

# Review.



Theory: If you drink you must be at least 18.

Which cards do you turn over?

Drink  $\implies$  " $\geq 18$ "

" $< 18$ "  $\implies$  Don't Drink.

# CS70: Lecture 2. Outline.

Today: Proofs!!!

1. By Example.
2. Direct. (Prove  $P \implies Q.$ )
3. by Contraposition (Prove  $P \implies Q$ )
4. by Contradiction (Prove  $P.$ )
5. by Cases

If time: discuss induction.

# Quick Background and Notation.

Integers closed under addition.

$$a, b \in \mathbb{Z} \implies a + b \in \mathbb{Z}$$

$a|b$  means “a divides b”.

$2|4$ ? Yes! Since for  $q = 2$ ,  $4 = (2)2$ .

$7|23$ ? No! No  $q$  where true.

$4|2$ ? No!

Formally:  $a|b \iff \exists q \in \mathbb{Z}$  where  $b = aq$ .

$3|15$  since for  $q = 5$ ,  $15 = 3(5)$ .

A natural number  $p > 1$ , is **prime** if it is divisible only by 1 and itself.

# Direct Proof.

**Theorem:** For any  $a, b, c \in Z$ , if  $a|b$  and  $a|c$  then  $a|(b - c)$ .

**Proof:** Assume  $a|b$  and  $a|c$

$$b = aq \text{ and } c = aq' \text{ where } q, q' \in Z$$

$$b - c = aq - aq' = a(q - q') \text{ Done?}$$

$(b - c) = a(q - q')$  and  $(q - q')$  is an integer so

$$a|(b - c)$$

□

Works for  $\forall a, b, c$ ?

Argument applies to every  $a, b, c \in Z$ .

Direct Proof Form:

Goal:  $P \implies Q$

Assume  $P$ .

...

Therefore  $Q$ .

## Another direct proof.

Let  $D_3$  be the 3 digit natural numbers.

Theorem: For  $n \in D_3$ , if the alternating sum of digits of  $n$  is divisible by 11, than  $11|n$ .

$$\forall n \in D_3, (11|\text{alt. sum of digits of } n) \implies 11|n$$

Examples:

$n = 121$  Alt Sum:  $1 - 2 + 1 = 0$ . Divis. by 11. As is 121.

$n = 605$  Alt Sum:  $6 - 0 + 5 = 11$  Divis. by 11. As is  $605 = 11(55)$

**Proof:** For  $n \in D_3$ ,  $n = 100a + 10b + c$ , for some  $a, b, c$ .

Assume: Alt. sum:  $a - b + c = 11k$  for some integer  $k$ .

Add  $99a + 11b$  to both sides.

make it concrete.

$$100a + 10b + c = 11k + 99a + 11b = 11(k + 9a + b)$$

Left hand side is  $n$ ,  $k + 9a + b$  is integer.  $\implies 11|n$ . □

Direct proof of  $P \implies Q$ :

Assumed  $P$ :  $11|a - b + c$ . Proved  $Q$ :  $11|n$ .

# The Converse

Thm:  $\forall n \in D_3, (\text{11|alt. sum of digits of } n) \implies \text{11|}n$

Is converse a theorem?

$\forall n \in D_3, (\text{11|}n) \implies (\text{11|alt. sum of digits of } n)$

Yes? No?

# Another Direct Proof.

Theorem:  $\forall n \in D_3, (11|n) \implies (11|\text{alt. sum of digits of } n)$

**Proof:** Assume  $11|n$ .

$$n = 100a + 10b + c = 11k \implies$$

$$99a + 11b + (a - b + c) = 11k \implies$$

$$a - b + c = 11k - 99a - 11b \implies$$

$$a - b + c = 11(k - 9a - b) \implies$$

$$a - b + c = 11\ell \text{ where } \ell = (k - 9a - b) \in \mathbb{Z}$$

That is  $11|\text{alternating sum of digits.}$



Note: similar proof to other. In this case every  $\implies$  is  $\iff$

Often works with arithmetic properties ...

...**not** when multiplying by 0.

We have.

Theorem:  $\forall n \in N', (11|\text{alt. sum of digits of } n) \iff (11|n)$

# Proof by Contraposition

Thm: For  $n \in \mathbb{Z}^+$  and  $d|n$ . If  $n$  is odd then  $d$  is odd.

$n = 2k + 1$  what do we know about  $d$ ?

What to do? Is it even true?

Hey, that rhymes ...and there is a pun ... colored blue.  
Anyway, what to do?

Goal: Prove  $P \implies Q$ .

Assume  $\neg Q$

...and prove  $\neg P$ .

Conclusion:  $\neg Q \implies \neg P$  equivalent to  $P \implies Q$ .

**Proof:** Assume  $\neg Q$ :  $d$  is even.  $d = 2k$ .

$d|n$  so we have

$$n = qd = q(2k) = 2(kq)$$

$n$  is even.  $\neg P$



# Another Contraposition...

**Lemma:** For every  $n$  in  $N$ ,  $n^2$  is even  $\Rightarrow n$  is even. ( $P \Rightarrow Q$ )

$n^2$  is even,  $n^2 = 2k$ , ...  $\sqrt{2k}$  even?

**Proof by contraposition:**  $(P \Rightarrow Q) \equiv (\neg Q \Rightarrow \neg P)$

$P = 'n^2 \text{ is even}'$  .....  $\neg P = 'n^2 \text{ is odd}'$

$Q = 'n \text{ is even}'$  .....  $\neg Q = 'n \text{ is odd}'$

Prove  $\neg Q \Rightarrow \neg P$ :  $n \text{ is odd} \Rightarrow n^2 \text{ is odd.}$

$$n = 2k + 1$$

$$n^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1.$$

$n^2 = 2l + 1$  where  $l$  is a natural number..

... and  $n^2$  is odd!

$\neg Q \Rightarrow \neg P$  so  $P \Rightarrow Q$  and ...



# Proof by contradiction: form

**Theorem:**  $\sqrt{2}$  is irrational.

Must show: For every  $a, b \in \mathbb{Z}$ ,  $(\frac{a}{b})^2 \neq 2$ .

A simple property (equality) should always “not” hold.

Proof by contradiction:

**Theorem:**  $P$ .

$$\neg P \implies P_1 \dots \implies R$$

$$\neg P \implies Q_1 \dots \implies \neg R$$

$$\neg P \implies R \wedge \neg R \equiv \text{False}$$

or  $\neg P \implies \text{False}$

Contrapositive of  $\neg P \implies \text{False}$  is  $\text{True} \implies P$ .

Theorem  $P$  is proven. □

# Contradiction

**Theorem:**  $\sqrt{2}$  is irrational.

Assume  $\neg P$ :  $\sqrt{2} = a/b$  for  $a, b \in \mathbb{Z}$ .

Reduced form:  **$a$  and  $b$  have no common factors.**

$$\sqrt{2}b = a$$

$$2b^2 = a^2 = 4k^2$$

$a^2$  is even  $\implies a$  is even.

$a = 2k$  for some integer  $k$

$$b^2 = 2k^2$$

$b^2$  is even  $\implies b$  is even.

**$a$  and  $b$  have a common factor.** Contradiction.



# Proof by contradiction: example

**Theorem:** There are infinitely many primes.

**Proof:**

- ▶ Assume finitely many primes:  $p_1, \dots, p_k$ .
- ▶ Consider number

$$q = (p_1 \times p_2 \times \cdots \times p_k) + 1.$$

- ▶  $q$  cannot be one of the primes as it is larger than any  $p_i$ .
- ▶  $q$  has prime divisor  $p$  (“ $p > 1$ ” = R) which is one of  $p_i$ .
- ▶  $p$  divides both  $x = p_1 \cdot p_2 \cdots p_k$  and  $q$ , and divides  $x - q$ ,
- ▶  $\Rightarrow p|x - q \Rightarrow p \leq \underbrace{x - q}_{\sim\!\sim\!\sim} = 1$ .
- ▶ so  $p \leq 1$ . (**Contradicts R.**)

The original assumption that “the theorem is false” is false,  
thus the theorem is proven. □

# Product of first $k$ primes..

Did we prove?

- X ▶ “The product of the first  $k$  primes plus 1 is prime.”
- ▶ No.
  - ▶ The chain of reasoning started with a false statement.

Consider example..

- ▶  $2 \times 3 \times 5 \times 7 \times 11 \times 13 + 1 = 30031 = 59 \times 509$
- ▶ There is a prime *in between* 13 and  $q = 30031$  that divides  $q$ .
- ▶ Proof assumed no primes *in between*  $p_k$  and  $q$ .

contradiction

# Proof by cases.

**Theorem:**  $x^5 - x + 1 = 0$  has no solution in the rationals.

**Proof:** First a lemma...

**Lemma:** If  $x$  is a solution to  $x^5 - x + 1 = 0$  and  $x = a/b$  for  $a, b \in \mathbb{Z}$ , then both  $a$  and  $b$  are even.

Reduced form  $\frac{a}{b}$ :  $a$  and  $b$  can't both be even! + Lemma  
 $\Rightarrow$  no rational solution. □

**Proof of lemma:** Assume a solution of the form  $a/b$ .

$$\left(\frac{a}{b}\right)^5 - \frac{a}{b} + 1 = 0$$

Multiply by  $b^5$ ,

$$a^5 - ab^4 + b^5 = 0$$

Case 1:  $a$  odd,  $b$  odd: odd - odd + odd = even. **Not possible.**

Case 2:  $a$  even,  $b$  odd: even - even + odd = even. **Not possible.**

Case 3:  $a$  odd,  $b$  even: odd - even + even = even. **Not possible.**

Case 4:  $a$  even,  $b$  even: even - even + even = even. **Possible.**

The fourth case is the only one possible, so the lemma follows. □

# Proof by cases.

**Theorem:** There exist irrational  $x$  and  $y$  such that  $x^y$  is rational.

Let  $x = y = \sqrt{2}$ .

Case 1:  $x^y = \sqrt{2}^{\sqrt{2}}$  is rational. Done!

Case 2:  $\sqrt{2}^{\sqrt{2}}$  is irrational.

- ▶ New values:  $x = \sqrt{2}^{\sqrt{2}}$ ,  $y = \sqrt{2}$ .
- ▶

$$x^y = \left(\sqrt{2}^{\sqrt{2}}\right)^{\sqrt{2}} = \sqrt{2}^{\sqrt{2} * \sqrt{2}} = \sqrt{2}^2 = 2.$$

Thus, we have irrational  $x$  and  $y$  with a rational  $x^y$  (i.e., 2).

One of the cases is true so theorem holds. □

Question: Which case holds? Don't know!!!

# Be careful.

**Theorem:**  $3 = 4$

**Proof:** Assume  $3 = 4$ .

Start with  $12 = 12$ .

Divide one side by 3 and the other by 4 to get  
 $4 = 3$ .

By commutativity theorem holds. □

Don't assume what you want to prove!

# Be really careful!

**Theorem:**  $1 = 2$

**Proof:** For  $x = y$ , we have

$$(x^2 - xy) = x^2 - y^2$$

$$x(x - y) = (x + y)(x - y)$$

$$x = (x + y)$$

$$x = 2x$$

$$1 = 2$$



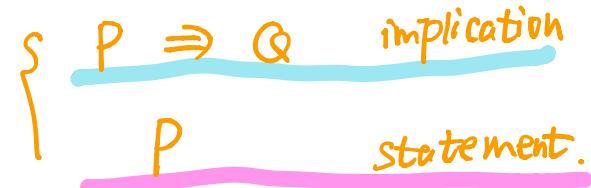
Dividing by zero is no good.

Also: Multiplying inequalities by a negative.

$P \implies Q$  does not mean  $Q \implies P$ .

## Summary: Note 2.

Notice Two Type of Proof:



Direct Proof:

To Prove:  $P \Rightarrow Q$ . Assume  $P$ . Prove  $Q$ .

By Contraposition:

To Prove:  $P \Rightarrow Q$  Assume  $\neg Q$ . Prove  $\neg P$ .

By Contradiction:

To Prove:  $P$  Assume  $\neg P$ . Prove False .

By Cases: informal.

Universal: show that statement holds in all cases.

Existence: used cases where one is true.

Either  $\sqrt{2}$  and  $\sqrt{2}$  worked.

or  $\sqrt{2}$  and  $\sqrt{2}^{\sqrt{2}}$  worked.

Careful when proving!

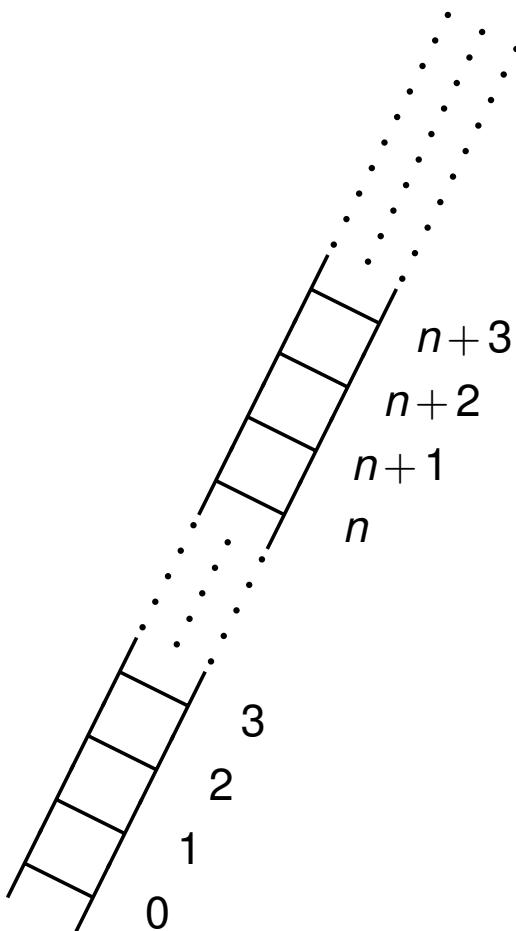
Don't assume the theorem. Divide by zero. Watch converse. ...

# CS70: Note 3. Induction!

connect with  
Recursion.

1. The natural numbers.
2. 5 year old Gauss.
3. ..and Induction.
4. Simple Proof.

# The natural numbers.



0, 1, 2, 3,  
...,  $n$ ,  $n+1$ ,  $n+2$ ,  $n+3$ , ...

# A formula.

Teacher: Hello class.

Teacher: Please add the numbers from 1 to 100.

Gauss: It's  $\frac{(100)(101)}{2}$  or 5050!

Five year old Gauss Theorem:  $\forall(n \in N) : \sum_{i=0}^n i = \frac{(n)(n+1)}{2}$ .

It is a statement about all natural numbers.

$\forall(n \in N) : P(n)$ . Form

$P(n)$  is " $\sum_{i=0}^n i \frac{(n)(n+1)}{2}$ ".

Principle of Induction:

- ▶ Prove  $P(0)$ .
- ▶ Assume  $P(k)$ , "Induction Hypothesis"
- ▶ Prove  $P(k + 1)$ . "Induction Step."

} some similarity  
with natural number

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Go up the ladder

# Gauss induction proof.

**Theorem:** For all natural numbers  $n$ ,  $0 + 1 + 2 + \dots + n = \frac{n(n+1)}{2}$

Base Case: Does  $0 = \frac{0(0+1)}{2}$ ? Yes.

Induction Step: Show  $\forall k \geq 0, P(k) \implies P(k+1)$

Induction Hypothesis:  $P(k) = 1 + \dots + k = \frac{k(k+1)}{2}$

Direct Proof: Assume  $P(k)$ , prove  $P(k+1)$

same thing.

$$\begin{aligned} 1 + \dots + k + (k+1) &= \frac{k(k+1)}{2} + (k+1) \\ &= \frac{k^2 + k + 2(k+1)}{2} \\ &= \frac{k^2 + 3k + 2}{2} \\ &= \frac{(k+1)(k+2)}{2} \end{aligned}$$

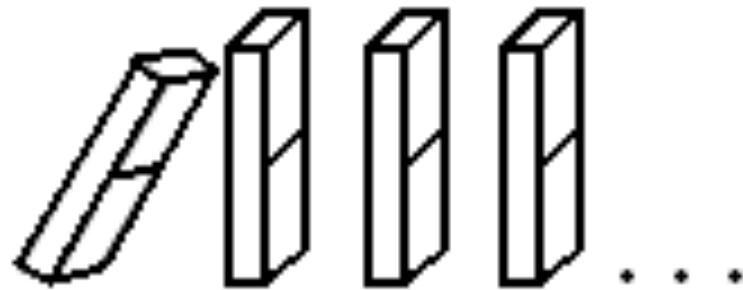
different value.

$P(k+1)$ ! By principle of induction...

□

# Notes visualization

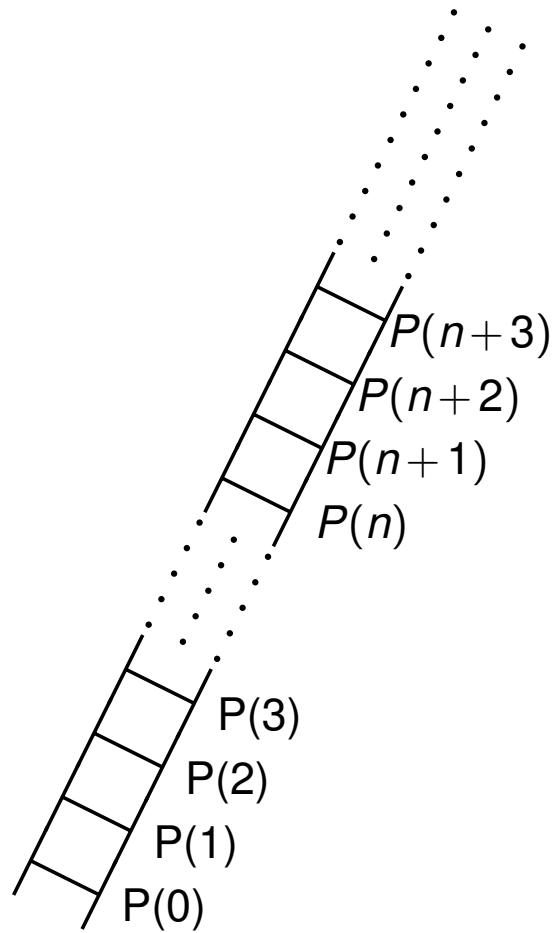
Note's visualization: an infinite sequence of dominos.



Prove they all fall down;

- ▶  $P(0)$  = “First domino falls”
- ▶  $(\forall k) P(k) \implies P(k+1)$ :  
“ $k$ th domino falls implies that  $k + 1$ st domino falls”

# Climb an infinite ladder?



$$\begin{aligned} &P(0) \xrightarrow{\quad} P(1) \xrightarrow{\quad} P(2) \xrightarrow{\quad} P(3) \dots \\ &\forall k, P(k) \implies P(k+1) \\ &(\forall n \in N) P(n) \end{aligned}$$

Your favorite example of forever..or the natural numbers...

# Gauss and Induction

Child Gauss:  $(\forall n \in \mathbf{N})(\sum_{i=1}^n i = \frac{n(n+1)}{2})$  Proof?

Idea: assume predicate  $P(n)$  for  $n = k$ .  $P(k)$  is  $\sum_{i=1}^k i = \frac{k(k+1)}{2}$ .

Is predicate,  $P(n)$  true for  $n = k + 1$ ?

$$\sum_{i=1}^{k+1} i = (\sum_{i=1}^k i) + (k+1) = \frac{k(k+1)}{2} + k + 1 = \frac{(k+1)(k+2)}{2}.$$

How about  $k + 2$ . Same argument starting at  $k + 1$  works!

**Induction Step.**  $P(k) \implies P(k+1)$ .

Is this a proof? It shows that we can always move to the next step.

Need to start somewhere.  $P(0)$  is  $\sum_{i=0}^0 i = 1 = \frac{(0)(0+1)}{2}$  **Base Case.**

Statement is true for  $n = 0$   $P(0)$  is true

plus inductive step  $\implies$  true for  $n = 1$   $(P(0) \wedge (P(0) \implies P(1))) \implies P(1)$

plus inductive step  $\implies$  true for  $n = 2$   $(P(1) \wedge (P(1) \implies P(2))) \implies P(2)$

...

true for  $n = k \implies$  true for  $n = k + 1$   $(P(k) \wedge (P(k) \implies P(k+1))) \implies P(k+1)$

...

Predicate,  $P(n)$ , True for all natural numbers! **Proof by Induction.**

# Induction

The canonical way of proving statements of the form

$$(\forall k \in N)(P(k))$$

- ▶ For all natural numbers  $n$ ,  $1 + 2 + \dots + n = \frac{n(n+1)}{2}$ .
- ▶ For all  $n \in N$ ,  $n^3 - n$  is divisible by 3.
- ▶ The sum of the first  $n$  odd integers is a perfect square.

The basic form

- ▶ Prove  $P(0)$ . “Base Case”.
- ▶  $P(k) \implies P(k+1)$ 
  - ▶ Assume  $P(k)$ , “Induction Hypothesis”
  - ▶ Prove  $P(k+1)$ . “Induction Step.”

$P(n)$  true for all natural numbers  $n$ !!!

Get to use  $P(k)$  to prove  $P(k+1)$ ! ! !

# Next Time.

More induction!

See you on Tuesday!