

# XUANSHENG WU

Email: wuxsmai@163.com

Phone: +1 (213)-376-9286

Homepage: jacksonwuxs.github.io

## EDUCATION

University of Georgia

08/2021 - 12/2025

- *Ph.D. in Computer Science* (Advisor: Dr. Ninghao Liu).

Shanghai University of International Business and Economics

09/2016 - 06/2020

- *B.S. in Applied Statistics* (Advisor: Dr. Chengcheng Hao).

## HONORS & AWARDS

- Awarded **170+ citations** on Google Scholar 07/2024
- Awarded **800+ stars** on Github for first-author projects 07/2024
- NSF Student Travel Award for attending WWW 2024 03/2024
- 2021 Tencent Advertising Algorithm Competition - **Top 1.7%** 07/2021
- Baidu Python Good Coder - **1/22** 03/2021
- Shanghai Aijian Scholarship - **Top 1%** 01/2020
- Research Pioneer Award of Shanghai University of International Business and Economics - **Top1%** 08/2019
- 2nd Prize of China Programming Contest for College Students - **Top 4.5%** 08/2019
- Single-subject Scholarship of Shanghai University of International Business and Economics - **1/125** 11/2017
- 1<sup>st</sup> Asian University Archery Championship - **3rd** place in Men Group 04/2017

## PUBLICATIONS

*Research Interests: LLM Interpretability<sup>[1, 2, 3, 5]</sup>, LLM Evaluation<sup>[1, 3, 4, 5]</sup>, In-context Learning<sup>[2, 3, 6, 8, 10, 12]</sup>, and RecSys<sup>[2, 7]</sup>.*

- [1] Understanding the Behavior Shift in LLMs after Instruction Tuning, **NAACL (Oral)**, 2024.
- [2] Could Small Language Models Serve as Recommenders, **WWW (Oral)**, 2024.
- [3] Unveiling Scoring Processes: Dissecting Differences between LLMs and Human Graders, ArXiv, 2024.
- [4] InFoBench: Evaluating Instruction Following Ability in Large Language Models, **ACL Findings**, 2024.
- [5] Usable XAI: 10 Strategies Towards Exploiting Explainability in the LLM Era, ArXiv, 2024.
- [6] Retrieval-Augmented In-context Model Editing for Multi-hop Question Answering, **CIKM**, 2024.
- [7] DIRECT: Dual Interpretable Recommendation with Multi-aspects Word Attribution, **TIST**, 2024.
- [8] Applying Large Language Models and Chain-of-Thought for Automatic Scoring, **CEAI**, 2024.
- [9] Black-box Backdoor Defense via Zero-shot Image Purification, **NIPS**, 2023.
- [10] MeNSP: Matching Exemplar as Next Sentence Prediction, **AIED (Oral)**, 2023.
- [11] NoPPA: Non-Parametric Pairwise Attention Random Walk Model for Sentence Representation, ArXiv, 2023.
- [12] A survey of graph prompting methods: techniques, applications, and challenges, ArXiv, 2023.
- [13] Artificial General Intelligence (AGI) for Education, ArXiv 2023.
- [14] Rethinking the Impacts of Overfitting and Feature Quality on Small-scale Video Classification, **ACM MM (Oral)**, 2021.
- [15] Lifestyle-based Approach for Cervical Cancer Screening, **ICDATA**, 2018.
- [16] Optimization of Value Average Strategy in China Stock Market, **China Collective Economy**, 2018.

## INTERSHIPS

Samsung – Samsung Ads

Research Intern

06/2024 - 08/2024

- Optimized a RAG system based on internal documentation of Samsung Ads by introducing query paraphrase, document reranking, and document semantic deduplication to improve retrieval quality, improved from 2.9 to **3.7/5**.
- Identify key challenges in developing benchmarks for real-world RAG systems: (1) limited human annotated domain datasets, (2) limited access to SOTA LLMs for privacy concerns. Evaluation is significantly suffered from hallucinations.
- Proposed using the concept-level explanations of internal representations of non-SOTA LLMs as evaluation metrics.

Tencent – Tencent AI Lab

Research Intern

05/2023 - 08/2023

- Proposed a series of local and global explanation methods for interpreting transformer-based language models: (1) analyze the importance of each prompt token to the generated text, (2) understand the knowledge encoded by LLMs based on the activated patterns of the parameters of self-attention heads and feed-forward networks.
- Proposed to study the impacts of instruction tuning by comparing the explanations from the pre-trained and fine-tuned models. We found that: (1) instruction tuned models more effectively recognize instruction from user prompts to drive

the response generation; (2) self-attention heads from shallow layers learn more word-pairs about instruction verbs than general verbs; (3) feedforward networks slightly rotate their pre-trained knowledge to adapt to downstream tasks.

**Baidu - Department of Natural Language Process      Applied Research Intern** 09/2020 - 04/2021

- Raised the Long Text Semantic Segmentation Task to alleviate the negative impact of distorted paragraphs on downstream searching services. Proposed a new training objective and a synthetic data construction strategy and achieved segmentation task **F1=72.31%**. This service is applied to support Baidu Top-1 Search and FAQ Mining System.
- Investigated the bottleneck of the low GPU utilization problem on the FAQ Mining System. Proposed a new architecture consisting of three components, namely Proxy, Scheduler, and Operator, which parallelly handles requests and schedules the GPU-based services dynamically according to the payload of each service. Designed a low-resource scheduling algorithm to assign services for multiple GPUs. Improved GPU Utilization by **2.9X** and QPS by **4.1X**.
- Worked with coworkers to improve searching experience of Baidu Top-1 Search under metrics **Good:Same:Bad=28:6:1** by optimizing services of Truncation Detection, Enumerate Answers, and Multi-Resources Recall.
- Deployed a query filter service for queries that require videos as answers (VQA) based on GBDT with **F1=93.43%**.

**Pingan OneConnect Co., Ltd - Institute of Big Data      Applied Research Intern** 01/2019 - 06/2019

- Proposed using Feature Context-Free Grammar for the Seq2SQL task, new solution supports **34** external patterns.
- Completed intention recognition task with **Kappa=77.21%** through TF-IDF + BoW + MLP in one day.
- Developed an automatic machine learning module including correlation, clustering and time series analysis.

**Shanghai Ronghao Investment and Management Co., Ltd      Data Analysis Intern** 01/2018 - 02/2018

- Designed and tested a short-term trading strategy by analyzing over **40** million millisecond transaction records.

## **RESEARCHES (Selected)**

**Dissecting Differences between LLM Graders and Human Graders** 02/2024 - 05/2024

- Evaluated whether LLM graders behave the same as human graders by comparing their crafted analytic rubrics.
- Observed that LLMs generally understand assessment items as humans, however, LLMs find shortcuts for automatic scoring if some human-graded samples are provided, highlighting the risks of using common LLMs for education.

**Usable XAI** 11/2023 - 02/2024

- Proposed the next step of explainable AI should be to let foundation models benefit from their explanations.
- Managed the entire team to conduct experiments of case studies to support our proposed utilities of XAI on LLMs.
- Key findings: (1) attribution scores between prompt-response tokens can be used to measure response quality (e.g., hallucination and correctness); (2) influence functions can be used to evaluate the generalizability of LLMs; (3) chain-of-thought strategy typically faithfully explain the behaviors of LLMs for end users.

**Could Small Language Models Serve as System Cold-start Recommenders?** 09/2022 - 09/2023

- Formalized the system cold-start recommendation problem and launched the first benchmark for this challenge.
- Provided a mathematical framework of the in-context recommendation under the Hidden Markov assumption and demonstrated that in-context recommendation ability could be enhanced by model and prompt pre-training.
- Proposed a corpus refinement method and a decoupled prompt pretraining method to enhance small language models, empowered BERT-mini (11M parameters) achieves comparable performance with BERT-large (330M parameters).

**Matching Exemplars as Next Sentence Prediction for Automatic Scoring** 04/2022 - 08/2022

- Proposed to develop scoring systems by using pre-trained language models to relax the need for training samples.
- Transformed the scoring task as multiple-choices problems achieved with Next-Sentence-Prediction task of BERT.

**DIRECT: Dual Interpretable Recommendation with Multi-aspect Word Attribution** 04/2022 - 08/2022

- Proposed a review-based interpretable recommender system, predicting user preferences by averaging sentiment polarities of words weighted by word importance, where a word is important if it corresponds to an aspect of the item.
- Employed a concept-bottleneck layer and maximized the coding rate reduction on the space of aspect representations by leveraging a word-word affinity graph extracted from a pre-trained language model to learn discriminative aspects.
- Quantified experiments and case studies showed that DIRECT is comparable to SOTAs but provides clear explanations.

**NoPPA: Non-Parametric Pairwise Attention Random Walk Model for Sentence Representation** 09/2021 - 11/2021

- Proposed a novel sentence encoder by integrating the non-parametric self-attention into the bag-of-words model.
- Applied a kernel function to construct contextual word embeddings based on both positional and semantic embeddings.
- Evaluated NoPPA on eight different tasks and exceeded the bag-of-words-based baselines by **Acc=2.89%** on average.

**2021 Tencent Advertising Algorithm Competition: Multimodal Video Ads Tagging** 05/2021 - 07/2021

- Investigated that the baseline InceptionV3+Vggish+BERT+Resnet50-->NextVLAD-->ContextGate-->MLP has two issues: overfitting and outdated feature extractor, used the following solutions to improve it from 76.10% to **GAP=82.10%**.

- Removed the center frame modal as a redundant modality; tuned dropout rate; applied data augment strategies.
- Upgraded feature extractor; Introduced Temporal Shift Model; Fused ASR captions within image flow.

## PROJECTS (Selected)

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**Reproduction of Forward-Forward Algorithm** 01/2023

- Reproduced the Forward-Forward algorithm proposed by Geoffrey Hinton with Numpy, awarded **140+** stars on Github.

**DaPy: An easy-to-use data analysis framework for humans** 09/2017 - Present

- Designed more user-friendly APIs than Pandas with Python built-in data structures, awarded **580+** stars on Github.
- Achieved comparable efficiency with Pandas by using MemoryView, Cache-Friendly Operations, and Binary Search Index.

**BeeDrive: Open Source Privacy File Transferring System for Teams and Individuals** 09/2017 - Present

- Design a lite-weight Python package for high-speed remotely file management, awarded **10+** stars on Github.
- Implemented a data encryption protocol based on MD5 and AES for file transferring, no TLS (SSL) certificate is needed.
- Implemented a built-in network address translation service to help individuals access their home-kept files from outside

**Distributed Archery Events Supporting System based on B/S+C/S Hybrid Architecture** 12/2017 - 12/2018

- Proposed "B/S + C/S Hybrid Layout Architecture" to ensure high efficiency and high stability concurrently.
- Supported the 2018 Chinese University Archery Championships, handling peak system traffic of **1430** visits/second.

## TEACHING & TALKS

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- Invited talk at research seminar of School of Computing, University of Georgia. 04/2024
- Teaching Assistant of CSCI 4380/6380 Data Mining, University of Georgia. 01/2022 - 05/2022
- Teaching Assistant of Archery Club, Shanghai Foreign Language Primary School. 09/2017 - 12/2018

## SERVICES

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- Transaction Reviewers: TNNLS, TKDE, & TOIS.
- Conference Reviewers: NIPS 2024, EMNLP 2024, CIKM 2024, FM-Wild Workshop in ICML 2024, & R2-FM Workshop in ICLR 2024.

## OTHERS

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- Mandarin (fluent), English (fluent), Cantonese (novice).
- Python, Linux, SQL, C, SPSS, MATLAB.
- Archery (part-time coach 1+ years), Running (10KM@5'30" pace), Basketball, Traveling w/ my girlfriend and family.