

Number Six: What do you want?

Number Two: Information!

Number Six: Whose side are you on?

Number Two: That would be telling. We want information!

Number Six: You won't get it!

Number Two: By hook or by crook, we will!

— Opening sequence of 'The Prisoner' (1967–68)

19 Lower Bounds (April 12)

19.1 What Are Lower Bounds?

So far in this class we've been developing algorithms and data structures for solving certain problems and analyzing their time and space complexity.

Let $T_A(X)$ denote the running of algorithm A given input X . Then the worst-case running time of A for inputs of size n is defined as follows:

$$T_A(n) = \max_{|X|=n} (T_A(X)).$$

The worst-case complexity of a *problem* Π is the worst-case running time of the *fastest* algorithm for solving it:

$$T_\Pi(n) = \min_{A \text{ solves } \Pi} (T_A(n)) = \min_{A \text{ solves } \Pi} \left(\max_{|X|=n} (T_A(X)) \right).$$

Now suppose we've shown that the worst-case running time of an algorithm A is $O(f(n))$. Then we immediately have an *upper bound* for the complexity of Π :

$$T_\Pi(n) \leq T_A(n) = O(f(n)).$$

The faster our algorithm, the better our upper bound. In other words, when we give a running time for an algorithm, what we're really doing — and what most theoretical computer scientists devote their entire careers doing¹ — is bragging about how *easy* some problem is.

Starting with this lecture, we've turned the tables. Instead of bragging about how easy problems are, now we're arguing that certain problems are *hard* by proving *lower bounds* on their complexity. This is a little harder, because it's no longer enough to examine a single algorithm. To show that $T_\Pi(n) = \Omega(f(n))$, we have to prove that *every* algorithm that solves Π has a worst-case running time $\Omega(f(n))$, or equivalently, that *no* algorithm runs in $o(f(n))$ time.

¹This sometimes leads to long sequences of results that sound like an obscure version of "Name that Tune":

Lenne: "I can triangulate that polygon in $O(n^2)$ time."

Shamos: "I can triangulate that polygon in $O(n \log n)$ time."

Tarjan: "I can triangulate that polygon in $O(n \log \log n)$ time."

Seidel: "I can triangulate that polygon in $O(n \log^* n)$ time."

[Audience gasps.]

Chazelle: "I can triangulate that polygon in $O(n)$ time."

[Audience gasps and applauds.]

"Triangulate that polygon!"