# **XUANSHENG WU**

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#### **EDUCATION**

**University of Georgia** 08/2021 - 12/2025 Ph.D. in Computer Science (Advisor: Dr. Ninghao Liu). **Shanghai University of International Business and Economics** 09/2016 - 06/2020 B.S. in **Applied Statistics** (Advisor: Dr. Chengcheng Hao). **HONORS & AWARDS** Awarded 260+ citations on Google Scholar 10/2024 Awarded **850+ stars** on Github for first-author projects 10/2024 NSF Student Travel Award for attending WWW 2024 03/2024

2021 Tencent Advertising Algorithm Competition - Top 1.7% 07/2021 Baidu Python Good Coder - 1/22 03/2021

Shanghai Aijian Scholarship - Top 1% 01/2020

Research Pioneer Award of Shanghai University of International Business and Economics - Top1% 08/2019

2nd Prize of China Programming Contest for College Students - Top 4.5% 08/2019

Single-subject Scholarship of Shanghai University of International Business and Economics - 1/125 11/2017

04/2017 1<sup>st</sup> Asian University Archery Championship - **3rd** place in Men Group

Research Interests: LLM Interpretability<sup>[1, 2, 3, 4, 6]</sup>, LLM Evaluation<sup>[2, 4, 5, 6]</sup>, In-context Learning<sup>[3, 4, 7, 9, 11, 13]</sup>, and  $RecSys^{[3, 8]}$ . Note: \* indicates the first-author publications.

- [1] \*Interpreting and Steering LLM Behaviors with Mutual Information-based Explanations on SAE, OpenReview, 2024.
- [2] \*Understanding the Behavior Shift in LLMs after Instruction Tuning, NAACL (Oral), 2024.
- [3] \*Could Small Language Models Serve as Recommenders, WWW (Oral), 2024.
- [4] \*Unveiling Scoring Processes: Dissecting Differences between LLMs and Human Graders, ArXiv, 2024.
- [5] InFoBench: Evaluating Instruction Following Ability in Large Language Models, ACL Findings, 2024.
- [6] \*Usable XAI: 10 Strategies Towards Exploiting Explainability in the LLM Era, ArXiv, 2024.
- [7] Retrieval-Augmented In-context Model Editing for Multi-hop Question Answering, CIKM, 2024.
- [8] \*DIRECT: Dual Interpretable Recommendation with Multi-aspects Word Attribution, TIST, 2024.
- [9] Applying Large Language Models and Chain-of-Thought for Automatic Scoring, CEAI, 2024.
- [10] Black-box Backdoor Defense via Zero-shot Image Purification, NIPS, 2023.
- [11] \*MeNSP: Matching Exemplar as Next Sentence Prediction, AIED (Oral), 2023.
- [12] \*NoPPA: Non-Parametric Pairwise Attention Random Walk Model for Sentence Representation, ArXiv, 2023.
- [13] \*A survey of graph prompting methods: techniques, applications, and challenges, ArXiv, 2023.
- [14] Artificial General Intelligence (AGI) for Education, ArXiv 2023.
- [15] \*Rethinking the Impacts of Overfitting and Feature Quality on Small-scale Video Classification, ACM MM (Oral), 2021.
- [16] \*Lifestyle-based Approach for Cervical Cancer Screening, ICDATA, 2018.
- [17] \*Optimization of Value Average Strategy in China Stock Market, China Collective Economy, 2018.

#### **INTERNSHIPS**

#### Samsung – Samsung Ads **Research Intern**

06/2024 - 08/2024

- Optimized a RAG system based on internal documentation of Samsung Ads by introducing query paraphrase, document reranking, and document semantic deduplication to improve retrieval quality, improved from 2.9 to 3.7/5.
- Identify key challenges in developing benchmarks for real-world RAG systems: (1) limited human annotated domain datasets, (2) limited access to SOTA LLMs for privacy concerns. Evaluation is significantly suffered from hallucinations.
- Proposed using the concept-level explanations of internal representations of non-SOTA LLMs as evaluation metrics.

#### Tencent – Tencent Al Lab **Research Intern**

05/2023 - 08/2023

Proposed a series of local and global explanation methods for interpreting transformer-based language models: (1) analyze the importance of each prompt token to the generated text, (2) understand the knowledge encoded by LLMs based on the activated patterns of the parameters of self-attention heads and feed-forward networks.

• Proposed to study the impacts of instruction tuning by comparing the explanations from the pre-trained and fine-tuned models. We found that: (1) instruction tuned models more effectively recognize instruction from user prompts to drive the response generation; (2) self-attention heads from shallow layers learn more word-pairs about instruction verbs than general verbs; (3) feedforward networks slightly rotate their pre-trained knowledge to adapt to downstream tasks.

#### Baidu - Department of Natural Language Process Applied Research Intern

09/2020 - 04/2021

- Raised the Long Text Semantic Segmentation Task to alleviate the negative impact of distorted paragraphs on downstream searching services. Proposed a new training objective and a synthetic data construction strategy and achieved segmentation task F1=72.31%. This service is applied to support Baidu Top-1 Search and FAQ Mining System.
- Investigated the bottleneck of the low GPU utilization problem on the FAQ Mining System. Proposed a new architecture consisting of three components, namely Proxy, Scheduler, and Operator, which parallelly handles requests and schedules the GPU-based services dynamically according to the payload of each service. Designed a low-resource scheduling algorithm to assign services for multiple GPUs. Improved GPU Utilization by 2.9X and QPS by 4.1X.
- Worked with coworkers to improve searching experience of Baidu Top-1 Search under metrics **Good:Same:Bad=28:6:1** by optimizing services of Truncation Detection, Enumerate Answers, and Multi-Resources Recall.
- Deployed a query filter service for queries that require videos as answers (VQA) based on GBDT with F1=93.43%.

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01/2019 - 06/2019

- Proposed using Feature Context-Free Grammar for the Seq2SQL task, new solution supports 34 external patterns.
- Completed intention recognition task with Kappa=77.21% through TF-IDF + BoW + MLP in one day.
- Developed an automatic machine learning module including correlation, clustering and time series analysis.

Shanghai Ronghao Investment and Management Co., Ltd

**Data Analysis Intern** 

01/2018 - 02/2018

Designed and tested a short-term trading strategy by analyzing over 40 million millisecond transaction records.

#### RESEARCHES (Selected)

#### **Dissecting Differences between LLM Graders and Human Graders**

02/2024 - 05/2024

- Evaluated whether LLM graders behave the same as human graders by comparing their crafted analytic rubrics.
- Observed that LLMs generally understand assessment items as humans, however, LLMs find shortcuts for automatic scoring if some human-graded samples are provided, highlighting the risks of using common LLMs for education.

Usable XAI 11/2023 - 02/2024

- Proposed the next step of explainable AI should be to let foundation models benefit from their explanations.
- Managed the entire team to conduct experiments of case studies to support our proposed utilities of XAI on LLMs.
- Key findings: (1) attribution scores between prompt-response tokens can be used to measure response quality (e.g., hallucination and correctness); (2) influence functions can be used to evaluate the generalizability of LLMs; (3) chain-of-thought strategy typically faithfully explain the behaviors of LLMs for end users.

#### **Could Small Language Models Serve as System Cold-start Recommenders?**

09/2022 - 09/2023

- Formalized the system cold-start recommendation problem and launched the first benchmark for this challenge.
- Provided a mathematical framework of the in-context recommendation under the Hidden Markov assumption and demonstrated that in-context recommendation ability could be enhanced by model and prompt pre-training.
- Proposed a corpus refinement method and a decoupled prompt pretraining method to enhance small language models, empowered BERT-mini (11M parameters) achieves comparable performance with BERT-large (330M parameters).

#### Matching Exemplars as Next Sentence Prediction for Automatic Scoring

04/2022 - 08/202

- Proposed to develop scoring systems by using pre-trained language models to relax the need for training samples.
- Transformed the scoring task as multiple-choices problems achieved with Next-Sentence-Prediction task of BERT.

### DIRECT: Dual Interpretable Recommendation with Multi-aspect Word Attribution

04/2022 - 08/2022

- Proposed a review-based interpretable recommender system, predicting user preferences by averaging sentiment polarities of words weighted by word importance, where a word is important if it corresponds to an aspect of the item.
- Employed a concept-bottleneck layer and maximized the coding rate reduction on the space of aspect representations by leveraging a word-word affinity graph extracted from a pre-trained language model to learn discriminative aspects.
- Quantified experiments and case studies showed that DIRECT is comparable to SOTAs but provides clear explanations.

#### NoPPA: Non-Parametric Pairwise Attention Random Walk Model for Sentence Representation 09

09/2021 - 11/202

- Proposed a novel sentence encoder by integrating the non-parametric self-attention into the bag-of-words model.
- Applied a kernel function to construct contextual word embeddings based on both positional and semantic embeddings.
- Evaluated NoPPA on eight different tasks and exceeded the bag-of-words-based baselines by Acc=2.89% on average.

- Investigated that the baseline InceptionV3+Vggish+BERT+Resnet50-->NextVLAD-->ContextGate-->MLP has two issues: overfitting and outdated feature extractor, used the following solutions to improve it from 76.10% to **GAP=82.10**%.
- Removed the center frame modal as a redundant modality; tuned dropout rate; applied data augment strategies.
- Upgraded feature extractor; Introduced Temporal Shift Model; Fused ASR captions within image flow.

## **PROJECTS (Selected)**

#### Reproduction of Forward-Forward Algorithm

01/2023

• Reproduced the Forward-Forward algorithm proposed by Geoffrey Hinton with Numpy, awarded 140+ stars on Github.

### DaPy: An easy-to-use data analysis framework for humans

09/2017 - Preser

- Designed more user-friendly APIs than Pandas with Python built-in data structures, awarded 580+ stars on Github.
- Achieved comparable efficiency with Pandas by using MemoryView, Cache-Friendly Operations, and Binary Search Index.

#### BeeDrive: Open Source Privacy File Transferring System for Teams and Individuals

09/2017 - Prese

- Designed a lite-weight Python package for high-speed remote file management, awarded 10+ stars on Github.
- Implemented a data encryption protocol based on MD5 and AES for file transferring, no TLS (SSL) certificate is needed.
- Implemented a built-in network address translation service to help individuals access their home-kept files from outside.

#### Distributed Archery Events Supporting System based on B/S+C/S Hybrid Architecture

12/2017 - 12/20

- Proposed "B/S + C/S Hybrid Layout Architecture" to ensure high efficiency and high stability concurrently.
- Supported the 2018 Chinese University Archery Championships, handling peak system traffic of 1430 visits/second.

#### **TEACHING & TALKS**

• Invited talk at research seminar of School of Computing, University of Georgia.

04/2024

Teaching Assistant of CSCI 4380/6380 Data Mining, University of Georgia.

01/2022 - 05/2022

• Teaching Assistant of Archery Club, Shanghai Foreign Language Primary School.

09/2017 - 12/2018

## **SERVICES**

- Transaction Reviewers: TNNLS, TKDE, & TOIS.
- Conference Reviewers: NIPS 2024, EMNLP 2024, CIKM 2024, FM-Wild Workshop in ICML 2024, & R2-FM Workshop in ICLR 2024.

# **OTHERS**

- Mandarin (fluent), English (fluent), Cantonese (novice).
- Python, Linux, SQL, C, SPSS, MATLAB.
- Archery (part-time coach 1+ years), Running (10KM@5'30" pace), Basketball, Traveling w/ my girlfriend and family.