

# Today.

whooshoo.

Last time:

- Shared (and sort of kept) secrets.

- Tolerated Loss: erasure codes.

Today: tolerate corruption!

- Erasure Codes.

Error Correction!!!!

# The mathematics.

**There is a unique polynomial of degree  $d$  that contains any  $d + 1$  points.**

Assumption: a field, in particular, arithmetic mod  $p$ .

Big Idea:

A polynomial:  $P(x) = a_d x^d + \cdots a_0$  has  $d + 1$  coefficients.

Any set of  $d + 1$  points determines the polynomial.

Stare at the above. What does it mean?

Many representations of a polynomial!

{ One coefficient representation.

{ Many, many point, value representations.

Some details:

Degree  $d$  generally degree “at most”  $d$ .

(example: choose 10 points on a line.)

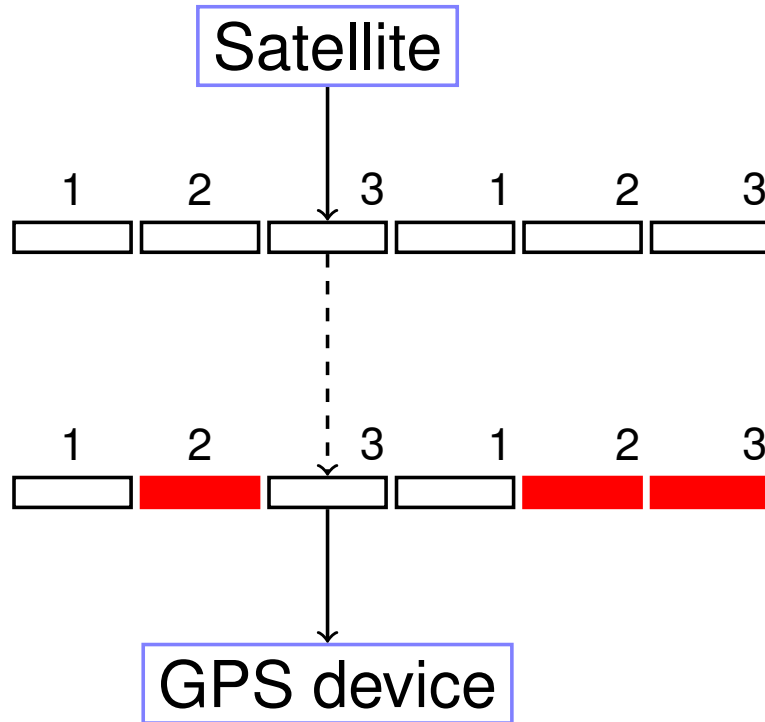
Arithmetic (mod  $p$ )  $\implies$  work with  $O(\log p)$  bit numbers.

*0 at the first place*

*is allowed*

Reduced.

# Erasure Codes.



3 packet message. So send 6!

Lose 3 out 6 packets.

Gets packets 1,1,and 3.

# Solution Idea.

$n$  packet message, channel that loses  $k$  packets.

Must send  $n + k$  packets!

Any  $n$  packets should allow reconstruction of  $n$  packet message.

Any  $n$  point values allow reconstruction of degree  $n - 1$  polynomial.

Seem related?

Use polynomials.

*Polynomial is Amazing  
if thinking deep.*

Big Idea View:

Any set of  $n$  points contain information about  $n$  coefficients.  
or even any other set of  $n$  points!!!

“Information” about coefficients smeared across the  $n$  points.

Linear Algebra View:

Representing vector (message) in different basis.

Many bases!

*→ now, each  $(\vec{v}, p(\vec{v}))$  is a basis.  
And  $\vec{v}$  can be any value!*

# The Scheme

**Problem:** Want to send a message with  $n$  packets.

**Channel:** Lossy channel: loses  $k$  packets.

**Question:** Can you send  $n + k$  packets and recover message?

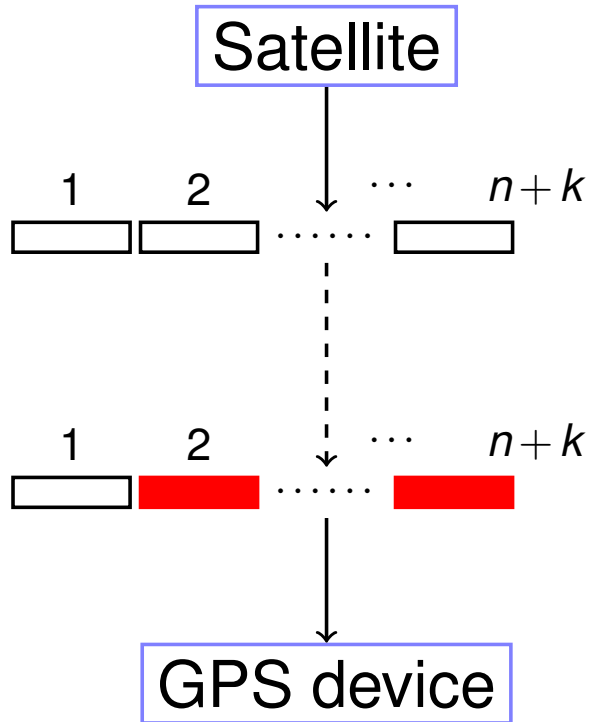
A degree  $n - 1$  polynomial determined by any  $n$  points!

Erasure Coding Scheme: message =  $m_0, m_1 \dots, m_{n-1}$ .

1. Choose prime  $p \approx 2^b$  for packet size  $b$ .
2.  $P(x) = m_{n-1}x^{n-1} + \dots m_0 \pmod{p}$ .
3. Send  $P(1), \dots, P(n+k)$ .

Any  $n$  of the  $n + k$  packets gives polynomial ...and message!

# Erasure Codes.



$n$  packet message.

So send  $n+k$  points on polynomial.

Lose  $k$  packets.

Any  $n$  packets (points) is enough!

$n$  packet message.

Optimal.

# Polynomials.

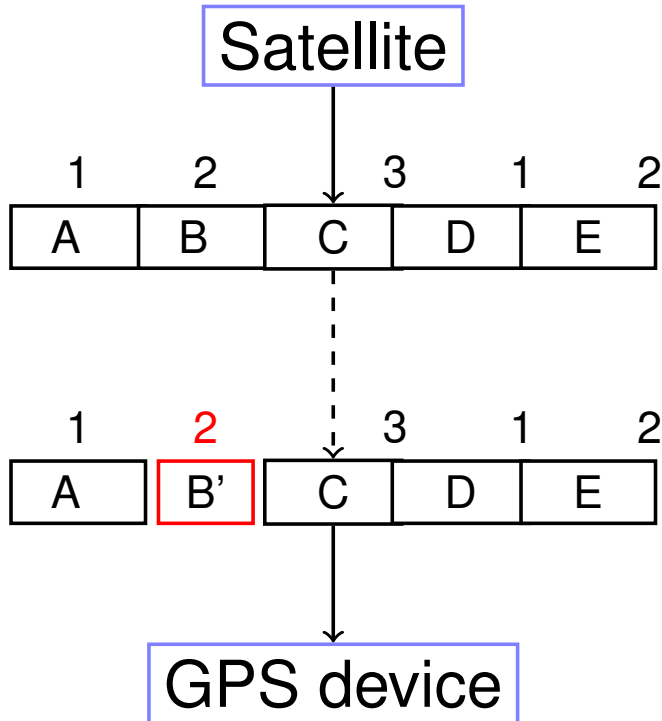
- ▶ ..give Secret Sharing.
- ▶ ..give Erasure Codes.

## Error Correction:

Noisy Channel: **corrupts**  $k$  packets. (rather than **loses**.)

Additional Challenge: Finding **which** packets are corrupt.

# Error Correction



Which one was corrupted?

3 packet message. Send 5.

*All original*  
*Error location*  
*Extra*

Corrupts 1 packets.

$$3 + 1 \times 2$$



# The Scheme.

**Problem:** Communicate  $n$  packets  $m_1, \dots, m_n$  on noisy channel that corrupts  $\leq k$  packets.

## Reed-Solomon Code:

1. Make a polynomial,  $P(x)$  of degree  $n-1$ , that encodes message.
  - ▶  $P(1) = m_1, \dots, P(n) = m_n$ .
  - ▶ Comment: could encode with packets as coefficients.
2. Send  $P(1), \dots, P(n+2k)$ .

**After noisy channel:** Recieve values  $R(1), \dots, R(n+2k)$ .

## Properties:

- (1)  $P(i) = R(i)$  for at least  $n+k$  points  $i$ ,
- (2)  $P(x)$  is unique degree  $n-1$  polynomial that contains  $\geq n+k$  received points.

# Properties: proof.

$P(x)$ : degree  $n-1$  polynomial.

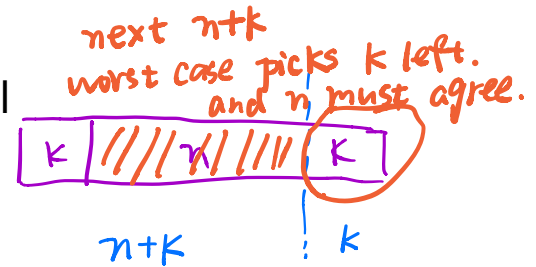
Send  $P(1), \dots, P(n+2k)$

Receive  $R(1), \dots, R(n+2k)$

At most  $k$   $i$ 's where  $P(i) \neq R(i)$ .

## Properties:

- (1)  $P(i) = R(i)$  for at least  $n+k$  points  $i$ ,
- (2)  $P(x)$  is unique degree  $n-1$  polynomial that contains  $\geq n+k$  received points.



## Proof:

- (1) Sure. Only  $k$  corruptions.
- (2) Degree  $n-1$  polynomial  $Q(x)$  consistent with  $n+k$  points.

$Q(x)$  agrees with  $R(i)$ ,  $n+k$  times. *wanna Get* |  $Q(x)$  agrees with  $P(x)$

$P(x)$  agrees with  $R(i)$ ,  $n+k$  times. *Get* | *at  $n$  points*

Total points contained by both:  $2n+2k$ .  $P$  Pigeons.

Total points to choose from :  $n+2k$ .  $H$  Holes.

Points contained by both :  $\geq n$ .  $\geq P-H$  Collisions.

$\Rightarrow Q(i) = P(i)$  at  $n$  points.

$\Rightarrow Q(x) = P(x)$ .



## Argument on example: $n = 3, k = 1$

3 packet message.

Send  $n + 2k = 5$  points on degree 3 polynomial  $P(x)$ .

Receive:  $R(1), R(2), R(3), R(4), R(5)$ .

Only one  $i$ , where  $R(i) \neq P(i)$ .

$P(x)$  contains 4 of the points  $R(1), \dots, R(5)$ .

Another degree 3 polynomial,  $Q(x)$

contains 4 of the points  $R(1), \dots, R(5)$ .

$P(x)$  and  $Q(x)$  have 3 points in common.

Since:  $P(x)$  contains 4,  $Q(x)$  contains 4.

There are only 5. So they disagree on 2.

Degree 3  $\implies P(x) = Q(x)$

# Example.

Message: 3, 0, 6.

Reed Solomon Code:  $P(x) = x^2 + x + 1 \pmod{7}$  has  
 $P(1) = 3, P(2) = 0, P(3) = 6$  modulo 7.

Send:  $P(1) = 3, P(2) = 0, P(3) = 6, P(4) = 0, P(5) = 3$ .

(Aside: Message in plain text!)

Receive  $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$ .

$P(i) = R(i)$  for  $n + k = 3 + 1 = 4$  points.

# Slow solution.

## Brute Force:

For each subset of  $n + k$  points

Fit degree  $n - 1$  polynomial,  $Q(x)$ , to  $n$  of them.

Check if consistent with  $n + k$  of the total points.

If yes, output  $Q(x)$ .

- ▶ For subset of  $n + k$  pts where  $R(i) = P(i)$ ,  
method will reconstruct  $P(x)$ !
- ▶ For any subset of  $n + k$  pts,
  1. there is unique degree  $n - 1$  polynomial  $Q(x)$  that fits  $n$  of them
  2. and where  $Q(x)$  is consistent with  $n + k$  points  
 $\implies P(x) = Q(x)$ .

Reconstructs  $P(x)$  and only  $P(x)$ !!

## Example.

Received  $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

Find  $P(x) = p_2x^2 + p_1x + p_0$  that contains  $n + k = 3 + 1$  points.

All equations..

$$\begin{aligned}p_2 + p_1 + p_0 &\equiv 3 \pmod{7} \\4p_2 + 2p_1 + p_0 &\equiv 1 \pmod{7} \\2p_2 + 3p_1 + p_0 &\equiv 6 \pmod{7} \\2p_2 + 4p_1 + p_0 &\equiv 0 \pmod{7} \\4p_2 + 5p_1 + p_0 &\equiv 3 \pmod{7}\end{aligned}$$

Assume point 1 is wrong and solve..**no consistent solution!**

Assume point 2 is wrong and solve...**consistent solution!**

In general..

$P(x) = p_{n-1}x^{n-1} + \dots p_0$  and receive  $R(1), \dots R(m = n + 2k)$ .

$$\begin{aligned} p_{n-1} + \dots p_0 &\equiv R(1) \pmod{p} \\ p_{n-1}2^{n-1} + \dots p_0 &\equiv R(2) \pmod{p} \end{aligned}$$

.

$$p_{n-1}i^{n-1} + \dots p_0 \equiv R(i) \pmod{p}$$

.

$$p_{n-1}(m)^{n-1} + \dots p_0 \equiv R(m) \pmod{p}$$

Error!! .... Where???

Could be anywhere!!! ...so try everywhere.

**Runtime:**  $\binom{n+2k}{k}$  possibilities.  $= C_{n+2k}^k$

Something like  $(n/k)^k$  ...Exponential in  $k$ !

How do we find where the bad packets are efficiently?!?!?!?

# Ditty...

Oh where, Oh where  
has my little dog gone?  
Oh where, oh where can he be

With his ears cut short  
And his tail cut long  
Oh where, oh where can he be?

*Changed Version.*

Oh where, Oh where  
have my packets gone.. **wrong?**  
Oh where, oh where do they not fit.

With the polynomial well put  
But the channel a bit wrong  
Where, oh where do we look?



# Where oh where can my **bad** packets be?

$$\begin{array}{rcl}
 E(1)(p_{n-1} + \cdots p_0) & \equiv & R(1)E(1) \pmod{p} \\
 \mathbf{0} \times E(2)(p_{n-1} \mathbf{2}^{n-1} + \cdots p_0) & \equiv & \mathbf{R(2)E(2)} \pmod{p} \\
 & \vdots & \\
 E(m)(p_{n-1}(m)^{n-1} + \cdots p_0) & \equiv & R(n+2k)E(m) \pmod{p}
 \end{array}$$

*put it into E value.*

**Idea:** Multiply equation  $i$  by 0 if and only if  $P(i) \neq R(i)$ .

All equations satisfied!!!!

But which equations should we multiply by 0? **Where oh where...??**

**We will use a polynomial!!!** That we don't know. But can find!

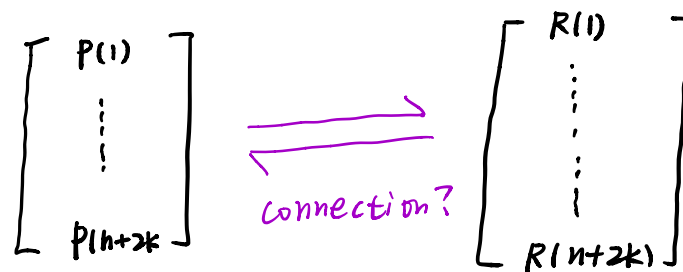
Errors at points  $e_1, \dots, e_k$ . (In diagram above,  $e_1 = 2$ .)

**Error locator polynomial:**  $E(x) = (x - e_1)(x - e_2) \dots (x - e_k)$ .

$E(i) = 0$  if and only if  $e_j = i$  for some  $j$

Multiply equations by  $E(\cdot)$ . (Above  $E(x) = (x-2)$ .)

All equations satisfied!!



1) At most  $k$  places,  $P(i) \neq R(i)$

2) So to gain a general Eq. Let  $E(x)$  denotes 0 when case 1) happens.

3) say  $e_1, e_2 \dots e_k$  1) happens.

So  $E(x) = 0$  at  $x = e_1 \dots x_k$ .

What is the best way to Rep  $E(x)$ ?

Answer is a Polynomial:

$$E(x) = (x - e_1)(x - e_2) \dots (x - e_k).$$

4) So  $P(i) \cdot E(i) = R(i) \cdot E(i)$ . For all  $i$ .

Then in Function.  $P(x) \cdot E(x) = R(x) \cdot E(x)$ .

$$x: [0, n+2k-1].$$

## Example.

Received  $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

Find  $P(x) = p_2x^2 + p_1x + p_0$  that contains  $n + k = 3 + 1$  points.

Plugin points...

$$\begin{aligned}(1 - 2)(p_2 + p_1 + p_0) &\equiv (3)(1 - 2) \pmod{7} \\(2 - 2)(4p_2 + 2p_1 + p_0) &\equiv (1)(2 - 2) \pmod{7} \\(3 - 2)(2p_2 + 3p_1 + p_0) &\equiv (6)(3 - 2) \pmod{7} \\(4 - 2)(2p_2 + 4p_1 + p_0) &\equiv (0)(4 - 2) \pmod{7} \\(5 - 2)(4p_2 + 5p_1 + p_0) &\equiv (3)(5 - 2) \pmod{7}\end{aligned}$$

Error locator polynomial:  $(x - 2)$ .

Multiply equation  $i$  by  $(i - 2)$ . All equations satisfied!

But don't know error locator polynomial! Do know form:  $(x - e)$ .

4 unknowns ( $p_0, p_1, p_2$  and  $e$ ), 5 nonlinear equations.

..turn their heads each day,

$$\begin{aligned}
 E(1)(p_{n-1} + \cdots p_0) &\equiv R(1)E(1) \pmod{p} \\
 &\vdots \\
 E(i)(p_{n-1}i^{n-1} + \cdots p_0) &\equiv R(i)E(i) \pmod{p} \\
 &\vdots \\
 E(m)(p_{n-1}(n+2k)^{n-1} + \cdots p_0) &\equiv R(m)E(m) \pmod{p}
 \end{aligned}$$

...so satisfied, I'm on my way.

$m = n + 2k$  satisfied equations,  $n + k$  unknowns. **But nonlinear!**

Let  $Q(x) = E(x)P(x) = a_{n+k-1}x^{n+k-1} + \cdots a_0$ .

Equations:

$$Q(i) = R(i)E(i).$$

Same Eq.  
But in different thinking!

and linear in  $a_i$  and coefficients of  $E(x)$ !

# Finding $Q(x)$ and $E(x)$ ?

- ▶  $E(x)$  has degree  $k$  ...

$$E(x) = x^k + b_{k-1}x^{k-1} \dots b_0.$$

$\implies k$  (unknown) coefficients. Leading coefficient is 1.

- ▶  $Q(x) = P(x)E(x)$  has degree  $n + k - 1$  ...

$$Q(x) = a_{n+k-1}x^{n+k-1} + a_{n+k-2}x^{n+k-2} + \dots a_0$$

$\implies n + k$  (unknown) coefficients.

Number of unknown coefficients:  $n + 2k$ .

# Solving for $Q(x)$ and $E(x)$ ...and $P(x)$

For all points  $1, \dots, i, n+2k = m$ ,

$$Q(i) = R(i)E(i) \pmod{p}$$

Gives  $n+2k$  linear equations.

$$a_{n+k-1} + \dots a_0 \equiv R(1)(1 + b_{k-1} \dots b_0) \pmod{p}$$

$$a_{n+k-1}(2)^{n+k-1} + \dots a_0 \equiv R(2)((2)^k + b_{k-1}(2)^{k-1} \dots b_0) \pmod{p}$$

$$\vdots$$

$$a_{n+k-1}(m)^{n+k-1} + \dots a_0 \equiv R(m)((m)^k + b_{k-1}(m)^{k-1} \dots b_0) \pmod{p}$$

..and  $n+2k$  unknown coefficients of  $Q(x)$  and  $E(x)$ !

Solve for coefficients of  $Q(x)$  and  $E(x)$ .

$$\text{Find } P(x) = Q(x)/E(x).$$

## Example.

Received  $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

$$Q(x) = E(x)P(x) = a_3x^3 + a_2x^2 + a_1x + a_0$$

$$E(x) = x - b_0$$

$$Q(i) = R(i)E(i).$$

$$a_3 + a_2 + a_1 + a_0 \equiv 3(1 - b_0) \pmod{7}$$

$$a_3 + 4a_2 + 2a_1 + a_0 \equiv 1(2 - b_0) \pmod{7}$$

$$6a_3 + 2a_2 + 3a_1 + a_0 \equiv 6(3 - b_0) \pmod{7}$$

$$a_3 + 2a_2 + 4a_1 + a_0 \equiv 0(4 - b_0) \pmod{7}$$

$$6a_3 + 4a_2 + 5a_1 + a_0 \equiv 3(5 - b_0) \pmod{7}$$

$a_3 = 1, a_2 = 6, a_1 = 6, a_0 = 5$  and  $b_0 = 2$ .

$$Q(x) = x^3 + 6x^2 + 6x + 5.$$

$$E(x) = x - 2.$$

## Example: finishing up.

$$Q(x) = x^3 + 6x^2 + 6x + 5.$$

$$E(x) = x - 2.$$

$$\begin{array}{r} \phantom{x - 2 \ )} \phantom{x^3} \phantom{+ 6 x^2} \phantom{+ 6 x} \phantom{+ 5} \\ \phantom{x - 2 \ )} 1 \ x^2 \ + \ 1 \ x \ + \ 1 \\ \hline x - 2 \ ) \ x^3 \ + \ 6 \ x^2 \ + \ 6 \ x \ + \ 5 \\ \phantom{x - 2 \ )} x^3 \phantom{+ 6 x^2} - 2 \ x^2 \phantom{+ 6 x} \phantom{+ 5} \\ \hline \phantom{x - 2 \ )} \phantom{x^3} \phantom{+ 6 x^2} \phantom{+ 6 x} \phantom{+ 5} \\ \phantom{x - 2 \ )} \phantom{x^3} 1 \ x^2 \ + \ 6 \ x \ + \ 5 \\ \phantom{x - 2 \ )} \phantom{x^3} 1 \ x^2 \phantom{+ 6 x} - 2 \ x \phantom{+ 5} \\ \hline \phantom{x - 2 \ )} \phantom{x^3} \phantom{+ 6 x^2} \phantom{+ 6 x} \phantom{+ 5} \\ \phantom{x - 2 \ )} \phantom{x^3} \phantom{+ 6 x^2} \phantom{+ 6 x} x \ + \ 5 \\ \phantom{x - 2 \ )} \phantom{x^3} \phantom{+ 6 x^2} \phantom{+ 6 x} x \phantom{+ 5} - 2 \\ \hline \phantom{x - 2 \ )} \phantom{x^3} \phantom{+ 6 x^2} \phantom{+ 6 x} \phantom{+ 5} 0 \end{array}$$

$$P(x) = x^2 + x + 1$$

Message is  $P(1) = 3, P(2) = 0, P(3) = 6$ .

What is  $\frac{x-2}{x-2}$ ? 1

Except at  $x = 2$ ? Hole there?



# Error Correction: Berlekamp-Welsh

Message:  $m_1, \dots, m_n$ .

## Sender:

1. Form degree  $n - 1$  polynomial  $P(x)$  where  $P(i) = m_i$ .
2. Send  $P(1), \dots, P(n + 2k)$ .

## Receiver:

1. Receive  $R(1), \dots, R(n + 2k)$ .
2. Solve  $n + 2k$  equations,  $Q(i) = E(i)R(i)$  to find  $Q(x) = E(x)P(x)$  and  $E(x)$ .
3. Compute  $P(x) = Q(x)/E(x)$ .
4. Compute  $P(1), \dots, P(n)$ .

# Check your understanding.

You have error locator polynomial!

Where oh where have my packets gone **wrong**?

Factor? Sure.

Check all values? Sure.

Efficiency? Sure. Only  $n + 2k$  values.

See where it is 0.

Hmmm...

Is there one and only one  $P(x)$  from Berlekamp-Welsh procedure?

**Existence:** there is a  $P(x)$  and  $E(x)$  that satisfy equations.

# Unique solution for $P(x)$

**Uniqueness:** any solution  $Q'(x)$  and  $E'(x)$  have

$$\frac{Q'(x)}{E'(x)} = \frac{Q(x)}{E(x)} = P(x). \quad (1)$$

**Proof:**

We claim

$$Q'(x)E(x) = Q(x)E'(x) \text{ on } n+2k \text{ values of } x. \quad (2)$$

Equation 2 implies 1:

$Q'(x)E(x)$  and  $Q(x)E'(x)$  are degree  $n+2k-1$   
and agree on  $n+2k$  points

$E(x)$  and  $E'(x)$  have at most  $k$  zeros each.

Can cross divide at  $n$  points.

$$\implies \frac{Q'(x)}{E'(x)} = \frac{Q(x)}{E(x)} \text{ equal on } n \text{ points.}$$

Both degree  $\leq n \implies$  Same polynomial!



## Last bit.

**Fact:**  $Q'(x)E(x) = Q(x)E'(x)$  on  $n+2k$  values of  $x$ .

**Proof:** Construction implies that

$$Q(i) = R(i)E(i)$$

$$Q'(i) = R(i)E'(i)$$

for  $i \in \{1, \dots, n+2k\}$ .

If  $E(i) = 0$ , then  $Q(i) = 0$ . If  $E'(i) = 0$ , then  $Q'(i) = 0$ .

$\implies Q(i)E'(i) = Q'(i)E(i)$  holds when  $E(i)$  or  $E'(i)$  are zero.

When  $E'(i)$  and  $E(i)$  are not zero

$$\frac{Q'(i)}{E'(i)} = \frac{Q(i)}{E(i)} = R(i).$$

Cross multiplying gives equality in fact for these points. □

Points to polynomials, have to deal with zeros!

Example: dealing with  $\frac{x-2}{x-2}$  at  $x = 2$ .

Yaay!!

Berlekamp-Welsh algorithm decodes correctly when  $k$  errors!

# Summary. Error Correction.

Communicate  $n$  packets, with  $k$  erasures.

How many packets?  $n + k$

How to encode? With polynomial,  $P(x)$ .

Of degree?  $n - 1$

Recover? Reconstruct  $P(x)$  with any  $n$  points!

Communicate  $n$  packets, with  $k$  errors.

How many packets?  $n + 2k$

Why?

$k$  changes to make diff. messages overlap

How to encode? With polynomial,  $P(x)$ . Of degree?  $n - 1$ .

Recover?

Reconstruct error polynomial,  $E(x)$ , and  $P(x)$ !

**Nonlinear equations.**

Reconstruct  $E(x)$  and  $Q(x) = E(x)P(x)$ . Linear Equations.

Polynomial division!  $P(x) = Q(x)/E(x)$ !

Reed-Solomon codes. Welsh-Berlekamp Decoding. Perfection!

Wow.

Lots of material today...