  R.S.
}
```

- **test&set(&lock),compare&set(&lock,0,1)**

  - 是CPU特殊指令

    ```
    boolean test_and_set(boolean *target){
      boolean ret=*target;
      *target=false;
      return ret;
    }
    ```

    ```
    int CAS(int *value, int expected, int new_value){
      int temp=*value;
      if(*value==expected)
        *value=new_value;
      return temp;
    }
    ```

  - test&set,CAS用於critical section problem

    ```
    while(true){
      wairing[i]=true;
      key=true;
      while(waiting[i] && key)
        key=test_and_set(&lock); or key=CAS(&lock,0,1);//決一死戰,誰先搶到,誰
    先win
      waiting[i]=false;//Pi不用等了,可進入C.S.
      C.S.
      j=(i+1)%n;
      while(j!=i && !waiging[j])//找出下一個想進入C.S.之processj
        j=(j+1)%n;
      if(j==i)//此時無人想進入C.S.
        lock=false;//鑰匙掛高空,等人去搶
      else//Pj像進入C.S.
        waiting[j]=false;//Pj不用等了,可進入C.S.,此時lock為true
      R.S.
    }
    ```

- **mutex lock**

```
while(true){
  acquire lock;
  C.S.
  release lock;
  R.S.
}
```

- a mutex lock透過boolean variable: available,用以指示the lock is available or not.

- 提供兩個atomic operations:

  - acquire()

```
acquire(){
  while(!available);//if lock被取走就卡
  available=false;//lock被Pi取走
}
```

  - release()

```
release(){
  available=true;
}
```

- 利用cpu硬體指令完成mutex lock

```
typedef struct{
  int available;//0->lock is available,1->lock is unavailable
}lock;

lock mutex;
//使用CAS製作acquire
void acquire(lock *mutex){
  while(CAS(&mutex->available,0,1)!=0);
  return;
}
//使用test_and_set製作acquire
void acquire(lock *mutex){
  while(test_and_set(&mutex->available)!=0);
  return;
}
void release(lock *mutex){
  mutex->available=0;
```

```
    return;
}
```

- **semaphore**
  - semaphore is a data type based on int
  - semaphore只能透過兩個atomic operation來存取
    - wait() or P()

      ```
      wait(s){
        while(s<=0);
        s--;
      }
      ```

    - signal() or V()

      ```
      signal(s){
        s++;
      }
      ```

  - 用於C.S. design

    ```
    semaphore mutex=1;
    Pi
    wait(mutex);
    C.S.
    signale(mutex);
    R.S.
    ```

- **monitor**
  - a monitor type is a ADT(Abstract Data Type),想像成class,包含三部分
    - 共享變數宣告
    - a set of programmer-defined operations
    - 初始區
  - monitor本身已保證了互斥性質
    - the monitor construct ensures that only **one** procss at a time is **active** within the monitor
      - 如此保證了monitor內的shared variables不會發生race condition problem
    - 代表programmer無需煩惱race condition problem,只需專心解決synchronization
  - condition變數

    - 為了讓programmer可以用monitor解決synchronization problem,需提供一種特殊形態變數,即condition type variables

- 宣告格式:

```
condition x,y;
```

- 此變數只有兩種operation提供呼叫:

    - x.wait()
        - 類似block() sys. call
    - x.signal()
        - 類似wakeup() sys. call
        - default is FIFO Queue

liveness (是一個好性質,但沒考過)

- system 必須滿足確保processes make progress during their execution life cycle

Message Passing IPC

- **無須**共享相同的位址空間,在分散式系統中特別有用.

- 兩個processes要溝通,步驟如下:

    - 建立communication link
    - messages 相互傳輸
    - 傳輸完畢, release communication link

- OS提供至少兩種system calls

    - send(message)
    - receive(message)

- messages sent by a process can be either **fixed** or **variable** in size.

- message passing

    - direct communication
        - symmetric
            - 收送雙方皆須相互指名對方process ID才能建立通訊鏈結
                - send(Q, message)
                - receive(P, message)
        - asymmetric(跟e-mail很像)
            - 只有sender需指名recipient的process ID
                - send(Q, message)
                - receive(id, message)
    - indirect communication
        - 收送雙方是透過共享的mailbox來溝通, each mailbox有自己獨一無二的ID
            - send(mailbox, message)
            - receive(mailbox, message)

- synchronization

    - message passing 可以是blocking(synchronous) or nonblocking(asynchronous)
        - Blocking send
        - Nonblocking send
        - Blocking receive
        - Nonblocking receive
    - 如果收送雙方皆是採用Blocking_send()即blocking_receive(),則此同步模式叫:rendezvous

- Buffering(message queue's size)

    - zero capacity
        - also called rendezvous
    - bounded capacity
    - unbounded capacity
        - the sender doesn't have to be blocked

CH7 Main Memory

Binding Time

- compile time
- loading time
- execution time

Memory Management methods in OS

- **Contiguous Memory Allocation**
    - external fragmetation
        - First Fit
        - Best Fit
        - Worst Fit
- **Page**
    - internal fragmentation
    - page table
        - hierarchical paging
        - hashed page table
        - inverted page table
- **Segment**
    - external fragmentation
    - Base and Limit
- Paged Segment

CH8 Virtual Memory

- 實現Virtual Memory 技術: Demand Paging -pure demand paging -prepaging

Page Replacement Algorithm(沒有最差,只有最佳)

- **FIFO**(belady's anomaly)

- **OPT**(stack property)

- **LRU**(stack property)

- LRU-approximation(stack property)

    - **Additional regerence bits usage**
    - **Second cance**
    - **Enhanced second chance**

- **LFU**(belady's anomaly)

- **MFU**(belady's anomaly)

- **Thrashing**

    - CPU utilization down
    - Paging I/O devices 異常忙碌
    - processes spends more time on paging I/O than normal execution
        - technique to handle Thrashing
            - **decrease multiprogramming degree**(已經thrashing)
            - **page fault frequency control**
            - **working set model**

- Allocation Kernel Memory

    - Buddy system
    - Slab allocation(has no internel,externel fragmentation)

CH9 Massive Storage System

Hard Disk

- cylinder
- tracks
- sectors(磁碟控制器控制read,write之基本單位)
- Disk Access Time
    - Seek Time
    - Rotatinal latency
    - Transfer Time

Free-Space Management

- Bit vector
- Linked List
    - Grouping

- Counting

File Allocation Methods

- Contiguous Allocation
- Linked Allocation
  - 變形: FAT
- Indexed Allocation
  - Linked scheme
  - Multilevel index
  - Combined scheme(UNIX i-Node structure)

HDD scheduling(沒有最好與最差之法則)

- **FCFS**
- **SSTF**
- **SCAN**
  - elevator
- **C-SCAN**
- **LOOK**
  - elevator
- **C-LOOK**

RAID

- improvement of <u>reliability</u> via reduncance

  - mirror
  - parity chech

- improvement in <u>performance</u> via parallelism

  - data striping
    - bits-level
    - block-level

- RAID0(N部)

  - block-level striping

- RAID1(mirror)(N/2部)

- RAID2(ECC-Error-Correcting Code)

  - 沒有實際產品

- RAID3(ECC-Error-Correcting Code)(N+1部)

  - bit-level striping
  - parity check

- RAID4(ECC-Error-Correcting Code)(N+1部)

- block-levle striping
- parity check

- RAID5(ECC-Error-Correcting Code)(N+1部)

- RAID6(ECC-Error-Correcting Code)(N+2部)

- RAID1+RAID0(更好)

- RAID0+RAID1

File Directory Structure

- Tree-structured Directory
- Acyclic Graph Directory
- General Graph Directory(允許有cycle)

File Access Control

- Owner, Group, Other
- RWX(Read, Write, eXecute)
- command: `chmod 755 file`

Consistency Semantic

- UNIX semantic
    - 訂票系統
- Session semantic
    - 網站上的檔案提供下載讓user填寫
- Immutable-Shared-Files semantic
    - 總經理公告文件第3009號

NAS(Network-Attached Strage)

- File-based operation
- 會占用網路頻寬

SAN(Storage-Area Network)

- Block-based operation
- private network
- 不佔用一般網路頻寬