

# Spectral Sequences II

— Some formal definitions

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Ref. J. McCleary, A user's guide to spectral sequences. (2nd edition).

# 1. Some algebraic Concepts

Let  $R$  be a comm. ring.

- graded  $R$ -modules  $A_* = \bigoplus_n A_n$ .  
 $x \in A_n$  is called homogeneous of deg.  $n$ .  
 $\text{Hom}(A_*, B_*) = \prod_n \text{Hom}(A_n, B_n)$  ( $|x|=n$ ) } Category.

$$(A_* \otimes B_*)_n = \bigoplus_{i+j=n} A_i \otimes B_j.$$

$$\text{Hom}^k(A_*, B_*) = \prod_n \text{Hom}(A_n, B_{n+k}) - \text{deg } k \text{ homomorphism.}$$

- graded algebra/ring =  $\begin{cases} \text{graded module } A_* = \bigoplus_n A_n \\ \text{a degree 0 } R\text{-linear map (multiplication) } A_* \otimes A_* \rightarrow A_* \Rightarrow A_n \cdot A_m \subset A_{n+m}, \\ \text{which is associative \& unit.} \end{cases}$

eg.  $H^*(X; R)$  — cohomological ring.

- differential graded algebra (dga) = graded ring  $A_*$  + a differential  $d: A_* \rightarrow A_*$   
 $\text{degree} \begin{cases} +1 \text{ --- cohomology} \\ -1 \text{ --- homology} \end{cases} \text{ s.t.}$

eg.  $C^*(X; R)$ ,  $G_*(X; R)$  chain complex.

$$\text{i.) } d^2 = d \circ d = 0$$

$$\text{ii) Leibniz rule: } d(xy) = dx \cdot y + (-1)^{|x|} x \cdot dy.$$

- A filtration of an  $R$ -module  $M$  is a sequence of submodules:

$$\text{increasing } F_* M: \dots \subseteq F_{n+1} M \subseteq F_n M \subseteq F_{n-1} M \subseteq \dots \subseteq M = \bigcup_n F_n M$$

$$\text{decreasing } F^* M: \dots \subseteq F^{n+1} M \subseteq F^n M \subseteq F^{n-1} M \subseteq \dots \subseteq M$$

$$\left. \begin{array}{l} \text{(usually bounded:} \\ M = F_0 M \supseteq F_1 M \supseteq \dots \supseteq F_n M \supseteq 0 \end{array} \right\}$$

The associated graded module of a filtered module  $M$  is

$$\text{the graded module } Gr(M) = \bigoplus_n Gr(M)_n, \quad Gr(M)_n = \begin{cases} F_n M / F_{n+1} M & \text{for increasing filtration.} \\ F^n M / F^{n+1} M & \dots \text{decreasing} \dots \end{cases}$$

- filtered differential graded module =  $dgm(A_*, d)$  with a "coherent" filtration  $F^* M$ :

$$(A_*, d, F_*)$$

$$d: F^n M \longrightarrow F^n M; \text{ that is, } (F^* M, d) \text{ is a sub-dga.}$$

"coherent"  $\Rightarrow H(A_*, d) = \frac{\text{Ker } d}{\text{Im } d}$  inherits a filtration:

$$F_n H(A_*, d) = \text{Im} \left( H(F^n A_*, d) \xrightarrow{H(\text{incl})} H(A_*, d) \right).$$

## 2 Spectral Sequences (S.S.)

- A cohomology S.S. is a sequence of bigraded module  $E_r^{p,q}$ ,  $r \geq 1$ ,  $p, q \in \mathbb{Z}$  together with differentials  $dr: E_r^{p,q} \rightarrow E_r^{p+r, q-r+1}$  s.t.  $dr^2 = 0$

and  $E_{r+1}^{p,q} = H(E_r^{p,q}, dr) = \frac{\text{Ker}(E_r^{p,q} \xrightarrow{dr} E_r^{p+r, q-r+1})}{\text{Im}(E_r^{p-r, q+r-1} \xrightarrow{dr} E_r^{p,q})}$ , set  $E_{\infty}^{*,*} = \varinjlim_r E_r^{*,*}$ .

- We say  $dr$  has bidgree  $(r, -r+1)$ , total degree 1.

- The S.S.  $\{E_r, dr\}$  converges to the graded  $R$ -module  $M^*$  ( $E_r^{p,q} \Rightarrow M^{p+q}$ ) if there exists a filtration  $F^*$  of  $M^*$  such that  $E_{\infty}^{p,q} \cong F^p M^{p+q} / F^{p+1} M^{p+q}$ .

• The S.S.  $\{E_r, dr\}$  collapses at  $E_{r_0}$  if  $dr = 0$  for any  $r \geq r_0$ :  $E_{\infty}^{*,*} = E_{r_0}^{*,*}$ .

- 1st quadrant S.S.:  $E_r^{p,q} = 0$  if  $p < 0$  or  $q < 0$ .

Rmk A 1st quadrant S.S.  $\{E_r^{*,*}, dr\}$  converges in a strong way.  
 $\forall (p, q), \exists r_0$  s.t.  $dr = 0$  for each  $r \geq r_0$ .

Eg: If  $r > \max(p, q+1)$ , then 
$$\begin{array}{ccccc} E_r^{p-r, q+r-1} & \xrightarrow{dr} & E_r^{p,q} & \xrightarrow{dr} & E_r^{p+r, q-r+1} \\ \parallel & & & & \parallel \\ 0 & & & & 0 \end{array}$$

△ Everything above is almost the same for a homological s.s.  $E_{p,q}^r \Rightarrow M_{p+q}$  except that  
 dir:  $E_{p,q}^r \rightarrow E_{p+r, q-r+1}^r$  has bidegree  $(-r, r-1)$ , total degree  $-1$ .

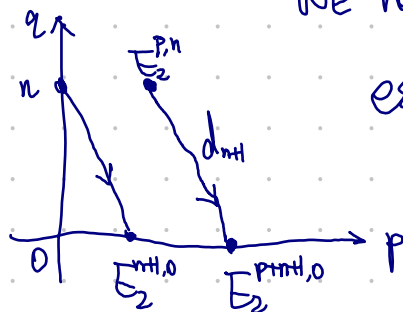
Ex. 1. Suppose  $E_{p,q}^{p,q} = 0$  unless  $p=q$ . Then  $H^{k+q} \cong E_{\infty}^{k,q}$ .

2. Suppose  $E_2^{p,q} = 0$  unless  $q=0, n$  ( $n \geq 2$ ), then there is a LES:  
 (Example 1.D)  $\rightarrow H^{p+n} \rightarrow E_2^{p,n} \xrightarrow{d_{n+1}} E_2^{p+n+1,0} \rightarrow H^{p+n+1} \rightarrow E_2^{p+n+1,n} \xrightarrow{d_{n+1}} E_2^{p+n+2,0} \rightarrow \dots$  (\*)

pf. The only possible nontrivial differential is  $d_{n+1}: E_2^{p,n} \rightarrow E_2^{p+n+1,0}$ ,  $p \geq 0$ .

We have  $E_2 \cong \dots \cong E_{n+1}$ ,  $E_{n+1} \cong E_{\infty}$ ;

especially,  $E_{\infty}^{p+n+1,0} \cong E_2^{p+n+1,0} / \text{im } d_{n+1}$ ,  $E_{\infty}^{p,n} \cong \text{Ker } d_{n+1}$ .



$$0 \rightarrow E_{\infty}^{p,n} \rightarrow E_2^{p,n} \xrightarrow{d_{n+1}} E_2^{p+n+1,0} \rightarrow E_{\infty}^{p+n+1,0} \rightarrow 0 \quad (1)$$

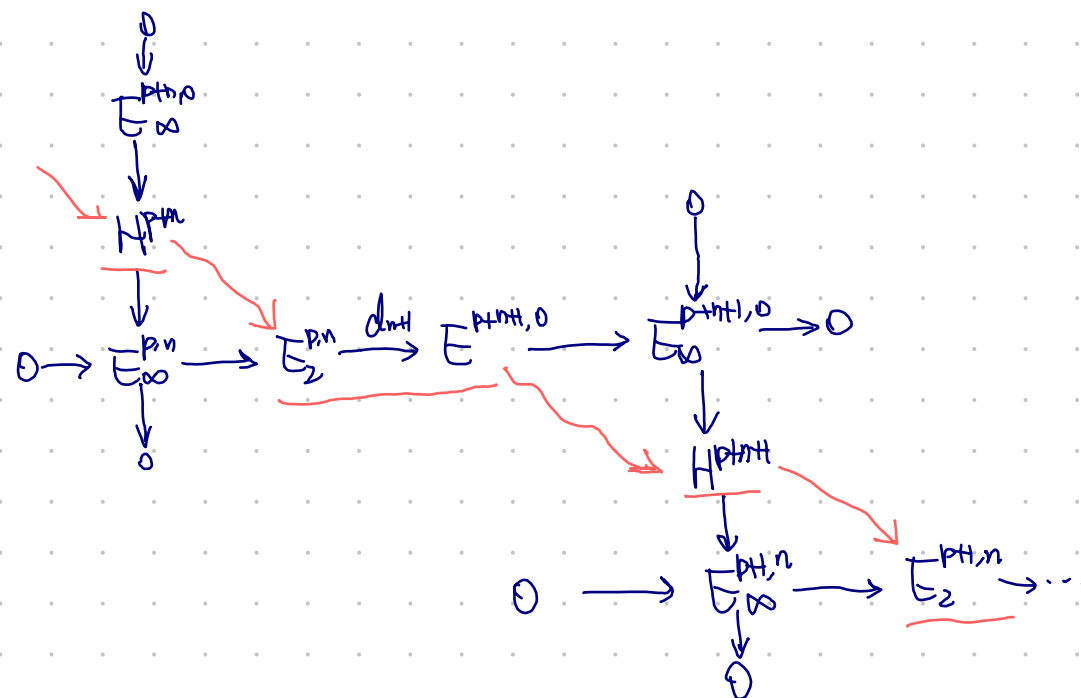
$$E_2^{p,n} \cong H^{p+n}, \quad F^* = F^* H^{p+n}, \quad F^0 = H^{p+n} \geq F^1 \geq \dots \geq 0.$$

$$E_{\infty}^{p,n+1} = F^{p+1} / F^p = 0 \Rightarrow F^0 = F^1 = \dots = F^p = H^{p+n}$$

$$E_{\infty}^{p+n+1,n} = F^{p+n+1} / F^{p+n} = 0 \Rightarrow F^{p+n} = \dots = F^{p+n+1} = E_{\infty}^{p+n,0}$$

$$\therefore E_{\infty}^{p,n} \cong F^p / F^{p+1} \cong H^{p+n} / E_{\infty}^{p+n+1,0} \iff 0 \rightarrow E_{\infty}^{p+n+1,0} \rightarrow H^{p+n} \rightarrow E_{\infty}^{p,n} \rightarrow 0 \quad (2)$$

Splice ES (1) and (2) together  $\Rightarrow (*)$ :



3.  $\{E_r, d_r\}$  a first quadrant S.S.  $\rightarrow H^*$ .

Suppose  $E_r^{p,q} = 0$  unless  $p=0$  or  $p=n, n \geq 2$ . Derive the Wang Sequence:

$$\cdots \rightarrow H^k \rightarrow E_2^{0,k} \xrightarrow{d_2} E_2^{n,k+1} \rightarrow H^{k+1} \rightarrow E_2^{0,k+1} \rightarrow \cdots$$

Q.E.D.

- Exact couple

Let  $X$  be a CW-cpx and let  $\phi = X_{-1} \subseteq X_0 \subseteq X_1 \subseteq \dots \subseteq X$  be a filtration.

eg.  $X_n = n$ -skeleton of  $X$ , cellular filtration.

Each inclusion  $X_{p-1} \rightarrow X_p$  induces a LES of homology groups

$$\dots \xrightarrow{k} H_n X_{p-1} \xrightarrow{i} H_n X_p \xrightarrow{j} H_n(X_p, X_{p-1}) \xrightarrow{\partial=k} H_{n-1} X_p \rightarrow \dots$$

$$\underline{j \circ i = k \circ j = 0}$$

$$\begin{array}{ccccccc} \dots & \rightarrow & H_n(X_{p-2}) & & & & \\ & & \downarrow i & & & & \\ \dots & \rightarrow & H_n(X_{p-1}) & \xrightarrow{j} & H_n(X_{p-1}, X_{p-2}) & \xrightarrow{k} & H_{n-1}(X_{p-2}) \xrightarrow{j} H_{n-1}(X_{p-2}, X_{p-3}) \\ & & \downarrow i & & \downarrow i & & \downarrow i \\ & & H_n(X_p) & \xrightarrow{j} & H_n(X_p, X_{p-1}) & \xrightarrow{k} & H_{n-1}(X_{p-1}) \xrightarrow{j} H_{n-1}(X_{p-1}, X_{p-2}) \rightarrow \dots \\ & & \downarrow i & & \downarrow i & & \downarrow i \\ & & H_n(X_{p+1}) & \xrightarrow{j} & H_n(X_{p+1}, X_p) & \xrightarrow{k} & H_{n-1}(X_p) \xrightarrow{j} H_{n-1}(X_p, X_{p-1}) \rightarrow \dots \\ & & \vdots & & \vdots & & \vdots \\ & & H_n(X) & & & & \\ & & & & & & \downarrow \\ & & & & & & H_{n-1}(X) \end{array}$$

Red arrows and labels in the diagram:  $d_1: H_{n-1}(X_p) \rightarrow H_{n-1}(X_p, X_{p-1})$ ,  $d_2: H_{n-1}(X_{p-1}) \rightarrow H_{n-1}(X_{p-1}, X_{p-2})$ ,  $d_3: H_{n-1}(X_{p-2}) \rightarrow H_{n-1}(X_{p-2}, X_{p-3})$ . Also  $a \in H_{n-1}(X_p)$ ,  $b_2 \in H_{n-1}(X_{p-1})$ ,  $b_3 \in H_{n-1}(X_{p-2})$ .

Let  $E'_{pq} = H_{pq}(X_p, X_{p-1})$ ,  $d_1 = jk$  ( $n=pq$ )  
check  $d_1: E'_{pq} \rightarrow E'_{p-1,q}$  &  $d_1^2 = jk \circ jk = 0$ .

$$E''_{pq} = \frac{\text{Ker } d_1}{\text{Im } d_1}, d_2: E''_{pq} \rightarrow E''_{p-2,q+1}$$

$\forall a \in \text{Ker } d_1$ ,  $jk(a) = 0$ ,  $k(a) \in \text{Ker } j = \text{Im } i$ .

$\Rightarrow \exists b$ , st.  $i(b) = k(a)$ . Set  $d_2(a) = j(b)$ .

check:  $d_2$  is welldefined &  $d_2(E''_{pq}) \subseteq E''_{p-2,q+1}$ .

$E^3_{pq} = \frac{\text{Ker } d_2}{\text{Im } d_2}$ , define  $d_3$  similarly.

$\forall a \in \text{Ker } d_2$ ,  $j(i^{-1})k(a) = 0 \Rightarrow i^{-1}k(a) \in \text{Ker } j = \text{Im } i$

$$\Rightarrow i^{-1}k(a) = i(b), k(a) = i^2(b)$$

$$d_3(a) = j(b), d_3 = j(i^{-1})^2 k$$

$\vdots$

$$d_{r+1} = j(i^{-1})^r k$$

- $E'_{pq} = H_{pq}(X_p, X_{p-1}) \Rightarrow H_{pq}(X)$ .

Def. An exact couple consists of a pair of modules  $D, E$  and morphisms  $i, j, k$  making the following triangle exact at each corner.

$$\begin{array}{ccc} D & \xrightarrow{i} & D \\ \uparrow k & & \searrow j \\ & E & \end{array}$$

Define differential  $d: E \rightarrow E$  by  $d = jk$ ,  $d^2 = jkjk = 0$ .

derived exact couple:

$$\begin{array}{ccc} D_1 & \xrightarrow{i_1} & D_1 = i(D) \\ \uparrow k_1 & & \searrow j_1 \\ & E_1 = H(E, d) & \end{array}$$

$$\left. \begin{array}{l} i_1 = i|_D \\ j_1 = j \circ i^{-1} : i(x) \mapsto [j(x)] \\ k_1 = \bar{k} : [y] \mapsto [ky] \end{array} \right\} \text{well defined \& exact.}$$

define  $d_1: E_1 \rightarrow E_1$ ,  $d_1 = j_1 k_1$

.....

$r^{\text{th}}$  derived exact couple:

$$\begin{array}{ccc} D_r & \xrightarrow{i_r} & D_r \\ \uparrow k_r & & \searrow j_r \\ & E_r & \end{array}$$

$$d_r: E_r \rightarrow E_r, d_r = j_r k_r$$

$$E_{r+1} = H(E_r, d_r)$$

If modules  $D, E$  are graded, then so is  $E_r$ .  
 ----- bigraded, -----

Thm Given an exact couple of bigraded modules. Then there exists a cohomology s.s.  $\{E_r^{**}, d_r\}$ .  
 $E_r^{**} = (r-1)^{\text{th}}$  derived module from  $E^{**}$   
 and  $d_r = j_r k_r$ .

$$\begin{array}{ccc} D^{**} & \xrightarrow{i} & D^{**} \\ \uparrow k & & \searrow j \\ & E^{**} & \end{array}$$

$$\begin{array}{l} \text{bi-deg}(i) = (-1, 1) \\ \text{bi-deg}(j) = (0, 0) \\ \text{bi-deg}(k) = (1, 0) \end{array}$$



- Cohomological S.S. from a filtration.

Thm. Each f.dga  $(A, d, F^*)$ ,  $d: A^n \rightarrow A^{n+1}$ ,  $F^*$  decreasing filtration, determines a cohomological S.S.

$$E_1^{p,q} = H^{p+q}(F^p A / F^{p+1} A)$$

Suppose further the filtration  $F^*$  is bounded, then

$$E_1^{p,q} \Rightarrow H^{p+q}(A, d); \text{ that is, } \bigoplus_{p+q=r} E_\infty^{p,q} \cong F^p H^r(A, d) / F^{p+1} H^r(A, d).$$

Each SES  $0 \rightarrow F^{p+1} A \rightarrow F^p A \rightarrow F^p A / F^{p+1} A \rightarrow 0$  induces a LES in homology:

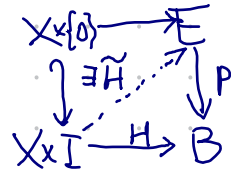
$$\dots \rightarrow H^n(F^{p+1} A) \xrightarrow{i} H^n(F^p A) \xrightarrow{j} H^n(F^p A / F^{p+1} A) \xrightarrow{k} H^{n+1}(F^{p+1} A) \rightarrow \dots$$

Exact couple:

$$\begin{array}{ccc} \bigoplus_{p,q} H^{p+q}(F^p A) & \xrightarrow{i} & \bigoplus_{p,q} H^{p+q}(F^p A) \\ & \nearrow k & \searrow j \\ & \bigoplus_{p,q} H^{p+q}(F^p A / F^{p+1} A) & \end{array}$$

### 3. Serre Spectral Seq.

Recall that a map  $p: E \rightarrow B$  is a (Serre) fibration if it satisfies the homotopy lifting properties (HLP) for finite CW-complexes  $X$ .



prop1 If  $B$  is path-connected, then  $p^{-1}(b_1) \simeq p^{-1}(b_2)$  for any  $b_1, b_2 \in B$ .

$E$ : total space,  $B$ : base space,  $F = p^{-1}(b)$  is called the fibre

prop2 Given  $F \hookrightarrow E \xrightarrow{p} B$  a fibration,  $\pi_1(B)$  acts on  $F$ .

Cor.  $\pi_1(B)$  acts on  $\pi_*(F)$ ,  $H_*(F)$ ,  $H^*(F)$ .

prop3 A fibration  $F \hookrightarrow E \rightarrow B$  induces a LES of homotopy groups:

$$\cdots \rightarrow \pi_n(F) \rightarrow \pi_n(E) \rightarrow \pi_n(B) \rightarrow \pi_{n-1}(F) \rightarrow \cdots$$

• Thm. (Serre S.S.)

Let  $F \hookrightarrow E \xrightarrow{p} B$  be a <sup>(homotopy)</sup> fibration with  $F$ -connected and  $B$  path-connected.

If  $\pi_1 B = 0$  or  $\pi_1(B) \curvearrowright F$  trivially, then there are 1st quadrant S.S. for any abelian group  $R$ .

cohomology.  $E_2^{p,q} = H^p(B; H^q(F; R)) \Rightarrow H^{p+q}(E; R)$

homology.  $E_{p,q}^2 = H_p(B; H_q(F; R)) \Rightarrow H_{p+q}(E; R).$

• prop. If  $R$  is a comm. ring and  $H^p(B; R), H^q(F; R)$  are free  $R$ -module of finite types for all  $p, q$ .

then  $E_2^{*,*} \cong H^*(B; R) \otimes_R H^*(F; R).$

•  $d(x^n) = nx^{n-1}dx$  if  $|x|$  is even.

Example 1. Loop path fibration

$$\Omega X = \text{map}_*(S^1, X) \quad \text{compact-open topology}$$

$$PX = \text{map}_*(I, X), I = [0, 1]$$

$$\begin{array}{ccc} \Omega X & \longrightarrow & PX \xrightarrow{\pi} X \\ & & \alpha \longmapsto \alpha(1) \end{array}$$

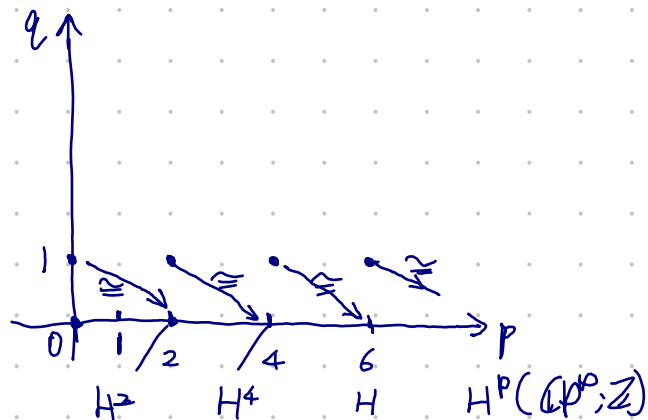
$$E_2^{p,q} = H^p(X; H^q(\Omega X)) \rightarrow H^{p+q}(PX) = 0 \text{ except } p+q=0.$$

$$E_\infty^{p,q} = 0 \text{ except } p+q=0.$$

$$K(\mathbb{Z}, 1) \longrightarrow * \longrightarrow K(\mathbb{Z}, 2) \text{ or } S^1 \longrightarrow * \longrightarrow \mathbb{C}P^\infty.$$

$$E_2^{p,q} = H^p(\mathbb{C}P^\infty; \mathbb{Z}) \otimes H^q(S^1; \mathbb{Z})$$

$q = 0, 1.$



Since  $E_\infty^{p,q} = 0$  except  $p=q=0$

$$\text{We get } H^p(\mathbb{C}P^\infty; \mathbb{Z}) \cong \begin{cases} \mathbb{Z} \\ 0 \end{cases}$$

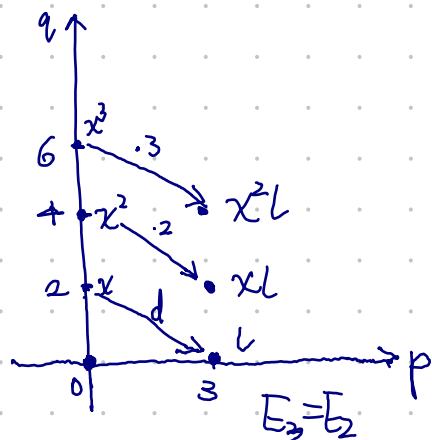
$$p=2n$$

$$p=2n+1 \dots$$

Example 2

$$K(\mathbb{Z}, 2) \rightarrow S^3 \xrightarrow{p} S^3 \xrightarrow{L} K(\mathbb{Z}, 3), \quad L \in H^3(S^3; \mathbb{Z}) \text{ a generator}$$

$$\begin{aligned} E_2^{p,q} &= H^p(S^3; H^q(K(\mathbb{Z}, 2); \mathbb{Z})) \\ &\cong H^p(S^3) \otimes H^q(K(\mathbb{Z}, 2)) \end{aligned}$$



$$\underline{E_\infty = E_4^{3,2n-2} \cong H^{2nH}}$$

$S^3 \langle 3 \rangle$  is 3-connected ( $\pi_{i \leq 3}(S^3 \langle 3 \rangle) = 0$ )

$$\leadsto d_3(x) = L$$

$$d_3(x^n) = n x^{n-1} L : E_3^{0,2n} \xrightarrow{\cdot n} E_3^{3,2n-2}$$

$$E_\infty^{3,2n-2} \cong \mathbb{Z}/n \Rightarrow H^{2nH}(S^3 \langle 3 \rangle)$$

$$\leadsto \tilde{H}^*(S^3 \langle 3 \rangle; \mathbb{Z}) = \begin{cases} \mathbb{Z}/n & * = 2nH \\ 0 & * = 2n \end{cases}$$

$$\leadsto H_*(S^3 \langle 3 \rangle) \cong \begin{cases} \mathbb{Z}/n & * = 2n \\ 0 & * = 2nH \end{cases}$$

Example 3. the Gysin Sequences (Example 5.C)

Thm. Let  $F \rightarrow E \xrightarrow{P} B$  be a fibration with  $F$  a homology sphere for some  $n \geq 1$  ( $H_*(F) \cong H_*(S^n)$ )

( $S^n \rightarrow E \xrightarrow{P} B$ ) Suppose  $\pi_1 B$  acts trivially or  $B$  is 1-connected. Then there is an exact seq:

cohomological:  $\cdots \rightarrow H^k(B; R) \xrightarrow{d_{n+1}} H^{k+n+1}(B; R) \xrightarrow{P^*} H^{k+n+1}(E; R) \rightarrow H^{k+1}(B; R) \rightarrow \cdots$

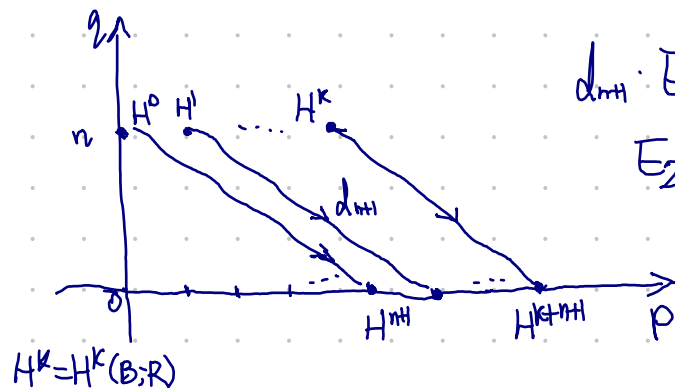
where  $d_{n+1} = \cup -$  for some  $\zeta \in H^{n+1}(B; R)$ ; if  $n$  is even and  $2 \neq 0$  in  $R$ , then  $2\zeta = 0$ .

(homological:  $\cdots \rightarrow H_k(E; R) \xrightarrow{P_*} H_k(B; R) \xrightarrow{d_{n+1}} H_{k-n-1}(B; R) \rightarrow H_{k-1}(E; R) \rightarrow \cdots$ )

Partial proof.  $E_2$ -page in the S.S. with  $R$ -coefficients.

$$E_2^{p,q} = H^p(B; H^q(S^n)) \cong H^p(B; R) \otimes H^q(S^n; R) \Rightarrow H^{p+q}(E; R),$$

( $q=0, n$ )



$$d_{n+1}: E_2^{k,n} = H^k \rightarrow E_2^{k+n+1,0} = H^{k+n+1}$$

$$E_2 = \cdots = E_{n+1}, E_{n+2} = E_{\infty}$$

Then the exact sequence follows by Ex. 2 on Page 5:

$$\begin{aligned} \cdots \rightarrow E_2^{k,n} \xrightarrow{d_{n+1}} E_2^{k+n+1,0} &\rightarrow H^{k+n+1}(E; R) \rightarrow E_2^{k+1,n} \xrightarrow{d_{n+1}} E_2^{k+n+2,0} \rightarrow \cdots \\ &\parallel \quad \parallel \quad \parallel \quad \parallel \\ \cdots \rightarrow H^k(B; R) \rightarrow H^{k+n+1}(B; R) &\rightarrow H^{k+n+1}(E; R) \rightarrow H^{k+1}(B; R) \rightarrow H^{k+n+2}(B; R) \rightarrow \cdots \end{aligned}$$

Ex.  $S^n \rightarrow E \rightarrow B$ ,  $n \geq 2$ . the Wang Seq. has the form:

$$\cdots \rightarrow H^k(E; R) \rightarrow H^k(F; R) \xrightarrow{Q} H^{k-n+1}(F; R) \rightarrow H^{k+1}(E; R) \rightarrow \cdots$$

Q.E.D.

THANKS !