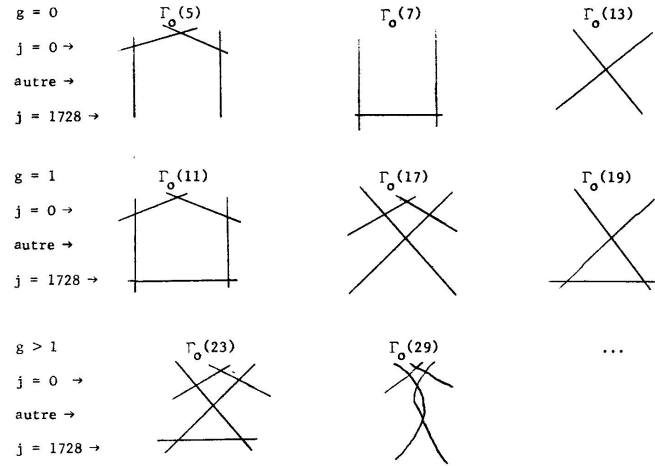


# 1 Canonical modular polynomials



[Deligne-Rapoport1973, Section VI.6]

Using Magma's [calculator](#), we reproduce the first few canonical modular polynomials from its [Modular Polynomial Databases](#).<sup>1</sup> We note the following, to return to.

- (i) **Difference from  $j = 744$  equals  $\#\text{Aut}(E_{s.s.}/\overline{\mathbb{F}}_p)$ .**
- (ii) Constant term equals  $p^s$  where  $s = 12/\gcd(p-1, 12) \stackrel{?}{=} \prod (\#\text{Aut}(E_{s.s.}/\overline{\mathbb{F}}_p)/2)$ .
- (iii) Linear coefficient reduces to supersingular  $j$ -invariants in the diagram above. (What is the pattern for their exponents?)

- $g = 0$

$$\begin{aligned}
 \Gamma_0(2) & \quad x^3 + 48x^2 + (768 - j)x + 2^{12} \\
 & \quad \equiv x(x^2 - j) \pmod{2} \\
 \Gamma_0(3) & \quad x^4 + 36x^3 + 270x^2 + (756 - j)x + 3^6 \\
 & \quad \equiv x(x^3 - j) \pmod{3} \\
 \Gamma_0(5) & \quad x^6 + 30x^5 + 315x^4 + 1300x^3 + 1575x^2 + (750 - j)x + 5^3 \\
 & \quad \equiv x(x^5 - j) \pmod{5} \\
 \Gamma_0(7) & \quad x^8 + 28x^7 + 322x^6 + 1904x^5 + 5915x^4 + 8624x^3 + 4018x^2 + (748 - j)x + 7^2 \\
 & \quad \equiv x(x^7 - (j - 1728)) \pmod{7} \\
 \Gamma_0(13) & \quad x^{14} + 26x^{13} + 325x^{12} + 2548x^{11} + 13832x^{10} + 54340x^9 + 157118x^8 \\
 & \quad + 333580x^7 + 509366x^6 + 534820x^5 + 354536x^4 + 124852x^3 + 15145x^2 \\
 & \quad + (746 - j)x + 13^1 \\
 & \quad \equiv x(x^{13} - (j - 5)) \pmod{13}
 \end{aligned}$$

<sup>1</sup>available up to  $\Gamma_0(127)$

- $g = 1$

$$\begin{aligned}
\Gamma_0(11) \quad & x^{12} - 5940x^{11} + 14701434x^{10} + (-139755j - 19264518900)x^9 \\
& + (723797800j + 13849401061815)x^8 + (67496j^2 - 1327909897380j \\
& - 4875351166521000)x^7 + (2291468355j^2 + 1036871615940600j \\
& + 400050977713074380)x^6 + (-5346j^3 + 4231762569540j^2 \\
& - 310557763459301490j + 122471154456433615800)x^5 + (161201040j^3 \\
& + 755793774757450j^2 + 17309546645642506200j \\
& + 6513391734069824031615)x^4 + (132j^4 - 49836805205j^3 \\
& + 6941543075967060j^2 - 64815179429761398660j \\
& + 104264884483130180036700)x^3 + (468754j^4 + 51801406800j^3 \\
& + 214437541826475j^2 + 77380735840203400j + 804140494949359194)x^2 \\
& + (-j^5 + 3732j^4 - 4586706j^3 + 2059075976j^2 - 253478654715j \\
& + 2067305393340)x + 11^6 \\
& \equiv x(x^{11} - j^2(j - 1728)^3) \pmod{11} \\
\Gamma_0(17) \quad & x^{18} + 510x^{17} + 125001x^{16} + 19248080x^{15} + 2058738420x^{14} \\
& + (10846j + 160172066760)x^{13} + (6027384j + 9242645403716)x^{12} \\
& + \dots \\
& + (-j^4 + 2982j^3 - 2547081j^2 + 567877726j - 8730057090)x + 17^3 \\
& \equiv x(x^{17} - j(j - 8)^3) \pmod{17} \\
\Gamma_0(19) \quad & x^{20} - 152x^{19} + 11020x^{18} - 509732x^{17} + 16884502x^{16} - 423717176x^{15} \\
& + 8284685786x^{14} + (-950j - 127757600560)x^{13} \\
& + \dots \\
& + (-j^3 + 2236j^2 - 1075910j + 37507528)x + 19^2 \\
& \equiv x(x^{19} - (j - 7)(j - 1728)^2) \pmod{19}
\end{aligned}$$

- $g > 1$

$$\begin{aligned}
\Gamma_0(23) \quad & x^{24} + 94392x^{23} + 4240527204x^{22} + (108774498j + 119018915927208)x^{21} \\
& + \dots \\
& + (-j^{11} + 8196j^{10} - 28368090j^9 + 53962467848j^8 - 61514962720527j^7 \\
& + 43007336651707740j^6 - 18144237478297458590j^5 \\
& + 4374793948754527714200j^4 - 541459535600500383823479j^3 \\
& + 28035152457942175237515676j^2 - 389561380516779182551042062j \\
& + 312190445452533657242901912)x + 23^6 \\
& \equiv x(x^{23} - j^2(j + 4)^6(j - 1728)^3) \pmod{23}
\end{aligned}$$

$$\begin{aligned}
\Gamma_0(29) \quad & x^{30} - 1218x^{29} + 750375x^{28} - 312177460x^{27} + 97844061669x^{26} \\
& + (-236321j - 24383203360230)x^{25} + (946283688j + 4982726503407419)x^{24} \\
& + \dots \\
& + (-j^7 + 5214j^6 - 10272861j^5 + 9480438286j^4 - 4108842162480j^3 \\
& + 728011816505784j^2 - 35575638370254161j + 107281337499515022)x + 29^3 \\
& \equiv x(x^{29} - j(j-2)^3(j+4)^3) \pmod{29}
\end{aligned}$$

We compare these to modular equations for  $(\Gamma_0(p), \Gamma_1(N))$  computed previously.

- $g = 0^2$

$$\begin{aligned}
(\Gamma_0(2), \Gamma_1(3)) \quad & d^3 - ad - 2 \\
& \equiv d(d^2 + a) \pmod{2} \\
(\Gamma_0(3), \Gamma_1(4)) \quad & \alpha^4 - 6\alpha^2 + (a^2 - 8)\alpha - 3 \\
& \equiv \alpha(\alpha^3 + (a^2 + 1)) \pmod{3}
\end{aligned}$$

- $g = 1$

$$\begin{aligned}
(1.1) \quad (\Gamma_0(5), \Gamma_1(4)) \quad & \alpha^6 - 10\alpha^5 + 35\alpha^4 - 60\alpha^3 + 55\alpha^2 - (a^4 - 16a^2 + 26)\alpha + 5 \\
& \equiv \alpha(\alpha^5 - (a^4 - a^2 + 1)) \pmod{5} \\
(\Gamma_0(5), \Gamma_1(3)) \quad & \alpha^6 - 5a\alpha^4 + 40\alpha^3 - 5a^2\alpha^2 + (a^4 - 19a)\alpha - 5 \\
& \equiv \alpha(\alpha^5 + a(a+1)(a^2 - a + 1)) \pmod{5} \\
& \text{three supersingular points (or two? } A^4 - 19AB)
\end{aligned}$$

Note: higher coefficients (degree  $> 1$ ) are constants if  $(p-1)(N-1) \nmid 12$  with a single supersingular point.

For later reference, we rewrite these equations as follows.

$$\begin{aligned}
(\Gamma_0(2), \Gamma_1(3)) \quad & d^3 - ad - 2 & \Delta_1(3) = (a-3)(a^2 + 3a + 9) \\
& \equiv (d-2)(d+1)^2 \pmod{a-3} & \text{unramified cusp} \\
(\Gamma_0(3), \Gamma_1(4)) \quad & \alpha^4 - 6\alpha^2 + (a^2 - 8)\alpha - 3 & \Delta_1(4) = a^2(a+4)(a-4) \\
& \equiv (\alpha-3)(\alpha+1)^3 \pmod{a} & \text{ramified cusp} \\
& \equiv (\alpha+3)(\alpha-1)^3 \pmod{(a+4)(a-4)} & \text{unramified cusp } (A^2 - 16B) \\
(\Gamma_0(5), \Gamma_1(4)) \quad & \alpha^6 - 10\alpha^5 + 35\alpha^4 - 60\alpha^3 + 55\alpha^2 - (a^4 - 16a^2 + 26)\alpha + 5 \\
& \equiv (\alpha-5)(\alpha-1)^5 \pmod{a(a+4)(a-4)} \\
(\Gamma_0(5), \Gamma_1(3)) \quad & \alpha^6 - 5a\alpha^4 + 40\alpha^3 - 5a^2\alpha^2 + (a^4 - 19a)\alpha - 5 \\
& \equiv (\alpha+5)(\alpha-1)^5 \pmod{a-3}
\end{aligned}$$

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<sup>2</sup>[Katz-Mazur1985, Theorem 10.13.12], [Diamond-Shurman2005, Section 3.8]:  $\frac{p+1}{12}N^2 \prod_{\ell|N} (1 - \frac{1}{\ell^2}) = 2g - 2 + 2c(\Gamma_1(N))$

## 2 Examples

Here are two examples about how to derive a canonical modular polynomial  $\text{cmp}(x, j)$  (cf. [Choi2006, Example 2.4]).

**Example 2.1**  $p = 5$  (cf. [Ahlgren2003, p788])

$$s := \frac{12}{\gcd(p-1, 12)} = 3 \quad xx' = p^s = 5^3$$

$$u := \frac{p-1}{\gcd(p-1, 12)} = 1$$

$$\begin{aligned} x' = \phi_p(z) &:= \left( \frac{\eta(z)}{\eta(pz)} \right)^{2s} \\ &= \left( \frac{q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)}{q^{5/24} \prod_{n=1}^{\infty} (1 - q^{5n})} \right)^6 \\ &= q^{-1} \left( \frac{1 - q - q^2 + q^5 + q^7 - q^{12} - q^{15} + \dots}{1 - q^5 - q^{10} + q^{25} + q^{35} - q^{60} - q^{75} + \dots} \right)^6 \quad c_i = \begin{cases} (-1)^k & \text{if } i = k(3k \pm 1)/2 \\ 0 & \text{otherwise} \end{cases} \\ &= \frac{1}{q} - 6 + 9q + 10q^2 - 30q^3 + 6q^4 - 25q^5 + 96q^6 + 60q^7 - 250q^8 + 45q^9 - 150q^{10} + \dots \\ &= q^{-u} + \dots \end{aligned}$$

a univalent modular function on  $\Gamma_0(5)$ , with a simple pole at  $\infty$

and a simple zero at 0 (the two cusps of  $\Gamma_0(p)$ ): [Ono2004, Section 1.4, esp. Theorem 1.64],

[Apostol1990, Sections 4.7-4.10, esp. Theorems 4.7 and 4.9]

There exists a unique degree- $u$  polynomial  $f(j)$  such that  $f(j) - x'$  is a cusp form:

$$j = \frac{1}{q} + 744 + 196884q + 21493760q^2 + 864299970q^3 + 20245856256q^4 + 333202640600q^5 + \dots$$

$$f(j) = j - 750$$

Note that

- $j_0 := 750 \equiv 0$  is the unique supersingular  $j$ -invariant at 5.
- 

$$(2.2) \quad f(j) - x' \equiv 0 \pmod{5}$$

Questions

- Is  $f(j)$  a polynomial of supersingular  $j$ -invariants? Cf. [Kaneko-Zagier1998, Theorem 1] and [Milas-Mortenson-Ono2008, Theorems 1.1 and 1.5].

- Is  $x'$  a Hasse invariant? Cf. [Zhu2014, Remark 3.4].

Following [Choi2006, (2.4)],<sup>3</sup> we compute that

$$\begin{aligned} j_{up}^{(p)}(z) &= j_5^{(5)}(x', j) \\ &= x'^5 + 30x'^4 + 315x'^3 + 1300x'^2 + 1575x' \\ &= \frac{1}{q^5} - \textcolor{red}{6} + \textcolor{red}{5}q(\cdots) \end{aligned} \quad \text{Why??}$$

and get

$$\begin{aligned} \text{cmp}(x', j') &= x' j_{up}^{(p)} - x' (f(j') - x) \\ \text{cmp}(x, j) &= x^6 + 30x^5 + 315x^4 + 1300x^3 + 1575x^2 + (750 - j)x + 5^3 \end{aligned}$$

More directly, adapting [Choi2006, (2.4)], we have

$$j_{u(p+1)}^{(p)}(x', j') \rightsquigarrow \text{cmp}(x', j')$$

where  $j'(z) = j(pz)$ . Note that since

$$\begin{aligned} x' &= \frac{1}{q^u} + \cdots \\ j' &= \frac{1}{q^p} + \cdots \end{aligned}$$

and  $p \nmid u$ , this algorithm for computing  $\text{cmp}(x, j)$  always works.

Upshot (cf. [Zhu2015, (3.10)])

$$\begin{aligned} \psi^5: E^0 &\rightarrow E^0(B\Sigma_5)/I \\ \mathbb{W}(\overline{\mathbb{F}}_5)[[h = j - j_0]] &\rightarrow \mathbb{W}(\overline{\mathbb{F}}_5)[[x, j]] / (\text{cmp}(x, j)) \\ h \mapsto j' - 750 &= x + \textcolor{red}{1}x'^5 + \textcolor{red}{30}x'^4 + \textcolor{red}{315}x'^3 + \textcolor{red}{1300}x'^2 + \textcolor{red}{1575}x' \\ &= x + (h - x^5 - 30x^4 - 315x^3 - 1300x^2 - 1575x)^5 + \cdots \\ &= h^5 + 30h^4 - 787185h^3 - 78654950h^2 + 113706048450h + 9128404218750 \\ &\quad + (-\textcolor{red}{1575}h^4 - 209750h^3 + 919941375h^2 + 146313952500h - 53794421543124)x \\ &\quad + (-\textcolor{red}{1300}h^4 - 78375h^3 + 765753000h^2 + 83642547500h - 47590693860000)x^2 \\ &\quad + (-\textcolor{red}{315}h^4 - 13200h^3 + 185819525h^2 + 17992315500h - 11702653105500)x^3 \\ &\quad + (-\textcolor{red}{30}h^4 - 1025h^3 + 17705550h^2 + 1622265375h - 1120917084750)x^4 \\ &\quad + (-\textcolor{red}{1}h^4 - 30h^3 + 590310h^2 + 52436200h - 37473142200)x^5 \end{aligned}$$

<sup>3</sup>It generalizes [Ahlgren2003, p788], which in turn generalizes [Bruinier-Kohnen-Ono2004, pp553-554]. In particular, by analogy to the latter,  $j_5^{(5)}(z) = j_1^{(5)}(z)|T_0(5)$ ; in other notation,  $h' = T_5x'$ . Compare the Eichler-Shimura congruence from (1.1):

$$\textcolor{red}{T}_5\alpha = \sum \alpha'_i = \sum \frac{5}{\alpha_i} = \sum \frac{\alpha_0 \cdots \alpha_5}{\alpha_i} = \textcolor{red}{h} = \alpha^5 - 10\alpha^4 + 35\alpha^3 - 60\alpha^2 + 55\alpha + \alpha' \equiv \alpha^5 + \alpha' \pmod{5}$$

(Hecke operator and involution commute [Atkin-Lehner1970, Lemma 11]).

Second attempt: deduce  $\psi^5(h)$  by comparing  $q$ -expansions

$$\begin{aligned}
 h' &= j' - 750 = \frac{1}{q^5} - 6 + 196884q^5 + \dots \\
 h &= j - 750 = \frac{1}{q} - 6 + 196884q + \dots \\
 x &= \frac{5^3}{x'} = 125q + 750q^2 + 3375q^3 + \dots \\
 \Rightarrow \\
 h' &= h^5 + 30h^4 - \textcolor{red}{1575}h^4x - 787185h^3 + (-\textcolor{red}{1300}h^4x^2 + ?h^3x + ?h^2) + \dots \\
 &\text{unsuccessful}
 \end{aligned}$$

We do not yet know a nice formula for the higher coefficients in  $\text{cmp}(x, j)$  when  $g = 0$ , though based on the algorithm it is not hard to write down a few terms:

$$\begin{array}{llll}
 w_p &= 2sp &= \frac{24p}{p-1} &= 2(s+12) \\
 w_{p-1} &= sp(2sp-4s+3) &= \frac{36p(9p-17)}{(p-1)^2} &= -(s+12)(2s-27) \\
 w_{p-2} &= \frac{2}{3}sp(2sp-6s+1)(sp-3s+4) &= \frac{64p(2p-5)(25p-73)}{(p-1)^3} &= \frac{4}{3}(s+12)(s-8)(4s-25) \\
 w_{p-3} &= \frac{1}{6}sp(2sp-8s+1)(2sp-8s+3)(sp-4s+7) &= \frac{18p(3p-11)(19p-55)(25p-97)}{(p-1)^4} &= -\frac{1}{2}(s+12)(2s-9)(3s-19)(6s-25) \\
 w_{p-4} &= \frac{1}{15}sp(sp-5s+3)(2sp-10s+3) &= \frac{576p(5p-21)(9p-41)(34p^2-275p+529)}{5(p-1)^5} &= \frac{4}{15}(s+12)(4s-15)(8s-27) \\
 & (2s^2p^2 - 20s^2p + 21sp + 50s^2 - 105s + 4) & & (8s^2 - 69s + 136)
 \end{array}$$

**Example 2.3**  $p = 11$

$$s = 6 \quad xx' = 11^6$$

$$u = 5$$

$$\begin{aligned}
 x' &= \phi_{11}(z) = \left( \frac{\eta(z)}{\eta(11z)} \right)^{12} \\
 &= \frac{1}{q^5} - \frac{12}{q^4} + \frac{54}{q^3} - \frac{88}{q^2} - \frac{99}{q} + 540 - 418q - 648q^2 + 594q^3 + 836q^4 + 1056q^5 - 4092q^6 - 353q^7 + \dots
 \end{aligned}$$

a modular function on  $\Gamma_0(11)$  with Nebentypus: [[Apostol1990](#), pp86-87]

There exists a unique  $f(j)$  such that  $f(j) - x'$  is a cusp form (0 mod 11):

$$\begin{aligned}
 f(j) &= j^5 - 3732j^4 + 4586706j^3 - 2059075976j^2 + 253478654715j - 2067305393340 \\
 &\equiv \textcolor{red}{j}^2(j-1)^3 \pmod{11}
 \end{aligned}$$

Adapting [Choi2006, (2.4)], we compute  $\text{cmp}(x', j') \rightsquigarrow \text{cmp}(x, j)$  and get

$$\begin{aligned} \text{cmp}(x, j) &= x^{12} - 5940x^{11} + 14701434x^{10} + (-139755j - 19264518900)x^9 \\ &\quad + \dots \\ &\quad + (-j^5 + 3732j^4 - 4586706j^3 + 2059075976j^2 - 253478654715j \\ &\quad + 2067305393340)x + 11^6 \\ &\equiv x(x^{11} - f(j)) \pmod{11} \end{aligned}$$

Upshot

$$\begin{aligned} \psi^{11}: E^0 &\rightarrow E^0(B\Sigma_{11})/I \\ \mathbb{W}(\overline{\mathbb{F}}_{11})[[h = \textcolor{red}{j}]] &\rightarrow \mathbb{W}(\overline{\mathbb{F}}_{11})[[x, j]]/(\text{cmp}(x, j)) \end{aligned}$$

It seems that  $\mathbb{W}(\overline{\mathbb{F}}_p)[[x, j]]/(\text{cmp}(x, j))$  is not always the correct target of the total power operation for an  $E$ -theory, which arises from completion at a single supersingular point;  $x'$  needs to split off a factor with  $q$ -expansion  $\frac{1}{q} + \dots$ , to be paired with  $j$  as in (2.2).

In view of the above, for all primes  $p$ , we have

$$\begin{aligned} \psi^p: L_{K(2)}\text{TMF}(?)^0 &\rightarrow L_{K(2)}\text{TMF}(?)^0(B\Sigma_p)/I \\ \mathbb{W}(\overline{\mathbb{F}}_p)[[h = f(j)]] &\rightarrow \mathbb{W}(\overline{\mathbb{F}}_p)[[x, j]]/(\text{cmp}(x, j)) \\ h \mapsto f(j') &= x + j_{up}^{(p)}(x', \textcolor{red}{j}') \end{aligned}$$

Locally at each supersingular point, the above total power operation splits off a factor

$$\begin{aligned} \psi^p: E^0 &\rightarrow E^0(B\Sigma_p)/I \\ \mathbb{W}(\overline{\mathbb{F}}_p)[[h = j - j_0]] &\rightarrow \mathbb{W}(\overline{\mathbb{F}}_p)[[x_0, j]]/(\text{cmp}_0(x_0, j)) \\ h \mapsto j' - j_0 \end{aligned}$$

In particular,

$$\begin{aligned} x'_0 &\equiv j - j_0 \pmod{p} \\ \implies \text{cmp}_0(x'_0, j') &\equiv x'_0((x'_0)^p - (j' - j_0)) \equiv (j - j_0)(j^p - j') \pmod{p} \end{aligned}$$

which symmetrizes to the Kronecker congruence

$$(j - (j')^p)(j^p - j') \equiv 0 \pmod{p}$$

We check  $h = j - j_0$  against the explicit models of  $(\Gamma_0(p), \Gamma_1(N))$  and see how the  $q$ -expansions match up.

- $\Gamma_1(3)$ :  $y^2 + Axy + By = x^3$ ,  $|A| = 1$ ,  $|B| = 3$ ,  $\Delta = B^3(A^3 - 27B)$ ,  $j = A^3(A^3 - 24B)^3/\Delta$   
At  $p = 2$ ,

$$\begin{cases} H = A \implies \frac{H}{B^{1/3}} = h = j - j_0 = \frac{1}{q} + \dots \\ j = \frac{\left(\frac{1}{q}B^{1/3}\right)^{12}}{q} + \dots = \frac{1}{q^{13}}B^4 + \dots = \frac{1}{q} + \dots \end{cases} \implies B = q^3 + \dots$$

At  $p = 5$ ,  $(p - 1)/2 = 2$ ,<sup>4</sup>

$$\begin{cases} H = A^4 + 16AB \implies \frac{H}{B^{4/3}} = h = (j - j_0)(j - j_1)^2 = \frac{1}{q^4} + \dots \\ j = \frac{\left(\frac{1}{q^4}B^{4/3}\right)^3}{q} + \dots = \frac{1}{q^{13}}B^4 + \dots = \frac{1}{q} + \dots \end{cases} \implies B = q^3 + \dots$$

- $\Gamma_1(4)$ :  $y^2 + Axy + AB^2y = x^3 + Bx^2$ ,  $|A| = 1$ ,  $|B| = 2$ ,  $\Delta = A^2B^4(A^2 - 16B)$ ,  $j = (A^4 - 16A^2B + 16B^2)^3/\Delta$

At  $p = 3$ ,  $(p - 1)/2 = 1$ ,

$$\begin{cases} H = A^2 + 4B \implies \frac{H}{B} = h = j - j_0 = \frac{1}{q} + \dots \\ j = \frac{\left(\frac{1}{q}B\right)^6}{q} + \dots = \frac{1}{q^7}B^6 + \dots = \frac{1}{q} + \dots \end{cases} \implies B = q + \dots$$

At  $p = 5$ ,  $(p - 1)/2 = 2$ ,

$$\begin{cases} H = A^4 + 24A^2B + 16B^2 \implies \frac{H}{B^2} = h = (j - j_0)^2 = \frac{1}{q^2} + \dots \\ j = \frac{\left(\frac{1}{q^2}B^2\right)^3}{q} + \dots = \frac{1}{q^7}B^6 + \dots = \frac{1}{q} + \dots \end{cases} \implies B = q + \dots$$

### 3 Modular equations for Lubin-Tate formal groups

**Theorem 3.1** Let  $\mathbb{G}_0$  be a formal group of height 2 over  $\overline{\mathbb{F}}_p$ , and let  $\mathbb{G}$  be its universal deformation. Write  $A_m$  for the ring  $\mathcal{O}_{\text{Sub}_m(\mathbb{G})}$  studied in [Strickland1997], which classifies degree- $p^m$  subgroups of the formal group  $\mathbb{G}$ . Then  $A_0 \cong \mathbb{W}(\overline{\mathbb{F}}_p)[[h]]$  and  $A_1 \cong \mathbb{W}(\overline{\mathbb{F}}_p)[[h, \alpha]]/(w(h, \alpha))$ , where

$$w(h, \alpha) = (\alpha - p)(\alpha + (-1)^p)^p - (h - p^2 + (-1)^p)\alpha$$

**Proof** Choose a  $\mathcal{P}_N$ -model for  $\mathbb{G}$  as in [Zhu2015, Section 2], and consider a formal neighborhood that contains a single supersingular point in characteristic  $p$  with  $j$ -invariant  $j_0$  (clearly such a neighborhood is preserved under a deformation of  $p$ -power Frobenius, as the Frobenius is an automorphism over  $\overline{\mathbb{F}}_p$ ). Define  $h := j - j_0$ . By the Serre-Tate theorem and [Zhu2015, Remark 3.2], there exists a unique polynomial

$$(3.2) \quad w(h, \alpha) = \alpha^{p+1} + \sum_{i=0}^p w_i \alpha^i$$

with  $w_i \in \mathbb{W}(\overline{\mathbb{F}}_p)[[h]]$  such that  $A_1 \cong A_0[\alpha]/(w(h, \alpha))$ . Moreover, by [Ando1995, Theorem 4], we can choose  $\alpha$  such that  $w_0 = (-1)^{p+1}p$ .

Note that the ring  $A_1$ , with parameters  $h$  and  $\alpha$ , is precisely the ring  $A$  parametrized by  $T$  and  $\mathbf{N}(X(P))$ , respectively, in [Katz-Mazur1985, Section 7.7]. We now imitate the derivation from Section 2 of a canonical modular polynomial, with parameters  $j'$  and  $\alpha'$ , and derive a polynomial relation between their counterparts  $h'$  and  $\alpha'$ , which are the images of  $h$  and  $\alpha$  under the Atkin-Lehner involution.

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<sup>4</sup>[Silverman2009, V.4.1a]



By [Katz-Mazur1985, 12.4.1], [Zhu2015, Remark 3.2] and in view of the dehomogenization procedure in [Zhu2015, Example 2.6, Proposition 2.8, and Example 3.4], since  $h = j - j_0$ , the modular function  $\alpha'$  on  $\Gamma_0(p)$  has a  $q$ -expansion

$$\alpha' = \mu q^{-1} + O(1)$$

for some  $\mu \in \mathbb{W}(\overline{\mathbb{F}}_p)^\times \cap \mathbb{Z}$ . Thus there exist  $w'_i \in p\mathbb{Z}$ ,  $2 \leq i \leq p$  such that

$$(\alpha')^p + w'_p(\alpha')^{p-1} + \cdots + w'_2\alpha' = \mu^p q^{-p} + O(1)$$

On the other hand, since  $j'(z) = j(pz)$ , we have

$$h' = j' - j_0 = q^{-p} + O(1)$$

Comparing the two displays above, we then have

$$(\alpha')^p + w'_p(\alpha')^{p-1} + \cdots + w'_2\alpha' = \mu^p h' + c + O(q)$$

for some  $c \in \mathbb{Z}$ . Passing to the mod- $p$  reduction of this identity, we see that  $c \in p\mathbb{Z}$ . Therefore we can redefine  $h$  (and  $w_i$ ,  $2 \leq i \leq p$  in (3.2) accordingly) such that

$$(\alpha')^p + w'_p(\alpha')^{p-1} + \cdots + w'_2\alpha' = h' + O(q)$$

without changing the expressions for  $A_0$  and  $A_1$  (note that  $\alpha'$  is independent of the choice of  $h$ ). From this we obtain

$$(\alpha')^{p+1} + w'_p(\alpha')^p + \cdots + w'_2(\alpha')^2 = h'\alpha' + O(1)$$

In view of the expression for  $A_1$  (under the Atkin-Lehner involution) and the  $q$ -expansions for  $\alpha'$  and  $h'$ , we see that the last term  $O(1)$  above must be constant. Applying the Atkin-Lehner involution to this polynomial relation between  $\alpha'$  and  $h'$ , we then conclude that the coefficients  $w_i$ ,  $2 \leq i \leq p$  in (3.2) are all constants. It remains to determine their values, which follows from the next proposition.  $\square$

**Proposition 3.3** *Let  $M_n$  and  $M_{n,p}$  be the modular schemes in [Katz1973, Section 1.13], the latter being finite and flat over the former of degree  $p + 1$ . In a punctured formal neighborhood of the cusps  $\overline{M}_n - M_n$ , the scheme  $M_{n,p}$ , viewed as a relative curve over  $M_n$ , has an equation*

$$(\alpha - p)(\alpha + (-1)^p)^p = 0$$

**Proof** Choose the particular local coordinate in [Ando1995, Theorem 4] on the universal elliptic curve over  $M_{n,p}$ , and define the parameter  $\alpha$  as in [Zhu2015, Section 3.1, esp. Construction 3.1 (ii) and Remark 3.2]. In view of [Zhu2015, Remark 3.15], the stated equation then follows from the discussion in the first new paragraph on page Ka-23 of [Katz1973] (note that when  $p = 2$ , the isogeny  $\pi$  in [Katz1973, Section 1.11] differs by a sign from the restriction of the isogeny  $\Psi_N^{(p)}$ ,  $N = n$ , in [Zhu2015, Section 3] around the ramified cusp 0 of  $\Gamma_0(p)$ ).  $\square$

By [Strickland1998, Theorem 1.1] and [Rezk2009, Theorem B], we have the following.

**Corollary 3.4** *Let  $E$  be a Morava  $E$ -theory of height 2 at the prime  $p$ . There is a total power operation*

$$\begin{aligned} \psi^p: E^0 &\rightarrow E^0(B\Sigma_p)/I \\ \mathbb{W}(\overline{\mathbb{F}}_p)[[h]] &\rightarrow \mathbb{W}(\overline{\mathbb{F}}_p)[[h, \alpha]]/(w(h, \alpha)) \end{aligned}$$

where

$$w(h, \alpha) = (\alpha - p)(\alpha + (-1)^p)^p - (h - p^2 + (-1)^p)\alpha$$

## 4 Computations

From Corollary 3.4 we can compute  $\psi^p(h)$  via involution as usual **algorithmically, if not explicitly**, which leads to a uniform presentation of the Dyer-Lashof algebra for Morava  $E$ -theory at height 2 (cf. [Zhu2015, Remark 6.6]).

Here are two attempts for computing  $\psi^p(h)$  explicitly. Assume  $p > 2$ .

- (i) Can a computer manipulate polynomials of indefinite degree? Cf. [Kerner2008, Appendix C].

$$\begin{aligned} (\alpha - p)(\alpha - 1)^p &= (\alpha - p) \sum_{i=0}^p \binom{p}{i} \alpha^i (-1)^{p-i} \\ &= \sum_{i=0}^p \binom{p}{i} \alpha^{i+1} (-1)^{p-i} - p \sum_{i=0}^p \binom{p}{i} \alpha^i (-1)^{p-i} \\ &= \sum_{j=1}^{p+1} \binom{p}{j-1} \alpha^j (-1)^{p-j+1} - p \sum_{j=0}^p \binom{p}{j} \alpha^j (-1)^{p-j} \\ &= \sum_{j=2}^{p+1} \left[ \binom{p}{j-1} (-1)^{p-j+1} - p \binom{p}{j} (-1)^{p-j} \right] \alpha^j + \dots \quad \binom{p}{p+1} = 0 \\ &= \sum_{j=2}^{p+1} (-1)^{p-j+1} \left[ \binom{p}{j-1} + p \binom{p}{j} \right] \alpha^j + \dots \\ \implies w(h, \alpha) &= (-p)(-1)^p - h\alpha + \sum_{j=2}^{p+1} (-1)^{p-j+1} \left[ \binom{p}{j-1} + p \binom{p}{j} \right] \alpha^j \\ &= p - h\alpha + \sum_{j=2}^{p+1} (-1)^j \left[ \binom{p}{j-1} + p \binom{p}{j} \right] \alpha^j \\ &= p - h\alpha + \sum_{j=2}^{p+1} \left[ \binom{p}{j-1} + p \binom{p}{j} \right] (-\alpha)^j \end{aligned}$$

$$\begin{aligned} \Rightarrow \quad 0 &= p - h\alpha + \sum_{j=2}^{p+1} \left[ \binom{p}{j-1} + p \binom{p}{j} \right] \alpha^j & \alpha \mapsto -\alpha, h \mapsto -h \\ &= \alpha^{p+1} + 2p\alpha^p + \cdots - h\alpha + p \end{aligned}$$

$$\begin{aligned} \Rightarrow \quad \alpha' = \frac{p}{\alpha} &= h - \sum_{j=2}^{p+1} \left[ \binom{p}{j-1} + p \binom{p}{j} \right] \alpha^{j-1} \\ &= h - \sum_{i=1}^p \left[ \binom{p}{i} + p \binom{p}{i+1} \right] \alpha^i \end{aligned}$$

$$\Rightarrow \quad h' = \alpha + \sum_{k=1}^p \left[ \binom{p}{k} + p \binom{p}{k+1} \right] \left( h - \sum_{i=1}^p \left[ \binom{p}{i} + p \binom{p}{i+1} \right] \alpha^i \right)^k$$

Adapting the proof of [Zhu2015, Proposition 6.4] does the trick here!

$$\begin{aligned} &= \alpha + \sum_{k=1}^p \left[ \binom{p}{k} + p \binom{p}{k+1} \right] \sum_{m_k=0}^k \binom{k}{m_k} h^{k-m_k} \left( - \sum_{i=1}^p \left[ \binom{p}{i} + p \binom{p}{i+1} \right] \alpha^i \right)^{m_k} \\ &= -\alpha^{p^2} - 2p^2\alpha^{p^2-1} + \cdots + \sum_{k=1}^p \left[ \binom{p}{k} + p \binom{p}{k+1} \right] h^k \\ &\equiv ? \pmod{w(h, \alpha)} \end{aligned}$$

(ii) Change of variables

$$\begin{aligned} w(h, \alpha) &= (\alpha - p)(\alpha - 1)^p + (1 + p^2 - h)\alpha \\ \begin{cases} \beta = \alpha - 1 & \text{a unit} \\ y = p^2 - h \end{cases} &\Downarrow \\ (1 + \beta - p)\beta^p + (1 + y)(1 + \beta) &= 0 \\ (1 - (1 + \beta'))\beta^p + 1 + y &= 0 \\ 1 + y &= \beta^p \beta' & \text{multiplicativity of units} \\ y' &= (\beta')^p \beta - 1 \\ &= \left( \frac{y+1}{\beta^p} \right)^p \beta - 1 \\ &= \frac{(y+1)^p}{\beta^{p^2-1}} - 1 \end{aligned}$$

Reducing  $\beta^{p^2-1}$  and then inverting it is complicated. Also,

$$\begin{aligned}
& \begin{cases} \beta^{p+1} + (1-p)\beta^p + (1+y)\beta + (1+y) = \beta^p(\beta + 1 - p) + (1+y)(\beta + 1) \\ \beta^p = (1+y)(\beta + 1) \cdot (1+y)^{-1}(\beta^{p-1} - \beta^{p-2} + \beta^{p-3} - \dots + 1) - 1 \end{cases} \\
\implies & 1 = -\beta^p(\beta + 1 - p) \cdot (1+y)^{-1}(\beta^{p-1} - \beta^{p-2} + \beta^{p-3} - \dots + 1) - \beta^p \\
\implies & \beta' = \frac{1+y}{\beta^p} \\
& = -(\beta + 1 - p)(\beta^{p-1} - \beta^{p-2} + \beta^{p-3} - \dots + 1) - (1+y) \\
& = -\beta^p + p\beta^{p-1} - p\beta^{p-2} + p\beta^{p-3} - \dots + p - 1 - (1+y)
\end{aligned}$$

Reducing the  $p$ 'th power of this last expression seems hard.

## 5 More about the eta-quotient

For the genus-zero primes  $p$  (each with a single supersingular  $j$ -invariant), it is straightforward to show that

$$\text{constant term of } -\phi_p(z) = 2s = \#\text{Aut}(E_{s.s.}/\overline{\mathbb{F}}_p)$$

as noted in Section 1 (768 –  $j$ , etc.).

In view of the construction of  $\phi_p$  from  $\Delta$ , it is not surprising that  $\phi_p$  has vanishing “ $p$ -local Serre derivative” [Ahlgren2003, Section 4] (cf. [Zhu2015, (4.10)]):

$$\begin{aligned}
& \text{“}G_p := \frac{\theta\phi_p}{\phi_p} + \frac{pE_2|V(p) - E_2}{p-1} = 0\text{”} \\
\iff & \vartheta_p\phi_p := D\phi_p + \left( \frac{k/12 - h}{p-1} \cdot p\mathcal{E}_2|V(p) + \frac{h - pk/12}{p-1} \cdot \mathcal{E}_2 \right) \phi_p = 0
\end{aligned}$$

where weight  $k = 0$ ,  $h = -u = -1$  [Ahlgren2003, Lemma 2.2]. This generalizes to all primes in [Choi2006, Theorem 3.4]. In fact, there is a version of  $p$ -local Serre derivative for each  $(l, p)$ -type sequence  $\{g_m^{(p)}\}$ .<sup>5</sup>

It is illegitimate to deduce from this and [Zhu2015, Theorem 4.13] that

$$\begin{aligned}
\ell_{2,p}(x') &= \frac{1}{p} \log \frac{(x')^p \cdot x'}{x_0 \cdots x_p} \\
&= \frac{1}{p} \log \frac{(x')^{p+1}}{p^s}
\end{aligned}$$

---

<sup>5</sup>Sequences of modular functions:

[Bruinier-Kohnen-Ono2004]	$\text{SL}_2(\mathbb{Z})$	$\{j_m(z)\}$	$(-1, 1)$ -type	$j_1(z) = j(z) - 744$	$H_\tau(z)$
[Ahlgren2003]	$\Gamma_0(p)$ , $g = 0$	$\{j_m^{(p)}(z)\}$	$(-1, p)$ -type	$j_1^{(p)}(z) = \phi_p(z) \equiv f(j) \pmod{p}$	$H_\tau^{(p)}(z)$
[Choi2006, (2.4)]	$\Gamma_0(p)$ , $g > 0$	$\{j_m^{(p)}(z)\}$	$(-u, p)$ -type	$j_1^{(p)}(z) = j(z)$ $j_u^{(p)}(z) = \phi_p(z) \equiv f(j) \pmod{p}$	
[Choi2006, Definition 3.1]	$\Gamma_0(N)$	$\{g_m^{(N)}(z)\}$	$(l, p)$ -type		$[g_m^{(N)}]_\tau(z)$ , $[g_m^{(N)}]_l(z)$ , $[g_m^{(N)}]_\infty(z)$

must then be zero, because  $\phi_p$  is not on  $\Gamma_1(N)$  and is not a unit either.

However, the above does seem to make sense  $K(1)$ -locally. Recall from [Lubin1979, Definition above Theorem E] that the universal ring parametrizing canonical (degree- $p$ ) subgroups is

$$\mathfrak{A}_2 \cong \mathbb{Z}_p \left[ \left[ t, \frac{p}{t}, \frac{p^p}{t^{p+1}} \right] \right] \sim \mathbb{Z}_p \left[ \left[ \alpha, \alpha', \frac{(\alpha')^{p+1}}{p} \right] \right] \sim \mathbb{Z}_p \left[ \left[ x, x', \frac{(x')^{p+1}}{p^s} \right] \right]$$

We know that  $K(1)$ -localization inverts  $h \sim \alpha' \sim x'$ , so that

$$\ell_{2,p}(x') = 0 \implies \frac{(x')^{p+1}}{p^s} \in \mu_p \implies A_1 \cong \mathfrak{A}_2$$

meaning, tautologically, that  $K(1)$ -locally the unique degree- $p$  subgroup is the canonical subgroup.

Question: What does  $\vartheta_p \circ x' = 0$  indicate, if anything, for the diagram below? [Katz1973, Appendices 1 and 2]

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \omega & \longrightarrow & H_{\text{DR}}^1(C/S) & \longrightarrow & \omega^{-1} \longrightarrow 0 \\
 & & \downarrow \cdot \alpha' \sim x' & & \downarrow & & \downarrow \cdot h' \\
 0 & \longrightarrow & \omega^{(p)} & \longrightarrow & H_{\text{DR}}^1(C^{(p)}/S) & \longrightarrow & \omega^{(-p)} \longrightarrow 0 \\
 & & \downarrow \vartheta_p & & \downarrow \nabla(D) & & \\
 & & & & H_{\text{DR}}^1(C^{(p)}/S) \otimes \omega^{(2p)} & & 
 \end{array}$$

♠ Look into more of [Katz1973] for a structural explanation of the appearance of Serre derivatives in homotopy-theoretic context.

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